# Fuzzing: Multi-objective optimization

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Abstract—Height estimation (HE) from high-resolution remote sensing imagery is crucial for 3D scene understanding, with applications such as urban planning and 3D reconstruction. Meanwhile, semantic segmentation (SS) identifies categories and content within these images. Integrating HE and SS can generate more accurate object models, underscoring the need for a unified multi-task framework. Current approaches perform HE and SS independently, overlooking their potential synergy. Therefore, we propose a multi-task network (HEASSNet) to explore the correlation between HE and SS and achieve collaborative learning. Specifically, the two tasks share a pre-trained SAM encoder. We introduce a Low-Rank Adaptation fine-tuning strategy with convolution operations and enhance the local prior in the SAM encoder. The SS branch uses Swin-Transformer to capture global context for qualitative analysis and classification. The HE branch uses convolutional blocks that capture local features to perform quantitative analysis and generate height maps. To learn the distribution of height values, we propose a Feature Enhancement and Aggregation Gate to fuse local and global features, integrating the global context of SS into HE. In addition, the generated height maps provide valuable prompts to the SS branch, distinguishing easily confused categories. Extensive evaluations across multiple datasets validate the effectiveness of our approach.

Index Terms—Semantic Segmentation, Height Estimation, Multi-Tasks Learning, Low-Rank Adaptation.

## I. INTRODUCTION

**▼**EIGHT estimation (HE) and semantic segmentation (SS) are fundamental yet challenging tasks in the intelligent interpretation of remote sensing images (RSI). They are critical for various applications, including 3D mapping, urban planning, agricultural management, and forest monitoring. Recent advancements in deep learning have significantly enhanced the performance of both HE and SS. However, the development of remote sensing technologies has introduced the need to tackle complex problems using multi-modal (data or tasks). Despite the individual progress in HE and SS, their potential synergy has often been overlooked. HE provides 3D spatial information, while SS contributes to semantic understanding and component recognition. Each task offers unique perspectives on scene parsing and can implicitly or explicitly inform the other. Consequently, joint learning of HE and SS within a unified network is a promising avenue for research.

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Driven by advancements in deep learning, HE and SS have achieved significant progress in remote sensing. For HE, Ghamisi et al. [1] proposed IMG2DSM, a method based on Generative Adversarial Networks (GANs) with skip connections to predict Digital Surface Model (DSM). Paoletti et al. [2] utilized Variational Autoencoders (VAEs) and GANs to generate DSM from single optical images. For SS, significant research has made progress in land cover classification, agricultural monitoring, and urban scene recognition [3]–[5]. Despite these successes, HE and SS have developed independently as separate research. This separation limits the full potential of intelligent interpretation of remote sensing. To address this limitation and enable joint learning of HE and SS, it is necessary to design a multi-task learning (MTL) framework.

The MTL networks are developed to improve performance by leveraging the inherent correlations between tasks. In computer vision, MTL has demonstrated feasibility across various tasks, including SS and depth estimation, SS and object detection, and object detection and classification [6]-[9]. Similarly, in the remote sensing community, MTL has shown promising progress. To extract buildings across various scenes, Guo et al. [10] developed a multi-task framework integrating scene classification and pixel mapping. Feng et al. [11], leveraging computational imaging techniques, proposed a network for super-resolution and colorization. Additionally, substantial advancements have been made in SS and change detection (CD) tasks [12], [13]. Despite the clear relevance and consistency between HE and SS, the potential for joint learning of these tasks remains largely unexplored, resulting in suboptimal results.

In addition, while MTL is a solution, a significant challenge lies in the potential interference among tasks, which may disturb parameter updates and degrade overall performance. The Segment Anything Model (SAM) [14] is a large-scale vision model trained on extensive datasets, offering robust feature extraction capabilities. Leveraging its pre-trained encoder to extract shared features can effectively address this issue. However, SAM's training data is primarily natural images, and its applicability to RSI remains underexplored. Furthermore, SAM is based on Transformer architecture, which relies on global attention mechanisms. Given that RSI contains numerous small-scale objects, there is a need for the network to possess strong local attention capabilities.

Inspired by recent advancements in MTL and SAM, we propose HEASSNet, an MTL network designed to achieve HE and SS from optical RSI. HEASSNet avoids relying on specific optimization strategies for HE and SS, choosing a simple architecture with reduced complexity. Specifically, we use the pre-trained encoder of SAM and propose a LoRA fine-tuning method with convolution to address the local limitation of

1

SAM. HE and SS are executed in independent branches. The SS branch uses the swin transformer (SwinT), which excels at capturing long-range dependencies and ensuring accurate judgments in detail and overall. The HE branch consists of convolutional blocks, which effectively obtain details and make accurate predictions but are limited in capturing global features. For this, we propose a feature enhancement and aggregation gate (FEA-Gate). The module enhances local and global features, selectively aggregating this information into the HE branch, improving its global perception and contextual information. The height result is input into the prompt encoder and is summed pixel-wise with the features of the SS branch, effectively distinguishing confused categories and improving segmentation accuracy.

In summary, our contributions are summarised as follows:

- We propose HEASSNet, an MTL network designed to interpret high-resolution RSI through SS and HE. HE-ASSNet leverages joint learning to constrain and optimize performance, achieving state-of-the-art results on diverse datasets.
- We propose a fine-tuned method based on LoRA. Using convolution operations for multi-scale features in LoRA, solving the limitations of the encoder and enhancing the local prior, while retaining the strong generalization of SAM, making it more suitable for RSI.
- To achieve a positive transfer of favorable information in cross-task, we propose the FEA-Gate. The module effectively filters out irrelevant and redundant features from various modalities, allowing the incorporation of sufficiently complementary information to form a comprehensive representation for predicting height value.

**Organization.** In Section II, we review the existing work closely related to ours. We propose the details of HEASSNet Section III. The discussion of experiments is given in Section IV. In Section V, we conclude our paper.

### II. BACKGROUND AND MOTIVATION

In this section, we introduce the primary technical concepts of protocol fuzzing and clarify the main challenges we aim to address in this paper.

#### A. Protocol Fuzzing

To ensure effective and reliable information sharing on the Internet, the Internet Engineering Task Force (IETF) and published as Requests for Comments (RFCs). For example, the File Transfer Protocol (FTP) is based on RFC 959. These protocols outline the general structure and sequence of message exchanges. As shown in Fig. 1, an FTP message consists of a message command type, key-value pairs, and carriage return and line feed characters (CRLF). The required sequence of FTP messages is depicted in Fig. 2: the protocol implementation begins in the INIT state to the AUTH state upon receiving USER and PASS-type messages. From the INIT state to the TRAN state, the protocol must receive at least one more specific type and structure of message besides the USER and PASS messages.

Fuzzing tools automatically generate message sequences and send them to the protocol implementation. Ideally, these message sequences should adhere to the required structure and order of the protocol.

