

# Lecture 12: File System

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# Recall: C Low level I/O

- ❖ Operations on File Descriptors – as OS object representing the state of a file
  - ❖ User has a “handle” on the descriptor

```
#include <fcntl.h>
#include <unistd.h>
#include <sys/types.h>

int open (const char *filename, int flags [, mode_t mode])
int create (const char *filename, mode_t mode)
int close (int filedes)
```

Bit vector of:

- Access modes (Rd, Wr, ...)
- Open Flags (Create, ...)
- Operating modes (Appends, ...)

Bit vector of Permission Bits:

- User|Group|Other X R|W|X

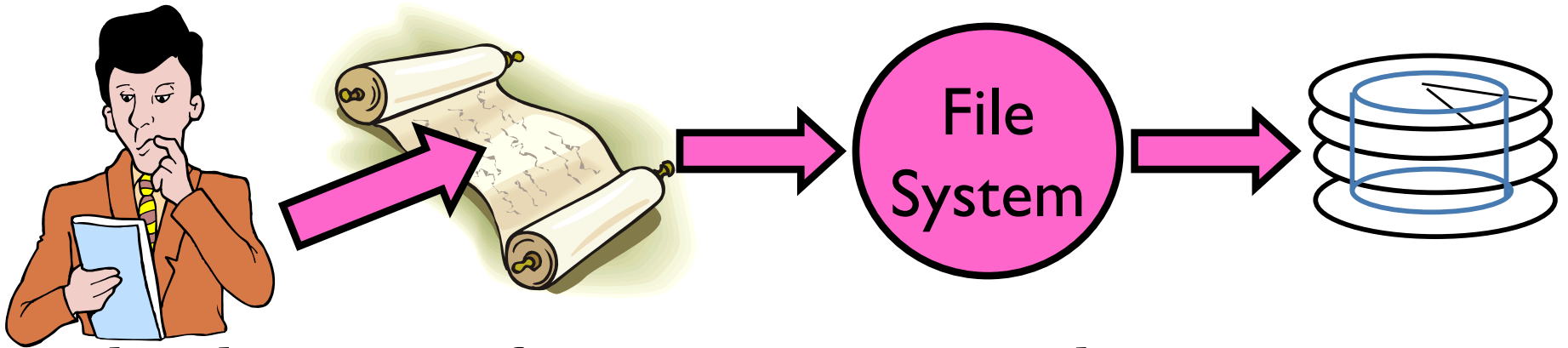
# File System

- ◆ **File System:** Layer of OS that transforms block interface of disks (or other block devices) into Files, Directories, etc.
- ◆ File System Components
  - ◆ **Naming:** Interface to find files by name, not by blocks
  - ◆ **Disk Management:** collecting disk blocks into files
  - ◆ **Protection:** Layers to keep data secure
  - ◆ **Reliability/Durability:** Keeping of files durable despite crashes, media failures, attacks, etc.

# User vs. System View of a File

- ◆ User's view:
  - ◆ Durable Data Structures
- ◆ System's view (system call interface):
  - ◆ Collection of Bytes (UNIX)
  - ◆ Doesn't matter to system what kind of data structures you want to store on disk!
- ◆ System's view (inside OS):
  - ◆ Collection of blocks (a block is a logical transfer unit, while a sector is the physical transfer unit)
  - ◆ Block size  $\geq$  sector size; in UNIX, block size is 4KB

# Translating from User to System View



- ◆ What happens if user says: give me bytes 2—12?
  - ◆ Fetch block corresponding to those bytes
  - ◆ Return just the correct portion of the block
- ◆ What about: write bytes 2—12?
  - ◆ Fetch block
  - ◆ Modify portion
  - ◆ Write out Block
- ◆ Everything inside File System is in whole size blocks
  - ◆ For example, `getc()`, `putc()`  $\Rightarrow$  buffers something like 4096 bytes, even if interface is one byte at a time
- ◆ From now on, file is a collection of blocks

# Directory

- ◆ Basically a hierarchical structure
- ◆ Each directory entry is a collection of
  - ◆ Files
  - ◆ Directories
    - ◆ A link to another entries
- ◆ Each has a name and attributes
  - ◆ Files have data
- ◆ Links (hard links) make it a DAG, not just a tree
  - ◆ Softlinks (aliases) are another name for an entry

# Directories

The screenshot shows a Windows File Explorer window with the address bar displaying the path: `thmoas > Dropbox > CS302_2019Spring > slides >`. The left sidebar shows the navigation pane with 'Dropbox' selected. The main pane displays a list of 43 items, including folders and files, with columns for Name, Date modified, Type, and Size.

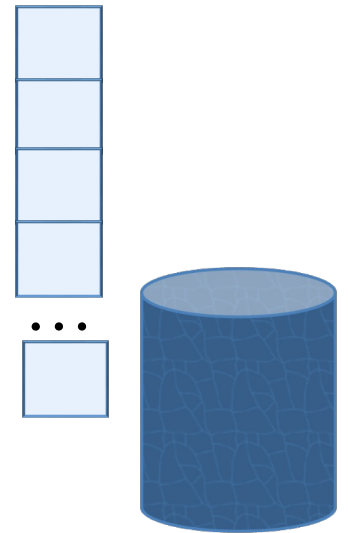
Name	Date modified	Type	Size
pop_quiz	4/3/2019 10:37 AM	File folder	
os_L01_course_info.pptx	2/20/2019 12:09 PM	Microsoft PowerP...	1,685 KB
os_L01_intro_v2.pdf	2/18/2019 1:49 PM	Adobe Acrobat D...	608 KB
os_L01_intro_v2.pptx	2/27/2019 3:40 PM	Microsoft PowerP...	1,079 KB
os_L02_concepts.pdf	3/13/2019 9:57 AM	Adobe Acrobat D...	1,228 KB
os_L02_fundamental.pdf	2/26/2019 10:28 PM	Adobe Acrobat D...	1,022 KB
os_L02_fundamental.pptx	3/13/2019 9:57 AM	Microsoft PowerP...	2,433 KB
os_L03_process_user.pdf	3/13/2019 10:51 A...	Adobe Acrobat D...	777 KB
os_L03_process_user.pptx	3/13/2019 10:50 A...	Microsoft PowerP...	1,133 KB
os_L03_process_user_1.pptx	3/13/2019 12:16 PM	Microsoft PowerP...	1,130 KB
os_L04_process_kernel.pdf	3/13/2019 11:47 A...	Adobe Acrobat D...	1,526 KB
os_L04_process_kernel.pptx	3/13/2019 12:01 PM	Microsoft PowerP...	1,321 KB
os_L05_schedule.pdf	3/20/2019 12:13 PM	Adobe Acrobat D...	1,276 KB
os_L05_schedule.pptx	3/20/2019 12:57 PM	Microsoft PowerP...	959 KB
os_L06_synchronization.pdf	4/3/2019 11:18 AM	Adobe Acrobat D...	2,781 KB
os_L06_synchronization.pptx	4/3/2019 7:29 PM	Microsoft PowerP...	3,597 KB
os_L07_deadlock.pdf	4/10/2019 11:20 A...	Adobe Acrobat D...	970 KB
os_L07_deadlock.pptx	4/10/2019 11:19 A...	Microsoft PowerP...	993 KB
os_L08_address_translation.pdf	4/17/2019 10:53 A...	Adobe Acrobat D...	1,006 KB
os_L08_address_translation.pptx	4/24/2019 10:47 A...	Microsoft PowerP...	1,897 KB
os_L09_caching.pdf	4/24/2019 12:08 PM	Adobe Acrobat D...	643 KB
os_L09_caching.pptx	4/24/2019 12:08 PM	Microsoft PowerP...	610 KB
os_L10_caching.pptx	4/25/2018 11:37 A...	Microsoft PowerP...	433 KB
os_L10_IO.pdf	5/8/2019 12:00 PM	Adobe Acrobat D...	2,167 KB
os_L10_IO.pptx	5/8/2019 11:59 AM	Microsoft PowerP...	3,335 KB
os_L10_IO_v1.pdf	5/8/2019 12:04 PM	Adobe Acrobat D...	1,831 KB
os_L10_IO_v1.pptx	5/8/2019 12:21 PM	Microsoft PowerP...	3,213 KB
os_L11_demand_paging.pptx	5/2/2018 11:48 AM	Microsoft PowerP...	740 KB
os_L11_FileSys.pdf	5/15/2019 10:44 A...	Adobe Acrobat D...	1,610 KB
os_L11_FileSys.pptx	5/15/2019 10:56 A...	Microsoft PowerP...	3,209 KB
os_L11_paging.pptx	4/5/2018 10:44 PM	Microsoft PowerP...	521 KB
os_L12_demand_paging.pptx	4/5/2018 10:45 PM	Microsoft PowerP...	563 KB
os_L12_IO.pdf	5/7/2019 10:17 PM	Adobe Acrobat D...	1,301 KB
os_L12_IO.pptx	5/16/2018 5:34 PM	Microsoft PowerP...	2,261 KB
os_L13_IO.pptx	5/9/2018 10:12 AM	Microsoft PowerP...	8,817 KB
os_L13_Storage.pdf	5/7/2019 10:18 PM	Adobe Acrobat D...	802 KB
os_L13_Storage.pptx	5/16/2018 5:34 PM	Microsoft PowerP...	1,208 KB
os_L14_FileSys.pptx	5/30/2018 11:02 A...	Microsoft PowerP...	3,169 KB
os_L15_FileSys.pptx	4/5/2018 10:46 PM	Microsoft PowerP...	1,069 KB
os_L15_FileSys-IL.pptx	6/13/2018 11:04 A...	Microsoft PowerP...	8,035 KB
os_L016_revision.pptx	6/13/2018 1:18 PM	Microsoft PowerP...	3,065 KB
os_L16_FileSysIL.pptx	4/5/2018 10:46 PM	Microsoft PowerP...	1,155 KB
os_signal.pptx	3/21/2018 11:04 A...	Microsoft PowerP...	666 KB

43 items

# File

- ◆ Named permanent storage
- ◆ Contains
  - ◆ Data
    - ◆ Blocks on disk somewhere
  - ◆ Metadata (Attributes)
    - ◆ Owner, size, last opened, ...
    - ◆ Access rights
      - ◇ R, W, X
      - ◇ Owner, Group, Other (in Unix systems)
      - ◇ Access control list in Windows system

Data blocks





# Disk Management Policies (1/2)

- ◆ Basic entities on a disk:
  - ◆ **File**: user-visible group of blocks arranged sequentially in logical space
  - ◆ **Directory**: user-visible index mapping names to files
- ◆ Access disk as linear array of sectors.
  - ◆ Two Options:
    - ◆ Identify sectors as vectors [cylinder, surface, sector], sort in cylinder-major order, not used anymore
    - ◆ **Logical Block Addressing (LBA)**: Every sector has integer address from zero up to max number of sectors
  - ◆ Controller translates from address  $\Rightarrow$  physical position
    - ◆ First case: OS/BIOS must deal with bad sectors
    - ◆ Second case: hardware shields OS from structure of disk

# Disk Management Policies (2/2)

- ◆ Need way to track free disk blocks
  - ◆ Link free blocks together  $\Rightarrow$  too slow today
  - ◆ Use bitmap to represent free space on disk
- ◆ Need way to structure files: **File Header**
  - ◆ Track which blocks belong at which offsets within the logical file structure
  - ◆ **Optimize placement of files' disk blocks to match access and usage patterns**

# File System

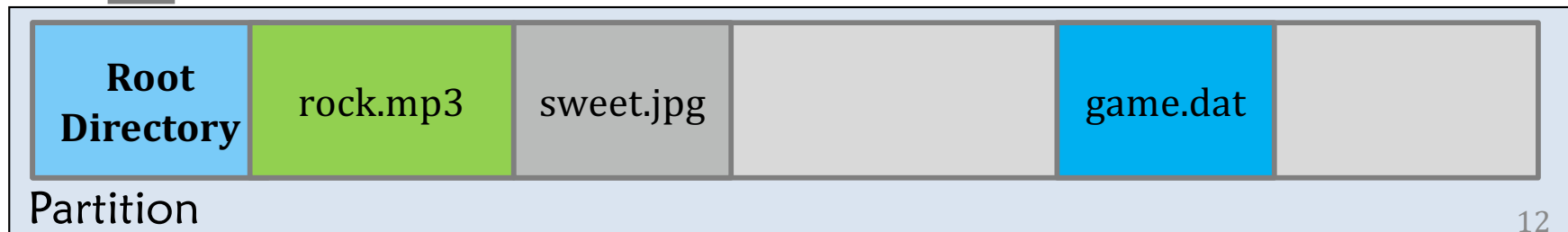
- ◆ Layout
  - ◆ contiguous allocation
  - ◆ linked allocation
  - ◆ inode allocation

# Contiguous allocation – basics

Locate files easily.

Free space is here

Filename	Starting Address	Size
rock.mp3	100	1900
sweet.jpg	2001	1234
game.dat	5000	1000



# Contiguous allocation – basics

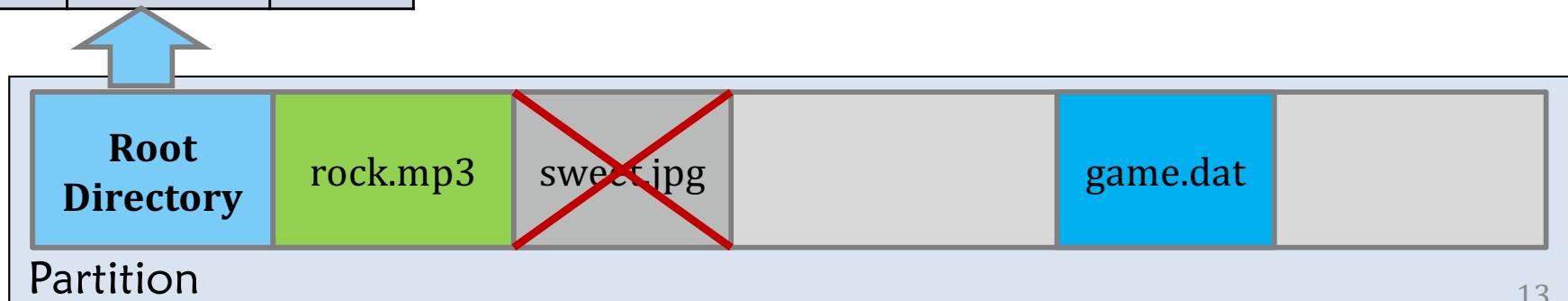
**File deletion** is easy! Space de-allocation is the same as updating the root directory!

Yet, how about file creation?

