



# CS215 DISCRETE MATH

Dr. QI WANG

Department of Computer Science and Engineering

Office: Room413, CoE South Tower

Email: [wangqi@sustech.edu.cn](mailto:wangqi@sustech.edu.cn)

# Counting

- Assume we have a set of objects with certain properties

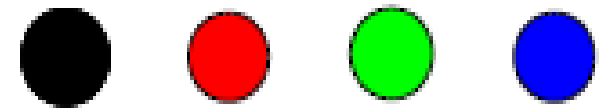
# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

How many different ways are  
there to choose 2 balls from



# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

How many different ways are there to choose 2 balls from



# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

How many different ways are there to choose 2 balls from

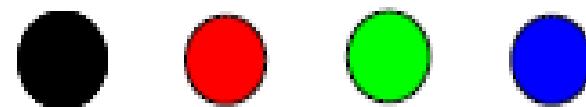


What about when order counts?

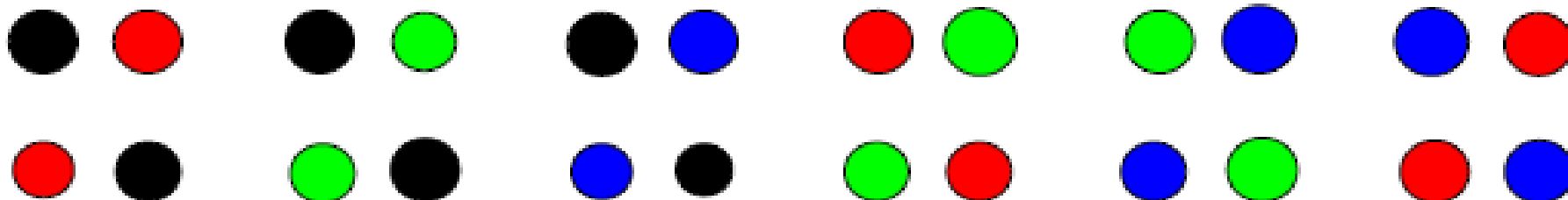
# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

How many different ways are there to choose 2 balls from



What about when order counts?



# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.





# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

## Examples

- ◇ the number of steps in a computer program
- ◇ the number of passwords between 6 – 10 characters
- ◇ the number of telephone numbers with 8 digits



# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

## Examples

- ◇ the number of steps in a computer program
- ◇ the number of passwords between 6 – 10 characters
- ◇ the number of telephone numbers with 8 digits

Counting may be very hard, not trivial.



# Counting

- Assume we have a set of objects with certain properties  
*Counting* is used to determine the number of these objects.

## Examples

- ◇ the number of steps in a computer program
- ◇ the number of passwords between 6 – 10 characters
- ◇ the number of telephone numbers with 8 digits

Counting may be very hard, not trivial.

- simplify the solution by decomposing the problem



# Basic Counting Rules

- *the Product Rule*

- *the Sum Rule*



# Basic Counting Rules

## ■ *the Product Rule*

- ◇ A count decomposes into a sequence of **dependent** counts  
(each element in the first count is associated with all elements of the second count)

## ■ *the Sum Rule*

- ◇ A count decomposes into a set of **independent** counts  
(elements of counts are alternatives)



# The Product Rule

- A count decomposes into a sequence of **dependent** counts  
(each element in the first count is associated with all elements of the second count)



# The Product Rule

- A count decomposes into a sequence of **dependent** counts (each element in the first count is associated with all elements of the second count)

## Example

In an auditorium, the seats are labeled by a letter and numbers in between 1 to 50 (e.g., A23). What is the total number of seats?



# The Product Rule

- A count decomposes into a sequence of **dependent** counts (each element in the first count is associated with all elements of the second count)

## Example

In an auditorium, the seats are labeled by a letter and numbers in between 1 to 50 (e.g., A23). What is the total number of seats?

We may either list all or use the product rule.

$$26 \times 50 = 1300$$





# The Product Rule

- **Product Rule:** If a count of elements can be broken down into a **sequence of dependent counts** where the first count yields  $n_1$  elements, the second  $n_2$  elements, and  $k$ th count  $n_k$  elements, then the total number of elements is

$$n = n_1 \cdot n_2 \cdot \dots \cdot n_k$$



# The Product Rule

- **Product Rule:** If a count of elements can be broken down into a **sequence of dependent counts** where the first count yields  $n_1$  elements, the second  $n_2$  elements, and  $k$ th count  $n_k$  elements, then the total number of elements is

$$n = n_1 \cdot n_2 \cdot \dots \cdot n_k$$

## Example

How many different bit strings of length 7 are there?



# The Product Rule

- **Product Rule:** If a count of elements can be broken down into a **sequence of dependent counts** where the first count yields  $n_1$  elements, the second  $n_2$  elements, and  $k$ th count  $n_k$  elements, then the total number of elements is

$$n = n_1 \cdot n_2 \cdot \dots \cdot n_k$$

## Example

How many different bit strings of length 7 are there?

How many different functions are there from a set with  $m$  elements to a set with  $n$  elements?



# The Product Rule

- **Product Rule:** If a count of elements can be broken down into a **sequence of dependent counts** where the first count yields  $n_1$  elements, the second  $n_2$  elements, and  $k$ th count  $n_k$  elements, then the total number of elements is

$$n = n_1 \cdot n_2 \cdot \dots \cdot n_k$$

## Example

How many different bit strings of length 7 are there?

How many different functions are there from a set with  $m$  elements to a set with  $n$  elements?

How many **one-to-one** functions are there from a set with  $m$  elements to a set with  $n$  elements?



# The Product Rule

- **Product Rule:** If a count of elements can be broken down into a **sequence of dependent counts** where the first count yields  $n_1$  elements, the second  $n_2$  elements, and  $k$ th count  $n_k$  elements, then the total number of elements is

$$n = n_1 \cdot n_2 \cdot \dots \cdot n_k$$

## Example

How many different bit strings of length 7 are there?

How many different functions are there from a set with  $m$  elements to a set with  $n$  elements?

How many **one-to-one** functions are there from a set with  $m$  elements to a set with  $n$  elements?

How many **onto** functions?



# The Product Rule

- The following loop is a part of program computing the product of two matrices.

```
(1) for i = 1 to r
(2)   for j = 1 to m
(3)     S = 0
(4)     for k = 1 to n
(5)       S = S + A[i,k] * B[k,j]
(6)     C[i,j] = S
```

# The Product Rule

- The following loop is a part of program computing **the product of two matrices**.

```
(1) for i = 1 to r
(2)   for j = 1 to m
(3)     S = 0
(4)     for k = 1 to n
(5)       S = S + A[i,k] * B[k,j]
(6)     C[i,j] = S
```

How many **multiplications** (in terms of  $r, m, n$ ) does this program carry out in total among all iterations of line 5?

# The Sum Rule

- A count decomposes into a set of **independent** counts  
(elements of counts are alternatives)





# The Sum Rule

- A count decomposes into a set of **independent** counts  
(elements of counts are alternatives)

## Example

You need to travel from city A to B. You may either fly, take a train, or a bus. There are 12 different flights, 5 different trains and 10 buses. **How many options do you have to get from A to B?**



# The Sum Rule

- A count decomposes into a set of **independent** counts  
(elements of counts are alternatives)

## Example

You need to travel from city A to B. You may either fly, take a train, or a bus. There are 12 different flights, 5 different trains and 10 buses. **How many options do you have to get from A to B?**

We may **use the sum rule.**

$$12 + 5 + 10$$



# The Sum Rule

- **Sum Rule:** If a count of elements can be broken down into a **set of independent counts** where the first count yields  $n_1$  elements, the second  $n_2$  elements, and  $k$ th count  $n_k$  elements, then the total number of elements is

$$n = n_1 + n_2 + \cdots + n_k$$

# The Sum Rule

- The following loop is from [selection sort](#).

```
(1) for i = 1 to n-1
(2)   for j = i+1 to n
(3)     if (A[i] > A[j])
(4)       exchange A[i] and A[j]
```



# The Sum Rule

- The following loop is from **selection sort**.

```
(1) for i = 1 to n-1
(2)   for j = i+1 to n
(3)     if (A[i] > A[j])
(4)       exchange A[i] and A[j]
```

How many **comparisons** (in terms of  $n$ ) does this program carry out in total among all iterations of line 3?



# More Complex Counting

- Typically requires a combination of the sum and product rules.



# More Complex Counting

- Typically requires a **combination** of the sum and product rules.

## Example

Each password is **6 to 8 characters** long, where each character is an lowercase letter or a digit. Each password must contain **at least one digit**. How many possible passwords are there?



# More Complex Counting

- Typically requires a combination of the sum and product rules.

## Example

Each password is 6 to 8 characters long, where each character is an lowercase letter or a digit. Each password must contain at least one digit. How many possible passwords are there?

$$P = P_6 + P_7 + P_8$$





# Tree Diagrams

- A *tree* is a structure that consists of a *root*, *branches* and *leaves*.



# Tree Diagrams

- A *tree* is a structure that consists of a *root*, *branches* and *leaves*.

Can be useful to represent a counting problem and record the choices we made for alternatives. *The count appears on the leaves.*



# Tree Diagrams

- A *tree* is a structure that consists of a **root**, **branches** and **leaves**.

Can be useful to represent a counting problem and record the choices we made for alternatives. **The count appears on the leaves.**

## Example

What is the number of bit strings of length 4 that **do not have two consecutive 1's**?



# Tree Diagrams

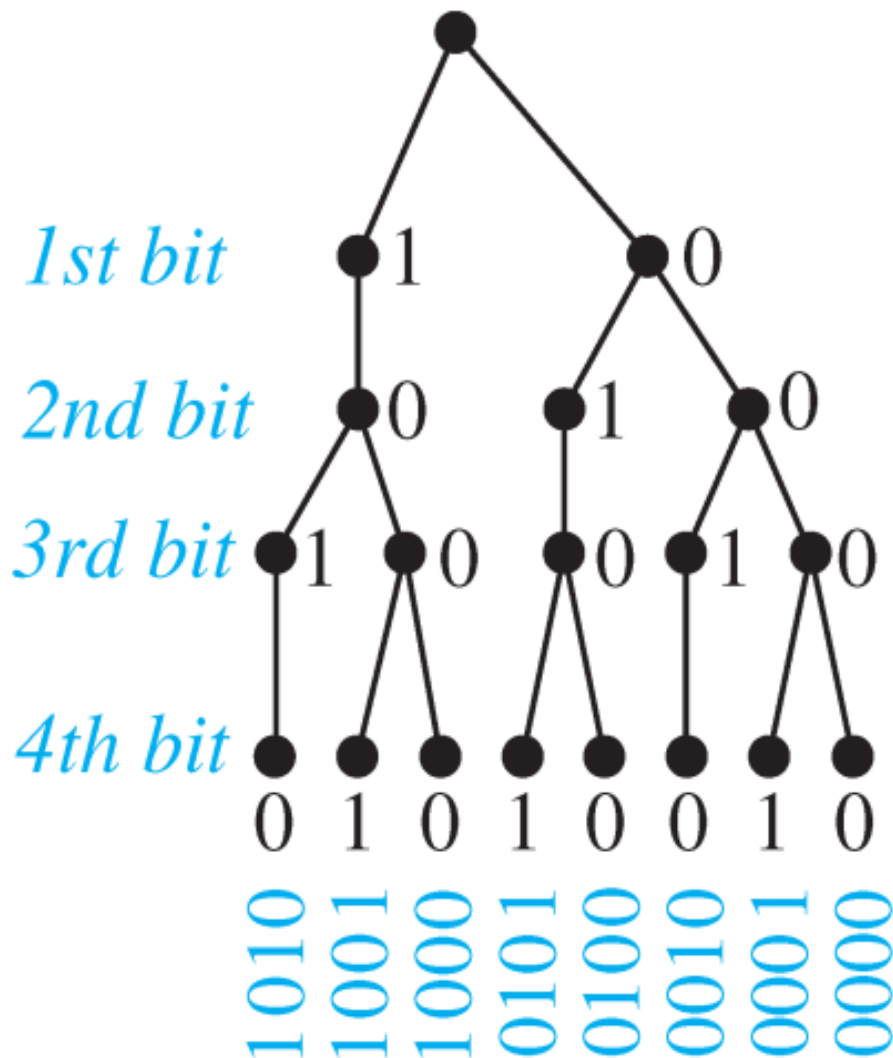
- A *tree* is a structure that consists of a *root*, *branches* and *leaves*.

