

# Robust Channels

## Handling Unreliable Network Messages in QUIC's Record Layer

Marc Fischlin, **Felix Günther**, Christian Janson



# QUIC within the Network Stack

Application (HTTPS, ...)

Handshake

Application data streams

QUIC

Record Layer

**Our focus:** Interaction  
record layer ↔ UDP

UDP

# The QUIC Record Layer

(highly simplified)

application / handshake / ... chunks of data

Record Layer

payload (de)protection

header (de)protection

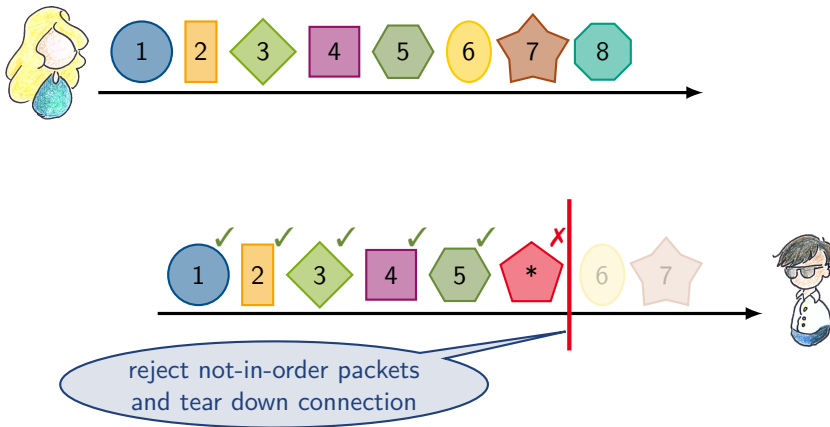
What's the kind of **secure channel** guarantees  
QUIC's record layer provides over UDP?

UDP

# Recap: Secure Channels over TCP

... think: TLS

**ETH** zürich

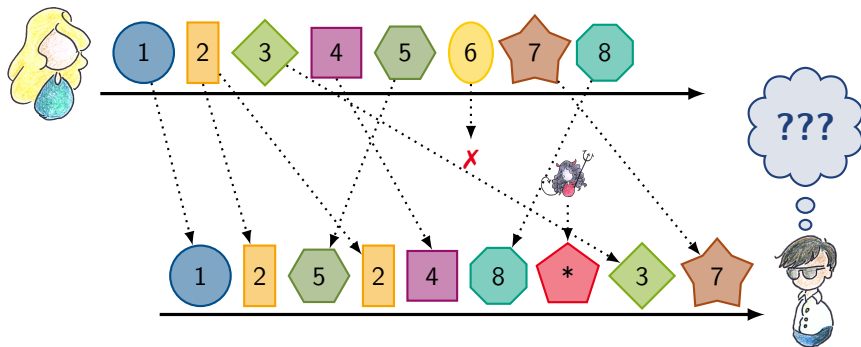


drawings by *Giorgia Azzurra Marson*

# Handling Unreliable Transport

QUIC, DTLS, ... over UDP

**ETH** zürich



# Handling Unreliable Transport

Many choices. . .

## ► Replays / Duplicates

- prevent them?
- check how far back?

**QUIC:** MUST prevent

**QUIC:** e.g., replay-check window (IPsec)

## ► Reordering

- permitted?
- by how far max.?

**QUIC:** well, yes—it's UDP

**QUIC:** dynamic sliding window

## ► Adversarial interaction

- Integrity: always want to reject non-genuine packets
- But how do you (formally) guarantee that replayed / reordered / adversarial packets don't affect others?

