CybOXTM Version 2.1.1 Part 70: Win File Object

Working Draft 01

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Additional artifacts:

This prose specification is one component of a Work Product which consists of:

* *CybOX™ Version 2.1.1 Part 01: Overview*. [URI]
* *CybOX™ Version 2.1.1 Part 02: Common*. [URI]
* *CybOX™ Version 2.1.1 Part 03: Core*. [URI]
* *CybOX™ Version 2.1.1 Part 04: Default Extensions*. [URI]
* *CybOX™ Version 2.1.1 Part 05: Default Vocabularies*. [URI]
* *CybOX™ Version 2.1.1 Part 06: UML Model*. [URI]
* *CybOX™ Version 2.1.1 Part 07: API Object*. [URI]
* *CybOX™ Version 2.1.1 Part 08: ARP Cache Object*. [URI]
* *CybOX™ Version 2.1.1 Part 09: AS Object*. [URI]
* *CybOXTM Version 2.1.1 Part 10: Account Object*. [URI]
* *CybOXTM Version 2.1.1 Part 11: Address Object*. [URI]
* *CybOXTM Version 2.1.1 Part 12: Archive File Object*. [URI]
* *CybOXTM Version 2.1.1 Part 13: Artifact Object*. [URI]
* *CybOXTM Version 2.1.1 Part 14: Code Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 25: GUI Dialogbox Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 27: GUI Window Object*. [URI]
* *CybOXTM Version 2.1.1 Part 28: HTTP Session Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 34: Memory Object*. [URI]
* *CybOXTM Version 2.1.1 Part 35: Mutex Object*. [URI]
* *CybOXTM Version 2.1.1 Part 36: Network Connection Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 39: Network Route Entry Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 52: URI Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 65: Win Critical Section Object*. [URI]
* *CybOXTM Version 2.1.1 Part 66: Win Driver Object*. [URI]
* *CybOXTM Version 2.1.1 Part 67: Win Event Log Object*. [URI]
* *CybOXTM Version 2.1.1 Part 68: Win Event Object*. [URI]
* *CybOXTM Version 2.1.1 Part 69: Win Executable File Object*. [URI]
* *CybOXTM Version 2.1.1 Part 70: Win File Object*. (this document)
* *CybOXTM Version 2.1.1 Part 71: Win Filemapping Object*. [URI]
* *CybOXTM Version 2.1.1 Part 72: Win Handle Object*. [URI]
* *CybOXTM Version 2.1.1 Part 73: Win Hook Object*. [URI]
* *CybOXTM Version 2.1.1 Part 74: Win Kernel Hook Object*. [URI]
* *CybOXTM Version 2.1.1 Part 75: Win Kernel Object*. [URI]
* *CybOXTM Version 2.1.1 Part 76: Win Mailslot Object*. [URI]
* *CybOXTM Version 2.1.1 Part 77: Win Memory Page Region Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 79: Win Network Route Entry Object*. [URI]
* *CybOXTM Version 2.1.1 Part 80: Win Network Share Object*. [URI]
* *CybOXTM Version 2.1.1 Part 81: Win Pipe Object*. [URI]
* *CybOXTM Version 2.1.1 Part 82: Win Prefetch Object*. [URI]
* *CybOXTM Version 2.1.1 Part 83: Win Process Object*. [URI]
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* *CybOXTM Version 2.1.1 Part 91: Win User Account Object*. [URI]
* *CybOXTM Version 2.1.1 Part 92: Win Volume Object*. [URI]
* *CybOXTM Version 2.1.1 Part 93: Win Waitable Timer Object*. [URI]
* *CybOXTM Version 2.1.1 Part 94: X509 Certificate Object*. [URI]

Related work:

This specification is related to:

* *STIXTM Version 1.2.1 (placeholder)*

Abstract:

The Cyber Observable Expression (CybOX) is a standardized language for encoding and communicating high-fidelity information about cyber observables, whether dynamic events or stateful measures that are observable in the operational cyber domain. By specifying a common structured schematic mechanism for these cyber observables, the intent is to enable the potential for detailed automatable sharing, mapping, detection and analysis heuristics. This specification document defines the Win File Object data model, which is one of the Object data models for CybOX content.