Can be useful to track the choices with the leaves.

Problem and record count appears on

## Example

What is the probability of having two consecutive 1s in a 4-bit sequence?



h 4 that do not

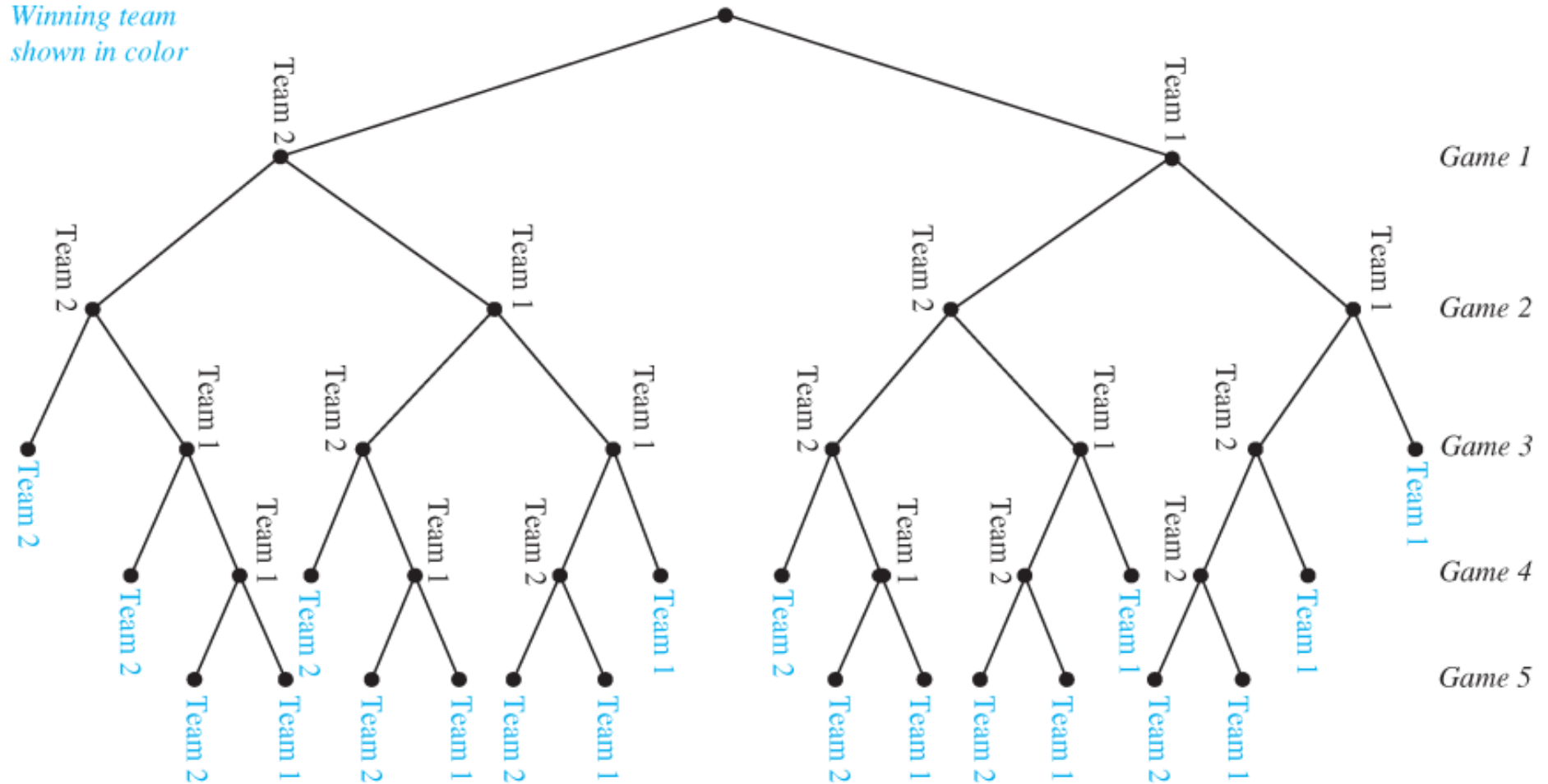
# Tree Diagram

- How many different ways can a “best 3 of 5” playoff occur?



# Tree Diagram

- How many different ways can a “best 3 of 5” playoff occur?



# Pigeonhole Principle

- Assume that there are a set of objects and a set of bins to store them.



# Pigeonhole Principle

- Assume that there are a set of objects and a set of bins to store them.

*The pigeonhole principle* states that if there are more objects than bins then there is at least one bin with more than one object.



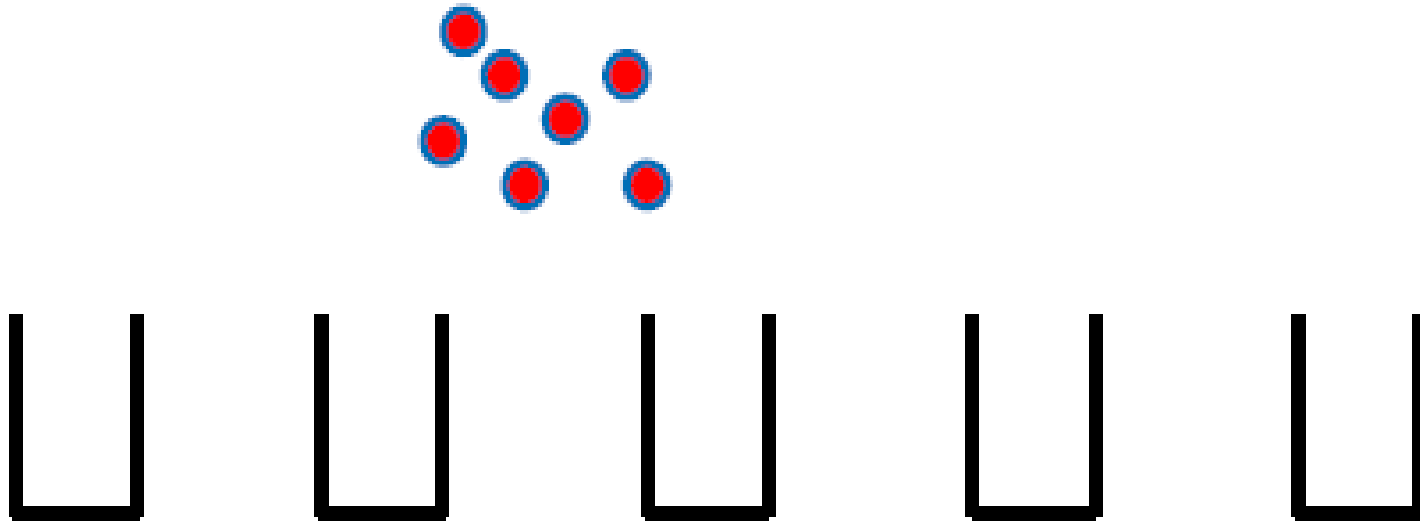


# Pigeonhole Principle

- Assume that there are a set of objects and a set of bins to store them.

*The pigeonhole principle* states that if there are more objects than bins then there is at least one bin with more than one object.

**Example:** 7 balls and 5 bins to store them

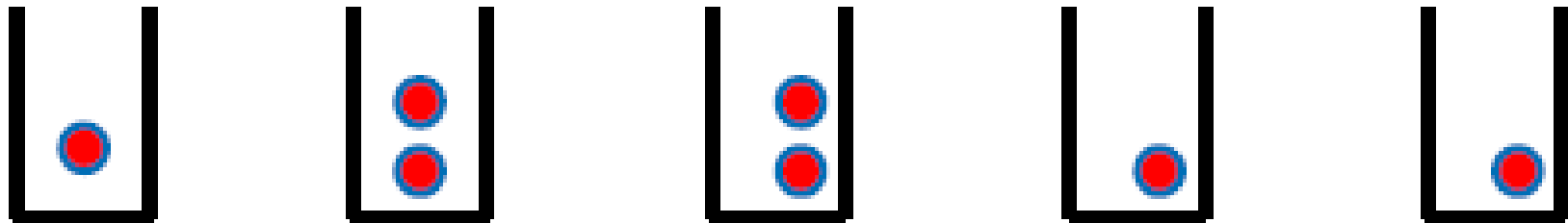


# Pigeonhole Principle

- Assume that there are a set of objects and a set of bins to store them.

*The pigeonhole principle* states that if there are more objects than bins then there is at least one bin with more than one object.

**Example:** 7 balls and 5 bins to store them



# Pigeonhole Principle

- **Theorem** If there are  $k + 1$  objects and  $k$  bins, then there is at least one bin with two or more objects.



# Pigeonhole Principle

- **Theorem** If there are  $k + 1$  objects and  $k$  bins, then there is at least one bin with two or more objects.

**Proof by contradiction**



# Pigeonhole Principle

- **Theorem** If there are  $k + 1$  objects and  $k$  bins, then there is at least one bin with two or more objects.

## Proof by contradiction

### Example

Assume that there are 367 students. Are there any two people who have the same birthday?

There are 5 bins and 12 objects. Then there must be a bin with at least 3 objects. Why?



# Generalized Pigeonhole Principle

- If  $N$  objects are placed into  $k$  bins, then there is at least one bin containing at least  $\lceil N/k \rceil$  objects.



# Generalized Pigeonhole Principle

- If  $N$  objects are placed into  $k$  bins, then there is at least one bin containing at least  $\lceil N/k \rceil$  objects.

## Example

Assume there are 100 students. How many of them were born in the same month?



# Bijections and Permutations

- A function that is **both one-to-one and onto** is called a *bijection*, or a *one-to-one correspondence*.





# Bijections and Permutations

- A function that is **both one-to-one and onto** is called a *bijection*, or a *one-to-one correspondence*.

