



# 05 Graph (4)

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College of Computer Science, CQU

# Outline

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- Minimum-Cost Spanning Trees
- Prim's Algorithm
- Kruskal's Algorithm

# Knowledge Points

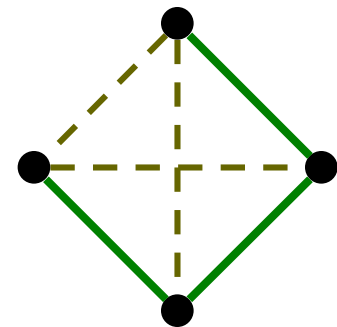
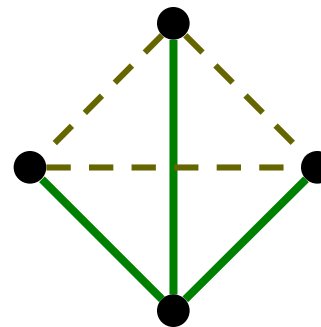
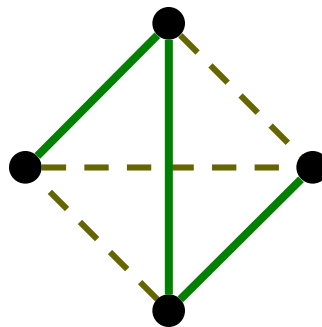
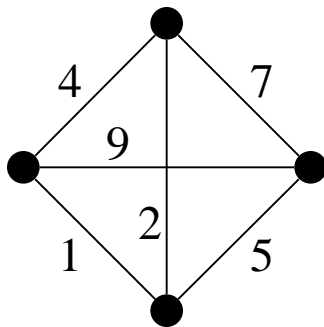
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- Chapter 11, pp.402-409



# Spanning Tree

- **Spanning tree** - a subset of the edges from a connected graph that:
  - touches all vertices in the graph (**spans** the graph)
  - forms a tree (is connected and contains no cycles)
- **Minimum spanning tree** - spanning tree with lowest total edge cost



# Prim's Algorithm(扩点法)

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- Prim's Algorithm (a variation of Dijkstra's Algorithm) can find Minimum Spanning Trees:
  - Pick an initial node
  - Until graph is connected:
    - Choose edge  $(u,v)$  which is of minimum cost among edges where  $u$  is in tree but  $v$  is not
    - Add  $(u,v)$  to the tree
- Same "greedy" proof, same asymptotic complexity

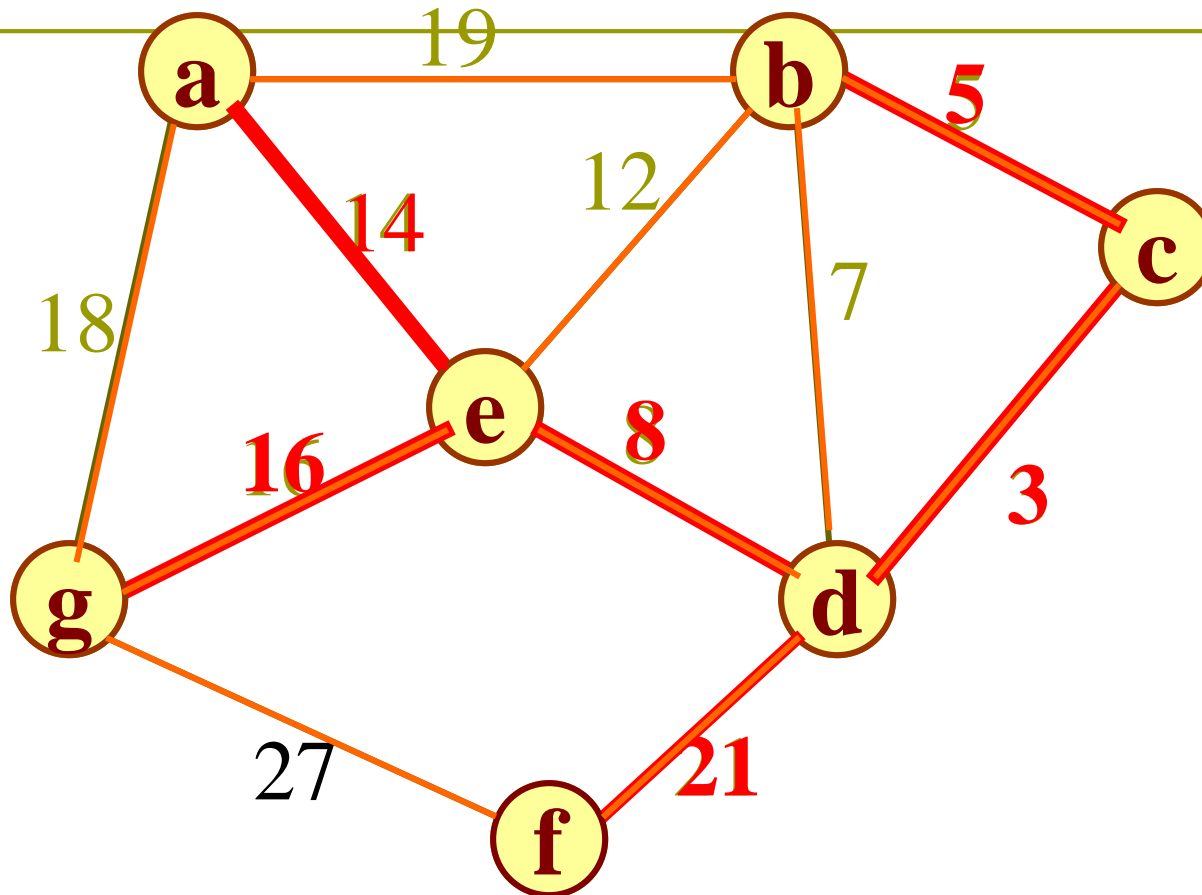
# Prim's Algorithm(扩点法)