Status:

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# Introduction

[All text is normative unless otherwise labeled]

The Cyber Observable Expression (CybOXTM) provides a common structure for representing cyber observables across and among the operational areas of enterprise cyber security. CybOX improves the consistency, efficiency, and interoperability of deployed tools and processes, and it increases overall situational awareness by enabling the potential for detailed automatable sharing, mapping, detection, and analysis heuristics.

This document serves as the specification for the CybOX Win File Object Version 2.1.1 data model, which is one of eighty-eight CybOX Object data models.

In Section **1.1** we discuss additional specification documents, in Section **1.2** we provide document conventions, and in Section **1.3** we provide terminology. References are given in Section **1.4**. In Section **2**, we give background information necessary to fully understand the Win File Object data model. We present the Win File Object data model specification details in Section **3** and conformance information in Section **4**.

## CybOXTM Specification Documents

The CybOX specification consists of a formal UML model and a set of textual specification documents that explain the UML model. Specification documents have been written for each of the individual data models that compose the full CybOX UML model.

CybOX has a modular design comprising two fundamental data models and a collection of Object data models. The fundamental data models – CybOX Core and CybOX Common – provide essential CybOX structure and functionality. The CybOX Objects, defined in individual data models, are precise characterizations of particular types of observable cyber entities (e.g., HTTP session, Windows registry key, DNS query).

Use of the CybOX Core and Common data models is required; however, use of the CybOX Object data models is purely optional: users select and use only those Objects and corresponding data models that are needed. Importing the entire CybOX suite of data models is not necessary.

The [*CybOX Version 2.1.1 Part 1: Overview*](#AdditionalArtifacts) document provides a comprehensive overview of the full set of CybOX data models, which in addition to the Core, Common, and numerous Object data models, includes various extension data models and a vocabularies data model, which contains a set of default controlled vocabularies. [*CybOX Version 2.1.1 Part 1: Overview*](#AdditionalArtifacts) also summarizes the relationship of CybOX to other languages, and outlines general CybOX data model conventions.

## Document Conventions

The following conventions are used in this document.

### Fonts

The following font and font style conventions are used in the document:

* Capitalization is used for CybOX high level concepts, which are defined in [*CybOX Version 2.1.1 Part 1: Overview*](#AdditionalArtifacts).

Examples: Action, Object, Event, Property

* The Courier New font is used for writing UML objects.

Examples: ActionType, cyboxCommon:BaseObjectPropertyType

Note that all high level concepts have a corresponding UML object. For example, the Action high level concept is associated with a UML class named, ActionType.

* The ‘*italic’* font (withsingle quotes) is used for noting actual, explicit values for CybOX Language properties. The *italic* font (without quotes) is used for noting example values.

Example:  *‘HashNameVocab-1.0,’ high, medium, low*

### UML Package References

Each CybOX data model is captured in a different UML package (e.g., Core package) where the packages together compose the full CybOX UML model. To refer to a particular class of a specific package, we use the format package\_prefix:class, where package\_prefix corresponds to the appropriate UML package.

The package\_prefix for the Windows File data model is WinFileObj. Note that in this specification document, we do not explicitly specify the package prefix for any classes that originate from the Win File Object data model.

### UML Diagrams

This specification makes use of UML diagrams to visually depict relationships between CybOX Language constructs. Note that the diagrams have been extracted directly from the full UML model for CybOX; they have not been constructed purely for inclusion in the specification documents.  Typically, diagrams are included for the primary class of a data model, and for any other class where the visualization of its relationships between other classes would be useful.  This implies that there will be very few diagrams for classes whose only properties are either a data type or a class from the CybOX Common data model.  Other diagrams that are included correspond to classes that specialize a superclass and abstract or generalized classes that are extended by one or more subclasses.

In UML diagrams, classes are often presented with their attributes elided, to avoid clutter. The fully described class can usually be found in a related diagram. A class presented with an empty section at the bottom of the icon indicates that there are no attributes other than those that are visualized using associations.

