Scalable Logging Algorithm for in-Memory DBMS

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Abstract

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1 Introduction

As the world becomes more technology oriented, the amount of data that is being stored and accessed has grown. From millions of users on Facebook to police records, the amount and complexity of data being stored virtually has grown drastically. This growth has resulted in big data, which is a term used for data sets that are too large and complex for traditional data processing methods. These large data sets are being analyzed in database management systems (DBMS). DBMS can be categorized into On-line Transaction Processing (OLTP) and On-line analytical processing (OLAP). OLTP databases are the main target of this paper and are characterized by a large number of short on-line transactions, such as bank transaction, reserving flight tickets, or reserving hotel rooms. OLAP, on the other hand, is involved with longer and more complex transactions such as business intelligence and data mining.

OLTP databases contain large amounts of important information. If the database were to crash, there would need to be a way to insure that all of the information that is in the database is not lost, meaning that the system must be durable. For this to happen, all of the data must be put on nonvolatile storage, or logged onto the disk. The most efficient way to put these large amouts onto the disk is still unknown as there are many different ways to accomplish the task.

One of the first algorithms was AIRES. In this algorithm EXPLAIN HERE. As new technology has been introduced, however, multi-core and multi-server system have become more prevalent. These new systems render the AIRES algorithm obsolete as EXPLAIN MORE. This means that serial logging will no longer be useful in the future and new algorithms will be neccessary.

The goal of this paper is to solve this problem and generate a new logging algorithm that is fast and more efficient on a system with a multi-cores and multi-servers. The two

algorithms that are presented in this paper are batch logging and parallel logging. In batch logging BRIEFLY EXPLAIN BATCH LOGGING. In parallel logging, BREIFLY EXPLAIN PARALLEL LOGGING.

The serial logging, batch logging, and parallel logging algorithms were implemented and then tested to determine the efficieny of each.

2 Serial Logging

AIRES is an example

Must give background (concurrency, other details)

3 Batch Logging

In batch logging, multiple loggers are in operation at the same time as opposed to in serial logging, where there is only one logger. Flushing the log buffers to disk in batch logging uses the naive scheme of flushing all log buffers once one is full. A bit vector is used to communicate between the loggers, where the index of the bit corresponds to the number of the logger and the logger flushes if its bit is 1. When a logger fills up its buffer, it changes all bits of the vector to be 1 to signal all other loggers to flush their buffers too. Each logger checks the bit vector upon receiving a transaction, and if their respective bit is 1, then the logger flushes and toggles its bit to 0 before placing the newly received transaction into its buffer.

[TODO: Talk About Performance Numbers]

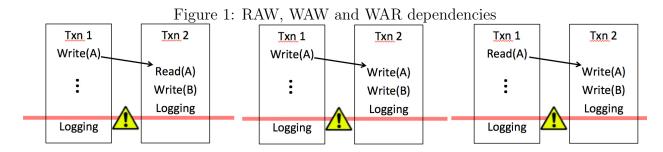
4 Parallel Logging

Parallel logging uses multiple loggers and corresponding log files in order to improve the performance of the logging process. While serial logging assumes conflicts between every pair of transactions in the serializable order, parallel logging looks at the "true" dependency. While pairs of transactions with true dependency have to log sequentially, independent transactions should log in parallel. The parallel logging algorithm implements this key observation by explicitly tracking the dependency information between different transactions. In addition, the concurrency control algorithm is implemented to ensure the serializability of the transactions that we have parsed into different threads.

There are four types of dependencies between pairs of transactions.

- Read-after-read dependency (RAR)
- Read-after-write dependency (RAW)
- Write-after-read dependency (WAR)
- Write-after-write dependency (WAW)

RAR is equivalent to no dependency since no changes are made to the database. We discuss the rest of the cases using the following example, where cases of RAW, WAW and WAR are presented repectively in that order:



In RAW dependency, the reading transaction should be logged after the writing transaction; if not, we may look at the first example. During recovery, the tuple A read by transaction 2 is not consistent with the value of A on the disk. Therefore, transaction 2 is logged after transaction 1.