Command Type	i	S P	Value	S P	CRLF			
USER	Ь	em	0	_	CRLF>			
PASS	-		o_passwd					
CWD	/path/dir				CRLF>			
STOR	t	est.	txt	<	CRLF>			

Fig. 1. FTP command structure and an example of FTP request from Lightftp.

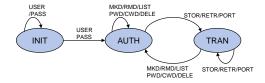


Fig. 2. FTP state model.

## B. Task Scheduling

Hierarchical Approaches decompose the scheduling process into two discrete phases: (1) Inter-state scheduling: This phase involves selecting a state to fuzz using a state scheduling algorithm based on the priority or relevance of the state. (2) **Intra-state scheduling:** Once the target state is selected, a general scheduling algorithm is applied to optimize fuzzing within that state. By separating these phases, hierarchical approaches allow for more nuanced control over the fuzzing process. For example, if we want to test a protocol after a handshake is completed, the inter-state scheduling phase will first prioritize this state. Then, the intra-state scheduling phase will generate specific test cases to be executed within the posthandshake state using traditional scheduling methods (e.g., seed scheduling, byte scheduling, mutation strategy scheduling). In this paradigm, the heuristics used by the scheduling process mainly fall into three categories, namely raritypreferred, performance-preferred, and complexity-preferred.

Rarity-preferred heuristics allocate more resources to seldomly exercised states, hypothesizing that these states harbor more undiscovered adjacent states or code logics [19, 110, 123, 162]. Performance-preferred heuristics prioritize states demonstrating higher code coverage or bug discovery rates [30, 55, 56, 110, 123]. Furthermore, some works utilize complexity-preferred heuristics, favoring states with greater complexity (i.e., connected to more basic blocks) or deeper states (i.e., further from the initial state) [45, 57]. For example, ICS3Fuzzer [45] inclines to choose the deeper states and those states that exercise more basic blocks. As a generation-based fuzzer, Pulsar [57] calculates the weight of all states that can be reached from the current state and then selects the state that has the maximum weight to be tested next. In detail, the

weight of a state is calculated as the sum of all mutable fields in a fixed number of transitions.

However, since all these state selection algorithms are implemented and evaluated separately on different platforms and targets, it is difficult to make a fair comparison and achieve conclusive findings. Liu et al [85] evaluate the three existing state selection algorithms of AFLNet [123], including a rarity-preferred algorithm, an algorithm that randomly selects states, and a sequential state selection algorithm. They find that these algorithms achieved very similar results in terms of code coverage. They attribute the reasons to the coarse-grained state abstraction of AFLNET and the inaccurate estimation of the state productivity. Therefore, they propose the AFLNETLE-GION algorithm [85] to address these issues, which is based on a variant of the Monte Carlo tree search algorithm [84].

	INIT	AUTH	TRAN
INIT	2	1	0
AUTH	0	6	3
TRAN	0	6	3

Fig. 3. FTP state transition out-degree and in-degree matrix diagram.

#### C. Motivation

Existing fuzzing strategies for stateful protocol implementations often exhibit critical shortcomings: (1) Short-sighted fuzzing strategies hinder the exploration of deep program state paths. Conventional fuzzers, such as AFL-based tools, prioritize minimizing message interactions by selecting the shortest viable test cases to transition between protocol states. While this approach optimizes resource consumption, it overlooks the nuanced impact of intermediate state transitions on subsequent fuzzing campaigns. For instance, consider a FTP implementation where the TRAN state can be reached via multiple command sequences—e.g., [PASS, USER, STOR], [PASS, USER, LIST, STOR], or the longer [PASS, USER, CWD, LIST, MKD, STOR]. Although the latter incurs higher fuzzing overhead due to additional commands (e.g., MKD creating a directory), these operations fundamentally alter the server's state, enabling richer feedback for subsequent inputs. However, most fuzzers favor shorter sequences, discarding semantically deeper paths that could expose subtle vulnerabilities. Moreover, state transitions in protocol implementations exhibit varying degrees of complexity. As illustrated in Fig. 3, transitions from AUTH to TRAN involve significantly more paths than those from INIT to AUTH, suggesting that state coverage alone is insufficient for effective fuzzing. By neglecting longer, more intricate command sequences, current tools fail to exercise critical edge cases, ultimately limiting their ability to uncover deep-state vulnerabilities. This work addresses this gap by proposing a state-aware fuzzing strategy that systematically prioritizes path diversity over mere state coverage.

(2) Existing grey-box fuzzing approaches for stateful network protocols exhibit significant limitations in their evaluation methodology. While recognizing the importance

of state selection and providing heuristic algorithms like RAN-DOM, ROUND-ROBIN, and FAVOR, current methods suffer from oversimplified assessment criteria that fail to accurately evaluate the potential of state sequences. The predominant approach narrowly focuses on bug discovery rate for state selection while ignoring execution efficiency, and prioritizes shortest-path sequences over more exploratory alternatives. To address these shortcomings, this study proposes a dual-objective optimization framework that simultaneously considers both execution time and bug discovery rate. Consequently, the current evaluation methodology exhibits limited capability in precisely quantifying the intrinsic value of discrete protocol states and their associated transition sequences, thereby adversely affecting the fuzzer's overall testing efficacy.

## III. METHOD

In this section, we first model the system using a finite-state machine and define our optimization objectives. Subsequently, we outline the details of the key components, including.

## A. Problem Definition

During the fuzzing of protocols, each state transition may correspond to the occurrence of an event. Finite State Machines (FSMs) describe the potential behavior of a system through states and the transitions between them, making FSMs particularly suitable for modeling event-driven systems. By using FSMs to model protocol implementations, it is possible to construct mappings between inputs and protocol state transitions. This mapping aids fuzzing tools in generating inputs to check the security of deep program states and their transitions. The main parameters used in this section are listed in Table I.

A Mealy FSM is a six-tuple:

$$\mathcal{A} = (Q, q_0, I, \Lambda, \delta, \lambda) \tag{1}$$

where  $Q = \{q_1, q_2, \ldots, q_n\}$  is a finite set of states,  $q_0$  represents the initial state of the system,  $I = \{\sigma_1, \sigma_2, \ldots, \sigma_m\}$  is the input alphabet, and  $\Lambda = \{o_1, o_2, \ldots, o_n\}$  is the output alphabet;  $\delta: Q \times I \to Q$  denotes the state transition function and can be expressed as  $\delta = \{(q, \sigma, q') : \delta(q, \sigma) = q'\}$ .  $\lambda: Q \times I \to \Lambda$  represents the set of potential outputs or observations for this transition.

