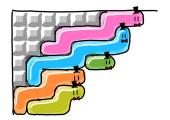
# Pieri Rules over Grassmannian and Applications arXiv:2402.04500

with Neil J.Y. Fan, Peter L.Guo and Changjian Su

### Rui Xiong



### Grassmannian

#### Recall that Grassmannian manifold

$$Gr(k,n) = \{ V \subseteq \mathbb{C}^n : \dim V = k \}.$$

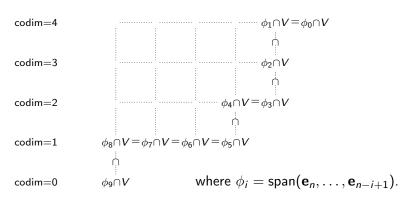
We have the following Bruhat decomposition

$$\operatorname{Gr}(k,n) = \bigcup_{\lambda \subseteq (n-k)^k} Y(\lambda)^{\circ}$$
 (disjoint),

where  $Y(\lambda)^{\circ}$  is the **opposite Schubert cell**.

### Description

For example, 
$$V \in Y \left( \begin{array}{c} \\ \end{array} \right)^{\circ} \iff$$



### **Bruhat Decomposition**

### Denote Schubert variety

$$Y(\lambda) = \overline{Y(\lambda)^{\circ}} = \bigcup_{\mu \supset \lambda} Y(\mu)^{\circ}.$$

The cohomology group and K-group can be computed to be

$$H^*(\operatorname{Gr}(k,n)) = \bigoplus_{\lambda \subset (n-k)^k} \mathbb{Q} \cdot [Y(\lambda)].$$

$$K(\operatorname{Gr}(k,n)) = \bigoplus_{\lambda \subseteq (n-k)^k} \mathbb{Q} \cdot [\mathcal{O}_{Y(\lambda)}]$$

### Chern Classes

Let V be the **tautological bundle** over Gr(k, n). We denote

$$c_r = c_r(\mathcal{V}^{\vee}) = \text{the } r\text{-th equivariant Chern classes of } \mathcal{V}^{\vee}.$$

It is known that

$$H^*(\operatorname{Gr}(k,n)) = \mathbb{Q}[c_1,\ldots,c_k]/\text{some ideal}.$$

$$K(\operatorname{Gr}(k,n)) = \mathbb{Q}[c_1,\ldots,c_k]/\text{some ideal}.$$

# Geometry of cohomology

Roughly speaking cohomology

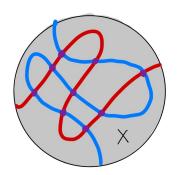
$$H^{\bullet}(X) = \bigoplus_{Y \text{ closed } \subseteq X} \mathbb{Q} \cdot [Y] / \text{HOMOTOPY}_{\text{EQUIVALENCE}}$$

with product the transversal intersection

$$[Y_1] \cdot [Y_2] = [Y_1 \pitchfork Y_2].$$

Over Gr(k, n), we have **Schubert** class

$$[Y(\lambda)] \in H^{2\ell(\lambda)}(Gr(k, n)).$$



# Example: $Gr(1,2) = \mathbb{P}^1$

We have

$$Y(\Box)$$
 = the point  $\infty$ ,  
 $Y(\varnothing)$  = the entire  $\mathbb{P}^1$ .

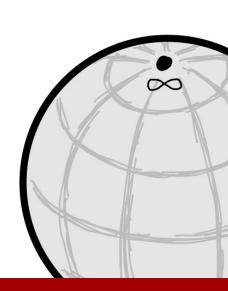
### The intersection

$\Box$	pt	$\mathbb{P}^1$
pt	Ø	pt
$\mathbb{P}^1$	pt	$\mathbb{P}^1$

The cohomology

$$H^*(\mathbb{P}^1) = \mathbb{Q}[x]/(x^2)$$

where  $x = [Y(\square)]$ .