**QUIC:** use AEAD

- ▶ **Generic channel model** capturing handling of unreliable transport
- ▶ New notion: **Robustness**
  - “*malicious packets cannot disturb expected channel behavior*”
- ▶ Assess **QUIC**’s packet encryption as [robust + secure?] channel
  - ▶ we also analyze the similar **DTLS 1.3** record layer

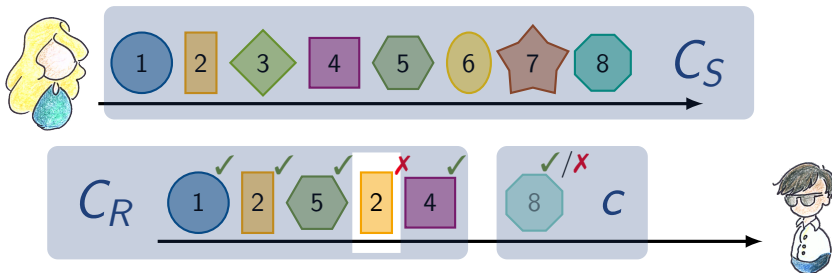
# We're not the first to look at channels...

- ▶ initial (game-based security) formalization by [BKN02]
  - ▶ (stateful) confidentiality (IND-CCA) and integrity (INT-CTXT)
  - ▶ assuming **reliable transport** → reject upon/after first deviation
  - ▶ most cryptographic channel models follow this approach
- ▶ approaches towards a **hierarchy of channels** [KPB03,BHMS16,RZ18]
  - ▶ different levels of permissible reordering & replays
  - ▶ yet, these don't capture QUIC's **sliding-window approach**
- ▶ prior work on **QUIC**
  - ▶ don't consider the fine-grained reordering/replay protection [LJBN15,CJJ+19]
  - ▶ or remain on the AEAD-primitive level [DLFP+20,BGT20]



# Generalizing Channel Correctness

- ▶ parameterize what packet (ciphertexts) reordering a channel **supports**
- ▶ predicate  $\text{supp}(C_S, C_R, c) = \checkmark / \times$ 
  - ▶  $C_S$ : sequence of sent ciphertexts
  - ▶  $C_R$ : sequence of *supported* ciphertexts received prior
  - ▶  $c$ : next ciphertext to receive
- ▶ correctness requires (only) genuine, supported ctexts be correctly decrypted

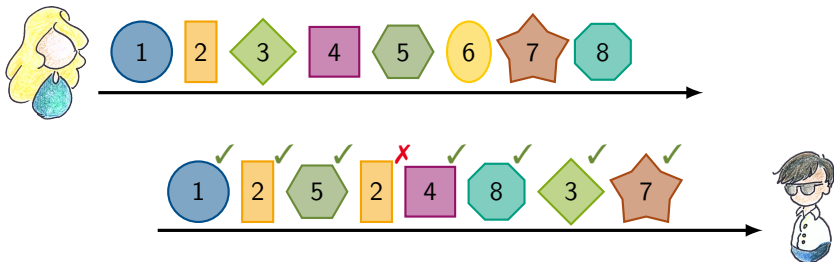


# Generalizing Channel Correctness

Example support class:  $\text{supp}_{no-r}$  (no order, global anti-replay)

$$\text{supp}_{no-r}(C_S, C_R, c) := \left[ c \in C_S \wedge c \notin C_R \right]$$

- corresponds to level 2 of [BHMS16]  $\neq$  DTLS (1.2)



# Generalizing Channel Correctness

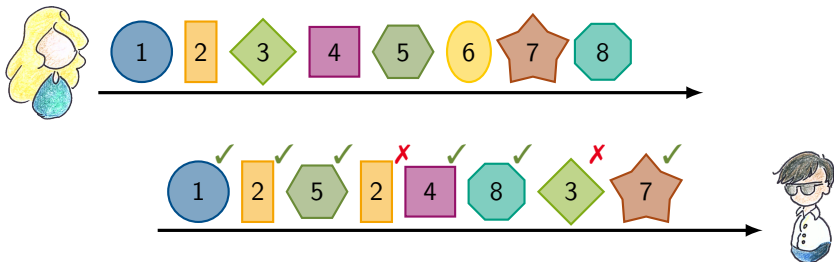
Example support class:  $\text{supp}_{\text{no-r}[w_r]}$  (no order, anti-replay window)

