

# Preparation of Papers for IEEE Transactions and Journals (August 2022)

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This paragraph of the first footnote will contain support information, including sponsor and financial support acknowledgment. For example, "This work was supported in part by the U.S. Department of Commerce under Grant 123456."

**ABSTRACT** These instructions give you guidelines for preparing papers for IEEE Transactions and Journals. Otherwise, use this document as an instruction set. The electronic file of your paper will be formatted further at IEEE. Paper titles should be written in uppercase and lowercase letters, not all uppercase. Avoid writing long formulas with subscripts in the title; short formulas that identify the elements are fine (e.g., "Nd-Fe-B"). Do not write "(Invited)" in the title. Full names of authors are preferred in the author field, but are not required. Put a space between authors' initials. The abstract must be a concise yet comprehensive reflection of what is in your article. In particular, the abstract must be self-contained, without abbreviations, footnotes, or references. It should be a microcosm of the full article. The abstract must be between 150–250 words. Be sure that you adhere to these limits; otherwise, you will need to edit your abstract accordingly. The abstract must be written as one paragraph, and should not contain displayed mathematical equations or tabular material. The abstract should include three or four different keywords or phrases, as this will help readers to find it. It is important to avoid over-repetition of such phrases as this can result in a page being rejected by search engines. Ensure that your abstract reads well and is grammatically correct.

**INDEX TERMS** Enter key words or phrases in alphabetical order, separated by commas. Using the *IEEE Thesaurus* can help you find the best standardized keywords to fit your article. Use the [thesaurus access request form](#) for free access to the *IEEE Thesaurus*.

## I. INTRODUCTION

THIS document is a template for L<sup>A</sup>T<sub>E</sub>X. If you are reading a paper or PDF version of this document, please download the template from the IEEE Web site at [http://ieeauthorcenter.ieee.org/create-your-ieee-article/use-authoring-tools-and-ieee-article-templates/](http://ieeauthorcenter.ieee.org/create-your-ieee-article/use-authoring-tools-and-ieee-article-templates/ieee-article-templates/) so you can use it to prepare your manuscript. You can also explore using the Overleaf editor at <https://www.overleaf.com/blog/278-how-to-use-overleaf-with-ieee-collabratec-your-quick-guide-to-getting-started#xsVp6tpPkrKM9>

If your paper is intended for a conference, please contact your conference editor concerning acceptable formats for your particular conference.

IEEE will do the final formatting of your paper. If your paper is intended for a conference, please observe the conference page limits.

## A. ABBREVIATIONS AND ACRONYMS

Define abbreviations and acronyms the first time they are used in the text, even after they have already been defined in the abstract. Abbreviations such as IEEE, SI, ac, and dc do not have to be defined. Abbreviations that incorporate periods should not have spaces: write "C.N.R.S.," not "C.

N. R. S.” Do not use abbreviations in the title unless they are unavoidable (for example, “IEEE” in the title of this article).

## B. OTHER RECOMMENDATIONS

Use one space after periods and colons. Hyphenate complex modifiers: “zero-field-cooled magnetization.” Avoid dangling participles, such as, “Using (1), the potential was calculated.” [It is not clear who or what used (1).] Write instead, “The potential was calculated by using (1),” or “Using (1), we calculated the potential.”

Use a zero before decimal points: “0.25,” not “.25.” Use “cm<sup>3</sup>,” not “cc.” Indicate sample dimensions as “0.1 cm × 0.2 cm,” not “0.1 × 0.2 cm<sup>2</sup>.” The abbreviation for “seconds” is “s,” not “sec.” Use “Wb/m<sup>2</sup>” or “webers per square meter,” not “webers/m<sup>2</sup>.” When expressing a range of values, write “7 to 9” or “7-9,” not “7~9.”

A parenthetical statement at the end of a sentence is punctuated outside of the closing parenthesis (like this). (A parenthetical sentence is punctuated within the parentheses.) In American English, periods and commas are within quotation marks, like “this period.” Other punctuation is “outside”! Avoid contractions; for example, write “do not” instead of “don’t.” The serial comma is preferred: “A, B, and C” instead of “A, B and C.”

If you wish, you may write in the first person singular or plural and use the active voice (“I observed that ...” or “We observed that ...” instead of “It was observed that ...”). Remember to check spelling.

Try to avoid using too many typefaces in the same article. Remember that MathJax cannot handle nonstandard typefaces.

## C. EQUATIONS

To make your equations more compact, you may use the solidus (/), the exp function, or appropriate exponents. Use parentheses to avoid ambiguities in denominators. Punctuate equations when they are part of a sentence, as in

$$x = \frac{11111111}{12345679} = 9. \quad (1)$$

Note that  $x$  is math mode because it is a variable. Be sure that the symbols in your equation have been defined before the equation appears or immediately following. Italicize symbols ( $T$  might refer to temperature, but  $T$  is the unit tesla). Refer to “(1),” not “Eq. (1)” or “equation (1),” except at the beginning of a sentence: “Equation (1) is ...”