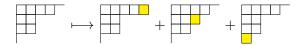


# Classical Chevalley Formula

### Theorem (Chevalley Formula)

$$c_1(\mathcal{V}^ee)\cdot [Y(\lambda)] = \sum_{\mu=\lambda+\square} [Y(\mu)].$$

### Example:



### Classical Pieri Rule

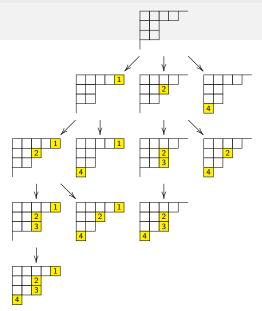
Let us denote Schur operators

$$[i] \rightarrow [Y(\lambda)] = \begin{cases} [Y(\mu)], & \mu = \lambda + \square \text{ in the } i\text{-th row}, \\ 0, & \text{otherwise}. \end{cases}$$

Theorem (Pieri Rule)

$$c_r(\mathcal{V}^{\vee}) \cdot [Y(\lambda)] = \sum_{1 \leq i_1 < \dots < i_r \leq k} [i_r] \rightarrow \dots [i_1] \rightarrow [Y(\lambda)].$$

# Example



# Geometry of K-theory

Roughly speaking, the K-theory

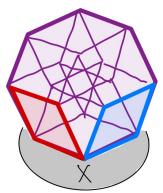
$$K(X) = \bigoplus_{\mathcal{F} \in \mathcal{C} \text{ oh } X} \mathbb{Q} \cdot [\mathcal{F}] / \underset{\text{SEQUENCES}}{\text{EXACT}}$$

with product the tensor product

$$[\mathcal{F}_1]\cdot[\mathcal{F}_2]=[\mathcal{F}_1\otimes\mathcal{F}_2].$$

Over Gr(k, n), we have **structure sheaves** 

$$[\mathcal{O}_{Y(\lambda)}] \in K(Gr(k, n)).$$



# Example: $Gr(1,2) = \mathbb{P}^1$

We have

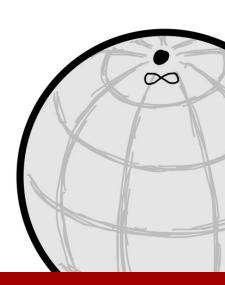
$$0 \to \mathcal{O}(-1) \to \mathcal{O} \to \mathcal{O}_{\infty} \to 0.$$

Thus 
$$[\mathcal{O}_{\infty}] = 1 - \mathcal{O}(-1)$$
.

The K-theory

$$K(\mathbb{P}^1) = \mathbb{Q}[x]/(x^2)$$

where 
$$x = 1 - \mathcal{O}(-1) = [\mathcal{O}_{Y(\square)}].$$



### K-theory Chevalley Formula

### Theorem (Lenart [1])

$$c_1(\mathcal{V}^{\vee})\cdot [\mathcal{O}_{Y(\lambda)}] = \sum_{\mu=\lambda+\left[\right]} [\mathcal{O}_{Y(\mu)}].$$

### Example:



### K-theory Pieri Rule

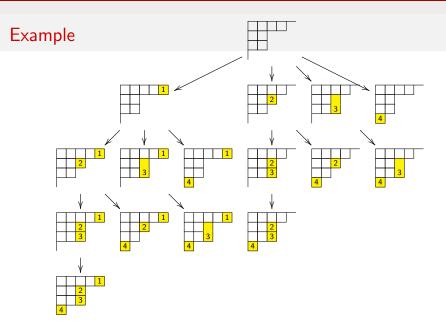
Let us denote **Schur operators** 

$$[i] \rightarrow [\mathcal{O}_{Y(\lambda)}] = [\mathcal{O}_{Y(\mu)}]$$

where  $\mu = \lambda +$  a vertical strip with its tail at the *i*-th row.

Theorem (Lenart [1])

$$c_r(\mathcal{V}^{\vee}) \cdot [\mathcal{O}_{Y(\lambda)}] = \sum_{1 \leq i_1 < \cdots < i_r \leq k} [i_r] \rightarrow \cdots [i_1] \rightarrow [\mathcal{O}_{Y(\lambda)}].$$



### Constructible Functions

Consider

$$\mathsf{Fun}(X) = \{\mathsf{constructible} \; \mathsf{functions} \; \mathsf{over} \; X\}$$
$$= \mathsf{span}(\mathbf{1}_A : A \subseteq X \; \mathsf{closed}).$$

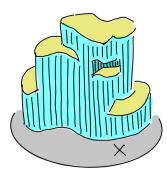
For any proper map  $f: X \to Y$ , we have a **push-forward** 

$$f_*: \operatorname{Fun}(X) \to \operatorname{Fun}(Y)$$

defined such that

$$(f_*(\mathbf{1}_A))(y) = \chi_c(A_y)$$

the Euler characteristic of fibre  $A_{\nu}$ .



