# **BME1063 Homework1 report**

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# **Data Preparation**

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#### **Data Overview**

The fastq data is generated by the software <u>dwgsim</u> written by <u>Nils Homer</u>. Most of the parameters are set as default except a few. In order to simulate data similar to real Next Generation Sequencing (NGS) data, the sequencing error rate is set to 0.0007 to produce reads with acceptable quality scores. The random seed involved in the data generation is also specified to make the process reproduceable. In the end, 3 pair-end datasets have been generated based on human genome <u>hg38</u> with different read length, namely 50, 70 and 100 bp.

# data regeneration

To regenerate the data, run pbs file on PBS/HW1-1\_DataSimulation/HW1-1\_DataSimulation.pbs

```
qsub PBS/HW1-1_DataSimulation/HW1-1_DataSimulation.pbs

# for personal computer, run as shell script:
# bash PBS/HW1-1_DataSimulation/HW1-1_DataSimulation.pbs
```

### detailed parameters

For running dwgsim to generate the data, the parameters are set as follows:

parameter	value			
error rate	0.0007 (quality score ~31.5)			
number of reads	100000			
random seed	1063 (course number)			
outer distance between two ends for pairs	mean 500, stddev 50			
mutation rate	0.1%			
indel fraction	0.1			
random DNA read fraction	5%			
number of Ns in a read	maximum 0			
stddev for base quality score	50			

#### **DataQC**

In order to ensure that the quality of the data simulated are within acceptable range, fastqc was performed upon the 3 datasets generated. To regenerate QC process, run pbs script PBS/HW1-2\_FASTQC.pbs.

```
qsub PBS/HW1-2_FASTQC/HW1-2_FASTQC.pbs

# for personal computers, run as shell script:
# bash PBS/HW1-2_FASTQC/HW1-2_FASTQC.pbs
```

The QC result can be found on FinalReport/FASTQC. According to the result, all the simulated datasets performs as good as a real-life high-quality sequencing data, laying the foundation for further analysis.

# Results

2 different software, namely BWA and Bowtie2, is used to align the simulated data to reference genome hg38. For optimal running efficiency, both software uses a pre-index strategy to speed up the alignment process. For BWA, the index is generated with resources up to 10-core, 32 Gb ram on HPC. For bowtie2, the pre-made index file for the same reference genome was downloaded from the official website.

### **Time summary**

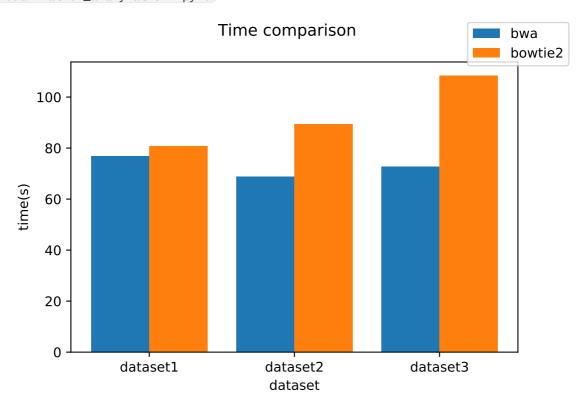
Running times for the two software are measured using Linux time function and are extracted by script script/1-time\_summary.sh

```
bash script/1-time_summary.sh
```

The running time summary can be found in FinalReport/time\_summary. According to the summary, the running times (user time) of two software are as follows:

dataset	BWA time	Bowtie2 time
dataset1	1m17s	1m21s
dataset2	1m9s	1m29s
dataset3	1m13s	1m48s

A visualization can be generated as follows using code from script/2vidualization\_analyzation.ipynb.



As is shown above, BWA and bowtie2 have a similar running time for dataset1. However, as the read length getting longer, BWA running time stays constant while bowtie2 require more time to perform alignment.