Meanwhile, let  $I^*$  (excluding  $\epsilon$ ) represents the set of finite-length sequences on I, and let  $\Lambda^*$  represents the state transition outputs over  $\Lambda$ . In this regard, by successive iterations, for any  $q_0 \in Q$ ,  $\delta$  can be extended over a k-length string  $s_k = \sigma_{i_1}\sigma_{i_2}\dots\sigma_{i_k} \in I^*$  by  $\delta(q_0,s_k) := \delta(\delta(\dots\delta(\delta(q_0,\sigma_{i_1}),\sigma_{i_2})\dots),\sigma_{i_k})$ . Also the output function  $\lambda$  can be extended over a k-length string  $p_k = o_{j_1}o_{j_2}\dots o_{j_k} \in \Lambda^*$  by  $p_k = \lambda(q_0,s_k) := \lambda(\lambda(\dots\lambda(\lambda(q_0,\sigma_{i_1}),\sigma_{i_2})\dots),\sigma_{i_k})$ .

Therefore, given a Mealy FSM  $\mathcal{A}$ , there exist different input-output pairs  $(\Delta_i, O_j)$  composed of sequences  $(\sigma_{i_1}, o_{j_1})(\sigma_{i_2}, o_{j_2}) \dots (\sigma_{i_k}, o_{j_k})$ , where the state transition function  $\delta(q_0, s_k)$  and the output function  $\lambda(q_0, s_k)$  are defined over different existing sequences of events  $s_k = \sigma_{i_1} \sigma_{i_2} \dots \sigma_{i_k}$ , ensuring that  $|\delta(q_0, s_k)| > 0$  and  $|\lambda(q_0, s_k)| > 0$ , respectively.

For any protocol implementation, a corresponding Mealy FSM  $\mathcal{A}$  can be constructed. During each round of fuzzing,

TABLE I MAIN PARAMETERS

Parameter	Sign	Content
Finite Set of States	Q	$q_1, q_2, \ldots, q_n$
Initial State of The System	$q_0$	/
Input Alphabet	I	$\sigma_1, \sigma_2, \ldots, \sigma_m$
Output Alphabet	Λ	$o_1, o_2, \ldots, o_n$
State Transition Function	δ	$\delta(q,\sigma) = q'$
Set of Outputs for State Transition	λ	$Q \times I \to \Lambda$
State-sequence Instance Pairs	C	$C = (q_i, s_k^j)$

the fuzzing tool  $\mathcal{F}$  selects appropriate *state-sequence instance* pairs  $C=(q_i,s_k^j)$  for testing, where  $q_i\in Q$  represents the target state to be tested in the current round. Let  $m_q$  denote the number of seed sequences (non-fixed and state-dependent) that can reach  $q_i$ , from which one seed sequence  $s_k^j$  is selected for testing.

Formally, if the protocol implementation triggers state transitions C resulting in a crash M, the crash type is recorded. This process iterates until reaching the maximum execution time limit  $T_{\text{max}}$ . The experimental objective is to maximize the number of M within the limited T.

#### B. Optimization Goal

In fuzzing, we aim to maximize the number of discovered crashes (M) while maintaining execution time below the predefined threshold  $T_{\text{max}}$ . Our formulation for the optimization objective reads:

$$arg \max M 
s.t. T \le T_{max}$$
(2)

**Crash Model.** In this section, we aim to model the crashes M obtained through fuzzing. We define M as the cumulative crashes across all rounds generated by each state-sequence instance pair C in the fuzzing process:

$$M = \sum_{q=1}^{n} \sum_{s_k=1}^{m_q} \left( M_E^{q, s_k} + M_N^{q, s_k} \right)$$
 (3)

where  $M_E^{q,s_k}$  denotes crashes caused by protocol violations,  $M_N^{q,s_k}$  represents crashes during specification-compliant execution. Among the available options, there are n testable states q, and for each test state q, there exist  $m_q$  selectable seed sequences  $s_k$ .

**Execution Time Model.** We define the execution time T during testing as the sum of execution times for each state-sequence C:

$$T = \sum_{q=1}^{n} \sum_{s_k=1}^{m_q} t^{q,s_k} \tag{4}$$

where  $t^{q,s_k}$  denotes the total execution time summed across all rounds for each state-sequence instance pair.

Value Model. To accurately evaluate the exploration potential of each state sequence instance pair and ensure the selection of higher-value pairs in subsequent fuzz testing, thereby maximizing crash discovery efficiency within limited execution time, we propose a novel value assessment model

 $E^{q,s_k}$ . This model quantitatively estimates the exploration value (encompassing both crash count and execution time) of instance pairs to optimize testing performance:

$$E^{q,s_k} = \sum_{p=1}^{selected\_time} (E_p^{q,s_k})$$
 (5)

where  $E^{q,s_k}$  denotes the total exploration value summed across all  $selected\_time$  experimental rounds for each state-sequence instance pair C, calculated as the accumulation of  $E_p^{q,s_k}$  values obtained in each round. The exploration value per experiment  $E_p^{q,s_k}$  is defined as:

$$E_p^{q,s_k} = F_p^{q,s_k} \cdot T_p^{q,s_k} \tag{6}$$

$$F_p^{q,s_k} = \frac{2^{\log(\text{paths\_discovered} + \alpha \cdot M_E^{q,s_k} + M_N^{q,s_k} + 1)}}{2^{\log_{10}(\log_{10}(\text{fuzzs+1}) \cdot \text{selected\_times} + 1)}}$$
(7)

The proposed state value evaluation formula consists of two components. The first component is the crash discovery value, as formulated in Equation (7). This metric is adapted from AFLNet's state value estimation formula, with modifications introduced to optimize crash count maximization under our testing framework. This component integrates the following key factors: (1) code path coverage (paths discovered), where greater coverage indicates higher probability of discovering potential vulnerabilities; (2) protocol-violation crashes  $(M_E^{q,s_k})$ , whose impact is reduced through a weighting coefficient  $\alpha$  since such crashes typically cannot trigger genuine vulnerabilities; and (3) valid protocol crashes  $(M_N^{q,s_k})$ , which exhibit higher vulnerability detection value as they conform to protocol specifications while triggering abnormal execution. (4) Furthermore, the formula incorporates a test-round decay factor composed of the total testing rounds (fuzzs) and the current state sequence execution rounds (selected\_time). The logarithmic relationship in this factor demonstrates a negative regulatory effect on exploration value as testing iterations increase, thereby effectively preventing excessive retesting of low-efficiency regions and improving the overall efficiency of fuzz testing.

$$T_p^{q,s_k} = \frac{50}{\epsilon + 1500 - \frac{1500}{1 + e^{-0.15(T - 10)}}} \cdot \frac{1}{2^{\log_{10}(\log_{10}(t_p^{q,s_k} + 1))}}$$
(8)

The second part quantifies execution efficiency of state sequence instance pairs, as formulated in Equation (8). Experimental studies demonstrate that the path coverage and crash discovery efficiency during fuzzing exhibit significant time-dependent features, manifested by a notably higher discovery rate per unit time in the initial testing phase compared to later stages. To accurately characterize this dynamic behavior, this study proposes a time-weighted dynamic reward mechanism based on experimental data. By introducing a time gain function to provide progressive value compensation for crashes and paths discovered in later stages, the mechanism effectively addresses the issue of diminishing testing returns over time. The left-hand side of the equation is empirically derived through extensive experimental data fitting, where T

represents the cumulative execution time of the ongoing fuzz testing process, and  $\epsilon$  is a minimal positive constant (typically set at machine epsilon level) incorporated to prevent division by zero and maintain numerical stability in the computation.