Similarly, WAW has to be maintained; if not, we may look at the second example. During recovery, transaction 2 overwrites a phantom value of tuple A that cannot be tracked down in the system. Therefore, transaction 2 is logged after transaction 1.

We propose, however, that ignoring the WAR dependency, also known as **anti-dependency**, will not affect the results of our task. This is demonstrated in the third example. In this case, there will be no conflicts in data consistency during recovery. All the values in the data tuples reflect the exact state of the system immediately before the crash. Transaction 1 does not have any side effect on tuple A in the database system, so whether it logs or not does not affect transaction 2.

Note that this will significantly decrease the time complexity of the logging algorithm because the number of writers to a data tuple is significantly smaller than the number of readers. In other words, transaction A depends on the all the transactions before A in the serializable order that write to A's data tuples; therefore, A can be logged once all the last writers to its data tuples have been logged.

To implement our algorithm, we specify the maximum log sequence number (LSN) in each log file that the transaction currently being logged depends on. When we attempt to log a transaction to the file, we compare the LSN that the transaction depends on (A) to the maximum LSN that has already been logged in each file (B). If $A \leq B$, the transaction is ready for logging; if A > B, we will push the transaction into a waiting queue after arbitrarily assigning it a LSN. The waiting queue will be checked periodically later on to see if any of its contents becomes ready for logging.

We use the following example to demonstrate the mechanism of our parallel logging algorithm:

If we use the serial logging algorithm, we are forced to log the three transactions one by one; when handling big data systems, this can be a serious bottleneck. Meanwhile, the parallel logging algorithm allows us to log multiple transactions. In this case, note that transactions 1 and 2 can be logged independently since tuple A has no dependency and tuple B is WAR, which, per our discussion above, allows for independent logging. Therefore, if

Figure 2: Example for Parallel Logging Algorithm and Recovery

Seri	aliza	ıble	Order

Txns:	Operations:		
1	Read A; Read B; Write C;		
2	Read A; Write B;		
3	Write A; Write B; Read C;		

Recovery				
LSN	Txn ID	Data Tuple	Dependency info	
Log File 1				
1	1	С	[0, 0]	
_	2	А	[4, 4]	
	2 3	В	[1,1]	
Log File 2				
1	2	В	[0,0]	

transactions 1 and 2 are parsed into two different threads, they can both be logged without waiting. Transaction 3 depends on transaction 1 due to tuple C (RAW) and on transaction 2 due to tuple B (WAW), so it will be put into the waiting queue until both transaction 1 and 2 have already been logged. We follow a similar process during recovery: we read the maximum LSN in each file that the current transaction depends on, and we compare it with the maximum LSN that has been recovered from that file. This ensures the serializability of the log records while improving the efficiency of the recovery process. In this particular example, two different threads take care of the two log files. While transactions 1 and 2 can be recovered immediately, transaction 3 is pushed into the waiting queue until both 1 and 2 have already been recovered.

5 Evaluation

The original goal of the project is to create a scalable logging algorithm that does not act as a bottleneck for the database as a whole. The data shown below was collected to determine the scalability of the algorithm.

Four algorithms were tested to generate these results. The first is a generic serial logging algorithm, the second is a serial logging algorithm with certain optimizations put in place, the third is the batch logging algorithm, and the last is a parallel logging algorithm. These different aAll of these are explained in further detail earlier in this paper.

The results shown indicate the amount of time one txn would take to log. The goal is to minimize the amount the time increases as the thread count increases, indicating high scalability and preventing logging from becoming a bottleneck. Each of the four algorithms was tested on a maximum of 10000 txns and buffer sizes ranging from 10 to 100. 10 tests were run for each buffer size, and the average of these 10 test are shown below.

