Cache versions are typed identically to cache $\land \ cache \ Versions \in [KEYS \rightarrow Cache \ Value] \\ \land \ cache FillStates \in [KEYS \rightarrow Cache FillState] \\ \land \ invalidationQueue \in \ SUBSET \ InvalidationMessage$

 $\land counter \in Nat$

```
Init \stackrel{\triangle}{=}
     \land database = [k \in KEYS \mapsto 0]
      cache (metadata) and cache Versions start empty together
     \land cache = [k \in KEYS \mapsto [type \mapsto "miss"]]
     \land \ cache Versions = [k \in KEYS \mapsto [type \mapsto \text{``miss''}]]
     \land cacheFillStates = [k \in KEYS \mapsto [
                                    state \mapsto "inactive",
                                    version \mapsto 0
     \land invalidationQueue = \{\}
     \wedge counter = 0
DatabaseUpdate(k) \triangleq
    LET updatedVersion \stackrel{\Delta}{=} database[k] + 1IN
     \wedge database' = [database \ EXCEPT]
                            ![k] = updatedVersion]
     \land invalidationQueue' =
                  invalidation Queue \ \cup
                  \{[key \mapsto k, version \mapsto updatedVersion]\}
     \land UNCHANGED \langle cache, cache Versions, cache Fill States, counter <math>\rangle
CacheStartFillMetadata(k) \triangleq
      Fill only occurs if the cache is unset for that value
     \land cache[k] \in CacheMiss
     \land cacheFillStates[k].state = "inactive"
     \land cacheFillStates' = [cacheFillStates \ EXCEPT \ ![k].state = "startfillmetadata"]
     \land UNCHANGED \langle database, cache, cache Versions,
                                invalidationQueue, counter \rangle
DatabaseRespondWithMetadata(k) \stackrel{\Delta}{=}
     \land cacheFillStates[k].state = "startfillmetadata"
     \land cacheFillStates' = [cacheFillStates \ EXCEPT]
                                 ![k].state = "responded tometadata",
                                 ![k].version = database[k]
     \land UNCHANGED \langle database, cache, cache Versions,
                                invalidationQueue, counter>
 Metadata updated in cache
CacheFillMetadata(k) \stackrel{\triangle}{=}
     \land cacheFillStates[k].state = "responded to metadata"
     \land cacheFillStates' = [cacheFillStates \ EXCEPT]
                                 ![k].state = "inactive"
```

```
Represents cache metadata being updated
     Does not check version
     \wedge cache' = [cache \ EXCEPT]
                           ![k] = [
                              type \mapsto "hit",
                              version \mapsto cacheFillStates[k].version
    \land UNCHANGED \langle database, cache Versions, invalidation Queue, counter <math>\rangle
CacheStartFillVersion(k) \stackrel{\Delta}{=}
     Fill only occurs if the cache Version is unset for that value
    \land cacheVersions[k] \in CacheMiss
    \land cacheFillStates[k].state = "inactive"
    \land cacheFillStates' = [cacheFillStates \ EXCEPT \ ![k].state = "startfillversion"]
    \land UNCHANGED \langle database, cache, cache Versions,
                               invalidationQueue, counter \rangle
DatabaseRespondWithVersion(k) \stackrel{\Delta}{=}
    \land cacheFillStates[k].state = "startfillversion"
    \land cacheFillStates' = [cacheFillStates \ EXCEPT]
                                ![k].state = "responded to version",
                                ![k].version = database[k]
    \land UNCHANGED \langle database, cache, cache Versions, 
                               invalidationQueue, counter \rangle
 Version updated in cache
CacheFillVersion(k) \stackrel{\triangle}{=}
    \land cacheFillStates[k].state = "responded to version"
     Fill empty versions
    \land \lor cache Versions[k] \in Cache Miss
         or newer versions
         \lor \land cacheVersions[k] \in CacheHit
            \land cache Versions[k].version < cache FillStates[k].version
    \land cacheFillStates' = [cacheFillStates \ EXCEPT]
                                ![k].state = "inactive"
     Represents cache versions being updated
     \land cache Versions' = [cache Versions \ EXCEPT]
                                 ![k] = [
                                    type \mapsto "hit",
                                    version \mapsto cacheFillStates[k].version
```

```
\land UNCHANGED \langle database, invalidationQueue, cache, counter <math>\rangle
CacheIgnoreFillVersion(k) \stackrel{\Delta}{=}
     \land cacheFillStates[k].state = "responded to version"
     If we have a newer version in cache, ignore fill
     \land \land cacheVersions[k] \in CacheHit
        \land cache Versions[k].version \ge cache FillStates[k].version
     \land cacheFillStates' = [cacheFillStates \ EXCEPT \ Reset to \ 0]
                                 ![k].state = "inactive",
                                 ![k].version = 0
     \wedge counter' = counter + 1
     \land UNCHANGED \langle cache, cache Versions,
                                database, invalidationQueue\rangle
CacheFailFill(k) \triangleq
     \land cacheFillStates[k].state \in \{ \text{"responded tometadata"}, \text{"responded toversion"} \}
     \land cacheFillStates' = [cacheFillStates \ EXCEPT]
                                 ![k].state = "inactive",
                                 ![k].version = 0
     \wedge counter' = counter + 1
     \land UNCHANGED \langle database, cache, cache Versions,
                                invalidation Queue \rangle
CacheEvict(k) \triangleq
     \land cache[k] \in CacheHit
     \land cacheFillStates[k].state = "inactive"
     \land cache' = [cache \ EXCEPT \ ![k] = [type \mapsto "miss"]]
     \land cache Versions' = [cache \ EXCEPT \ ![k] = [type \mapsto "miss"]]
     \land counter' = counter + 1
     \land UNCHANGED \langle database, cacheFillStates,
                          invalidationQueue\rangle
Invalidation message handling
UpdateFromInvalidationMessage \stackrel{\Delta}{=}
    \exists message \in invalidationQueue :
            Can update with no version
        \land \ \lor \ \land \ cache[\mathit{message.key}] \in \mathit{CacheHit}
               \land cache Versions[message.key] \in Cache Miss
            or with greater or equal version
            \lor \land cache Versions[message.key] \in CacheHit
```

```
\land cache Versions[message.key].version \leq message.version
```

