

# xPand: An algorithm for perturbing homogeneous cosmologies

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In the present paper, we develop in detail a fully geometrical method for deriving perturbation equations about a spatially homogeneous background. This method relies on the  $3+1$  splitting of the background space-time and does not use any particular set of coordinates: it is implemented in terms of geometrical quantities only, using the tensor algebra package *xAct* along with its extension for perturbations *xPert*. Our algorithm allows one to obtain the perturbation equations for all types of homogeneous cosmologies, up to any order and in all possible gauges. As applications, we recover the well-known perturbed Einstein equations for Friedmann–Lemaître cosmologies up to second order and for Bianchi I cosmologies at first order. This work now opens the door to the study of these models at higher order and to that of any other perturbed Bianchi cosmologies, by circumventing the usually too cumbersome derivation of the perturbed equations.

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## INTRODUCTION

Cosmological perturbation theory remains the cornerstone of our current understanding of the origin and evolution of structures we observe on the night sky today. Its role in cosmology dates back to inflationary epoch, where large scale structures were seeded by tiny quantum fluctuations of the inflaton field. The comoving wavelength of quantum fluctuations were stretched beyond the horizon and as soon as they re-enter the horizon, they become classical perturbations, whose physical evolution from the time of re-entry till now is also understood within cosmological perturbation theory, at least up to the time where highly non-linear effects require numerical simulations.

This cosmological perturbation theory based picture of the universe is supported by results from most of the well-understood cosmological observations, for example WMAP, QUIET, ACT, etc experiments [1–3] for which linearized perturbations are enough given the smallness of the cosmic microwave background radiation fluctuations. This picture is likely to get even clearer when the planned next generation of ‘land’ and ‘space’ based large array cosmological experiments such as the EUCLID, SKA and others become operational. It is expected that these experiments would generate large amount of data that could fit parameters of a cosmological model to a percent level accuracy and beyond. On the theoretical side, this will require our understanding of perturbation theory way beyond the linear order as these experiments probe a more recent history of our universe on large scales, and the key question is: are we theoretically ready?

Cosmological perturbation equations at linear order are simple and straight-forward to derive but they are grossly inadequate for understanding the late-time evolution of the universe, especially where self-gravity of large scale structures and many other non-linear gravitational effects may carry the necessary information about the key physics of interest. Going beyond first order is a very difficult task, and in some cases it is almost impossible to perform even a coordinate or gauge transformation at non-linear order by hand. To the best of our knowledge, there is no available easy-to-use software available that is solely designed for cosmology, that is capable of deriving all equations of motion for perturbed variables. The only closely related available option is the GRTensor [4] which runs on *Maple* or *Mathematica*. However, the output of GRTensor at linear order is already very complicated to understand, let alone its output at non-linear orders, because it relies exclusively on a properly defined set of background coordinates each time it acts on a perturbation variable.

To fill up this crucial missing gap in a less complicated and a more user-friendly manner, we developed a cosmological perturbation theory algebra package called *xPand* [5], which uses the tools of a tensor algebra package ‘*xAct/xPert*’ [6, 7] to derive all the necessary equations for cosmological perturbation variables at any order in perturbation theory and for any dimensions of space-time. The *xAct/xPert* package runs on *Mathematica* and can be downloaded for free [6]. The *xAct/xPert* package was specifically designed to handle perturbations on arbitrary background space-time [7, 8] but lacks the features for specialization to a specific background space-time as in the case of cosmology. In [9, 10] this package was used to study perturbations of a spherically symmetric space-time, more precisely around a Schwarzschild solution of the Einstein field equation. The *xPand* that we developed is an application of *xAct/xPert* to homogeneous background space-times.

The interaction between *xPand* and *xAct/xPert* that we exploit is such that we use the latter to expand formally the metric, the curvature tensors and all fields of interest as perturbations around a background space-time up to

any order in perturbation theory. Then *xPand* is first used to foliate the background space-time into homogeneous space-like hypersurfaces using the  $3 + 1$  formalism [11, 12], and then all perturbed expressions, starting from the perturbed metric itself, are expanded in terms of spatial fields according to this  $3 + 1$  background splitting.

At present, *xPand* can handle perturbations around a Minkowski background, around FL space-times of different spatial curvatures, and Bianchi space-times with any constants of structure. It is designed such that the user retains the freedom to choose the background space-time, the gauge, the indices, and formatting or choice of printing of the resulting equations. However, in order to add simplicity of use, *xPand* offers the following predefined gauge choices which are the most common in cosmology: general gauge (no gauge choice), comoving gauge, flat gauge, isodensity gauge, Newtonian gauge and synchronous gauge.

This paper is organized as follows: in section I, we provide a general overview of the mathematical framework on which *xPert* is built. In section II, we detail the so-called *background  $3 + 1$  splitting*, that is the foliation of the background manifold into hypersurfaces orthogonal to a fundamental observer's velocity. In section III, we decompose the perturbed metric with respect to this foliation and define the scalar, vector and tensor perturbations. The decomposition of the background covariant derivatives in this background  $3 + 1$  splitting is presented in section IV. In order to clarify this generic method, we give a typical example on how to use the package in section V and discuss the performance of the package in section VI.

## I. PERTURBATIONS AROUND A GENERAL SPACE-TIME

In this section we briefly review the algorithm of *xPert* [7], which constitutes the basis of our method. For more details about perturbation theory in the context of cosmology, we refer the reader to, e.g., [13, 14].

### A. General framework

Let us denote by  $\overline{\mathcal{M}}$  and  $\mathcal{M}$  the background and perturbed manifolds, respectively. Both can be related by means of a diffeomorphism  $\phi : \overline{\mathcal{M}} \rightarrow \mathcal{M}$ ; tensorial quantities are thus transported from one manifold to the other with the help of the associated pull-back  $\phi^*$  and push-forward  $\phi_*$ , along with their inverses. The metric of the perturbed space-time relates to that of the background as

$$\phi^*(g) \equiv \bar{g} + \Delta[\bar{g}] \equiv \bar{g} + \sum_{n=1}^{\infty} \frac{\Delta^n[\bar{g}]}{n!}. \quad (1)$$

Here and in the sequel, we use boldface symbols for tensorial quantities, an over-bar for background quantities<sup>1</sup>, and the notation  $\Delta[\bar{T}]$  (resp.  $\Delta^n[\bar{T}]$ ) for the total (resp.  $n^{\text{th}}$  order) perturbation of a tensor  $T$ . One may prefer to write  $\Delta_\phi$  instead of  $\Delta$ , as the definition of the perturbations depends on the choice of the diffeomorphism  $\phi$ , that is on the gauge choice. We however choose to omit this reference for the sake of clarity, and in order not to burden unnecessarily the notation, we shall moreover use the short-hand:  $T \equiv \phi^*(T)$ , for any perturbed quantity.

Unless otherwise specified, when we write down the components of a tensor, those should be understood as expressed in a general (arbitrary) basis (this holds equally for the background and perturbed tensors, and for the perturbations). Since all perturbation orders live on the background manifold as they are the result of the pullback of a tensorial quantity living on the perturbed manifold, we shall raise and lower indices using the background metric; e.g. we have:

$$\{{}^n\}h^{\mu\nu} \equiv \bar{g}^{\mu\alpha} \bar{g}^{\nu\beta} \{{}^n\}h_{\alpha\beta}, \quad (2)$$

for the  $n^{\text{th}}$  order of the metric perturbation  $\{{}^n\}h \equiv \Delta^n[\bar{g}]$ .

### B. Expansion of the curvature tensors

#### 1. Mathematical framework

The inverse of the metric tensor is obtained from the relation:

$$g^{-1} = (\bar{g} + \Delta[\bar{g}])^{-1}, \quad (3)$$

---

<sup>1</sup> This convention differs from the one adopted in [7]. We however opt for such a choice as it reflects the standard usage in cosmological perturbation theory.

which can be expanded into

$$g^{-1} = \bar{g}^{-1} \sum_{m=0}^{\infty} (-1)^m (\bar{g}^{-1} \Delta [\bar{g}])^m. \quad (4)$$

The  $n^{\text{th}}$  order perturbation of the inverse metric reads

$$\Delta^n [(\bar{g}^{-1})^{\mu\nu}] = \sum_{(k_i)} (-1)^m \frac{n!}{k_1! \dots k_m!} \{k_m\} h^{\mu\lambda_m} \{k_{m-1}\} h_{\lambda_m}^{\lambda_{m-1}} \dots \{k_2\} h_{\lambda_3}^{\lambda_2} \{k_1\} h_{\lambda_2}^{\nu}, \quad (5)$$

where the sum  $\sum_{(k_i)}$  runs over the  $2^{n-1}$  sorted partitions of  $n$  for  $m \leq n$  positive integers, such that  $k_1 + \dots k_m = n$ . Note, importantly, that  $\Delta^n [(\bar{g}^{-1})^{\mu\nu}] \neq \{n\} h^{\mu\nu} \equiv \bar{g}^{\mu\alpha} \bar{g}^{\nu\beta} \{n\} h_{\alpha\beta}$  (for instance, we have at first order:  $\Delta^1 [(\bar{g}^{-1})^{\mu\nu}] = -\{1\} h^{\mu\nu}$ ).