**ETH** zürich

$$\text{supp}_{\text{no-r}[w_r]}(C_S, C_R, c) := \left[ c \in C_S \wedge c \notin C_R \wedge \underline{\text{index}(c, C_S) \geq m - w_r} \right]$$

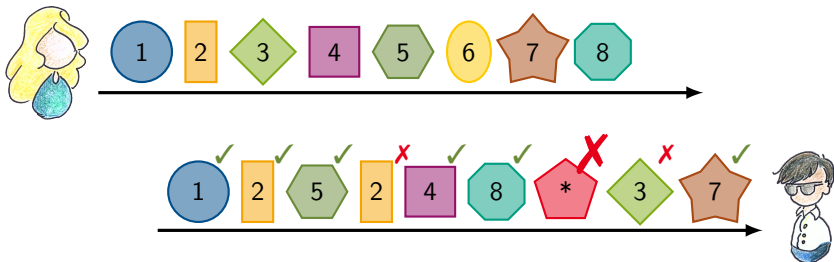
m: highest received index / packet number

- ▶ this is DTLS 1.2
- ▶ example below:  $w_r = 4$



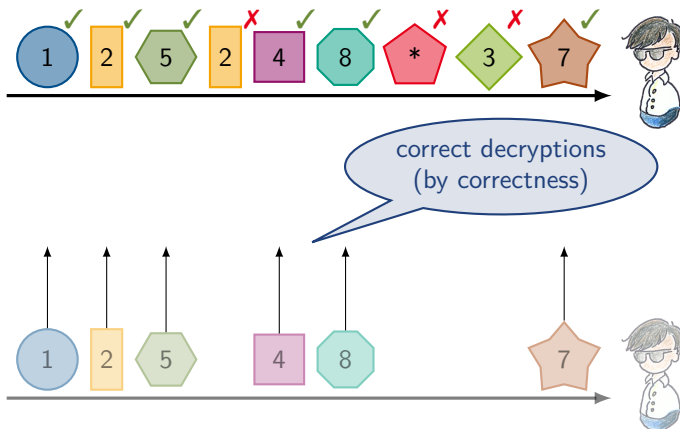
# Defining Robustness (ROB)

*“malicious packets cannot disturb expected channel behavior”*



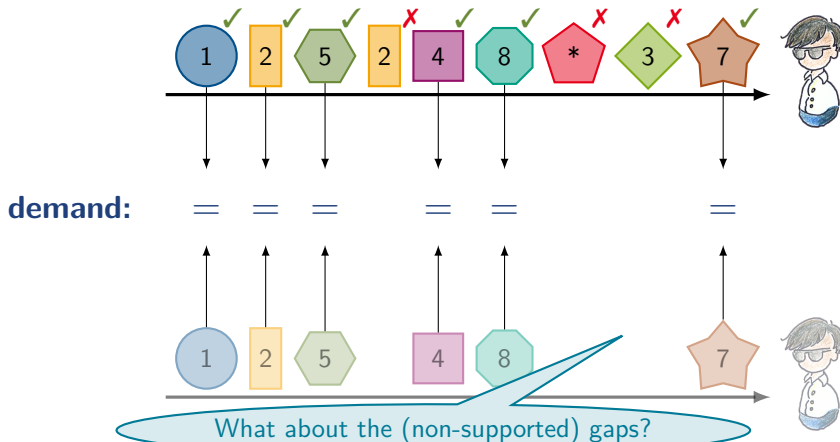
# Defining Robustness (ROB)

Idea: Compare with the supported, correct sub-trace



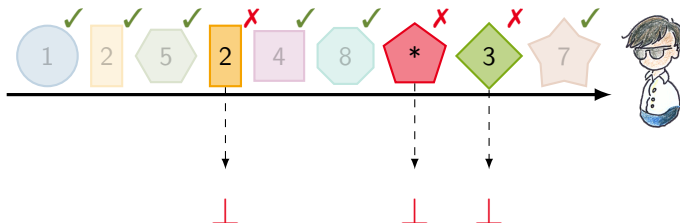
# Defining Robustness (ROB)