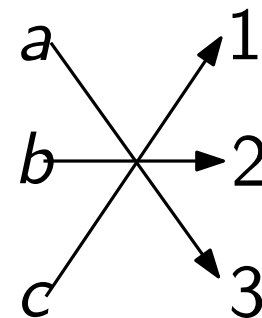
How many **bijections** are there?

# Bijections and Permutations

- A function that is **both one-to-one and onto** is called a *bijection*, or a *one-to-one correspondence*.

How many **bijections** are there?

$f : \{a, b, c\} \rightarrow \{1, 2, 3\}$  defined by  $f(a) = 3, f(b) = 2, f(c) = 1$  is a bijection.

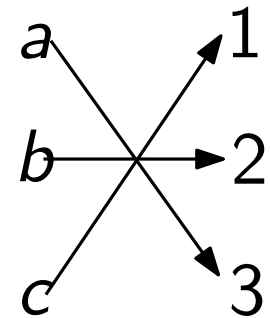


# Bijections and Permutations

- A function that is **both one-to-one and onto** is called a *bijection*, or a *one-to-one correspondence*.

How many **bijections** are there?

$f : \{a, b, c\} \rightarrow \{1, 2, 3\}$  defined by  $f(a) = 3, f(b) = 2, f(c) = 1$  is a bijection.



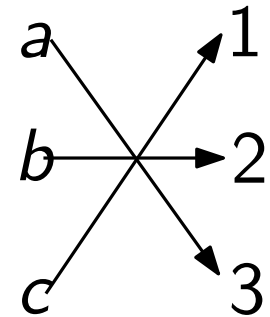
A **bijection** from a set **onto itself** is called a *permutation*.

# Bijections and Permutations

- A function that is **both one-to-one and onto** is called a *bijection*, or a *one-to-one correspondence*.

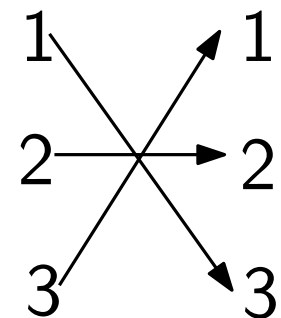
How many **bijections** are there?

$f : \{a, b, c\} \rightarrow \{1, 2, 3\}$  defined by  $f(a) = 3, f(b) = 2, f(c) = 1$  is a bijection.



A **bijection** from a set **onto itself** is called a *permutation*.

$f : \{1, 2, 3\} \rightarrow \{1, 2, 3\}$  defined by  $f(1) = 3, f(2) = 2, f(3) = 1$  is a bijection.



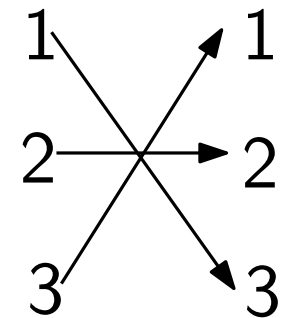
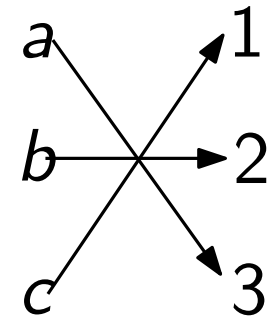
# Bijections and Permutations

- A function that is **both one-to-one and onto** is called a *bijection*, or a *one-to-one correspondence*.

A **bijection** from a set **onto itself** is called a *permutation*.

In a *bijection*,

**exactly one arrow leaves each item on the left and exactly one arrow arrives at each item on the right.**



# Bijections and Permutations

- A function that is **both one-to-one and onto** is called a *bijection*, or a *one-to-one correspondence*.

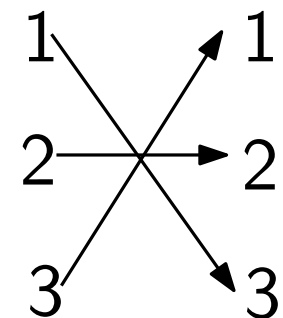
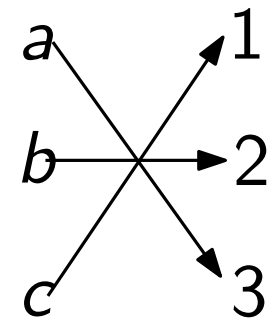
A **bijection** from a set **onto itself** is called a *permutation*.

In a *bijection*,

**exactly one arrow leaves each item on the left** and **exactly one arrow arrives at each item on the right**.

Thus,

**the left and right sides must have the same size.**



# The Bijection Principle

- The following loop is a part of program to determine the number of triangles formed by  $n$  points in the plane.

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)     for j = i+1 to n
(4)       for k = j+1 to n
(5)         if points i, j, k are not collinear
(6)           trianglecount = trianglecount + 1
```



# The Bijection Principle

- The following loop is a part of program to determine the number of triangles formed by  $n$  points in the plane.

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)     for j = i+1 to n
(4)       for k = j+1 to n
(5)         if points i, j, k are not collinear
(6)           trianglecount = trianglecount + 1
```

Among all iterations of line 5, what is the total number of times this line checks three points to see if they are collinear?