The difference between the Levi-Civita connections  $\nabla$ , associated with the perturbed metric, and  $\bar{\nabla}$ , associated with the background metric, is given by

$$\nabla_\mu \omega_\nu - \bar{\nabla}_\mu \omega_\nu = (\Gamma_{\mu\nu}^\alpha - \bar{\Gamma}_{\mu\nu}^\alpha) \omega_\alpha. \quad (6)$$

for any one-form field  $\omega$ .  $\Gamma_{\mu\nu}^\alpha$  are the components of the connection  $\nabla$ , while  $\bar{\Gamma}_{\mu\nu}^\alpha$  are the components of  $\bar{\nabla}$ . From the above relations, it can be shown that the  $n^{\text{th}}$  order perturbation of  $\Gamma_{\mu\nu}^\alpha$  read [7]

$$\Delta^n [\bar{\Gamma}_{\mu\nu}^\alpha] = \sum_{(k_i)} (-1)^{m+1} \frac{n!}{k_1! \dots k_m!} \{k_m\} h^{\alpha\lambda_m} \{k_{m-1}\} h_{\lambda_m}^{\lambda_{m-1}} \dots \{k_2\} h_{\lambda_3}^{\lambda_2} \{k_1\} h_{\lambda_2\nu}^\alpha, \quad (7)$$

where we have defined the last term of the right-hand side as

$$\{n\} h_{\alpha\mu\nu} \equiv \frac{1}{2} (\bar{\nabla}_\nu \{n\} h_{\alpha\mu} + \bar{\nabla}_\mu \{n\} h_{\alpha\nu} - \bar{\nabla}_\alpha \{n\} h_{\mu\nu}). \quad (8)$$

The perturbation of the Riemann tensor is given in all generality by

$$\Delta^n [\bar{R}_{\mu\nu\alpha}{}^\beta] = \bar{\nabla}_\nu (\Delta^n [\bar{\Gamma}_{\mu\alpha}^\beta]) - \sum_{k=1}^{n-1} \binom{n}{k} \Delta^k [\bar{\Gamma}_{\nu\alpha}^\lambda] \Delta^{n-k} [\bar{\Gamma}_{\lambda\mu}^\beta] - (\mu \leftrightarrow \nu), \quad (9)$$

where  $(\mu \leftrightarrow \nu)$  denotes the repetition of the preceding expression with indices  $\mu$  and  $\nu$  exchanged. For a compatible metric, it can be recast using relation (7) into:

$$\begin{aligned} \Delta^n [\bar{R}_{\mu\nu\alpha}{}^\beta] &= \sum_{(k_i)} (-1)^m \frac{n!}{k_1! \dots k_m!} \left[ \{k_m\} h^{\beta\lambda_m} \dots \{k_2\} h_{\lambda_3}^{\lambda_2} \bar{\nabla}_\mu \{k_1\} h_{\lambda_2\nu\alpha} \right. \\ &\quad \left. + \sum_{s=2}^m \{k_m\} h^{\beta\lambda_m} \dots \{k_{s+1}\} h_{\lambda_{s+2}}^{\lambda_{s+1}} \{k_s\} h_{\lambda_s\lambda_{s+1}\mu} \{k_{s-1}\} h^{\lambda_s\lambda_{s-1}} \dots \{k_2\} h_{\lambda_3}^{\lambda_2} \{k_1\} h_{\lambda_2\nu\alpha} \right] - (\mu \leftrightarrow \nu). \end{aligned} \quad (10)$$

The perturbation of the Ricci tensor is simply obtained by contracting the second and fourth indices of  $\Delta^n [\bar{R}_{\mu\nu\alpha}{}^\beta]$  in the previous expression, and the perturbation of the Ricci scalar,  $R = g^{\alpha\beta} R_{\alpha\beta}$ , reads:

$$\Delta^n [\bar{R}] = \sum_{k=0}^n \binom{n}{k} \Delta^k [\bar{g}^{\alpha\beta}] \Delta^{n-k} [\bar{R}_{\alpha\beta}]. \quad (11)$$

Finally, we write the perturbed Einstein tensor as

$$\Delta^n [\bar{G}_{\mu\nu}] = \Delta^n [\bar{R}_{\mu\nu}] - \frac{1}{2} \sum_{k=0}^n \sum_{j=0}^k \frac{n!}{k! j! (n-j-k)!} \{j\} h_{\mu\nu} \Delta^k [\bar{g}^{\alpha\beta}] \Delta^{n-j-k} [\bar{R}_{\alpha\beta}]. \quad (12)$$

It is not necessary to further simplify the two last formulas, since their current forms are already efficient enough to compute the  $n^{\text{th}}$  term of the respective perturbations.

## 2. Implementation in xPand

All the perturbative expansions expounded above are already implemented in the package *xPert* [7]. For clarity, we here briefly review its main commands. The package can be loaded by evaluating:

```
In[1] := <<xAct'xPert'
      (Version and copyright messages)
```

We first define the four-dimensional manifold  $M$ , with abstract indices  $\{b, c, i, j\}$ ,

```
In[2] := DefManifold[ M, 4, {b,c,i,j} ];
```

and then the ambient metric  $g$  of negative signature, along with its associated Levi-Civita covariant derivative  $CD$ :

```
In[3] := DefMetric[ -1, g[-b,-c], CD, {"","V"}, PrintAs -> "g" ];
```

where  $M$  and  $g$  respectively stand for  $\overline{M}$  and  $\bar{g}$ . Several tensors associated with this metric are automatically defined at the same time (e.g. the Riemann and the Ricci tensors). Note that in the *xAct* package, the covariant indices of a tensor are represented by a minus sign ( $g[-b,-c]$  means  $\bar{g}_{bc}$ ), while the latter is omitted for contravariant indices ( $g[b,c]$  means  $\bar{g}^{bc}$ ).

The perturbations  $dg$  of the metric  $g$  are defined from the command:

```
In[4] := DefMetricPerturbation[ g, dg, ε ];
```

where  $\varepsilon$  is the perturbative parameter to be used in the expansions. It then becomes feasible to evaluate the perturbation of any tensor associated with the metric. For instance, the perturbation at first order of the Ricci scalar is given by evaluating:

```
In[5] := ExpandPerturbation@Perturbed[ RicciScalarCD[], 1 ] // ContractMetric // ToCanonical
Out[5] := R[V] - ε dg1bc R[V]bc + ε Vc Vb dg1bc - ε Vc Vc dg1bb
```

In the internal notation, the perturbations of the metric have a label-index that specifies the order. The first order perturbation  $dg^{1bc}$  is hence stored as  $dg[LI[1],b,c]$ . The couple of functions `ExpandPerturbation` and `Perturbed[expr,p]` is used to evaluate the perturbation of an expression `expr` up to the order `p`. The function `ContractMetric` serves to remove the presence of the background metric tensor through contraction on dummy indices, and the function `ToCanonical` simplifies the result, gathering together the terms which are equal up to symmetries. Further details can be found in Ref. [7].

