



Reporting Statistics Updates: Fiscal Year 2017-2018

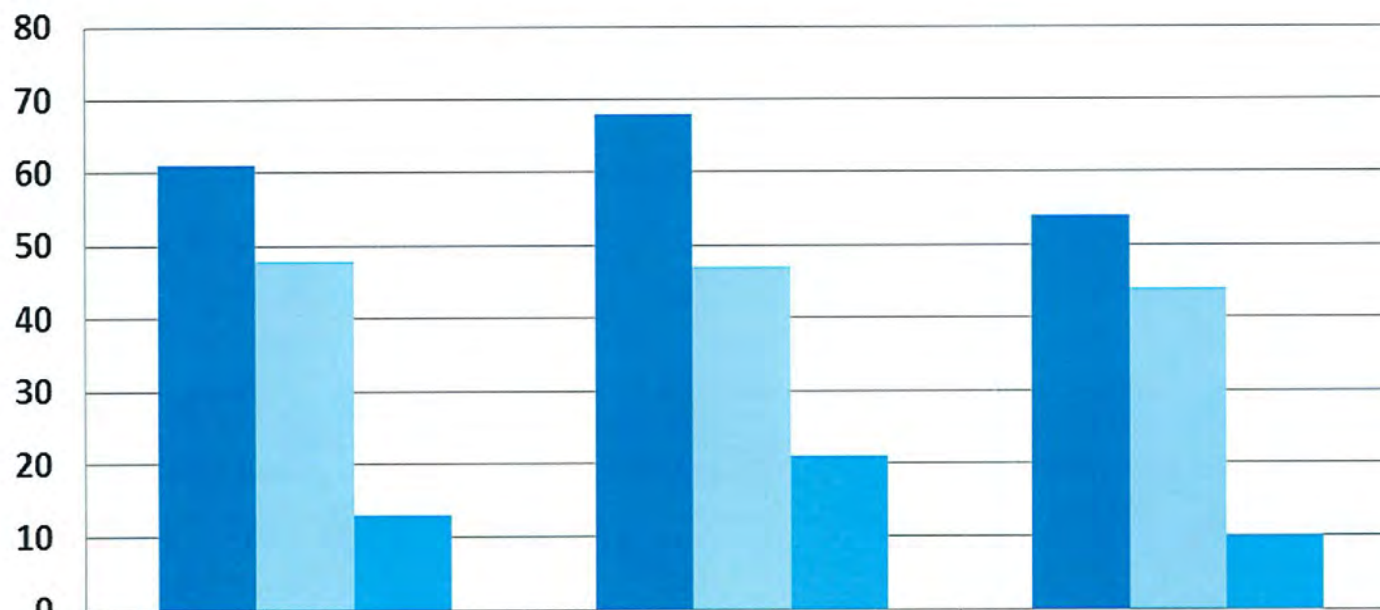
Prepared by: FINTRAC Vancouver

Presented to:
FICOM (Financial Institution
Commissions of BC)

Dated:
October 15, 2018

Examinations Conducted

Exam Count (On Site vs. Desk) - National

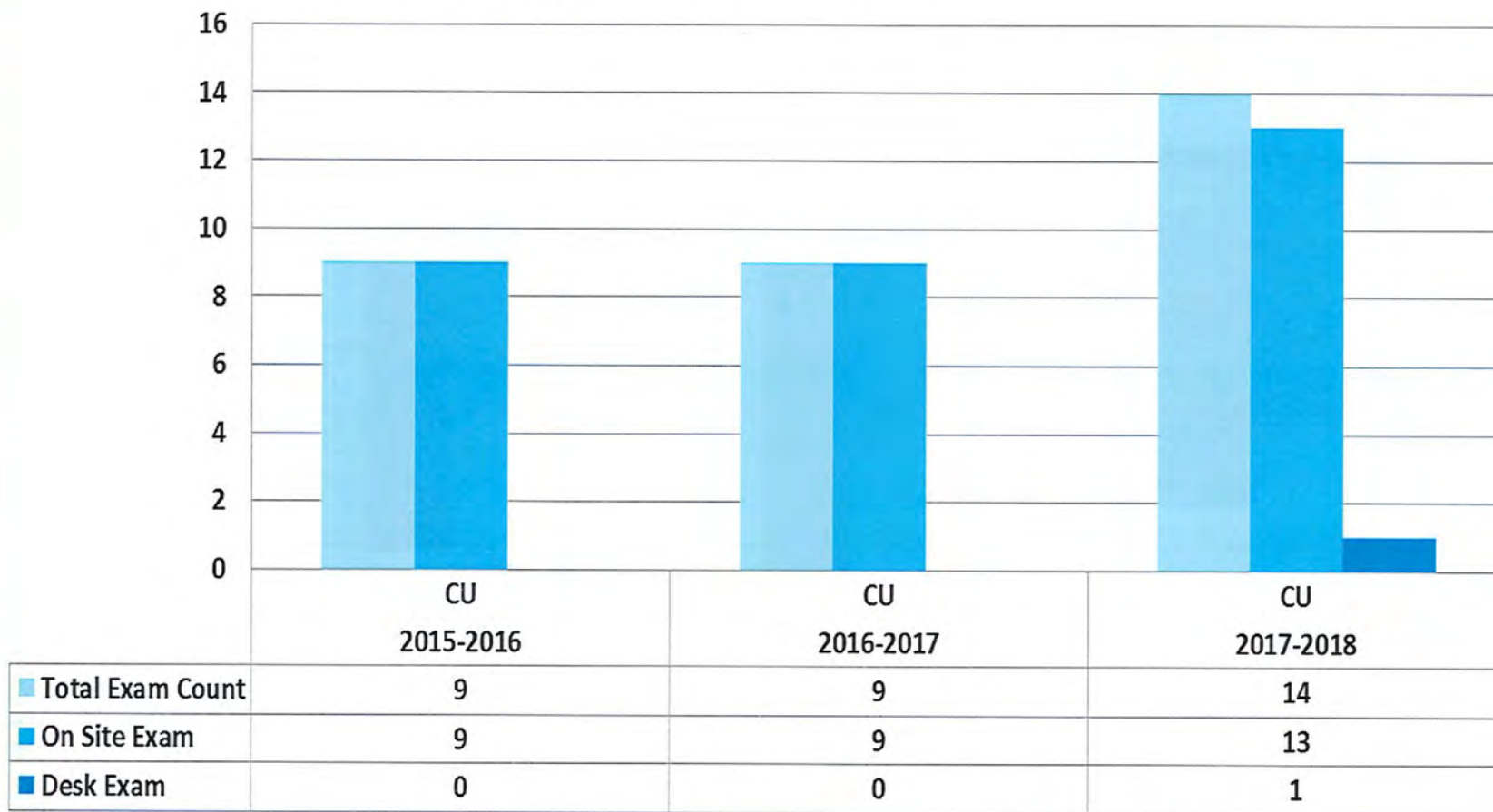


	CU 2015-2016	CU 2016-2017	CU 2017-2018
Total Exam Count	61	68	54
On Site Exam	48	47	44
Desk Exam	13	21	10