### mapping quality summary

The mapping quality information is summarized by calling <code>dwgsim\_eval</code>, which is a component of <code>dwgsim</code>. Tab-splitted summary files will be generated on <code>FinalReport/mapping\_summary</code> by running <code>script/3-map\_quality\_summary.sh</code>. The further analyzation and visualization is done by jupyter notebook <code>script/2-vidualization\_analyzation.ipynb</code>. To assess the data, precision, recall and F-score is defined as follows:

$$egin{aligned} Precision &= rac{TP}{TP + FP} \ Recall &= rac{TP}{TP + FN} \ Fscore &= rac{2*Precision*Recall}{Precision + Recall} \end{aligned}$$

The result is summarized in the following table:

software	dataset	precision	recall	F-score	
bwa	dataset0	0.930225	1.0	0.963852	
bowtie2	dataset1	0.909904	0.999397	0.952553	
bwa	dataset1	0.915926	1.0	0.956119	
bowtie2	dataset2	0.883572	0.998894	0.937701	
bwa	dataset2	0.923573	1.0	0.960268	
bowtie2	dataset3	0.900112	0.999278	0.9471060	

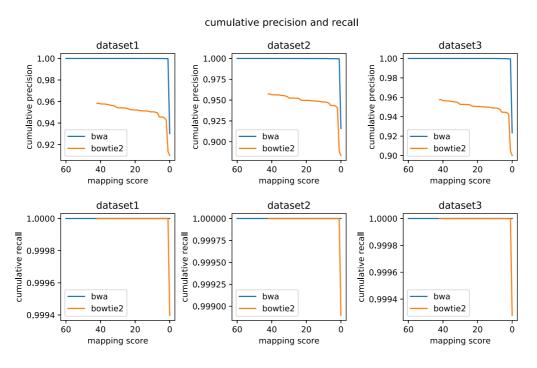
In terms of performance, BWA tends to do better than Bowtie2 in general.

### **Cumulative precision and recall**

Since both software provide with mapping a mapping quality score, it is informative to see the mapping quality with mapping quality score above some threshold. To achieve that goal, a cumulative precision and recall was defined as follows:

$$\label{eq:cumulative} \begin{aligned} & \text{Cumulative precision} = \frac{\text{TP considering cases with score higher than threshold}}{\text{TP + FP considering cases with score higher than threshold}} \\ & \text{Cumulative recall} = \frac{\text{TP considering cases with score higher than threshold}}{\text{TP + FN considering cases with score higher than threshold}} \end{aligned}$$

The following figure visualizes the cumulative precision and recall of the result generated by BWA and Bowtie. Note that different software has different quality scoring strategy, and thus quality scores produced by different software cannot be compared with each other.



#### Cause of time differences

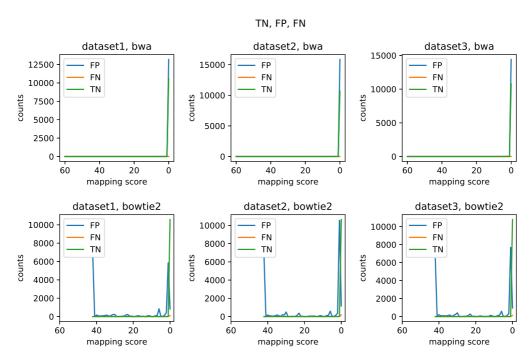
As we can see, BWA runs with constant time with 3 different datasets while bowtie2's running time varies between different datasets. Since the only difference between the datasets is the sequencing read length, we can predict that Bowtie2 requires longer running time for longer sequencing reads while BWA do not. This phenomenon is caused by the algorithms applied by the two software.

For bowtie2, a 4-steps alignment process is implemented. The first step for Bowtie2 to align a single read is generating "seed" substrings for every 10 bp of the query string, and thus the number of seeds is proportional to the length of query read. After that, Burrows Wheeler alignment was performed to align the seeds to the reference genome, followed by extension using dynamic programming algorithm. Because of the increasing number of seeds with query length and quadratic complexity of dynamic programming algorithm, the time spent will get longer for mapping longer reads, being O(mnN). (m being the read length, n being number of reads and N being the reference size)

For BWA, the seeding process will create similar number of seed regardless of the query length and alignment is based on Burrows-Wheeler Transform. Starting from the whole reference genome, the search space for BWA get smaller by each round. In average, for every round, 3 fourths of the reference genome is eliminated, leading to a time complexity of  $O(nlog_4(N))$  for each reads, N being the size of the Genome and n being the number of reads. Since  $4^50 \approx 10^{30}$  is a large number, well exceeding the size of human reference genome, the alignment will terminate before running out of read length. As a result, time consumption for BWA alignment is determined by the reference genome size and is unrelated with read length.