Meanwhile, to optimize the execution time of individual test cases, the model incorporates a time penalty factor with negative derivative properties (corresponding to the right-hand side of the equation). This design is theoretically grounded in two fundamental observations: prolonged execution time linearly reduces testing throughput, while execution efficiency demonstrates significant positive correlation with potential exploration value. The dual-regulation mechanism, integrating time-gain rewards and execution-time penalties, enables optimal resource allocation across both temporal and test-case dimensions through dynamic value assessment. This approach not only adheres to the fundamental exploration-exploitation trade-off principle in fuzz testing but also adaptively prioritizes high-potential test cases, thereby significantly improving overall testing efficiency. Here,  $t_p^{q,sk}$  denotes the execution time of the current test cycle, whose value is determined based on the theoretical framework of AFLNet's state evaluation formula and subsequently validated through experimental studies.

## C. Algorithm Design

We believe it is necessary and feasible to solve both SS and HE by building an architecture that is adapted to the tasks and high running efficiency, and can be trained from scratch to achieve optimal results using limited remote sensing data. Therefore, we design two independent branches composed of the following components.

Semantic Segmentation Branch. Instead of patch merging, we use patch expanding to upscale high-level features before passing them through the SwinT layer. As depicted in Fig. ??, patch expanding reshapes the input feature map into a higher resolution while halving the number of channels. For instance, starting with the lowest resolution feature map, a linear layer performs upsampling, doubling the number of channels. Layer normalization accelerates feature regression, followed by a rearrangement operation that expands features along the channels. Finally, concatenation merges all features, doubling the resolution and reducing the channels by half. The shape of the features changes during the entire process as follows:

$$(\frac{H}{16}, \frac{W}{16}, C) \to (\frac{H}{16}, \frac{W}{16}, 2 * C) \to (\frac{H}{8}, \frac{W}{8}, \frac{C}{2})$$
 (9)

where H and W represent the length and width of the initial input image, and C represents the number of channels of the minimum resolution feature map.

The upsampled feature is input into the SwinT layer. Unlike traditional multi-head self-attention, SwinT operates based on sliding windows, as shown in Fig. ?? (a). Each layer within this framework includes two consecutive transformer blocks, comprising a layer normalization layer, residual connections, and a multi-layer perceptron with a GeLU activation function. Two types of multi-head self-attention modules, Window-based Multi-Head Self-Attention (W-MSA) and Shifted Window-based Multi-Head Self-Attention (SW-MSA),

were designed with regular and shifted window configurations, respectively. Mathematically, MSA changes to:

$$A^{h*w*C} \times W_q^{C*C} = Q^{h*w*C},$$

$$A^{h*w*C} \times W_k^{C*C} = K^{h*w*C},$$

$$A^{h*w*C} \times W_v^{C*C} = V^{h*w*C}$$
(10)

$$Attention(Q, K, V) = Soft \max(\frac{Q \times K^{T}}{\sqrt{d}} + B) \times V$$
 (11)

$$MultiHead(Q, K, V) = Cat(head_1, ..., head_h)W,$$
  
 $head_i = Attention(QW_i^Q, KW_i^K, VW_i^V)$ 
(12)

where A is the matrix obtained by splicing all pixels together (a total of h\*w pixels, and the depth of each pixel is C), W is a trainable transformation matrix, Q, K, and V are the query, key, and value obtained by transforming all pixels through the W. B is the bias, and d represents the dimension of the query or key.

Height Estimation Branch. The HE branch performs quantitative analysis on pixel values, emphasizing local feature extraction through convolutional blocks (Fig.?? b). Each layer of feature maps undergoes upsampling, supplemented by integrating global features from the SS branch. This fusion process involves feature enhancement and aggregation, which we introduce further in the subsequent section. The idea is to introduce the global height distribution into HE by leveraging long-range dependencies obtained by transformers, allowing HE to capture intricate details such as target shapes and structures while considering their spatial positioning and scale within the overall scene context.

Each convolution block includes three main steps. Initially, a convolution for unchanged dimensions is applied to learn spatial-level features and capture local-global patterns within the input data. Second, a convolution with half the original number of channels focuses on significant information, reducing feature redundancy and enhancing efficiency and generalization. Finally, the spatial resolution of the feature map is restored by upsampling, enabling predictions at a finer granularity.

# D. Feature Enhancement and Aggregation Gate

Convolution provides detailed information for HE by learning local patterns, which is crucial for identifying subtle changes. SwinT layers use self-attention to capture long-range dependencies, providing contextual information on scene layout and object relationships. Integrating these advantages to enhance the utilization of key information offers a feasible way to improve the performance of the HE branch. To achieve this, we propose the FEA-Gate aimed at effectively integrating local and global features. The gate promotes layer-by-layer feature fusion, enriching feature representation to deepen the comprehension of height distribution. To ensure the information propagation between the two modes, the gate includes two operations: feature enhancement and calibration for each modality, and cross-modal feature aggregation, as shown in Fig. 4.

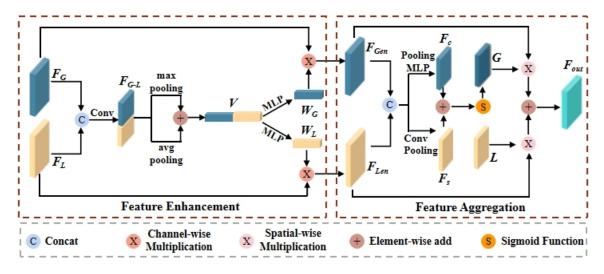


Fig. 4. Pipeline of the Feature Enhancement and Aggregation Gate (FEA-Gate), which contains two parts, Feature Enhancement (FE) and Feature Aggregation (FA).

Feature Enhancement. In practice, we perform enhancement and calibration on the local and global features. Spatial and detailed information from the two modes is embedded and compressed to generate a cross-mode attention vector V, achieved by cascading and pooling techniques. Local and global features are concatenated, followed by a convolution operation to strengthen their interaction and integration, yielding a comprehensive representation  $F_{G-L}$ . Max pooling then generates the most significant feature responses, enhancing sensitivity to critical information. Average pooling provides an averaged expression of features and retains more background information. The vectors obtained by the two poolings from  $F_{G-L}$  are added pixel by pixel, and we get the attention vector V, representing the overall understanding of global and local features. Finally, an MLP is applied to V to derive weights W. These weights are then multiplied with the input features, resulting in enhanced features  $F_{Gen}$  and  $F_{Len}$ . This learningdriven mechanism of weight allocation can dynamically adjust the contribution of the two modes, adaptively emphasizing global information or local details according to the different input features. In general, the feature enhancement process is formulated as follows:

$$V = mp(conv(cat(F_G, F_L))) + ap(conv(cat(F_G, F_L)))$$
 (13)

$$W_G, W_L = MLP(V) \tag{14}$$

$$F_{Gen} = W_G \times F_G, F_{Len} = W_L \times F_L \tag{15}$$

where mp represents maximum pooling, and ap represents the average pooling.

