

EXTENDS *Naturals*

CONSTANTS

*KEYS*

VARIABLES

*database*,  
*cache*,  
*cacheFillStates*, *cacheFillStatus*[*key*] = Fill state  
*invalidationQueue*

INSTANCE *cacherequirements*

*vars*  $\triangleq$   $\langle \textit{database}, \textit{cache}, \textit{cacheFillStates}, \textit{invalidationQueue} \rangle$

*InvalidationMessage*  $\triangleq$  [*key* : *KEYS*]

*CacheFillState*  $\triangleq$  [*state* : { "inactive", "started", "respondedto" }, *version* : *DataVersion*]

*CacheValue*  $\triangleq$  *CacheMiss*  $\cup$  *CacheHit*

*TypeOk*  $\triangleq$

$\wedge$  *database*  $\in$  [*KEYS*  $\rightarrow$  *DataVersion*]

$\wedge$  *cache*  $\in$  [*KEYS*  $\rightarrow$  *CacheValue*]

We track the cache fill state for each key. It is a multipart process

$\wedge$  *cacheFillStates*  $\in$  [*KEYS*  $\rightarrow$  *CacheFillState*]

We model *invalidationQueue* as a set, because we cannot guarantee in-order delivery

$\wedge$  *invalidationQueue*  $\in$  SUBSET *InvalidationMessage*

*Init*  $\triangleq$

$\wedge$  *database* = [*k*  $\in$  *KEYS*  $\mapsto$  0]

$\wedge$  *cache* = [*k*  $\in$  *KEYS*  $\mapsto$  [*type*  $\mapsto$  "miss"]]

Cache fill states start inactive

$\wedge$  *cacheFillStates* = [*k*  $\in$  *KEYS*  $\mapsto$  [

*state*  $\mapsto$  "inactive",

Version set to earliest possible version

*version*  $\mapsto$  0]

]

The invalidation queue starts empty

$\wedge$  *invalidationQueue* = {}

*DatabaseUpdate*(*k*)  $\triangleq$

The version of that key is incremented, representing a write

$\wedge$  *database*' = [*database* EXCEPT

$![k] = \text{database}[k] + 1]$

Adds invalidation message to queue.  
 We don't need to model a delay in adding message as the cache can always delay handling message to similar effect.  
 $\wedge \text{invalidationQueue}' = \text{invalidationQueue} \cup \{[key \mapsto k]\}$   
 $\wedge \text{UNCHANGED } \langle \text{cache}, \text{cacheFillStates} \rangle$

Cache Fill behavior  
 $\text{CacheStartReadThroughFill}(k) \triangleq$   
 Read-through only occurs when the cache is unset for that value  
 $\wedge \text{cache}[k] \in \text{CacheMiss}$   
 One cache fill request at a time  
 $\wedge \text{cacheFillStates}[k].\text{state} = \text{"inactive"}$   
 $\wedge \text{cacheFillStates}' = [\text{cacheFillStates} \text{ EXCEPT } ![k].\text{state} = \text{"started"}]$   
 $\wedge \text{UNCHANGED } \langle \text{database}, \text{cache}, \text{invalidationQueue} \rangle$

This is the moment the database provides a value for cache fill  
 $\text{DatabaseRespondToCacheFill}(k) \triangleq$   
 $\wedge \text{cacheFillStates}[k].\text{state} = \text{"started"}$   
 $\wedge \text{cacheFillStates}' = [\text{cacheFillStates} \text{ EXCEPT } \begin{array}{l} ![k].\text{state} = \text{"respondedto"}, \\ ![k].\text{version} = \text{database}[k] \end{array}]$   
 $\wedge \text{UNCHANGED } \langle \text{database}, \text{cache}, \text{invalidationQueue} \rangle$