## D. Algorithms

Algorithms should be numbered and include a short title. They are set off from the text with rules above and below the title and after the last line.

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## Algorithm 1 Weighted Tanimoto ELM.

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TRAIN( $\mathbf{X}, \mathbf{T}$ )

  select randomly  $W \subset \mathbf{X}$

$N_t \leftarrow |\{i : \mathbf{t}_i = \mathbf{t}\}|$  for  $\mathbf{t} = -1, +1$

$B_i \leftarrow \sqrt{\text{MAX}(N_{-1}, N_{+1})/N_{t_i}}$  for  $i = 1, \dots, N$

$\hat{\mathbf{H}} \leftarrow B \cdot (\mathbf{X}^T \mathbf{W}) / (\|\mathbf{X}\| + \|\mathbf{W}\| - \mathbf{X}^T \mathbf{W})$

$\beta \leftarrow (I/C + \hat{\mathbf{H}}^T \hat{\mathbf{H}})^{-1} (\hat{\mathbf{H}}^T B \cdot \mathbf{T})$

  return  $\mathbf{W}, \beta$

PREDICT( $\mathbf{X}$ )

$\mathbf{H} \leftarrow (\mathbf{X}^T \mathbf{W}) / (\|\mathbf{X}\| + \|\mathbf{W}\| - \mathbf{X}^T \mathbf{W})$

  return SIGN( $\mathbf{H}\beta$ )

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## E. L<sup>A</sup>T<sub>E</sub>X-SPECIFIC ADVICE

Please use “soft” (e.g., `\eqref{Eq}`) cross references instead of “hard” references (e.g., (1)). That will make it possible to combine sections, add equations, or change the order of figures or citations without having to go through the file line by line.

Please don’t use the `{eqnarray}` equation environment. Use `{align}` or `{IEEEeqnarray}` instead. The `{eqnarray}` environment leaves unsightly spaces around relation symbols.

Please note that the `{subequations}` environment in L<sup>A</sup>T<sub>E</sub>X will increment the main equation counter even when there are no equation numbers displayed. If you forget that, you might write an article in which the equation numbers skip from (17) to (20), causing the copy editors to wonder if you’ve discovered a new method of counting.

BIB<sub>L</sub>T<sub>E</sub>X gets the bibliographic data from .bib files. If you use BIB<sub>L</sub>T<sub>E</sub>X to produce a bibliography you must send either the .bbl file or the .bib files. If you use bib<sub>l</sub>at<sub>e</sub>x, please send the .bib file. The .bbl file generated by bib<sub>l</sub>at<sub>e</sub>x is not designed to be edited.

If you assign the same label to a subsection and a table, you might find that Table 1 has been cross referenced as Table IV-B3.

If you put a `\label` command before the command that updates the counter it’s supposed to be using, the label will pick up the last counter to be cross referenced instead. In particular, a `\label` command should not go before the caption of a figure or a table.

Do not use `\nonumber` or `\notag` inside the `{array}` environment. It will not stop equation numbers inside `{array}` (there won’t be any anyway) and it might stop a wanted equation number in the surrounding equation.

## II. UNITS

Use either SI (MKS) or CGS as primary units. (SI units are strongly encouraged.) English units may be used as secondary units (in parentheses). This applies to papers in data storage. For example, write “15 Gb/cm<sup>2</sup> (100 Gb/in<sup>2</sup>).” An exception is when English units are used as identifiers in

trade, such as “3½-in disk drive.” Avoid combining SI and CGS units, such as current in amperes and magnetic field in oersteds. This often leads to confusion because equations do not balance dimensionally. If you must use mixed units, clearly state the units for each quantity in an equation.

The SI unit for magnetic field strength  $H$  is A/m. However, if you wish to use units of T, either refer to magnetic flux density  $B$  or magnetic field strength symbolized as  $\mu_0 H$ . Use the center dot to separate compound units, e.g., “A•m<sup>2</sup>.”

### III. SOME COMMON MISTAKES

The word “data” is plural, not singular. The subscript for the permeability of vacuum  $\mu_0$  is zero, not a lowercase letter “o.” The term for residual magnetization is “remanence”; the adjective is “remanent”; do not write “remnance” or “remnant.” Use the word “micrometer” instead of “micron.” A graph within a graph is an “inset,” not an “insert.” The word “alternatively” is preferred to the word “alternately” (unless you really mean something that alternates). Use the word “whereas” instead of “while” (unless you are referring to simultaneous events). Do not use the word “essentially” to mean “approximately” or “effectively.” Do not use the word “issue” as a euphemism for “problem.” When compositions are not specified, separate chemical symbols by en-dashes; for example, “NiMn” indicates the intermetallic compound Ni<sub>0.5</sub>Mn<sub>0.5</sub> whereas “Ni–Mn” indicates an alloy of some composition Ni<sub>*x*</sub>Mn<sub>1–*x*</sub>.

