# **Convolutional Neural Network (CNN) Project**

## **Capstone Project Proposal: Dog Breed Classification**

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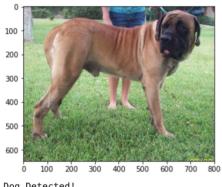
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## I. Definition

## **Project Overview**

Ready for exploring the secrets of the Convolutional Neural Network (CNN)? This fantastic project will give you a start. In this project, we will develop machine learning algorithms using the CNN to classify the dogs according to their breeds. Given an image, the algorithms will first judge whether it is a human or dog. If the supplied image is predicted as a dog, the algorithms will tell you which breed it belongs to. If the supplied image is predicted as a human, the algorithms will tell you which dog breed the human resembles.

Along with testing the performance of the CNN models compared to the benmark model, we will have a comprehensive understanding of the great power of the CNN algorithms. The main objective of this project is to show you how to create a CNN model from stratch and how to use a pre-trained model to help develop a CNN model.



Dog Detected! It looks like a Mastiff

## **Problem Statement**

The main objective is to create a CNN model for classifying dogs according to their breeds. The key steps involved are shown as follows:

- 1. Import, Explore and Visualize Datasets
- 2. Detect Humans
- 3. Detect Dogs
- 4. Create a CNN Model from Scratch to Classify Dog Breeds
- 5. Create a CNN Model by transfer learning to Classify Dog Breeds
- 6. Write your Algorithm
- 7. Test your Algorithm

The finally developed CNN model is expected to predict the dog breed if supplied a dog image. If supplied a human image, the model will show you the dog breed that the human resembles.

### **Metrics**

There are lots of evaluation metrics available. Since this project focuses on exploring the CNN algorithms, it would be better to use cross-entropy loss to evalute the performance of the models in classifying the dog breeds. This criterion combines LogSoftmax() and NLLLoss() in one single class, which will be useful when training a classification problem with multiple classes.

The loss can be described as:

$$loss(x, class) = -\log \left(rac{\exp(x[class])}{\sum_{j} \exp(x[j])}
ight) = -x[class] + \log \left(\sum_{j} \exp(x[j])
ight)$$

or in the case of the weight argument being specified

$$loss(x, class) = weight[class] \left( -x[class] + log \left( \sum_{j} \exp(x[j]) 
ight) 
ight)$$

In our case, there are 133 classes for dog breeds and the datasets are imblanced as the number of images of the dogs are different by different dog breeds. Besides, the size of the images are not the same. Hence, the cross-entropy loss is more suitabel for this case.

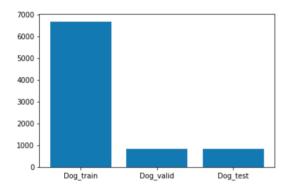
## II. Analysis

## **Data Exploration**

localhost:8888/lab#II.-Analysis 2/10

In this project, the human dataset has 13233 images stored 5749 folders while the dog dataset has 8351 dog images. In dog dataset, there are 133 dog breeds. The number of images for different dog breeds varies. Hence, the datasets are imbalanced. Besides, the dog images have different size and resolution, which requires preprocessing in order to be suitable as inputs into the designed model.

We observe that the dog images have already been split into "train", "validation" and "test" datasets. The percentages are 80% for "train", 10% for "validation" and 10% for "test". The split of dog images can be observed in the below graph. We can see that 6680 dog images will be used to train the model while 835 dog images will be used to tune the parameters. In the end, 836 dog images will be used to evaluate the performance of the model.



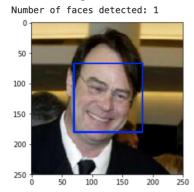
## **Algoritgms and Techniques**

All the steps involved in this project are the following:

- 1. Import the datasets required (dog and human datasets);
- Detect human using a pre-trained detector (here we will use the OpenCV's implementation of Haar feature-based cascade classifiers t to detect human faces from the image. Please refer to <a href="https://docs.opencv.org/master/db/d28/tutorial\_cascade\_classifier.html">https://docs.opencv.org/master/db/d28/tutorial\_cascade\_classifier.html</a>) to get more information);
- 3. Detect dogs using several pre-trained detectors (here we will use VGG16, ResNet50 and ResNet18 to test their performance)
- 4. Since our data has been divided into "training", "validation" and "test" subsets, we can use the "training" dataset to create a Convolutional Neural Network (CNN) from scratch as a benmark model. We will test the model on the "test" dataset to get an accuracy of over 10% by adujusting the input parameters such as epoch value and the number of convolutional layersused. CrossEntropyLoss is used to evalute the quality of the created CNN model.
- 5. Create a CNN model by transfer learning using a pre-trained dog detector based on the same "training" dataset. By adjusting the parameters, we will develop a model with an expected accouracy of over 60%. CrossEntropyLoss is used to evalute the quality of the created CNN model.

