



AUDIT CERTIFICATE

STANDARD: HIPAA PRIVACY RULE

Source File: Acceptable_Use_Policy.pdf

SECTION 1: EXECUTIVE SUMMARY

This Acceptable Use Policy outlines the responsibilities and expectations regarding the use of computer systems and the protection of sensitive information for [agency name]'s workforce. Its relevance to the HIPAA Privacy Rule lies in its focus on safeguarding protected health information and ensuring compliance with legal and regulatory frameworks.

SECTION 2: COMPLIANCE CHECKLIST

- [PASS] The policy covers general user awareness of data ownership and monitoring practices.
- [FAIL] The policy lacks specific procedures for addressing and reporting data breaches (CFR 164.410).
- [PASS] The policy identifies unauthorized access and unacceptable use of sensitive information, aligning with HIPAA's security requirements.
- [FAIL] The policy does not specify training requirements for employees handling protected health information (CFR 164.530).

SECTION 3: CRITICAL GAPS (Citations Required)

1. The policy does not address the required notification procedures in the event of a breach of protected health information, violating CFR 164.410, which mandates specific steps to follow for breach notification.
2. There is no mention of required workforce training on HIPAA Privacy Rule and security awareness for employees, which is a requirement under CFR 164.530, indicating a lack of compliance in training.

SECTION 4: REMEDIATION PLAN

- Develop and implement a data breach notification procedure as outlined in CFR 164.410.
- Integrate mandatory training programs for all employees on HIPAA Privacy Rule compliance and the handling of sensitive information as specified in CFR 164.530.
- Regularly review and update the policy to ensure alignment with HIPAA regulations and incorporate employee feedback.



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- Designate a compliance officer to oversee the execution and adherence to the policy, ensuring alignment with regulatory requirements.

SECTION 5: OFFICIAL SCORE

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Authorized Signature