Be aware of the different meanings of the homophones “affect” (usually a verb) and “effect” (usually a noun), “complement” and “compliment,” “discreet” and “discrete,” “principal” (e.g., “principal investigator”) and “principle” (e.g., “principle of measurement”). Do not confuse “imply” and “infer.”

Prefixes such as “non,” “sub,” “micro,” “multi,” and “ultra” are not independent words; they should be joined to the words they modify, usually without a hyphen. There is no period after the “et” in the Latin abbreviation “et al.” The abbreviation “i.e.,” means “that is,” and the abbreviation “e.g.,” means “for example” (these abbreviations are not italicized).

IEEE styleguides are available at <https://journals.ieeeauthorcenter.ieee.org/create-your-ieee-journal-article/create-the-text-of-your-article/ieee-editorial-style-manual/>.

## IV. GUIDELINES FOR GRAPHICS PREPARATION AND SUBMISSION

### A. TYPES OF GRAPHICS

The following list outlines the different types of graphics published in IEEE journals. They are categorized based on their construction, and use of color / shades of gray:

**TABLE 1. Units for Magnetic Properties**

Symbol	Quantity	Conversion from Gaussian and CGS EMU to SI <sup>a</sup>
$\Phi$	magnetic flux	1 Mx $\rightarrow$ 10 <sup>-8</sup> Wb = 10 <sup>-8</sup> V•s
$B$	magnetic flux density, magnetic induction	1 G $\rightarrow$ 10 <sup>-4</sup> T = 10 <sup>-4</sup> Wb/m <sup>2</sup>
$H$	magnetic field strength	1 Oe $\rightarrow$ 10 <sup>3</sup> /(4 $\pi$ ) A/m
$m$	magnetic moment	1 erg/G = 1 emu $\rightarrow$ 10 <sup>-3</sup> A•m <sup>2</sup> = 10 <sup>-3</sup> J/T
$M$	magnetization	1 erg/(G•cm <sup>3</sup> ) = 1 emu/cm <sup>3</sup> $\rightarrow$ 10 <sup>3</sup> A/m
$4\pi M$	magnetization	1 G $\rightarrow$ 10 <sup>3</sup> /(4 $\pi$ ) A/m
$\sigma$	specific magnetization	1 erg/(G•g) = 1 emu/g $\rightarrow$ 1 A•m <sup>2</sup> /kg
$j$	magnetic dipole moment	1 erg/G = 1 emu $\rightarrow$ 4 $\pi$ $\times$ 10 <sup>-10</sup> Wb•m
$J$	magnetic polarization	1 erg/(G•cm <sup>3</sup> ) = 1 emu/cm <sup>3</sup> $\rightarrow$ 4 $\pi$ $\times$ 10 <sup>-4</sup> T
$\chi, \kappa$	susceptibility	1 $\rightarrow$ 4 $\pi$
$\chi_\rho$	mass susceptibility	1 cm <sup>3</sup> /g $\rightarrow$ 4 $\pi$ $\times$ 10 <sup>-3</sup> m <sup>3</sup> /kg
$\mu$	permeability	1 $\rightarrow$ 4 $\pi$ $\times$ 10 <sup>-7</sup> H/m = 4 $\pi$ $\times$ 10 <sup>-7</sup> Wb/(A•m)
$\mu_r$	relative permeability	$\mu \rightarrow \mu_r$
$w, W$	energy density	1 erg/cm <sup>3</sup> $\rightarrow$ 10 <sup>-1</sup> J/m <sup>3</sup>
$N, D$	demagnetizing factor	1 $\rightarrow$ 1/(4 $\pi$ )

Vertical lines are optional in tables. Statements that serve as captions for the entire table do not need footnote letters.

<sup>a</sup>Gaussian units are the same as cg emu for magnetostatics; Mx = maxwell, G = gauss, Oe = oersted; Wb = weber, V = volt, s = second, T = tesla, m = meter, A = ampere, J = joule, kg = kilogram, H = henry.

#### 1) Color/Grayscale Figures

Figures that are meant to appear in color, or shades of black/gray. Such figures may include photographs, illustrations, multicolor graphs, and flowcharts.

#### 2) Line Art Figures

Figures that are composed of only black lines and shapes. These figures should have no shades or half-tones of gray, only black and white.

#### 3) Author Photos

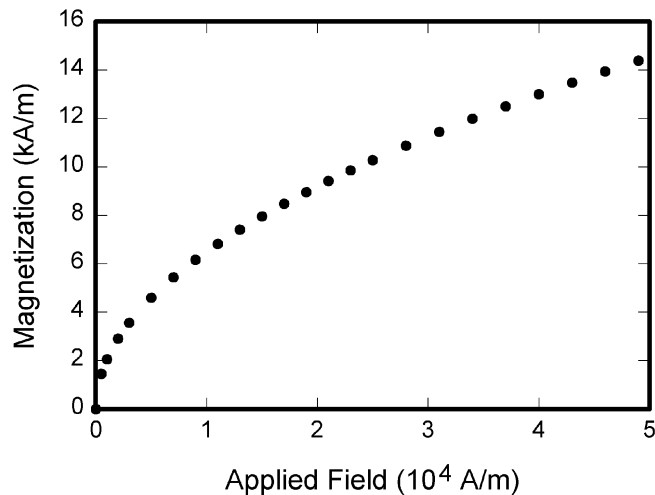
Head and shoulders shots of authors that appear at the end of our papers.

