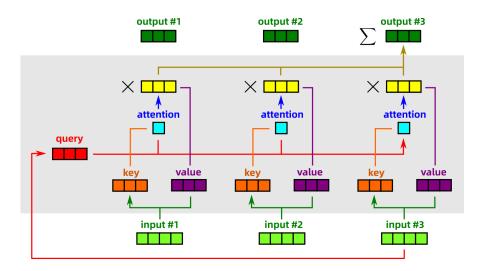
## Transformer as Symbolic Logic Rule-Base

King Yin Yan $^{1[0009-0007-8238-2442]}$  and Ziyu Zhou $^2$ 

**Abstract.** This short paper shows that Transformers [4] can implement a certain flavor of symbolic-logic rules engine. As Transformers are shown to be Turing universal[3], this should come as no surprise, but such an insight could be a cornerstone for neuro-symbolic AGI.

Keywords: Transformer · Self-Attention · symbolic logic · neurosymbolic AI

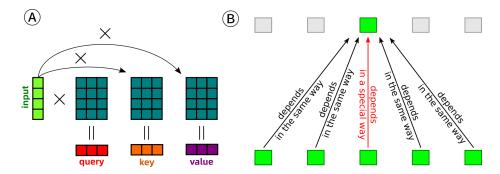
Fig. 1 shows a schematic diagram of Transformer Self-Attention, assuming the reader is familiar with its workings.



 ${\bf Fig.\,1.}$  Schematic of the Transformer Self-Attention

"Input" tokens are translated to Q, K, V (query, key, value)'s via matrix multiplication, which can be regarded as a kind of table look-up, or **memory store** (Fig. 2a). From an abstract point of view, the Transformer has the structure of Fig. 2a, which gives rise to its **equivariance** property (if input elements are swapped in a certain order, the output elements changes the same way).

 $<sup>^{1}\,</sup>$  general.intelligence, subfishzhou @gmail.com  $^{2}\,$  University of Science and Technology Beijing



**Fig. 2.** A: Q, K, V as lookup tables. B: abstract self-attention

The equivariance property corresponds to the  $\mathbf{exchangeability}$  of logic propositions:

$$A \wedge B \Leftrightarrow B \wedge A$$
 (1)

For example:

it's raining 
$$\land$$
 I'm heart-broken  $\Leftrightarrow$  I'm heart-broken  $\land$  it's raining (2)

Propositions are made up of **atomic concepts**, but at this sub-propositional level, atoms cannot be permuted freely, eg:

$$I \cdot love \cdot her \neq she \cdot loves \cdot me$$
 (3)

otherwise there would be no such things as heart-breaks.

Now recall some basic notions of **classical logic-based AI**. Its basic architecture is as in Fig. 3.

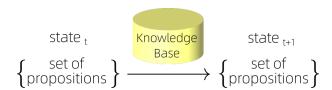


Fig. 3. Basic architecture of classical logic-based AI

There would be a huge number of rules in the Knowledge Base, and the system needs to match these rules one by one against propositions in the system's **state** (= working memory) (Fig. 4).

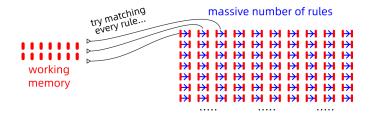


Fig. 4. Vastness of rules matching

For the Transformer, it is a kind of memory stored **between** input elements (stored as the Q, K, V matrices), and it **implicitly** plays the role of a logic rule-base (Fig. 5). This is similar to message-passing in a graph.

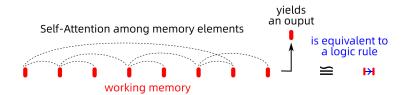


Fig. 5. Self-Attention as a kind of rules-matching mechanism

A crucial insight is that the **Self-Attention** mechanism had its origin in NTMs (**Neural Turing Machines**) [2]. The Turing machine needs to have a "memory tape" but in the neural setting this memory must be differentiable. If the memory is addressed by an index  $i \in \mathbb{N}$ , then it won't be differentiable. So they came up with a **content-addressable** memory mechanism where a memory matrix is looked up using the "query-key-value" method. A nice explantion of NTMs can be found in the book Fundamentals of Deep Learning [1].

Now consider LLMs (**Large Language Models**) such as BERT or GPT. Given a natural-language sentence, we'd like to convert or **decompose** it into a list of logic propositions (Fig. 6). The structure on the right of Fig. 6 is the

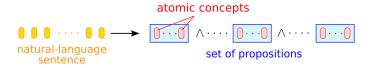


Fig. 6.

mental state of a logical AI system. It is composed of (exchangeable) propositions, which are in turn made up of atomic concepts. This 2-level structure is

## 4 Yan et al.

characteristic of all **logical** systems. Surprisingly, we found that the Transformer completely satisfies this 2-level logic structure.

On the **first layer**, a Transformer transforms each input word token into one proposition (Fig. 7(A)). The crucial point here is that the propositions are

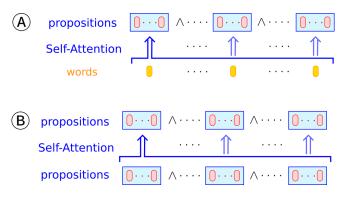


Fig. 7.

composed of atoms ( ), this can be achieved in the Transformer by vector **addition**, ie, **superposition** of atomic concepts. Note also that the Transformer is equivariant, so we must add "positional encoding" to each word, to indicate their ordering.

At **higher layers**, there is no need for positional encoding, and logic propositions can be freely exchanged, exactly as what happens in Transformers (Fig. 7(B)).

Note that in the above, every  $\uparrow$  arrow uses the same (Q,K,V) matrices as "rule-base", that may limit the number of rules that can be represented. To circumvent this, **Multi-Head Attention** allows to use different (Q,K,V) matrices on the same layer.

## References

- [1] Nikhil Buduma and Nicholas Locascio. Fundamentals of Deep Learning: Designing Next-Generation Machine Intelligence Algorithms. 1st. O'Reilly Media, Inc., 2017. ISBN: 1491925612.
- [2] Alex Graves, Greg Wayne, and Ivo Danihelka. "Neural Turing Machines". In: CoRR abs/1410.5401 (2014). arXiv: 1410.5401. URL: http://arxiv.org/abs/1410.5401.
- [3] Jorge Pérez, Pablo Barceló, and Javier Marinkovic. "Attention is turing complete". In: *The Journal of Machine Learning Research* 22.1 (2021), pp. 3463–3497.
- [4] Vaswani et al. "Attention is all you need". In: (2017). https://arxiv.org/abs/1706.03762.