Process:

```
T={ };  
TV = { 0 }; // start with vertex 0 and no edges  
while (T contains fewer than n-1 edges) {  
    let (u,v) be a least cost edge such that  
         $u \in TV$  and not  $v \in TV$ ;  
    if (there is no such edges) break;  
    add v into Tv;  
    add (u, v) into T;  
}  
if (T contains fewer than n-1 edges)  
    print("No spanning tree");
```



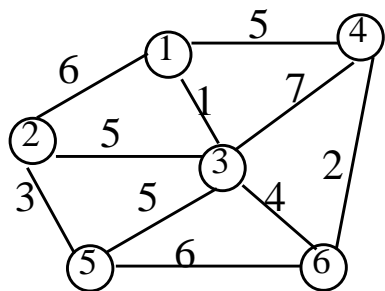
例如：



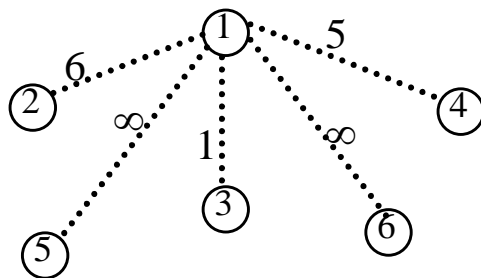
所得生成树权值和  $= 14 + 8 + 3 + 5 + 16 + 21 = 67$



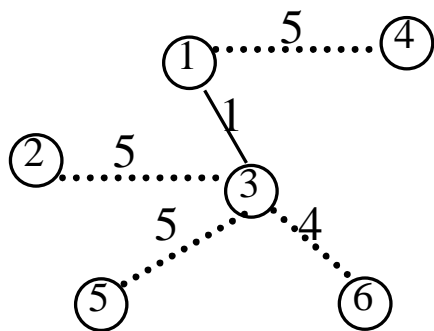
假设开始顶点就选为顶点1，故首先有  
 $U=\{1\}$ ， $W=V-U=\{2, 3, 4, 5, 6\}$



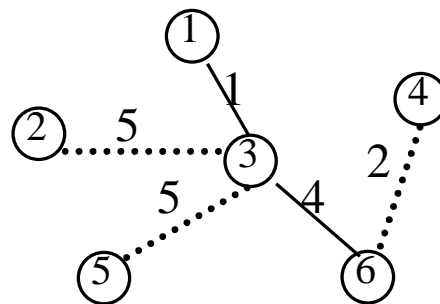
(a) 无向网



(b)  $u=\{1\}$   $w=\{2,3,4,5,6\}$



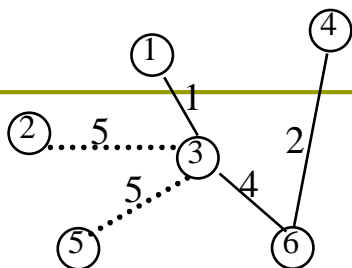
(c)  $u=\{1,3\}$   $w=\{2,4,5,6\}$



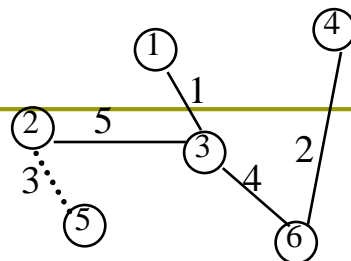
(d)  $u=\{1,3,6\}$   $w=\{2,4,5\}$



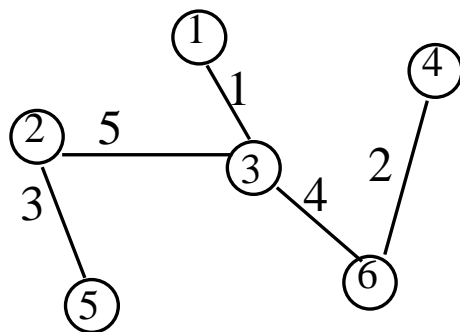




(e)  $u = \{1, 3, 6, 4\}$     $w = \{2, 5\}$



(f)  $u = \{1, 3, 6, 4, 2\}$     $w = \{5\}$



(g)  $u = \{1, 3, 6, 4, 2, 5\}$     $w = \{ \}$

prim 方法构造最小生成树的过程

# Prim's Algorithm(扩点法)

```
void Prim(Graph* G, int* D, int s) { // Prim's MST algorithm
    int V[G->n()];                  // Store closest vertex
    int i, w;
    for (i=0; i<G->n(); i++) {      // Process the vertices
        int v = minVertex(G, D);
        G->setMark(v, VISITED);
        if (v != s)
            AddEdgetoMST(V[v], v); // Add edge to MST
        if (D[v] == INFINITY) return; // Unreachable vertices
        for (w=G->first(v); w<G->n(); w = G->next(v,w))
            if (D[w] > G->weight(v,w)) {
                D[w] = G->weight(v,w); // Update distance
                V[w] = v;              // Where it came from
            }
    }
}
```