## C. Conformal transformation

### 1. Mathematical framework

In cosmological perturbation theory, it proves convenient to employ a conformally transformed metric so as to separate the effects of the background expansion from the evolution of perturbations. We here define the conformal metric and its inverse respectively by<sup>2</sup>

$$\tilde{g}_{\mu\nu} = a^2 g_{\mu\nu}, \quad (\tilde{g}^{-1})^{\mu\nu} = a^{-2} (g^{-1})^{\mu\nu}, \quad (\tilde{g}^{-1})^{\mu\alpha} \tilde{g}_{\alpha\nu} = \delta^\mu{}_\nu, \quad (13)$$

with  $a$  being the scale factor of the physical manifold. Substituting into the first expression of (13) the perturbative expansion (1) for  $g$  and its counterpart for  $\tilde{g}$ , we extend the above conformal transformation to the background:

$$\tilde{\bar{g}}_{\mu\nu} = a^2 \bar{g}_{\mu\nu}, \quad (\tilde{\bar{g}}^{-1})^{\mu\nu} = a^{-2} \bar{g}^{\mu\nu}, \quad (14)$$

---

<sup>2</sup> In the *xAct* package, the *first* metric defined on the manifold is the one that is used to raise and lower any tensor indices. For our purpose, we choose it to be the background metric  $\bar{g}$  (cf. input *In[3]*). Defining another metric, say  $f$ , on the same manifold from the function `DefMetric` actually creates two objects: (i) the tensor  $f$ , with internal notation  $\mathbf{f}$ ; and (ii) the tensor  $f^{-1}$ , with internal notation `Inv[f]`. They have the following properties:

$$f^{\mu\nu} = \bar{g}^{\mu\alpha} \bar{g}^{\nu\beta} f_{\alpha\beta}, \quad (f^{-1})^{\mu\nu} = \bar{g}^{\mu\alpha} \bar{g}^{\nu\beta} (f^{-1})_{\alpha\beta}, \quad (f^{-1})^{\mu\alpha} f_{\alpha\nu} = \delta^\mu{}_\nu.$$

This explains the notation we use in equation (13).

and to the perturbed level:

$$\{^n\}\tilde{h}_{\mu\nu} = a^2 \{^n\}h_{\mu\nu}, \quad \text{with} \quad \{^n\}\tilde{h}^{\mu\nu} = \bar{g}^{\mu\alpha} \bar{g}^{\nu\beta} \{^n\}h_{\alpha\beta}. \quad (15)$$

The physical metric is identified in our framework by  $\tilde{g}$ , and its background value by  $\bar{\tilde{g}}$ . Accordingly,  $\bar{\tilde{g}}$  corresponds to the metric conformally related to the background metric of the physical space-time. This is the latter, namely  $\bar{g}$ , that we choose to be the ambient metric of  $\mathcal{M}$ . As already mentioned in footnote 2, this signifies that  $\bar{g}$  is the only metric that can be used to raise and lower any tensor indices. This particular choice ensures that  $\mathcal{M}$  does not experience any cosmic acceleration (further details are given in subsection II B) and, more importantly, that definition (2) and its implications are well satisfied within the algorithm.

The tensorial quantities of interest in cosmological perturbation theory, i.e. the ones associated with  $\bar{\tilde{g}}$ , need now to be related to the ones associated with  $\bar{g}$  which were defined from the command `In[3]`. We consider to this end the Levi-Civita connections  $\tilde{\nabla}$ , associated with  $\tilde{g}$ , and  $\bar{\tilde{\nabla}}$ , associated with  $\bar{\tilde{g}}$ <sup>3</sup>. The two sets of connections,  $\tilde{\nabla}$  and  $\bar{\tilde{\nabla}}$  on the one hand, and  $\tilde{\nabla}$  and  $\bar{\nabla}$  on the other, are related by

$$\tilde{\nabla}_\mu \omega_\nu = \bar{\nabla}_\mu \omega_\nu - C^\alpha_{\mu\nu} \omega_\alpha, \quad \bar{\tilde{\nabla}}_\mu \omega_\nu = \bar{\nabla}_\mu \omega_\nu - \bar{C}^\alpha_{\mu\nu} \omega_\alpha, \quad (16)$$

for any one-form  $\omega$ . From definitions (13) and (14), we can formulate the quantities  $C^\alpha_{\mu\nu}$  and  $\bar{C}^\alpha_{\mu\nu}$  as

$$C^\alpha_{\mu\nu} \equiv 2\delta^\alpha_{(\mu} \nabla_{\nu)} \ln a - g_{\mu\nu} \nabla^\alpha \ln a, \quad \bar{C}^\alpha_{\mu\nu} \equiv 2\delta^\alpha_{(\mu} \bar{\nabla}_{\nu)} \ln a - \bar{g}_{\mu\nu} \bar{\nabla}^\alpha \ln a, \quad (17)$$

with  $\nabla^\alpha \equiv g^{\alpha\beta} \nabla_\beta$  and  $\bar{\nabla}^\alpha \equiv \bar{g}^{\alpha\beta} \bar{\nabla}_\beta$ , and where the parentheses imply symmetrization over the indices enclosed. The expression for the  $n^{\text{th}}$  order perturbation of  $C^\alpha_{\mu\nu}$  is readily obtained, and it reads<sup>4</sup>:

$$\Delta^n [\bar{C}^\alpha_{\mu\nu}] = \sum_{k=0}^n \frac{n!}{k! (n-k)!} \{^k\}h_{\mu\nu} \{^{n-k}\}h^{\alpha\beta} \bar{\nabla}_\beta \ln a. \quad (18)$$

With relations (17) and (18) at hand, we are now able to provide the relations that we need.

For instance, the Riemann tensor associated with the metric  $\bar{\tilde{g}}$  is expressed as (see appendix D of [15])

$$\begin{aligned} \bar{\tilde{R}}_{\mu\nu\alpha}{}^\beta &= \bar{R}_{\mu\nu\alpha}{}^\beta - 2\bar{\nabla}_{[\mu} \bar{C}^\beta_{\nu]\alpha} + 2\bar{C}^\sigma_{\alpha[\mu} \bar{C}^\beta_{\nu]\sigma} \\ &= \bar{R}_{\mu\nu\alpha}{}^\beta + 2\delta^\beta_{[\mu} \bar{\nabla}_{\nu]} \bar{\nabla}_\alpha \ln a - 2\bar{g}_{\alpha[\mu} \bar{\nabla}_{\nu]} \bar{\nabla}^\beta \ln a \\ &\quad - 2\delta^\beta_{[\mu} \bar{\nabla}_{\nu]} \ln a \bar{\nabla}_\alpha \ln a + 2\bar{g}_{\alpha[\mu} \bar{\nabla}_{\nu]} \ln a \bar{\nabla}^\beta \ln a - 2\bar{g}_{\alpha[\mu} \delta^\beta_{\nu]} \bar{\nabla}^\sigma \ln a \bar{\nabla}_\sigma \ln a, \end{aligned} \quad (19)$$

where the brackets imply anti-symmetrization over the indices enclosed. Perturbing this expression and making use of equation (18), we can finally relate the perturbations  $\Delta^n [\bar{\tilde{R}}_{\mu\nu\alpha}{}^\beta]$  to  $\Delta^n [\bar{R}_{\mu\nu\alpha}{}^\beta]$  and recover the usual quantities considered in perturbation theory.

## 2. Implementation in xPand

The *xAct* package provides the tools to define a metric conformally related to another, thanks to the option `ConformalTo` of the function `DefMetric`. We have also encapsulated this in *xPand* within the function `DefConformalMetric`, which furthermore ensures the transitivity of several conformal transformations.

Let us first load the package *xPand*:

<sup>3</sup> Since by definition the scale factor used in the conformal transformation (13) is not to be perturbed, we infer that  $\bar{\tilde{g}} = \bar{g}$ , and hence:  $\bar{\tilde{\nabla}} = \bar{\nabla}$ .

<sup>4</sup> Note that the connection components  $\tilde{\Gamma}^\alpha_{\mu\nu}$  conformally related to  $\Gamma^\alpha_{\mu\nu}$  satisfy:

$$\tilde{\Gamma}^\alpha_{\mu\nu} - \Gamma^\alpha_{\mu\nu} = \Delta [\bar{C}^\alpha_{\mu\nu}],$$

which implies:

$$\widetilde{\nabla_\alpha \omega_\mu} = \bar{\nabla}_\alpha \tilde{\omega}_\mu - \Gamma^\nu_{\alpha\mu} \tilde{\omega}_\nu - C^\nu_{\alpha\mu} \tilde{\omega}_\nu = \bar{\nabla}_\alpha \tilde{\omega}_\mu - \tilde{\Gamma}^\nu_{\alpha\mu} \tilde{\omega}_\nu - \bar{C}^\nu_{\alpha\mu} \tilde{\omega}_\nu,$$

where we have made use of  $C^\nu_{\alpha\mu} = \bar{C}^\nu_{\alpha\mu} + \Delta [\bar{C}^\nu_{\alpha\mu}]$  for the last equality. These relations demonstrate the equivalence between the transformations  $\bar{\nabla} \rightarrow \nabla \rightarrow \tilde{\nabla}$  and  $\bar{\nabla} \rightarrow \bar{\tilde{\nabla}} \rightarrow \tilde{\nabla}$ . The latter approach actually proves itself to be faster in *xPand*. It is therefore the one coded in the function `ToxPand` (see section V B).