### CSM classes

By MacPherson [2], there is a natural transform (wrt push-forward) called **Chern–Schwartz–MacPherson classes** 

$$c_{\mathsf{SM}} : \mathsf{Fun}(-) \to H_{\bullet}(-),$$

such that when X is smooth

 $c_{SM}(X) = \text{total Chern class of the tangent bundle of } X.$ 

Over Gr(k, n), we have **CSM classes** 

$$c_{\mathsf{SM}}(Y(\lambda)^{\circ}) := c_{\mathsf{SM}}(\mathbf{1}_{Y(\lambda)^{\circ}}) \in H^*(\mathsf{Gr}(k,n)).$$



# Example: $Gr(1,2) = \mathbb{P}^1$

Recall

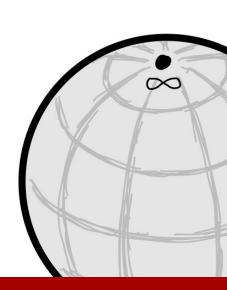
$$Y(\square)^{\circ} = \text{the point } \infty,$$
  
 $Y(\varnothing)^{\circ} = \mathbb{P}^1 \setminus \{\infty\}.$ 

So by definition,

$$c_{\mathsf{SM}}(Y(\square)^{\circ}) = [Y(\square)] = x.$$

Since  $\mathscr{T}_{\mathbb{P}^1} = \mathcal{O}(2)$ , we have

total Chern class = 
$$1 + 2x$$
  
 $c_{SM}(Y(\square)^{\circ}) = x$   
 $c_{SM}(Y(\varnothing)^{\circ}) = 1 + x$ 

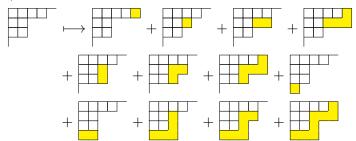


# CSM Chevalley formula

Theorem (Aluffi, Mihalcea, Schürmann and Su [3])

$$c_1(\mathcal{V}^{\vee}) \cdot c_{\mathsf{SM}}(Y(\lambda)^{\circ}) = \sum_{\mu = \lambda + \square} c_{\mathsf{SM}}(Y(\mu)^{\circ}).$$

### Example:



### CSM Pieri Rule

Let us denote ribbon Schubert operators

$$[i \mid \rightarrow c_{\mathsf{SM}}(Y(\lambda)^{\circ}) = \sum_{\mu} c_{\mathsf{SM}}(Y(\mu)^{\circ})$$

where the sum over  $\mu=\lambda+$  a ribbon strip with its tail at the *i*-th row.

Theorem (Fan, Guo and Xiong [4])

$$c_r(\mathcal{V}^{\vee}) \cdot c_{\mathsf{SM}}(Y(\lambda)^{\circ}) = \sum_{1 \leq i_1 < \dots < i_r \leq k} [i_r \mid \rightarrow \dots [i_1 \mid \rightarrow c_{\mathsf{SM}}(Y(\lambda)^{\circ}).$$

# Example

### Grothendieck group

Consider the **Grothendieck group of varieties** 

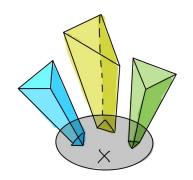
$$\mathsf{G}(X) = \bigoplus_{\mathsf{variety}} \mathbb{Z} \cdot [Z \to X] \bigg/ [U \to X] + [Z \setminus U \to X] = [Z \to X].$$