**Feature Aggregation.** Local and global features are complementary. It is necessary to aggregate these features at a certain position in space based on their expressive capabilities. Initially, the enhanced features  $F_{Len}$  and  $F_{Gen}$  are concatenated into high-dimensional representations before aggregation. We then define two distinct attention mechanisms: channel attention, which employs MLP and pooling to construct the channel feature map  $F_C$ , and spatial attention, utilizing convolution and pooling to obtain the spatial feature

map  $F_S$ . Following the pixel-wise combination of  $F_C$  and  $F_S$ , we get the gates G and L via the sigmoid activation function. These gates are assigned to  $F_{Gen}$  and  $F_{Len}$  as weight information to obtain the final fused feature map,  $F_{out}$ . The feature aggregation module can be abstracted as follows:

$$F_C = MLP(mp(cat(F_{Gen}, F_{Len}))), \tag{16}$$

$$F_S = conv(mp(cat(F_{Gen}, F_{Len})))$$

$$G = Sig(F_C + F_S), L = 1 - G$$
 (17)

$$F_{out} = F_{Gen} \times G + F_{Len} \times L \tag{18}$$

where mp represents maximum pooling, and Sig represents the Sigmoid function.

### E. Objective Function

The complete objective function consists of SS loss (Cross-Entropy Loss) and HE loss (L1Loss, MSELoss). The cross-entropy loss quantifies the difference between the predicted map and the ground truth. Given the inherent imbalance of the dataset between different categories, we assign weights to these categories. All losses are computed as:

$$L_{Cross} = -\frac{1}{H * W} \sum_{i=1}^{H*W} \sum_{c=1}^{C} \hat{P}_{ic} \log(P_{ic})$$
 (19)

$$L_1 = \frac{1}{H * W} \sum_{i=1}^{H} \sum_{j=1}^{W} \left| \hat{P}_{(i,j)} - P_{(i,j)} \right|$$
 (20)

$$L_{MSE} = \frac{1}{H * W} \sum_{i=1}^{H} \sum_{j=1}^{W} (\hat{P}_{(i,j)} - P_{(i,j)})^{2}$$
 (21)

$$L_{Total} = L_{Cross} + L_1 + \sqrt{L_{MSE}}$$
 (22)

where H and W represent the length and width of the input image, respectively, and C is the number of categories.  $P_{ic}$  and  $\hat{P}_{ic}$  are the probabilities that the real pixel and predicted pixel i are category c, and  $P_{(i,j)}$  and  $\hat{P}_{(i,j)}$  are the height values of the truth height map and the predicted height map.

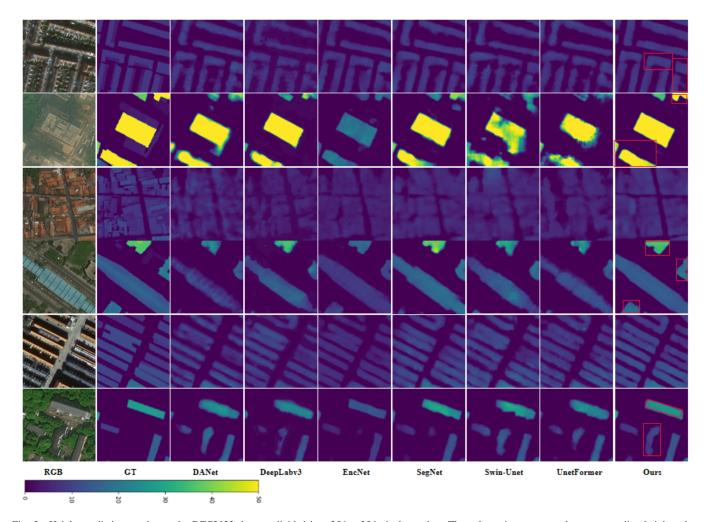


Fig. 5. Height prediction results on the DFC2023 dataset, divided into  $256 \times 256$  pixels patches. The color axis represents the corresponding height value mapping.

#### IV. EXPERIMENT

In this section, we first describe the datasets used and provide execution details. We then evaluate the performance of HEASSNet in comparison to classic algorithms for HE and SS tasks, using two well-known public datasets: ISPRS Potsdam and DFC2023. Following this, we compare our method against four commonly used backbones and perform SS and CD tasks using the SECOND dataset, thereby verifying the portability of our shared encoder strategy. Finally, we conduct ablation experiments to demonstrate the contributions of each key component within HEASSNet.

# A. Datasets and Execution Details

1) Datasets: To verify the effectiveness of HEASSNet, HE and SS experiments were carried out on the DFC2023 and ISPRS Potsdam datasets, and the SS and CD experiments were conducted on the SECOND dataset.

**DFC2023**: The DFC2023 [15] (Data Fusion Contest 23) dataset provides satellite images, digital surface models, and semantic labels of buildings in 17 cities from six continents. The DSM is derived from stereo images captured by Gaofen7 and WorldView satellites, achieving a 2-meter ground sampling distance. The dataset contains 1773 images, each sized

at 512×512 pixels, with accurately annotated semantic labels. The semantic maps outline building footprints and do not distinguish the building type. For the experiment, due to the limitation of GPU memory, all images are cropped into 7092 patches of 256×256 size. These patches are randomly divided into training, validation, and test sets, with data sample sizes of 4964, 709, and 1419 respectively.

**Potsdam**: The Potsdam dataset includes 38 optical RSI, digital surface models, and semantic labels. Each image boasts a high resolution of 5 cm per pixel and dimensions of 6000×6000 pixels. The dataset includes six categories: impervious surfaces, buildings, low vegetation, trees, cars, and background. In the experiment, each image is cropped into several patches of size 256×256. These patches are divided into training, validation, and test sets in a ratio of 7:1:2, respectively.