XR: I am not sure to understand the way you obtain the first equality. In particular, I am quite confused with the (very-)wide tilde. Shouldn't we have two tildes instead (one for  $\nabla$  and the other for  $\omega_\mu$ )? In the latter case, I am able to derive the first equality, but I am wondering if you are thinking of another meaning for the notation you use. Another point, when considering the transformations  $\bar{\nabla} \rightarrow \nabla \rightarrow \tilde{\nabla}$ , wouldn't this mean that we want to provide the expressions for the transformations of, e.g.,  $\bar{\nabla}_\alpha \tilde{\omega}_\mu \rightarrow \nabla_\alpha \omega_\mu \rightarrow \tilde{\nabla}_\alpha \tilde{\omega}_\mu$ ? This point is not clear to me.

```
In[6] := <<xAct'xPand'
```

```
-----
```

```
Package xAct'xPand' version 0.3.0, {2013,01,27}
```

```
CopyRight (C) 2012-2013, Cyril Pitrou, Xavier Roy and Obinna Umeh under the GPL.
```

By evaluating the command:

```
In[7] := DefConformalMetric[ g, a ];
```

we define the scale factor  $a[]$  along with the metric  $ga2$ , conformally related to  $g$  through  $a$ .  $ga2$  thus corresponds to the background metric  $\bar{g}$  of the physical space-time. To obtain the expression of any tensorial quantities associated with  $\bar{g}$  in terms of those associated with  $g$ , we then simply have to use the *xPand* function **Conformal**.

For instance, we have for the Riemann tensor associated with  $\bar{g}$ :

```
In[8] := Conformal[ g, ga2 ][ RiemannCD[-b,-c,-i,j] ]
```

$$\begin{aligned}
Out[8] := & R[\bar{\nabla}]_{bci}{}^j + 2\delta_c^j \frac{\bar{\nabla}_b a \bar{\nabla}_i a}{a^2} - 2\delta_b^j \frac{\bar{\nabla}_c a \bar{\nabla}_i a}{a^2} - \delta_c^j \frac{\bar{\nabla}_i \bar{\nabla}_b a}{a} + \delta_b^j \frac{\bar{\nabla}_i \bar{\nabla}_c a}{a} - 2\bar{g}_{ci} \frac{\bar{\nabla}_b a \bar{\nabla}^j a}{a^2} \\
& + 2\bar{g}_{bi} \frac{\bar{\nabla}_c a \bar{\nabla}^j a}{a^2} + \bar{g}_{ci} \frac{\bar{\nabla}^j \bar{\nabla}_b a}{a} - \bar{g}_{bi} \frac{\bar{\nabla}^j \bar{\nabla}_c a}{a} - \delta_c^j \bar{g}_{bi} \frac{\bar{\nabla}_e a \bar{\nabla}^e a}{a^2} + \delta_b^j \bar{g}_{ci} \frac{\bar{\nabla}_e a \bar{\nabla}^e a}{a^2}
\end{aligned}$$

which coincides with expression (19).

The conformal transformation may as well be performed on quantities that are not related to a metric. For a general tensor, it is defined as

$$\tilde{T}^{\mu_1 \dots \mu_p}_{\nu_1 \dots \nu_q} = a^{q-p+W(\mathbf{T})} T^{\mu_1 \dots \mu_p}_{\nu_1 \dots \nu_q}, \quad (20)$$

where  $W(\mathbf{T})$  is the *conformal weight* of the tensor  $\mathbf{T}$ . The default value of  $W$  is chosen to be zero in order to make the norm invariant under a conformal transformation. In the default case, we would have for instance:

```
In[9] := DefTensor[ T[-b], M ];
```

```
In[10] := Conformal[ g, ga2 ][ CD[-b]@T[-c] ]
```

```
Out[10] := a \bar{\nabla}_b T_c - T_b \bar{\nabla}_c a + \bar{g}_{bc} T^i \bar{\nabla}_i a
```

The conformal weight can however be modified for each tensor with the function **ConformalWeight**. This can be of use to preserve the geodesic character of light-like vectors:

```
In[11] := DefTensor[ k[-b], M ];
```

```
In[12] := ConformalWeight[ k ] ^= -1;
```

```
In[13] := ConformalWeight[ k[-b] ]
```

```
Out[13] := 0
```

```
In[14] := ConformalWeight[ k[b] ]
```

```
Out[14] := -2
```

```
In[15] := Conformal[ g, ga2 ][ k[b] CD[-b]@k[-c] ]
```

```
Out[15] := \frac{k^b \nabla_b k_c}{a^2} - k_b k^b \frac{\nabla_c a}{a^3}
```

So far, after applying the *xPert* functions **ExpandPerturbation@Perturbed** and the *xPand* function **Conformal** on a given expression, one ends up with its perturbed form expressed in terms of the tensors defined on  $M$ , the scale factor and the covariant derivative  $\bar{\nabla}$ . In order to formulate it in terms of spatial quantities, we now need to perform the  $3+1$ -splitting of  $M$ .

## II. 3 + 1 SPLITTING OF THE BACKGROUND SPACE-TIME

### A. Induced Metric

The assumption that the background space-time possesses a set of (three-dimensional) homogeneous surfaces provides a natural choice for the 3 + 1 slicing. We foliate the background manifold by means of this family, and we denote by  $\bar{\mathbf{n}}$  the unit time-like vector ( $\bar{n}^\mu \bar{n}_\mu = -1$ ) normal to it. The metric of  $\mathcal{M}$  is decomposed accordingly as

$$\bar{g}_{\mu\nu} \equiv \bar{h}_{\mu\nu} - \bar{n}_\mu \bar{n}_\nu, \quad \text{with} \quad \bar{h}_{\mu\nu} \bar{n}^\mu = 0 \quad \text{and} \quad \bar{h}^\mu{}_\alpha \bar{h}^\alpha{}_\nu = \bar{h}^\mu{}_\nu, \quad (21)$$

where  $\bar{\mathbf{h}}$  represents the induced metric on the spatial hypersurfaces<sup>5</sup>. The acceleration of the so-called Eulerian observers satisfies in all generality [12]

$$\bar{a}_\mu \equiv \bar{n}^\alpha \bar{\nabla}_\alpha \bar{n}_\mu = \frac{\bar{D}_\mu \bar{\alpha}}{\bar{\alpha}}, \quad (22)$$

with  $\bar{\alpha}$  being the lapse function.  $\bar{\mathbf{D}}$  stands for the connection of the three-surfaces associated to  $\bar{\mathbf{h}}$  ( $\bar{D}_\alpha \bar{h}_{\mu\nu} = 0$ ), and it is related to the four-covariant derivative as

$$\bar{D}_\alpha T_{\mu_1 \dots \mu_p} = \bar{h}^\beta{}_\alpha \bar{h}^{\nu_1}{}_{\mu_1} \dots \bar{h}^{\nu_p}{}_{\mu_p} \bar{\nabla}_\beta T_{\nu_1 \dots \nu_p}, \quad (23)$$

for any spatial tensor field<sup>6</sup>. Since the lapse is homogeneous in the configuration at stake, the acceleration vanishes and the observers are in geodesic motion. We can therefore label each hypersurface by their proper time  $\eta$  and write:  $\bar{n}_\mu = -\bar{\nabla}_\mu \eta$ . Also,  $\bar{\mathbf{n}}$  being hypersurface-forming, its vorticity has to vanish by construction; this property yields:

$$\bar{\omega}_{\mu\nu} \equiv \bar{h}^\alpha{}_\mu \bar{h}^\beta{}_\nu \bar{\nabla}_{[\alpha} \bar{n}_{\beta]} = 0 \quad \Leftrightarrow \quad \bar{\nabla}_{[\mu} \bar{n}_{\nu]} = 0, \quad (24)$$

where the equivalence stems from the null acceleration. For a comprehensive review on the 3 + 1 formalism, we refer the reader to [12].

### B. Extrinsic Curvature

Another tensor we shall make use of is the symmetric extrinsic curvature tensor, which characterizes the way the three-surfaces are embedded into the background manifold. It satisfies the relation:

$$\bar{K}_{\mu\nu} = \bar{h}^\alpha{}_\mu \bar{h}^\beta{}_\nu \bar{\nabla}_\alpha \bar{n}_\beta, \quad (25)$$

where we have chosen a positive sign for the right-hand side<sup>7</sup>. From the decomposition (21) along with the vanishing of the acceleration  $\bar{\mathbf{a}}$  and the unitarity of  $\bar{\mathbf{n}}$ , we reformulate expression (25) as

$$\bar{K}_{\mu\nu} = \bar{\nabla}_\mu \bar{n}_\nu. \quad (26)$$

Since the volume expansion of the background space-time is entirely contained in the scale factor  $a$ , and owing to the conformal transformation (14), the trace of the extrinsic curvature vanishes:  $\bar{K}^\mu{}_\mu = 0$ . As a result, we have for general Bianchi cosmologies:  $\bar{K}_{\mu\nu} = \bar{\sigma}_{\mu\nu}$ , and for FLRW cosmologies:  $\bar{K}_{\mu\nu} = 0$ .