Idea: Compare with the supported, correct sub-trace



# Integrity (INT)

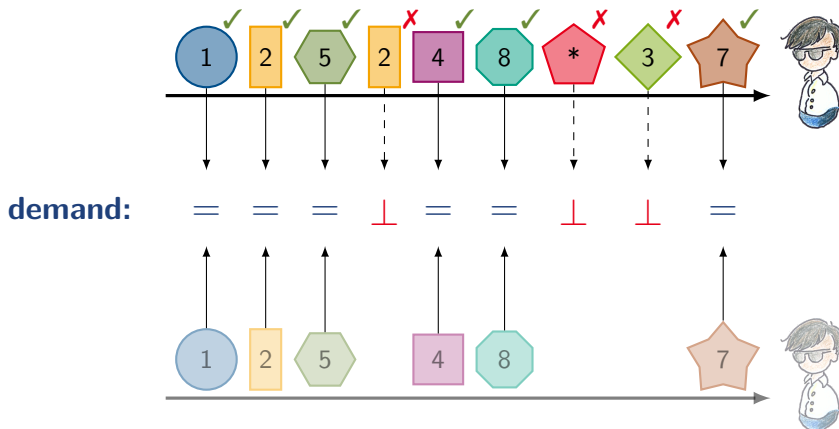
... wrt. supp predicate



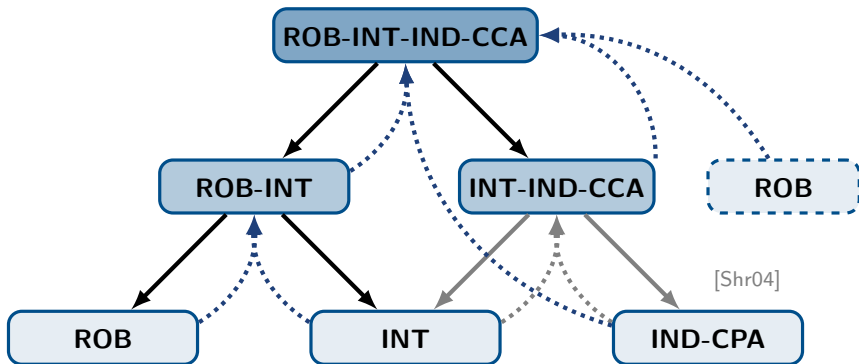
**demand:**

# Robust Integrity (ROB-INT)

- join **robustness** and **integrity** for desired property over unreliable transport

