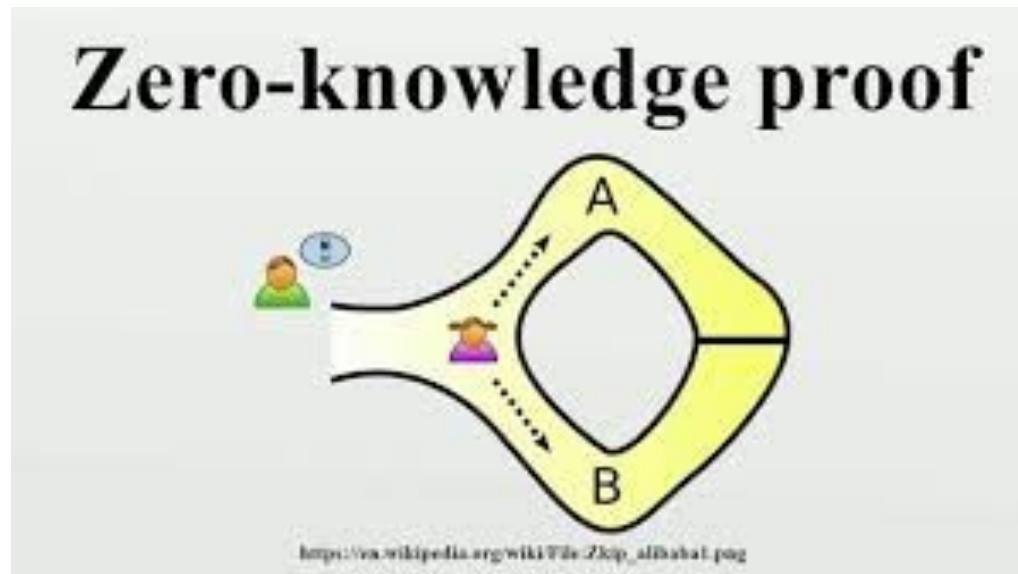


ZKP and Block Chain

2019.10.24 (목) 한규형

Zero Knowledge Proof (ZKP)

Cave problem



Zero Knowledge Proof (ZKP)

Schnorr protocol

Protocol Π_{dlog}

Common Input: the description of a prime-order group \mathbb{G} of (exponentially large) order p with a generator g , and a group element h .

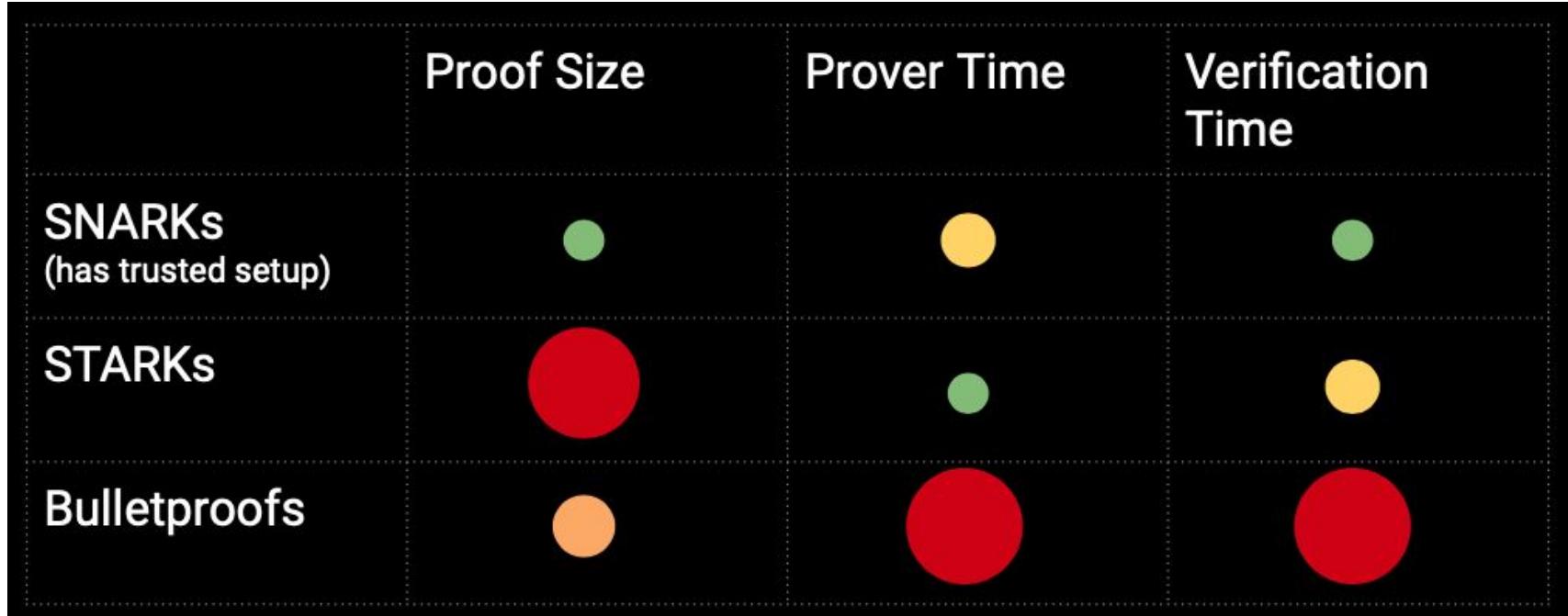
Prover Witness: A value $x \in \mathbb{Z}_p$ such that $g^x = h$.