NOTE: MAKE ALL OF THESE TABLES Results for serial logging without optimization on standard four threads: Average log time per txn for buffer size10:7.98827246297e-06with39831.4txns. Average log time per txn forbuffer <math display="inline">size20:7.09085828262e-06with35842.6txns. Average 1.00192992279e-05with43720.9txns. Average log time per txn forbuffer <math display="inline">size40:1.43699414518e-05with43297.9txns. Average log time per txn forbuffer <math display="inline">size50:1.33267980447e-05with43549.2txns. Average 1.1985913315e-05with43616.3txns. Average log time per txn forbuffer <math display="inline">size70:1.14592003908e-

Table 1:	Serial	logging.	without	optimization,	four	threads	Results
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Buffer size	Average log time per txn	Number of txns
10	7.98827246297e-06	3981.4
20	7.09085828262e-06	35842.6
30	1.00192992279e-05	43720.9
40	1.43699414518e-05	43297.9
50	1.33267980447e-05	43549.2
60	1.1985913315e-05	43616.3
70	1.14592003908e-05	43672.7
80	1.10223567894e-05	43504.3
90	9.95585073659e-06	39617.3
100	9.77583551714e-06	39512.7

 $05with 43672.7txns. Average log time per txn for buffer_size 80: 1.10223567894e - 05with 43504.3txns. Average 9.95585073659e - 06with 39617.3txns. Average log time per txn for buffer_size 100: 9.77583551714e - 06with 39512.7txns.$

Results for serial logging with opimization on standard four threads:

 $Average \log time per txn for buffer_size10: 8.4024601054e-06with 39814.7 txns. Average log time per txn for 5.94581075305e-06with 35738.3 txns. Average log time per txn for buffer_size30: 8.29208836395e-06with 43826.1 txns. Average log time per txn for buffer_size40: 1.21009485441e-05with 43709.4 txns. Average log time per txn for buffer_size60: 1.06209155302e-05with 43513.1 txns. Average log time per txn for buffer_size70: 1.08573198778e-05with 43391.0 txns. Average log time per txn for buffer_size90: 8.75585618379e-06with 39517.5 txns. Average log time per txn for buffer_size100: 8.08590952704e-06with 39549.7 txns.$

Results for serial logging without opimization on eight threads:

 $A verage log time per txn for buffer_{s}ize10: 4.04340885057e - 05with78395.9txns. Average log time per txn for buffer_{s}ize30: 6.87869859705e - 05with82370.1txns. Average log time per txn for buffer_{s}ize40: 6.1601780077e - 05with83024.6txns. Average log time per txn for buffer_{s}ize40: 6.1601780077e - 05with83024.6txns. Average log time per txn for buffer_{s}ize60: 4.52139548576e - 05with84458.9txns. Average log time per txn for buffer_{s}ize70: 4.02535824733e - 05with83681.0txns. Average log time per txn for buffer_{s}ize90: 2.91596069681e - 05with76400.8txns. Average log time per txn for buffer_{s}ize100: 2.5959065368e - 05with77982.6txns.$

Results for serial logging with opimization on eight threads:

 $Average \ log time \ per \ txn \ for \ buffer_size10: 7.2372970539e-05with 77455.7txns. Average log time per txn for 5.06991711113e-05with 69759.8txns. Average log time per txn for buffer_size30: 5.21307230369e-05with 84272.5txns. Average log time per txn for buffer_size40: 4.68441761639e-05with 84701.5txns. Average 4.07150340118e-05with 85251.5txns. Average log time per txn for buffer_size60: 3.74521773965e-05with 85051.3txns. Average log time per txn for buffer_size70: 3.39602513669e-05with 85401.2txns. Average 3.17901883362e-05with 85266.8txns. Average log time per txn for buffer_size90: 2.67467697626e-05with 77169.7txns. Average log time per txn for buffer_size100: 2.60182905193e-05with 77739.4txns.$

6 Conclusion