

# CS170– Spring 2022— Homework 4

CurMack

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## Disjoint Set

Union by rank and path compression:

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**Algorithm 1:** `makeset( $x$ )`

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```
1  $\pi(x) \leftarrow x$ 
2  $rank(x) \leftarrow 0$ 
```

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**Algorithm 2:** `find( $x$ )`

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```
1 if  $x \neq \pi(x)$  then
2    $\pi(x) \leftarrow \text{find}(\pi(x))$ 
```

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**Algorithm 3:** `union( $x, y$ )`

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```
1  $r_x \leftarrow \text{find}(x)$ 
2  $r_y \leftarrow \text{find}(y)$ 
3 if  $r_x == r_y$  then
4   return
5 if  $rank(r_x) > rank(r_y)$  then
6    $\pi(r_y) \leftarrow r_x$ 
7 else
8    $\pi(r_x) \leftarrow r_y$ 
9   if  $rank(r_x) == rank(r_y)$  then
10     $rank(r_y) \leftarrow rank(r_y) + 1$ 
```

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Each `find` takes  $\mathcal{O}(\log^* n)$  time.

## Kruskal's algorithm

Kruskal's minimum spanning tree algorithm starts with the empty graph and then selects edges from  $E$  according to the following rule:

Repeatedly add the next lightest edge that doesn't produce a cycle.

**Cut property:** Suppose edges  $X$  are part of a minimum spanning tree of  $G = (V, E)$ . Pick any subset of nodes  $S$  for which  $X$  does not cross between  $S$  and  $V - S$ , and let  $e$  be the lightest edge

across this partition. Then  $X \cup \{e\}$  is part of some **MST**.

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**Algorithm 4:**  $\text{kruskal}(G, w)$ 


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**Input:** A connected undirected graph  $G = (V, E)$  with edge weights  $w_e$   
**Output:** A minimum spanning tree defined by the edges  $X$

```

1 for all  $u \in V$  do
2    $\text{makeset}(u)$ 
3  $X \leftarrow \phi$ 
4 Sort the edges  $E$  by weight
5 for all edges  $(u, v) \in E$ , in increasing order of weight do
6   if  $\text{find}(u) \neq \text{find}(v)$  then
7     add edge  $(u, v)$  to  $X$ 
8      $\text{union}(u, v)$ 
```

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## Prim's algorithm

Prim's minimum spanning tree algorithm:

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**Algorithm 5:**  $\text{prim}(G, w)$ 


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**Input:** A connected undirected graph  $G = (V, E)$  with edge weights  $w_e$   
**Output:** A minimum spanning tree defined by the edges  $X$

```

1 for all  $u \in V$  do
2    $\text{cost}(u) \leftarrow \infty$ 
3    $\text{prev}(u) \leftarrow \text{nil}$ 
4 Pick any initial node  $u_0$ 
5  $\text{cost}(u_0) \leftarrow 0$ 
6  $H \leftarrow \text{makequeue}(V)$  (priority queue, using cost-values as keys)
7 while  $H$  is not empty do
8    $v \leftarrow \text{deletemin}(H)$ 
9   for each  $(v, z) \in E$  do
10    if  $\text{cost}(z) > w(v, z)$  then
11       $\text{cost}(z) \leftarrow w(v, z)$ 
12       $\text{prev}(z) \leftarrow v$ 
13       $\text{decreasekey}(H, z)$ 
```

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## Huffman encoding

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**Algorithm 6:** Huffman( $f$ )
 

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**Input:** An array  $f[1 \dots n]$  of frequencies  
**Output:** An encoding tree with  $n$  leaves

```

1 Let  $H$  be a priority queue of intergers, ordered by  $f$ 
2 for  $1 = i : n$  do
3    $\text{insert}(H, i)$ 
4 for  $k = n + 1 : 2n - 1$  do
5    $i \leftarrow \text{deletemin}(H), j \leftarrow \text{deletemin}(H)$ 
6   create a node numbered  $k$  with children  $j$ 
7    $f[k] \leftarrow f[i] + f[j]$ 
8    $\text{insert}(H, k)$ 
```

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**Runtime:**  $\mathcal{O}(n \log n)$  if a binary heap is used.

## 2 Updating a MST

- (a) **Main Idea:** Do nothing.  
**Runtime:**  $\mathcal{O}(1)$  time.
- (b) **Main Idea:** Add  $e$  to  $T$ . Use DFS to find the cycle that now exists in  $T$ . Remove the heaviest edge in the cycle from  $T$ .  
**Runtime:**  $\mathcal{O}(|V|)$  time.
- (c) **Main Idea:** Do nothing.  
**Runtime:**  $\mathcal{O}(1)$  time.
- (d) **Main Idea:** Delete  $e$  from  $T$ . Now  $T$  has two components,  $A$  and  $B$ . Find the lightest edge with one endpoint in each of  $A$  and  $B$ , and add this edge to  $T$ .  
**Runtime:**  $\mathcal{O}(|V| + |E|)$  time.

## 3 Twenty Questions

**Main Idea:** Create a Huffman Tree on weights  $P$ .

**Correctness:** The Huffman tree gives us min code length. The code length is the cost of guess strategy, which means Huffman tree gives us min cost strategy.

**Runtime:**  $\mathcal{O}(n \log n)$  (this is dominated by the time to sort the probabilities)

## 4 Graph Game

- (a) Marking a node can only ever increase your score, since all values are positive.
- (b) **Main Idea:** Picking nodes in decreasing order  
**Correctness:** We have ordering  $v_1, v_2, \dots, v_n$  they are not sorted s.t.  $l(v_i) < l(v_{i+1})$   
 case 1:  $v_i$  and  $v_{i+1}$  are disconnected. No edges between means the score doesn't change.  
 case 2: There are edges between  $v_i$  and  $v_{i+1}$  our score will increase by  $l(v_i + 1) - l(v_i)$ , if we swapped ordering such that  $l(v_i) > l(v_{i+1})$ . The solution will be decreasing order.

**Runtime:**  $\mathcal{O}(n \log n)$

- (c) The same graph as example and take  $l(A) = 1, l(B) = -1, l(C) = -2$ . Then the greedy algorithm gives value 0. The optimum is  $A, B$  with value 1.
- (d) The same graph as example and take  $l(A) = 1, l(B) = -1, l(C) = -2$ . Then the modified greedy algorithm gives  $A$  with value 0. The optimum is  $A, B$  with value 1.