# Prim's Algorithm(扩点法)

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```
// Prim's MST algorithm: priority queue version
void Prim(Graph* G, int* D, int s) {
    int i, v, w;                // "v" is current vertex
    int V[G->n()];              // V[I] stores I's closest neighbor
    DijkElem temp;
    DijkElem E[G->e()];        // Heap array with lots of space
    temp.distance = 0; temp.vertex = s;
    E[0] = temp;                // Initialize heap array
    heap<DijkElem, DDComp> H(E, 1, G->e()); // Create heap
```



# Prim's Algorithm(扩点法)

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```
for (i=0; i<G->n(); i++) {                                // Now build MST
    do {
        if(H.size() == 0) return; // Nothing to remove
        temp = H.removefirst();
        v = temp.vertex;
    } while (G->getMark(v) == VISITED);
    G->setMark(v, VISITED);
    if (v != s) AddEdgetoMST(V[v], v); // Add edge to MST
    if (D[v] == INFINITY) return;      // Ureachable vertex
```



# Prim's Algorithm(扩点法)

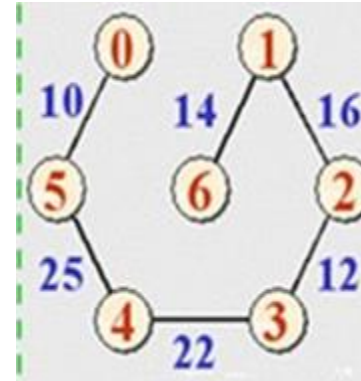
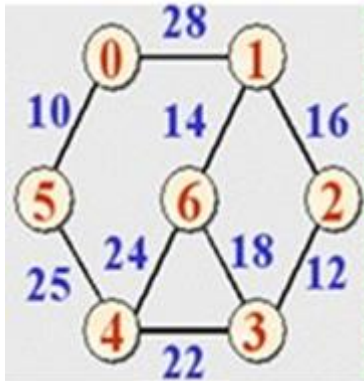
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```
for (w=G->first(v); w<G->n(); w = G->next(v,w))
    if (D[w] > G->weight(v, w)) {        // Update D
        D[w] = G->weight(v, w);
        V[w] = v;                        // Update who it came from
        temp.distance = D[w]; temp.vertex = w;
        H.insert(temp); // Insert new distance in heap
    }
}
```





# Exercise of Prim's Algorithm



# Homework

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- ❑ Reading programs:
- ❑ 1.grmat.h grlist.h heap.h
- ❑ 2.grpriml1.cpp grpriml2.cpp
- ❑ 3. grprimm1.cpp grprimm2.cpp



# Kruskal's Algorithm (扩边法)

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- ❑ Yet another greedy algorithm
- ❑ Initialize all vertices to unconnected
- ❑ While there are still unmarked edges
  - Pick the lowest cost edge  $e = (u, v)$  and mark it
  - If  $u$  and  $v$  are not already connected, add  $e$  to the minimum spanning tree and connect  $u$  and  $v$
