

Gateway Crash Recovery Overview

IETF I12 - November, 12th, 2021

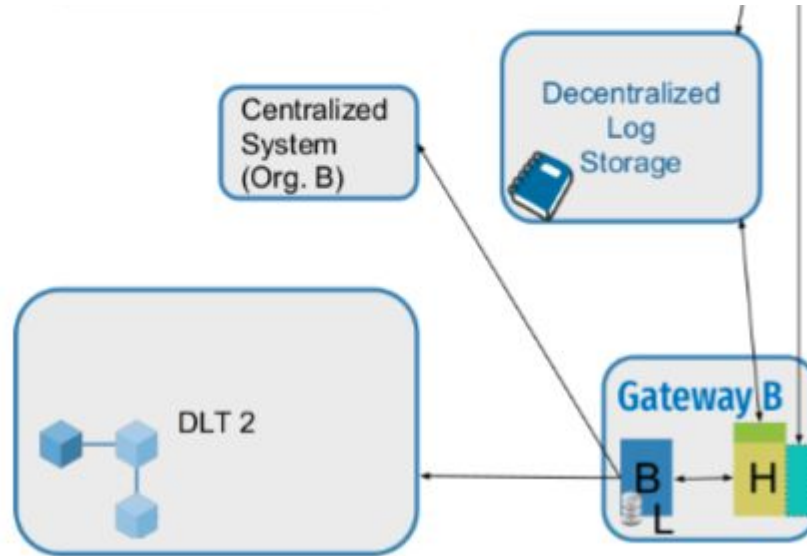
draft-belchior-blockchain-gateway-recovery-03

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Source:

https://www.techrxiv.org/articles/preprint/HERMES_Fault-Tolerant_Middleware_for_Blockchain_Interoperability/14120291



Draft v03

<https://datatracker.ietf.org/doc/draft-belchior-gateway-recovery/03/>

- Crash recovery of gateway sessions provides desirable properties to transactions originated by a gateway-to-gateway session.
- Relies on logging and the assumption of recovering (self-healing or primary-backup)
- Recovery is done on every phase of ODAP, with a “specialized procedure” on the Commitment Establishment Flow