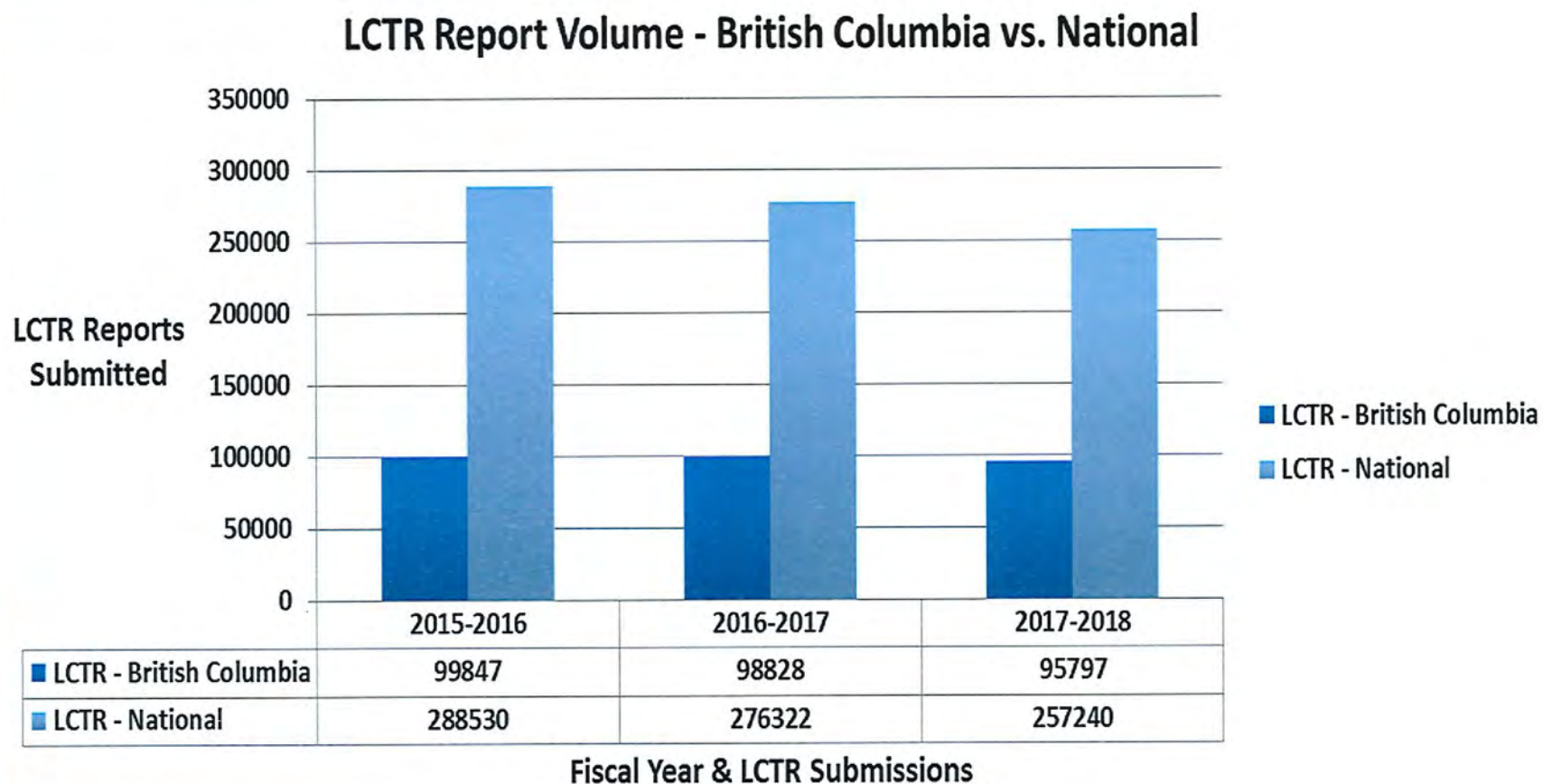
Examinations Conducted

Exam Count (On Site vs. Desk) - British Columbia



Report Submissions

Large Cash Transaction Reports

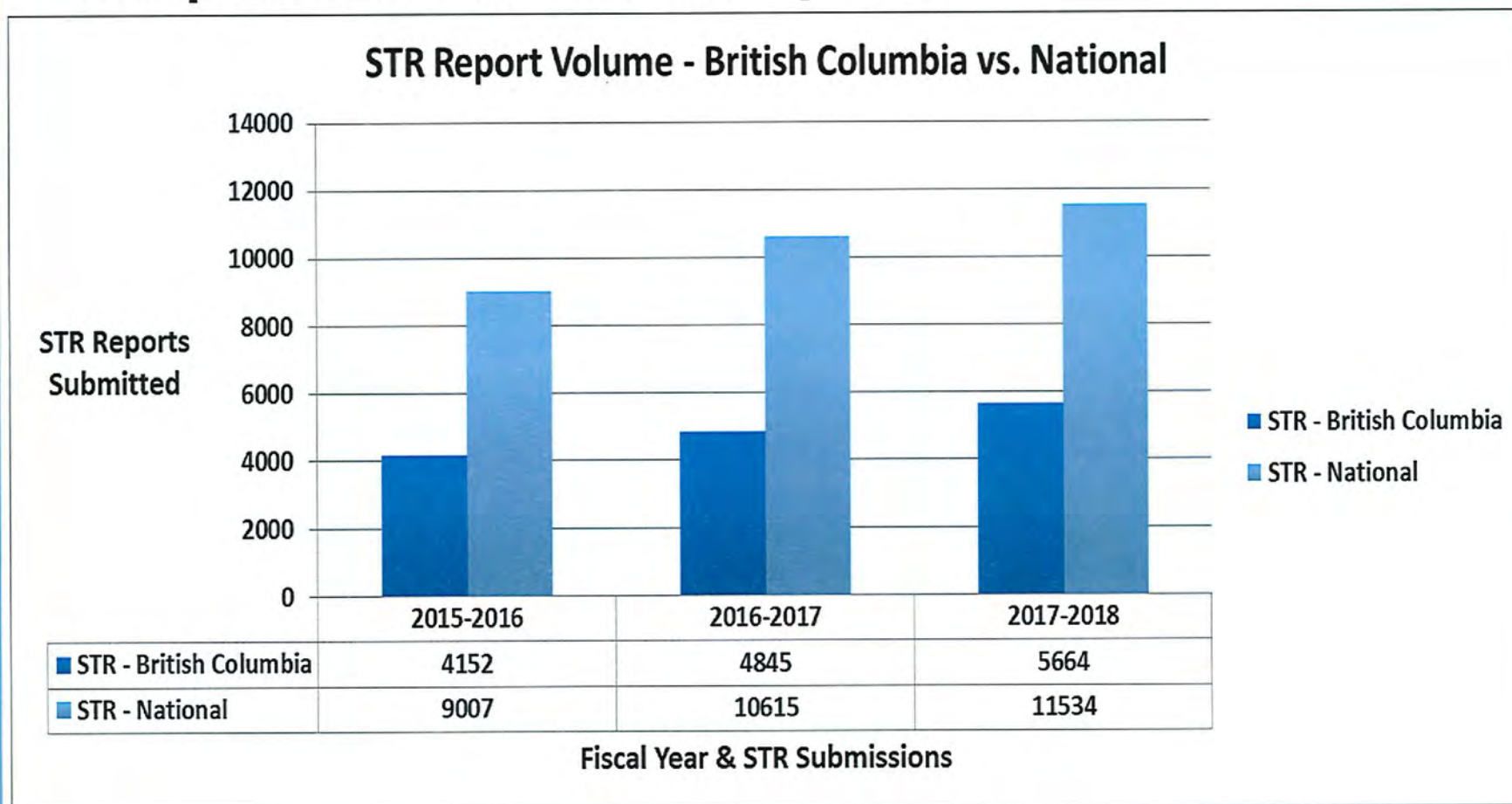


**Report Submissions: LCTR Report Volume in
British Columbia by Credit Union
(April 2017 - March 2018)**

Credit Union	Total LCTRs
	27978
	18306
	17190
	5353
	4821
	2722
	2266
	1535
	1467
	1257
	1248
	1058
	1015
	958
	886
	778
	643
	586
	577
	501
public interest immunity/statutory privilege - AGC	498
	454
	406
	396
	391
	319
	317
	316
	308
	245
	239
	224
	173
	104
	87
	79
	43
	32
	14
	7
	0
Total Reports	95797