Protocol:

1. \mathcal{P} : pick $r \xleftarrow{\$} \mathbb{Z}_p$, send $\rho \leftarrow g^r$.
2. \mathcal{V} : pick $e \xleftarrow{\$} \mathbb{Z}_p$, send e .
3. \mathcal{P} : send $d \leftarrow e \cdot x + r \bmod p$

Verification: \mathcal{V} accepts iff $g^d = h^e \rho$.

Zero Knowledge Proof (ZKP)



ZKP - Sumcheck

Definition 4 *The **Sumcheck problem** is the problem of proving that evaluations of the arithmetization of a boolean formula over the Boolean hypercube sum up to a value s . All arithmetic is performed in a finite field \mathbb{Z}_q that is large enough to represent the result of the summation.*

The **Sumcheck protocol** (see Figure 1) solves the **Sumcheck problem**. Informally, the protocol proceeds as follows:

1. The prover \mathcal{P} and verifier \mathcal{V} are given as input a boolean formula φ and a field element s . Both arithmetize φ to obtain a polynomial p in n variables x_1, \dots, x_n .
2. \mathcal{V} generates a random prime q that is greater than $2^n 3^m$ and sends it to \mathcal{P} .
3. \mathcal{V} initializes the 0^{th} check-value $v_0 := s$.
4. The following interaction is repeated for all $i = 1$ to n :
 - (a) Leaving x_i free, \mathcal{P} evaluates p at $x_{i+1} \in \{0, 1\}, \dots, x_n \in \{0, 1\}$ to obtain polynomial p_i in x_i :
$$p_i(x_i) := \sum_{x_{i+1}, \dots, x_n \in \{0, 1\}} p(r_1, r_2, \dots, x_i, \dots, x_n) \quad .$$
 \mathcal{P} sends p_i over to \mathcal{V} .
 - (b) \mathcal{V} checks that $p_i(0) + p_i(1) = v_{i-1}$. If so, it samples a random field element r_i , computes the next check-value $v_i := p_i(r_i)$, and sends r_i to \mathcal{P} .
5. In the final round, instead of sending r_n over to \mathcal{P} , \mathcal{V} checks that $p(r_1, \dots, r_n) = v_n$.

ZKP - Sumcheck

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1. The prover \mathcal{P} and verifier \mathcal{V} are given as input a boolean formula φ and a field element s . Both arithmetize φ to obtain a polynomial p in n variables x_1, \dots, x_n .