Filename	Starting Address	Size
rock.mp3	100	1900
<del>sweet.jpg</del>	<del>2001</del>	<del>1234</del>
game.dat	5000	1000



Filename	Starting Address	Size
rock.mp3	100	1900
game.dat	5000	1000

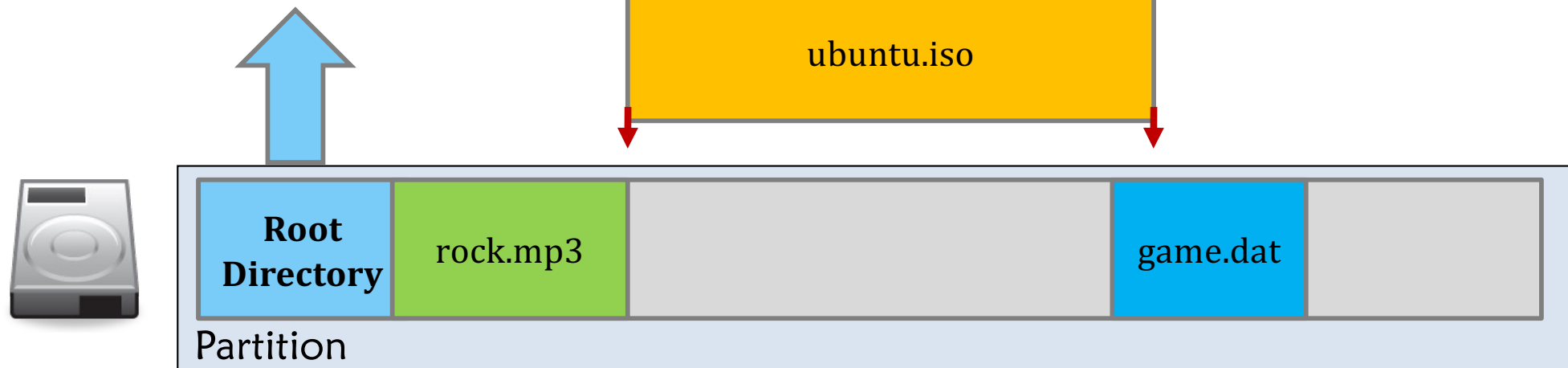


# Contiguous allocation – basics

Really BAD! We have enough space, but there is no holes that I can satisfy the request. The name of the problem is called:

**External Fragmentation**

Filename	Starting Address	Size
rock.mp3	100	1900
game.dat	5000	1000

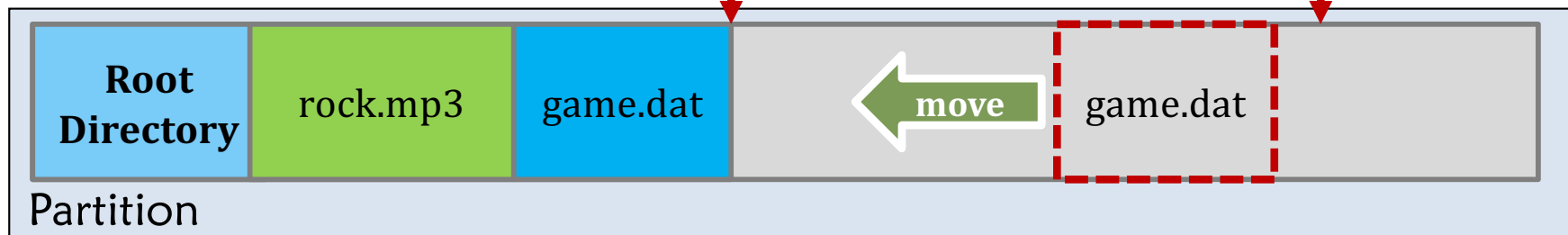


# Contiguous allocation – basics

**Defragmentation process** may help!

You know, this is very expensive as you're working on disks.

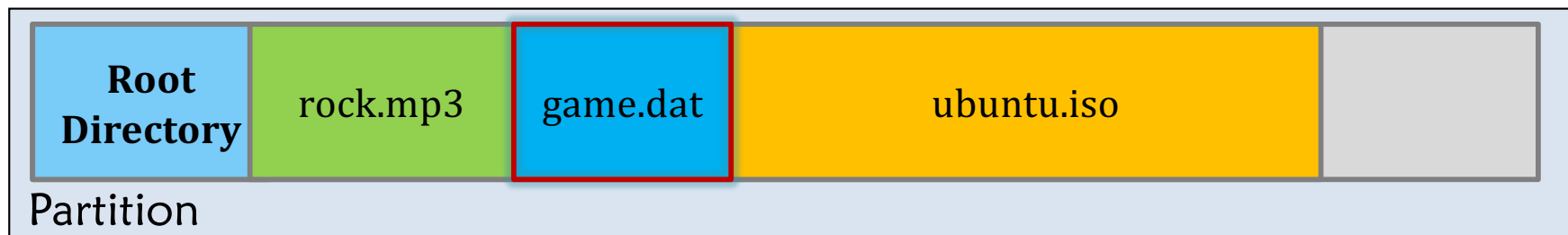
Filename	Starting Address	Size
rock.mp3	100	1900
<b>game.dat</b>	<b>2001</b>	<b>1000</b>
<b>ubuntu...</b>	<b>3001</b>	<b>9000</b>



# Contiguous allocation – basics

Filename	Starting Address	Size
rock.mp3	100	1900
game.dat	2001	1000
ubuntu...	3001	9000

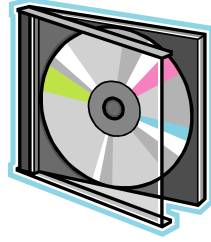
Growth problem!





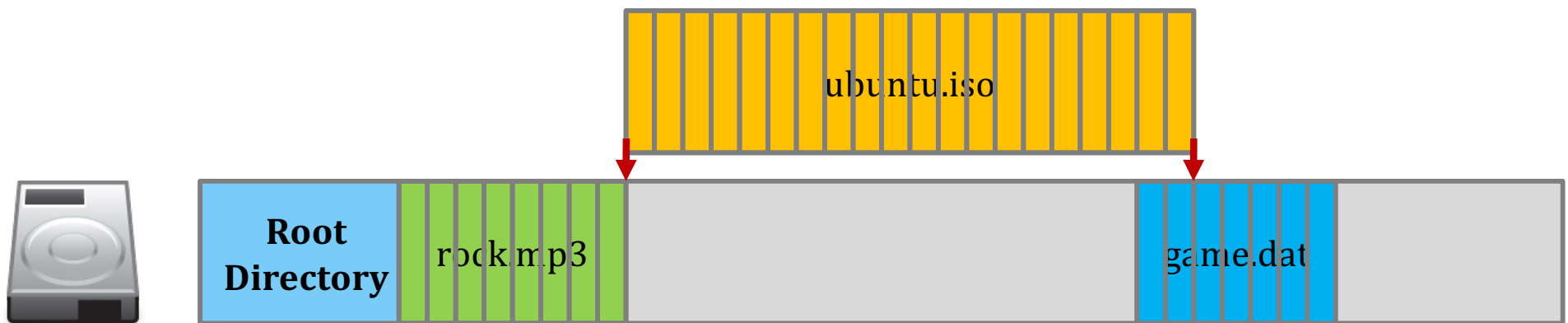
# Contiguous allocation – application?

- ◆ ISO 9660
- ◆ CD-ROM
  - ◆ .iso image



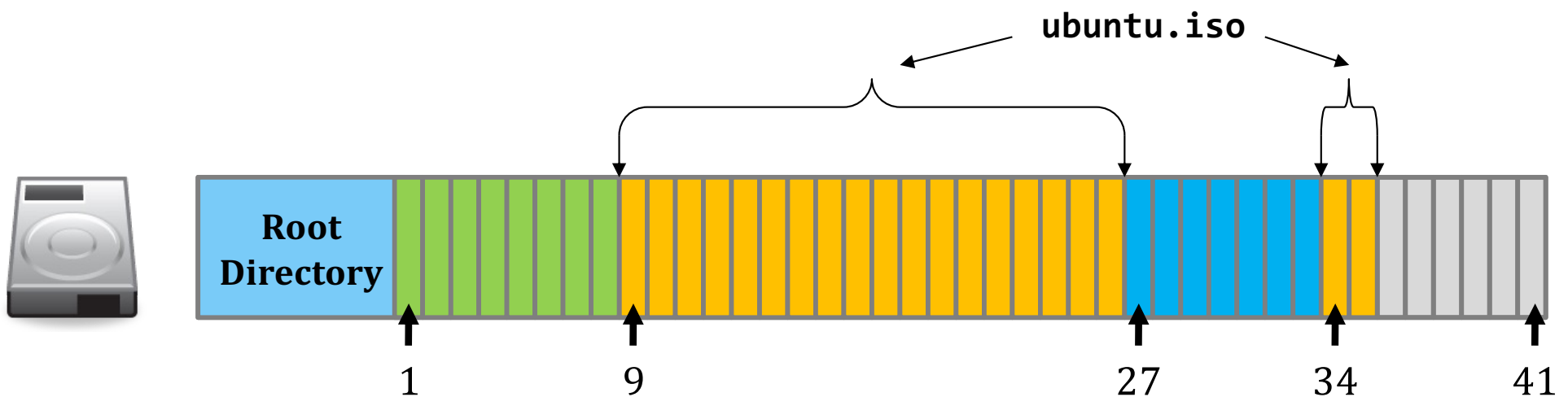
# Linked allocation

- ◆ Let's borrow the idea from linked list...
- ◆ Step (1) Chop the storage device and data into **equal-sized blocks**.



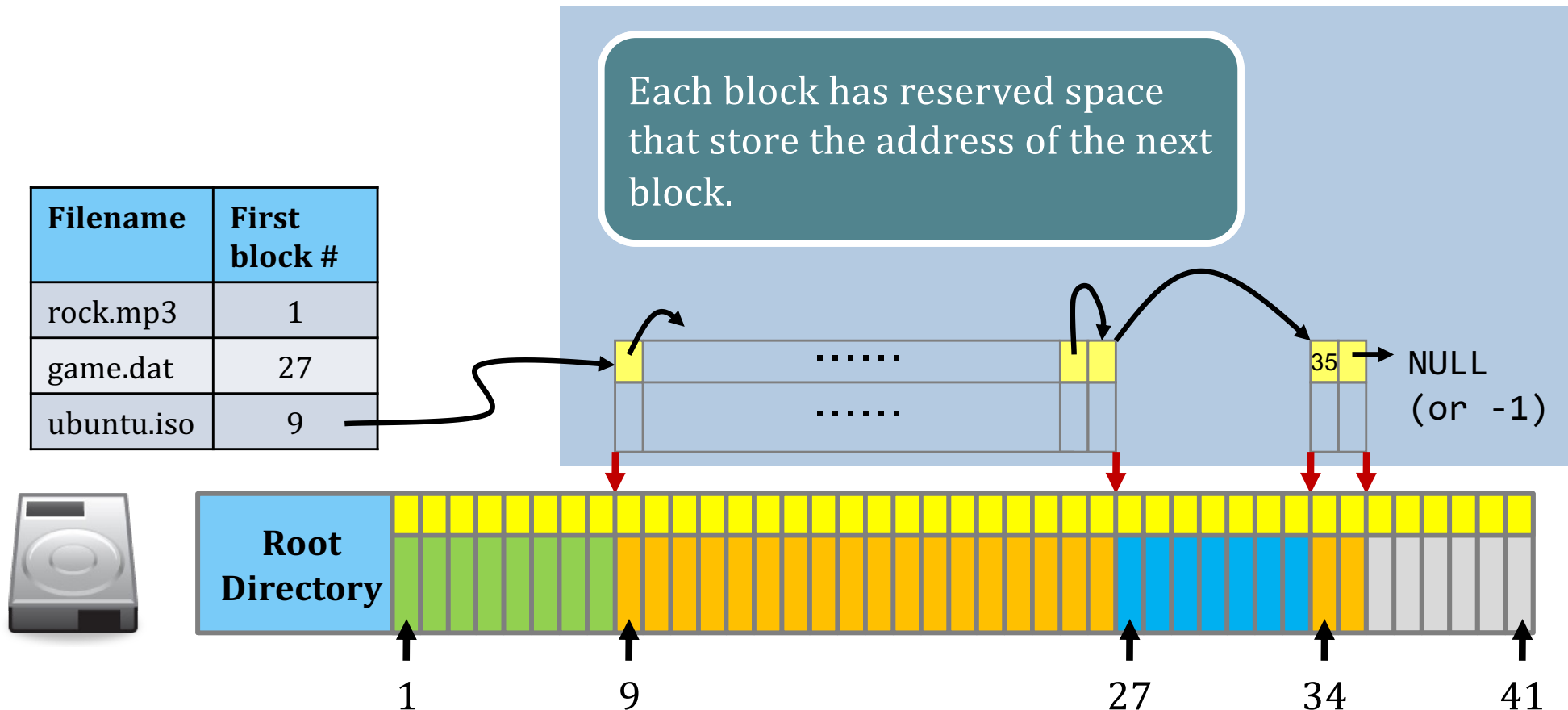
# Linked allocation

- ◆ Let's borrow the idea from the linked list ...
  - ◆ Step (1) Chop the storage device into **equal-sized blocks**.
  - ◆ Step (2) Fill the empty space in a **block-by-block** manner.



# Linked allocation

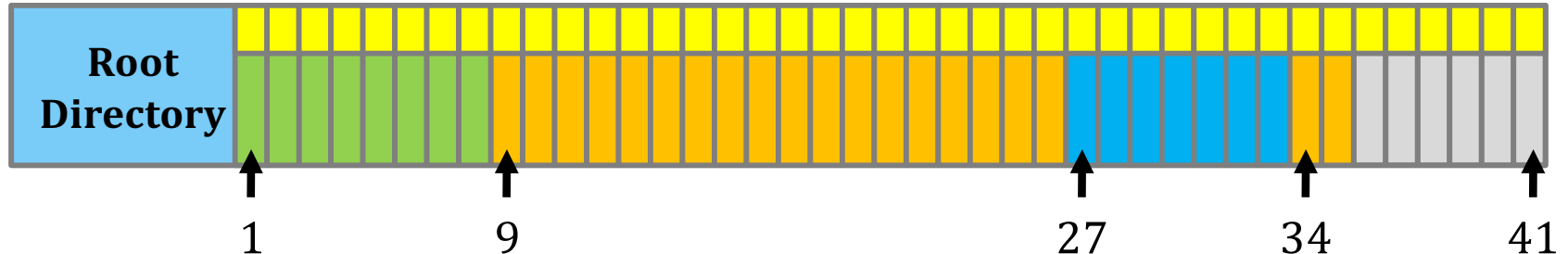
- ◆ Leave **4 bytes from each block** as the “pointer”
  - ◆ To write the block # of the next block into the first 4 bytes of each block.



# Linked allocation

- ◆ Also keep the file size in the root directory table
  - ◆ To facilitate “ls -l” that lists the file size of each file
  - ◆ (otherwise needs to live counting how many blocks each file has)

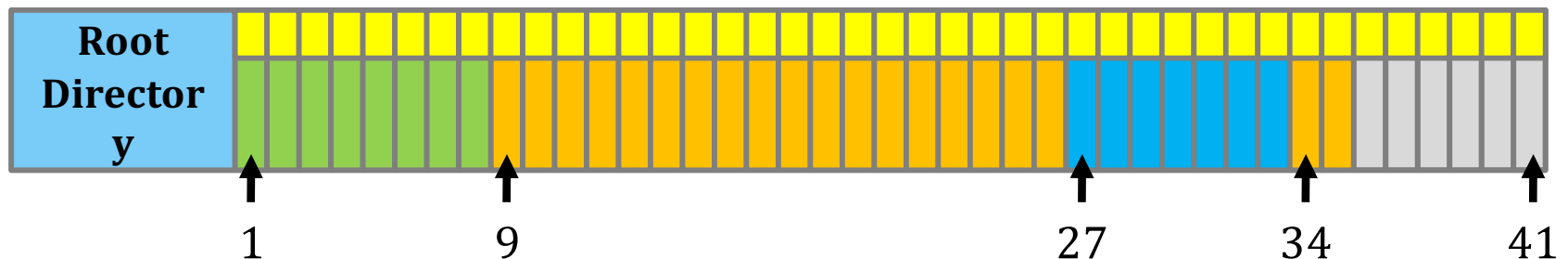
Filename	First block #	Size
rock.mp3	1	1900
game.dat	27	1000
ubuntu.iso	9	9000



# Linked allocation

- ◆ So, how would you grade this file system?
  - ◆ External fragmentation?
  - ◆ File growth?