Report Submissions

Suspicious Transaction Reports

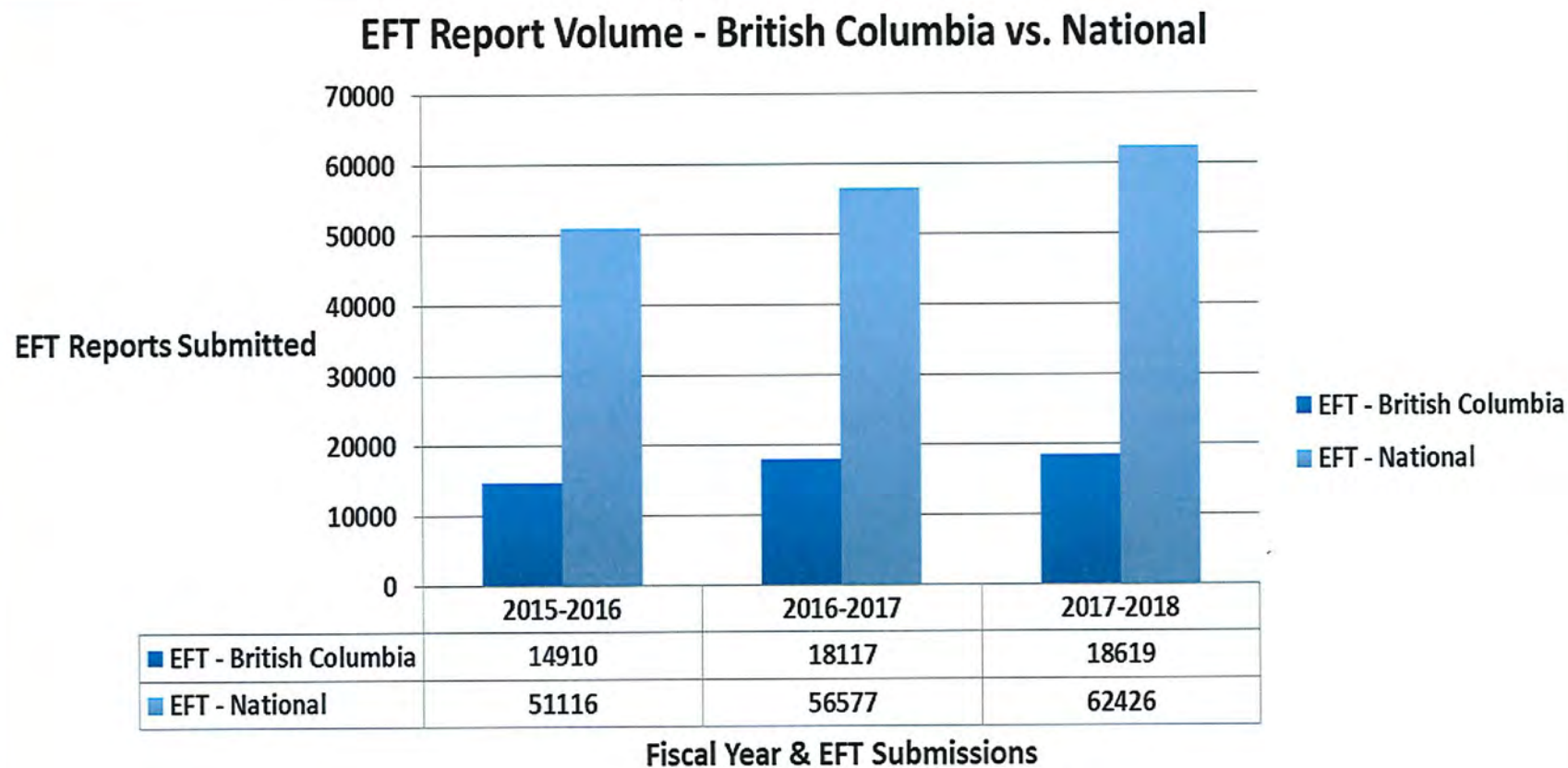


**Report Submissions: STR Report Volume in
British Columbia by Credit Union
(April 2017 - March 2018)**

Credit Union	Total STRs
	1381
	1270
	812
	736
	193
	146
	143
	139
	116
	104
	76
	69
	60
	57
	47
	44
	40
	40
	27
public interest immunity/statutory privilege - AGC	26
	22
	18
	17
	14
	14
	12
	8
	7
	7
	4
	4
	3
	2
	2
	2
	1
	1
	0
Total Reports	5664

Report Submissions

EFT Transaction Reports



**Report Submissions: EFT Report Volume in
British Columbia by Credit Union
(April 2017 - March 2018)**

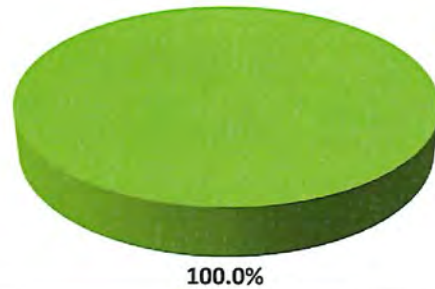
Credit Union	Total EFTs
	5662
	2423
	1241
	1102
	754
	735
	720
	600
	503
	465
	459
	438
	348
	334
	306
	226
	220
	217
	186
	173
public interest immunity/statutory privilege - AGC	173
	163
	157
	133
	125
	108
	108
	99
	98
	70
	68
	44
	32
	29
	26
	24
	15
	15
	9
	8
	3
Total Reports	18619

A collection of business-related items on a wooden desk. In the background, a white computer keyboard is visible. To the left, a white coffee cup sits on a saucer. In the foreground, a smartphone displays a bar chart. Next to it is a 3D bar chart with blue bars of varying heights. To the left of the 3D bar chart is a 3D pie chart. Below the 3D pie chart is a donut chart with segments labeled with percentages: 14%, 15%, 13%, 14%, 7%, 6%, and 10%. To the right of the donut chart is a small table with data. A silver pen lies on the right side of the desk.

Compliance Officer

- Compliant
- Partial deficiency
- Complete deficiency

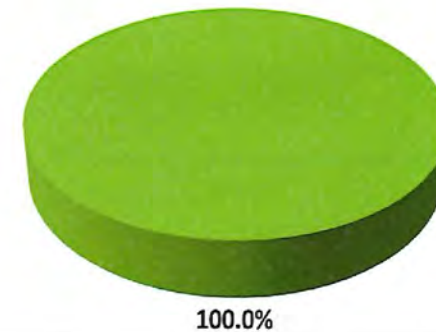
Compliance Officer Deficiencies - 2015-2016 -
British Columbia



Compliance Officer Deficiencies - 2016-2017 -
British Columbia



Compliance Officer Deficiencies - 2017-2018 -
British Columbia



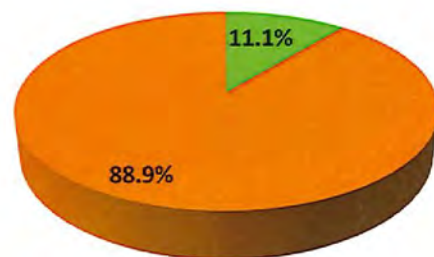
Policies & Procedures

■ Compliant

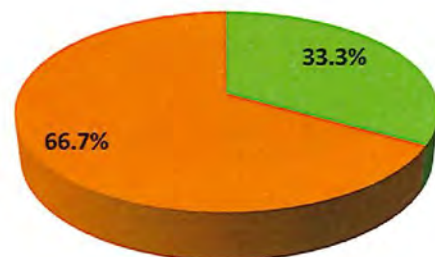
■ Partial deficiency

■ Complete deficiency

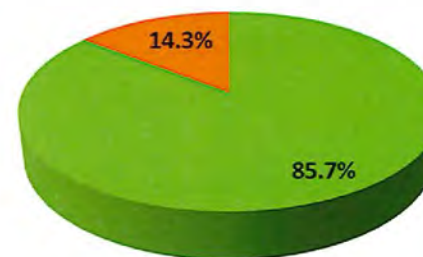
Policies and Procedures Deficiencies - 2015-2016 -
British Columbia