- ❑ How is this like maze generation?
- ❑ How is it different?





# Kruskal's Algorithm (扩边法)

---

- ❑ Yet another greedy algorithm
- ❑ Partition the set of vertices into  $|V|$  equivalence classes;
- ❑ Process the edges in order of weight;
- ❑ While (edgecount <  $n-1$ )
  - Pick the lowest cost edge  $e = (u, v)$
  - If after the edge  $e$  is added to the MST, there is no cycle (if the edge connected two vertices in different equivalence), then add  $e$  to the minimum spanning tree and connect  $u$  and  $v$ , or discard  $e$
- ❑ How is this like maze generation?
- ❑ How is it different?



# Kruskal's Algorithm

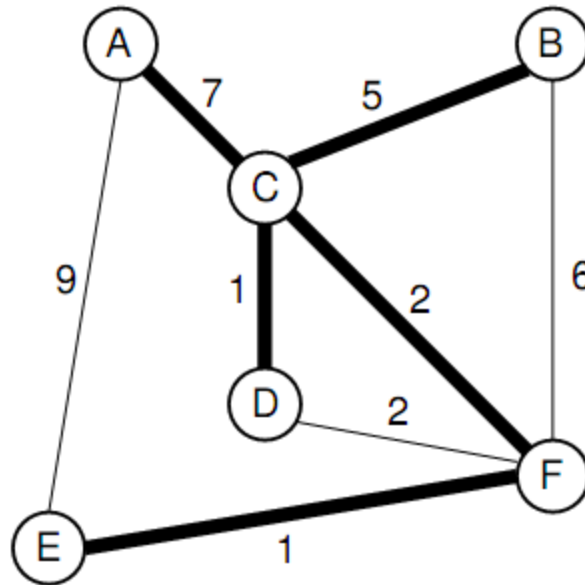
## Algorithm:

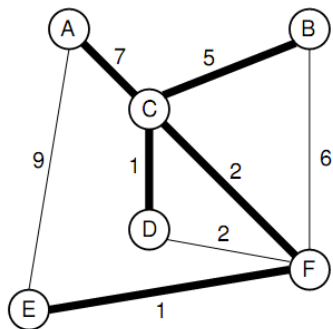
```
T={ };
while (T contains less than n-1 edges &&
      E is not empty ){
    Choose a least cost edge (v,w) from E;
    delete (v,w) from E;
    if ((v,w) does not create a cycle in T)
        add (v,w) into T;
    else discard (v,w);
}
if (T contains fewer than n-1 edges)
    print ("No spanning tree\n");
```



# Kruskal's Algorithm

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Initial



Step 1



Process edge (C, D)



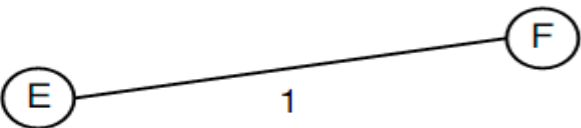
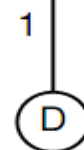
Step 2



1



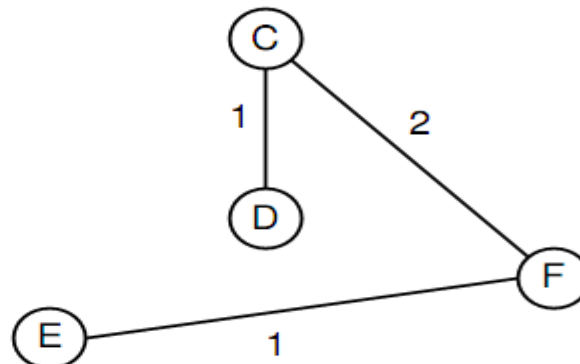
Process edge (E, F)



Step 3

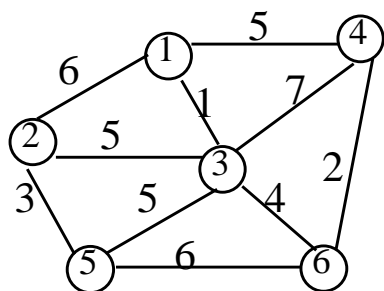


Process edge (C, F)

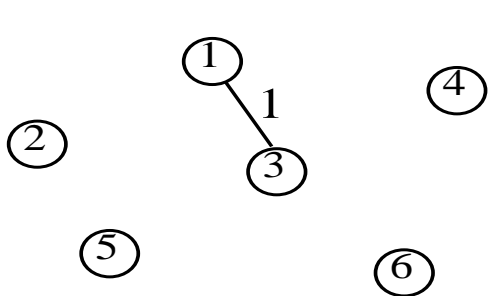


**Figure 11.24** Illustration of the first three steps of Kruskal's MST algorithm as applied to the graph of Figure 11.20.

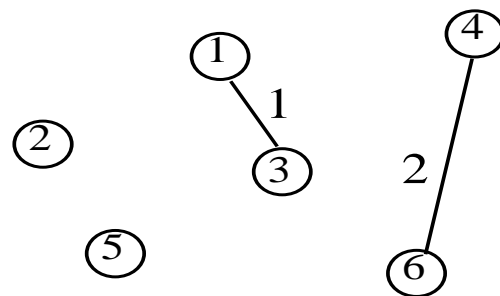
先将图中所有边按权值递增顺序排列，依次选定取权值较小的边，但要求后面选取的边不能与前面选取的边构成回路，若构成回路，则放弃该条边，再去选后面权值较大的边， $n$ 个顶点的图中，选够 $n-1$ 条边即可。



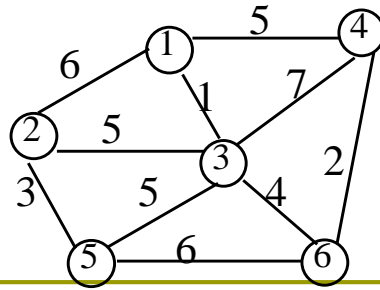
(a) 无向网



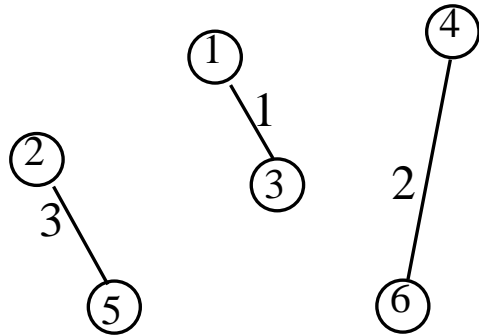
(a) 选第 1 条边



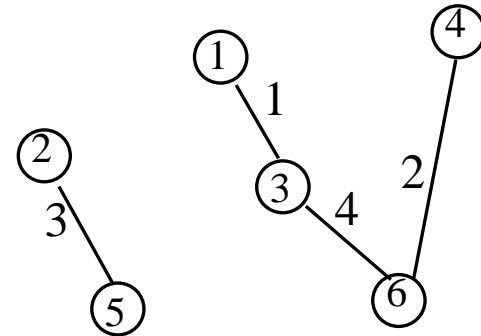
(b) 选第 2 条边



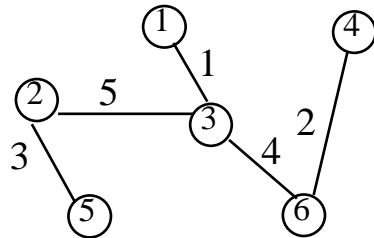
(a) 无向网



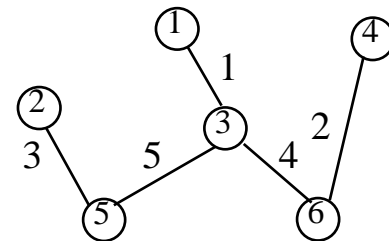
(c) 选第 3 条边



(d) 选第 4 条边



或者



(e) 选第 5 条边(不能选 (1,4) 边, 会构成回路, 但可选 (2,3) 或(5,3)中之一)

克鲁斯卡尔方法求最小生成树的过程