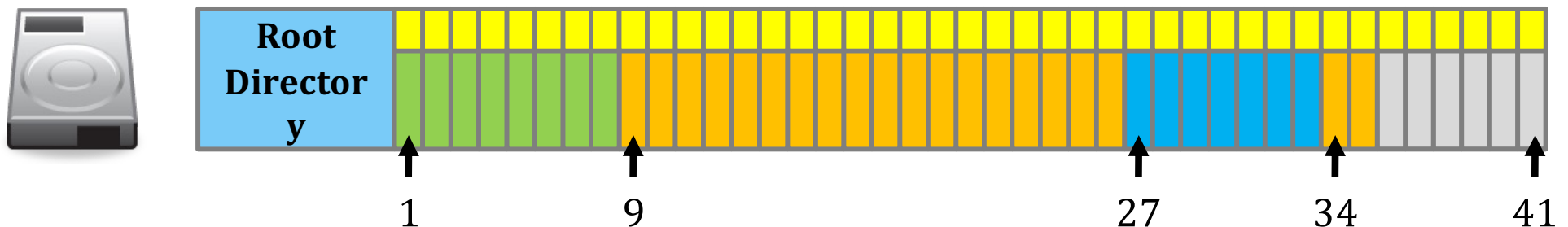
Filename	First block #	Size
rock.mp3	1	1900
game.dat	27	1000
ubuntu.iso	9	9000



# Linked allocation

## ◆ Internal Fragmentation.

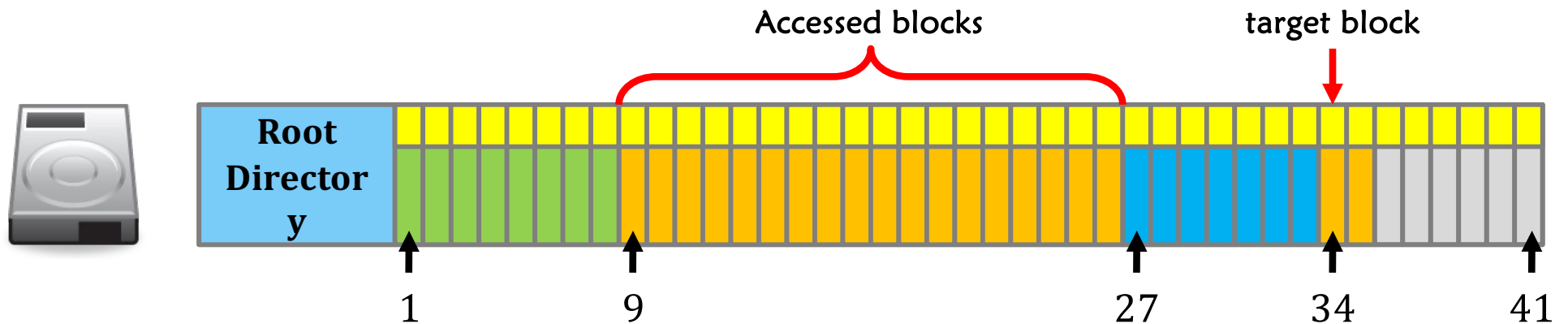
- ◆ A file is not always a multiple of the block size.
  - ◆ The last block of a file may not be **fully filled**.
  - ◆ E.g., a file of size 1 byte still occupies one block.
- ◆ The remaining space will be wasted since no other files can be allowed to fill such space.



# Linked allocation

## ❖ **Poor random access performance.**

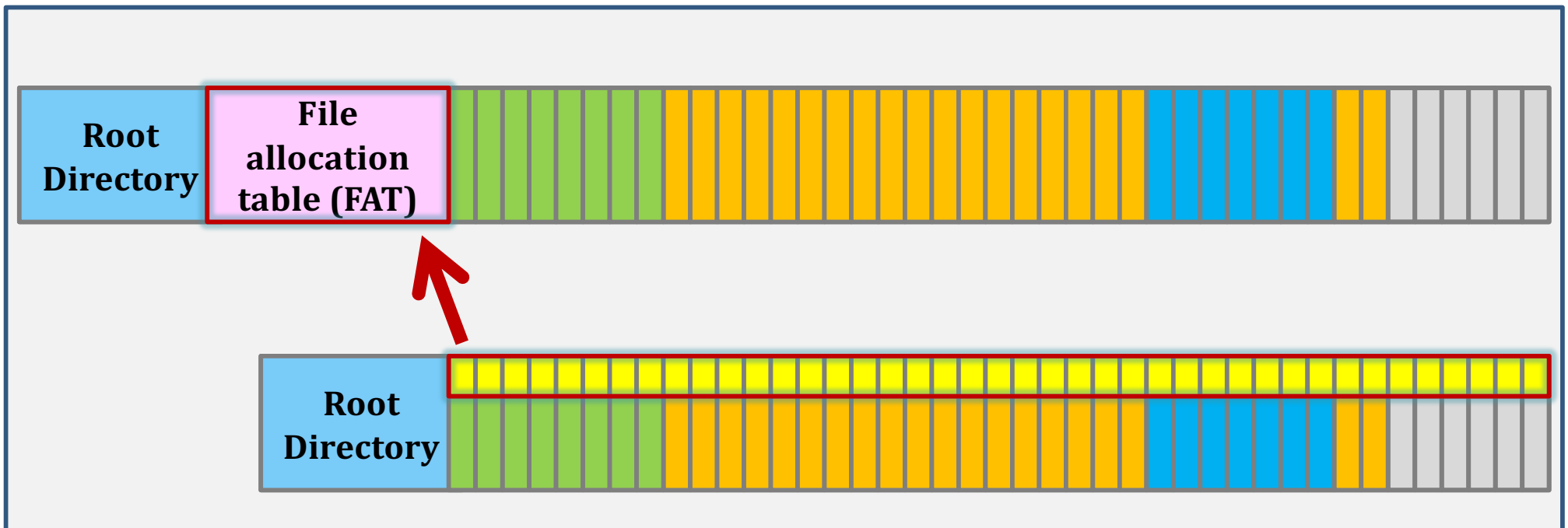
- ❖ What if I want to access the 2019-th block of ubuntu.iso?
- ❖ **You have to access blocks 1 – 2018 of ubuntu.iso until the 2019-th block**





# FAT

- ◆ Centralize all the block links as File Allocation Table



# FAT

Task: read “ubuntu.iso” sequentially.

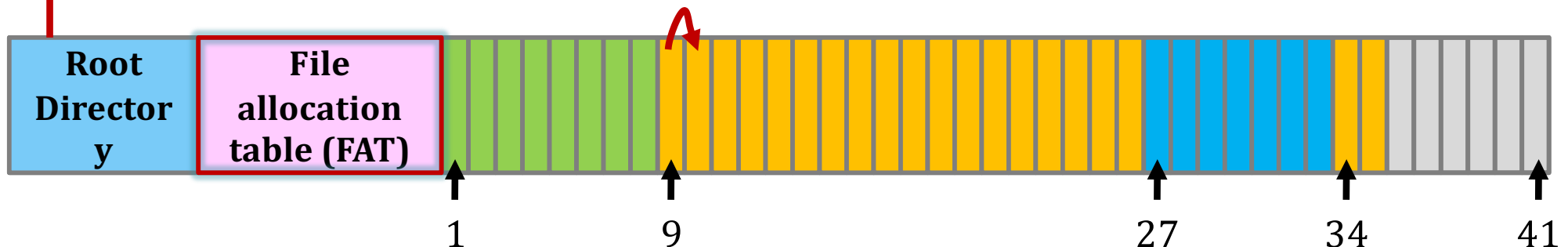
Filename	First block #	Size
rock.mp3	1	1900
game.dat	27	1000
ubuntu.iso	9	9000

**Step 1.** Read the root directory and retrieve the **first block number**.

**Step 2.** Read the FAT to determine the location of next block.

File allocation table (FAT)

Block #	1	...	7	8	9	...	26	27	...	32	33	34	35	...	41
Next Block #	2	...	8	-1	10	...	34	28	...	33	-1	35	-1	...	0



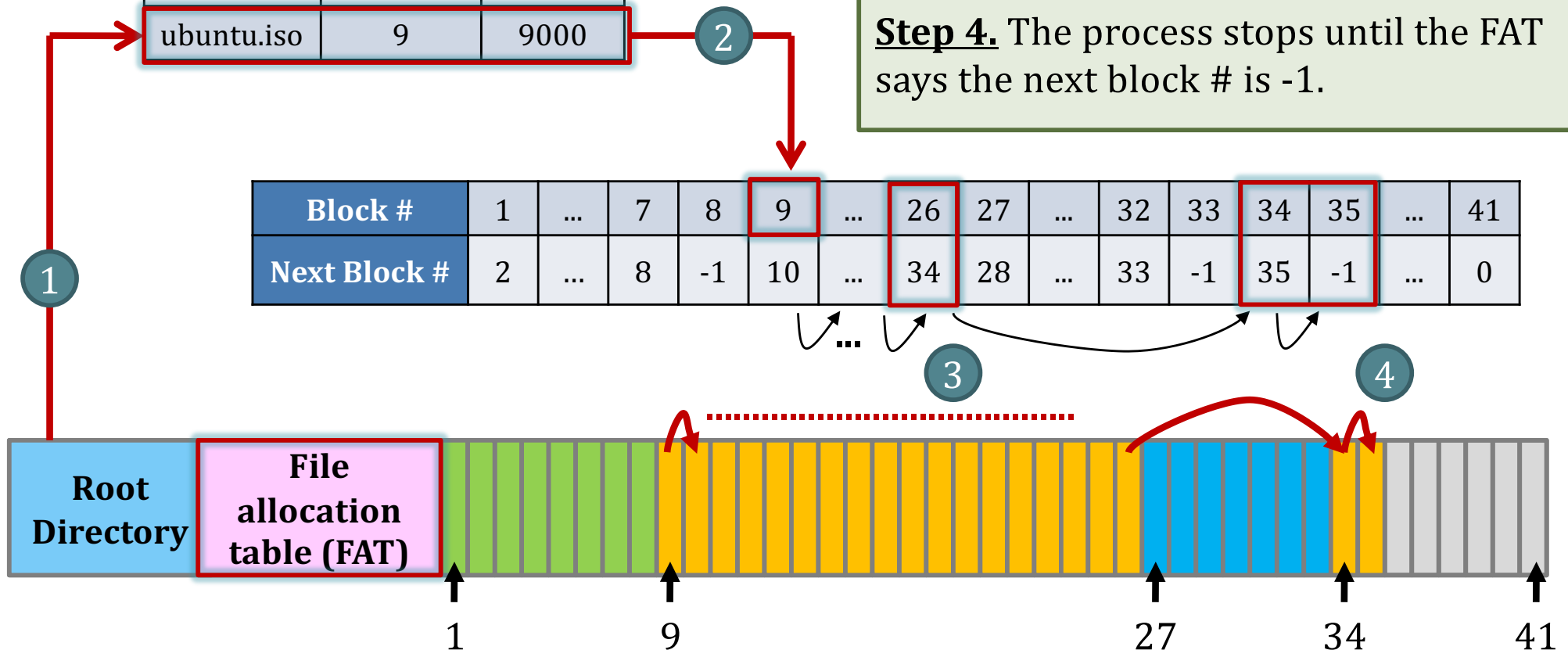
# FAT

**Task: read “ubuntu.iso” sequentially.**

Filename	First block #	Size
rock.mp3	1	1900
game.dat	27	1000
ubuntu.iso	9	9000

**Step 3.** After reading the 2<sup>nd</sup> block, the process continues. Note that the blocks **may not be contiguously allocated.**

**Step 4.** The process stops until the FAT says the next block # is -1.

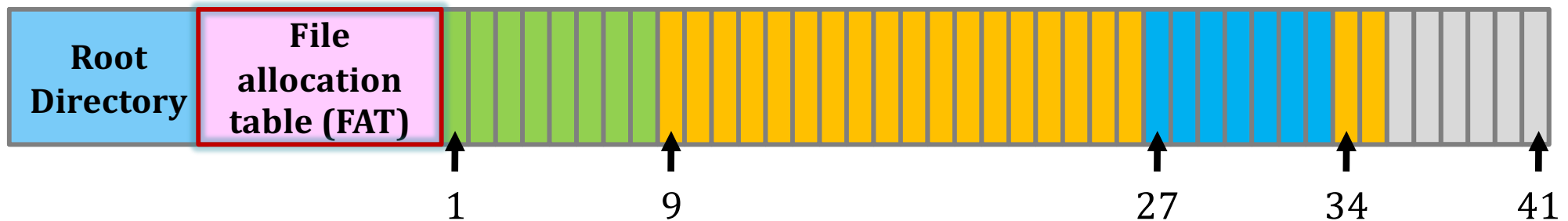


# FAT

Resulting layout & file allocation.

Filename	First block #	Size
rock.mp3	1	1900
game.dat	27	1000
ubuntu.iso	9	9000

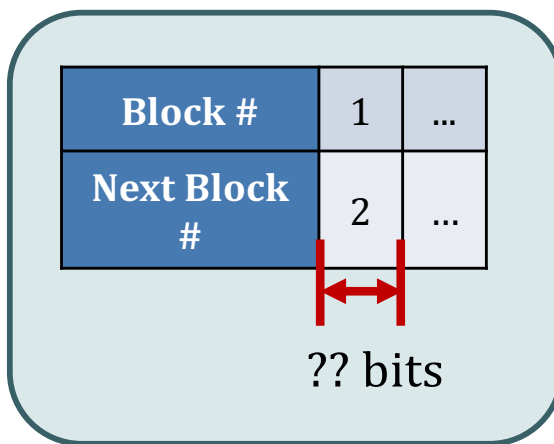
Block #	1	...	7	8	9	...	26	27	...	32	33	34	35	...	41
Next Block #	2	...	8	-1	10	...	34	28	...	33	-1	35	-1	...	0



# FAT



- ◆ Start from floppy disk and DOS
- ◆ On DOS, a block is called as a '**cluster**'
- ◆ E.g., FAT12
  - ◆ 12-bit cluster address
  - ◆ Can point up to  $2^{12} = 4096$  blocks



	FAT12	FAT16	FAT32
Cluster address length	12 bits	16 bits	28 bits
Number of clusters	$2^{12}$ (4,096)	$2^{16}$ (65,536)	$2^{28}$

MS reserves 4 bits (but nobody eventually used those)

# FAT

## ◆ Size of a block (cluster):

Available block sizes (bytes)								
512	1K	2K	8K	16K	32K	64K	128K	256K

block size: 32KB

block address: 28 bits

E.g.,

File system  
size.

$$\begin{aligned}(32 \times 2^{10}) \times 2^{28} &= 2^5 \times 2^{10} \times 2^{28} \\ &= 2^{43} \quad (8 \text{ TB})\end{aligned}$$

**\* but MS deliberately set its formatting tool to format it up to 32GB only to lure you to use NTFS**

# FAT series – layout overview

	Propose	Size
Reserved sectors	<b>Boot sector</b>	FS-specific parameters
	<b>FSINFO</b>	Free-space management
	<b>More reserved sectors</b>	Optional
	<b>FAT (2 pieces)</b>	1 copy as backup
	<b>Root directory</b>	Start of the directory tree.
		Variable, can be changed during formatting
		Variable, depends on disk size and cluster size.
		At least one cluster, depend on the number of directory entries.