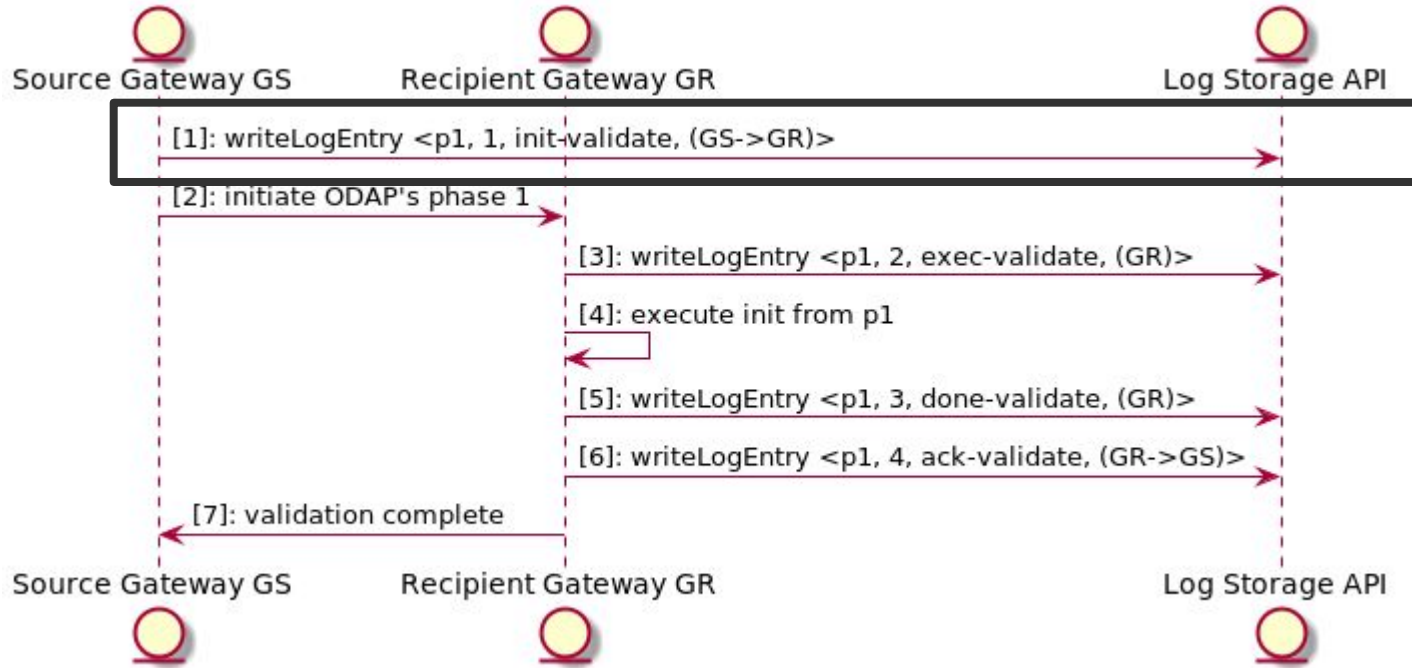
Message and logging flow



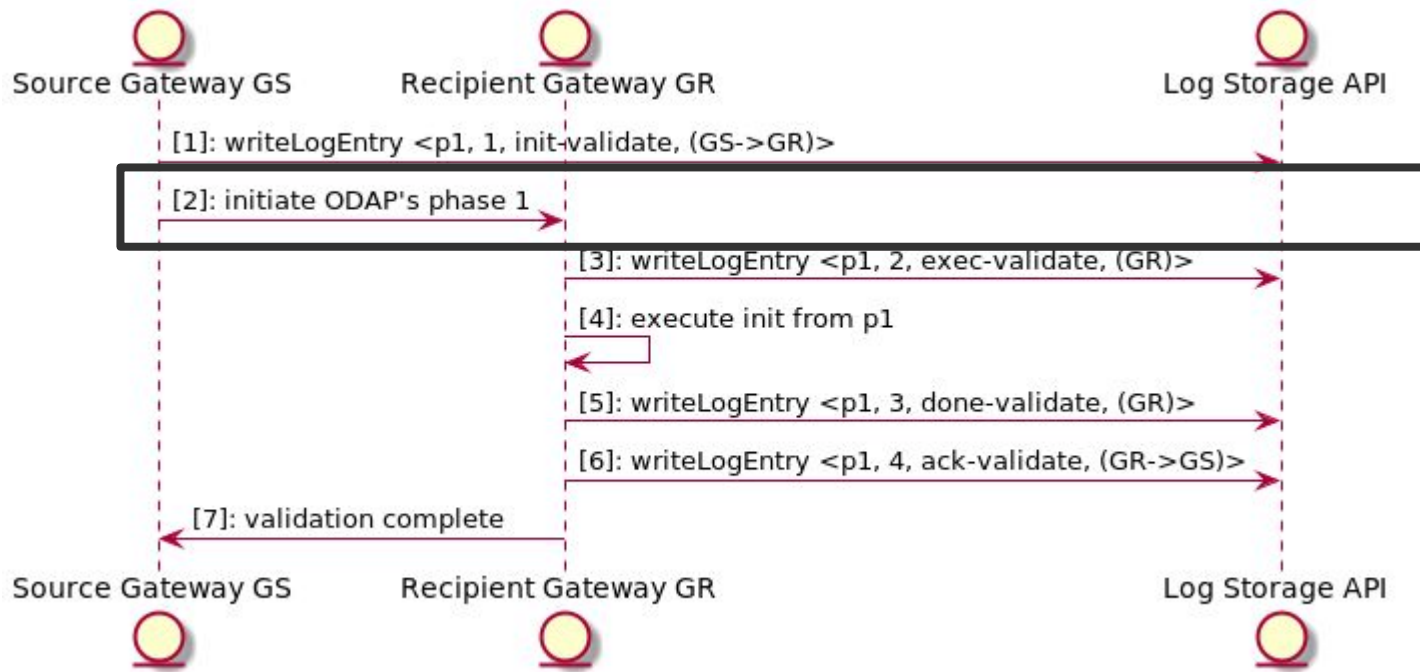
**For
each
gateway**

Message and logging flow

init-

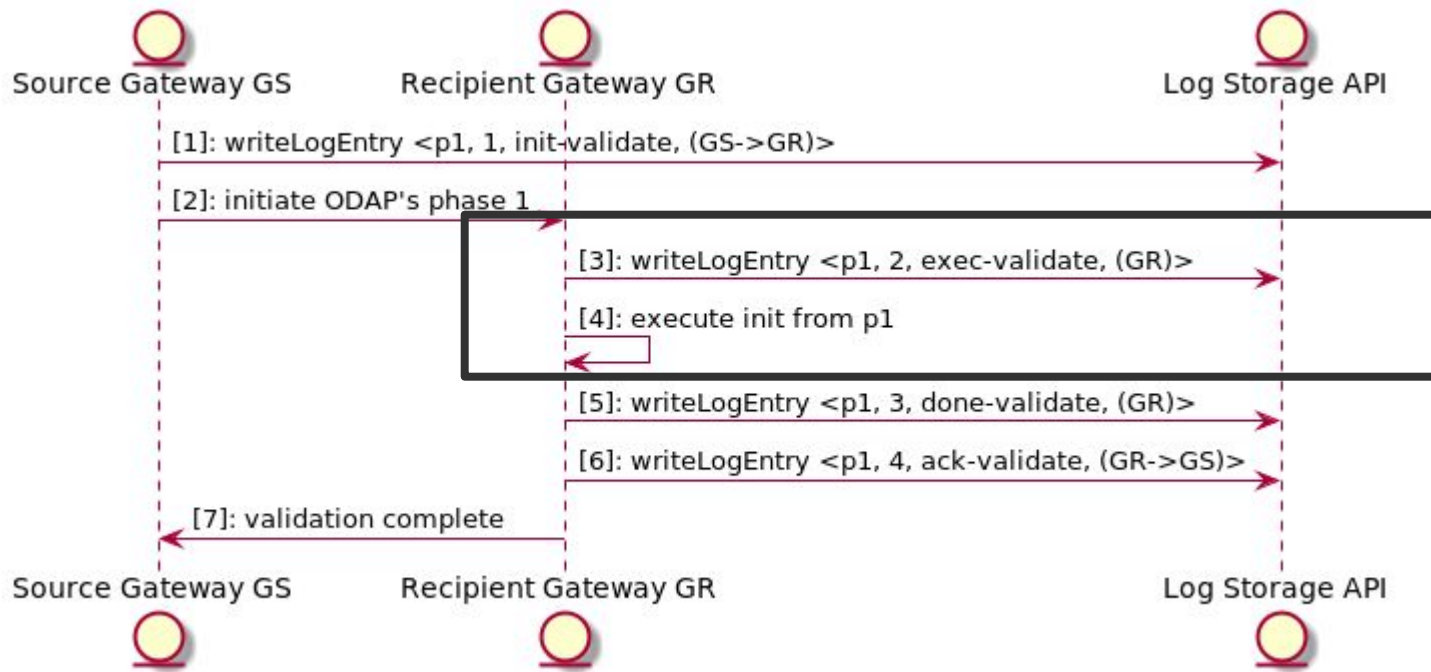