### C. Gauss–Codazzi relations

The splitting of the four-Riemann tensor can be constructed from its different projections onto the spatial slices and the congruence of the observers; it reads:

$$\bar{R}_{\mu\nu\alpha\beta} = {}^3\bar{R}_{\mu\nu\alpha\beta} + 2\bar{K}_{\mu[\alpha} \bar{K}_{\beta]\nu} - 4(\bar{D}_{[\mu} \bar{K}_{\nu][\alpha} \bar{n}_{\beta]} - 4(\bar{D}_{[\alpha} \bar{K}_{\beta][\mu} \bar{n}_{\nu]} + 4\bar{n}_{[\mu} \bar{K}_{\nu]}{}^\lambda \bar{K}_{\lambda[\alpha} \bar{n}_{\beta]} + 4\bar{n}_{[\mu} \dot{\bar{K}}_{\nu][\alpha} \bar{n}_{\beta]}), \quad (27)$$

<sup>5</sup> For the sake of clarity, let us remark that  $\{{}^{(n)}\mathbf{h}\}$  are *not* the perturbations of the induced metric  $\bar{\mathbf{h}}$ . From the definition of  $\{{}^{(n)}\mathbf{h}\}$  together with relation (21), we can actually relate them as:  $\Delta^n[\bar{\mathbf{h}}] = \{{}^{(n)}\mathbf{h}\} - \Delta^n[\bar{\mathbf{n}} \otimes \bar{\mathbf{n}}]$ .

<sup>6</sup> We recall that the operator  $\bar{\mathbf{D}}$  loses its character of derivative when it is applied to non-spatial tensors. More precisely, we are not allowed to use the Leibniz rule anymore, as one can realize upon writing for instance:

$$\begin{aligned} \bar{D}_\alpha(\psi \bar{T}_{\mu_1 \dots \mu_p}) &= \psi \bar{D}_\alpha \bar{T}_{\mu_1 \dots \mu_p} + \bar{h}^{\nu_1}{}_{\mu_1} \dots \bar{h}^{\nu_p}{}_{\mu_p} \bar{T}_{\nu_1 \dots \nu_p} \bar{D}_\alpha \psi \\ &\neq \psi \bar{D}_\alpha \bar{T}_{\mu_1 \dots \mu_p} + \bar{T}_{\mu_1 \dots \mu_p} \bar{D}_\alpha \psi, \end{aligned}$$

for any scalar field  $\psi$ . One then has to make sure that the correct expression is used in such a situation.

<sup>7</sup> This convention does not affect the 3 + 1 Einstein equations as written in terms of the kinematical quantities of the Eulerian observers.

where  ${}^3\bar{R}_{\mu\nu\alpha\beta}$  stands for the (three-)Riemann curvature of the hypersurfaces. The over-dot indicates the covariant derivative along the world-lines of the observers (for any tensor field, we have:  $\dot{T}_{\mu_1\dots\mu_p} \equiv \bar{n}^\alpha \bar{\nabla}_\alpha T_{\mu_1\dots\mu_p}$ ). The purely spatial projection of (27) only calls upon the two first terms, and it drives the Gauss relation:

$$\bar{h}^\rho{}_\mu \bar{h}^\sigma{}_\nu \bar{h}^\lambda{}_\alpha \bar{h}^\chi{}_\beta \bar{R}_{\rho\sigma\lambda\chi} = {}^3\bar{R}_{\mu\nu\alpha\beta} + 2\bar{K}_{\mu[\alpha} \bar{K}_{\beta]\nu}. \quad (28)$$

The three-space and one-time projection gives, from the next two terms, the Codazzi relation:

$$\bar{h}^\rho{}_\mu \bar{h}^\sigma{}_\nu \bar{h}^\lambda{}_\alpha \bar{n}^\chi \bar{R}_{\rho\sigma\lambda\chi} = \bar{D}_\mu \bar{K}_{\nu\alpha} - \bar{D}_\nu \bar{K}_{\mu\alpha}, \quad (29)$$

and the last non-null projection (two-space and two-time) provides, from the last two terms, an evolution equation for the extrinsic curvature.

These decompositions can be performed using the *xAct* function `GaussCodazzi`.

#### D. Curvature tensors

For FLRW space-times, the (three-)curvature tensors of the hypersurfaces cast the form:

$${}^3\bar{R}_{\mu\nu\alpha\beta} = 2\kappa \bar{h}_{\alpha[\mu} \bar{h}_{\nu]\beta}, \quad {}^3\bar{R}_{\mu\nu} = 2\kappa \bar{h}_{\mu\nu}, \quad {}^3\bar{R} = 6\kappa, \quad (30)$$

with  $\kappa$  being the curvature parameter. The corresponding expressions for general Bianchi space-times are more involved; they require the introduction of the constant of structures. This is detailed in appendix A.

#### E. Implementation of the 3 + 1 splitting in *xPand*



The function `BackgroundSlicing` gathers all the required definitions for the splitting in a given background space-time. From the background metric  $\mathbf{g}$ , it first defines the normal vector  $\mathbf{n}$ , and the induced metric  $\mathbf{h}$ . Then it also defines a scale factor associated with the given hypersurface,  $\mathbf{ah}$  and the conformally related metric  $\mathbf{gah2}$ . The type of background space-time is also given as an argument to `BackgroundSlicing`. Given the homogeneity assumption, it is enough to specify the extrinsic curvature  $\bar{K}_{\mu\nu}$  (which vanishes for FL space-times and is non-zero for general Bianchi space-times) and the constants of structure of the spatial sections (which in turn imply the form of the Riemann tensor associated with the induced metric, see appendix A), in order to uniquely specify the class of space-time. In case of maximally symmetric hypersurfaces, it is sufficient to specify directly the Riemann tensor of the induced metric, which in that case is given by Eq. (30). However, for general Bianchi cases the function `BackgroundSlicing` specifies first the constants of structure of the spatial hypersurfaces, and the Riemann tensor is obtained from Eq. (A18) [see appendix A]. For instance we can define the 3 + 1 splitting of a curved FL space-time by evaluating

$$In[11] := \text{BackgroundSlicing}[\mathbf{h}, \mathbf{n}, \mathbf{g}, \text{cd}, \{", "D"\}, \text{FLCurved}]$$

Now, in order to go one step further in the background 3 + 1 splitting of perturbations, we remark that the induced derivative  $\bar{\mathbf{D}}$  associated to  $\bar{\mathbf{h}}$  can only act on tensors which are projected (that is which are invariant by projection with  $\bar{h}^\nu_\mu$ ), so it is necessary to decompose first the perturbed tensors, among which the metric perturbations, into their components along  $\bar{\mathbf{n}}$  and their projected components along  $\bar{\mathbf{h}}$ . The purpose of the next section is to review how this is performed.

### III. PARAMETERIZATION OF THE PERTURBED TENSORS

#### A. Projected components and SVT decomposition

In general a tensor can be decomposed into its components along  $\mathbf{n}$  and also along the projector  $\bar{\mathbf{h}}$ . For instance, for the perturbation of the metric, we have

$$\{{}^{(n)}h_{\mu\nu} = \bar{n}_\mu \bar{n}_\nu \left( \{{}^{(n)}h_{\alpha\beta} \bar{n}^\alpha \bar{n}^\beta \right) + \bar{n}_\mu \{{}^{(n)}h_{\alpha\beta} \bar{n}^\alpha \bar{h}^\beta_\nu + \bar{n}_\nu \{{}^{(n)}h_{\alpha\beta} \bar{n}^\beta \bar{h}^\alpha_\mu + \{{}^{(n)}h_{\alpha\beta} \bar{h}^\alpha_\mu \bar{h}^\beta_\nu. \quad (31)$$

The components  $\left( \{{}^{(n)}h_{\alpha\beta} \bar{n}^\alpha \bar{n}^\beta \right)$ ,  $\{{}^{(n)}h_{\alpha\beta} \bar{n}^\alpha \bar{h}^\beta_\nu$  and  $\{{}^{(n)}h_{\alpha\beta} \bar{h}^\alpha_\mu \bar{h}^\beta_\nu$  are then projected scalar, vector and tensors. It is then suited to use the standard SVT decomposition for these projected components.