A FAT partition

# FAT series – directory traversal

**Step (1)** Read the directory file of the root directory starting from **Cluster #2**.

“C:\windows” starts from Cluster #123.

```
c:\> dir c:\windows
```

```
.....
```

```
06/13/2007  1,033,216  gamedata.dat
```

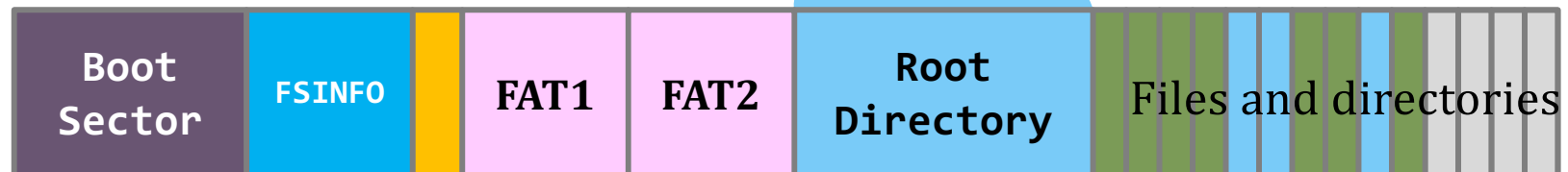
```
08/04/2004    69,120  notepad.exe
```

```
.....
```

```
c:\> _
```

Cluster #2		
Filename	Attributes	Cluster #
.	.....	.....
..	.....	.....
.....	.....	.....
windows	.....	123

A directory entry





# FAT series – directory traversal

**Step (2)** Read the directory **file** of the “C:\windows” starting from **Cluster #123**.

```
c:\> dir c:\windows
.....
06/13/2007  1,033,216   gamedata.dat
08/04/2004    69,120   notepad.exe
.....
c:\> _
```

Cluster #123		
Filename	Attributes	Cluster #
.	.....	.....
..	.....	.....
.....	.....	.....
notepad.exe	.....	456



# FAT series – directory entry

- ◆ A 32-byte directory entry in a directory file
- ◆ A directory entry is describing a file (or a sub-directory) under a particular directory

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	remaining characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Filename	Attributes	Cluster #
explorer.dat	.....	32

0	e	x	p	l	o	r	e	r	7
8	e	x	e	...	...	...	...	...	15
16	...	...	...	...	00	00	...	...	23
24	...	...	20	00	00	C4	0F	00	31

**Note.** This is the 8+3 naming convention.

8 characters for name +  
3 characters for file extension

# FAT series – directory entry

## ◆ The 1<sup>st</sup> block address of that file

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	7+3 characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Filename	Attributes	Cluster #
explorer.dat	.....	32

0	e	x	p	l	o	r	e	r	7
8	e	x	e	...	...	...	...	...	15
16	...	...	...	...	00	00	...	...	23
24	...	...	20	00	00	C4	0F	00	31

# FAT series – directory entry

- ◆ Directory entry is just a structure.

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	7+3 characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Filename	Attributes	Cluster #
explorer.dat	.....	32

0	e	x	p	l	o	r	e	r	7
8	e	x	e	...	...	...	...	...	15
16	...	...	...	...	00	00	...	...	23
24	...	...	20	00	00	C4	0F	00	31

**So, what is the largest size of a FAT32 file?**

**4G - 1 bytes**

Bounded by the file size attribute!

**Why “- 1”?**

- Imagine 3 bits: 000, 001, ..., 110, 111
- Largest number is 111 =  $2^3 - 1$
- i.e., we also need to represent “0 bytes”

# FAT series – LFN directory entry

## ◆ LFN: Long File Name.

- ◆ In old days, Uncle Bill set the rule that every file should follow the 8+3 naming convention.

## ◆ To support LFN

- ◆ Abuse directory entries to store the file name!
- ◆ Allow to use up to 20 entries for one LFN



Directory file
LFN ...
LFN #2
LFN #1
Normal Entry

Each LFN entry represents 13 characters in Unicode, i.e., 2 bytes per character. Yet, the sequence is upside-down!

A normal directory entry is **still** there.

# FAT series – LFN directory entry

## ❖ Normal directory entry vs LFN directory entry

Bytes	Description
0-0	1 <sup>st</sup> character of the filename (0x00 or 0xe5 means unallocated)
1-10	7+3 characters of filename + extension.
11-11	File attributes (e.g., read only, hidden)
12-12	Reserved.
13-19	Creation and access time information.
20-21	High 2 bytes of the first cluster address (0 for FAT16 and FAT12).
22-25	Written time information.
26-27	Low 2 bytes of first cluster address.
28-31	File size.

Bytes	Description
0-0	Sequence Number
1-10	File name characters (5 characters in Unicode)
11-11	File attributes - always 0x0F (to indicate it is a LFN)
12-12	Reserved.
13-13	Checksum
14-25	File name characters (6 characters in Unicode)
26-27	Reserved
28-31	File name characters (2 characters in Unicode)

# FAT series – LFN directory entry

◆ Filename:

**“I\_love\_the\_operating\_system\_course.txt”.**

Byte 11 is always 0x0F to indicate that is a LFN.

LFN #3	436d 005f 0063 006f 0075 000f 0040 7200	Cm._.c.o.u...@r.
	7300 6500 2e00 7400 7800 0000 7400 0000	s.e...t.x...t...
LFN #2	0265 0072 0061 0074 0069 000f 0040 6e00	.e.r.a.t.i...@n.
	6700 5f00 7300 7900 7300 0000 7400 6500	g._.s.y.s...t.e.
LFN #1	0149 005f 006c 006f 0076 000f 0040 6500	.I._.l.o.v...@e.
	5f00 7400 6800 6500 5f00 0000 6f00 7000	_.t.h.e._...o.p.
Normal	495f 4c4f 5645 7e31 5458 5420 0064 b99e	I_LOVE~1TXT .d..
	773d 773d 0000 b99e 773d 0000 0000 0000	w=w=....w=.....

# FAT series – 1 directory entry can hold

This is the sequence number, and they are arranged in descending order.

The terminating directory entry has the sequence number **OR-ed with 0x40**.

S

## Directory file

LFN #3: "m_cou" "rse.tx" "t"
LFN #2: "erati" "ng_sys" "te"
LFN #1: "I_lov" "e_the_" "op"
Normal Entry

LFN #3	43	6d	005f	0063	006f	0075	000f	0040	7200	Cm._.c.o.u...@r.
	7300	6500	2e00	7400	7800	0000	7400	0000		s.e...t.x...t...
LFN #2	02	65	0072	0061	0074	0069	000f	0040	6e00	.e.r.a.t.i...@n.
	6700	5f00	7300	7900	7300	0000	7400	6500		g._.s.y.s...t.e.
LFN #1	01	49	005f	006c	006f	0076	000f	0040	6500	.I._.l.o.v...@e.
	5f00	7400	6800	6500	5f00	0000	6f00	7000		_.t.h.e._...o.p.
Normal	495f	4c4f	5645	7e31	5458	5420	0064	b99e		I_LOVE~1TXT .d..
	773d	773d	0000	b99e	773d	0000	0000	0000		w=w=....w=.....



# FAT series – directory entry: a short summary

- ◆ A directory is an extremely important part of a FAT-like file system.
  - ◆ It stores the start cluster number.
  - ◆ It stores the file size; without the file size, how can you know when you should stop reading a cluster?
  - ◆ It stores **all file attributes**.

# FAT series – reading a file

Task: read “C:\windows\gamedata.dat” sequentially.

FAT1

0	...
1	...
...	...
32	33
33	EOF
34	0
35	0

Damaged	= 0x0fffffff7
EOF	>= 0x0fffffff8
Unallocated	= 0x0

Filename	Attributes	Cluster #
gamedata.dat	.....	32

**Step 1.** Read the content from Cluster #32.  
Note. The **file size** may also help determining if the last cluster is reached.

**Step 2.** Look for the next cluster and it is Cluster #33.



# FAT series – reading a file

Task: read “C:\windows\gamedata.dat” sequentially.

0	...
1	...
...	...
32	33
33	EOF
34	0
35	0

Filename	Attributes	Cluster #
gamedata.dat	.....	32

**Step 3.** Since the FAT has marked “EOF”, we have reached the last cluster of that file.

Note. The file size help determining **how many bytes to read** from the last cluster.



# FAT series – appending a file

**Task:** append data to “C:\windows\gamedata.dat”.

0	...
1	...
...	...
32	33
33	EOF
34	0
35	0

Filename	Attributes	Cluster #
gamedata.dat	.....	32

**Step 1.** Locate the last cluster.

**Step 2.** Start writing to the non-full cluster.



# FAT series – appending a file

**Task:** append data to “C:\windows\gamedata.dat”.

0	...
1	...
...	...
32	33
33	EOF
34	0
35	0

FSINFO	
# of free clusters	4
Next free cluster #	34

Filename	Attribute s	Cluster #
gamedata.dat	.....	32

**Step 3.** Allocate the next cluster through FSINFO.



# FAT series – appending a file

**Task:** append data to “C:\windows\gamedata.dat”.

0	...
1	...
...	...
32	33
33	34
34	EOF
35	0

FSINFO	
# of free clusters	3
Next free cluster #	35

Filename	Attribute s	Cluster #
gamedata.dat	.....	32

**Step 3.** Allocate the next cluster through FSINFO.

**Step 4.** Update the FATs and FSINFO.

**Step 5.** When write finishes, update the file size.



# FAT series – appending a file

Task: append data to “C:\windows\gamedata.dat”.

0	...
1	...
...	...
32	33
33	34
34	EOF
35	0

Filename	Attribute s	Cluster #
gamedata.dat	.....	32

The search for the next free cluster is a circular, next-available search.

Good for **spatial locality**

FSINFO	
# of free clusters	3
Next free cluster #	35



# FAT series – delete a file

Task: delete “C:\windows\gamedata.dat”.

0	...
1	...
...	...
32	33
33	34
34	EOF
35	0



0	...
1	...
...	...
32	0
33	0
34	0
35	0

Filename	Attributes	Cluster #
gamedata.dat	.....	32

**Step 1.** De-allocate all the blocks involved. Update FSINFO and FATs.

FSINFO	
# of free clusters	3
Next free cluster #	35



FSINFO	
# of free clusters	6
Next free cluster #	32





# FAT series – delete a file

Task: delete “C:\windows\gamedata.dat”.

Directory “windows”		
Filename	Attributes	Cluster #
.	.....	?
..	.....	?
_amedata.dat	.....	32
notepad.exe	.....	456

**Step 2.** Change the first byte of the directory entry to \_ (0xE5)

**That's the end of deletion!**



# FAT series – really delete a file?

- ◆ Can you see that: **the file is not really removed from the FS layout?**
  - ◆ Perform a search in all the free space. Then, you will find all deleted file contents.
- ◆ “*Deleted data*” persists until the de-allocated clusters **are reused**.
  - ◆ This is an issue between performance (during deletion) and security.
- ◆ Any way(s) to delete a file **securely**?

# FAT series – how to recover a deleted file?

- ◆ If you really care about the deleted file, then...
  - ◆ **PULL THE POWER PLUG AT ONCE!**
  - ◆ Pulling the power plug stops the target clusters from being over-written.

**File size is within one block (cluster)**

Because **the first cluster address** in the directory entry is still readable, the recovery is having a very high successful rate.

**File size spans more than 1 block**

Because of the next-available search, clusters of a file are likely to be contiguous allocated. This provides a hint in looking for deleted blocks.

# FAT series – conclusion

- ◆ Space efficient:
  - ◆ 4 bytes overhead (FAT entry) per data cluster.
- ◆ Delete:
  - ◆ Lazy delete efficient
  - ◆ Insecure
    - ◆ designed for single-user 20+ years ago
- ◆ Deployment: (FAT32 and FAT12)
  - ◆ It is everywhere: CF cards, SD cards, USB drives
- ◆ Search:
  - ◆ Block addresses of a file may scatter discontinuously
  - ◆ To locate the 888-th block of a file?
    - ◆ Start from the first FAT entry and follow 888 pointers
- ◆ The most commonly used **filesystem** in the world

# Designing a File System ...

- ◆ What factors are critical to the design choices?
- ◆ Durable data store => it's all on disk
- ◆ (Hard) Disks Performance !!!
  - ◆ Maximize sequential access, minimize seeks
- ◆ Open before Read/Write
  - ◆ Can perform protection checks and look up where the actual file resource are, in advance
- ◆ Size is determined as they are used !!!
  - ◆ Can write (or read zeros) to expand the file
  - ◆ Start small and grow, need to make room
- ◆ Organized into directories
  - ◆ What data structure (on disk) for that?
- ◆ Need to allocate / free blocks
  - ◆ Such that access remains efficient

# Summary

## ◆ File System:

- ◆ Transforms blocks into Files and Directories
- ◆ Optimize for access and usage patterns
- ◆ Maximize sequential access, allow efficient random access


## ◆ File Allocation Table (FAT) Scheme

- ◆ Linked-list approach
- ◆ Very widely used: Cameras, USB drives, SD cards
- ◆ Simple to implement, but poor performance and no security

# Unix File System

- ◆ Original iNode format appeared in BSD 4.1
  - ◆ Berkeley Standard Distribution Unix
  - ◆ Similar structure for Linux Ext2/3
- ◆ File Number is index of iNode arrays
- ◆ Multi-level index structure
  - ◆ Great for little and large files
  - ◆ Unbalanced tree with fixed sized blocks
- ◆ Metadata associated with the file
  - ◆ Rather than in the directory that points to it
- ◆ Scalable directory structure

# iNode

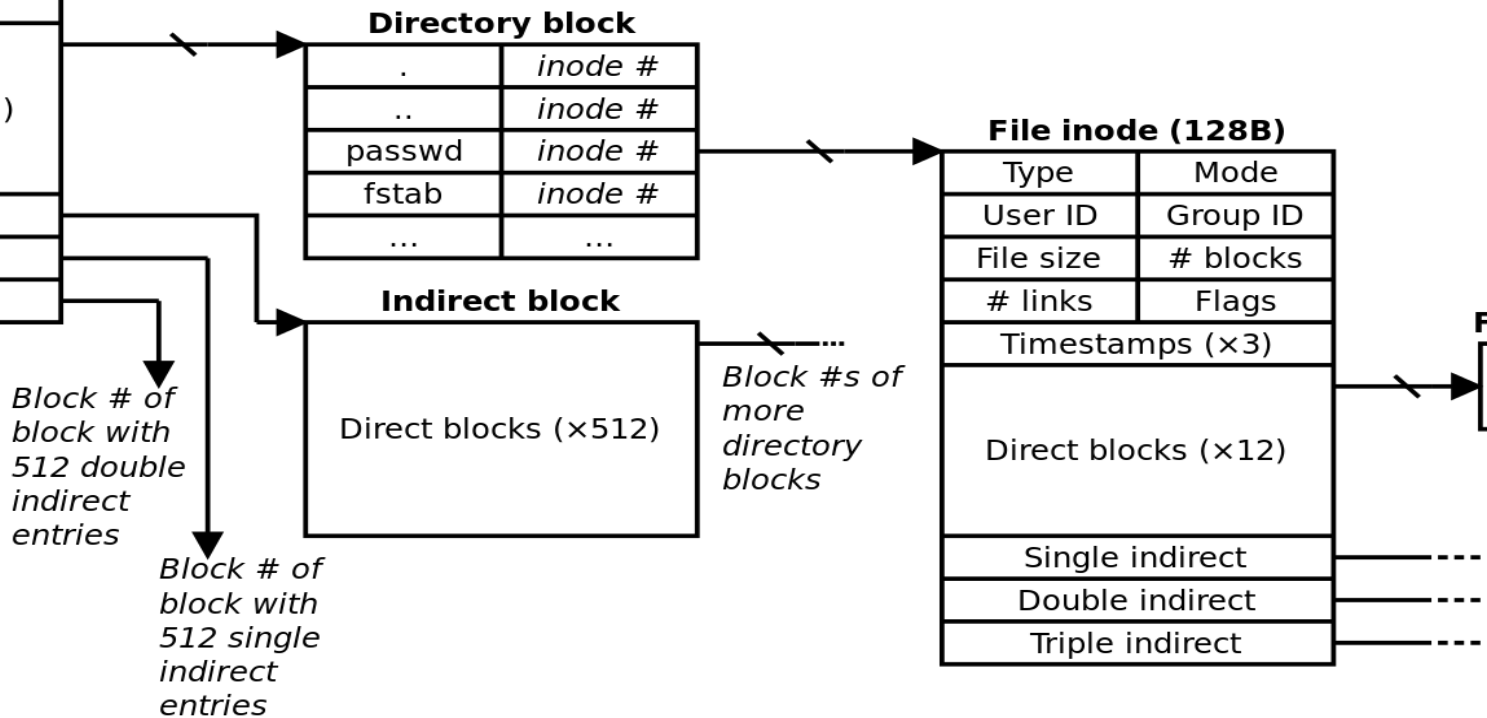
- ❖ All pointers of a file are located together
    - ❖ **VS. FAT: pointers of a file are**
  - ❖ One directory/file has one iNode
- 
- The diagram shows a vertical rectangle representing an iNode. It is divided into two horizontal sections. The top section is labeled 'Block #' and the bottom section is labeled 'Next Block #'. A green arrow points from the 'Next Block #' section to the right, indicating a chain of blocks.