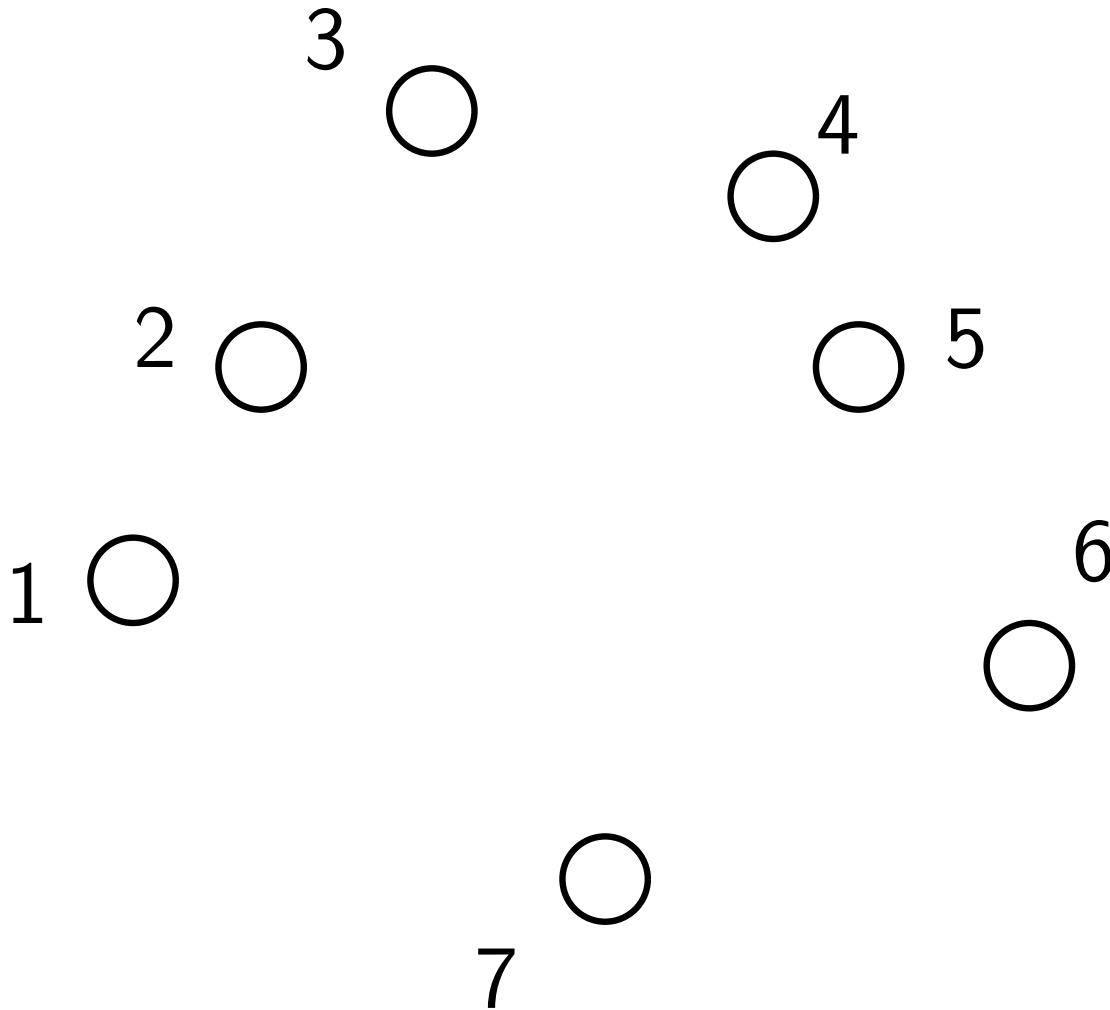
# Counting Triangles

- 3 points form a **triangle** if and only if **they are non collinear**



# Counting Triangles

- 3 points form a triangle if and only if they are non collinear

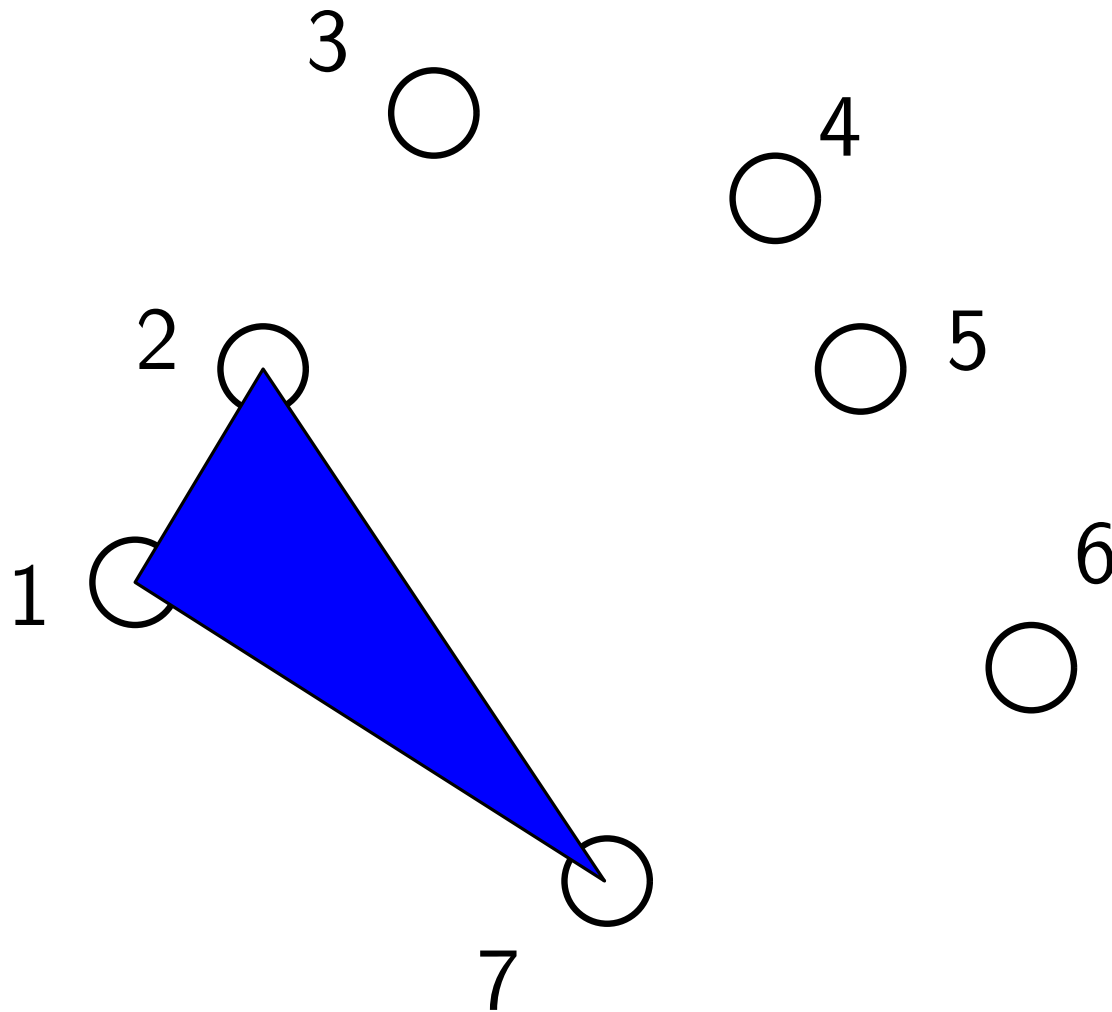


20 - 2



# Counting Triangles

- 3 points form a triangle if and only if they are non collinear



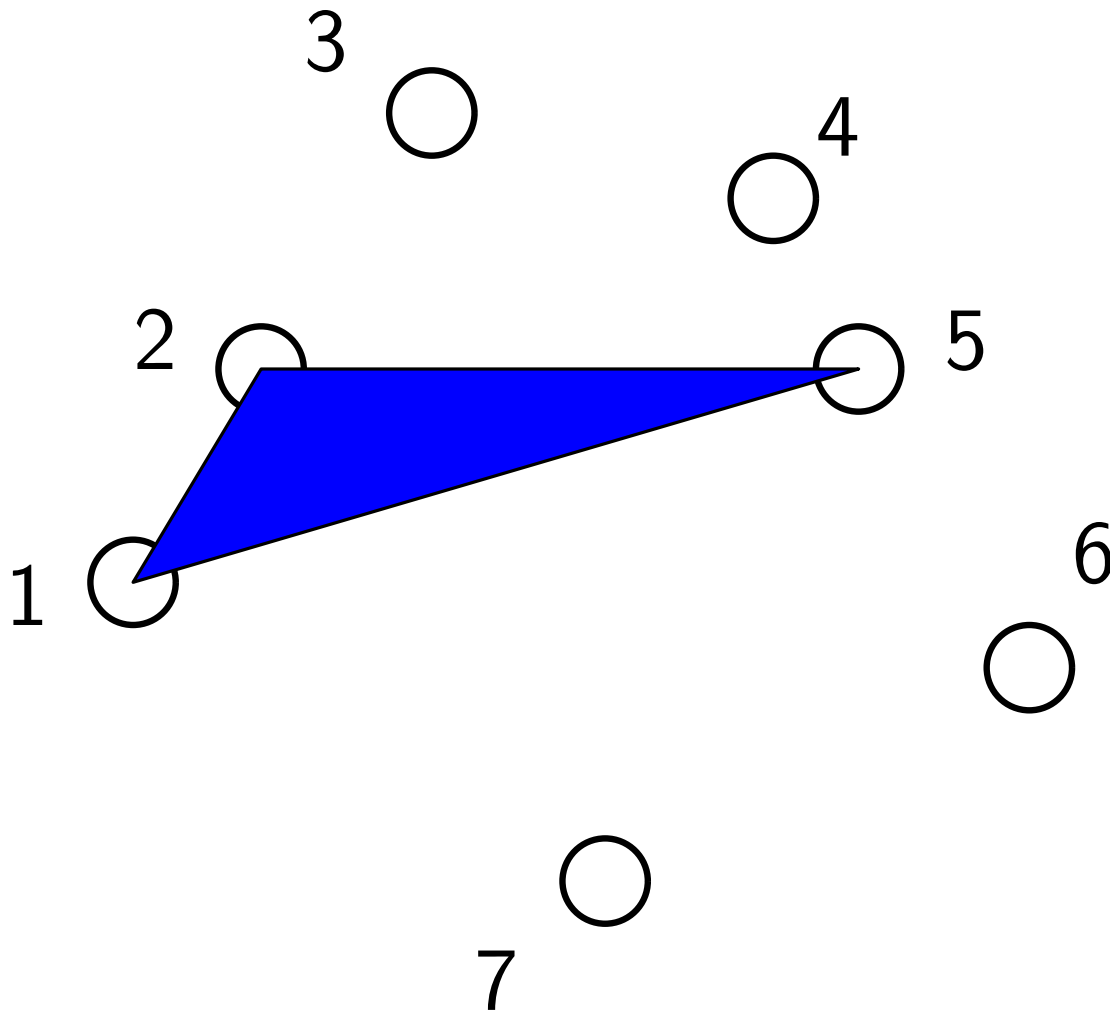
1 – 2 – 7: yes

20 - 3



# Counting Triangles

- 3 points form a triangle if and only if they are non collinear

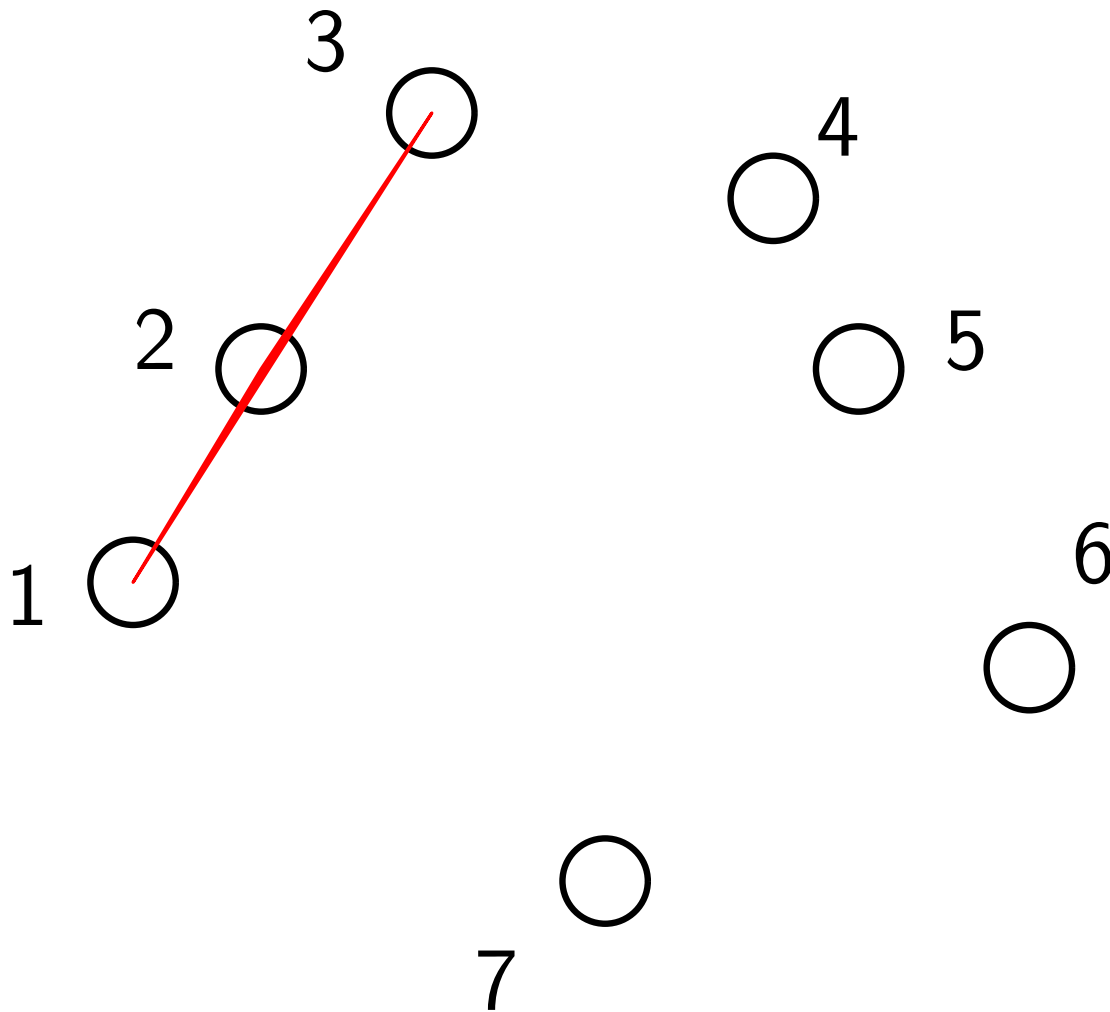


1 – 2 – 7: yes

1 – 2 – 5: yes

# Counting Triangles

- 3 points form a **triangle** if and only if **they are non collinear**



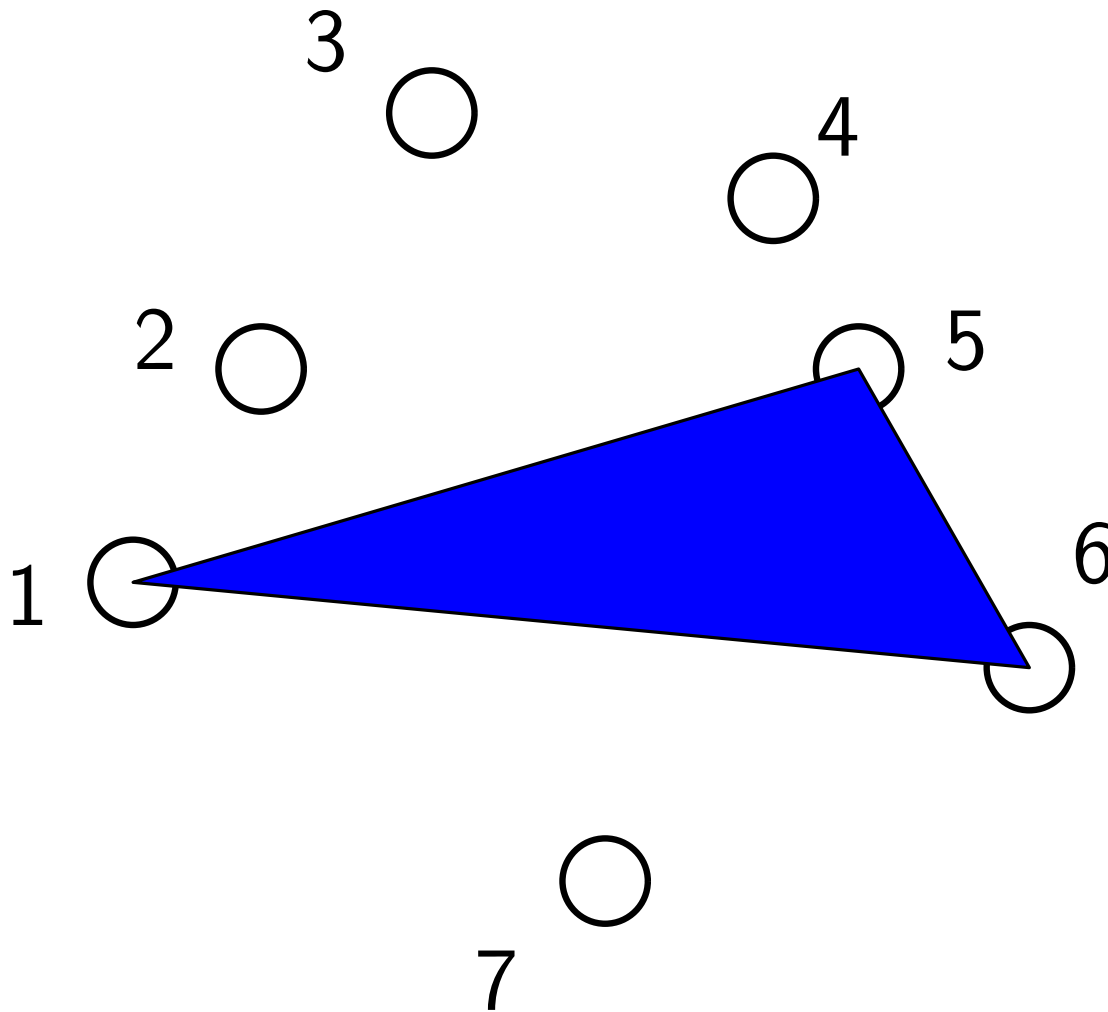
1 – 2 – 7: yes

1 – 2 – 5: yes

1 – 2 – 3: **no**

# Counting Triangles

- 3 points form a triangle if and only if they are non collinear



1 – 2 – 7: yes

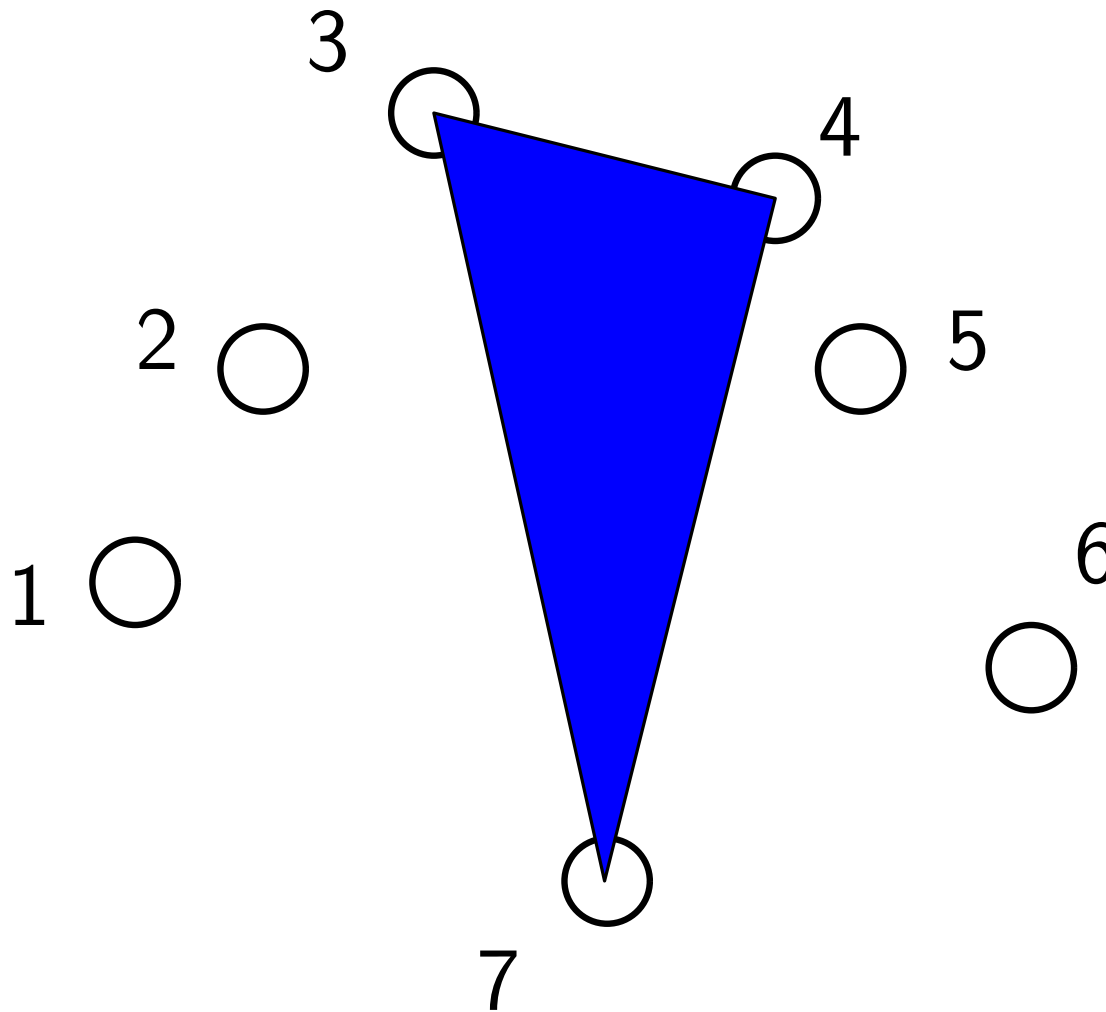
1 – 2 – 5: yes

1 – 2 – 3: no

1 – 5 – 6: yes

# Counting Triangles

- 3 points form a triangle if and only if they are non collinear



1 – 2 – 7: yes

1 – 2 – 5: yes

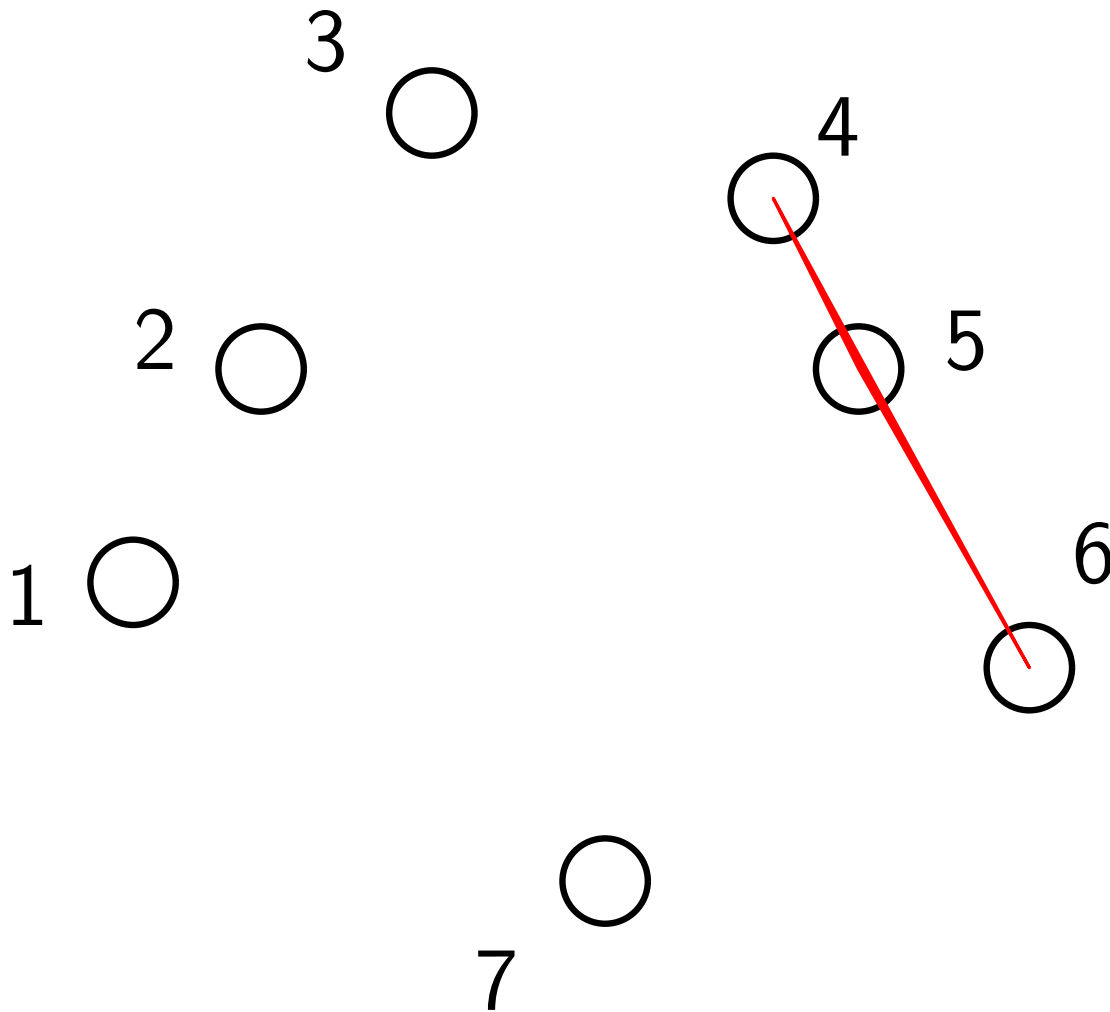
1 – 2 – 3: no

1 – 5 – 6: yes

3 – 4 – 7: yes

# Counting Triangles

- 3 points form a **triangle** if and only if **they are non collinear**



1 – 2 – 7: yes

1 – 2 – 5: yes

1 – 2 – 3: **no**

1 – 5 – 6: yes

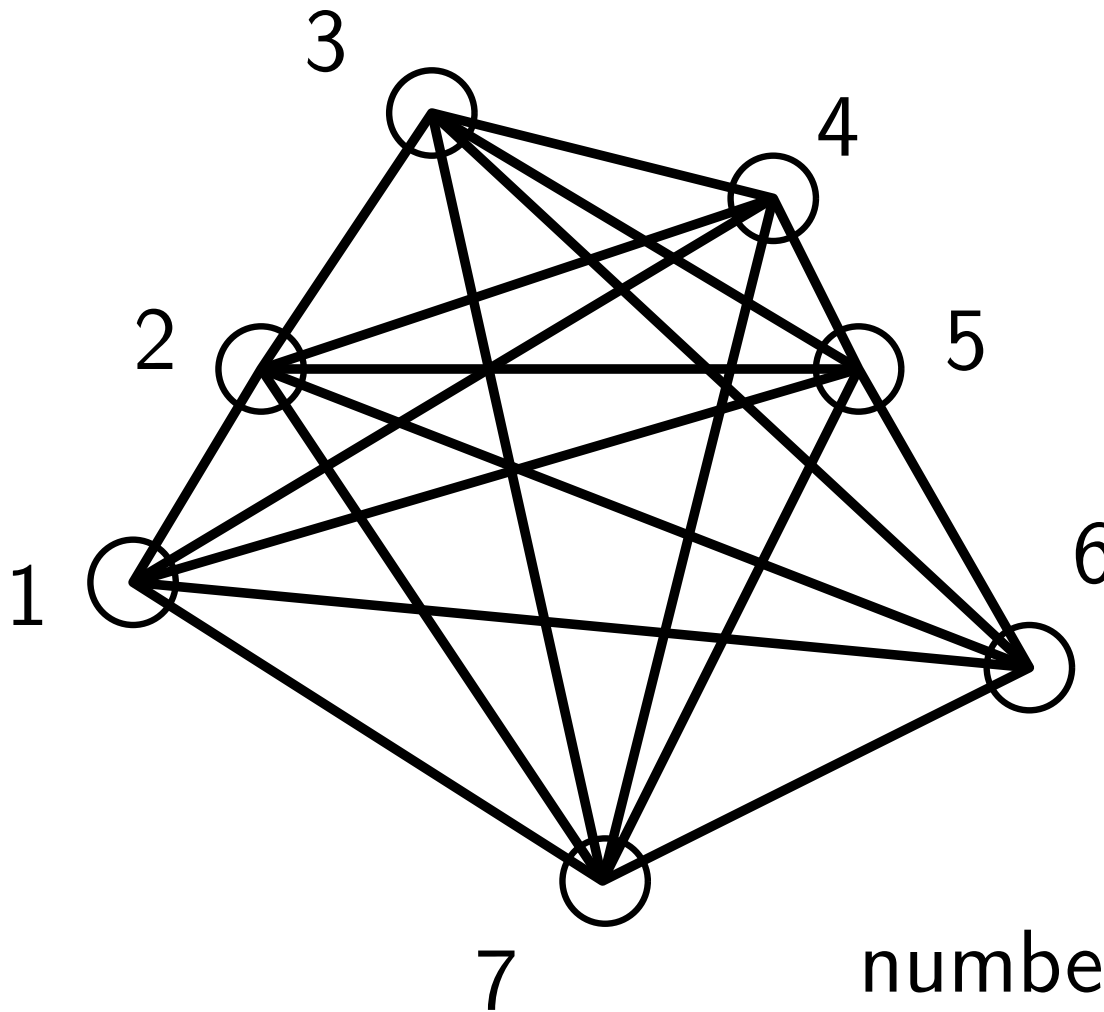
3 – 4 – 7: yes

4 – 5 – 6: **no**



# Counting Triangles

- 3 points form a triangle if and only if they are non collinear



1 – 2 – 7: yes

1 – 2 – 5: yes

1 – 2 – 3: no

1 – 5 – 6: yes

3 – 4 – 7: yes

4 – 5 – 6: no

number of triangles: 33

# Counting Triangles

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)       for j = i+1 to n
(4)           for k = j+1 to n
(5)               if points i, j, k are not collinear
(6)                   trianglecount = trianglecount + 1
```

# Counting Triangles

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)     for j = i+1 to n
(4)       for k = j+1 to n
(5)         if points i, j, k are not collinear
(6)           trianglecount = trianglecount + 1
```

A loop

# Counting Triangles

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)     for j = i+1 to n
(4)       for k = j+1 to n
(5)         if points i, j, k are not collinear
(6)           trianglecount = trianglecount + 1
```

A loop embedded in a loop

# Counting Triangles

```
(1) trianglecount = 0
```

```
(2)   for i = 1 to n
```

```
(3)       for j = i+1 to n
```

```
(4)           for k = j+1 to n
```

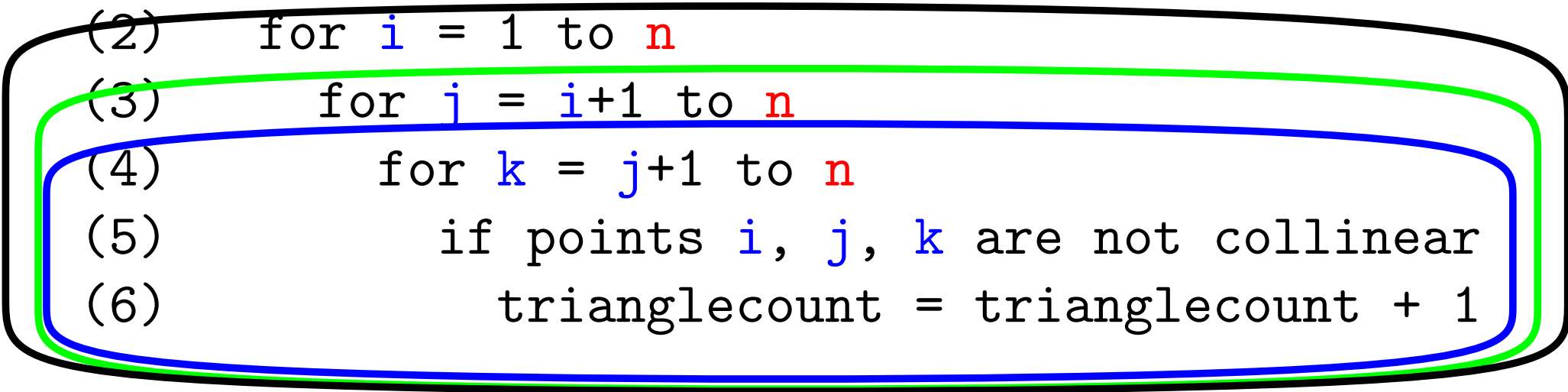
```
(5)               if points i, j, k are not collinear
```

```
(6)                   trianglecount = trianglecount + 1
```

