

# Transformer as Symbolic Logic Rule-Base

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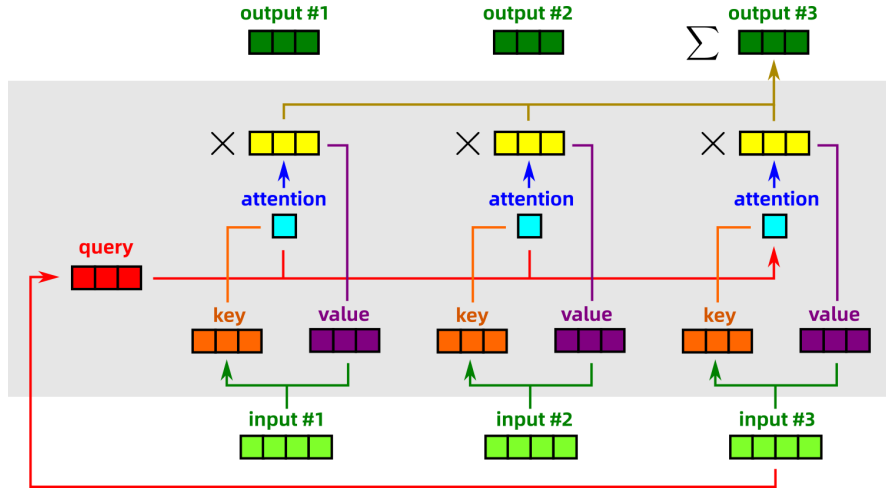
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**Abstract.** This short paper shows that Transformers [4] can implement a certain flavor of symbolic-logic rules engine. As Transformers are shown to be Turing universal[3], this should come as no surprise, but such an insight could be a cornerstone for neuro-symbolic AGI.

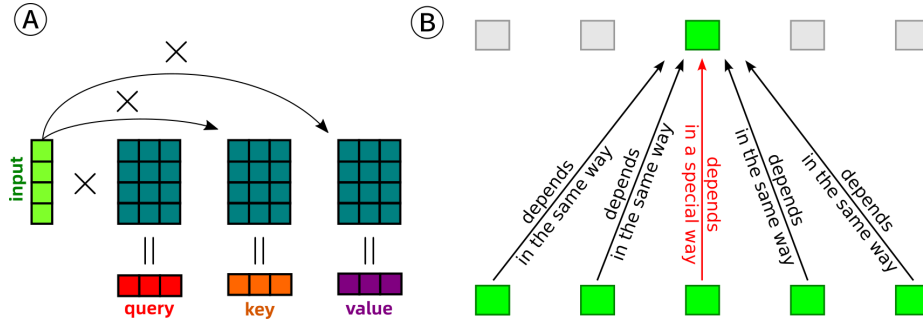
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Fig. 1 shows a schematic diagram of Transformer Self-Attention, assuming the reader is familiar with its workings.



**Fig. 1.** Schematic of the Transformer Self-Attention

“Input” tokens are translated to  $Q, K, V$  (query, key, value)’s via matrix multiplication, which can be regarded as a kind of table look-up, or **memory store** (Fig. 2A). From an abstract point of view, the Transformer has the structure of Fig. 2B, which gives rise to its **equivariance** property (if input elements are swapped in a certain order, the output elements changes the same way).



**Fig. 2.** (A):  $Q, K, V$  as lookup tables. (B): abstract self-attention

The equivariance property corresponds to the **exchangeability** of logic propositions:

$$A \wedge B \Leftrightarrow B \wedge A \quad (1)$$

For example:

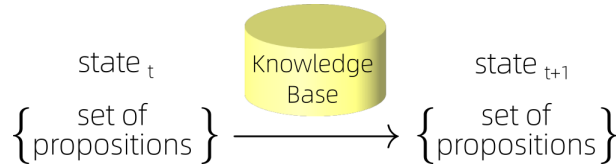
$$\text{it's raining} \wedge \text{I'm heart-broken} \Leftrightarrow \text{I'm heart-broken} \wedge \text{it's raining} \quad (2)$$

Propositions are made up of **atomic concepts**, but at this sub-propositional level, atoms cannot be permuted freely, eg:

$$\text{I} \cdot \text{love} \cdot \text{her} \neq \text{she} \cdot \text{loves} \cdot \text{me} \quad (3)$$

otherwise there would be no such things as heart-breaks.

Now recall some basic notions of **classical logic-based AI**. Its basic architecture is as in Fig. 3.