#### 4) Tables

Data charts which are typically black and white, but sometimes include color.

### B. MULTIPART FIGURES

Figures compiled of more than one sub-figure presented side-by-side, or stacked. If a multipart figure is made up



**FIGURE 1.** Magnetization as a function of applied field. There is a period after the figure number, followed by two spaces. It is good practice to explain the significance of the figure in the caption.

of multiple figure types (one part is lineart, and another is grayscale or color) the figure should meet the stricter guidelines.

### C. FILE FORMATS FOR GRAPHICS

Format and save your graphics using a suitable graphics processing program that will allow you to create the images as PostScript (PS), Encapsulated PostScript (.EPS), Tagged Image File Format (.TIFF), Portable Document Format (.PDF), or Portable Network Graphics (.PNG) sizes them, and adjusts the resolution settings. If you created your source files in one of the following programs you will be able to submit the graphics without converting to a PS, EPS, TIFF, PDF, or PNG file: Microsoft Word, Microsoft PowerPoint, or Microsoft Excel. Though it is not required, it is strongly recommended that these files be saved in PDF format rather than DOC, XLS, or PPT. Doing so will protect your figures from common font and arrow stroke issues that occur when working on the files across multiple platforms. When submitting your final paper, your graphics should all be submitted individually in one of these formats along with the manuscript.

### D. SIZING OF GRAPHICS

Most charts, graphs, and tables are one column wide (3.5 inches / 88 millimeters / 21 picas) or page wide (7.16 inches / 181 millimeters / 43 picas). The maximum depth a graphic can be is 8.5 inches (216 millimeters / 54 picas). When choosing the depth of a graphic, please allow space for a caption. Figures can be sized between column and page widths if the author chooses, however it is recommended that figures are not sized less than column width unless when necessary.

The final printed size of author photographs is exactly 1 inch wide by 1.25 inches tall (25.4 millimeters x 31.75

millimeters / 6 picas x 7.5 picas). Author photos printed in editorials measure 1.59 inches wide by 2 inches tall (40 millimeters x 50 millimeters / 9.5 picas x 12 picas).

### E. RESOLUTION

The proper resolution of your figures will depend on the type of figure it is as defined in the “Types of Figures” section. Author photographs, color, and grayscale figures should be at least 300dpi. Line art, including tables should be a minimum of 600dpi.

### F. VECTOR ART

In order to preserve the figures’ integrity across multiple computer platforms, we accept files in the following formats: .EPS/.PDF/.PS. All fonts must be embedded or text converted to outlines in order to achieve the best-quality results.

### G. COLOR SPACE

The term color space refers to the entire sum of colors that can be represented within the said medium. For our purposes, the three main color spaces are Grayscale, RGB (red/green/blue) and CMYK (cyan/magenta/yellow/black). RGB is generally used with on-screen graphics, whereas CMYK is used for printing purposes.

All color figures should be generated in RGB or CMYK color space. Grayscale images should be submitted in Grayscale color space. Line art may be provided in grayscale OR bitmap colorspace. Note that “bitmap colorspace” and “bitmap file format” are not the same thing. When bitmap color space is selected, .TIF/.TIFF/.PNG are the recommended file formats.

### H. ACCEPTED FONTS WITHIN FIGURES

When preparing your graphics IEEE suggests that you use of one of the following Open Type fonts: Times New Roman, Helvetica, Arial, Cambria, and Symbol. If you are supplying EPS, PS, or PDF files all fonts must be embedded. Some fonts may only be native to your operating system; without the fonts embedded, parts of the graphic may be distorted or missing.

A safe option when finalizing your figures is to strip out the fonts before you save the files, creating “outline” type. This converts fonts to artwork what will appear uniformly on any screen.

### I. USING LABELS WITHIN FIGURES

#### 1) Figure Axis Labels

a) Figure axis labels are often a source of confusion. Use words rather than symbols. As an example, write the quantity “Magnetization,” or “Magnetization  $M$ ,” not just “ $M$ .” Put units in parentheses. Do not label axes only with units. As in Figure 1, for example, write “Magnetization (A/m)” or “Magnetization ( $A \cdot m^{-1}$ ),” not just “A/m.” Do not label

axes with a ratio of quantities and units. For example, write “Temperature (K),” not “Temperature/K.”

b) Multipliers can be especially confusing. Write “Magnetization (kA/m)” or “Magnetization ( $10^3$  A/m).” Do not write “Magnetization (A/m)  $\times 1000$ ” because the reader would not know whether the top axis label in Figure 1 meant 16000 A/m or 0.016 A/m. Figure labels should be legible, approximately 8 to 10 point type.