The diagram shows a sequence of blocks with indices 1 to 41. The sequence is divided into three main sections: a green section (blocks 1-8), an orange section (blocks 9-34), and a blue section (blocks 27-35). The orange section is further divided into three sub-sections: blocks 9-10, blocks 11-26, and blocks 27-34. The blue section is divided into two sub-sections: blocks 27-33 and blocks 34-35. The sequence is annotated with 'gather' and 'scatter' operations. A green arrow points to block 7, and a red arrow points to block 9. A blue arrow points to block 27. An orange arrow points from block 34 to block 9. The word 'gather' is written in green above the green section, and the word 'scatter' is written in orange above the orange section. The word 'gather' is also written in blue above the blue section.

Block #	1	...	7	8	9	...	26	27	...	32	33	34	35	...	41
Next Block #	2	...	8	-1	10	...	34	28	...	33	-1	35	-1	...	0

## Directory inode (128B)

Type	Mode
User ID	Group ID
File size	# blocks
# links	Flags
Timestamps (×3)	
Direct blocks (×12)	
Single indirect	
Double indirect	
Triple indirect	



File inode (128B)	
Type	Mode
User ID	Group ID
File size	# blocks
# links	Flags
Timestamps (×3)	
Direct blocks (×12)	
Single indirect	
Double indirect	
Triple indirect	

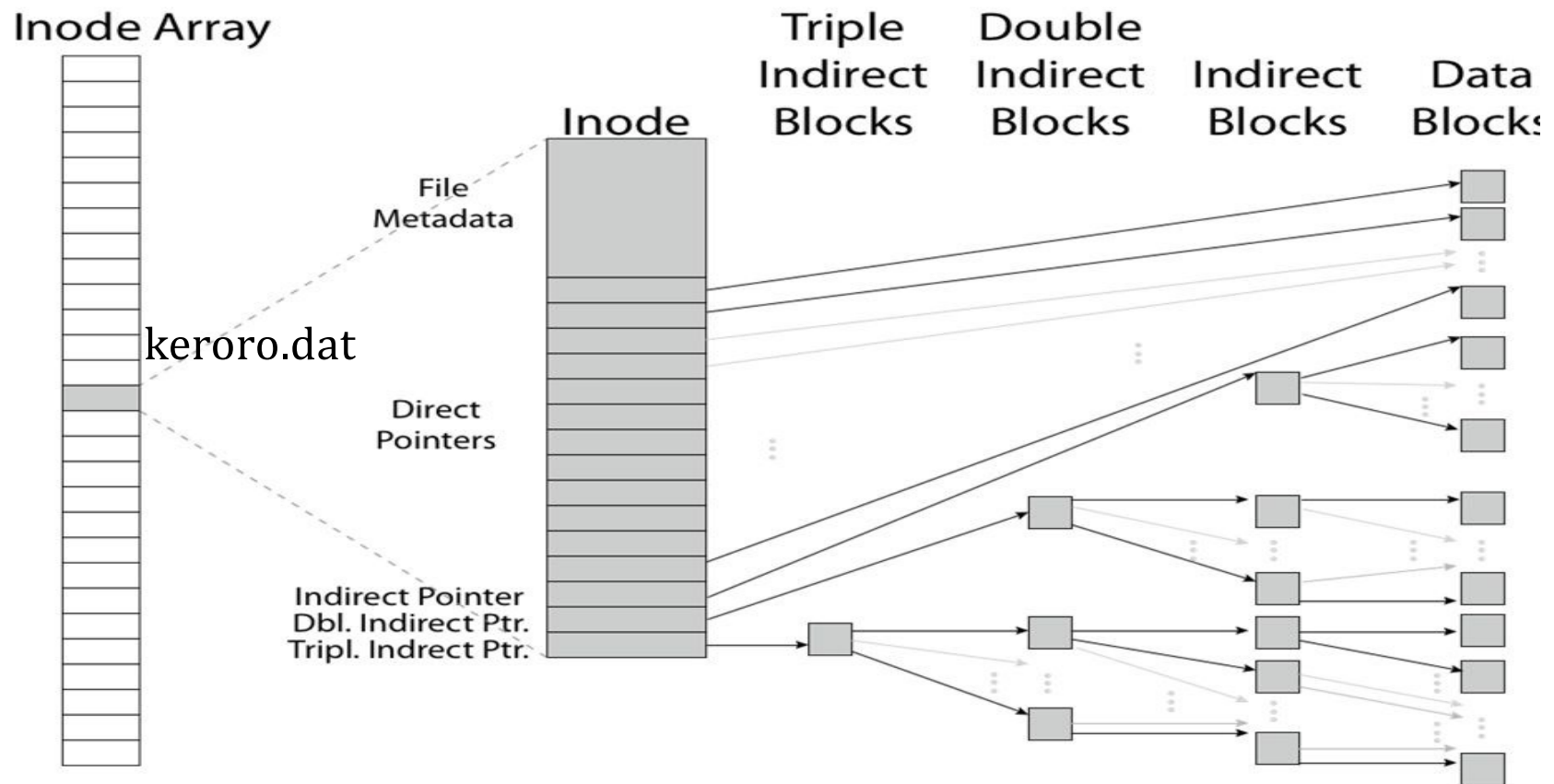
## File data block

A rectangular box with a black border and the word "Data" centered inside. A black arrow points from the left into the box.



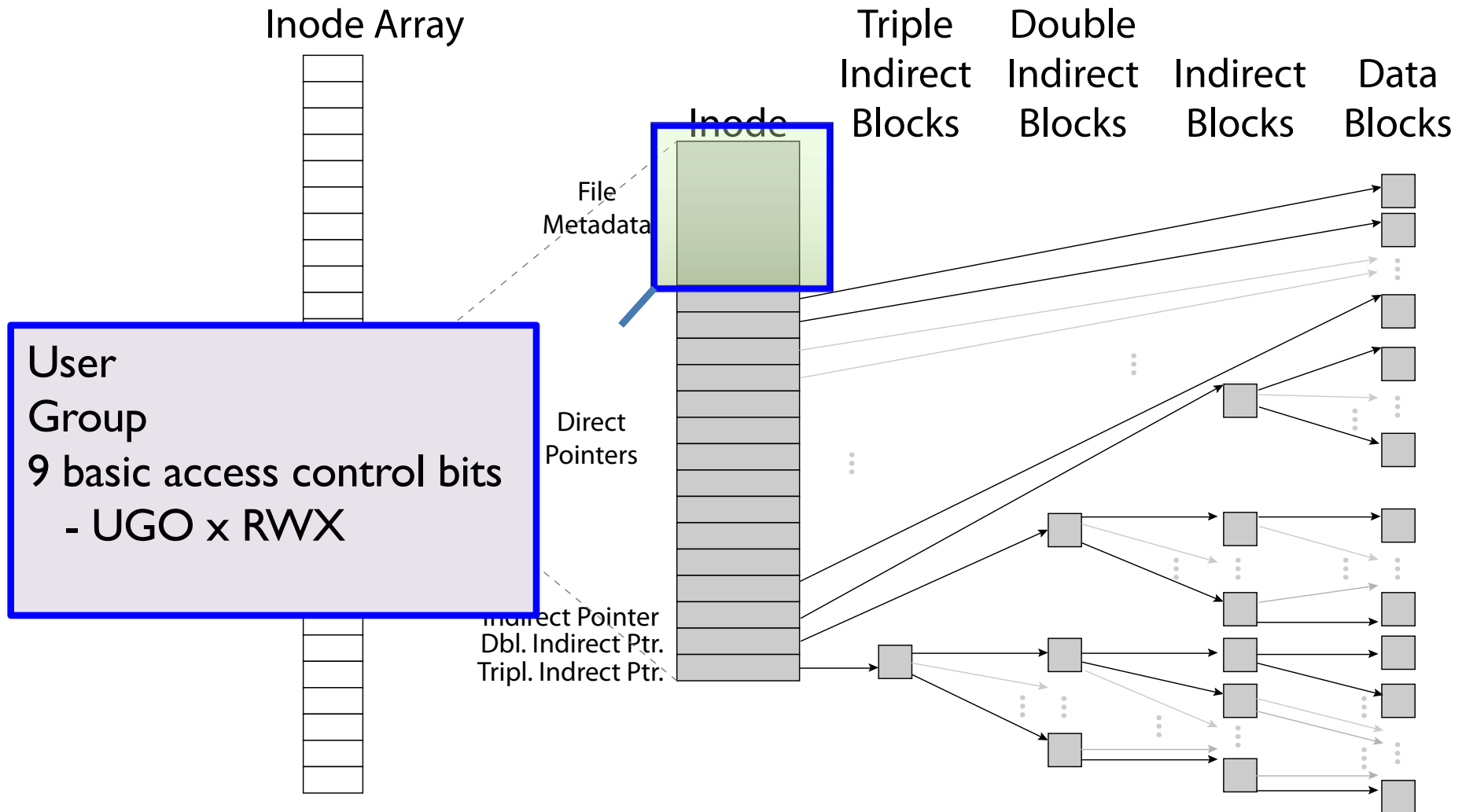
# iNode

- ◆ iNode Table is an array of iNodes
- ◆ Pointers are unbalanced tree-based data structures



# File Attributes

## ◆ iNode metadata

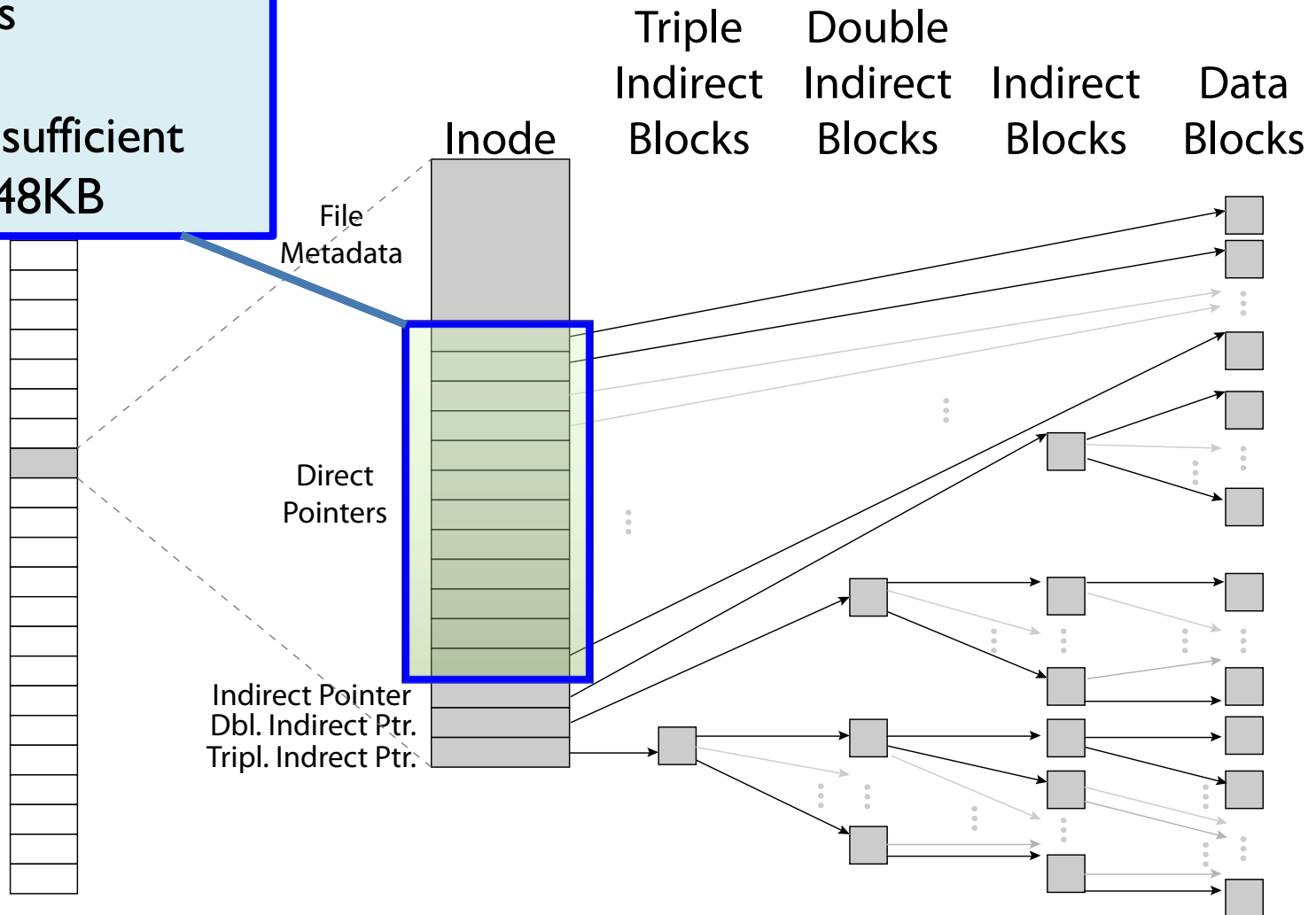


# Data Storage

- Small files: 12 pointers direct to data blocks

Direct pointers

4kB blocks  $\Rightarrow$  sufficient  
for files up to 48KB

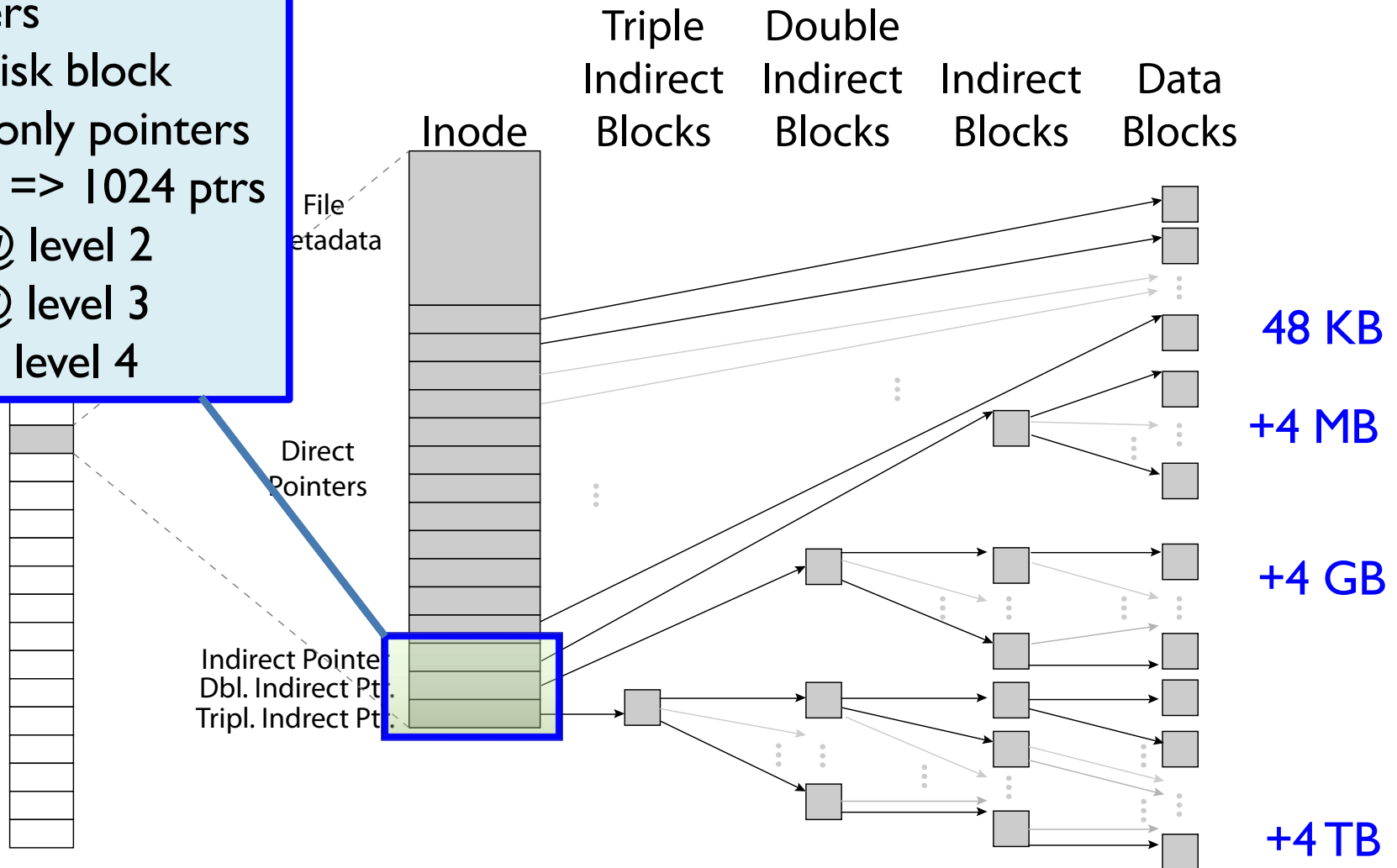


# Data Storage

## ◆ Large files: 1,2,3 level indirect pointers

### Indirect pointers

- point to a disk block containing only pointers
- 4 kB blocks  $\Rightarrow$  1024 ptrs
- $\Rightarrow$  4 MB @ level 2
- $\Rightarrow$  4 GB @ level 3
- $\Rightarrow$  4 TB @ level 4