#### Class Properties

Generally, a class property can be shown in a UML diagram as either an attribute or an association (i.e., the distinction between attributes and associations is somewhat subjective). In order to make the size of UML diagrams in the specifications manageable, we have chosen to capture most properties as attributes and to capture only higher level properties as associations, especially in the main top-level component diagrams. In particular, we will always capture properties of UML data types as attributes.

#### Diagram Icons and Arrow Types

Diagram icons are used in a UML diagram to indicate whether a shape is a class, enumeration, or a data type, and decorative icons are used to indicate whether an element is an attribute of a class or an enumeration literal. In addition, two different arrow styles indicate either a directed association relationship (regular arrowhead) or a generalization relationship (triangle-shaped arrowhead). The icons and arrow styles we use are shown and described in **Table 1‑1**.

Table 1‑1. UML diagram icons

|  |  |
| --- | --- |
| **Icon** | **Description** |
|  | This diagram icon indicates a class. If the name is in italics, it is an abstract class. |
|  | This diagram icon indicates an enumeration. |
|  | This diagram icon indicates a data type. |
|  | This decorator icon indicates an attribute of a class. The green circle means its visibility is public. If the circle is red or yellow, it means its visibility is private or protected. |
|  | This decorator icon indicates an enumeration literal. |
|  | This arrow type indicates a directed association relationship. |
|  | This arrow type indicates a generalization relationship. |

### Property Table Notation

Throughout Section **3**, tables are used to describe the properties of each data model class. Each property table consists of a column of names to identify the property, a type column to reflect the datatype of the property, a multiplicity column to reflect the allowed number of occurrences of the property, and a description column that describes the property. Package prefixes are provided for classes outside of the Win File Object data model (see Section **1.2.2**).

Note that if a class is a specialization of a superclass, only the properties that constitute the specialization are shown in the property table (i.e., properties of the superclass will not be shown). However, details of the superclass may be shown in the UML diagram.

### Property and Class Descriptions

Each class and property defined in CybOX is described using the format, “The X property verbY.” For example, in the specification for the CybOX Core data model, we write, “The id property specifies a globally unique identifier for the Action.” In fact, the verb “specifies” could have been replaced by any number of alternatives: “defines,” “describes,” “contains,” “references,” etc.

However, we thought that using a wide variety of verb phrases might confuse a reader of a specification document because the meaning of each verb could be interpreted slightly differently. On the other hand, we didn’t want to use a single, generic verb, such as “describes,” because although the different verb choices may or may not be meaningful from an implementation standpoint, a distinction could be useful to those interested in the modeling aspect of CybOX.

Consequently, we have preferred to use the three verbs, defined as follows, in class and property descriptions:

|  |  |
| --- | --- |
| **Verb** | **CybOX Definition** |
| captures | Used to record and preserve information without implying anything about the structure of a class or property. Often used for properties that encompass general content. This is the least precise of the three verbs. |
|  | *Examples*:  The Observable\_Source property characterizes the source of the Observable information. Examples of details captured include identifying characteristics, time-related attributes, and a list of the tools used to collect the information.  The Description property captures a textual description of the Action. |
| characterizes | Describes the distinctive nature or features of a class or property. Often used to describe classes and properties that themselves comprise one or more other properties. |
|  | *Examples*:  The Action property characterizes a cyber observable Action.  The Obfuscation\_Technique property characterizes a technique an attacker could potentially leverage to obfuscate the Observable. |
| specifies | Used to clearly and precisely identify particular instances or values associated with a property. Often used for properties that are defined by a controlled vocabulary or enumeration; typically used for properties that take on only a single value. |
|  | *Example*:  The cybox\_major\_version property specifies the major version of the CybOX language used for the set of Observables. |

## Terminology

The key words “MUST”, “MUST NOT”, “REQUIRED”, “SHALL”, “SHALL NOT”, “SHOULD”, “SHOULD NOT”, “RECOMMENDED”, “MAY”, and “OPTIONAL” in this document are to be interpreted as described in **[**RFC2119**]**.