Policies and Procedures Deficiencies - 2016-2017 -
British Columbia



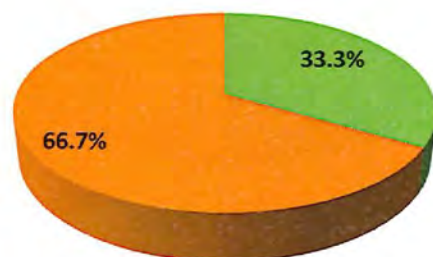
Policies and Procedures 2017-2018 -
British Columbia



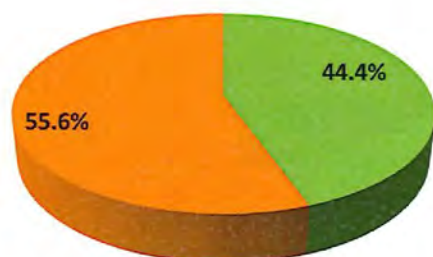
Risk-based Assessment

- Compliant
- Partial deficiency
- Complete deficiency

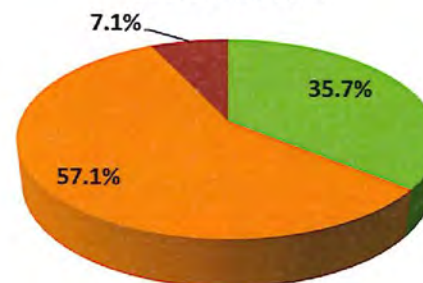
Risk Assessment Deficiencies - 2015-2016 -
British Columbia



Risk Assessment Deficiencies - 2016-2017 -
British Columbia



Risk Assessment Deficiencies - 2017-2018 -
British Columbia



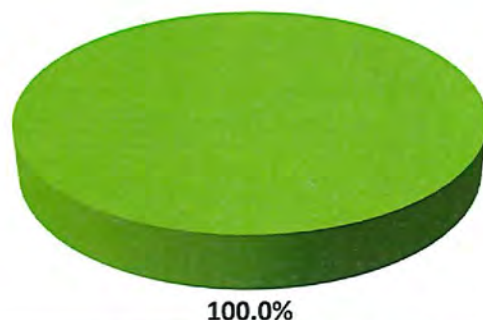
Training Program

■ Compliant

■ Partial deficiency

■ Complete deficiency

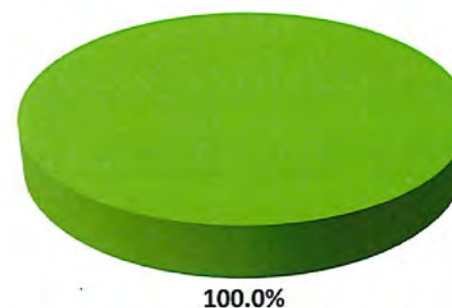
Training Deficiencies - 2015-2016 -
British Columbia



Training Deficiencies - 2016-2017 -
British Columbia



Training Deficiencies - 2017-2018 -
British Columbia



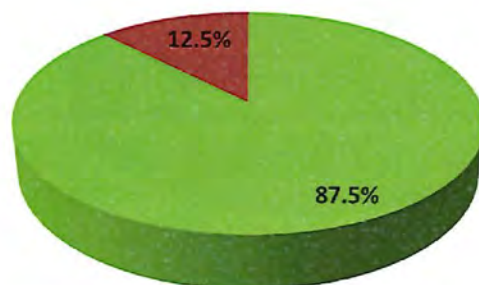
Two Year Review

■ Compliant

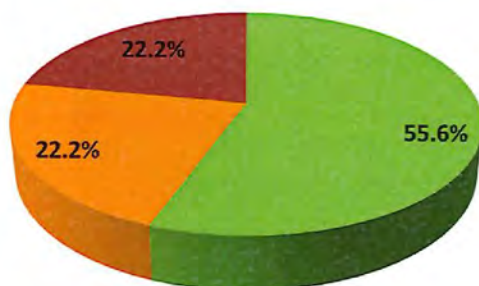
■ Partial deficiency

■ Complete deficiency

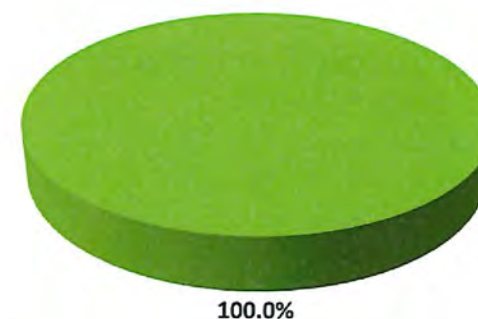
Two Year Review Deficiencies - 2015-2016 - British Columbia