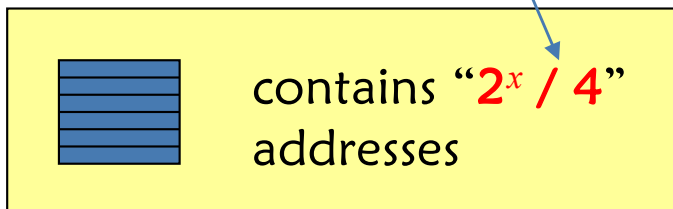
# Index-node – file size

Reminder: Max file size != FS size

Number of direct blocks	12
Number of indirect blocks	1
Number of double indirect blocks	1
Number of triple indirect blocks	1
Block size	$2^x$ bytes
Address length	4 bytes

$$\begin{aligned}
 &12 \times 2^x \\
 &+ 1 \times \frac{2^x}{4} \times 2^x \\
 &+ 1 \times \left(\frac{2^x}{4}\right)^2 \times 2^x \\
 &+ 1 \times \left(\frac{2^x}{4}\right)^3 \times 2^x
 \end{aligned}$$

File size = number of data blocks \* Block size



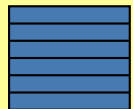
Block size $2^x$	Max size
1024 bytes = $2^{10}$	approx. 16 GB
4096 bytes = $2^{12}$	approx. 4 TB

# Index-node – file size

File size = number of data blocks x

$2^x$

Number of direct blocks	12
Number of indirect blocks	1
Number of double indirect blocks	1
Number of triple indirect blocks	1
Block size	$2^x$ bytes
Address length	4 bytes



contains “ $2^x / 4$ ” addresses

$$\begin{array}{rcl}
 12 \times 2^x & + & \\
 2^{2x-2} & + & \\
 2^{3x-4} & + & \\
 2^{4x-6} & & 
 \end{array}$$

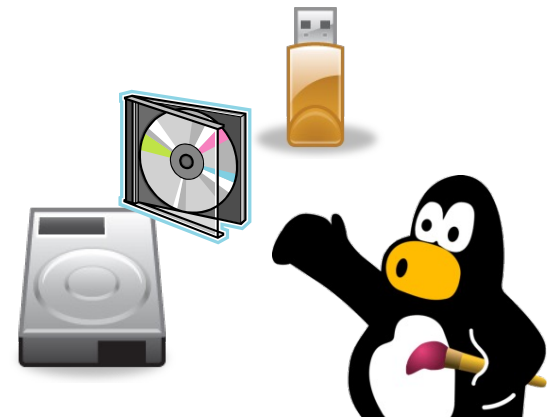
The dominating factor.

Block size $2^x$	Max size
1024 bytes = $2^{10}$	approx. 16 GB
4096 bytes = $2^{12}$	approx. 4 TB

Reminder: Max file size != FS size

# Ext 2/3/4

- Disk layout
- Directory
- Hard and Soft Links
- Consistency



# File System Ext

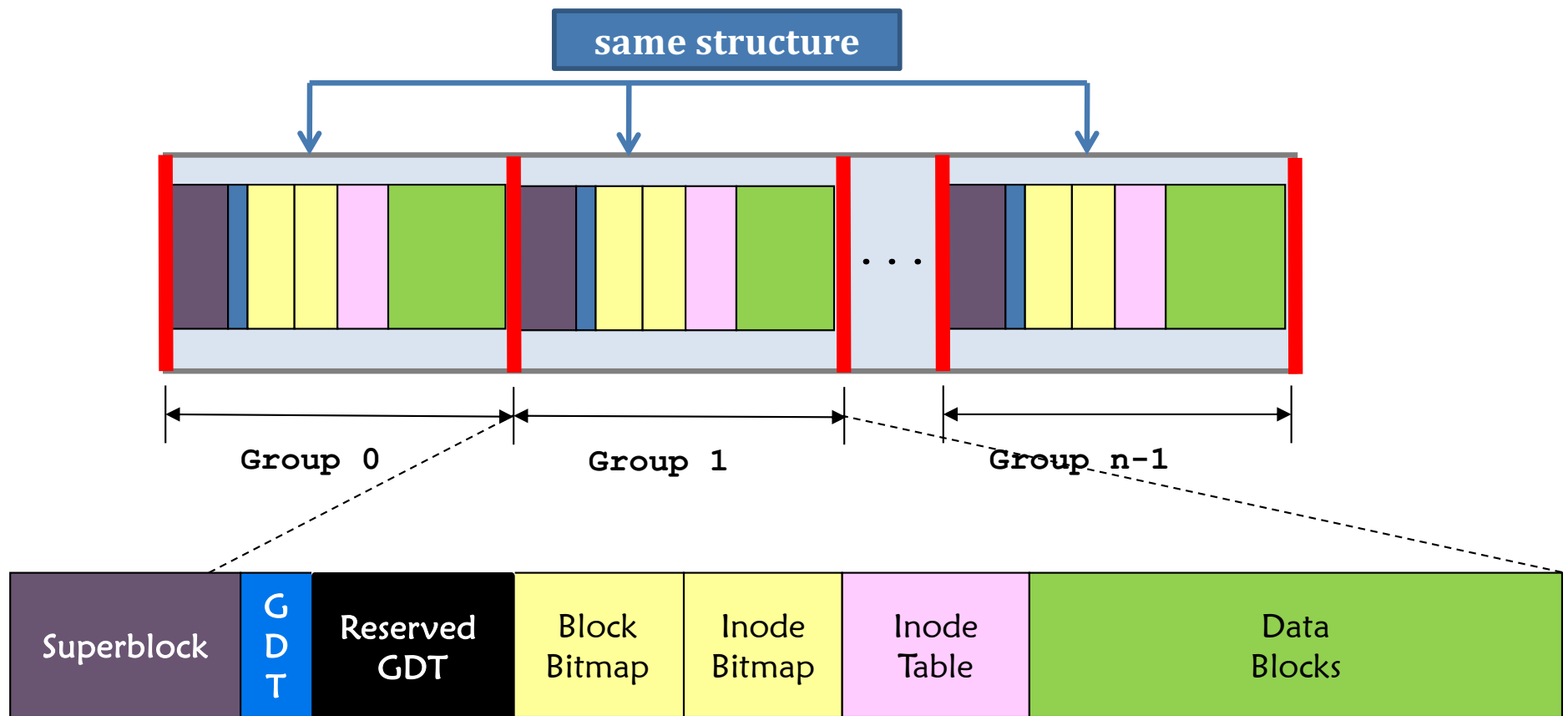
- ◆ The latest default FS for Linux distribution is the **Fourth Extended File System, Ext4** for short.
- ◆ For Ext2 & Ext3:
  - ◆ Block size: 1,024, 2,048, or 4,096 bytes.
  - ◆ Block address size: 4 bytes => # of block addresses =  $2^{32}$

$2^x \times 2^{32} = 2^{32+x}$			
Block size	$2^x = 1024$	$2^x = 2048$	$2^x = 4096$
File System size	4 TB	8 TB	16 TB



# Ext2/3 – Block groups

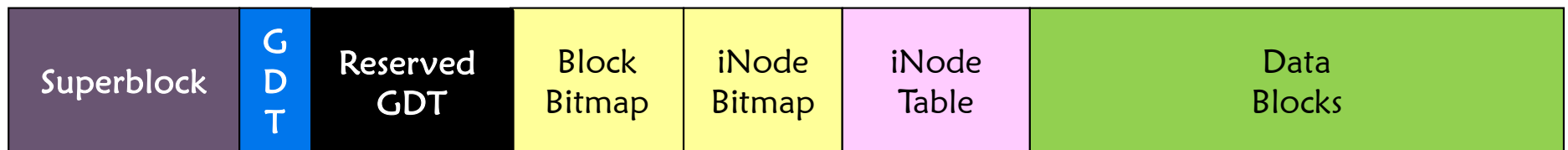
- ◆ The file system is divided into **block groups** and every block group has the **same structure**



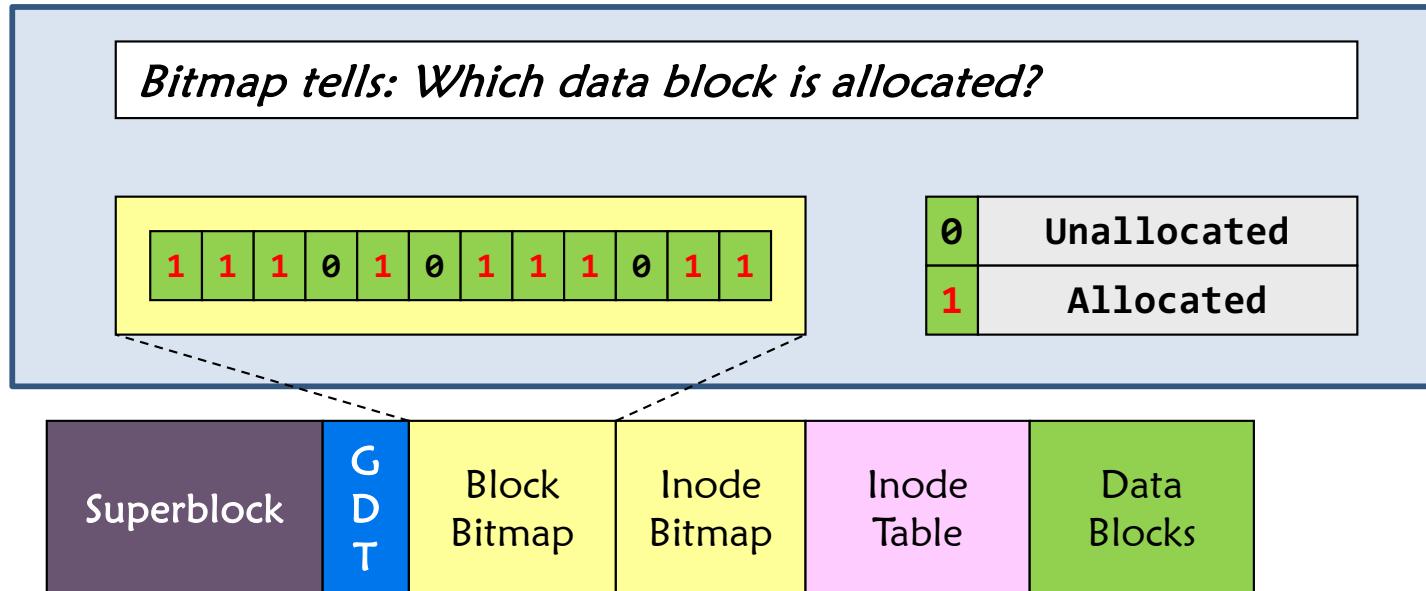
# Ext2/3 – FS layout

- Layout of one block group is as follows:

<b>Superblock</b>	Stores FS specific data. E.g., the total number of blocks, etc.
<b>GDT – Group Descriptor Table</b>	It stores: <ul style="list-style-type: none"><li>- The locations of the <b>block bitmap</b>, the <b>iNode bitmap</b>, and the <b>iNode table</b>.</li><li>- Free block count, free iNode count, etc...</li></ul>
<b>Block Bitmap</b>	A bit string that represents if a block is allocated or not.
<b>iNode Bitmap</b>	A bit string that represents if an inode (index-node) is allocated or not.
<b>iNode Table</b>	An array of inodes ordered by the inode #.
<b>Data Blocks</b>	An array of blocks that stored files.



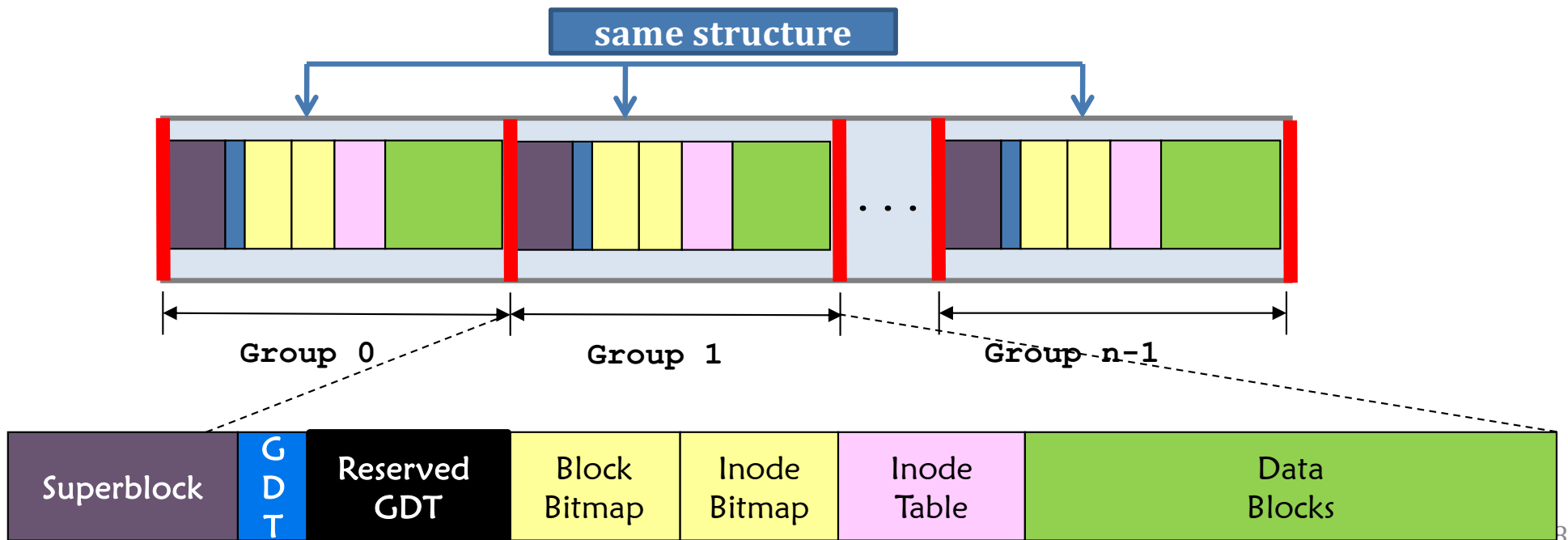
# Ext2/3 – Block Bitmap & iNode Bitmap



- iNode Bitmap
  - A bit string that represents if an iNode (index-node) is allocated or not
    - ➔ implies that the **number of files in the file system is fixed!**