### **Mapping quality Score**

As we can observe by cumulative precision and recall, bad mappings are clustered at around 0 score, especially for BWA. In fact, If we can plot FP, TN, FN against the mapping quality score, we will find that those events all happen with relatively low quality score, proving the scoring system reasonable.



#### Possible reasons for mistakes

The most common mistake is False Positive, and as is recorded in <a href="script/2-vidualization\_analyzation.ipynb">script/2-vidualization\_analyzation.ipynb</a>, there is no random sequences mapped, thus the most common mistake being mis-mapping. It is found that mistakes can happen when the read comes from repetative regions.

we take one mis-mapped read as an example:

chr1\_122619406\_122619831\_0\_1\_0\_0\_0:0:0\_0:0:0\_4b9

This read is from dataset3, originating in chromosome 1, position 122619406. It is mapped to position 25024848 at chromosome 19 by bwa and position 123137299 at chromosome 1 by bowtie2.

The raw sequence is:

TATTCACCTCACCGATTTGAACGATCCTTTACACAGAGCAGACTTGAAACACTCTTTTTGTGGAATTTGCAAGTGGAGATTTC
AGCCGCTTTGAGGTCAA

By searching the sequence using <u>Human BLAT Search</u> provided by UCSC, the result is as follows:

ACTIONS	QUERY	SCORE	START	END	QSIZE	IDENTITY	CHROM	ç	STRAND	START	END	SPAN
browser detail	s YourSeq	100	1	100	100	100.0%	chr5		+ 47	7425343	47425442	100
browser detail	s YourSeq	100	1	100	100	100.0%	chr19	5	+ 25	5024848	25024947	100
browser detail	s YourSeq	100	1	100	100	100.0%	chr1	6	+ 122	2619406	122619505	100
browser detail	s YourSeq	96	1	100	100	98.0%	chr5	5	+ 47	7611980	47612079	100
browser detail	s YourSeq	96	1	100	100	98.0%	chr19	6	+ 25	5211485	25211584	100
browser detail	s YourSeq	96	1	100	100	98.0%	chr1	5	+ 124	1323375	124323474	100
browser detail	s YourSeq	96	1	100	100	98.0%	chr1	6	+ 122	2991673	122991772	100
browser detail	s YourSeq	96	1	100	100	98.0%	chr1	5	+ 122	2508552	122508651	100
browser detail	s YourSeq	94	1	100	100	97.0%	chr1	6	+ 123	3523209	123523308	100
browser detail	s YourSeq	94	1	100	100	97.0%	chr1	5	+ 122	2947148	122947247	100
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	6	+ 122	2651030	122651113	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	5	+ 122	2633010	122633093	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	6	+ 122	2629270	122629353	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	5	+ 122	2628590	122628673	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	6	+ 122	2583035	122583118	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	5	+ 122	2572495	122572578	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	9	+ 122	2504485	122504568	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	9	+ 121	1967850	121967933	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	9	+ 121	1765855	121765938	84
browser detail	s YourSeq	84	17	100	100	100.0%	chr1	-	+ 121	1764840	121764923	84

The sequence actually comes from a satellite DNA region, ALR/Alpha. It is a highly repetitive region in the genome and makes it difficult for aligners to map correctly. For such cases, one can adjust parameters to force the aligner to output all the possible maps. For BWA, use BWA aln -N and for Bowtie2, use bowtie2 -a.

#### Recommendations

In terms of time consumption, BWA have a better performance for reads longer than 50bp. In terms of mapping quality, BWA also more accurate than Bowtie2. As a result, BWA is more recommended in terms of short reads alignment.