For any proper map  $f: X \to Y$ , we have a **push-forward** 

$$f_*: \mathsf{G}(X) \to \mathsf{G}(Y)$$

with

$$f_*[Z \to X] = [Z \to X \to Y].$$



### Motivic Chern classes

By Brasselet, Schürmann and Yokura [5], there is a natural transform (wrt push-forward) called motivic Chern classes

$$MC_y : G(-) \rightarrow K(-)[y],$$

such that when X is smooth,

$$\mathsf{MC}_y(X) = \lambda$$
-class  $= \sum_{k=1}^{\dim X} y^k [\Lambda^k \mathscr{T}_X^{\vee}].$ 

Over Gr(k, n), we have **motivic Chern classes** 

$$\mathsf{MC}_y(Y(\lambda)^\circ) := \mathsf{MC}_y([Y(\lambda)^\circ \to \mathsf{Gr}(k,n)]) \in \mathcal{K}(\mathsf{Gr}(k,n)).$$

# Example: $Gr(1,2) = \mathbb{P}^1$

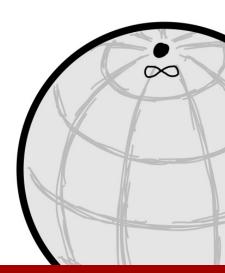
Similarly,

$$MC_{\gamma}(Y(\square)^{\circ}) = [\mathcal{O}_{Y(\square)}] = x.$$

Recall that  $x = 1 - \mathcal{O}(-1)$ .

Since 
$$\mathscr{T}_{\mathbb{P}^1} = \mathcal{O}(2)$$
, we have

$$\begin{array}{c} \lambda\text{-class} = 1 + y\mathcal{O}(-2) \\ = (1+y) - 2yx \\ \hline \mathsf{MC}_y(Y(\varnothing)^\circ) = (1+y) - (2y+1)x \\ \mathsf{MC}_y(Y(\square)^\circ) = x \end{array}$$

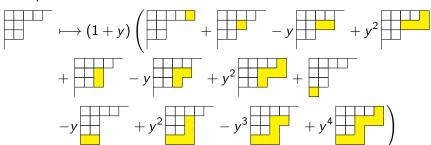


# MC Chevalley formula

Theorem (Fan, Guo, Su and Xiong)

$$c_1(\mathcal{V}^{\vee}) \cdot \mathsf{MC}_y(Y(\lambda)^{\circ}) = (1+y) \sum_{\mu = \lambda + \square} (-y)^{\mathsf{wd}(\mu/\lambda) - 1} \, \mathsf{MC}_y(Y(\mu)^{\circ}).$$

### Example:



### MC Pieri Rule

Let us denote ribbon Schubert operators

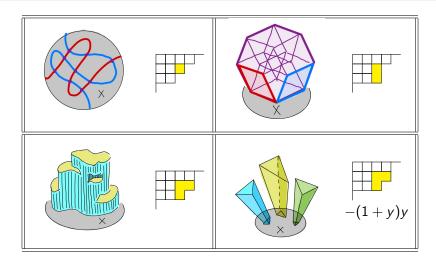
$$[i \mid \rightarrow \mathsf{MC}_y(Y(\lambda)^\circ) = (1+y) \sum_{\mu} (-y)^{\mathsf{wd}(\mu/\lambda)-1} \, \mathsf{MC}_y(Y(\mu)^\circ)$$

where the sum over  $\mu=\lambda+$  a ribbon strip with its tail at the *i*-th row.

Theorem (Fan, Guo, Su and Xiong)

$$c_r(\mathcal{V}^{\vee}) \cdot \mathsf{MC}_y(Y(\lambda)^{\circ}) = \sum_{1 \leq i_1 < \dots < i_r \leq k} [i_r \mid \rightarrow \dots \mid i_1 \mid \rightarrow \mathsf{MC}_y(Y(\lambda)^{\circ}).$$

# Summary



### Affine Hecke algebra

Our approach is by introducing a version of affine Hecke algebra of three parameters

$$T_i^2 = -(p-q)T_i + pq$$
 $T_iT_j = T_jT_i, \quad |i-j| > 1,$ 
 $T_iT_{i+1}T_i = T_{i+1}T_iT_{i+1},$ 
 $x_ix_j = x_jx_i,$ 
 $T_ix_j = x_jT_i, \quad j \neq i, i+1,$ 
 $T_ix_i = x_{i+1}T_i + (\hbar - (p-q)x_i),$ 
 $T_ix_{i+1} = x_iT_i - (\hbar - (p-q)x_i).$ 

# Rôles of $p, q, \hbar$

It turns out that  $p, q, \hbar$  control the following ribbon statistics

$$p$$
: height  $-1$ ,

$$q$$
: width  $-1$ ,

p: height -1, q: width -1,  $\hbar$ : number of ribbons.