A loop embedded in a loop embedded in another loop.

# Counting Triangles

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)     for j = i+1 to n
(4)       for k = j+1 to n
(5)         if points i, j, k are not collinear
(6)           trianglecount = trianglecount + 1
```



A loop embedded in a loop embedded in another loop.

Second loop begins with  $j = i + 1$  and  $j$  increases up to  $n$ .

Third loop begins with  $k = j + 1$  and  $k$  increases up to  $n$ .

# Counting Triangles

```
(1) trianglecount = 0
```

```
(2)   for  $i = 1$  to  $n$ 
```

```
(3)       for  $j = i+1$  to  $n$ 
```

```
(4)           for  $k = j+1$  to  $n$ 
```

```
(5)               if points  $i, j, k$  are not collinear
```

```
(6)                   trianglecount = trianglecount + 1
```

A loop embedded in a loop embedded in another loop.

Second loop begins with  $j = i + 1$  and  $j$  increases up to  $n$ .

Third loop begins with  $k = j + 1$  and  $k$  increases up to  $n$ .

Thus each triple  $i, j, k$  with  $i < j < k$  is examined exactly once.

# Counting Triangles

```
(1) trianglecount = 0
(2)   for i = 1 to n
(3)     for j = i+1 to n
(4)       for k = j+1 to n
(5)         if points i, j, k are not collinear
(6)           trianglecount = trianglecount + 1
```

A loop embedded in a loop embedded in another loop.

Second loop begins with  $j = i + 1$  and  $j$  increases up to  $n$ .

Third loop begins with  $k = j + 1$  and  $k$  increases up to  $n$ .

Thus each triple  $i, j, k$  with  $i < j < k$  is examined exactly once.

For example, if  $n = 4$ , then triples  $(i, j, k)$  used by algorithm are  $(1, 2, 3)$ ,  $(1, 2, 4)$ ,  $(1, 3, 4)$ , and  $(2, 3, 4)$ .



# Counting Triangles

- Want to compute the number of *increasing triples*  $(i, j, k)$  with  $1 \leq i < j < k \leq n$ .

# Counting Triangles

- Want to compute the number of *increasing triples*  $(i, j, k)$  with  $1 \leq i < j < k \leq n$ .

**Claim:** Number of increasing triples is **exactly** the same as number of 3-element subsets from  $\{1, 2, \dots, n\}$

# Counting Triangles

- Want to compute the number of *increasing triples*  $(i, j, k)$  with  $1 \leq i < j < k \leq n$ .

**Claim:** Number of increasing triples is **exactly** the same as number of 3-element subsets from  $\{1, 2, \dots, n\}$

Why? Let  $X$  = set of increasing triples and  
 $Y$  = set of 3-element subsets from  $\{1, 2, \dots, n\}$

# Counting Triangles

- Want to compute the number of *increasing triples*  $(i, j, k)$  with  $1 \leq i < j < k \leq n$ .

**Claim:** Number of increasing triples is **exactly** the same as number of 3-element subsets from  $\{1, 2, \dots, n\}$

Why? Let  $X =$  set of increasing triples and  $Y =$  set of 3-element subsets from  $\{1, 2, \dots, n\}$