Message and logging flow



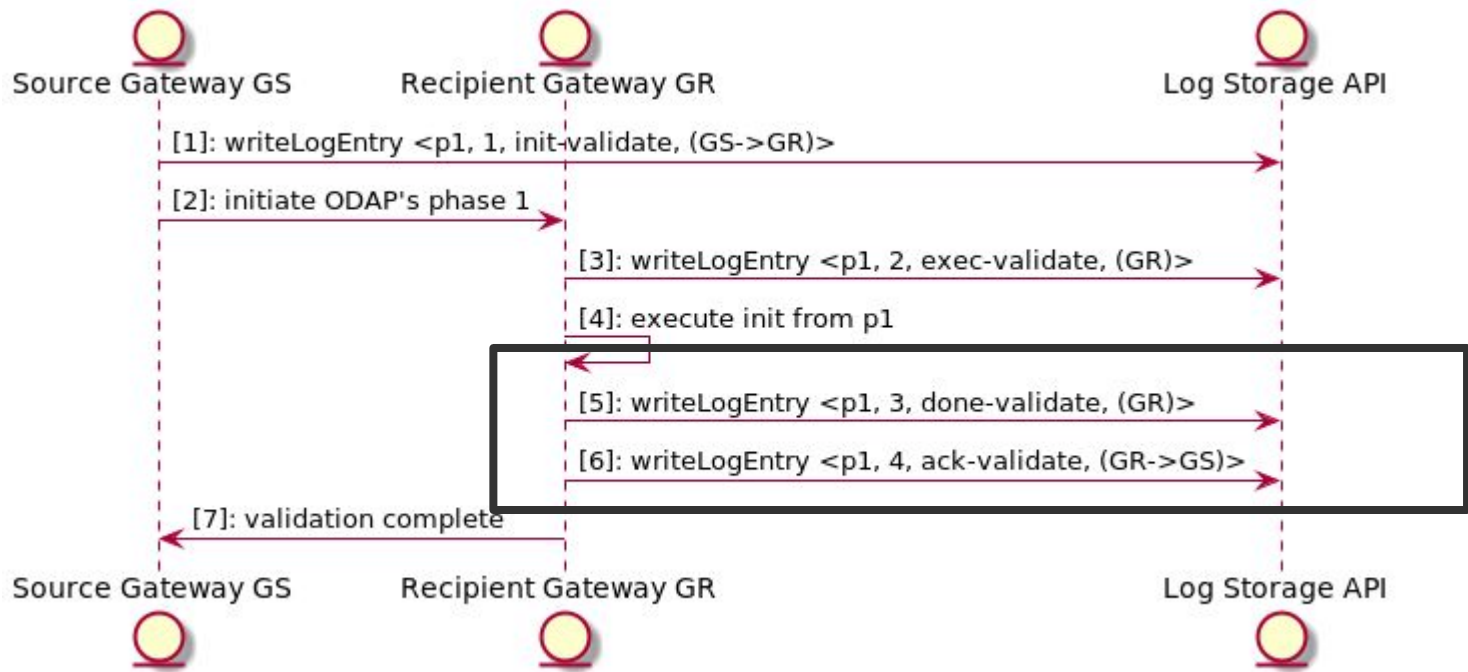
Message and logging flow

exec-

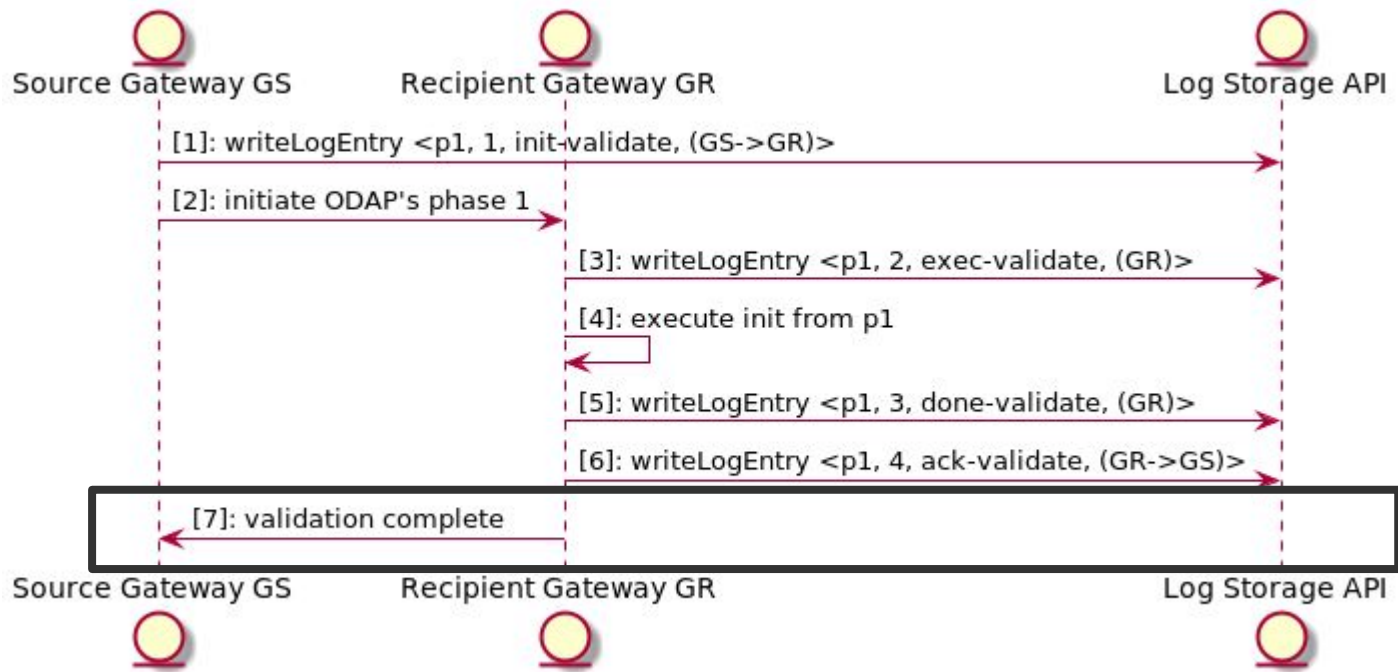


Message and logging flow