## 2) Subfigure Labels in Multipart Figures and Tables

Multipart figures should be combined and labeled before final submission. Labels should appear centered below each subfigure in 8 point Times New Roman font in the format of (a) (b) (c).

### J. FILE NAMING

Figures (line artwork or photographs) should be named starting with the first 5 letters of the author’s last name. The next characters in the filename should be the number that represents the sequential location of this image in your article. For example, in author “Anderson’s” paper, the first three figures would be named *ander1.tif*, *ander2.tif*, and *ander3.ps*.

Tables should contain only the body of the table (not the caption) and should be named similarly to figures, except that “.t” is inserted in-between the author’s name and the table number. For example, author Anderson’s first three tables would be named *ander.t1.tif*, *ander.t2.ps*, and *ander.t3.eps*.

Author photographs should be named using the first five characters of the pictured author’s last name. For example, four author photographs for a paper may be named: *open.ps*, *moshc.tif*, *chen.eps*, and *duran.pdf*.

If two authors or more have the same last name, their first initial(s) can be substituted for the fifth, fourth, third... letters of their surname until the degree where there is differentiation. For example, two authors Michael and Monica Oppenheimer’s photos would be named *oppmi.tif*, and *oppmo.eps*.

### K. REFERENCING A FIGURE OR TABLE WITHIN YOUR PAPER

When referencing your figures and tables within your paper, use Figure and Table. Do not abbreviate. Tables should be numbered with Arabic Numerals.

### L. SUBMITTING YOUR GRAPHICS

Because IEEE will do the final formatting of your paper, you do not need to position figures and tables at the top and bottom of each column. In fact, all figures, figure captions, and tables can be placed at the end of your paper. In addition to, or even in lieu of submitting figures within your final manuscript, figures should be submitted individually, separate from the manuscript in one of the file formats listed above in Section VI-J. Place figure captions below the figures; place table titles above the tables. Please do

not include captions as part of the figures, or put them in “text boxes” linked to the figures. Also, do not place borders around the outside of your figures.

### M. COLOR PROCESSING / PRINTING IN IEEE JOURNALS

Electronically published journals allow authors to use color without extra charge. For journals that will print, the charge for print color is \$275 per color figure. Figures for print journals will otherwise appear in color online, black and white in print (free, no charge).

### V. CONCLUSION

A conclusion section is not required. Although a conclusion may review the main points of the paper, do not replicate the abstract as the conclusion. A conclusion might elaborate on the importance of the work or suggest applications and extensions.

### APPENDIX

Appendices, if needed, appear before the acknowledgment.

### REFERENCES AND FOOTNOTES

#### A. REFERENCES

References need not be cited in text. When they are, they appear on the line, in square brackets, inside the punctuation. Multiple references are each numbered with separate brackets. When citing a section in a book, please give the relevant page numbers. In text, refer simply to the reference number. Do not use “Ref.” or “reference” except at the beginning of a sentence: “Reference [3] shows . . .” Please do not use automatic endnotes in *Word*, rather, type the reference list at the end of the paper using the “References” style.

Reference numbers are set flush left and form a column of their own, hanging out beyond the body of the reference. The reference numbers are on the line, enclosed in square brackets. In all references, the given name of the author or editor is abbreviated to the initial only and precedes the last name. Use them all; use *et al.* only if names are not given. Abbreviate conference titles. When citing IEEE Transactions, provide the issue number, page range, volume number, year, and/or month if available. When referencing a patent, provide the day and the month of issue, or application. References may not include all information; please obtain and include relevant information. Do not combine references. There must be only one reference with each number. If there is a URL included with the print reference, it can be included at the end of the reference.

Other than books, capitalize only the first word in a paper title, except for proper nouns and element symbols. For papers published in translation journals, please give the English citation first, followed by the original foreign-language citation. See the end of this document for formats and examples of common references. For a complete discussion of references and their formats, see the IEEE style manual at <https://journals.ieeeauthorcenter.ieee.org/>



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## B. FOOTNOTES

Number footnotes separately in superscripts (Insert|Footnote).<sup>1</sup> Place the actual footnote at the bottom of the column in which it is cited; do not put footnotes in the reference list (endnotes). Use letters for table footnotes (see Table 1).

## VI. SUBMITTING YOUR PAPER FOR REVIEW

### A. REVIEW STAGE USING SCHOLARONE MANUSCRIPTS

Contributions to the Transactions, Journals, and Letters may be submitted electronically on IEEE's online manuscript submission and peer-review system, ScholarOne Manuscripts. You can get help choosing the correct publication for your manuscript as well as find their corresponding ScholarOne Manuscripts peer review site using the tools listed at [http://www.ieee.org/publications\\_standards/publications/authors/authors\\_submission.html](http://www.ieee.org/publications_standards/publications/authors/authors_submission.html). Once you have chosen your publication and navigated to the ScholarOne site, check first to see if you have an existing account. If there is none, please create a new account. After logging in, go to your Author Center and click "Start New Submission."