```

class KruskElem {          // An element for the heap
public:
    int from, to, distance; // The edge being stored
    KruskElem() { from = -1; to = -1; distance = -1; }
    KruskElem(int f, int t, int d)
        { from = f; to = t; distance = d; }
};

void Kruskel(Graph* G) {    // Kruskal's MST algorithm
    ParPtrTree A(G->n());   // Equivalence class array
    KruskElem E[G->e()];    // Array of edges for min-heap
    int i;
    int edgecnt = 0;
    for (i=0; i<G->n(); i++) // Put the edges on the array
        for (int w=G->first(i); w<G->n(); w = G->next(i,w)) {
            E[edgecnt].distance = G->weight(i, w);
            E[edgecnt].from = i;
            E[edgecnt++].to = w;
        }
}

```





```

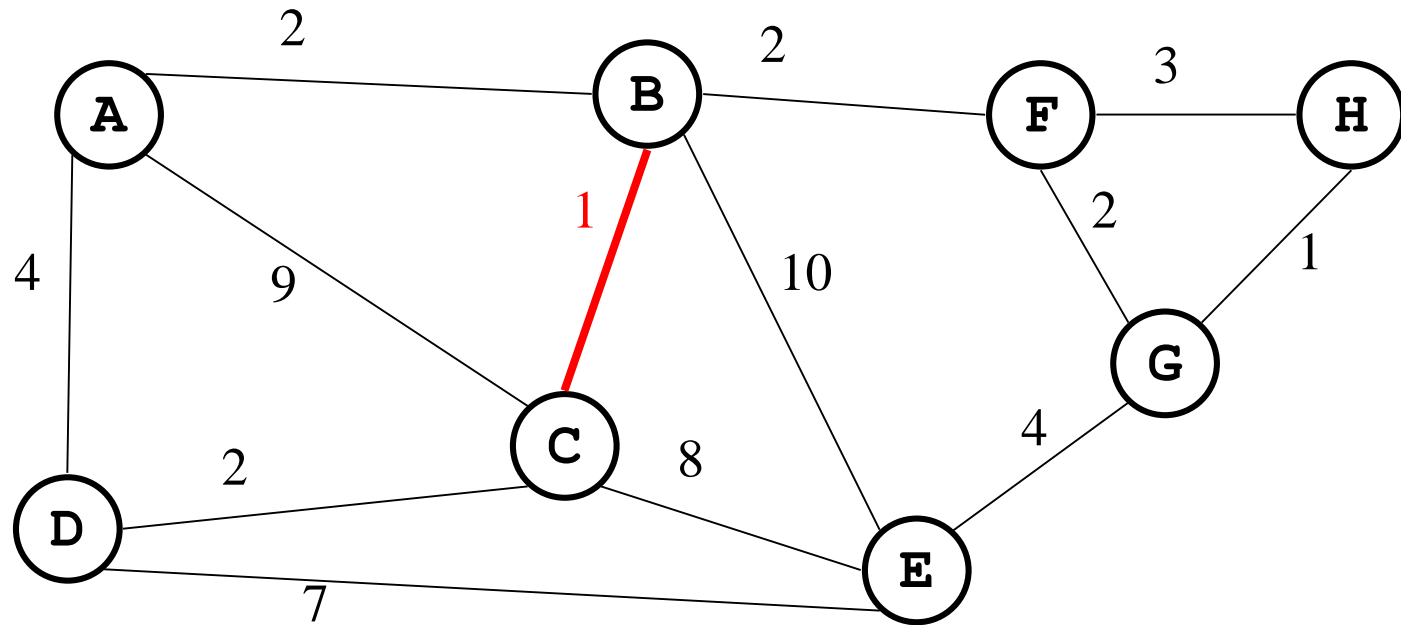
// Heapify the edges
heap<KruskElem, Comp> H(E, edgecnt, edgecnt);
int numMST = G->n();           // Initially n equiv classes
for (i=0; numMST>1; i++) { // Combine equiv classes
    KruskElem temp;
    temp = H.removefirst(); // Get next cheapest edge
    int v = temp.from;  int u = temp.to;
    if (A.differ(v, u)) { // If in different equiv classes