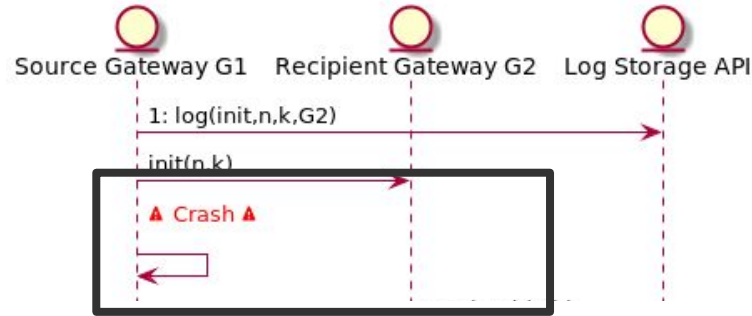
**done-
ack-**



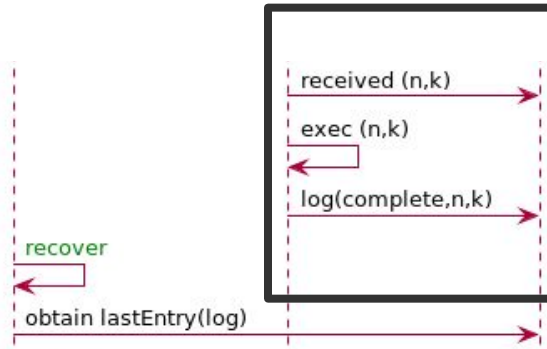
Message and logging flow



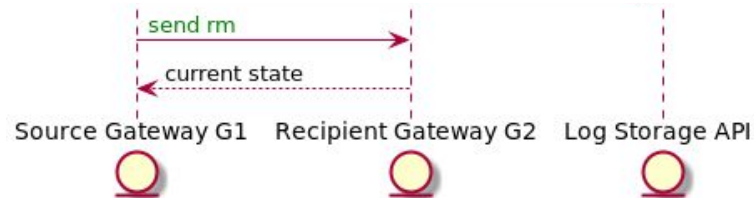
Recovery Procedure