Along with other information, you will be asked to select the manuscript type from the journal's pre-determined list of options. Depending on the journal, there are various steps to the submission process; please make sure to carefully answer all of the submission questions presented to you. At the end of each step you must click "Save and Continue"; just uploading the paper is not sufficient. After the last step, you should see a confirmation that the submission is complete. You should also receive an e-mail confirmation. For inquiries regarding the submission of your paper on ScholarOne Manuscripts, please contact [opr-s-support@ieee.org](mailto:opr-s-support@ieee.org) or call +1 732 465 5861.

ScholarOne Manuscripts will accept files for review in various formats. There is a "Journal Home" link on the log-in page of each ScholarOne Manuscripts site that will bring you to the journal's homepage with their detailed requirements; please check these guidelines for your particular journal before you submit.

### B. FINAL STAGE USING SCHOLARONE MANUSCRIPTS

Upon acceptance, you will receive an email with specific instructions regarding the submission of your final files. To avoid any delays in publication, please be sure to follow these instructions. Final submissions should include source files of your accepted manuscript, high quality graphic files (if not embedded in your source file), and a formatted pdf file. The accepted version of your manuscript will also be

sent to the IEEE publication teams for a comparison to the final files to ensure no significant or unauthorized changes were made after acceptance. If you have any questions regarding the final submission process, please contact the administrative contact for the journal.

When submitting your final files on a hybrid OA journal you will have the opportunity to designate your article as "open access" if you agree to pay the IEEE open access fee. Please select the appropriate choice. Immediately after you have submitted your final files through ScholarOne Manuscripts you will be automatically redirected to the IEEE electronic copyright form wizard. Please complete the copyright at that time to avoid publication delays.

### C. COPYRIGHT FORM

Authors must submit an electronic IEEE Copyright Form (eCF) upon submitting their final manuscript files. You can access the eCF system through your manuscript submission system or through the Author Gateway. You are responsible for obtaining any necessary approvals and/or security clearances. For additional information on intellectual property rights, visit the IEEE Intellectual Property Rights department web page at <https://www.ieee.org/publications/rights/index.html>.

## VII. IEEE GUIDELINES AND POLICIES

A full overview of IEEE publishing guidelines and policies can be found at <https://journals.ieeeauthorcenter.ieee.org/become-an-ieee-journal-author/publishing-ethics/guidelines-and-policies/>. They are designed to help authors understand and navigate the publishing process successfully. Learn more about IEEE's fundamental publishing guidelines and principles, submission and peer review policies, post-publication policies, and guidelines on advertising, accessibility, and data privacy.

## ACKNOWLEDGMENT

The preferred spelling of the word "acknowledgment" in American English is without an "e" after the "g." Use the singular heading even if you have many acknowledgments. Avoid expressions such as "One of us (S.B.A.) would like to thank . . . ." Instead, write "F. A. Author thanks . . . ." In most cases, sponsor and financial support acknowledgments are placed in the unnumbered footnote on the first page, not here.

## REFERENCES

*Basic format for books:*

J. K. Author, "Title of chapter in the book," in *Title of His Published Book*, xth ed. City of Publisher, (only U.S. State), Country: Abbrev. of Publisher, year, ch. *x*, sec. *x*, pp. *xxx-xxx*.

*Examples:*

<sup>1</sup>It is recommended that footnotes be avoided. Instead, try to integrate the footnote information into the text.

- [1] G. O. Young, "Synthetic structure of industrial plastics," in *Plastics*, 2<sup>nd</sup> ed., vol. 3, J. Peters, Ed. New York, NY, USA: McGraw-Hill, 1964, pp. 15–64.
- [2] W.-K. Chen, *Linear Networks and Systems*. Belmont, CA, USA: Wadsworth, 1993, pp. 123–135.

#### Basic format for periodicals:

J. K. Author, "Name of paper," *Abbrev. Title of Periodical*, vol. *x*, no. *x*, pp. *xxx-xxx*, Abbrev. Month, year, DOI. 10.1109. XXX.123456.

#### Examples:

- [1] J. U. Duncombe, "Infrared navigation—Part I: An assessment of feasibility," *IEEE Trans. Electron Devices*, vol. ED-11, no. 1, pp. 34–39, Jan. 1959, doi: 10.1109/TED.2016.2628402.
- [2] E. P. Wigner, "Theory of traveling-wave optical laser," *Phys. Rev.*, vol. 134, pp. A635–A646, Dec. 1965, doi: 10.1109. XXX.123456.
- [3] E. H. Miller, "A note on reflector arrays," *IEEE Trans. Antennas Propagat.*, to be published.

#### Basic format for reports:

J. K. Author, "Title of report," Abbrev. Name of Co., City of Co., Abbrev. State, Country, Rep. *xxx*, year.

#### Examples:

- [1] E. E. Reber, R. L. Michell, and C. J. Carter, "Oxygen absorption in the earth's atmosphere," Aerospace Corp., Los Angeles, CA, USA, Tech. Rep. TR-0200 (4230-46)-3, Nov. 1988.
- [2] J. H. Davis and J. R. Cogdell, "Calibration program for the 16-foot antenna," Elect. Eng. Res. Lab., Univ. Texas, Austin, TX, USA, Tech. Memo. NGL-006-69-3, Nov. 15, 1987.