### **Step 1: Detect Humans**

In this section, we use OpenCV's implementation of Haar feature-based cascade classifiers to detect human faces in images.



Then, we use the pre-trained face detector to test its performance in first 100 images of the datasets. The test result is as follows

```
from tqdm import tqdm
human_files_short = human_files[:100]
dog_files_short = dog_files[:100]
#-#-# Do NOT modify the code above this line. #-#-#
## TODO: Test the performance of the face_detector algorithm
## on the images in human_files_short and dog_files_short.
human_files_percentage = sum([face_detector(human) for human in human_files_short]) / len(human_files_short)
dog_files_percentage = sum([face_detector(dog) for dog in dog_files_short]) / len(dog_files_short)
print("The percentage of human detected in human files is", human_files_percentage * 100,"%")
print("The percentage of human detected in dog files is", dog_files_percentage * 100, "%")
The percentage of human detected in human files is 98.0 %
The percentage of human detected in dog files is 17.0 %
```

#### **Step 2: Detect Dogs**

#### Preprocess the data

localhost:8888/lab#II.-Analysis 4/10

In this section, we will use several pre-trained models to detect dogs and evaluate their performance. These models have some specific requirements for input data. Hence, we need to preprocess these data. First resize the image to 256 px and then crop the image to 224 px. All the pre-trained models xpect input images normalized in the same way, i.e. mini-batches of 3-channel RGB images of shape (3 x H x W), where H and W are expected to be at least 224. An example is like the following:

```
img = Image.open(img_path)
transform = transforms.Compose([transforms.Resize(256),
                                transforms.CenterCrop(224),
                                transforms.ToTensor(),
                                transforms.Normalize(mean=[0.485, 0.456, 0.406],
                                                      std=[0.229, 0.224, 0.225])
                               ])
img_t = transform(img)
batch_t = img_t.unsqueeze(0)
if use_cuda:
   batch_t = batch_t.cuda()
# activate VGG16 model
VGG16.eval()
output = VGG16(batch_t)
output = output.cpu().data.numpy().argmax()
return output # predicted class index
```

Then, we develop several detectors based on pre-trained models, e.g., VGG16, ResNet50, ResNet18. An example is like the following:

```
### returns "True" if a dog is detected in the image stored at img_path
def dog_detector(img_path):
    ## TODO: Complete the function.
    if VGG16_predict(img_path) in range(151,269):
        return True
    else:
        return False
```

localhost:8888/lab#II.-Analysis 5/10

Then we evaluate the performance of these models in detecting dogs. All these models work reasonably.

#### This is for VGG16

```
### TODO: Test the performance of the dog_detector function
### on the images in human_files_short and dog_files_short.
human_files_percentage = sum([dog_detector(human) for human in human_files_short]) / len(human_files_short)
dog_files_percentage = sum([dog_detector(dog) for dog in dog_files_short]) / len(dog_files_short)
print("The percentage of dog detected in human files is", human_files_percentage * 100,"%")
print("The percentage of dog detected in dog files is", dog_files_percentage * 100, "%")
The percentage of dog detected in human files is 0.0 %
The percentage of dog detected in dog files is 100.0 %
```

This is for ResNet50 ![image.png](attachment:34779cee-7cb4-442f-bd21-69842e59f9ef.png

#### This is for ResNet18

```
### TODO: Test the performance of the dog_detector function
### on the images in human_files_short and dog_files_short.
human_files_percentage = sum([dog_detector3(human) for human in human_files_short]) / len(human_files_short)
dog_files_percentage = sum([dog_detector3(dog) for dog in dog_files_short]) / len(dog_files_short)
print("The percentage of dog files detected in human files is", human_files_percentage * 100,"%")
print("The percentage of dog files detected in dog files is", dog_files_percentage * 100, "%")
The percentage of dog files detected in human files is 0.0 %
The percentage of dog files detected in dog files is 99.0 %
```