Any projected vector  $U_\mu$  can be split into its scalar part  $S$  and its vector part  $V_\mu$  according to

$$U_\mu \equiv \bar{D}_\mu S + V_\mu \quad \text{with} \quad \bar{D}^\mu V_\mu = 0. \quad (32)$$

$V_\mu$  is projected as well. Similarly, any projected symmetric and traceless tensor  $H_{\mu\nu}$  (that is such that  $H^\mu_\mu = 0$ ) can be split into its scalar part  $S$ , its vector part  $V_\mu$  and its tensor part  $T_{\mu\nu}$  according to

$$H_{\mu\nu} = \left( \bar{D}_\mu \bar{D}_\nu - \frac{1}{3} \bar{h}_{\mu\nu} \bar{D}_\alpha \bar{D}^\alpha \right) S + \bar{D}_{(\mu} V_{\nu)} + T_{\mu\nu} \quad (33)$$

with  $\bar{D}^\mu V_\mu = \bar{D}^\mu T_{\mu\nu} = T^\mu_\mu = 0$ .  $V_\mu$  and  $T_{\mu\nu}$  are necessarily projected as well. We shall use such type of SVT decomposition to split the projected components of the metric perturbations, but also for the projected components of any tensor on which we want to perform a perturbative expansion.

#### B. Metric perturbation parameterization

In the case of metric perturbations, the SVT decomposition of the projected components are usually given by

$$\{{}^{(n)}h_{\mu\nu} \bar{n}^\mu \bar{n}^\nu \equiv -2 \{{}^{(n)}\Phi \quad (34a)$$

$$\{{}^{(n)}h_{\mu\alpha} \bar{n}^\mu \bar{h}^\alpha_\nu \equiv -\bar{D}_\nu \{{}^{(n)}B - \{{}^{(n)}B_\nu \quad (34b)$$

$$\frac{1}{2} \{{}^{(n)}h_{\beta\alpha} \bar{h}^\beta_\mu \bar{h}^\alpha_\nu \equiv -\bar{h}_{\mu\nu} \{{}^{(n)}\Psi + \bar{D}_\mu \bar{D}_\nu \{{}^{(n)}E + \bar{D}_{(\mu} \{{}^{(n)}E_{\nu)} + \{{}^{(n)}E_{\mu\nu} \quad (34c)$$

For specific gauge choices, some of the fields used in the decomposition are required to vanish (see Ref. [18] for a review), but here, this decomposition is the most general since it parameterizes the 10 degrees of freedom of the perturbation. 4 scalar degrees of freedom are encoded in  $\{{}^{(n)}\Phi$ ,  $\{{}^{(n)}\Psi$ ,  $\{{}^{(n)}E$ , and  $\{{}^{(n)}B$ , 4 vector degrees of freedom are encoded in  $\{{}^{(n)}E_\mu$  and  $\{{}^{(n)}B_\nu$ , and 2 tensor degrees of freedom remain in  $\{{}^{(n)}E_{\mu\nu}$ .

### C. Implementation in *xPand*

The function `DefProjectedTensor` which is implemented in *xPand* uses the function `DefTensor` of *xAct* in order to define a tensor, and then it implements all the necessary rules to specify that this tensor is projected. Obviously it defines that it vanishes once contracted with  $\bar{n}$  and is invariant once projected with  $\bar{h}$ , but most importantly it implements the rule (38) among less significant rules. The rule (37) is not implemented automatically at this stage so that the user can keep a control on the simplifications. We will see further where this takes place. Some options can be also specified in `DefProjectedTensor` to add the symmetric, traceless and transverse conditions, so that the tensor defined can be readily used for a SVT decomposition. By default this is the case, and the options need to be specified if these conditions should not be satisfied. For instance, we can define the two Bardeen potentials  $\Phi$  and  $\Psi$  and the tensor degree of freedom associated to gravitational waves by evaluating

```
In[12] := DefProjectedTensor[phi[], h]; DefProjectedTensor[psi[], h];
In[13] := DefProjectedTensor[Et[-b, -c], h, PrintAs->"E"];
```

It is then possible to define a rule for the components of the metric perturbation

```
In[14] := RuleMetric = dg[LI[ord_], i_, j_] :>
-2 n[i]n[j] phi[LI[ord]] -2 psi[LI[ord]] h[i, j] + 2 Et[LI[ord], i, j];
```

A comment is in order about the use of label index `LI[ord]`. In *xPert*, a label index `LI[n]` was used to denote the order of the perturbation. For instance `dg[LI[1], -b, -c]` is the first order perturbation of the metric. In *xPand*, for projected tensors which were defined thanks to the function `DefProjectedTensor`, we use the same notation but we also add a second label index which denotes the number of  $\mathcal{L}_{\bar{n}}$  which is applied to the tensor. Since the meaning Lie derivative is dependent on the position of indices, we conventionally choose this second label index to be the number of Lie derivatives when the tensor has only down indices.

To summarize the notation, `Et[LI[1], LI[0], -b, -c]` is the first order tensor which is used in the parameterization of the metric perturbation. Some internal rules are defined so that for instance `Et[LI[1], -b, -c]` is automatically converted to `Et[LI[1], LI[0], -b, -c]` and this explains why in the definition of the rule `RuleMetric` only one label index was mentioned in the tensors. We then have  $\text{LieD}[\bar{n}][\text{Et}[\text{LI}[1], \text{LI}[0], -b, -c]] = \text{Et}[\text{LI}[1], \text{LI}[1], -b, -c]$ . For instance

```
In[14] := Et[LI[1], -b, -c]
Out[14] := (1)Ebc
In[15] := LieD[ n[b] ][ % ]
Out[15] := (1)E'_{bc}
```

Note that the first label index is displayed as a prepended exponent. Then in the example above, taking one Lie derivative along  $\bar{n}$  has increased the number of the second label index and a prime notation is used for the display form of the second label index.

Finally note that since  $\mathcal{L}_{\bar{n}}g_{\mu\nu} = 2\bar{K}_{\mu\nu}$  and  $\mathcal{L}_{\bar{n}}g^{\mu\nu} = -2\bar{K}^{\mu\nu}$ , then in general  $\mathcal{L}_{\bar{n}}^{(1)}E^{bc} = {}^{(1)}E^{bc'} - 2\bar{K}_i^b {}^{(1)}E^{ic} - 2\bar{K}_i^c {}^{(1)}E^{bi}$ . However for maximally symmetric hypersurfaces, that is for FL cosmologies, which is the example that we follow in this presentation of the package, the extrinsic curvature vanishes so that the position of the indices for the definition of the second label index is not ambiguous at all.

## IV. 3 + 1 SPLITTING OF THE PERTURBED EXPRESSION

### A. Properties of the projected tensors

In order to complete the 3 + 1 splitting of perturbed tensors, it is necessary to decompose the background covariant derivative  $\bar{\nabla}$  in function of the background induced derivative  $\bar{D}$ . For a general tensor, the relation between these two derivatives reads

$$\bar{\nabla}_\alpha T_{\mu_1 \dots \mu_p} = -\bar{n}_\alpha \dot{T}_{\mu_1 \dots \mu_p} + \bar{D}_\alpha T_{\mu_1 \dots \mu_p} + \sum_{i=1}^p \bar{n}_{\mu_i} \bar{K}^\beta_\alpha T_{\mu_1 \dots \mu_{i-1} \beta \mu_{i+1} \dots \mu_p}, \quad (35)$$

where the over-dot stands for the covariant derivative along the world-lines of the observers (for any tensor field, we have :  $\dot{T}_{\mu_1 \dots \mu_p} \equiv \bar{n}^\alpha \nabla_\alpha T_{\mu_1 \dots \mu_p}$ ), the third term brings in the effect of the background dynamics. In the case of a vanishing acceleration, the dot derivative of a spatial tensor is itself a spatial tensor.

