

# Triangularizable matrix

$A$  is called triangularizable iff  $\exists T : T$  is a triangular matrix :  $A \sim T$

$$A \sim T \iff P_A(\lambda) \text{ is factorizable into linear factors}$$

$$A = \begin{pmatrix} 1 & 2 & 3 \\ 4 & 0 & 2 \\ 0 & 6 & 0 \end{pmatrix}$$

Over  $\mathbb{C}$  – all matrices are triangularizable

Over  $\mathbb{R}$ ?

$$\begin{aligned} P_A(\lambda) &= \begin{vmatrix} \lambda - 1 & -2 & -3 \\ -4 & \lambda & -2 \\ 0 & -6 & \lambda \end{vmatrix} = 6 \cdot \begin{vmatrix} \lambda - 1 & -3 \\ -4 & -2 \end{vmatrix} + \lambda \begin{vmatrix} \lambda - 1 & -2 \\ -4 & \lambda \end{vmatrix} = \\ &= 12 - 12\lambda - 72 + \lambda^2(\lambda - 1) - 8\lambda = \\ &= \lambda^3 - \lambda^2 - 20\lambda - 60 = -(\lambda - 6)(\lambda^2 + 5\lambda + 10) \\ &\implies A \approx T \end{aligned}$$

Let  $A \in \mathbb{R}^{n \times n}$  be nilpotent

Determine whether  $A$  is triangularizable

Determine whether  $A$  is diagonalizable

Solution:

$$\begin{aligned} A \sim D &\iff A = 0 \\ P_A(\lambda) = \lambda^n &\implies A \sim T \end{aligned}$$

# Triangularization

1. Find  $P_A(\lambda)$  and eigenvalues
2. Find eigenspace for each eigenvalue
3. Add vectors to the union of eigenspaces to get a basis of  $\mathbb{F}^n$
4. Assign each vector from the basis to be a column of  $P$

$$\text{Resulting matrix is: } P^{-1}AP = \begin{pmatrix} D & * \\ 0 & B \end{pmatrix}$$

Where  $D$  is a diagonal matrix with eigenvalues on the diagonal

Each eigenvalue is featured  $\gamma_A(\lambda_i)$  times

And  $B$  is a matrix with eigenvalues of  $A$

For each eigenvalue:  $\gamma_B(\lambda_i) = \mu_A(\lambda_i) - \gamma_A(\lambda_i)$

5.Repeat for  $B$

6. Repeat until not triangularized

$$\begin{aligned}
A &= \begin{pmatrix} -1 & -3 & -4 & -5 \\ 1 & 1 & -1 & -3 \\ 2 & 5 & 9 & 12 \\ -1 & -2 & -3 & -3 \end{pmatrix} \\
P_A(\lambda) &= \begin{pmatrix} \lambda+1 & 3 & 4 & 5 & \lambda-1 & \lambda-1 & \lambda-1 & \lambda-1 \\ -1 & \lambda-1 & 1 & 3 & -1 & \lambda-1 & 1 & 3 \\ -2 & -5 & \lambda-9 & -12 & -2 & -5 & \lambda-9 & -12 \\ 1 & 2 & 3 & \lambda+3 & 1 & 2 & 3 & \lambda+3 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \end{pmatrix} \stackrel{R_1+R_i}{=} \begin{pmatrix} \lambda-1 & -1 & \lambda & 2 & 4 \\ -2 & -3 & \lambda-7 & -10 & -10 \\ 1 & 1 & 2 & \lambda+2 & \lambda+2 \\ \lambda & 2 & 4 & 3\lambda-4 & 3\lambda-4 \\ 1 & 2 & \lambda+2 & \lambda+2 & \lambda+2 \end{pmatrix} \\
&= (\lambda-1) \begin{pmatrix} -1 & \lambda-1 & 1 & 3 \\ -2 & -5 & \lambda-9 & -12 \\ 1 & 2 & 3 & \lambda+3 \\ \lambda & 2 & 4 & 3\lambda-4 \\ 1 & 2 & \lambda+2 & \lambda+2 \end{pmatrix} = (\lambda-1) \begin{pmatrix} -1 & \lambda & 2 & 4 \\ -2 & -3 & \lambda-7 & -10 \\ 1 & 1 & 2 & \lambda+2 \\ \lambda & 2 & 4 & 3\lambda-4 \\ 1 & 2 & \lambda+2 & \lambda+2 \end{pmatrix} \\
&= (\lambda-1)(\lambda(\lambda-1)(\lambda+2) - 2\lambda(3\lambda-4) + (6\lambda-8-4\lambda+4)) = \\
&= (\lambda-1)(\lambda(\lambda+2)(\lambda-1) - 6\lambda(\lambda-1) + 4(\lambda-1)) = \\
&= (\lambda-1)^2(\lambda(\lambda+2) - 6\lambda + 4) = (\lambda-1)^2(\lambda-2)^2 \\
\lambda=1 &\implies \begin{pmatrix} 2 & 3 & 4 & 5 \\ -1 & 0 & 1 & 3 \\ -2 & -5 & -8 & -12 \\ 1 & 2 & 3 & 4 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 4 \\ 0 & -3 & -6 & -10 \\ 0 & 1 & 2 & 3 \end{pmatrix} \\
&\rightarrow \begin{pmatrix} 1 & 1 & 1 & 1 \\ 0 & 1 & 2 & 4 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix} \implies E_1 = sp \left\{ \begin{pmatrix} 1 \\ -2 \\ 1 \\ 0 \end{pmatrix} \right\} \\
&\dots \implies E_2 = sp \left\{ \begin{pmatrix} 1 \\ 0 \\ -2 \\ 1 \end{pmatrix} \right\} \\
&\implies P = \begin{pmatrix} 1 & 1 & 0 & 0 \\ -2 & 0 & 0 & 0 \\ 1 & -2 & 1 & 0 \\ 0 & 1 & 0 & 1 \end{pmatrix} \\
P^{-1} &= \begin{pmatrix} 0 & -\frac{1}{2} & 0 & 0 \\ 1 & \frac{1}{2} & 0 & 0 \\ 2 & \frac{3}{2} & 1 & 0 \\ -1 & -\frac{1}{2} & 0 & 1 \end{pmatrix} \\
P^{-1}AP &= \begin{pmatrix} 1 & 0 & * & * \\ 0 & 2 & * & * \\ 0 & 0 & -\frac{1}{2} & -\frac{1}{2} \\ 0 & 0 & \frac{3}{2} & \frac{7}{2} \end{pmatrix} \\
P_B(\lambda) &= (\lambda-1)(\lambda-2) \\
&\implies \hat{P}^{-1}B\hat{P} = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix} \\
&\left( \begin{pmatrix} I & 0 \\ 0 & \hat{P} \end{pmatrix} \right)^{-1} = \begin{pmatrix} I & 0 \\ 0 & \hat{P}^{-1} \end{pmatrix} \\
&\implies \left( \begin{pmatrix} I & 0 \\ 0 & \hat{P} \end{pmatrix} \right)^{-1} P^{-1}AP \begin{pmatrix} I & 0 \\ 0 & \hat{P} \end{pmatrix} = \begin{pmatrix} D & * \\ 0 & D \end{pmatrix}
\end{aligned}$$


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