**Fig. 3.** Basic architecture of classical logic-based AI

There would be a huge number of rules in the Knowledge Base, and the system needs to match these rules one by one against propositions in the system's **state** (= working memory) (Fig. 4).

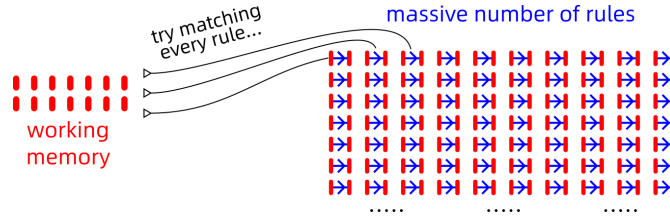


Fig. 4. Vastness of rules matching

For the Transformer, it is a kind of memory stored **between** input elements (stored as the  $Q, K, V$  matrices), and it **implicitly** plays the role of a logic rule-base (Fig. 5). This is similar to message-passing in a graph.

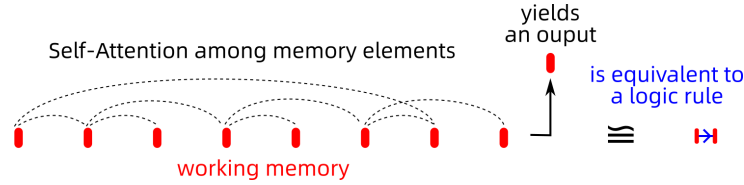


Fig. 5. Self-Attention as a kind of rules-matching mechanism

A crucial insight is that the **Self-Attention** mechanism had its origin in NTMs (**Neural Turing Machines**) [2]. The Turing machine needs to have a “memory tape” but in the neural setting this memory must be *differentiable*. If the memory is addressed by an index  $i \in \mathbb{N}$ , then it won’t be differentiable. So they came up with a **content-addressable** memory mechanism where a memory matrix is looked up using the “query-key-value” method. A nice explanation of NTMs can be found in the book *Fundamentals of Deep Learning* [1].

Now consider LLMs (**Large Language Models**) such as BERT or GPT. Given a natural-language sentence, we’d like to convert or **decompose** it into a list of logic propositions (Fig. 6). The structure on the right of Fig. 6 is the

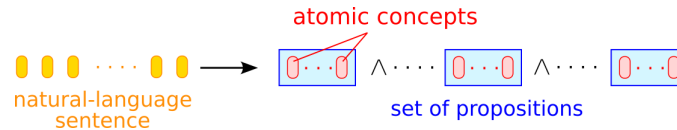


Fig. 6.

**mental state** of a logical AI system. It is composed of (exchangeable) propositions, which are in turn made up of atomic concepts. This 2-level structure is

characteristic of all **logical** systems. Surprisingly, I found that the Transformer completely satisfies this 2-level logic structure.

On the **first layer**, a Transformer transforms each input word token into one proposition (Fig. 7Ⓐ). The crucial point here is that the propositions are

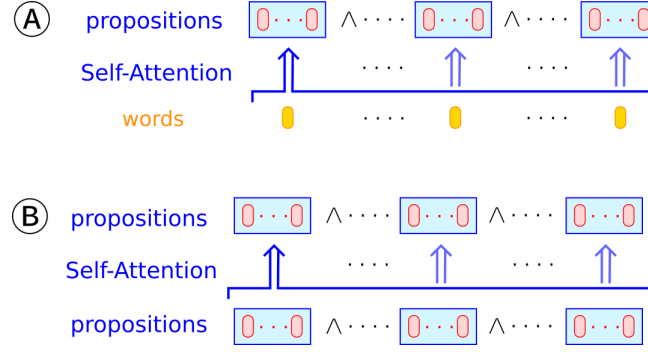


Fig. 7.

composed of atoms ( $\textcircled{0}$ ), this can be achieved in the Transformer by vector **addition**, ie, **superposition** of atomic concepts. Note also that the Transformer is equivariant, so we must add “positional encoding” to each word, to indicate their ordering.

At **higher layers**, there is no need for positional encoding, and logic propositions can be freely exchanged, exactly as what happens in Transformers (Fig. 7Ⓑ).

Note that in the above, every  $\uparrow$  arrow uses the same  $(Q, K, V)$  matrices as “rule-base”, that may limit the number of rules that can be represented. To circumvent this, **Multi-Head Attention** allows to use different  $(Q, K, V)$  matrices on the same layer.

## References

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