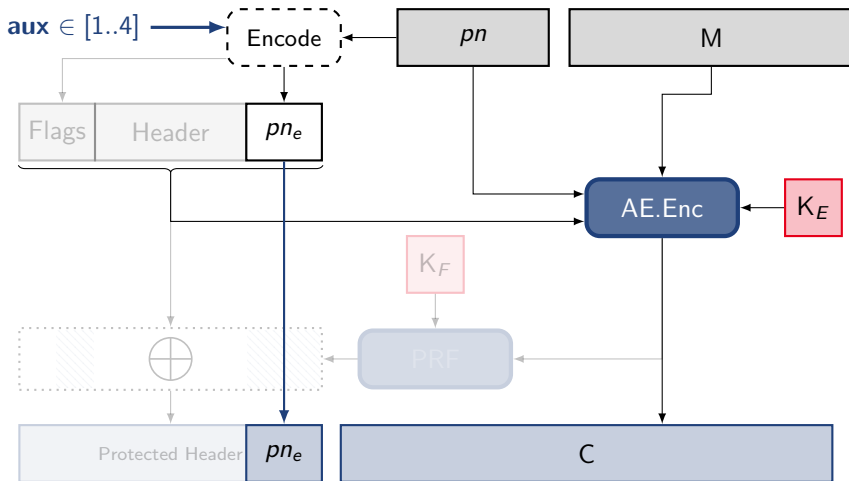






all notions parameterized by same **supp** predicate

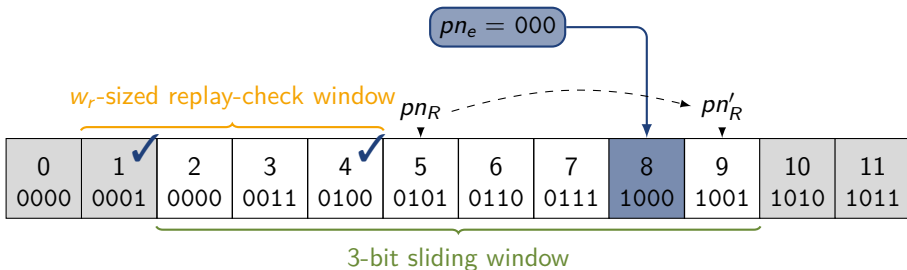
# QUIC Payload Encryption



# QUIC Channel

## Dynamic Sliding Window

- ▶ interpret  $pn_e$  in  $|pn_e|$  **bit dynamic window** around next expected ( $pn_R$ )
- ▶ check for **replays in  $w_r$  sized window** back from  $pn_R$
- ▶ **(toy) example:** 3-bit sliding window, replay window  $w_r = 4$ ,  $pn_R = 5$



$\text{supp}_{dw-r[w_r]}(AC_S, C_R, c) :=$

$$\left[ c \in C_S \wedge c \notin C_R \wedge \text{index}(c, C_S) \in [n - \min(w_b^c, w_r + 1), n + w_f^c] \right]$$


supported if in sliding window  
(dynamic for  $c$ ) **and** replay window

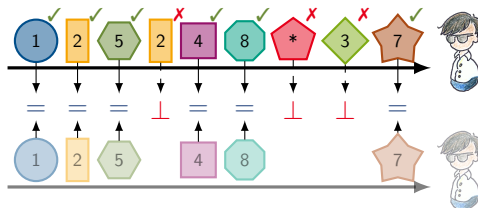
- ▶ **QUIC** matches this
  - ▶ based on correct decoding property when interpreting  $pn_e$

# QUIC Channel

## Robust Integrity (ROB-INT)

### Intuition:

- ▶ non-supported ctxts are rejected as **AEAD error** (or replays)
  - ▶ reordered out-of-window:  $pn_e$  decodes to **different**  $pn$
  - ▶ or: actual adversarial **forgery**
- ▶ either would require **AEAD authenticity break** (via game-based reduction)
  - ▶ but:  can try multiple times
  - ▶ factor  $q_R$  (#received ciphertexts) loss in security reduction



# QUIC Channel: Overall Security

Robust Confidentiality and Integrity (ROB-INT-IND-CCA)

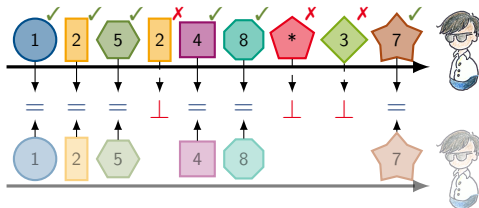
**ETH** zürich

- use hierarchy: **ROB-INT** + **IND-CPA** = **ROB-INT-IND-CCA**

$$\text{Adv}_{\text{QUIC}}^{\text{ROB-INT-IND-CCA}} \leq \text{Adv}_{\text{AEAD}}^{\text{priv}} + q_R^* \cdot \text{Adv}_{\text{AEAD}}^{\text{auth}}$$

\* for technical reasons (uniqueness of ciphertexts) there's an additional  $q_S^2$  factor

- $q_r$  loss matches that attacks become easier over unreliable transports [AP13]