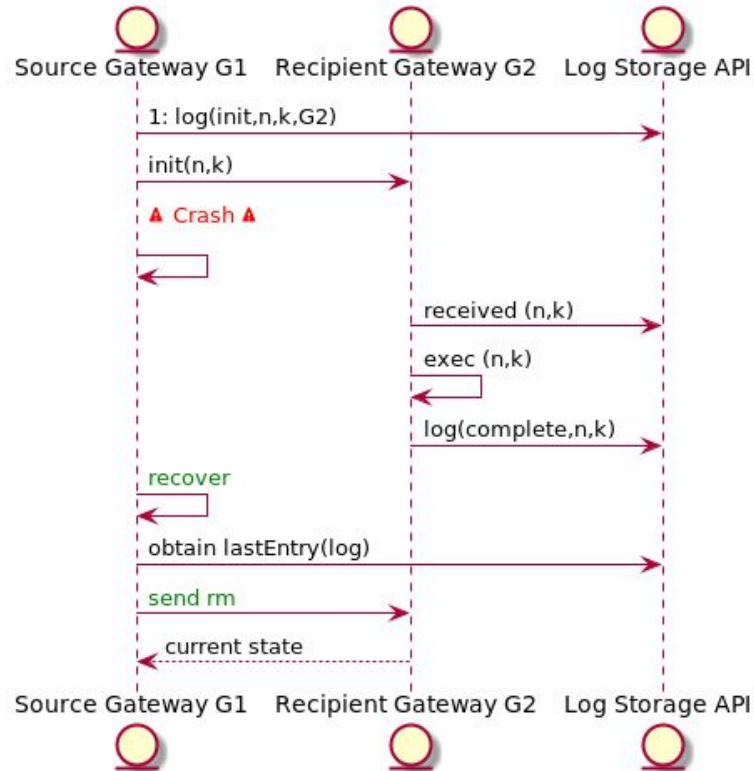
Recovery Procedure



Recovery Procedure

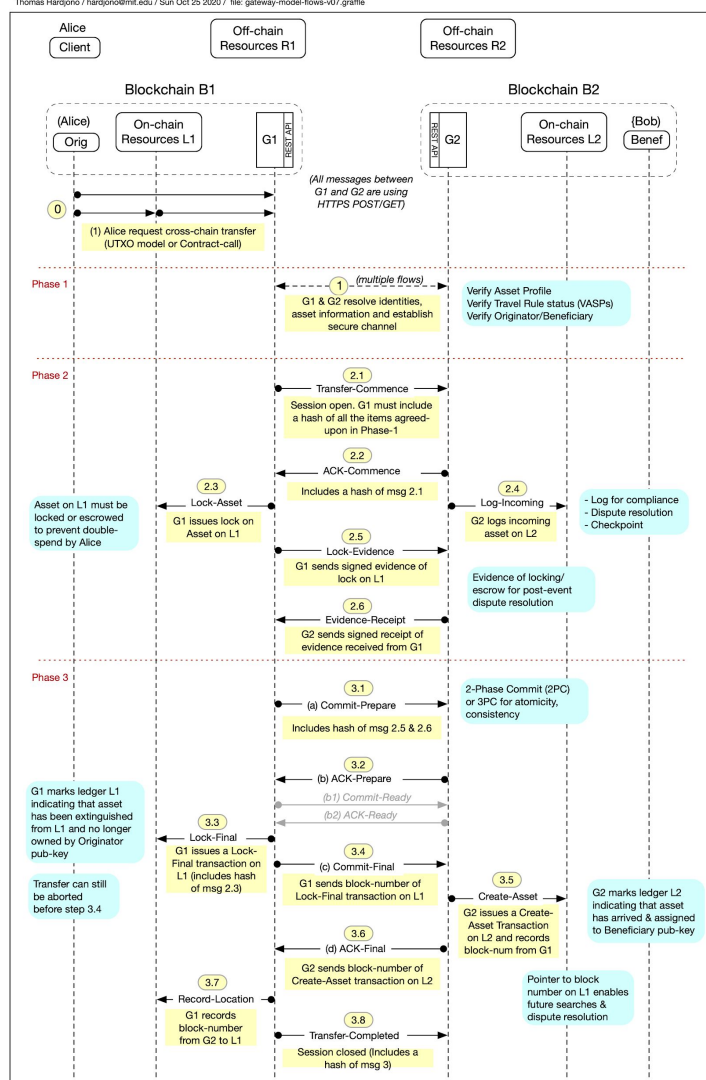


Recovery Procedure



Message & Logging Flow

ODAP-2PC



Algorithm 1: ODAP-2PC Protocol

Input: Coordinator \mathcal{G}_S , Participant \mathcal{G}_R , Asset a , Gateway primitives
PRE_LOCK, LOCK, COMMIT, CREATE_ASSET, COMPLETE,
ROLLBACK