#### Step 3: Create a CNN from scratch

From the test work above, we have the detectors for detecting humans and dogs in any input images. Now comes to the work of creating a model to predict the dog breed from images. In this step, we will create a CNN model from scratch. Sample CNN is like

```
# define the CNN architecture
class Net(nn.Module):
   ### TODO: choose an architecture, and complete the class
   def __init__(self):
        super(Net, self).__init__()
        ## Define layers of a CNN
        ## Define layers of a CNN
       self.conv1 = nn.Conv2d(3, 16, 3)
        self.conv2 = nn.Conv2d(16, 32, 3)
       self.conv3 = nn.Conv2d(32, 64, 3)
       self.conv4 = nn.Conv2d(64, 128, 3)
       self.conv5 = nn.Conv2d(128, 256, 3)
       # max pooling layer
       self.pool = nn.MaxPool2d(2, 2)
        # linear layer (256 * 5* 5 -> 500)
       self.fc1 = nn.Linear(256 * 5 * 5, 500)
        self.fc2 = nn.Linear(500, 133)
   def forward(self, x):
       ## Define forward behavior
       x = self.pool(F.relu(self.conv1(x)))
       x = self.pool(F.relu(self.conv2(x)))
       x = self.pool(F.relu(self.conv3(x)))
        x = self.pool(F.relu(self.conv4(x)))
       x = self.pool(F.relu(self.conv5(x)))
        # flatten image input
       #print(x.shape)
       x = x.view(-1, 5 * 5 * 256)
       # add 1st hidden layer, with relu activation function
       x = F.relu(self.fc1(x))
       # add 2nd hidden layer, with relu activation function
       x = self.fc2(x)
       return x
```

localhost:8888/lab#II.-Analysis 6/10

The steps that are used to develop the CNN architecture is summerized as follows:

First, we need to know how to calculate the spatial dimensions after a convolutional layer. The expression is like  $(W_in - F + 2P)/S + 1$  (P=0 and S=1 by default).

C layer 1: load in tensor with the size of 224 224 3 and convert to the one with the size of 222 222 16; M layer 1: convert the output from C layer 1 to the one with the size of 111 111 16; C layer 2: convert the output from M layer 1 to the one with the size of 109 109 32; M layer 2: convert the output from C layer 2 to the one with the size of 54 54 32; C layer 3: convert the output from M layer 2 to the one with the size of 52 64; M layer 3: convert the output from C layer 3 to the one with the size of 26 26 64; C layer 4: convert the output from M layer 3 to the one with the size of 24 24 128; M layer 4: convert the output from C layer 4 to the one with the size of 12 12 128; C layer 5: convert the output from M layer 4 to the one with the size of 10 10 256; M layer 5: convert the output from C layer 5 to the one with the size of 5 256;

Then, flatten the image input and make the prediction for different dog breeds. Note that there are 133 labels for dog breeds.

Let's have a look at the results.

```
# call test function
test(loaders_scratch, model_scratch, criterion_scratch, use_cuda)
Test Loss: 3.367710

Test Accuracy: 18% (155/836)
```

18%, not bad, right? Note that we set the epochs =20. If we increase the value of the epochs, for example, 100. The accuracy will increase dramatically. Some other parameters can also be tuned to give a satisfactory accuracy, but it will cost much longer time to get the results. Since we have already met the least accuracy requirement (10%). We will move to the next step.

#### Step 4: Create a CNN by transfer learning

To reduce the time on creating a CNN model from scratch, we could use a pre-trained model to create a CNN model. A sample is like

```
import torchvision.models as models
import torch.nn as nn

## TODO: Specify model architecture
model_transfer = ResNet50

# Freeze parameters so we don't backprop through them
for param in model_transfer.parameters():
    param.requires_grad = False

# Replace the last fully connected layer with a linear layer with 133 out features
model_transfer.fc = nn.Linear(2048, 133)

if use_cuda:
    model_transfer = model_transfer.cuda()
```