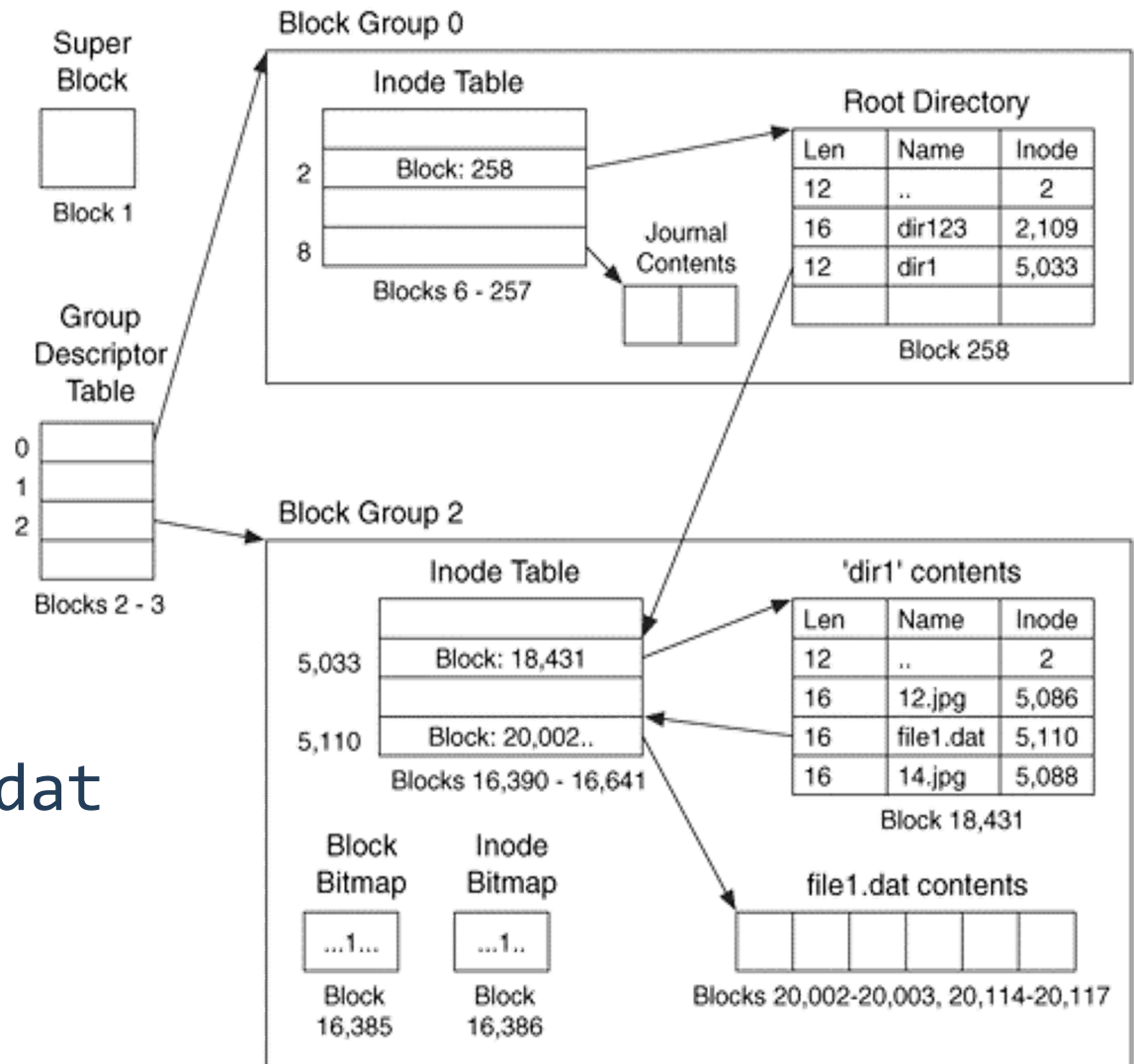
# Ext2/3 – Block groups

- ◆ Why having groups?
- ◆ For **(1) performance** and **(2) reliability**
  - ◆ (1) Performance: spatial locality.
    - ◆ Group iNodes and data blocks of related files together
  - ◆ (2) Reliability: superblock and GDT are **replicated** in each block group (yes, very reliable!)



# Linux Example: Ext2/3 Disk Layout

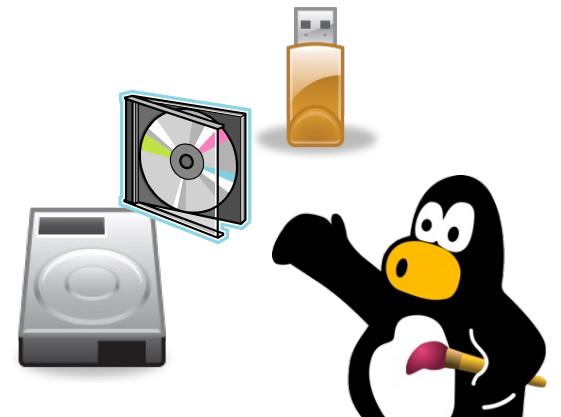
- ◆ Disk divided into block groups
  - ◆ Each group has two block-sized bitmaps (free blocks/inodes)
  - ◆ Block sizes settable at format time: 1K, 2K, 4K, 8K...
  - ◆ Provides locality



- Example: create a `file1.dat` under `/dir1/` in Ext3

## Ext 2/3

- Disk layout;
- **Directory;**
- **Hard and Soft Links.**

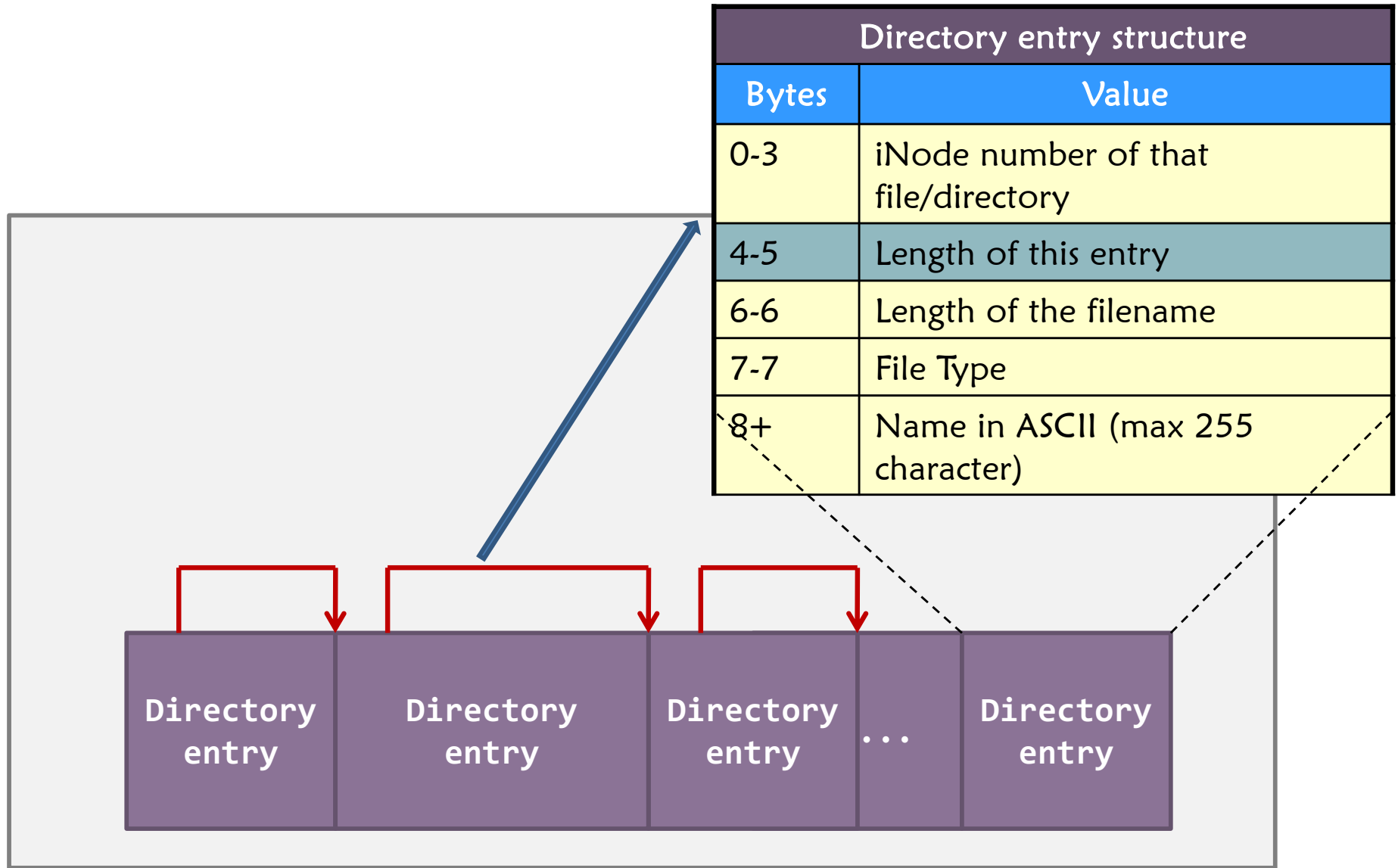


# Ext2/3 – iNode structure (for 1 file)

iNode Structure (128 bytes long)	
Bytes	Value
0-1	File type and permission
2-3	User ID
4-7	Lower 32 bits of file sizes in bytes
8-23	Time information
24-25	Group ID
26-27	Link count (will discuss later)
...	...
40-87	12 direct data block pointers
88-91	Single indirect block pointer
92-95	Double indirect block pointer
96-99	Triple Indirect block pointer
...	...
108-111	Upper 32 bits of file sizes in bytes

The locations of the data blocks are stored in the inode.

# Ext2/3 –directory entry in a directory block

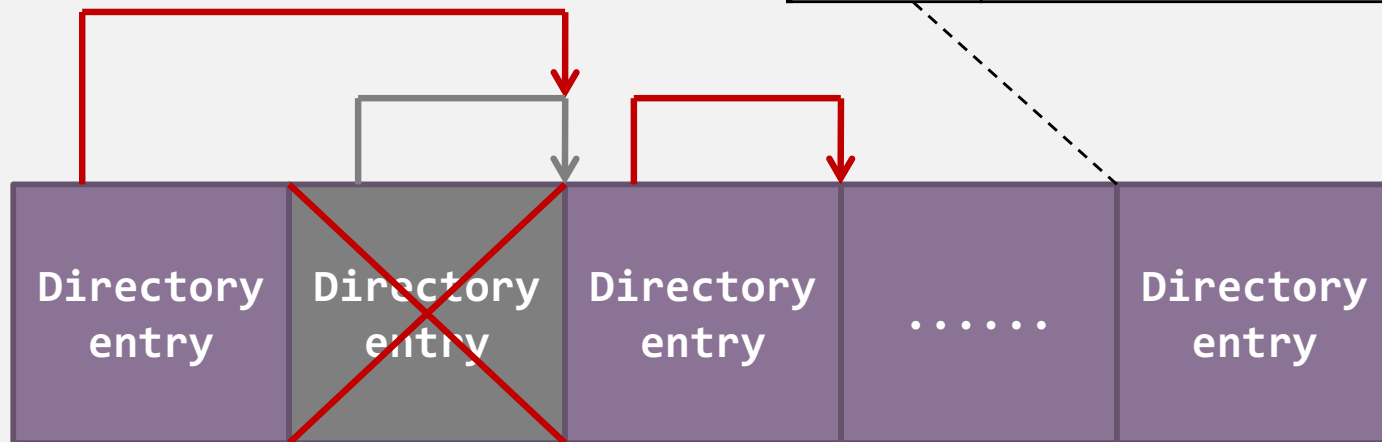




# Ext2/3 – File Deletion

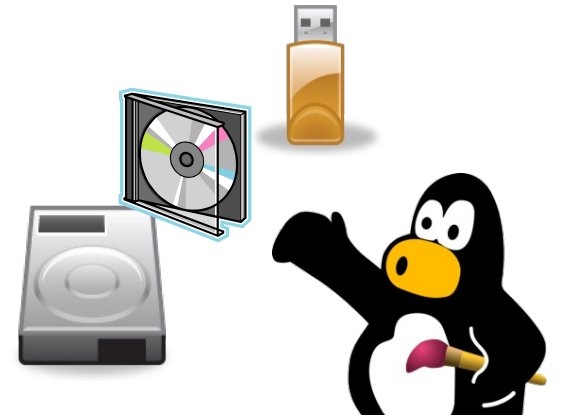
File deletion is just an update of the “entry length” of the previous entry.

Directory entry structure	
Bytes	Value
0-3	Inode number of that file/directory
4-5	Length of this entry
6-6	Length of the filename
7-7	File Type
8+	Name in ASCII (max 255 character)



## Ext 2/3

- Disk layout;
- Directory;
- **Hard and Soft Links.**



## Ext2/3 – link file: what is a hard link

- ◆ A hard link is a **directory entry** pointing to the iNode of an existing file.

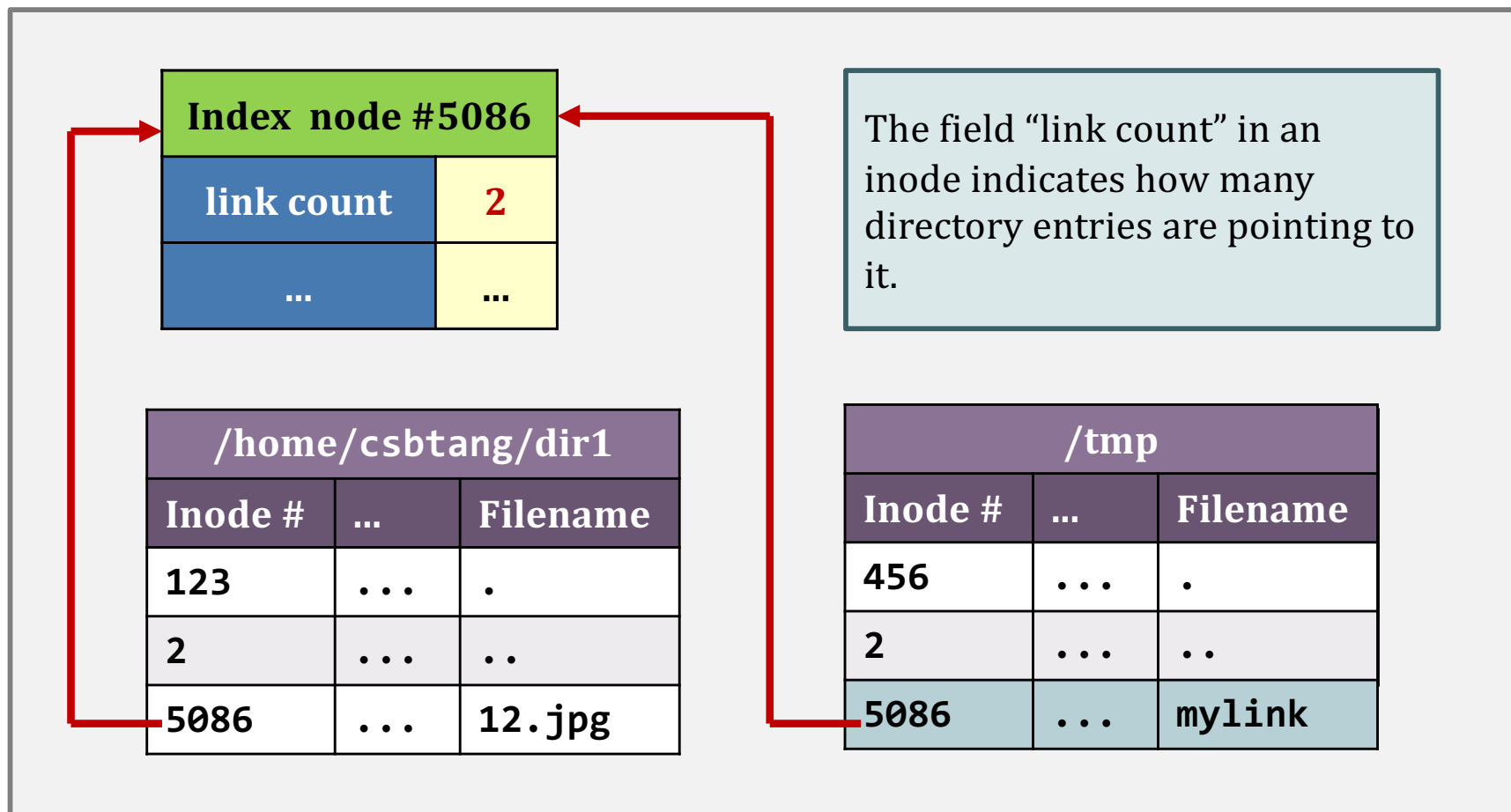
```
# ln /home/csbtang/dir1/12.jpg /tmp/mylink
```

/home/csbtang/dir1		
Inode #	...	Filename
123	...	.
2	...	..
5086	...	12.jpg

/tmp		
Inode #	...	Filename
456	...	.
2	...	..
5086	...	mylink

# Ext2/3 – link file: what is a hard link

- ◆ That **file can be accessed through two different pathnames.**



# Ext2/3 – link file: examples on hard link

- ◆ Let's look at the link count of the root directory.
  - ◆ **20 sub-directories**: have a link “.”;
  - ◆ **Root directory**: “.” and “..” pointing to itself;
  - ◆  $20 + 2 = 22$ .

```
# ls -F /
bin/      home/      media/    rules.log  tmp/
boot/     initrd.img@ mnt/     /sbin/     usr/
cdrom/    initrd.img.old@ opt/      selinux/  var/
dev/      lib/       proc/     srv/       vmlinuz@
etc/      lost+found/ root/      sys/       vmlinuz.old@
# stat /
  File: `/'
  Size: 4096      Blocks: 8          IO Block: 4096   directory
Device: 806h/2054d Inode: 2           Links: 22
.....
$ _
```

# Ext2/3 – removing file and link count

/home/csbtang/dir1		
Inode #	...	Filename
123	...	.
2	...	..
<del>5086</del>	...	<del>12.jpg</del>

unlink()

Index node #5086	
link count	0
...	...

unlink()

/tmp		
Inode #	...	Filename
456	...	.
2	...	..
<del>5086</del>	...	<del>mylink</del>

Index node  
#5086

link  
count

2

...