## Normative References

[RFC2119] Bradner, S., “Key words for use in RFCs to Indicate Requirement Levels”, BCP 14, RFC 2119, March 1997. <http://www.ietf.org/rfc/rfc2119.txt>.

# Background Information

In this section, we provide high level information about the Win File Object data model that is necessary to fully understand the specification details given in Section **3**.

## Cyber Observables

A cyber observable is a dynamic event or a stateful property that occurs, or may occur, in the operational cyber domain. Examples of stateful properties include the value of a registry key, the MD5 hash of a file, and an IP address. Examples of events include the deletion of a file, the receipt of an HTTP GET request, and the creation of a remote thread.

A cyber observable is different than a cyber indicator. A cyber observable is a statement of fact, capturing what was observed or could be observed in the cyber operational domain. Cyber indicators are cyber observable patterns, such as a registry key value associated with a known bad actor or a spoofed email address used on a particular date.

## Objects

Objects in CybOX are individual data models for characterizing a particular cyber entity, such as a Windows registry key, or an Email Message. Accordingly, each release of the CybOX language includes a particular set of Objects that are part of the release. The data model for each of these Objects is defined by its own specification that describes the context-specific classes and properties that compose the Object.

# Data Model

## WindowsFileObjectType Class

The WindowsFileObjectType class is intended to characterize Windows files. The UML diagram corresponding to the WindowsFileObjectType class is shown in **Figure 3‑1**.

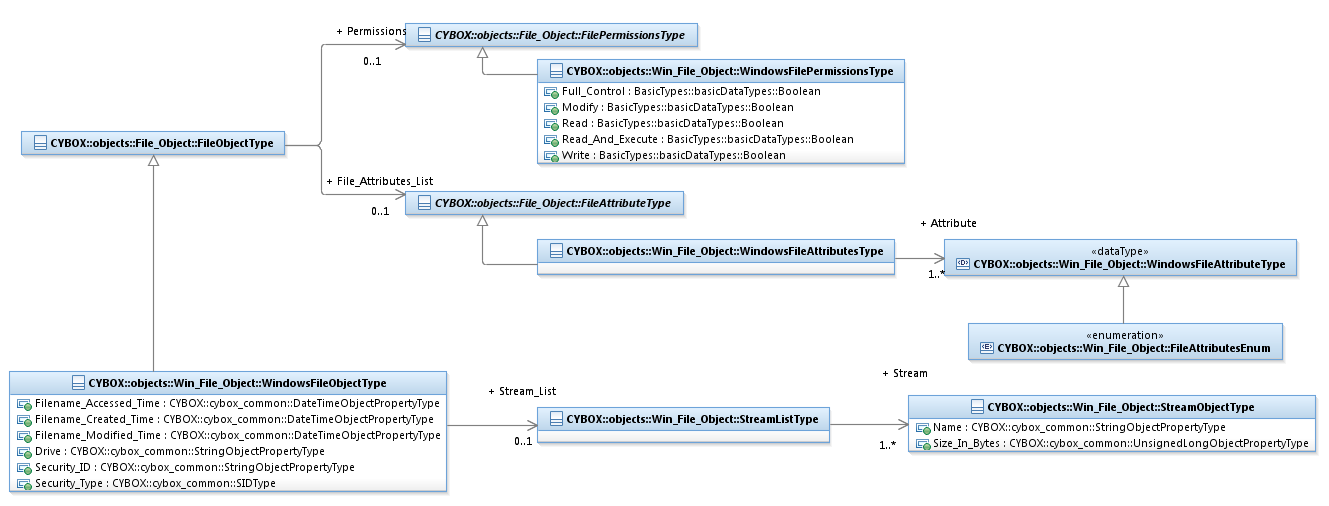


Figure 3‑1. UML diagram of the WindowsFileObjectType class

The property table of the WindowsFileObjectType class is given in **Table 3‑1**.