        A.UNION(v, u);    // Combine equiv classes
        AddEdgetoMST(temp.from, temp.to); // Add edge to MST
        numMST--;         // One less MST
    }
}
}

```

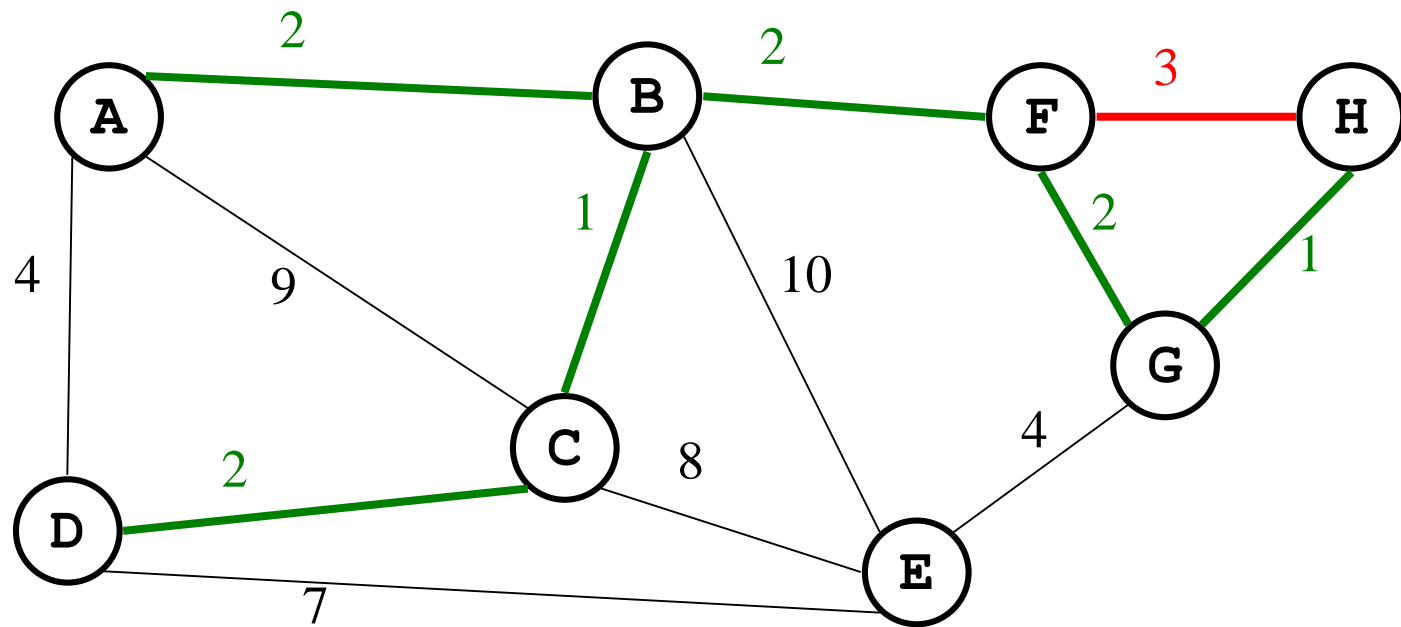


# Kruskal's Algorithm in Action (1/5)

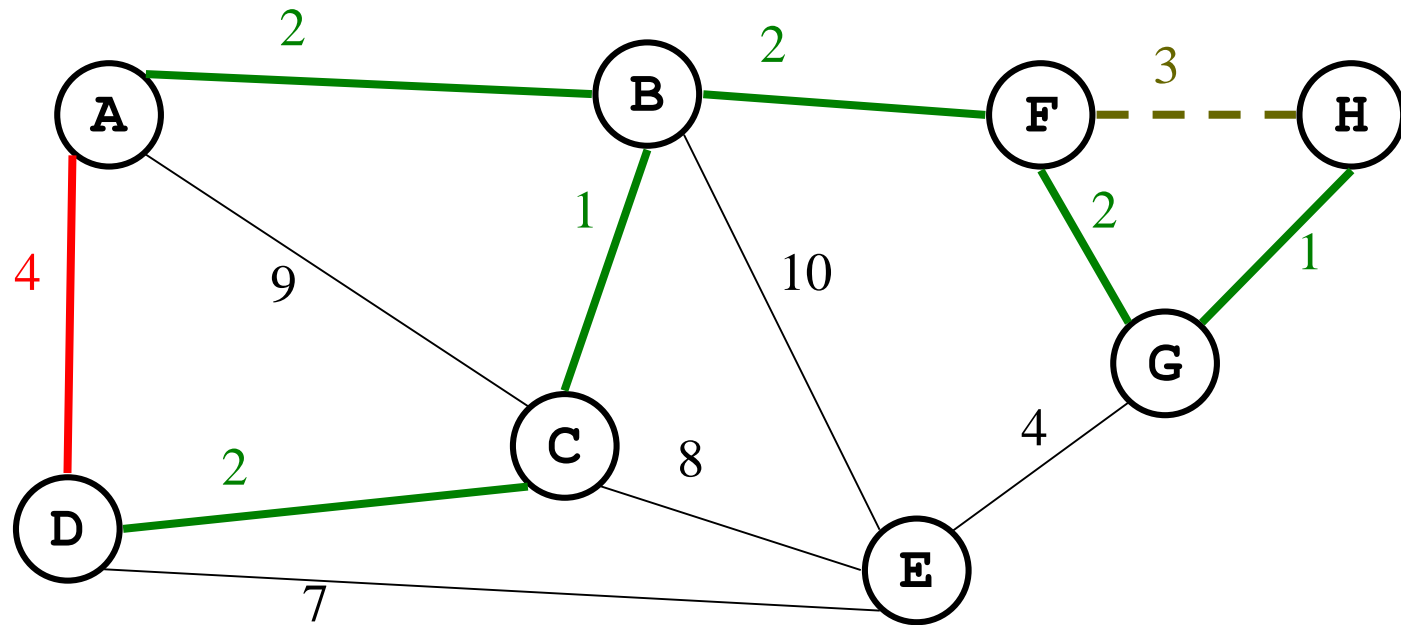
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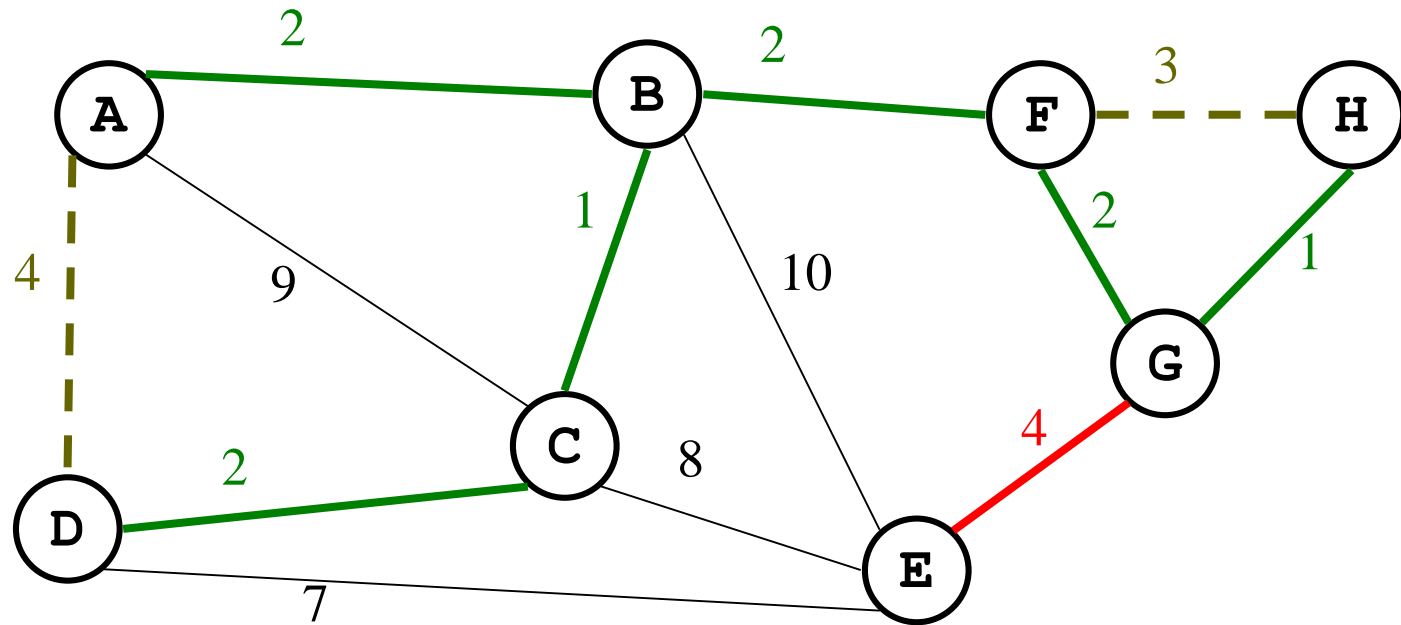
# Kruskal's Algorithm in Action (2/5)



# Kruskal's Algorithm in Action (3/5)

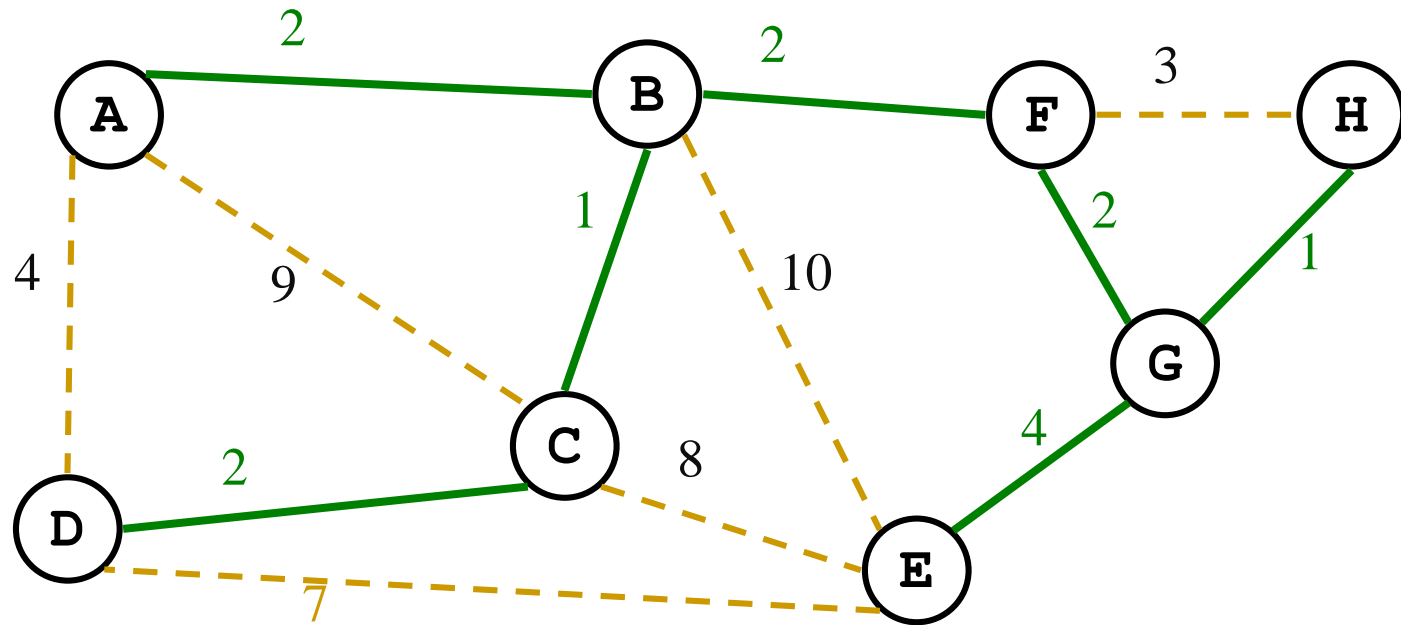


# Kruskal's Algorithm in Action (4/5)



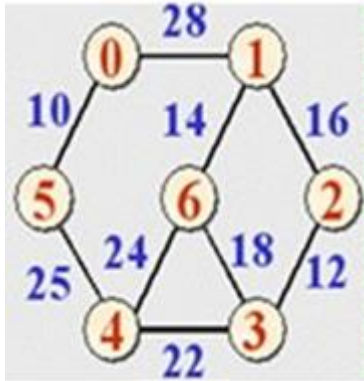