• Compute needs 2^n computations

2. \mathcal{V} generates a random prime q that is greater than $2^n 3^m$ and sends it to \mathcal{P} .

• Verification only needs n computations

3. \mathcal{V} initializes the check-value $v_0 = 0$.
4. The following interaction is repeated for all $i = 1$ to n :

- (a) Leaving x_i free, \mathcal{P} evaluates p at $x_{i+1} \in \{0, 1\}, \dots, x_n \in \{0, 1\}$ to obtain polynomial p_i in x_i :

$$p_i(x_i) := \sum_{x_{i+1}, \dots, x_n \in \{0, 1\}} p(r_1, r_2, \dots, x_i, \dots, x_n) .$$

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ZKP - Verifiable Computation (VC)

GKR protocol - MLE, Sum-check (Libra, Hyrax = ZK version of GKR)

$$\tilde{W}_i(\mathbf{z}) = \sum_{\mathbf{b}, \mathbf{c} \in \{0,1\}^{s_i+1}} \widetilde{\text{add}}_i(\mathbf{z}, \mathbf{b}, \mathbf{c}) (\tilde{W}_i(\mathbf{b}) + \tilde{W}_i(\mathbf{c})) + \widetilde{\text{mult}}_i(\mathbf{z}, \mathbf{b}, \mathbf{c}) (\tilde{W}_i(\mathbf{b}) \cdot \tilde{W}_i(\mathbf{c}))$$

- $\text{Add}_i(\mathbf{x}, \mathbf{y}, \mathbf{z}) = 1$ if (\mathbf{y}, \mathbf{z}) is input of add gate \mathbf{x} in layer i
- $\text{Mult}_i(\mathbf{x}, \mathbf{y}, \mathbf{z}) = 1$ if (\mathbf{y}, \mathbf{z}) is input of mult gate \mathbf{x} in layer i
- $\mathbf{V}_i(\mathbf{x})$ = output of gate \mathbf{x} in layer i

ZKP - Verifiable Computation (VC)

GKR protocol - MLE, Sum-check (Libra, Hyrax = ZK version of GKR)

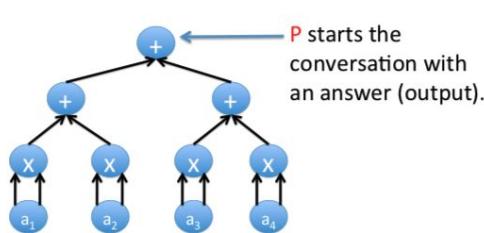


Figure 1: Start of GKR Protocol.

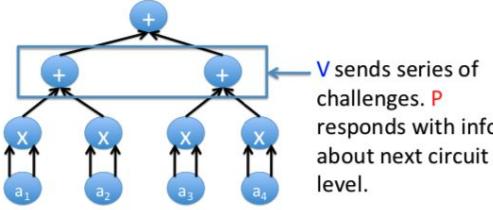


Figure 2: Iteration 1 reduces a claim about the output of \mathcal{C} to one about the MLE of the gate values in the previous layer.

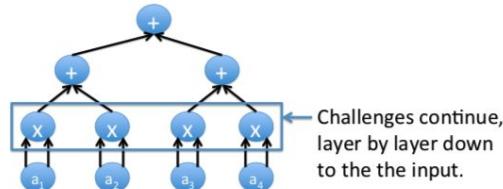


Figure 3: In general, iteration i reduces a claim about the MLE of gate values at layer i , to a claim about the MLE of gate values at layer $i+1$.