Define:  $f : X \rightarrow Y$  by  $f((i, j, k)) = \{i, j, k\}$

**Claim:**  $f$  is a **bijection** (why) so  $|X| = |Y|$

# Counting Triangles

- Want to compute the number of *increasing triples*  $(i, j, k)$  with  $1 \leq i < j < k \leq n$ .

**Claim:** Number of increasing triples is **exactly** the same as number of 3-element subsets from  $\{1, 2, \dots, n\}$

Why? Let  $X$  = set of increasing triples and  
 $Y$  = set of 3-element subsets from  $\{1, 2, \dots, n\}$

Define:  $f : X \rightarrow Y$  by  $f((i, j, k)) = \{i, j, k\}$

**Claim:**  $f$  is a **bijection** (why) so  $|X| = |Y|$

$f$  is a bijection because

$f$  is one-to-one

if  $(i, j, k) \neq (i', j', k') \Rightarrow f((i, j, k)) \neq f((i', j', k'))$

$f$  is onto

if  $\gamma$  is a 3-element subset then it can be written as  $\gamma = \{i, j, k\}$

where  $i < j < k$  so  $f((i, j, k)) = \gamma$ .

# Counting Pairs

- The number of increasing pairs  $(i, j)$  with  $1 \leq i < j \leq n$  is the same as the number of 2-sets from  $\{1, 2, \dots, n\}$



# Counting Pairs

- The number of increasing pairs  $(i, j)$  with  $1 \leq i < j \leq n$  is the same as the number of 2-sets from  $\{1, 2, \dots, n\}$

Define  $f : X \rightarrow Y$  by  $f((i, j)) = \{i, j\}$

**Claim:**  $f$  is a **bijection** so  $|X| = |Y|$



# Counting Pairs

- The number of increasing pairs  $(i, j)$  with  $1 \leq i < j \leq n$  is the same as the number of 2-sets from  $\{1, 2, \dots, n\}$

Define  $f : X \rightarrow Y$  by  $f((i, j)) = \{i, j\}$

**Claim:**  $f$  is a **bijection** so  $|X| = |Y|$

We actually already saw that  $|X| = |Y| = \binom{n}{2}$





# The Bijection Principle

- Two sets have the same size if and only if there is a one-to-one function from one set onto the other.



# The Bijection Principle

- Two sets **have the same size** if and only if there is a **one-to-one function from one set onto the other**.

A standard first step in counting the size of a set is to use a bijection to show that it has the same size as a 2nd set, and then count the 2nd set instead.



# The Bijection Principle

- Two sets **have the same size** if and only if there is a **one-to-one function from one set onto the other**.

A standard first step in counting the size of a set is to use a bijection to show that it has the same size as a 2nd set, and then count the 2nd set instead.

In practice, in real problems we often only *implicitly* use the bijection and **don't explicitly** describe it



# The Bijection Principle

- Two sets **have the same size** if and only if there is a **one-to-one function from one set onto the other**.