# Kruskal's Algorithm Completed (5/5)

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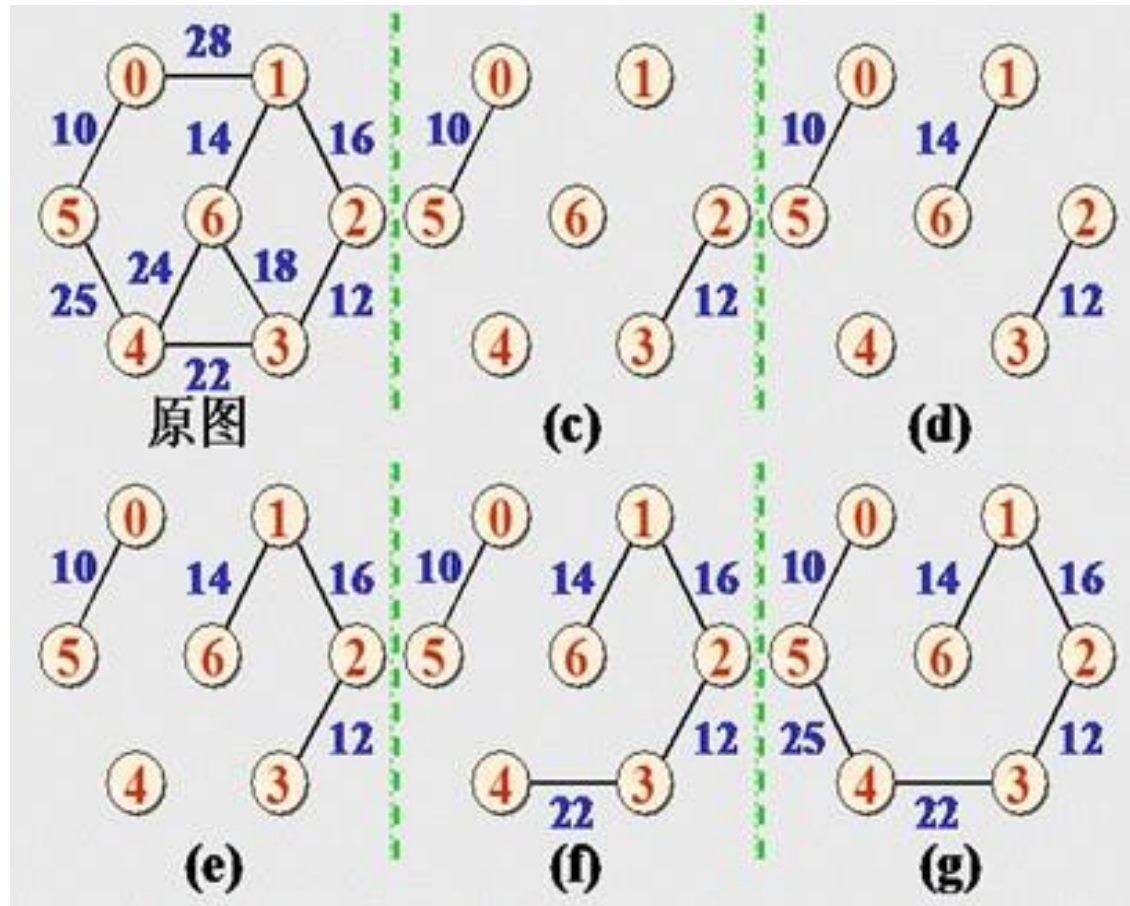


# Exercise of Prim's Algorithm

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# Another Example



# Homework

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- Reading programs:
- 1.grmat.h grlist.h uf.h heap.h
- 2.grkruskl.cpp grkruskm.cpp





# Homework

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- ▣ P410, 11.17
- ▣ P411, 11.18-11.22



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-End-