Table 3‑1. Properties of the WindowsFileObjectType class

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **Type** | **Multiplicity** | **Description** |
| **Filename\_Accessed\_Time** | cyboxCommon:  DateTimeObjectPropertyType | 0..1 | The Filename\_Accessed\_Time property specifies the date/time the filename of the Windows file was last accessed. |
| **Filename\_Created\_Time** | cyboxCommon:  DateTimeObjectPropertyType | 0..1 | The Filename\_Created\_Time property specifies the date/time the filename of the Windows file was created. |
| **Filename\_Modified\_Time** | cyboxCommon:  DateTimeObjectPropertyType | 0..1 | The Filename\_Modified\_Time property specifies the date/time the filename of the Windows file was last modified. |
| **Drive** | cyboxCommon:  StringObjectPropertyType | 0..1 | The Drive property specifies the drive letter of the drive that the file resides on. |
| **Security\_ID** | cyboxCommon:  StringObjectPropertyType | 0..1 | The Security\_ID property specifies the Security ID (SID) value assigned to the file. |
| **Security\_Type** | cyboxCommon:SIDType | 0..1 | The Security\_Type property specifies the type of Security ID (SID) assigned to the file. |
| **Stream\_List** | StreamListType | 0..1 | The Stream\_List property specifies any alternate data streams contained within the file. |

## StreamListType Class

The StreamListType class specifies a list of NTFS alternate data streams.

The property table of the StreamListType class is given in **Table 3‑2**.

Table 3‑2. Properties of the StreamListType class

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **Type** | **Multiplicity** | **Description** |
| **Stream** | StreamObjectType | 1..\* | The Stream property characterizes a single NTFS alternate data stream. |

## StreamObjectType Class

The StreamObjectType class is intended to characterize NTFS alternate data streams.

The property table of the StreamObjectType class is given in **Table 3‑3**.

Table 3‑3. Properties of the StreamObjectType class

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **Type** | **Multiplicity** | **Description** |
| **Name** | cyboxCommon:  StringObjectPropertyType | 0..1 | The Name property specifies the name of the alternate data stream. |
| **Size\_In\_Bytes** | cyboxCommon:  UnsignedLongObjectPropertyType | 0..1 | The Size\_In\_Bytes property specifies the size of the alternate data stream, in bytes. |

## WindowsFileAttributesType Class

The WindowsFileAttributesType class specifies Windows file attributes. It extends the FileObj:FileAttributeType class.

The property table of the WindowsFileAttributesType class is given in **Table 3‑4**.

Table 3‑4. Properties of the WindowsFileAttributesType class

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **Type** | **Multiplicity** | **Description** |
| **Attribute** | WindowsFileAttributeType | 1..\* | The Attribute property specifies a single Windows file attribute. |

## WindowsFilePermissionsType Class

The WindowsFilePermissionsType class specifies Windows file permissions. It extends the FileObj:FilePermissionsType class.

The property table of the WindowsFilePermissionsType class is given in **Table 3‑5**.

Table 3‑5. Properties of the WindowsFilePermissionsType class

|  |  |  |  |
| --- | --- | --- | --- |
| **Name** | **Type** | **Multiplicity** | **Description** |
| **Full\_Control** | basicDataTypes:Boolean | 0..1 | The Full\_Control property specifies whether reading, writing, changing and deleting of the file is permitted. |
| **Modify** | basicDataTypes:Boolean | 0..1 | The Modify property specifies whether reading and writing or deletion of the file is permitted. |
| **Read** | basicDataTypes:Boolean | 0..1 | The Read property specifies whether viewing or accessing of the file's contents is permitted. |
| **Read\_And\_Execute** | basicDataTypes:Boolean | 0..1 | The Read\_And\_Execute property specifies whether viewing and accessing of the file's contents as well as executing of the file is permitted. |
| **Write** | basicDataTypes:Boolean | 0..1 | The Write property specifies whether writing to the file is permitted. |

## WindowsFileAttributeType Data Type

The WindowsFileAttributeType data type specifies Windows file attributes. Its core value SHOULD be a literal from the FileAttributesEnum enumeration. It extends the BaseObjectPropertyType data type, in order to permit complex (i.e. regular-expression based) specifications.

Also see: <https://msdn.microsoft.com/en-us/library/windows/desktop/gg258117%28v=vs.85%29.aspx>.