A standard first step in counting the size of a set is to use a bijection to show that it has the same size as a 2nd set, and then count the 2nd set instead.

In practice, in real problems we often only *implicitly* use the bijection and **don't explicitly** describe it

Currently, we started with the problem of counting the **# of increasing triples** and changed it to the problem of counting the **# of 3-element sets from  $\{1, 2, \dots, n\}$**



# Inclusion-Exclusion Principle

- Used in counts where the decomposition yields two independent counting tasks with overlapping elements



# Inclusion-Exclusion Principle

- Used in counts where the decomposition yields two independent counting tasks with overlapping elements

If we use the sum rule, some elements would be counted twice.



# Inclusion-Exclusion Principle

- Used in counts where the decomposition yields two independent counting tasks with overlapping elements

If we use the sum rule, some elements would be counted twice.

**Inclusion-Exclusion Principle:** uses a sum rule and then corrects for the overlapping elements.



# Inclusion-Exclusion Principle

- Used in counts where the decomposition yields two independent counting tasks with overlapping elements

If we use the sum rule, some elements would be counted twice.

**Inclusion-Exclusion Principle:** uses a sum rule and then corrects for the overlapping elements.

$$|A \cup B| = |A| + |B| - |A \cap B|$$





# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

◇ it is easy to count bit strings starting with '1':

# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

◇ it is easy to count bit strings starting with '1':  $2^7$

# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

- ◇ it is easy to count bit strings starting with '1':  $2^7$
- ◇ it is easy to count bit strings ending with '00':



# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

- ◇ it is easy to count bit strings starting with '1':  $2^7$
- ◇ it is easy to count bit strings ending with '00':  $2^6$

# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

- ◇ it is easy to count bit strings starting with '1':  $2^7$
- ◇ it is easy to count bit strings ending with '00':  $2^6$

Overcounting!!!

# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

◇ it is easy to count bit strings starting with '1':  $2^7$

◇ it is easy to count bit strings ending with '00':  $2^6$

Overcounting!!!

◇ deduct the number of strings starting with '1' and ending with "00":



# Inclusion-Exclusion Principle

## ■ Example

How many bit strings of length 8 either start with a '1' bit or end with the two bits '00'?

◇ it is easy to count bit strings starting with '1':  $2^7$

◇ it is easy to count bit strings ending with '00':  $2^6$

Overcounting!!!

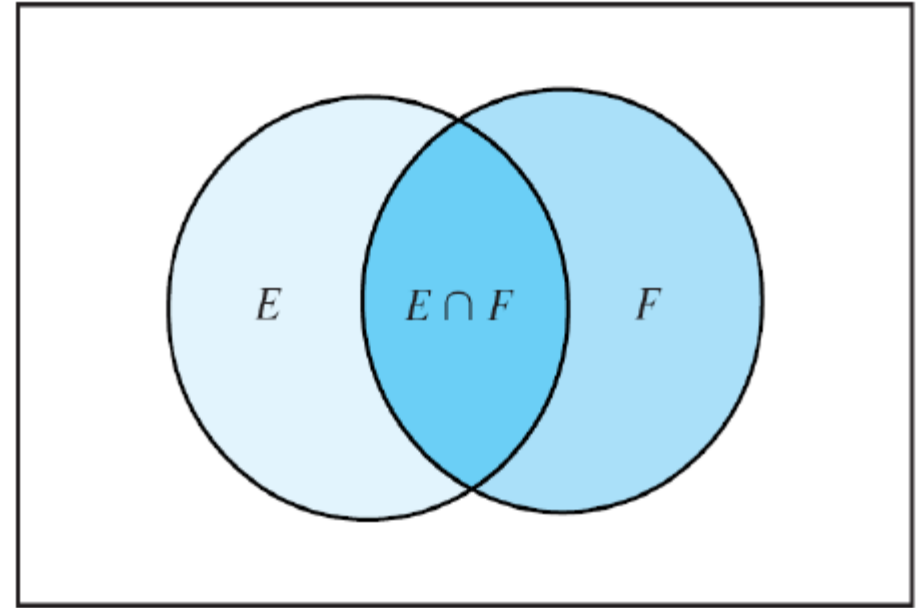
◇ deduct the number of strings starting with '1' and ending with "00":  $2^5$



# Inclusion-Exclusion Principle

- Two sets

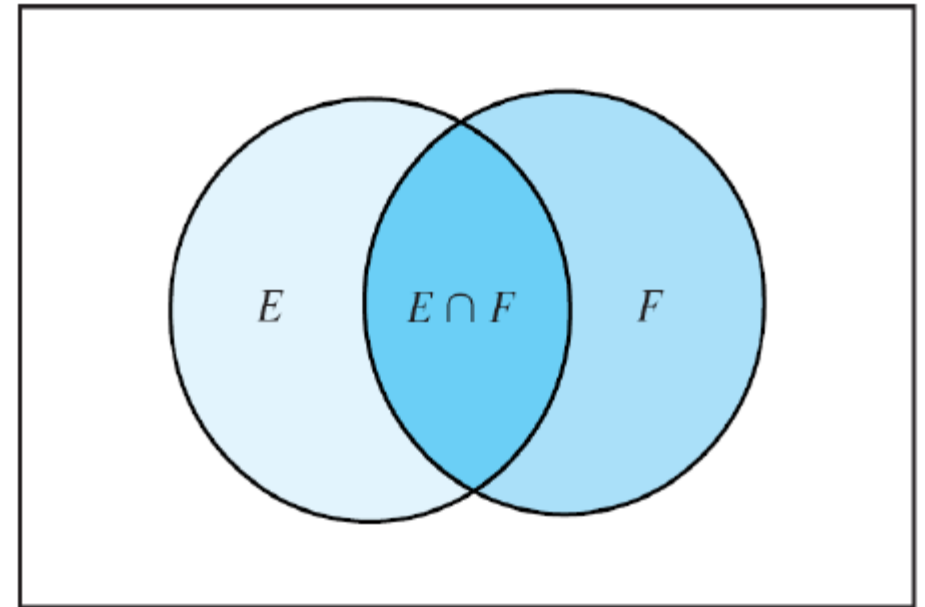
$$|E \cup F| = |E| + |F| - |E \cap F|$$



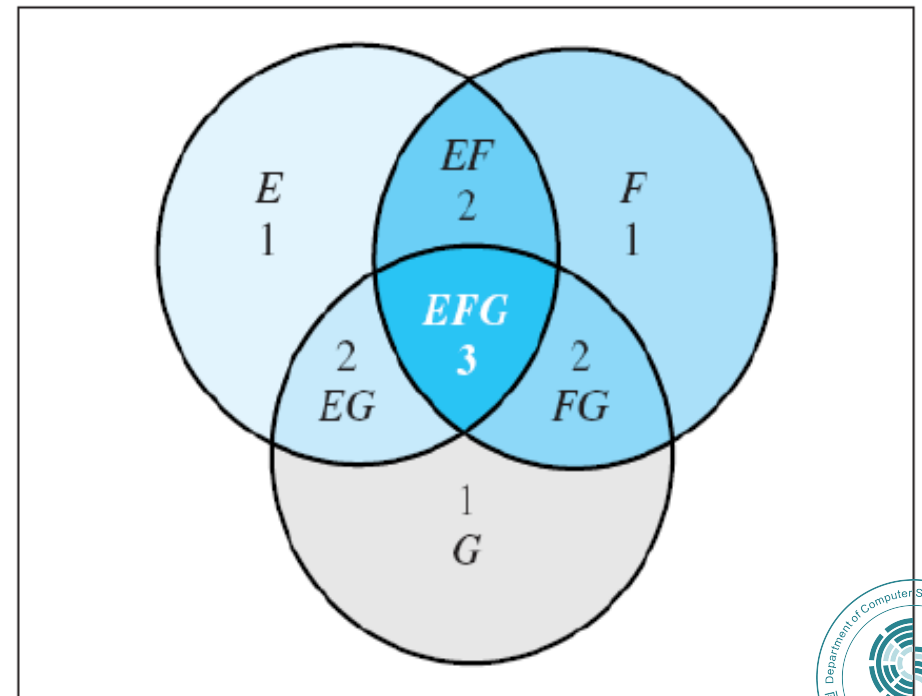
# Inclusion-Exclusion Principle

## ■ Two sets

$$|E \cup F| = |E| + |F| - |E \cap F|$$



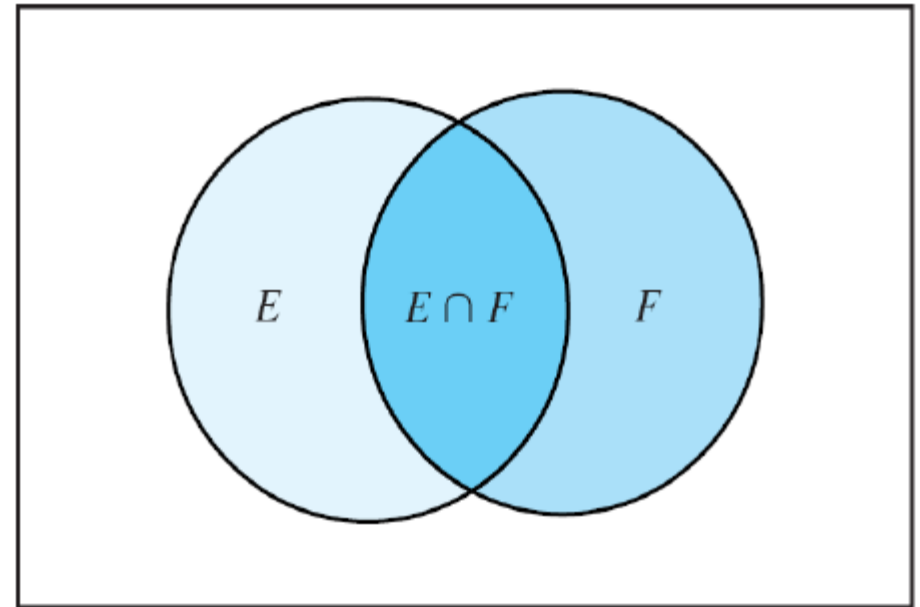
## Three sets



# Inclusion-Exclusion Principle

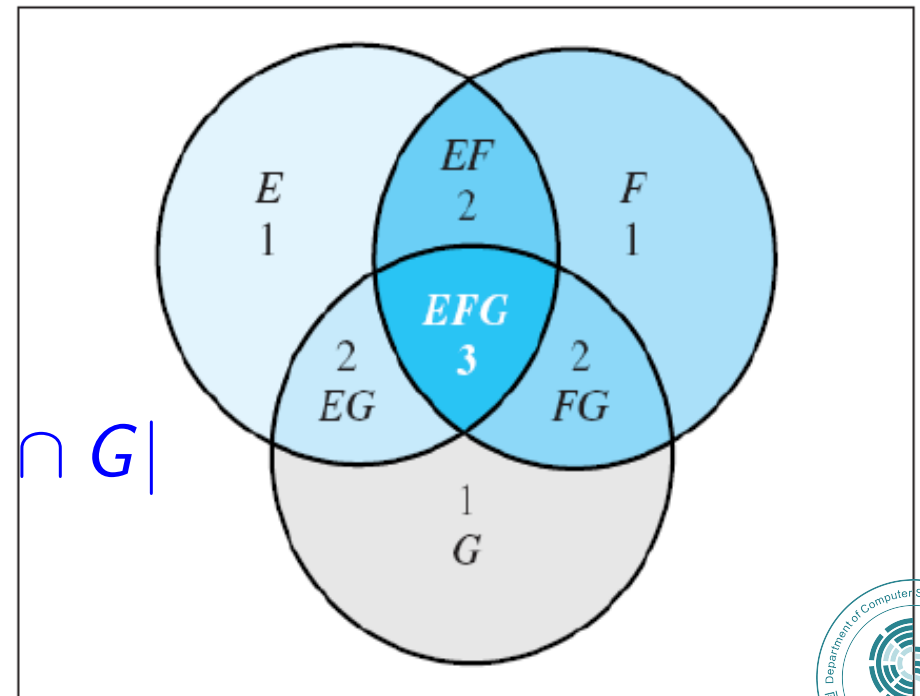
## ■ Two sets

$$|E \cup F| = |E| + |F| - |E \cap F|$$



## Three sets

$$\begin{aligned} &|E \cup F \cup G| \\ &= |E| + |F| + |G| \\ &\quad - |E \cap F| - |E \cap G| - |F \cap G| \\ &\quad + |E \cap F \cap G| \end{aligned}$$



# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$



# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

**Proof by induction**



# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

## Proof by induction

Base case ( $n = 2$ )

$$|E \cup F| = |E| + |F| - |E \cap F|$$



# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

## Proof by induction

Base case ( $n = 2$ )

$$|E \cup F| = |E| + |F| - |E \cap F|$$

Inductive Hypothesis

$$|\cup_{i=1}^{n-1} E_i| = \sum_{k=1}^{n-1} (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n-1} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$



# Inclusion-Exclusion Principle

- Inductive step

Set  $E = E_1 \cup \cdots \cup E_{n-1}$ , and  $F = E_n$ .





# Inclusion-Exclusion Principle

- Inductive step

Set  $E = E_1 \cup \dots \cup E_{n-1}$ , and  $F = E_n$ .

By  $|E \cup F| = |E| + |F| - |E \cap F|$

# Inclusion-Exclusion Principle

- Inductive step

Set  $E = E_1 \cup \cdots \cup E_{n-1}$ , and  $F = E_n$ .

By  $|E \cup F| = |E| + |F| - |E \cap F|$

$$|\cup_{i=1}^n E_i| = |\cup_{i=1}^{n-1} E_i| + |E_n| - |(\cup_{i=1}^{n-1} E_i) \cap E_n|$$



# Inclusion-Exclusion Principle

## ■ Inductive step

Set  $E = E_1 \cup \cdots \cup E_{n-1}$ , and  $F = E_n$ .

By  $|E \cup F| = |E| + |F| - |E \cap F|$

$$|\cup_{i=1}^n E_i| = |\cup_{i=1}^{n-1} E_i| + |E_n| - |(\cup_{i=1}^{n-1} E_i) \cap E_n|$$

The first term is given by i.h.



# Inclusion-Exclusion Principle

## ■ Inductive step

Set  $E = E_1 \cup \cdots \cup E_{n-1}$ , and  $F = E_n$ .

By  $|E \cup F| = |E| + |F| - |E \cap F|$

$$|\cup_{i=1}^n E_i| = |\cup_{i=1}^{n-1} E_i| + |E_n| - |(\cup_{i=1}^{n-1} E_i) \cap E_n|$$

The first term is given by i.h.

For the third term, by distributive law,

$$|(\cup_{i=1}^{n-1} E_i) \cap E_n| = |\cup_{i=1}^{n-1} (E_i \cap E_n)| = |\cup_{i=1}^{n-1} G_i|$$

where  $G_i = E_i \cap E_n$ .

# Inclusion-Exclusion Principle

- So far

$$|\cup_{i=1}^n E_i| = |\cup_{i=1}^{n-1} E_i| + |E_n| - |\cup_{i=1}^{n-1} G_i|$$

where  $G_i = E_i \cap E_n$ .

# Inclusion-Exclusion Principle

- So far

$$|\cup_{i=1}^n E_i| = |\cup_{i=1}^{n-1} E_i| + |E_n| - |\cup_{i=1}^{n-1} G_i|$$

where  $G_i = E_i \cap E_n$ .

Note that (why?)

$$\begin{aligned} & -(-1)^{k+1} |G_{i_1} \cap G_{i_2} \cap \cdots \cap G_{i_k}| \\ & = (-1)^{k+2} |E_{i_1} \cap E_{i_2} \cap \cdots \cap E_{i_k} \cap E_n| \end{aligned}$$

# Inclusion-Exclusion Principle

- So far

$$|\cup_{i=1}^n E_i| = |\cup_{i=1}^{n-1} E_i| + |E_n| - |\cup_{i=1}^{n-1} G_i|$$

where  $G_i = E_i \cap E_n$ .

Note that (why?)

$$\begin{aligned} & -(-1)^{k+1} |G_{i_1} \cap G_{i_2} \cap \dots \cap G_{i_k}| \\ & = (-1)^{k+2} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k} \cap E_n| \end{aligned}$$

Some discussion:

**first summation** sums  $(-1)^{k+1} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$  over **all lists**  $i_1, i_2, \dots, i_k$  that **do not contain**  $n$   
 **$|E_n|$**  and **second summation** together sum  $(-1)^{k+1} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$  over **all lists**  $i_1, i_2, \dots, i_k$  that **do contain**  $n$

# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$





# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

This can be used to determine the number of onto functions



# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .



# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .

(a) How many onto functions are there from  $A$  to  $B$ ?

(b) How many functions are there from  $A$  to  $B$  that map nothing to at least one element of  $B$ ?



# Inclusion-Exclusion Principle



$$|\cup_{i=1}^n E_i| = \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .

(a) How many onto functions are there from  $A$  to  $B$ ?

(b) How many functions are there from  $A$  to  $B$  that map nothing to at least one element of  $B$ ?

$$\#(a) + \#(b) = n^m$$



# Inclusion-Exclusion Principle

- This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .

(a) How many onto functions are there from  $A$  to  $B$ ?

(b) How many functions are there from  $A$  to  $B$  that map nothing to at least one element of  $B$ ?

$$\#(a) + \#(b) = n^m$$



# Inclusion-Exclusion Principle

- This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .

(a) How many onto functions are there from  $A$  to  $B$ ?

(b) How many functions are there from  $A$  to  $B$  that map nothing to at least one element of  $B$ ?

$$\#(a) + \#(b) = n^m$$

Set  $E_i$  – set of functions that map nothing to element  $i$  of  $B$



# Inclusion-Exclusion Principle

- This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .

(a) How many onto functions are there from  $A$  to  $B$ ?

(b) How many functions are there from  $A$  to  $B$  that map nothing to at least one element of  $B$ ?

$$\#(a) + \#(b) = n^m$$

Set  $E_i$  – set of functions that map nothing to element  $i$  of  $B$

$$\#(b) = \left| \bigcup_{i=1}^n E_i \right|$$



# Inclusion-Exclusion Principle

- This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .

(a) How many onto functions are there from  $A$  to  $B$ ?

(b) How many functions are there from  $A$  to  $B$  that map nothing to at least one element of  $B$ ?

$$\#(a) + \#(b) = n^m$$

Set  $E_i$  – set of functions that map nothing to element  $i$  of  $B$

$$\#(b) = \left| \bigcup_{i=1}^n E_i \right|$$

$$= \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$





# Inclusion-Exclusion Principle

- This can be used to determine the number of onto functions

$A, B$  are two sets with  $|A| = m$  and  $|B| = n$ .

(a) How many onto functions are there from  $A$  to  $B$ ?

(b) How many functions are there from  $A$  to  $B$  that map nothing to at least one element of  $B$ ?

$$\#(a) + \#(b) = n^m$$

Set  $E_i$  – set of functions that map nothing to element  $i$  of  $B$

$$\#(b) = \left| \bigcup_{i=1}^n E_i \right|$$

$$= \sum_{k=1}^n (-1)^{k+1} \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} |E_{i_1} \cap E_{i_2} \cap \dots \cap E_{i_k}|$$

$$= \sum_{k=1}^n (-1)^{k+1} \binom{n}{k} (n-k)^m$$



# Quiz #1

- 1. For the following logic statements, using “Yes” or “No” to answer whether they are *tautology/tautologies* or not.
  - (1)  $\neg p \rightarrow (p \rightarrow q)$
  - (2)  $(p \vee q) \wedge (\neg p \vee r) \rightarrow (q \vee r)$
- 2. For the following pairs of logic statements, using “Yes” or “No” to answer whether the two are *logically equivalent* or not.
  - (1)  $p$  and  $(p \wedge \neg q) \vee (p \wedge q)$
  - (2)  $\exists x (P(x) \rightarrow Q(x))$  and  $\forall x P(x) \rightarrow \exists x Q(x)$
- 3. Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be two functions. Use “Yes” or “No” to answer the following.
  - (1) If the composition  $g \circ f$  is a *bijection*, then (a) must  $f$  be one-to-one? (b) must  $g$  be onto?
  - (2) If  $f$  is one-to-one and  $g$  is onto, then must  $g \circ f$  be bijective?
- 4. (1) Give the negation of the statement
$$\forall n \in \mathbb{N}(n^3 + 6n + 4 \text{ is odd} \Rightarrow n \text{ is even})$$
(2) Either the original statement in (1) or its negation is true.

39 Which one is it and explain why?

# Quiz #1

- 1. For the following logic statements, using “Yes” or “No” to answer whether they are *tautology/tautologies* or not.

(1)  $\neg p \rightarrow (p \rightarrow q)$

Yes

(2)  $(p \vee q) \wedge (\neg p \vee r) \rightarrow (q \vee r)$

Yes

# Quiz #1

- 2. For the following pairs of logic statements, using “Yes” or “No” to answer whether the two are *logically equivalent* or not.

(1)  $p$       and       $(p \wedge \neg q) \vee (p \wedge q)$       Yes

(2)  $\exists x (P(x) \rightarrow Q(x))$       and       $\forall x P(x) \rightarrow \exists x Q(x)$       Yes

# Quiz #1

- 3. Let  $f : A \rightarrow B$  and  $g : B \rightarrow C$  be two functions. Use “Yes” or “No” to answer the following, and explain your answers.
- (1) If the composition  $g \circ f$  is a *bijection*, then (a) must  $f$  be one-to-one? (b) must  $g$  be onto? Yes Yes
- (2) If  $f$  is one-to-one and  $g$  is onto, then must  $g \circ f$  be bijective? No

# Quiz #1

- 4. (1) Give the negation of the statement  
 $\forall n \in \mathbb{N}(n^3 + 6n + 4 \text{ is odd} \rightarrow n \text{ is even})$   
(2) Either the original statement in (1) or its negation is true.  
Which one is it and explain why?

# Next Lecture

- counting II ...