Two Year Review Deficiencies - 2016-2017 - British Columbia

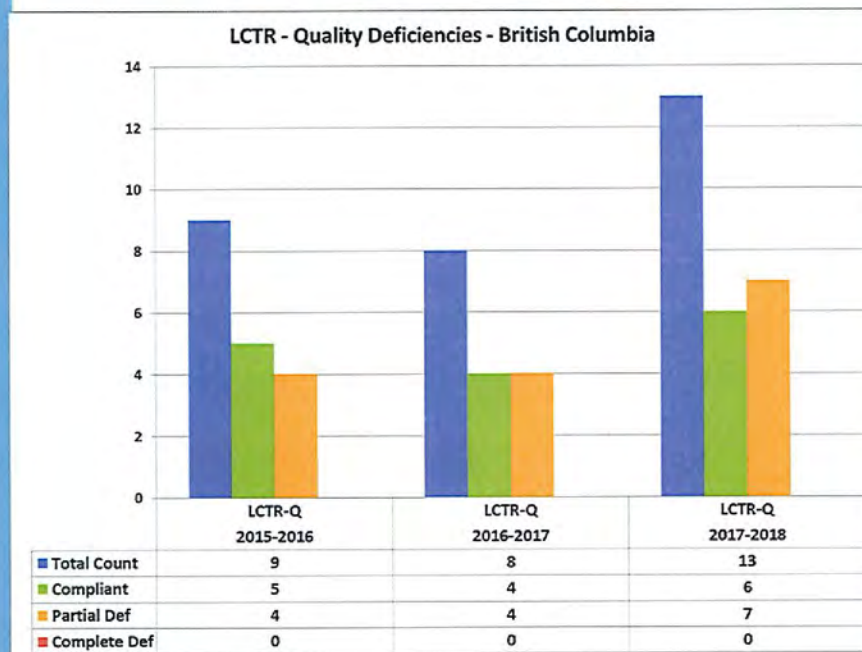


Two Year Review - 2017-2018 - British Columbia

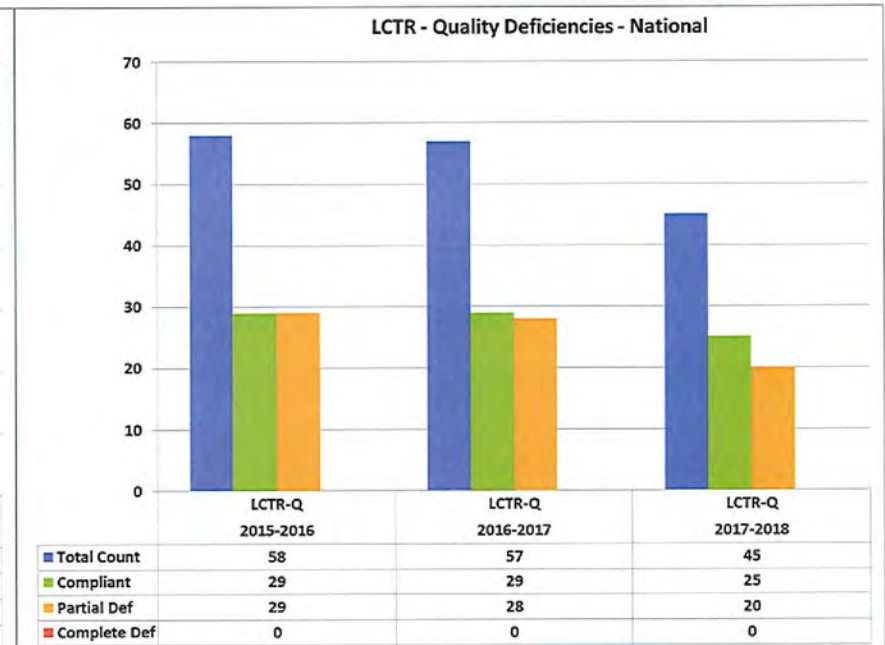


QTV Deficiencies by Report Type: LCTR-Q

- Compliant
- Partial_Def
- Complete_Def
- Total Count



British Columbia

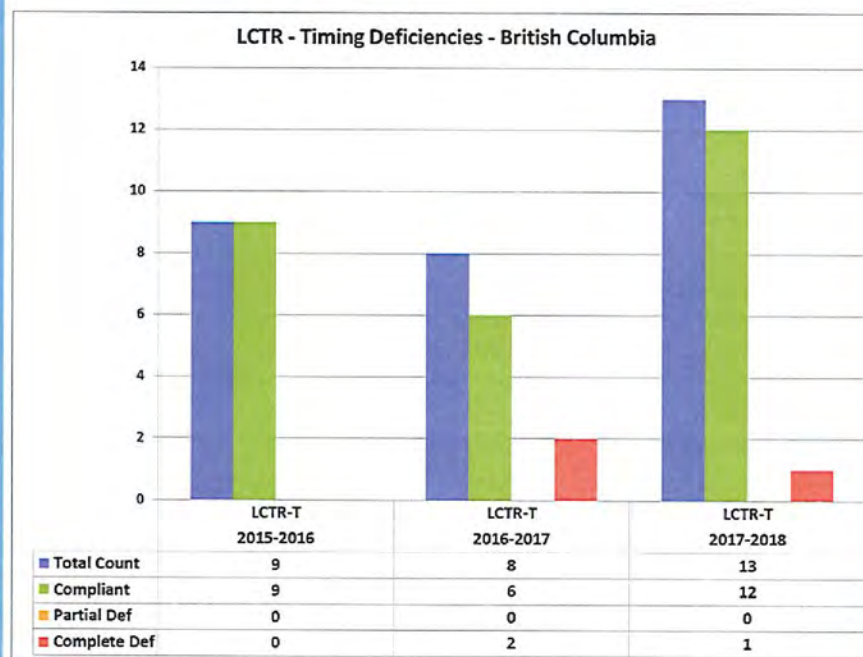


National

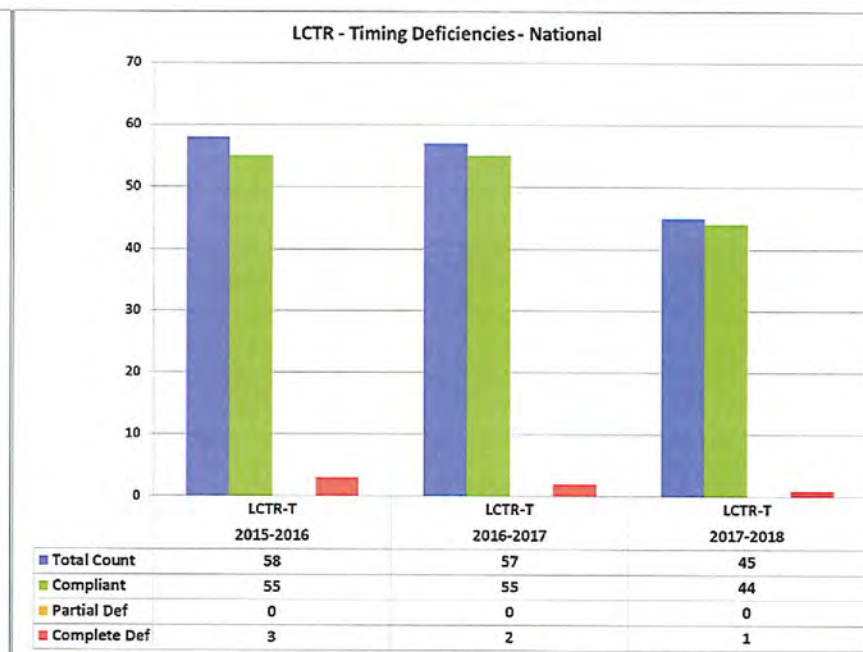


QTV Deficiencies by Report Type: LCTR-T

- Compliant
- Partial_Def
- Complete_Def
- Total Count



British Columbia



National



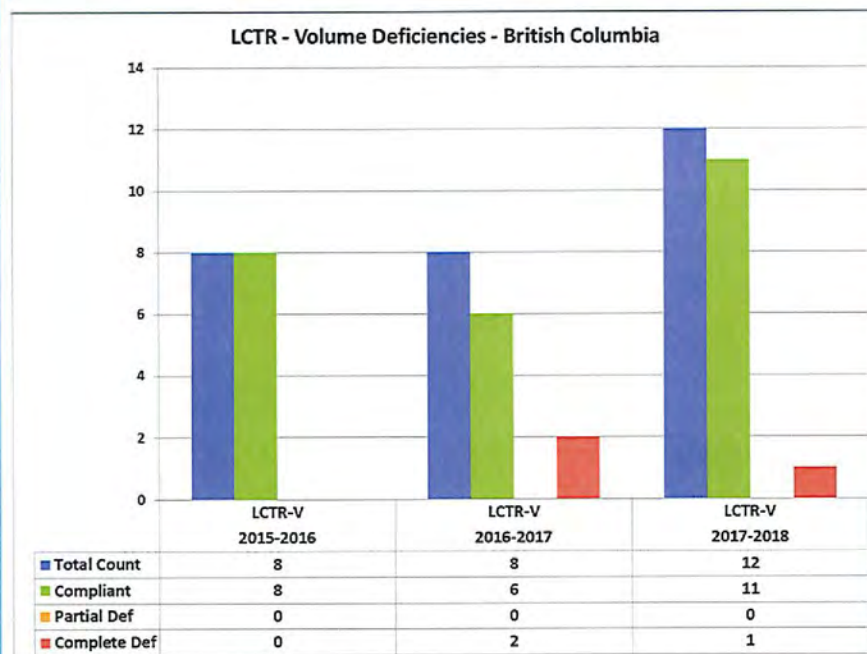
Financial Transactions and
Reports Analysis Centre
of Canada