We have the following table

classes	$(p,q,\hbar)$	Pieri rule
$[Y(\lambda)]$	(0, 0, 1)	adding boxes $\square$
$[\mathcal{O}_{Y(\lambda)}]$	(1,0,1)	adding vertical strips [
$c_{SM}(Y(\lambda)^{\circ})$	(1, 1, 1)	adding ribbons 🗸
$MC_y(Y(\lambda)^\circ)$	(1,-y,1+y)	adding ribbons 🕹 and counting width

### **Dual Basis**

In all four cases, we have another choice of basis

Theory	X		×	×
basis	$[Y(\lambda)]$	$[\mathcal{I}_{\partial Y(\lambda)}]$	$c_{SM}(Y(\lambda)^{\circ})$	$MC_y(Y(\lambda)^\circ)$
opposite dual basis	$[Y(\lambda)]$	$[\mathcal{O}_{Y(\lambda)}]$	$s_{SM}(Y(\lambda)^{\circ})$	$SMC_y(Y(\lambda)^\circ)$

Theorem (Fan, Guo, Su and Xiong)

The opposite dual basis has the same Pieri rule as basis.

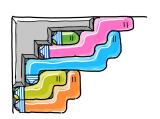


# Discussion of the proof

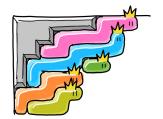
A priori, the Pieri rule for opposite dual basis is given by  $\lfloor i \rfloor$ , the adjoint operators on the  $180^{\circ}$  rotated complement.

```
[i| ... with its tail at the i-th row ... \longleftrightarrow li] ... with its head at the i-th row ...
```

But they are equivalent:



V.S.



### Equivariant version

All the basis are defined in equivariant cohomology/K-theory.

Theorem (Fan, Guo, Su and Xiong)

The equivariant classes

$$[Y(\lambda)], \qquad [\mathcal{I}_{\partial Y(\lambda)}], \qquad c_{\mathsf{SM}}(Y(\lambda)^{\circ}), \qquad \mathsf{MC}_{y}(Y(\lambda)^{\circ})$$

satisfy the **head-valued Pieri rule**, i.e. | i} or equivalently [i|.

Theorem (Fan, Guo, Su and Xiong)

The equivariant classes

$$[Y(\lambda)], \quad [\mathcal{O}_{Y(\lambda)}], \quad s_{SM}(Y(\lambda)^{\circ}), \quad SMC_{y}(Y(\lambda)^{\circ})$$

satisfy the tail-valued Pieri rule, i.e. | i] or equivalently [i].



$$|2\} \rightarrow \boxed{ } = t_3 \cdot \boxed{ } + (\hbar - (p-q)t_4) \cdot \boxed{ } + (\hbar - (p-q)t_5) \cdot \boxed{ }$$

$$+ (\hbar - (p-q)t_4)pq \cdot \boxed{ } + (\hbar - (p-q)t_5)pq^2 \cdot \boxed{ }$$

$$+ (\hbar - (p-q)t_4)pq \cdot \boxed{ } + (\hbar - (p-q)t_5)pq^2 \cdot \boxed{ }$$

$$+ (\hbar - (p-q)t_3) \cdot \boxed{ } + (\hbar - (p-q)t_3) \cdot \boxed{ }$$

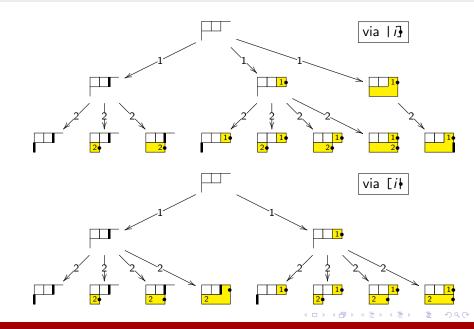
 $+\left(\hbar-(p-q)t_1\right)pq\cdot +\left(\hbar-(p-q)t_1\right)pq^2\cdot$ 