- ▶ QUIC's channel construction ensures **robustness** over unreliable transport
- ▶ We establish this in a **generic channel model**
  - ▶ parameterized in **what reordering / replay / ... is supported**
  - ▶ introducing **robustness** as a first-class security property
- ▶ Our model captures **QUIC's dynamic sliding-window & replay-checking**
  - ▶ ... but also other settings like DTLS 1.2, DTLS 1.3, etc.
  - ▶ confirm QUIC achieves intended **robust confidentiality and integrity**
- ▶ Preliminary preprint for QUIPS 2020:  
[felixguenther.info/Q20\\_RC.pdf](https://felixguenther.info/Q20_RC.pdf)

**Thank You!**  
mail@felixguenther.info

- [AP13] N. J. AlFardan and K. G. Paterson. “[Lucky Thirteen: Breaking the TLS and DTLS Record Protocols](#)”. In: *2013 IEEE Symposium on Security and Privacy*. IEEE Computer Society Press, May 2013, pp. 526–540.
- [BGT20] M. Bellare, F. Günther, and B. Tackmann. *Two-Tier Authenticated Encryption: Nonce Hiding in QUIC*. QUIPS 2020 Workshop. 2020.
- [BKN02] M. Bellare, T. Kohno, and C. Namprempe. “[Authenticated Encryption in SSH: Provably Fixing The SSH Binary Packet Protocol](#)”. In: *ACM CCS 2002*. Ed. by V. Atluri. ACM Press, Nov. 2002, pp. 1–11.
- [BHMS16] C. Boyd, B. Hale, S. F. Mjølsnes, and D. Stebila. “[From Stateless to Stateful: Generic Authentication and Authenticated Encryption Constructions with Application to TLS](#)”. In: *CT-RSA 2016*. Ed. by K. Sako. Vol. 9610. LNCS. Springer, Heidelberg, 2016, pp. 55–71.
- [CJJ+19] S. Chen, S. Jero, M. Jagielski, A. Boldyreva, and C. Nita-Rotaru. “[Secure Communication Channel Establishment: TLS 1.3 \(over TCP Fast Open\) vs. QUIC](#)”. In: *ESORICS 2019, Part I*. Ed. by K. Sako, S. Schneider, and P. Y. A. Ryan. Vol. 11735. LNCS. Springer, Heidelberg, Sept. 2019, pp. 404–426.
- [DLFP+20] A. Delignat-Lavaud, C. Fournet, B. Parno, J. Protzenko, T. Ramananandro, J. Bosamiya, J.ALLEMAND, I. Rakotonirina, and Y. Zhou. *A Security Model and Fully Verified Implementation for the IETF QUIC Record Layer*. Cryptology ePrint Archive, Report 2020/114. <https://eprint.iacr.org/2020/114>. 2020.



- [KPB03] T. Kohno, A. Palacio, and J. Black. *Building Secure Cryptographic Transforms, or How to Encrypt and MAC*. Cryptology ePrint Archive, Report 2003/177. <http://eprint.iacr.org/2003/177>. 2003.
- [LJBN15] R. Lychev, S. Jero, A. Boldyreva, and C. Nita-Rotaru. “How Secure and Quick is QUIC? Provable Security and Performance Analyses”. In: *2015 IEEE Symposium on Security and Privacy*. IEEE Computer Society Press, May 2015, pp. 214–231.
- [RZ18] P. Rogaway and Y. Zhang. “Simplifying Game-Based Definitions - Indistinguishability up to Correctness and Its Application to Stateful AE”. In: *CRYPTO 2018, Part II*. Ed. by H. Shacham and A. Boldyreva. Vol. 10992. LNCS. Springer, Heidelberg, Aug. 2018, pp. 3–32.
- [Shr04] T. Shrimpton. *A Characterization of Authenticated-Encryption as a Form of Chosen-Ciphertext Security*. Cryptology ePrint Archive, Report 2004/272. <http://eprint.iacr.org/2004/272>. 2004.