Centre d'analyse des opérations
et déclarations financières
du Canada

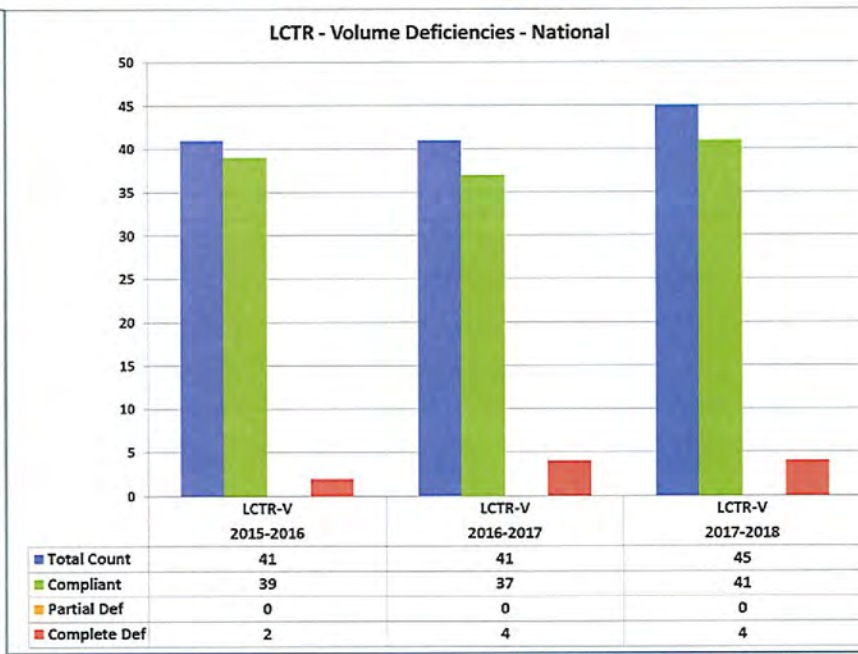
Canada

QTV Deficiencies by Report Type: LCTR-V

- Compliant
- Partial_Def
- Complete_Def
- Total Count



British Columbia

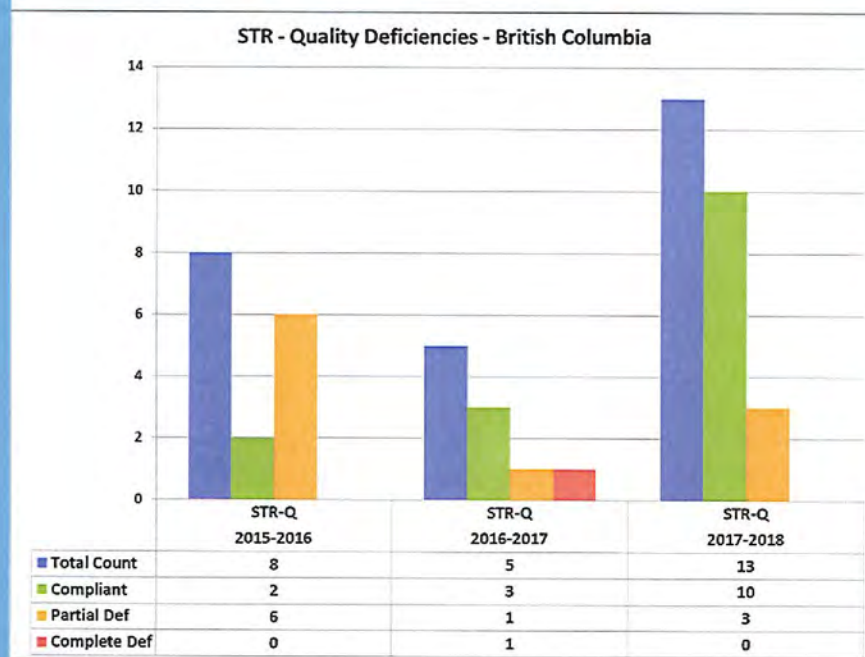


National

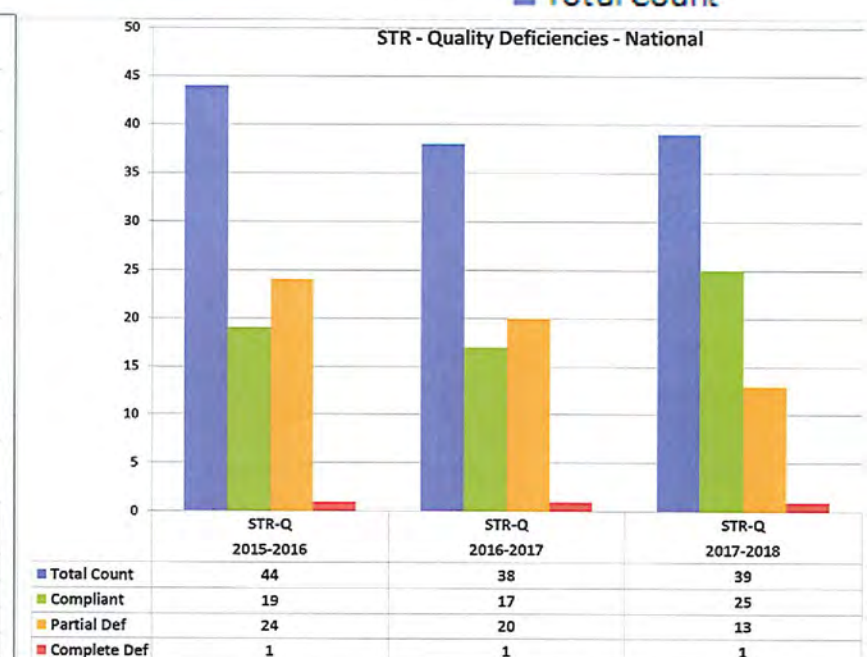


QTV Deficiencies by Report Type: STR-Q

■ Compliant
■ Partial_Def
■ Complete_Def
■ Total Count



British Columbia



National



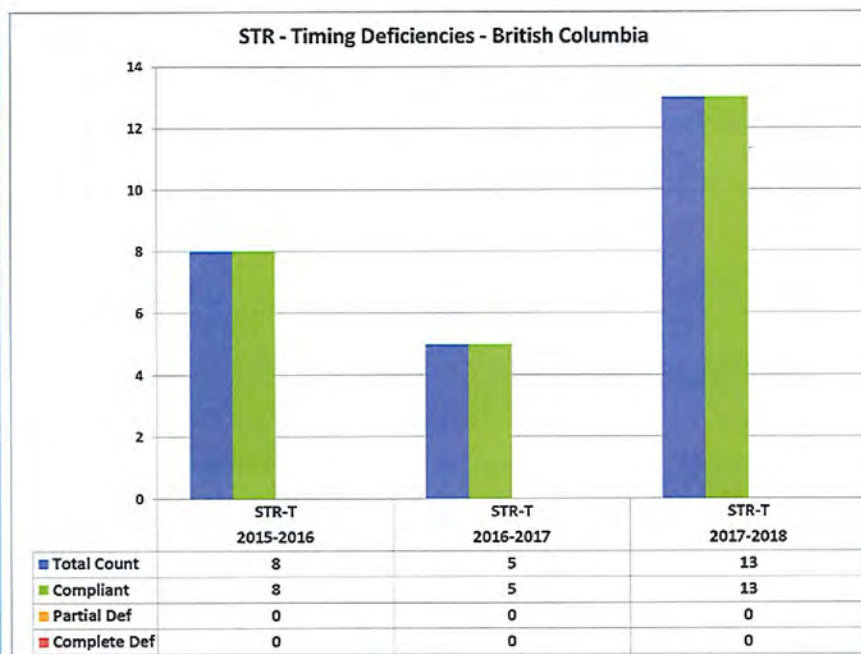
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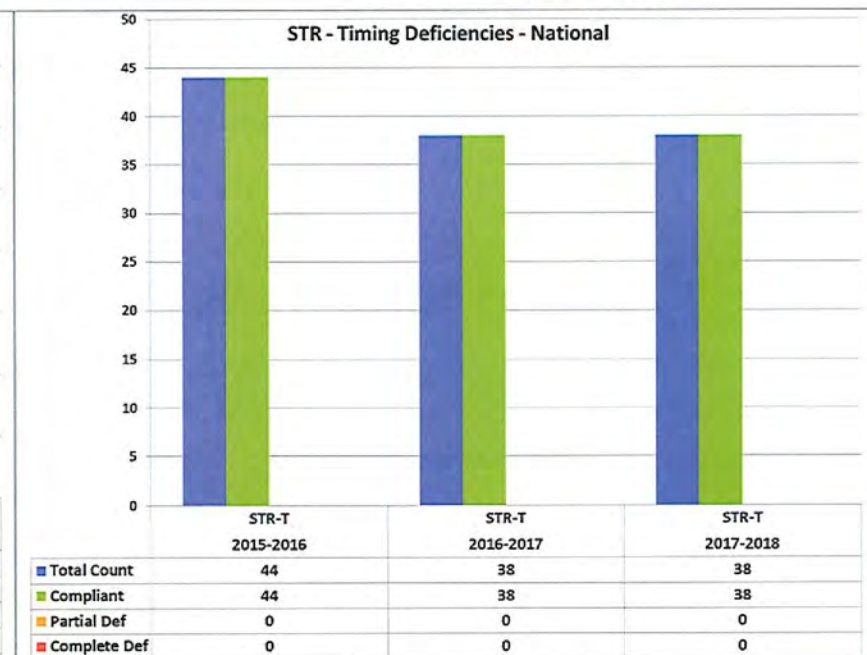
Canada