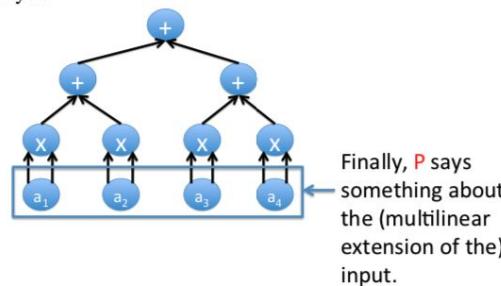


Figure 4: In the final iteration, \mathcal{P} makes a claim about the MLE of the input. \mathcal{V} can check this claim without help, since \mathcal{V} sees the input explicitly.

ZKP - Verifiable Computation (VC)

Pinocchio - QAP, QSP

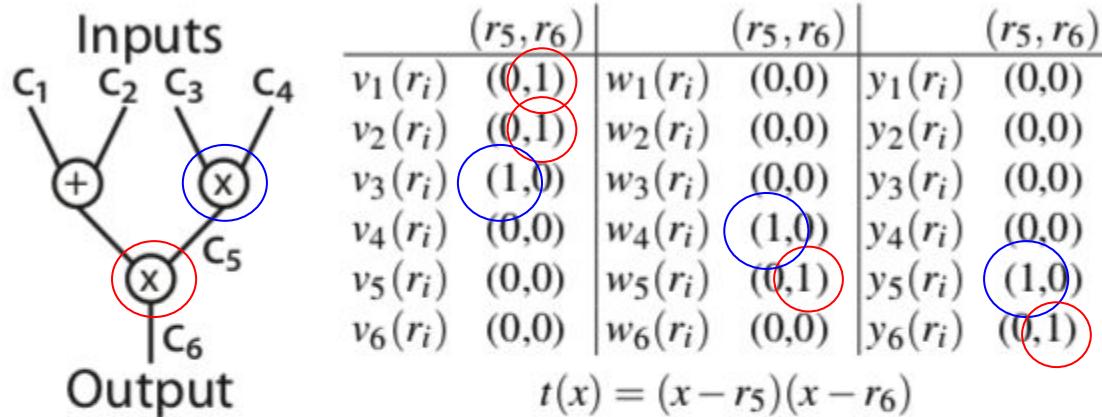


Figure 2: **Arithmetic Circuit and Equivalent QAP.** Each wire value comes from, and all operations are performed over, a field \mathbb{F} . The polynomials in the QAP are defined in terms of their evaluations at the two roots, r_5 and r_6 . See text for details.

ZKP - Verifiable Computation (VC)

Pinocchio - QAP, QSP

Definition 2 (Quadratic Arithmetic Program (QAP) [30])

A QAP Q over field \mathbb{F} contains three sets of $m+1$ polynomials $\mathcal{V} = \{v_k(x)\}$, $\mathcal{W} = \{w_k(x)\}$, $\mathcal{Y} = \{y_k(x)\}$, for $k \in \{0 \dots m\}$, and a target polynomial $t(x)$. Suppose F is a function that takes as input n elements of \mathbb{F} and outputs n' elements, for a total of $N = n + n'$ I/O elements. Then we say that Q computes F if: $(c_1, \dots, c_N) \in \mathbb{F}^N$ is a valid assignment of F 's inputs and outputs, if and only if there exist coefficients (c_{N+1}, \dots, c_m) such that $t(x)$ divides $p(x)$, where:

$$\begin{aligned} p(x) &= \left(v_0(x) + \sum_{k=1}^m c_k \cdot v_k(x) \right) \cdot \left(w_0(x) + \sum_{k=1}^m c_k \cdot w_k(x) \right) \\ &\quad - \left(y_0(x) + \sum_{k=1}^m c_k \cdot y_k(x) \right). \end{aligned}$$

ZKP - Verifiable Computation (VC)

Pinocchio - QAP, QSP, (ZK : randomize by adding delta * t(s))

Definition 2 (Quadratic Arithmetic Program (QAP) [30])