Kills pending fill request

```
\land cacheFillStates[message.key].state = "inactive"
       Unlike fills from the database, the invalidation message contains both version and
       metadata.
       \wedge cache' = [cache \ EXCEPT]
                         ![message.key] = [
                             type \mapsto "hit",
                             version \mapsto message.version
       \land cache Versions' = [cache \ EXCEPT]
                ![message.key] = [
                    type \mapsto "hit",
                    version \mapsto message.version
       \land invalidationQueue' = invalidationQueue \setminus \{message\}
       \land UNCHANGED \langle cacheFillStates, database, counter \rangle
FailUpdateInvalidationMessageEvictKey \stackrel{\Delta}{=}
    \exists message \in invalidationQueue :
            Can update with no version
       \land \lor \land cache[message.key] \in CacheHit
              \land cache Versions[message.key] \in Cache Miss
            or with greater version
           \lor \land cache Versions[message.key] \in Cache Hit
              \land cache Versions[message.key].version < message.version
        Kills pending fill request
       \land cacheFillStates[message.key].state = "inactive"
        Key is evicted from cache, to allow fresh cache fill
       \wedge cache' =
                [cache except
                         ![message.key] = [type \mapsto "miss"]]
       \land cache Versions' =
                [cache Versions Except
                        ![message.key] = [type \mapsto "miss"]]
       \land invalidationQueue' = invalidationQueue \setminus \{message\}
       \land UNCHANGED \langle cacheFillStates, database, counter \rangle
FailUpdateInvalidationMessageIgnore \stackrel{\Delta}{=}
    \exists message \in invalidationQueue :
       If message version is lower or equal than cache version, do nothing
       \land cache Versions[message.key] \in Cache Hit
       \land cache Versions[message.key].version \ge message.version
```

```
\wedge counter' = counter + 1
       \land invalidationQueue' = invalidationQueue \setminus \{message\}
       \land UNCHANGED \langle cacheFillStates, database,
                                    cache, cache Versions
IgnoreInvalidationMessage \stackrel{\Delta}{=}
    \exists message \in invalidationQueue :
        Ignore invalidation messages if a key is not in cache
       \land \lor \land cache[message.key] \in CacheMiss
              and a fill is not occurring
             \land cacheFillStates[message.key].state = "inactive"
           or when the cache already has a larger version
          \lor \land cache Versions[message.key] \in CacheHit
             \land cache Versions[message.key].version > message.version
       \land invalidationQueue' = invalidationQueue \setminus \{message\}
       \wedge counter' = counter + 1
        Don't update cache
       ∧ UNCHANGED ⟨cacheFillStates, database, cache, cacheVersions⟩
CacheFairness \triangleq
    \vee \exists k \in KEYS:
        \vee CacheStartFillMetadata(k)
        \lor DatabaseRespondWithMetadata(k)
        \vee CacheFillMetadata(k)
        \vee CacheStartFillVersion(k)
        \vee DatabaseRespondWithVersion(k)
        \vee CacheFillVersion(k)
        \vee CacheIgnoreFillVersion(k)
    \lor UpdateFromInvalidationMessage
    \lor FailUpdateInvalidationMessageEvictKey
    \lor FailUpdateInvalidationMessageIgnore
    \lor IgnoreInvalidationMessage
Specification
Next \triangleq
    \vee \exists k \in KEYS:
         Database states
        \vee DatabaseUpdate(k)
         Cache states
        \lor CacheStartFillMetadata(k)
        \vee DatabaseRespondWithMetadata(k)
```

 $\vee CacheFillMetadata(k)$ $\vee CacheStartFillVersion(k)$

 $\lor DatabaseRespondWithVersion(k)$

- $\vee CacheFillVersion(k)$
- $\lor CacheIgnoreFillVersion(k)$
- $\vee CacheEvict(k)$
- $\vee CacheFailFill(k)$
- $\lor \textit{UpdateFromInvalidationMessage}$
- $\lor \textit{FailUpdateInvalidationMessageEvictKey}$
- $\lor \textit{FailUpdateInvalidationMessageIgnore}$
- $\lor Ignore Invalidation Message$

$$Spec \stackrel{\Delta}{=} Init \wedge \Box [Next]_{vars} \wedge WF_{vars}(CacheFairness)$$

 $CounterBound \stackrel{\triangle}{=} counter \leq 2$

- * Last modified $Thu\ Jun\ 16\ 16:19:54\ MST\ 2022$ by elliotswart
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