**SECOND**: The SECOND [16] dataset is well-annotated for semantic CD. The dataset collects pairs of multi-temporal aerial images from multiple platforms and sensors, with each image being 512×512 in size and annotated at the pixel level. The difference in the truth label of each pair of images is the truth value of the CD. The dataset includes six land cover categories: unvegetated surface, trees, low plants, water,

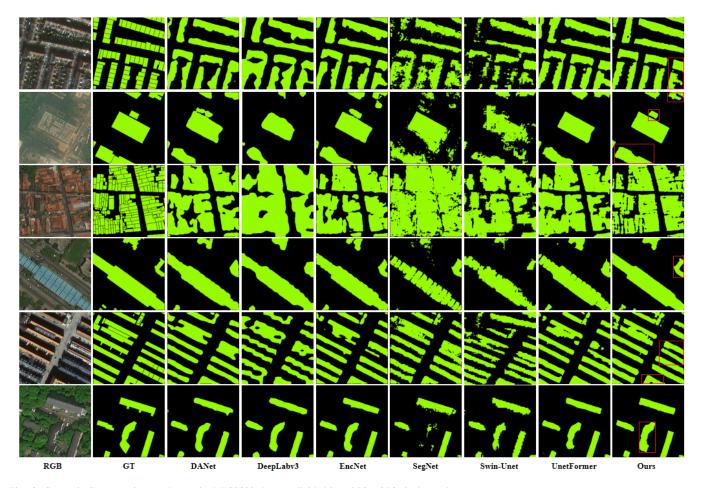


Fig. 6. Semantic Segmentation results on the DFC2023 dataset, divided into  $256 \times 256$  pixels patches.

buildings, and playgrounds. In the experiment, all images are cropped into 11872 patches of 256×256 size and randomly divided into training, validation, and test sets, with data sample sizes of 8310, 1187, and 2375.

- 2) Training Details: The proposed method uses the publicly accessible PyTorch framework. Training epochs is a maximum of 40, with a batch size of 8 to converge. The initial learning rate is set to  $1.0\times10^{-4}$  and decays by a factor of 0.1 every 20 epochs to facilitate effective learning. All experiments are conducted using GPU to improve computational efficiency. We use the AdamW optimizer to optimize network parameters, with a beta of 0.9 and a weight decay rate of 0.01% to mitigate overfitting and enhance generalization capabilities.
- 3) Evaluation Metrics: We use a series of generally accepted metrics to evaluate the proposed method quantitatively.

For SS, we use overall accuracy (OA), Kappa coefficient, intersection-over-union (IoU), and F1 score to measure the performance of all networks in the experiment.

For HE, we use mean absolute error (MAE), root mean square error (RMSE), structural similarity (SSIM), and thresholded accuracy  $\delta$  to measure the quality of the generated height map. The HE metrics are computed as follows:

$$MAE = \frac{1}{N} \sum_{i=1}^{N} |H_i - \hat{H}_i|$$
 (23)

$$RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} (H_i - H_i)^2}$$
 (24)

$$SSIM = \frac{(2\mu_H \mu_{\hat{H}} + C_1)(2\sigma_{H\hat{H}} + C_2)}{\left(\mu_H^2 + \mu_{\hat{H}}^2 + C_1\right)\left(\sigma_H^2 + \sigma_{\hat{H}}^2 + C_2\right)}$$
(25)

$$\delta_K = \max(\frac{H}{\hat{H}}, \frac{\hat{H}}{H}) < 1.25^K, K \in 1, 2, 3$$
 (26)

where H and  $\hat{H}$  represent the true image and predicted height map, N is the number of all images in each epoch,  $\mu$ ,  $\sigma$  and  $\sigma_{H\hat{H}}$  represent the mean, variance and covariance of H and  $\hat{H}$ . In addition,  $C_1$  and  $C_2$  are constants with small values to avoid the numerator or denominator being zero.

#### B. Competitors

For SS, the proposed method is benchmarked against several CNN-based networks and Transformer-based models. Specifically, they are SegNet [17], EncNet [18], DeepLabV3 [19], DANet [20], Swin-Unet [21], and UnetFormer [22]. For HE, the algorithms compared with the HEASSNet can be divided into two groups: one is specialized HE networks for RSI—Im2Height [23], LUMNet [24], and HTCDCNet [25]; the other is depth estimation networks used for similar

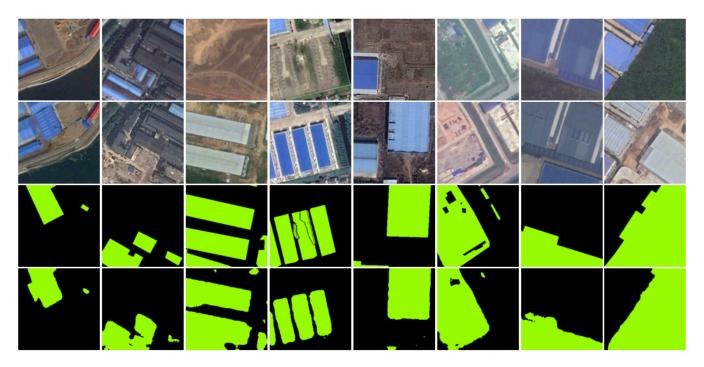


Fig. 7. Change Detection results on the SECOND dataset, divided into  $256 \times 256$  pixels patches. From top to bottom: prechange RGB, ground-truth, our result.

pixel value analysis—PixelFormer [26], GLPDepth [27], and NewCRFs [28].

## C. Performance Comparison

In this section, we report the quantitative and qualitative comparison results of the proposed HEASSNet with other methods on HE and SS. Then, we analyze these results to demonstrate the HEASSNet's effectiveness.

Table II reports the quantitative comparison results on the DFC2023 dataset. Compared with the above methods, the proposed HEASSNet performs better for both SS and HE. Specifically, for SS, our approach achieves OA of 94.60%, Kappa of 86.22%, mIoU of 84.90%, and an average F1 score of 92.45%. For HE, our approach achieves MAE of 1.705, RMSE of 4.681, and SSIM of 89.22, maintaining the best performance across different accuracy thresholds  $\delta$ . These results indicate that our method is more effective and reliable. Notably, existing methods such as PixelFormer, GLPDepth, HTCDCNet, and NewCRFs rely on the maximum height of the scene as a boundary constraint, which introduces several issues. First, due to the large proportion of background in DFC2023 datasets, it will underestimate the predicted height values. Second, the small proportion of super-tall buildings, using the maximum height value as a boundary will lead to an unreasonable increase in the overall predicted height. As a result, these methods often yield unstable and low  $\delta$  values on the DFC2023 dataset, which has an unbalanced height distribution. In contrast, our methods, including Im2Height and LUMNet, generate height maps through direct regression without boundary constraints, ensuring better stability across varying height distributions.

Fig. 5 and Fig. 6 show the visualization results of the qualitative comparison. As shown in Fig. 5, compared with the other algorithms, the predicted height values of HEASSNet for buildings within the same area are smoother and more consistent. This improvement is because of the contextual information provided by the SS branch, which helps constrain the predicted height values within the same area. Notably, in the last row of Fig. 5, some buildings in the lower middle of the optical image are missing from the ground truth, which we believe is due to an annotation error. Despite this discrepancy, our method successfully predicts the height of these buildings and maintains consistent outlines, underscoring the reliability of our approach in practical applications. Fig. 6 presents the visualization results of SS. Compared to other segmentation algorithms, our method produces more visible boundaries, particularly at the edges of the image. This improvement is due to the height prompts generated by the HE branch, which effectively distinguishes between background and buildings.