QTV Deficiencies by Report Type: STR-T

- Compliant
- Partial_Def
- Complete_Def
- Total Count



British Columbia

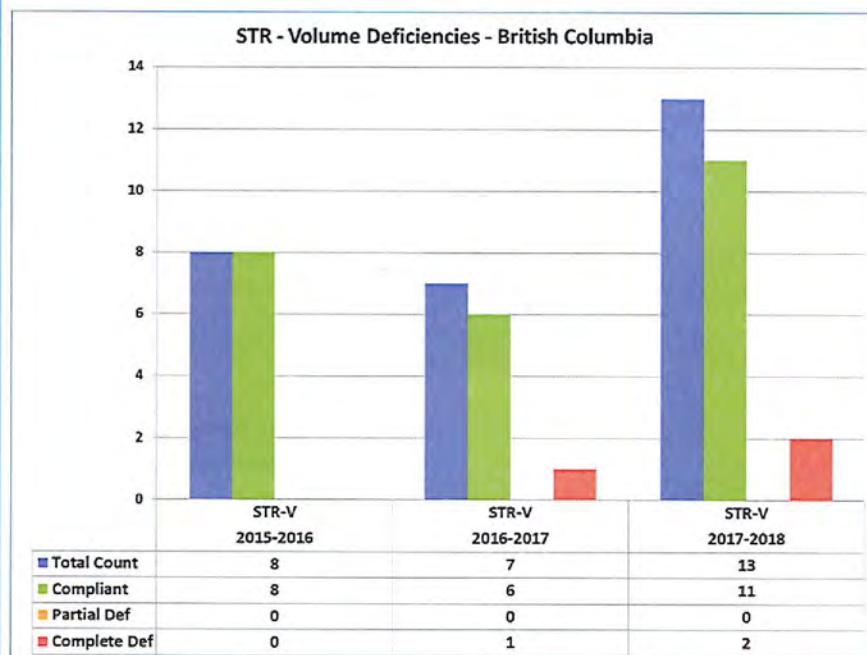


National

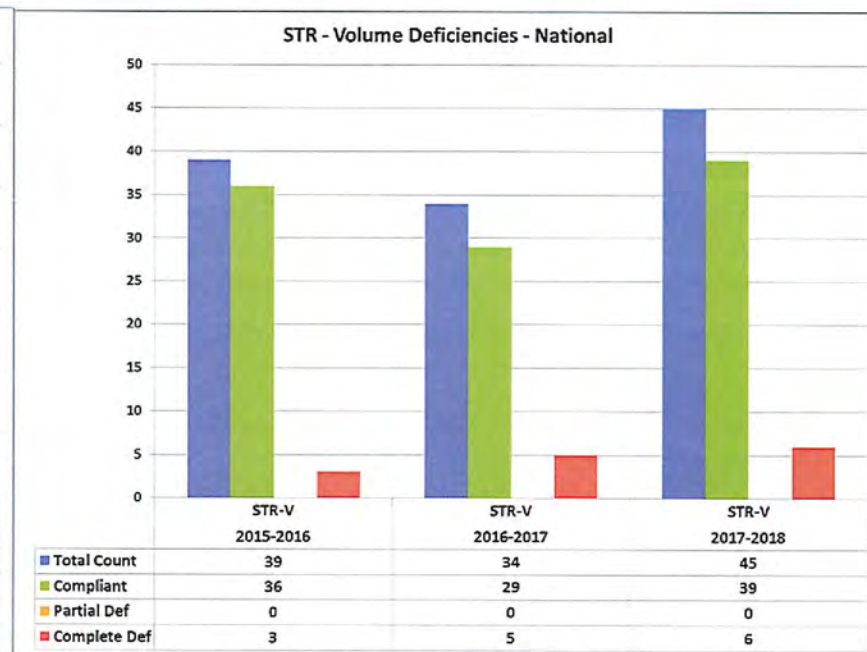


QTV Deficiencies by Report Type: STR-V

- Compliant
- Partial_Def
- Complete_Def
- Total Count



British Columbia

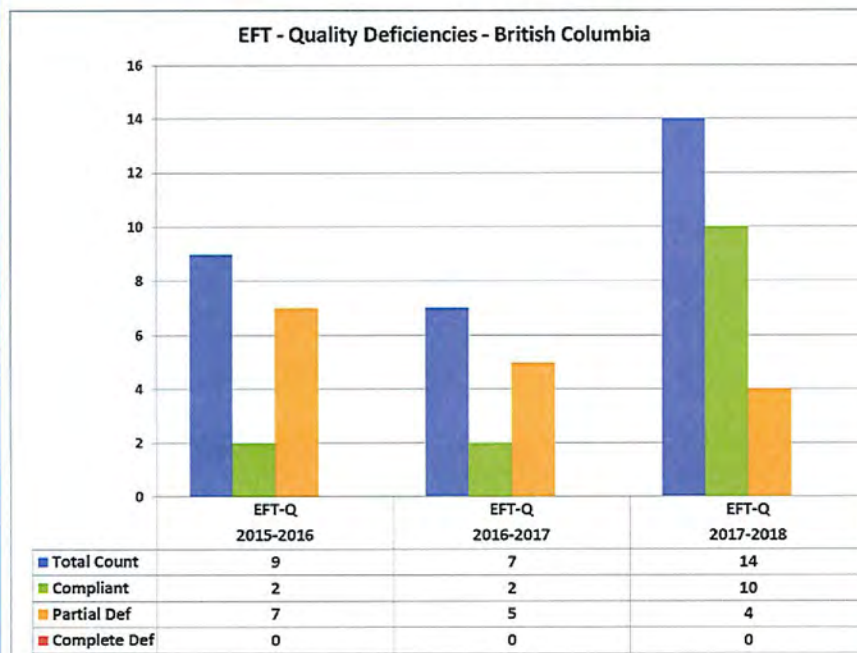


National

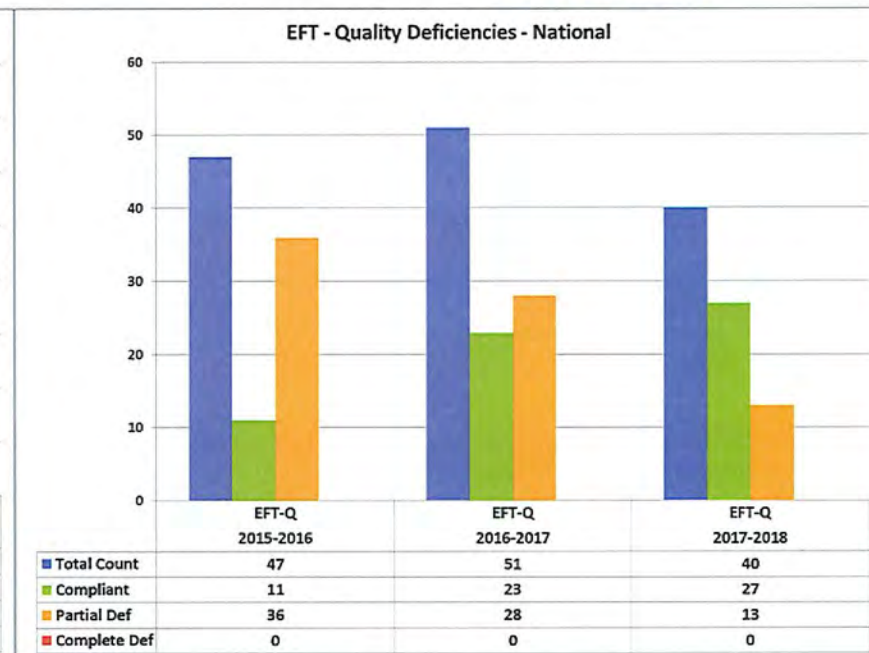


QTV Deficiencies by Report Type: EFT-Q

- Compliant
- Partial_Def
- Complete_Def
- Total Count