 $+(\hbar-(p-q)t_5)q\cdot +(\hbar-(p-q)t_7)pq^2\cdot$ 

$$\begin{array}{c} \{2 \mid \rightarrow \end{array} \begin{array}{c} = t_3 \cdot \boxed{ } + (\hbar - (p-q)t_3) \cdot \boxed{ } + (\hbar - (p-q)t_3) \cdot \boxed{ } \\ \\ + (\hbar - (p-q)t_3)q \cdot \boxed{ } + (\hbar - (p-q)t_3)pq^2 \cdot \boxed{ } \end{array} .$$

 $[2
lambda] 
ightharpoonup = t_3 \cdot \boxed{ +(\hbar-(p-q)t_4) \cdot \boxed{ 2 lambda] } + (\hbar-(p-q)t_5) \cdot \boxed{ 2 lambda] }$ 





### Application A

There is a classic relation between ideal sheaves and structure sheaves over Grassmannian.

Theorem (Buch [6], see also [7, Prop. 4.2])

$$(1-[\mathcal{O}_{Y(\square)}])\cdot[\mathcal{O}_{Y(\lambda)}]=[\mathcal{I}_{\partial Y(\lambda)}].$$

This can be generalized to equivariant K-theory.

$$\frac{(1-[\mathcal{O}_{Y(\square)}])\cdot[\mathcal{O}_{Y(\lambda)}]}{1-[\mathcal{O}_{Y(\square)}]|_{\lambda}}=[\mathcal{I}_{\partial Y(\lambda)}]\in K_{\mathcal{T}}(\mathsf{Gr}(k,n)).$$

### Relation between MC and SMC

Using our Pieri rule, we can prove the following analogy for MC and SMC classes.

Theorem (Fan, Guo, Su and Xiong)

$$\lambda_y(\mathscr{T}_{\mathsf{Gr}(k,n)}^{\vee}) \cdot (1 - [\mathcal{O}_{Y(\square)}]) \cdot \mathsf{SMC}_y(Y(\lambda)^{\circ}) = \mathsf{MC}_y(Y(\lambda)^{\circ}).$$

This can be generalized to equivariant K-theory.

$$\lambda_y(\mathscr{T}_{\mathsf{Gr}(k,n)}^{\vee}) \cdot \frac{(1 - [\mathcal{O}_{Y(\square)}]) \cdot \mathsf{SMC}_y(Y(\lambda)^{\circ})}{1 - [\mathcal{O}_{Y(\square)}]|_{\lambda}} = \mathsf{MC}_y(Y(\lambda)^{\circ}).$$

If we set y = 0, we will recover the result in the previous page.



# Discussion of the proof

### The proof is by one sentence:

both sides have the same Pieri rule and they agree after certain specialization.

### Precisely:

the factor

$$1-[\mathcal{O}_{Y(\square)}]|_{\lambda}$$

intertwines  $\{i \mid \text{ and } [i\};$ 

the factor rest gives normalization by looking at localization.

# Example: $Gr(1,2) = \mathbb{P}^1$

Recall

$$K(\mathbb{P}^1) = \mathbb{Q}[x]/(x^2), \qquad x = 1 - [\mathcal{O}(-1)].$$

We have

$$1 + \frac{y}{1+y}x = \mathsf{SMC}_y(Y(\varnothing)^\circ) \qquad \mathsf{MC}_y(Y(\varnothing)^\circ) = (1+y) - (2y+1)x$$

$$\frac{1}{1+y}x = \mathsf{SMC}_y(Y(\square)^\circ) \qquad \mathsf{MC}_y(Y(\square)^\circ) = x$$

$$(1-x)\big((1+y)-2yx\big)$$

# Application B

Recall the **stable grothendieck polynomial** is defined using set-valued tableaux:

Theorem (Buch [6])

$$(-1)^{|\lambda|} \tilde{G}_{\lambda}(-x_1, \cdots, -x_k, 0, \ldots) = [\mathcal{O}_{Y(\lambda)}] \in K(\mathsf{Gr}(k, n)).$$

# **Dualizing Sheaves**

In Lam and Pylyavskyy [8], the omega involution of  $\tilde{G}_{\lambda}$  was studied. It is given by a sum over weak set-valued tableaux:

Theorem (Fan, Guo, Su and Xiong)

$$((1-G_{\square})^n J_{\lambda'})(x_1,\ldots,x_k,0,\ldots)=[\omega_{Y(\lambda)}]\in K(\mathsf{Gr}(k,n))$$

where  $\omega_{Y(\lambda)}$  is the dualizing sheaf of  $Y(\lambda)$ .