Beyond the DFC2023 dataset, we report quantitative comparison results on the ISPRS Potsdam dataset, which has more varied, complex, and challenging scenes. As shown in Table III, our method outperforms the compared algorithms across most metrics, similar to the results observed with the DFC2023 dataset. It should be noted that the Potsdam dataset has well-balanced distribution of height values, which allows methods such as PixelFormer, GLPDepth, HTCDCNet, and NewCRFs to perform stably in terms of the  $\delta$  metric. These methods do not encounter the same issues as in the DFC2023 dataset, i.e., an unbalanced height distribution will lead to less stable and lower  $\delta$  values.

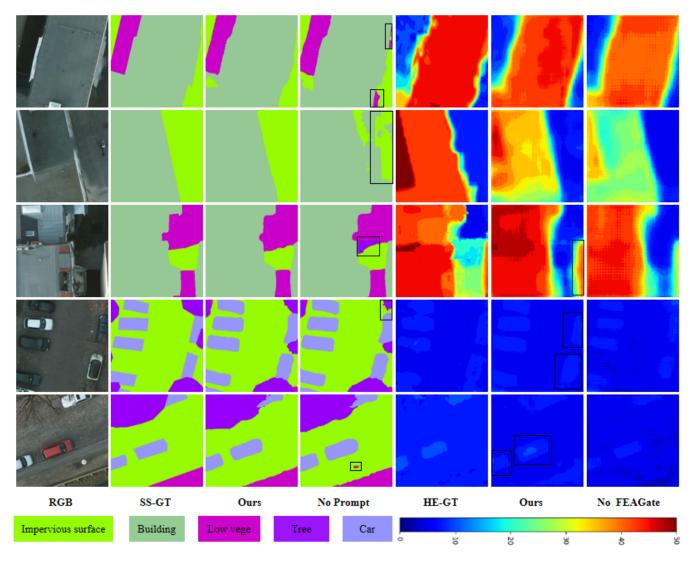


Fig. 8. Qualitative ablation results of height estimation and semantic segmentation on the Potsdam dataset. The color axis represents the corresponding height value mapping.

## D. The Shared Encoder Test

As mentioned earlier, we do not specify a specific optimization strategy for SS and HE, and expect to complete task transfer at the lowest cost. Therefore, in this section, we compare with other backbones to verify the extensibility of the proposed shared encoder strategy. Specifically, we conducted SS and CD tests on the SECOND dataset.

Given that the primary focus of this paper is not on CD, we did not design a specific branch for this task. Therefore, we replaced the HE branch with the decoder from ScanNet [29], demonstrating that our shared encoder strategy can achieve optimal performance with minimal migration effort. For SS, we selected DeepLabV3 and EncNet as baselines, which performed well on the previously discussed datasets. For CD, the branch of the other backbones remained consistent with our method. Table IV depicts the quantitative evaluation results of SS and CD. Our method outperforms other approaches in both tasks, achieving the best performance across most metrics. Specifically, for CD, our model achieved an OA of 87.94%, Precision of 82.68%, Recall of 75.67%, average F1 score

of 78.40%, and mIoU of 66.80%. Fig. 7 provides additional visualization results of CD using our method on the SECOND dataset, further demonstrating its efficacy.

## E. Ablation Analysis

In this section, we conducted ablation experiments to verify the role of key components on the Potsdam dataset and analyze the LoRA fine-tuning strategy on the DFC2023 dataset.

Key Components: Table V shows the quantitative comparison results of the ablation experiments. In the table, "Add" refers to using pixel summation to introduce global information from the SS branch into the HE branch. The results in the second and third rows demonstrate that the long-range dependencies captured by the SS branch significantly enhance the accuracy of HE. This improvement occurs because the global information provides a complete target area, constrains all pixels of the target to a specific range, and predicts the height values of each complete target smoothly and continuously. Compared to the "Add" operation, the FEA-Gate can better explore the potential of global information in the HE branch.

TABLE II

QUANTITATIVE RESULTS OF SS AND HE ON THE DFC2023 DATASET. THE
BEST RESULTS ARE HIGHLIGHTED IN BOLD.

TABLE III

QUANTITATIVE RESULTS OF SS AND HE ON THE POTSDAM DATASET. THE
BEST RESULTS ARE HIGHLIGHTED IN BOLD.

Height Estimation Results								Height Estimation Results							
Method	MAE ↓	RMSE ↓	SSIM 1	δ1↑	δ2 ↑	δ3 ↑		Method	MAE ↓	RMSE ↓	SSIM ↑	δ1 ↑	δ2 ↑	δ3 ↑	
Im2height	3.102	7.300	85.84	51.08	55.87	62.36		Im2height	3.499	6.187	97.42	91.61	98.29	98.94	
PixelFormer	2.533	5.930	82.75	34.55	50.47	59.37		PixelFormer	4.379	5.814	98.26	89.36	99.08	99.83	
GLPDepth	2.511	5.939	83.52	32.56	49.38	59.12		GLPDepth	3.795	5.655	98.24	89.62	99.08	99.85	
HTCDCNet	2.479	5.337	81.35	35.21	51.66	60.57		HTCDCNet	3.033	4.454	98.61	94.42	99.46	99.96	
NewCRFs	2.171	5.305	85.21	40.06	56.47	65.06		NewCRFs	3.221	4.815	98.59	92.27	99.37	99.94	
LUMNet	1.738	4.446	88.17	53.12	69.21	76.77		LUMNet	2.557	3.931	98.81	96.44	99.59	99.93	
Ours	1.705	4.681	89.22	56.67	72.23	79.27		Ours	2.304	3.648	98.93	96.22	99.67	99.98	
	Sema	antic Segm	entation	Results			-		Sema	antic Segm	entation l	Results			
Method	ОА ↑	mIoU	1	Kappa ↑	F1 1	<u> </u>	-	Method	ОА ↑	mIoU	↑ I	Kappa ↑	F1 1		
Swin-Unet	88.21	71.81		65.63	82.7	9		Swin-Unet	80.17	64.15	7	73.02	77.3	2	
SegNet	88.43	72.78		67.12	83.5	6		SegNet	85.48	70.82		79.88	82.1	8	
DeepLab3	92.66	81.95		79.63	89.8	2		DeepLab3	93.63	83.80		91.27	90.9	8	
DANet	93.24	82.87		80.77	90.3	90.38		DANet	89.31	77.69	8	35.18	87.1	4	
UnetFormer	93.71	84.10		82.31	91.1	5		UnetFormer	90.87	77.52	8	37.41	86.5	9	
EncNet	94.37	85.57		84.11	92.0	5		EncNet	92.35	78.14	8	39.42	86.4	8	

The "Prompt" strategy involves using the predicted height map as prior knowledge for SS, effectively distinguishing boundaries between different targets and thereby improving the accuracy of SS. Fig. 8 presents the visualization results of the ablation experiments. The height prompt results incorporated in the SS branch achieve clearer and more precise boundaries. Additionally, the global features from the SS branch lead to a smoother and more consistent height map in the HE branch, with more complete outlines for each target.