British Columbia

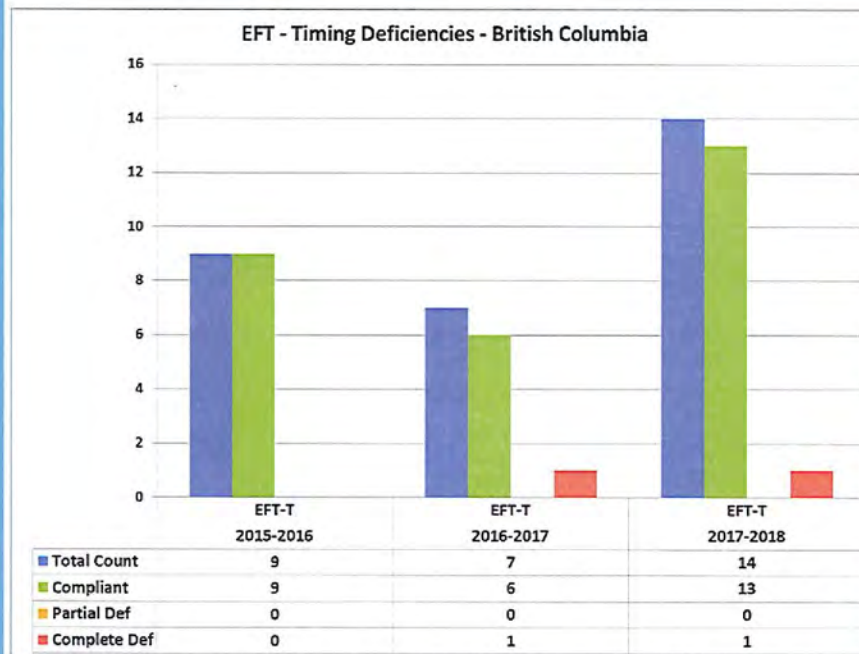


National

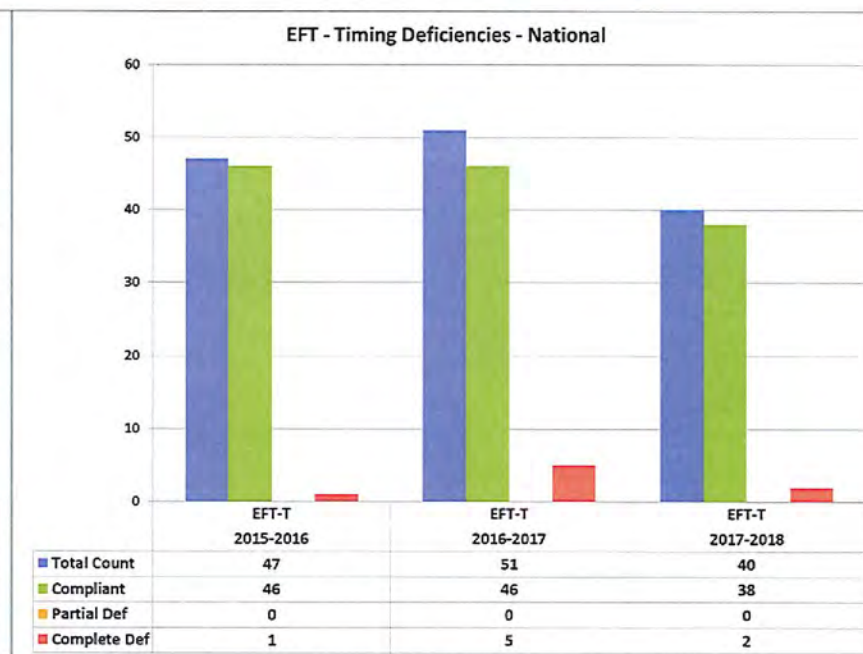


QTV Deficiencies by Report Type: EFT-T

- Compliant
- Partial_Def
- Complete_Def
- Total Count



British Columbia



National



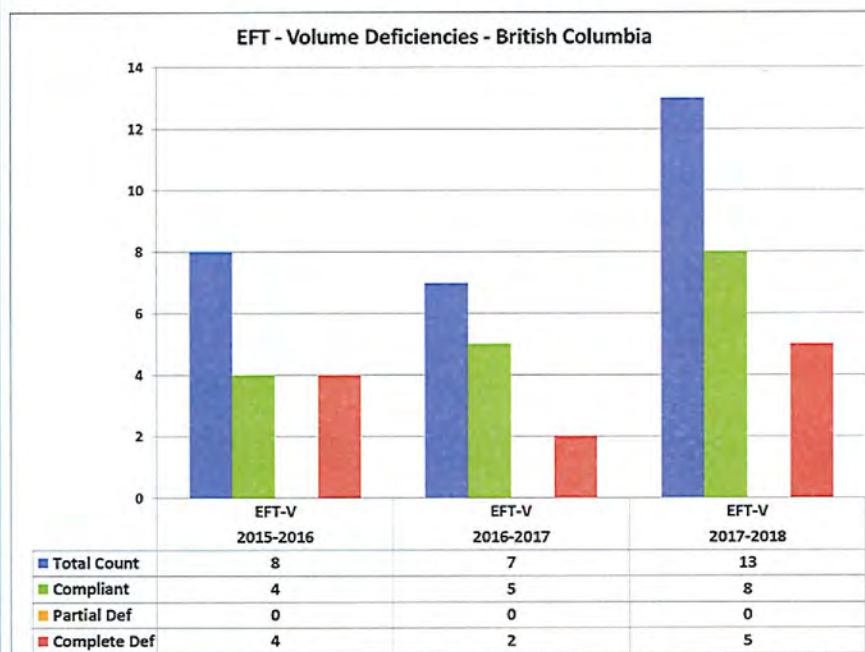
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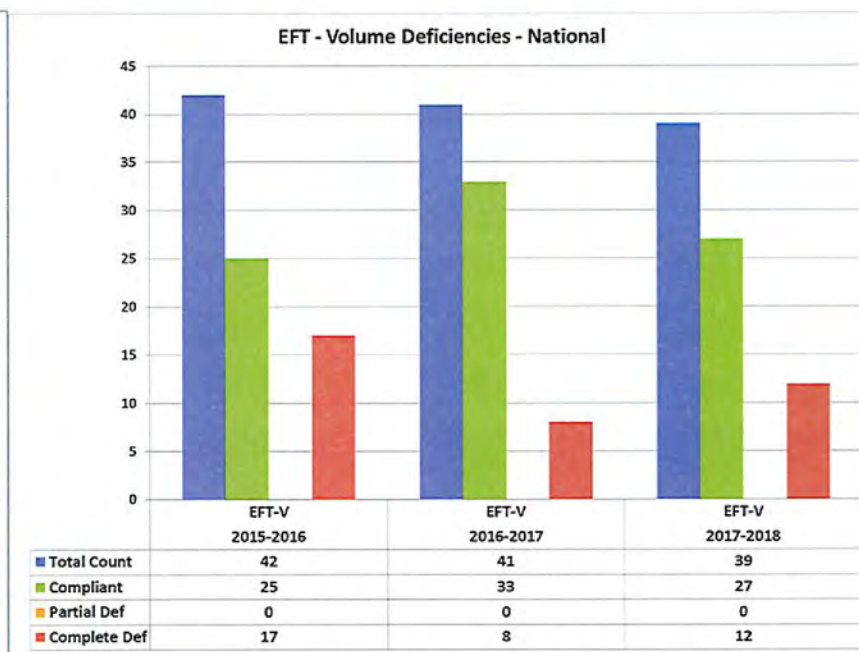
Canada

QTV Deficiencies by Report Type: EFT-V

- Compliant
- Partial_Def
- Complete_Def
- Total Count



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