# Discussion of the proof

By [9],

$$MC_y(Y(\lambda)^\circ) = y^{\dim}[\omega_{Y(\lambda)}] + (\text{lower } y\text{-degree}).$$

In the Pieri rule of motivic Chern classes, only the horizontal strip  $\Box$  contributes the highest *y*-degree. Thus

Pieri rule of 
$$[\omega_{Y(\lambda)}]$$
 = adding horizontal strips  $\square$ .

Compare:

Pieri rule of 
$$[\mathcal{O}_{Y(\lambda)}]$$
 = adding vertical strips  $\square$ .

The omega involution switches two kind of strips.

# Example: $Gr(1,2) = \mathbb{P}^1$

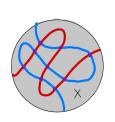
From the (weak) set-tableaux model,  $ilde{G}_{\square}=J_{\square}=1$ , and

$$\tilde{G}_{\square} = \sum_{A} x^{A} = -1 + \prod_{i=1}^{\infty} (1 + x_{i}),$$
 an nonempty sets  $A$  of positive integers,  $J_{\square} = \sum_{B} x^{B} = -1 + \prod_{i=1}^{\infty} \frac{1}{1 - x_{i}},$  and  $B$  of positive integers.

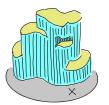
Thus for  $\mathbb{P}^1$ 

$$\begin{aligned} (-1)^0 \tilde{G}_{\varnothing}(-x,0,\cdots) &= 1 = [\mathcal{O}_{Y(\varnothing)}], \\ (-1)^1 \tilde{G}_{\square}(-x,0,\cdots) &= x = [\mathcal{O}_{Y(\square)}], \\ ((1-G_{\square})^2 \cdot J_{\varnothing})(x,0,\ldots) &= 1-2x = [\omega_{Y(\varnothing)}], \\ ((1-G_{\square})^2 \cdot J_{\square})(x,0,\ldots) &= x = [\omega_{Y(\square)}]. \end{aligned}$$

# Thank You!









- C. Lenart, Combinatorial aspects of the K-theory of Grassmannians, Ann. Combin. 2 (2000), 67–82.
- R. MacPherson, Chern classes for singular algebraic varieties, Ann. Math. 100 (1974), 423–432.
- P. Aluffi, L. Mihalcea, J. Schürmann and C. Su, Shadows of characteristic cycles, Verma modules, and positivity of Chern–Schwartz–MacPherson classes of Schubert cells, to appear in Duke Math. J., 2017, arXiv:1709.08697v3.
- N.J.Y. Fan, P.L. Guo and R. Xiong, Pieri and Murnaghan–Nakayama type rules for Chern classes of Schubert cells, arXiv:2211.06802v1.
- J. P. Brasselet, J. Schürmann, S. Yokura, Hirzebruch classes and motivic Chern classes for singular spaces. Journal of Topology and Analysis, 2010, 2(01): 1-55.

- A. Buch, A Littlewood–Richardson rule for the K-theory of Grassmannians, Acta Math. 189 (2002), 37–78.
- A. Buch and P. Chaput and L. Mihalcea and N. Perrin. A Chevalley formula for the equivariant quantum K-theory of cominuscule varieties, Algebr. Geom. 5 (2018), no. 5, 568–595.
- Lam T, Pylyavskyy P. Combinatorial Hopf algebras and K-homology of Grassmanians[J]. International Mathematics Research Notices, 2007, 2007(9): rnm125-rnm125.
- P. Aluffi, L. Mihalcea, J. Schürmann and C. Su, From motivic Chern classes of Schubert cells to their Hirzebruch and CSM classes, arXiv:2212.12509.