Result: Asset a transferred from \mathcal{G}_S to \mathcal{G}_R

- 1 $PO_{\mathcal{G}_S} = \perp$ \triangleright operations to be rolledback in case of failure for \mathcal{G}_S
- 2 $PO_{\mathcal{G}_R} = \perp$ \triangleright operations to be rolledback in case of failure for \mathcal{G}_R
- 3 $\text{preLock} = \mathcal{G}_S.\text{PRE_LOCK}(a)$ \triangleright step 2.3



Draft v03

<https://datatracker.ietf.org/doc/draft-belchior-gateway-recovery/03/>



Academic Paper

<https://bit.ly/3GzVOjY>

DLT Gateway Crash Recovery

IETF 112 - November, 12th, 2021

draft-belchior-blockchain-gateway-recovery-03

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Input: Coordinator \mathcal{G}_S , Participant \mathcal{G}_R , Asset a , Gateway primitives
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- 2 $PO_{\mathcal{G}_R} = \perp$ \triangleright operations to be rolledback in case of failure for \mathcal{G}_R
- 3 $\text{preLock} = \mathcal{G}_S.\text{PRE_LOCK}(a)$ \triangleright step 2.3

5 ▷ Voting Phase

6 $\mathcal{G}_S \xrightarrow{\text{vote-req}} \mathcal{G}_R$

▷ step 3.1

7 **wait until** $\mathcal{G}_R \xrightarrow{\alpha(\text{vote-req})} \mathcal{G}_S$

▷ step 3.2

8 ▷ Decision Phase

9 **if** $\mathcal{G}_R \xrightarrow{\alpha(\text{vote-req})} \mathcal{G}_S = \text{NO}$ **then**

10 $\mathcal{G}_S \xrightarrow{\text{abort}()} \mathcal{G}_R$

11 $\mathcal{G}_S.\text{ROLLBACK}(PO_{\mathcal{G}_S})$

▷ otherwise, $\mathcal{G}_R \xrightarrow{\alpha(\text{vote-req})} \mathcal{G}_S = \text{YES}$

▷ undo $\mathcal{G}_S.\text{preLock}(a)$

13	$lock = \mathcal{G}_S.LOCK(a)$	▷ step 3.3
14	$PO_{\mathcal{G}_S}.append(lock)$	
15	$commit = \mathcal{G}_S.COMMIT()$	▷ step 3.4
16	if $commit = \perp$ then	
17	$\mathcal{G}_S \xrightarrow{abort()} \mathcal{G}_R$	
18	$\mathcal{G}_S.rollback(PO_{\mathcal{G}_S})$	▷ undo $\mathcal{G}_S.LOCK(a)$
19	end if	
20	$\mathcal{G}_S \xrightarrow{commit} \mathcal{G}_R$	
21	$a' = \mathcal{G}_R.CREATE_ASSET()$ transfer	▷ step 3.5, corresponds to the asset
22	$PO_{\mathcal{G}_R}.append(a')$	

```

23 wait until  $\mathcal{G}_R \xrightarrow{\alpha(commit)} \mathcal{G}_S$  ▷ step 3.6
24 if  $\mathcal{G}_R \xrightarrow{\alpha(commit)} \mathcal{G}_S = COMMIT$  then
25   |  $\mathcal{G}_S.COMPLETE()$  ▷ step 3.8
26 end if
27 else
28   |  $\mathcal{G}_S \xrightarrow{abort()} \mathcal{G}_R$  ▷ otherwise,  $\mathcal{G}_R$  failed the commit
29   |  $\mathcal{G}_S.ROLLBACK(PO_{\mathcal{G}_S})$  ▷ undo  $\mathcal{G}_S$  locks
30   |  $\mathcal{G}_R.ROLLBACK(PO_{\mathcal{G}_R})$  ▷ undo  $\mathcal{G}_R.CREATE\_ASSET()$ 
31 end if
32 return ▷ asset transferred

```