It will prove much more useful to use the Lie derivative along the congruence of  $\bar{n}$  rather than the dot derivative. The reason comes from the fact that, if we choose a coordinates system  $x^i$  on a given hypersurface, and we drag it on all hypersurfaces with  $\bar{n}$  so as to extend it on the entire manifold in order to obtain a coordinate system  $(\eta, x^i)$ , then the action of  $\mathcal{L}_{\bar{n}}$  on a tensor is equivalent to that of  $\partial/\partial\eta$ . Even though our formalism is purely geometric, it aims eventually at deriving partial differential equations in  $\eta$  for perturbations and this will be made possible in the final stage, once all derivatives are expressed in function of  $\mathcal{L}_{\bar{n}}$  or  $\bar{D}$ . The relation between the Lie and the dot derivatives is easily derived; it is expressed in terms of the extrinsic curvature as

$$\mathcal{L}_{\bar{n}} T_{\mu_1 \dots \mu_p} = \dot{T}_{\mu_1 \dots \mu_p} + T_{\alpha \mu_2 \dots \mu_p} \bar{K}^\alpha_{\mu_1} + \dots + T_{\mu_1 \dots \mu_{p-1} \alpha} \bar{K}^\alpha_{\mu_p}. \quad (36)$$

Note that for a spatial tensor,  $\mathcal{L}_{\bar{n}} T_{\mu_1 \dots \mu_p}$  is also spatial. From this last expression, we can recast relation (35) into

$$\bar{\nabla}_\alpha T_{\mu_1 \dots \mu_p} = -\bar{n}_\alpha \mathcal{L}_{\bar{n}} T_{\mu_1 \dots \mu_p} + \bar{D}_\alpha T_{\mu_1 \dots \mu_p} + 2\bar{n}_{(\alpha} \bar{K}_{\mu_1)}^\beta T_{\beta \mu_2 \dots \mu_p} + \dots + 2\bar{n}_{(\alpha} \bar{K}_{\mu_p)}^\beta T_{\mu_1 \dots \mu_{p-1} \beta}. \quad (37)$$

Finally, let us remark that the  $\mathcal{L}_{\bar{n}}$  and  $\bar{D}$  derivatives do not commute in general. For spatial tensors (with indices down) the commutation reads:

$$[\mathcal{L}_{\bar{n}}, \bar{D}_\mu] \bar{T}_{\nu_1 \dots \nu_p} = \sum_{i=1}^p \left( \bar{h}^{\alpha\beta} \bar{D}_\beta \bar{K}_{\mu\nu_i} - \bar{D}_\mu \bar{K}_{\nu_i}^\alpha - \bar{D}_{\nu_i} \bar{K}_\mu^\alpha \right) \bar{T}_{\nu_1 \dots \nu_{i-1} \alpha \nu_{i+1} \dots \nu_p}. \quad (38)$$

## B. Implementation in *xPand*

We now have all the necessary tools to split completely the result of a tensor whose perturbations we are interested in. For instance, if we want to perturb the Ricci scalar, we first perform a conformal transformation from  $\mathbf{g}$  to  $\mathbf{gah2}$  (but express the result in function of the metric  $\mathbf{g}$ ), then we perturb the result and we replace the metric perturbations by their SVT components using the rule `RuleMetric`

```
In[16] := Conformal[g, gah2][RicciScalarCD[]]

In[17] := MyR = ExpandPerturbation@Perturbed[%, 1]

In[18] := (MyR/.RuleMetric) //ProjectorToMetric //GradNormalToExtrinsicK
// ContractMetric // ToCanonical
```

The final result has been simplified first by using the *xAct* functions `ProjectorToMetric` which replaces  $\bar{h}_{\mu\nu}$  by  $\bar{g}_{\mu\nu} - \bar{n}_\mu \bar{n}_\nu$  and `GradNormalToExtrinsicK` which replaces  $\bar{\nabla}_\mu \bar{n}_\nu$  by  $\bar{K}_{\mu\nu}$  and then by using the couple of function `ContractMetric` and `ToCanonical` so as to simplify the result as much as possible.

Since the rule (37) was not evaluated so far, the result involves only background covariant derivatives ( $\bar{\nabla}$ ). In order to use (37) and split the background covariant derivative into induced derivatives and Lie Derivatives along  $\bar{n}$  we use the function `SplitPerturbations`. For instance, we evaluate

```
In[19] := ah[]^2 SplitPerturbations[%, h]

Out[18] := 6H^2 + 6H' + 6K + ε (-12H^2 (1)φ - 12H' (1)φ - 6H (1)φ' - 18H (1)ψ' - 6 (1)ψ'' + 12 (1)ψK
- 2D_b D^b (1)φ + 4D_b D^b (1)ψ)
```

This applies as well the Gauss-Codazzi decomposition, the commutation of Lie and induced derivative (Eq. 38) being performed automatically, and leads to the expected result. However this is rather inefficient for general gauges at higher order. Instead the rule `RuleMetric` can be replaced in an optimized manner by the function `SplitPerturbations`. It consists essentially in evaluating the rule (37) on the projected components of the metric *before* specifying the SVT decomposition with `RuleMetric`. This requires to give the rule `RuleMetric` to `SplitPerturbations` as an argument :

```
In[20] := SplitPerturbations[MyR, RuleMetric, h]
```

and takes much less time at higher orders.

## V. SUMMARY OF THE ALGORITHM

### A. Main steps

The main steps are:

- i) We define the background manifold (**DefManifold**) with its associated metric (**DefMetric**). Then the background space-time type and the associated  $3 + 1$  splitting is performed using **BackgroundSlicing**;
- ii) For the quantity we are interested to perturb, we first use its general expression in function of the expansionless metric  $\mathbf{g}$  and then perform a conformal transformation from  $\mathbf{g}$  to  $\tilde{\mathbf{g}}$ , but we reexpress the result in function of the metric  $\mathbf{g}$ . This is done with **Conformal**;
- iii) We use the basic tools of  $xPert$  (**Perturbed** and **ExpandPerturbation**) to perturb formally in functions of the perturbations of the metric and of the other tensors;
- iv) We define projected tensors with **DefTensorProjected** in order to define rules for the (SVT) decomposition of the projected components of tensor perturbations; It is possible at this stage to use the function **MatterSplitting** and **MetricSplitting** (see below) for the most common cases which correspond to the most common gauge choices and most common fields that need to be perturbed (metric, energy density, pressure, fluid velocity, scalar field). However, the user is free to use his own parameterization of the projected components of perturbations.
- v) We finally use these parameterization rules to replace them in an optimal way in the perturbed expression and split the background covariant derivative using **SplitPerturbations**. Gauss-Codazzi relations and commutation of Lie and induced derivatives are then automatically performed, and the result is simplified as much as possible.

The function **ToxPand** has been designed to perform nearly all these steps but the first at once, thus simplifying the computation of perturbations for the simplest cases.

### B. A minimal example

We write here a brief example where the user has full control on the perturbation parameterization. In this case only the Bardeen potentials are kept in the metric perturbations:

```
<<xPand/xPand.m;
DefManifold[M, 4,{b,c,d,f}];
DefMetric[-1, g[-b, -c], CD, {";", "CD"}];
DefMetricPerturbation[g, dg, epsilon];
BackgroundSlicing[h, n, g, cd, {"|", "D"}, FLCurved];
order = 1;
DefProjectedTensor[Phi[], h];
DefProjectedTensor[Psi[], h];
MyRicciScalar=ExpandPerturbation@Perturbed[Conformal[g, gah2][RicciScalarCD[], order];
RulesForMetric = {dg[LI[ord_], b_, c_] :-> -2 n[b]n[c]Phi[LI[ord]] -2 h[b, c]Psi[LI[ord]]};
SplitPerturbations[ah[]^2 MyRicciScalar, RulesForMetric, h]
```

and the output generated by the last line is the same as *Out[18]*.

If we want to use a predefined gauge for the metric perturbations, it is enough to use the function **ToxPand** which does nearly everything at once. For instance, these five lines are enough to obtain the perturbation of the Ricci tensor in any gauge up to second order.

```
<<xPand/xPand.m;
DefManifold[M, 4,{b,c,d,f}];
DefMetric[-1, g[-b, -c], CD, {";", "CD"}];
BackgroundSlicing[h, n, g, cd, {"|", "D"}, FLCurved];
ToxPand[RicciScalarCD[], dg, u, du, h, AnyGauge, 2]
```

### C. Advanced functions for metric and matter perturbations

Even though the user is free to parameterize the tensor perturbations (among which the metric perturbations), using rules with projected tensors defined with `DefProjectedTensor`, we provide a function `MetricSplitting` which creates the most standard rules for the usual gauge choices. For instance, the Newton gauge perturbation can be obtained by evaluation of

$$In[21] := dg[LI[1], -b, -c] /.MetricSplitting[g, dg, h, NewtonGauge]$$

$$Out[21] := 2^{(1)}E_{bc} - {}^{(1)}B_c n_b - {}^{(1)}B_b n_c - 2n_b n_c {}^{(1)}\phi - 2h_{bc} {}^{(1)}\psi$$

Similarly, the function `MatterSplitting` creates the standard rules for usual gauges in order to replace the most common matter field perturbations. Among these, the less obvious parameterization is the one of the fluid velocity, since the time component (that is the component along  $\bar{n}$ ) is determined from the projected part of the perturbation, thanks to the normalization condition. We also need to mention that since *xPand* provides also a function to perform order  $n$  general gauge transformations with the function `GaugeChange`, the function `SplitGaugeChange` of *xPand* extends this function so as to perform a background 3+1 splitting of the transformation rule of a given tensor perturbation. For a glance at the gauge transformation of metric perturbation and the construction of gauge-invariant variables, we refer to Refs. [19–22].