## FileAttributeType Enumeration

Table 3‑6. Literals of the FileAttributeType enumeration

|  |  |
| --- | --- |
| **Enumeration Literal** | **Description** |
| **ReadOnly** | Specifies a file is read only, as denoted by the constant value, 0x1. Applications can read the file, but cannot write to it or delete it. This attribute is not honored on directories. For more information as to why, see <http://go.microsoft.com/FWLink/?LinkId=125896>. |
| **Hidden** | Specifies a file or directory is hidden, as denoted by the constant value, 0x2. It is not included in an ordinary directory listing. |
| **System** | Specifies a file or directory that the operating system uses a part of, or uses exclusively, as denoted by the constant value, 0x4. |
| **Directory** | Specifies a directory, as denoted by the constant value, 0x10. |
| **Archive** | Specifies a file or directory that is an archive file or directory, as denoted by the constant value, 0x20. Applications typically use this attribute to mark files for backup or removal. |
| **Device** | Specifies a reserved system value, as denoted by the constant value, 0x40. |
| **Normal** | Specifies a file that has no other attributes set, and is only valid when this attribute is used alone, as denoted by the constant value, 0x80. |
| **Temporary** | Specifies a file being used for temporary storage, as denoted by the constant value, 0x100. |
| **SparseFile** | Specifies a sparse file, as denoted by the constant value, 0x200. |
| **ReparsePoint** | Specifies a file or directory that has an associated reparse point, or a file that is a symbolic link, as denoted by the constant value, 0x400. |
| **Compressed** | Specifies a file or directory that is compressed, as denoted by the constant value, 0x800. For a file, all of the data in the file is compressed. For a directory, compression is the default for newly created files and subdirectories. |
| **Offline** | Specifies that the data of a file is not available immediately, as denoted by the constant value, 0x1000. This attribute indicates that the file data is physically moved to offline storage. This attribute is used by Remote Storage, which is the hierarchical storage management software. Applications should not arbitrarily change this attribute. |
| **NotContentIndexed** | Specifies that a file is not to be indexed by the content indexing service, as denoted by the constant value, 0x2000. |
| **Encrypted** | Specifies a file or directory that is encrypted, as denoted by the constant value, 0x4000. For a file, all data streams in the file are encrypted. For a directory, encryption is the default for newly created files and subdirectories. |
| **Deleted** | Specifies a file or directory that is marked as deleted. |
| **IntegrityStream** | Specifies the directory or user data stream is configured with integrity (only supported on ReFS volumes), as denoted by the constant value, 0x8000. It is not included in an ordinary directory listing. The integrity setting persists with the file if it's renamed. If a file is copied the destination file will have integrity set if either the source file or destination directory have integrity set. NOTE: This flag is supported ONLY for Windows Server 8 Beta and later. |
| **Virtual** | Specifies a reserved system value, as denoted by the constant value, 0x10000. |
| **NoScrubData** | The user data stream not to be read by the background data integrity scanner (AKA scrubber), as denoted by the constant value, 0x20000. When set on a directory it only provides inheritance. This flag is only supported on Storage Spaces and ReFS volumes in Windows 8 and Windows Server 8 Beta and later. It is not included in an ordinary directory listing. |

# Conformance

Implementations have discretion over which parts (components, properties, extensions, controlled vocabularies, etc.) of CybOX they implement (e.g., Observable/Object).

[1] Conformant implementations must conform to all normative structural specifications of the UML model or additional normative statements within this document that apply to the portions of CybOX they implement (e.g., implementers of the entire Observable class must conform to all normative structural specifications of the UML model regarding the Observable class or additional normative statements contained in the document that describes the Observable class).

[2] Conformant implementations are free to ignore normative structural specifications of the UML model or additional normative statements within this document that do not apply to the portions of CybOX they implement (e.g., non-implementers of any particular properties of the Observable class are free to ignore all normative structural specifications of the UML model regarding those properties of the Observable class or additional normative statements contained in the document that describes the Observable class).

The conformance section of this document is intentionally broad and attempts to reiterate what already exists in this document.

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