A QAP Q over field \mathbb{F} contains three sets of $m+1$ polynomials

$\mathcal{V} = \{v_k(x)\}$, $\mathcal{W} = \{w_k(x)\}$, $\mathcal{Y} = \{y_k(x)\}$, for $k \in \{0 \dots m\}$,

and a target. Choose $s, \alpha, \beta_v, \beta_w, \beta_y, \gamma \xleftarrow{R} \mathbb{F}$.
takes as input

a total of Construct the public evaluation key EK_F as:

computes 1 ($\{g^{v_k(s)}\}_{k \in I_{mid}}, \{g^{w_k(s)}\}_{k \in [m]}, \{g^{y_k(s)}\}_{k \in [m]}$,

F 's inputs $\{g^{\alpha v_k(s)}\}_{k \in I_{mid}}, \{g^{\alpha w_k(s)}\}_{k \in [m]}, \{g^{\alpha y_k(s)}\}_{k \in [m]}$,

(c_{N+1}, \dots, c_m) $\{g^{\beta_v v_k(s)}\}_{k \in I_{mid}}, \{g^{\beta_w w_k(s)}\}_{k \in [m]}, \{g^{\beta_y y_k(s)}\}_{k \in [m]}$

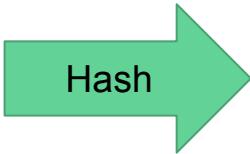
$p(x) = \{g^{s^i}\}_{i \in [d]}, \{g^{\alpha s^i}\}_{i \in [d]}).$

The public verification key is: $VK_F = (g^1, g^\alpha, g^\gamma, g^{\beta_v \gamma},$

$$-\left(y_0(x) + \sum_{k=1}^m c_k \cdot y_k(x)\right).$$

Block Chain

```
{  
  "hash": "00000000000000000000286d1cc5c70c4a....",  
  "confirmations": 1,  
  "strippedsize": 193260,  
  "size": 276539,  
  "weight": 856319,  
  "height": 600480,  
  "version": 549453824,  
  "versionHex": "20c00000",  
  "merkleroot": "3b8532757885d28....",  
  "tx": [  
    ...  
    No forgery  
    ...  
    ...  
  ]  
  "time": 1571719493,  
  "mediantime": 1571717163,  
  "nonce": 3512210036,  
}
```



```
{  
  "hash": "00000000000000000000a12c764baa33c3154...",  
  "confirmations": 2,  
  "strippedsize": 367418,  
  "size": 430287,  
  "weight": 1532541,  
  "height": 600481,  
  ...  
}
```

Block Chain

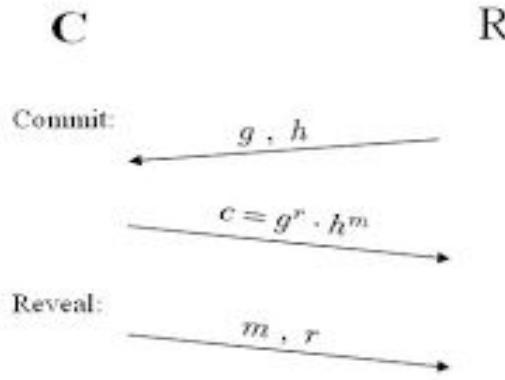
Consensus

- Proof of Work - bitcoin
 - 거래 확정에 많은 시간이 소요된다. (일시적인 fork)
 - 약 1시간의 시간이 거래 확정에 소요
- Proof of Stake - ethereum
 - 예치금의 방식으로 많은 돈을 예치하면 다음 블록 결정에 큰 영향을 줄 수 있음
- Byzantine agreement algorithm - algorand
 - No fork, but huge communication cost
 - To solve this problem, algorand uses VRF (verifiable random function)