Cache incorporates the data  
 $\text{CacheCompleteFill}(k) \triangleq$   
 $\wedge \text{cacheFillStates}[k].\text{state} = \text{"respondedto"}$   
 $\wedge \text{cacheFillStates}' = [\text{cacheFillStates} \text{ EXCEPT } \begin{array}{l} \text{Reset to 0} \\ ![k].\text{state} = \text{"inactive"}, \\ ![k].\text{version} = 0 \end{array}]$   
 $\wedge \text{cache}' = [\text{cache} \text{ EXCEPT } \begin{array}{l} ![k] = [ \\ \text{Cache value is now a hit} \\ \text{type} \mapsto \text{"hit"}, \\ \text{Set to whatever came back in response} \\ \text{version} \mapsto \text{cacheFillStates}[k].\text{version} \end{array}]$   
 $\wedge \text{UNCHANGED } \langle \text{database}, \text{invalidationQueue} \rangle$

Cache fails to fill  
 $\text{CacheFailFill}(k) \triangleq$   
 $\wedge \text{cacheFillStates}[k].\text{state} = \text{"respondedto"}$   
 Cache fill state is reset, having not filled cache

$$\begin{aligned}
& \wedge \text{cacheFillStates}' = [\text{cacheFillStates} \text{ EXCEPT} \\
& \quad \quad \quad ![k].\text{state} = \text{"inactive"}, \\
& \quad \quad \quad ![k].\text{version} = 0 \\
& \quad \quad \quad ] \\
& \wedge \text{UNCHANGED } \langle \text{database}, \text{cache}, \text{invalidationQueue} \rangle
\end{aligned}$$

Handle invalidation message. Assume it is not taken off queue in case of failure. Therefore failure modeled as *CacheHandleInvalidationMessage* not occurring.

$$\begin{aligned}
\text{CacheHandleInvalidationMessage} & \triangleq \\
& \wedge \exists \text{message} \in \text{invalidationQueue} : \text{Dequeue invalidation queue in any order} \\
& \quad \text{Remove message from queue} \\
& \wedge \text{invalidationQueue}' = \text{invalidationQueue} \setminus \{\text{message}\} \\
& \quad \text{Evict item from cache} \\
& \wedge \text{cache}' = [\text{cache} \text{ EXCEPT } ![\text{message.key}] = [\text{type} \mapsto \text{"miss"}]] \\
& \wedge \text{UNCHANGED } \langle \text{cacheFillStates}, \text{database} \rangle
\end{aligned}$$

Cache eviction model is unchanged

$$\begin{aligned}
\text{CacheEvict}(k) & \triangleq \\
& \wedge \text{cache}[k] \in \text{CacheHit} \\
& \wedge \text{cache}' = [\text{cache} \text{ EXCEPT } ![k] = [\text{type} \mapsto \text{"miss"}]] \\
& \wedge \text{UNCHANGED } \langle \text{database}, \text{cacheFillStates}, \text{invalidationQueue} \rangle
\end{aligned}$$

The cache will always be able to ...

$$\begin{aligned}
\text{CacheFairness} & \triangleq \\
& \exists k \in \text{KEYS} : \\
& \quad \text{Complete the cache fill process} \\
& \quad \vee \text{CacheStartReadThroughFill}(k) \\
& \quad \vee \text{DatabaseRespondToCacheFill}(k) \quad \text{Write} \\
& \quad \vee \text{CacheCompleteFill}(k) \\
& \quad \text{Process invalidation messages} \\
& \quad \vee \text{CacheHandleInvalidationMessage}
\end{aligned}$$

Specification

$$\begin{aligned}
\text{Next} & \triangleq \\
& \exists k \in \text{KEYS} : \\
& \quad \text{Database states} \\
& \quad \vee \text{DatabaseUpdate}(k) \\
& \quad \text{Cache states} \\
& \quad \vee \text{CacheStartReadThroughFill}(k) \\
& \quad \vee \text{DatabaseRespondToCacheFill}(k) \\
& \quad \vee \text{CacheCompleteFill}(k) \\
& \quad \vee \text{CacheHandleInvalidationMessage} \\
& \quad \vee \text{CacheEvict}(k)
\end{aligned}$$

Cache fairness is included as part of the specification of system behavior.

This is just how the system works.

$$Spec \triangleq Init \wedge \Box[Next]_{vars} \wedge WF_{vars}(CacheFairness)$$

---

\ \* Modification History

\ \* Last modified *Wed Jun 15 12:45:25 MST 2022* by *elliotswart*

\ \* Created *Tue Jun 14 20:36:02 MST 2022* by *elliotswart*