localhost:8888/lab#II.-Analysis 7/10

#### Let's see the model accuracy

```
# train the model
model_transfer = train(10, loaders_transfer, model_transfer, optimizer_transfer, criterion_transfer, use_cuda, 'model_transfer.p
# load the model that got the best validation accuracy (uncomment the line below)
model_transfer.load_state_dict(torch.load('model_transfer.pt'))
Epoch: 1 Training Loss: 2.839314
Validation loss decreased (inf --> 0.952225).
                                                        Validation Loss: 0.952225
                                                        Saving model...
Epoch: 2
                  Training Loss: 1.516055
                                                       Validation Loss: 0.672417
Validation loss decreased (0.952225 --> 0.672417).
                                                              Saving model..
                                                       Validation Loss: 0.631558
                  Training Loss: 1.340298
Fnoch: 3
Validation loss decreased (0.672417
                                            -> 0.631558).
                                                              Saving model.
                  Training Loss: 1.277721
                                                       Validation Loss: 0.559298
Epoch: 4
Validation loss decreased (0.559298 -> 0.498856). Saving model...

Training Loss: 1.180721 Validation Loss: 0.543812

Validation Loss: 0.596924

Validation Loss: 0.587403
                  Training Loss: 1.156151
Epoch: 9
                  Training Loss: 1.126089
                                                        Validation Loss: 0.500400
Epoch: 10
                                                       Validation Loss: 0.603168
                  Training Loss: 1.140203
```

#### (IMPLEMENTATION) Test the Model

Try out your model on the test dataset of dog images. Use the code cell below to calculate and print the test loss and accuracy. Ensure that your test accuracy is greater than 60%.

```
test(loaders_transfer, model_transfer, criterion_transfer, use_cuda)

Test Loss: 0.552045

Test Accuracy: 83% (695/836)
```

The accuracy is 83%. Very good, right? Note that we set the epochs = 10.

#### **Step 5: Write your Algorithm**

Write an algorithm that accepts a file path to an image and first determines whether the image contains a human, dog, or neither. Then,

- if a **dog** is detected in the image, return the predicted breed.
- if a **human** is detected in the image, return the resembling dog breed.
- if **neither** is detected in the image, provide output that indicates an error.

You are welcome to write your own functions for detecting humans and dogs in images, but feel free to use the face\_detector and human\_detector functions developed above. You are **required** to use your CNN from Step 4 to predict dog breed.

Some sample output for our algorithm is provided below, but feel free to design your own user experience!

Sample Human Output

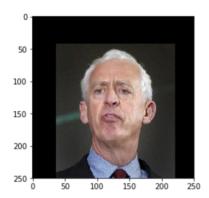
localhost:8888/lab#II.-Analysis 8/10

#### In [1]:

```
### TODO: Write your algorithm.
### Feel free to use as many code cells as needed.
def run app1(img path):
    ## handle cases for a human face, dog, and neither
    img = Image.open(img path)
    plt.imshow(img)
    plt.show()
    if dog detector(img path):
        prediction = predict breed transfer(img path)
        print("Dog Detected!\nIt looks like a {0}".format(prediction))
    elif face detector(img path) > 0:
        prediction = predict_breed_transfer(img_path)
        print("Hello, human!\nIf you were a dog... You look like a {0}".format(p
rediction))
    else:
        print("Neither human nor dog detected, input error")
```

#### Step 6: Test your Algorithm

Let's see some interesting predictions.



Hello, human! If you were a dog... You look like a Cocker spaniel



Dog Detected! It looks like a Mastiff

### **Step 7: Potential Improvements**

localhost:8888/lab#II.-Analysis 9/10

• More dataset augmentation (e.g., higher rotation, flipping vertically) can be tested to see whether this would improve the model accuracy

- Image processing is repeated, e.g., transform defined in many functions, which can be defined as a function.
- More parameters can be tested, e.g., increasing the value of epochs, employing different pre-trained models, and increasing the number of layers for the CNN architecture.

## **III. Conclusion**

Finally, I make it. Although I had experienced lots of problems such as the version mismatch, Udacity working environment differs from the local environment, pre-trained models give different results, I arrived at the end. Thanks everybody who have helped me go through these difficulties. This project prvides with me a valuable opportunity to explore the arts of deep learning and make a great start. I will continue contributing my efforts to deep learning in next few years.