ZKP + Block Chain - Zcash, Hyperledger Indy

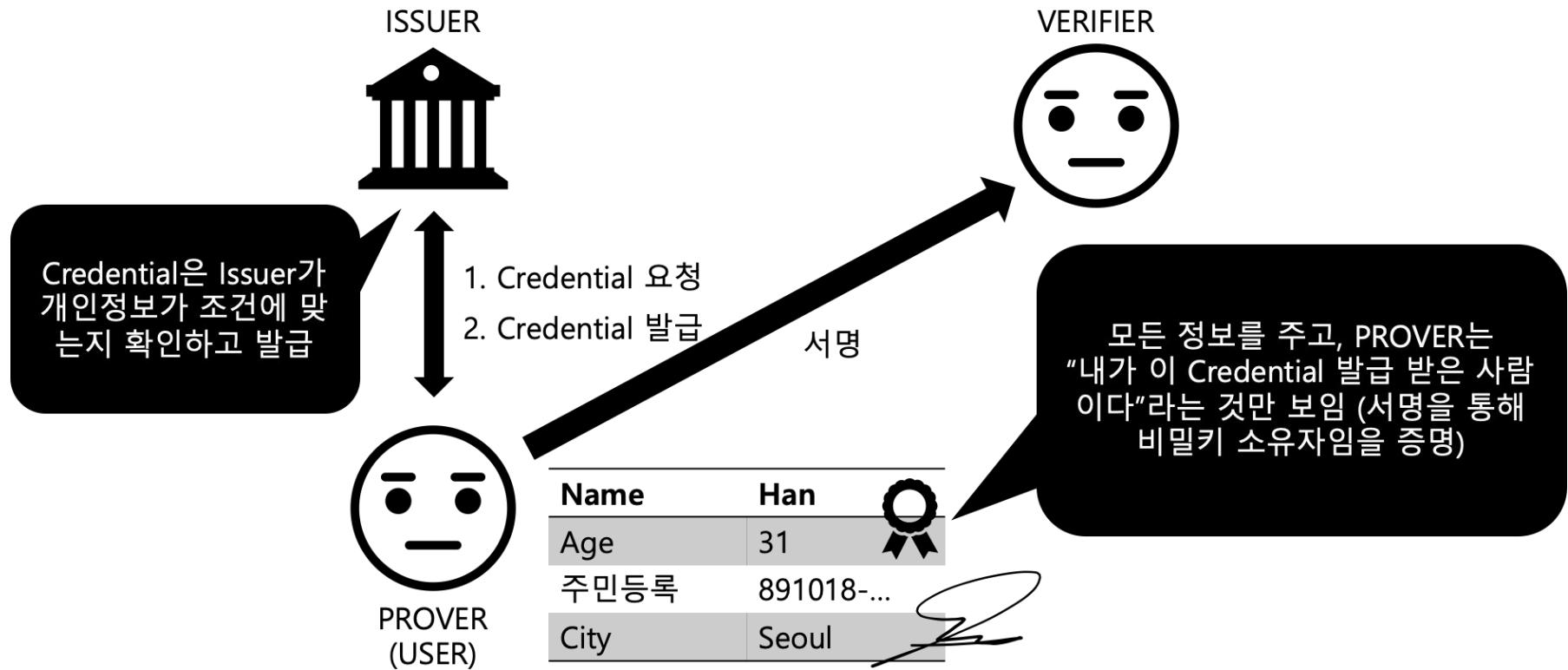
ZKP != Privacy, ZKP == Honest Computation == 관계증명

- Pedersen Commitment :