86.22

84.90

92,45

94.60

Ours

The detailed results of SS are depicted in Table VI. In optical RSI, impervious surfaces do not contain color information. Height prompts can effectively distinguish this category, leading to improved results. Buildings and trees are usually taller and may exhibit shadow noise in 2D images because of the imaging mechanism. The height prompts are valuable for these objects, as they help reduce shadow noise and significantly enhance performance. Conversely, cars and low vegetation tend to have similar heights in the height prompt. Given that the dataset contains a large amount of low vegetation, this similarity of height values makes it more challenging to identify cars.

**LoRA Fine-Tuning**: We report the ablation results of the fine-tuning strategy in Table VII. The "Base" refers to the result of adjusting all parameters after importing the pretrained weights. As anticipated, this approach does not yield

optimal results. Adjusting all parameters weakens SAM's original feature extraction capabilities. Moreover, remote sensing datasets often lack sufficient training data to optimize the Vision Transformer model plenty. The subsequent results highlight the performance improvements achieved through LoRA fine-tuning. Notably, The initial fine-tuning of Query (Q) and Value (V) in the attention layer significantly improves the performance of HEASSNet. SAM's training data primarily consists of natural images, which differ from RSI. Therefore, customizing the fine-tuning of Q, and V parameters enables SAM to extract more meaningful features from RSI, benefiting HE and SS tasks. In Table VII, N represents the scaling factor of the feature map of LoRA within each four-layer transformer. When these multi-scale features align more closely with the traditional encoder structure, the convolutional layer's role becomes more pronounced. The strategy will lead to improved performance in the HE branch with CNNs as the backbone, while it has a negative impact on the SS branch. Nevertheless, given the lightweight of these convolutions, the performance degradation in the SS branch remains within acceptable limits.

88.39

94.18

93.68

#### F. Discussion

Ours

95.83

The experimental results presented above fully demonstrate the feasibility, effectiveness, and scalability of the HEASSNet. However, as illustrated in Fig. 5 and Fig. 6, our method

TABLE IV QUANTITATIVE RESULTS OF SS AND CD ON THE SECOND DATASET. THE BEST RESULTS ARE HIGHLIGHTED IN BOLD.

Method	MAE ↓	RMSE ↓	SSIM ↑	δ1↑	δ2 ↑	δ3 ↑	OA ↑	mIoU ↑	Kappa ↑
DeepLabv3	68.21	39.29	66.26	54.52	-	-	-	-	-
EncNet	68.69	38.78	66.78	53.57	-	-	-	-	-
ScanNet	-	-	-	-	87.85	82.55	75.46	78.21	66.58
ConvNext	65.41	34.60	63.25	48.90	87.33	81.16	75.20	77.59	65.80
VGG16	68.69	38.42	66.68	53.05	87.02	79.93	76.10	77.76	65.90
ResNet50	68.90	37.77	66.88	52.19	87.23	80.23	76.70	78.25	66.46
Swin-Trans	69.08	39.91	67.19	55.10	87.48	82.14	74.30	77.22	65.47
Ours	69.32	40.13	67.37	55.48	87.94	82.68	75.67	78.40	66.80

 $TABLE\ V$  Ablation study of the proposed model on the Potsdam dataset. The best results are highlighted in bold.

Method	MAE ↓	RMSE ↓	SSIM ↑	δ1 ↑	δ2 ↑	δ3 ↑	OA ↑	mIoU ↑	Kappa ↑	F1 ↑
Base	2.658	4.193	98.77	94.33	99.52	99.93	93.77	84.18	91.43	91.21
Base+Add	2.615	4.153	98.75	94.76	99.46	99.95	94.89	86.83	92.93	92.84
Base+FEAGate	2.283	3.676	98.91	95.93	99.65	99.98	95.57	87.66	93.81	93.21
Base+FEA+Prompt	2.304	3.648	98.93	96.22	99.67	99.98	95.83	88.39	94.18	93.68

 $TABLE\ VI$  Detailed quantitative results of SS in ablation experiments. The best results are highlighted in bold.

Method	OA ↑	Kappa ↑	mIoU ↑	F1 ↑		J			
					impervious surfaces	building	low vege	tree	car
Base	93.77	84.18	91.43	91.21	88.29	89.36	91.92	70.47	80.87
Base+add	94.89	86.83	92.93	92.84	89.39	91.10	93.90	78.02	81.77
Base+FEAGate	95.57	87.66	93.81 93.21		91.43	92.81	94.26	72.16	87.64
Base+FEA+Prompt	95.83	88.39	94.18	93.68	91.64	93.63	94.52	74.82	87.35

and other algorithms exhibit notable errors when dealing with small and dense targets, which remains a significant challenge in the intelligent interpretation of RSI. Moreover, when height maps as prompts, the height vector is applied as an offset and added pixel by pixel to the vector from the SS branch. This approach may not fully explore the potential of the height map in SS. Future research should aim to fully make these two modalities complementary, which continues to be an area of active interest. Lastly, the development of multimodal datasets that support additional tasks (three or four) could further explore the performance of HEASSNet. We believe that HEASSNet can continue to achieve promising results.

#### V. CONCLUSION

In this paper, we propose HEASSNet, a novel MTL framework designed to perform HE and SS using monocular optical

RSI. Rather than prioritizing specific optimization strategies for HE and SS, we focus on simplifying the network structure and reducing design complexity. Specifically, HEASSNet adopts the pre-trained encoder of SAM, and we propose a novel LoRA fine-tuning strategy to make it more suitable for optical RSI. We design two independent branches to handle the distinct attributes of HE and SS tasks. To explore the potential correlation between HE and SS, we introduce the FEA-Gate, which incorporates long-range dependencies of SS into HE, effectively regularizing the HE process. Additionally, height maps are processed through a prompt encoder to obtain height vectors, which guide the generation of the segmentation map in the SS branch. Experiments on multiple datasets demonstrate that HEASSNet achieves superior performance and compatibility. In future work, We will further explore dense and small object recognition to improve the performance

TABLE VII QUANTITATIVE RESULTS OF DIFFERENT BACKBONES ON THE DFC2023 DATASET. THE BEST RESULTS ARE HIGHLIGHTED IN BOLD.

Method	MAE ↓	RMSE ↓	SSIM ↑	δ1↑	δ2 ↑	δ3 ↑	ОА ↑	mIoU ↑	Kappa ↑	F1 ↑
Base	1.932	4.938	88.07	52.50	70.72	78.75	94.21	85.27	83.75	91.87
Q+V	1.753	4.615	88.90	52.57	69.20	76.87	94.61	86.26	84.95	92.47
Q+V+Conv(N=1,1,1)	1.723	4.630	89.13	54.64	70.56	77.63	94.67	86.46	85.19	92.59
Q+V+Conv(N=2,2,2)	1.728	4.664	89.22	54.75	70.86	77.99	94.61	86.30	85.00	92.50
Q+V+Conv(N=4,2,1)	1.705	4.618	89.22	56.67	72.23	79.27	94.60	86.22	84.90	92.45

of SS and HE tasks.

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