#### Basic format for handbooks:

Name of Manual/Handbook, *x* ed., Abbrev. Name of Co., City of Co., Abbrev. State, Country, year, pp. *xxx-xxx*.

#### Examples:

- [1] *Transmission Systems for Communications*, 3rd ed., Western Electric Co., Winston-Salem, NC, USA, 1985, pp. 44–60.
- [2] *Motorola Semiconductor Data Manual*, Motorola Semiconductor Products Inc., Phoenix, AZ, USA, 1989.

#### Basic format for books (when available online):

J. K. Author, "Title of chapter in the book," in *Title of Published Book*, *x*th ed. City of Publisher, State, Country: Abbrev. of Publisher, year, ch.*x*, sec. *x*, pp. *xxx-xxx*. [Online]. Available: <http://www.web.com>

#### Examples:

- [1] G. O. Young, "Synthetic structure of industrial plastics," in *Plastics*, vol. 3, Polymers of Hexadromicon, J. Peters, Ed., 2nd ed. New York, NY, USA: McGraw-Hill, 1964, pp. 15–64. [Online]. Available: <http://www.bookref.com>.
- [2] *The Founders' Constitution*, Philip B. Kurland and Ralph Lerner, eds., Chicago, IL, USA: Univ. Chicago Press, 1987. [Online]. Available: <http://press-pubs.uchicago.edu/founders/>
- [3] The Terahertz Wave eBook. ZOmega Terahertz Corp., 2014. [Online]. Available: [http://dl.z-thz.com/eBook/zomega\\_ebook\\_pdf\\_1206\\_sr.pdf](http://dl.z-thz.com/eBook/zomega_ebook_pdf_1206_sr.pdf). Accessed on: May 19, 2014.
- [4] Philip B. Kurland and Ralph Lerner, eds., *The Founders' Constitution*. Chicago, IL, USA: Univ. of Chicago Press, 1987, Accessed on: Feb. 28, 2010, [Online] Available: <http://press-pubs.uchicago.edu/founders/>

#### Basic format for journals (when available online):

J. K. Author, "Name of paper," *Abbrev. Title of Periodical*, vol. *x*, no. *x*, pp. *xxx-xxx*, Abbrev. Month, year. Accessed on: Month, Day, year, doi: 10.1109. XXX.123456, [Online].

#### Examples:

- [1] J. S. Turner, "New directions in communications," *IEEE J. Sel. Areas Commun.*, vol. 13, no. 1, pp. 11–23, Jan. 1995. DOI. 10.1109. XXX.123456.
- [2] W. P. Risk, G. S. Kino, and H. J. Shaw, "Fiber-optic frequency shifter using a surface acoustic wave incident at an oblique angle," *Opt. Lett.*, vol. 11, no. 2, pp. 115–117, Feb. 1986, doi: 10.1109. XXX.123456.
- [3] P. Kopyt *et al.*, "Electric properties of graphene-based conductive layers from DC up to terahertz range," *IEEE THz Sci. Technol.*, to be published, doi: 10.1109/TTHZ.2016.2544142.

#### Basic format for papers presented at conferences (when available online):

J.K. Author. (year, month). Title. presented at abbrev. conference title. [Type of Medium]. Available: site/path/file

#### Example:

- [1] PROCESS Corporation, Boston, MA, USA. Intranets: Internet technologies deployed behind the firewall for corporate productivity. Presented at INET96 Annual Meeting. [Online]. Available: <http://home.process.com/Intranets/wp2.htm>

#### Basic format for reports and handbooks (when available online):

J. K. Author. "Title of report," Company. City, State, Country. Rep. no., (optional: vol./issue), Date. [Online] Available: site/path/file

#### Examples:

- [1] R. J. Hijmans and J. van Etten, "Raster: Geographic analysis and modeling with raster data," R Package Version 2.0-12, Jan. 12, 2012. [Online]. Available: <http://CRAN.R-project.org/package=raster>
- [2] Teralyzer. Lytera UG, Kirchhain, Germany [Online]. Available: [http://www.lytera.de/Terahertz\\_THz\\_Spectroscopy.php?id=home](http://www.lytera.de/Terahertz_THz_Spectroscopy.php?id=home), Accessed on: Jun. 5, 2014

#### Basic format for computer programs and electronic documents (when available online):

Legislative body. Number of Congress, Session. (year, month day). Number of bill or resolution, Title. [Type of medium]. Available: site/path/file

NOTE: ISO recommends that capitalization follow the accepted practice for the language or script in which the information is given.