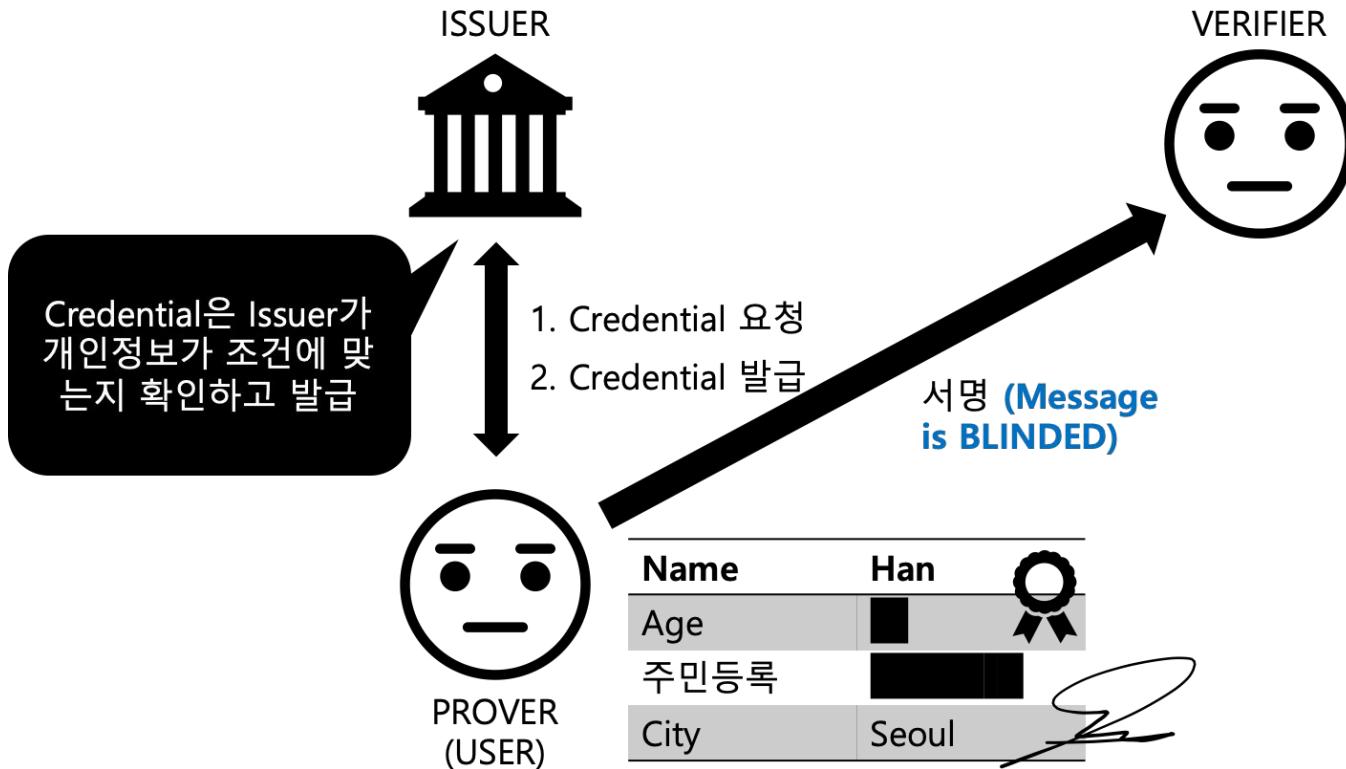


- Zcash: balance value is committed ($=c$) and prove that $\{c = \text{comm}(m)$ and m in some range}
- Indy: identity data is committed and sign on the committed value

Hyperledger Indy



Hyperledger



Hyperledger - Verification

Message



Commitment

Signature

Message



Commitment

Signature

"Commitment가 **Some Message**에 의해서 생성되었다." 즉
나는 " $c = \text{Comm}(m, r)$ "인 (m, r) 을 알고 있다.

Message



Commitment



Signature

"Signature가 **Some Message**에 의해서 생성되었다." 즉
나는 " $s = \text{Sign}(m)$ "인 m 을 알고 있다.

ZKP + Block Chain

Example - proof of commitment

For given message m , let $c = g^m h^r$ for random r .

Proof:

1. Pick random x, y
2. $a = \text{Hash}(g^x h^y)$ - Fiat-Shamir
3. Compute $\pi_1 = x + am$ and $\pi_2 = y + ar$
4. Return (π_1, π_2, a)

Verification:

1. $c = ? \text{Hash}(g^{\pi_1} h^{\pi_2} / c^a)$

† Proof of same secret, Proof of square, Proof of range, ... also possible