Original

-The **unlink()** system call is involved when you delete a file. Its job is to decrement the link count by one.

-If the link count reaches 0, the **data blocks and the inode will be deallocated.**

# Ext2/3 – symbolic link

- ◆ A symbolic link **creates a new inode**
  - ◆ Vs hard link won't (but point to the same inode)

```
# ln -s /home/csbtang/dir1/12.jpg /tmp/mylink
```

create another inode...

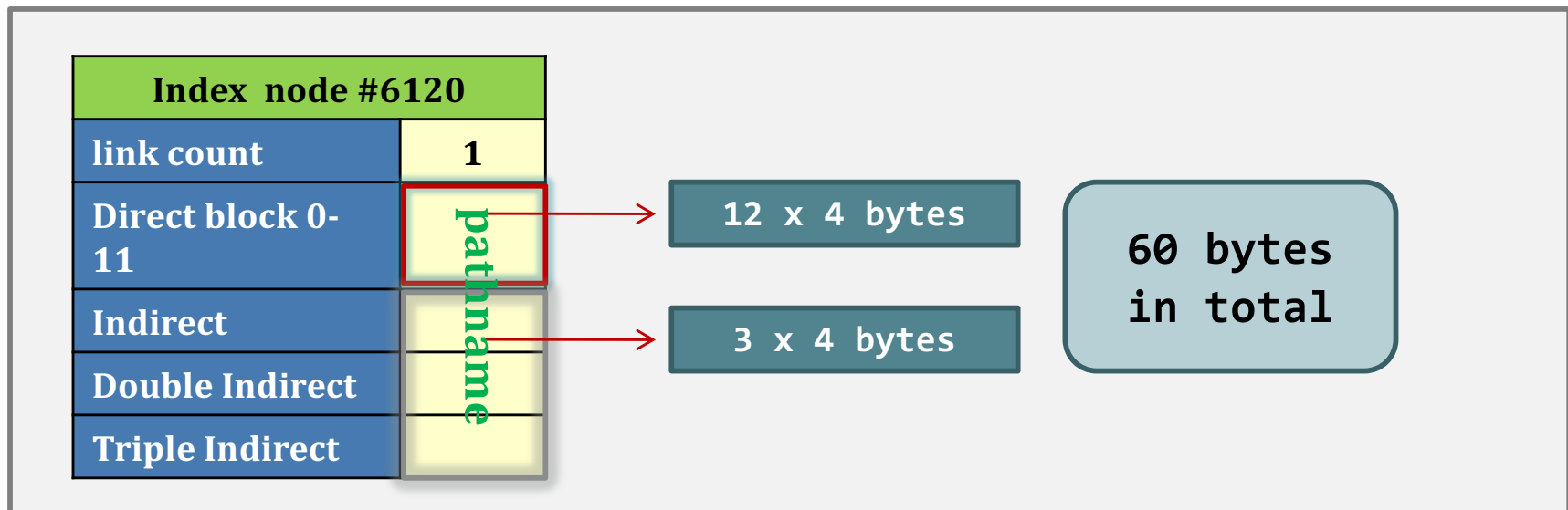
/home/csbtang/dir1		
Inode #	...	Filename
123	...	.
2	...	..
5086	...	12.jpg

/tmp		
Inode #	...	Filename
456	...	.
2	...	..
6120	...	mylink

Index node #6120	
Link count	1
/	
h	
o	
m	
e	
/	
e	
..	
p	
g	

## Ext2/3 – symbolic link

- ◆ Symbolic link is pointing to a new iNode whose target's **pathname** are stored using the space originally designed for **12 direct block and the 3 indirect block pointers** if the pathname is shorter than 60 characters.
  - ◆ Use back a normal inode + **one direct data block** to hold the long pathname otherwise





# Summary of Links

## ◆ Hard link

- ◆ Sets another directory entry to contain the file number for the file
- ◆ Creates another name (path) for the file
- ◆ Each is “first class”

## ◆ Soft link or Symbolic Link

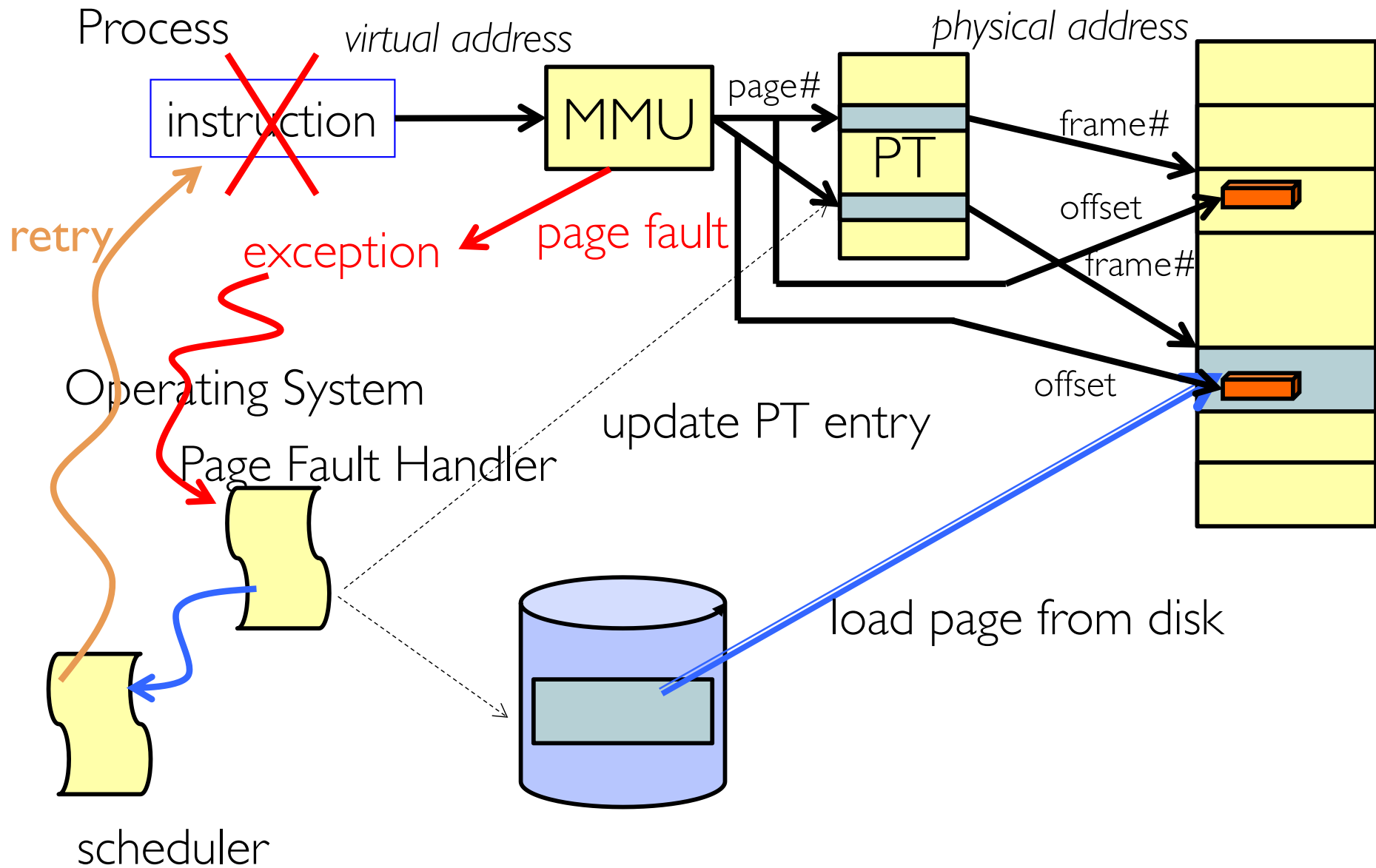
- ◆ Directory entry contains the path and name of the file
- ◆ Map one name to another name

Property/Action		Symbolic link	Hard link
When the link is deleted		Target remains unchanged	Reference counter is decremented; when it reaches 0, the target is deleted
When target is moved		Symbolic link becomes invalid	Hard link remains valid
Relative path		Allowed	N/A
Crossing filesystem boundaries		Supported	Not supported (target must be on same filesystem)
Windows	For files	Windows Vista and later <sup>[20]</sup> (administrator rights required)	Yes
	For folders		No
Unix	For files	Yes	Yes
	For directories	Yes	Partial <sup>[21]</sup>

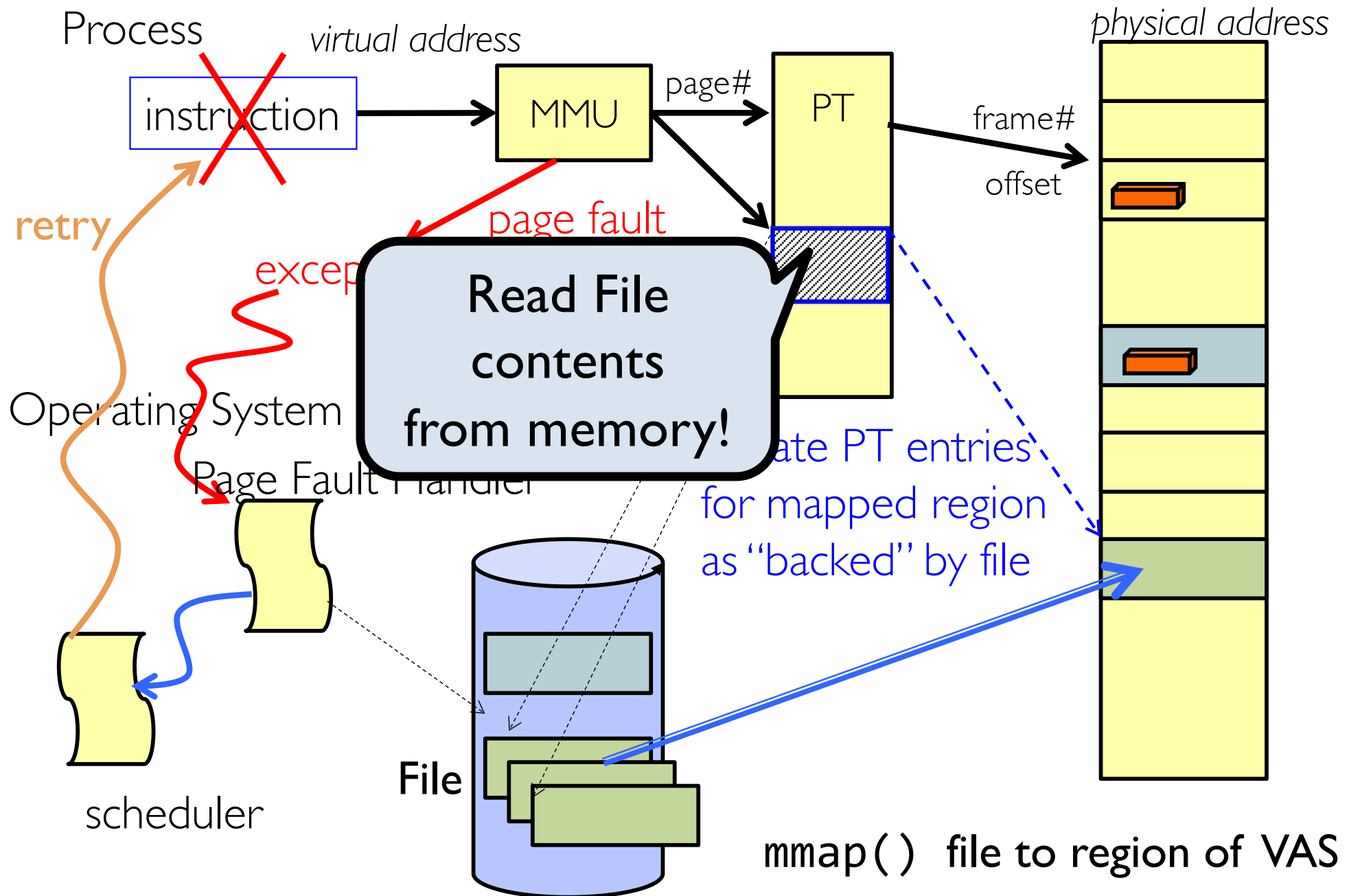
# Memory Mapped Files

- ◆ Traditional I/O involves explicit transfers between buffers in process address space to/from regions of a file
  - ◆ This involves multiple copies into caches in memory, plus system calls
- ◆ What if we could “map” the file directly into an empty region of our address space
  - ◆ Implicitly “page it in” when we read it
  - ◆ Write it and “eventually” page it out
- ◆ Executable files are treated this way when we `exec` the process!!

# Recall: Who Does What, When?



# Using Paging to `mmap()` Files



# File System Summary (1/2)

- ◆ File System:
  - ◆ Transforms blocks into Files and Directories
  - ◆ Optimize for size, access and usage patterns
  - ◆ Maximize sequential access, allow efficient random access
- ◆ File defined by header, called “iNode”
- ◆ Naming: translating from user-visible names to actual sys resources
  - ◆ Directories used for naming for local file systems
  - ◆ Linked or tree structure stored in files
- ◆ Multilevel Indexed Scheme
  - ◆ iNode contains file info, direct pointers to blocks, indirect blocks, doubly indirect, etc..
  - ◆ NTFS: variable extents not fixed blocks, tiny files data is in header

# File System Summary (2/2)

- ◆ 4.2 BSD Multilevel index files
  - ◆ iNode contains pointers to actual blocks, indirect blocks, double indirect blocks, etc.
  - ◆ Optimizations for sequential access: start new files in open ranges of free blocks, rotational optimization
- ◆ File layout driven by freespace management
  - ◆ Integrate freespace, iNode table, file blocks and dirs into block group
- ◆ Deep interactions between memory management, file system, sharing
  - ◆ `mmap()`: map file or anonymous segment to memory

Thank You!