#### Example:

- [1] U.S. House. 102nd Congress, 1st Session. (1991, Jan. 11). *H. Con. Res. 1, Sense of the Congress on Approval of Military Action*. [Online]. Available: LEXIS Library: GENFED File: BILLS

#### Basic format for patents (when available online):

Name of the invention, by inventor's name. (year, month day). Patent Number [Type of medium]. Available: site/path/file

#### Example:

- [1] Musical toothbrush with mirror, by L.M.R. Brooks. (1992, May 19). Patent D 326 189 [Online]. Available: NEXIS Library: LEX-PAT File: DES

#### Basic format for conference proceedings (published):

J. K. Author, "Title of paper," in *Abbreviated Name of Conf.*, City of Conf., Abbrev. State (if given), Country, year, pp. *xxxxxx*.

#### Example:

- [1] D. B. Payne and J. R. Stern, "Wavelength-switched passively coupled single-mode optical network," in *Proc. IOOC-ECOC*, Boston, MA, USA, 1985, pp. 585–590, doi: 10.1109. XXX.123456.

#### Example for papers presented at conferences (unpublished):

- [1] D. Ebehard and E. Voges, "Digital single sideband detection for interferometric sensors," presented at the *2nd Int. Conf. Optical Fiber Sensors*, Stuttgart, Germany, Jan. 2-5, 1984.

#### Basic format for patents:

J. K. Author, "Title of patent," U.S. Patent x xxx xxx, Abbrev. Month, day, year.

##### Example:

- [1] G. Brandli and M. Dick, "Alternating current fed power supply," U.S. Patent 4 084 217, Nov. 4, 1978.

#### Basic format for theses (M.S.) and dissertations (Ph.D.):

- a) J. K. Author, "Title of thesis," M.S. thesis, Abbrev. Dept., Abbrev. Univ., City of Univ., Abbrev. State, year.
- b) J. K. Author, "Title of dissertation," Ph.D. dissertation, Abbrev. Dept., Abbrev. Univ., City of Univ., Abbrev. State, year.

##### Examples:

- [1] J. O. Williams, "Narrow-band analyzer," Ph.D. dissertation, Dept. Elect. Eng., Harvard Univ., Cambridge, MA, USA, 1993.
- [2] N. Kawasaki, "Parametric study of thermal and chemical nonequilibrium nozzle flow," M.S. thesis, Dept. Electron. Eng., Osaka Univ., Osaka, Japan, 1993.

#### Basic format for the most common types of unpublished references:

- a) J. K. Author, private communication, Abbrev. Month, year.
- b) J. K. Author, "Title of paper," unpublished.
- c) J. K. Author, "Title of paper," to be published.

##### Examples:

- [1] A. Harrison, private communication, May 1995.
- [2] B. Smith, "An approach to graphs of linear forms," unpublished.
- [3] A. Brahms, "Representation error for real numbers in binary computer arithmetic," IEEE Computer Group Repository, Paper R-67-85.

#### Basic formats for standards:

- a) *Title of Standard*, Standard number, date.
- b) *Title of Standard*, Standard number, Corporate author, location, date.

##### Examples:

- [1] IEEE Criteria for Class IE Electric Systems, IEEE Standard 308, 1969.
- [2] Letter Symbols for Quantities, ANSI Standard Y10.5-1968.

#### Article number in reference examples:

- [1] R. Fardel, M. Nagel, F. Nuesch, T. Lippert, and A. Wokaun, "Fabrication of organic light emitting diode pixels by laser-assisted forward transfer," *Appl. Phys. Lett.*, vol. 91, no. 6, Aug. 2007, Art. no. 061103, doi: 10.1109. XXX .123456.
- [2] J. Zhang and N. Tansu, "Optical gain and laser characteristics of InGaN quantum wells on ternary InGaP substrates," *IEEE Photon. J.*, vol. 5, no. 2, Apr. 2013, Art. no. 2600111, doi: 10.1109. XXX .123456.

#### Example when using et al.:

- [1] S. Azodolmolky et al., Experimental demonstration of an impairment aware network planning and operation tool for transparent/translucent optical networks," *J. Lightw. Technol.*, vol. 29, no. 4, pp. 439-448, Sep. 2011,doi: 10.1109. XXX .123456.

#### Basic format for datasets:

Author, Date, Year. "Title of Dataset," distributed by Publisher/Distributor, <http://url.com> (or if DOI is used, end with a period)

##### Example:

- [1] U.S. Department of Health and Human Services, Aug. 2013, "Treatment Episode Dataset: Discharges (TEDS-D): Concatenated, 2006 to 2009," U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Office of Applied Studies, doi: 10.3886/ICPSR30122.v2.

#### Basic format for code:

Author, Date published or disseminated, Year. "Complete title, including ed./vers.#," distributed by Publisher/Distributor, <http://url.com> (or if DOI is used, end with a period)

##### Example:

- [1] T. D'Martin and S. Soares, 2019, "Code for Assessment of Markov Decision Processes in Long-Term Hydrothermal Scheduling of Single-Reservoir Systems (Version 1.0)," Code Ocean, doi: \_1.24433/CO.7212286.v1



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