For examples of use of the advanced functions `MetricSplitting`, `MatterSplitting` and `SplitGaugeChange`, the reader should consider going through the example notebooks which are distributed along with the package *xPand*. Finally, the function `ToxPand` which was presented in the section V B is a function which combines all the advanced functions so that the user can obtain the desired perturbations in a given gauge without having to deal with any detail of the algorithm.

## VI. RESULTS

### A. Recovering standard results

We have checked that with our implementation, we recover the standard results of perturbation theory in cosmology. More precisely, we recover

- all first order results of Einstein equation and stress-energy tensor conservation equation, for flat and curved FL space-times (see for instance Ref. [18]);
- all first order results for Bianchi type *I* background, in the gauge chosen in Refs. [23, 24];
- second order perturbations of Einstein and stress-energy conservation equation, around flat and curved FL universe in the Newtonian gauge (see for instance Ref. [22] for the complete set of equations);

Our package now enables to extend these results to any gauge choice and to higher order if necessary. It is worth stressing that, in order to obtain useful standard differential equations in the conformal time  $\eta$ , it is also necessary to perform a mode expansion on the hypersurfaces, that is to find the eigenmodes of the spatial Laplacian  $\bar{D}_\mu \bar{D}^\mu$ . This is easy for flat FL cosmologies where we can just use a Fourier transformation, and it is also well known for curved FL cosmologies where hyperspherical Bessel functions should be used [25]. However, it is still unknown for general Bianchi cosmologies. Apart from the special case of Bianchi type *I*, where the modes can be found from a Fourier transformation and thus lead to simple equations [26], there is no general technique to obtain the eigenmodes of the Laplacian for all other types of Bianchi spaces, and only in special cases (see for instance Ref. [27]) this has been done explicitly.

### B. Timings

In practice, the timing grows like power law of the order of perturbations (see Fig. 1) in all gauge restrictions. It takes *xPand* less than two minutes (see Fig. 2) to decompose completely the perturbation of a rank two curvature tensors such as the Ricci tensor and Einstein tensor up to second order in any gauge. Full decomposition of the Riemann tensor or Weyl tensor up to second order in any gauge could take a little above two minutes and thirteen minutes respectively depending on the processing power of the machine.

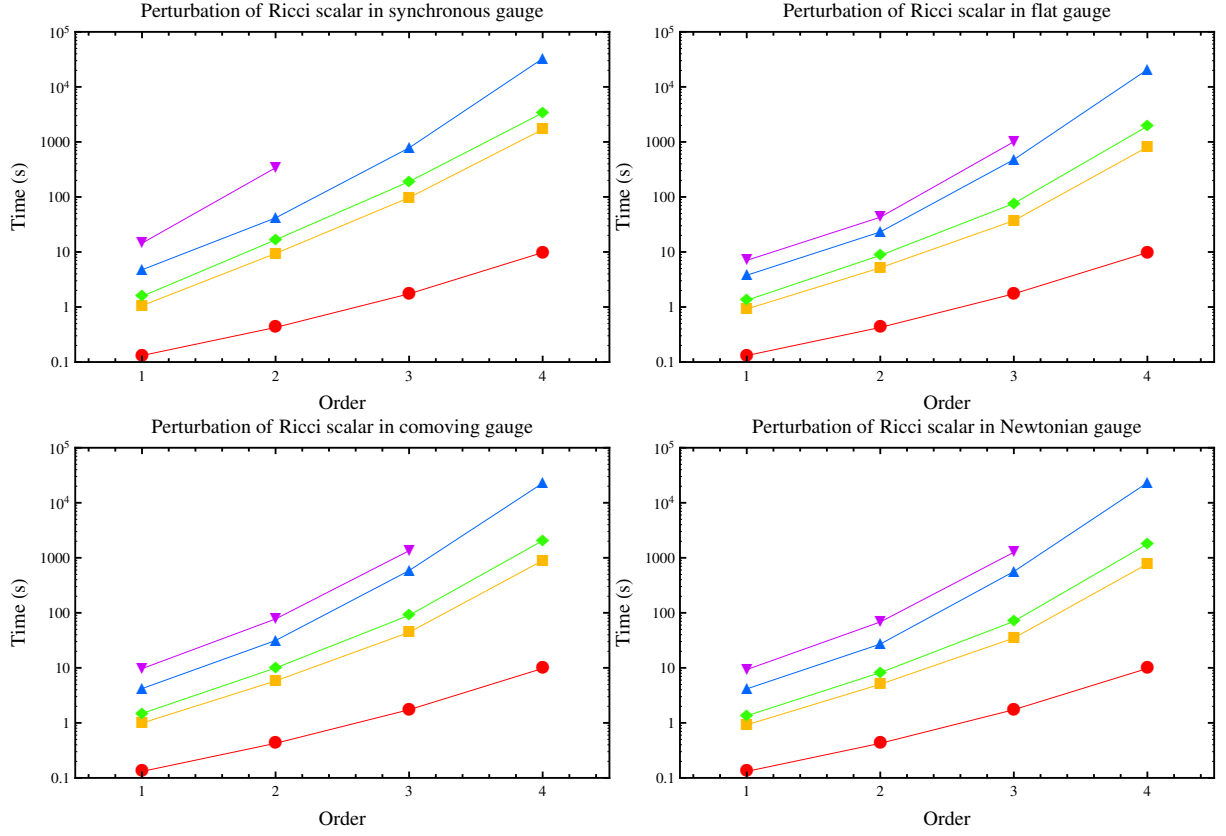


FIG. 1. Timing for the perturbation of the Ricci scalar. Top left: synchronous gauge; top right: spatially flat gauge. Bottom left: comoving gauge; bottom right: Newtonian gauge. From bottom to top on each plot: i) Formal perturbations with  $xPert$  and conformal transformation (in red); ii) Splitting around a Minkowski background (in yellow); iii) Splitting around a curved FL background (in green); iv) Splitting around a Bianchi I background (in blue); v) Splitting around a general Bianchi background (in purple). All timings were performed on a single 4 GHz core, with a 8 GB RAM.

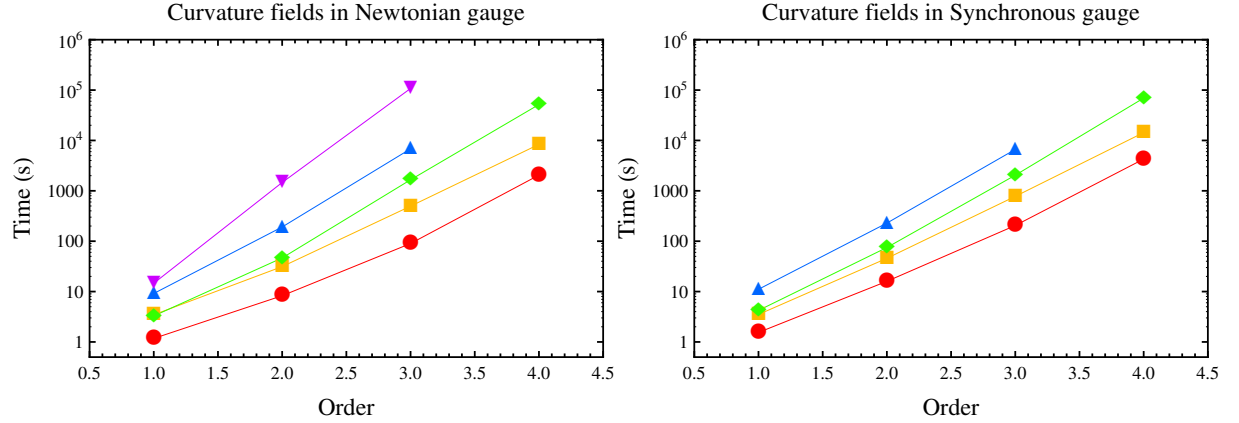


FIG. 2. Timing for the perturbation of the geometric curvature fields. Left: Newtonian gauge on curved FL spacetime; Right: synchronous gauge on curved FL spacetime. From bottom to top on each plot are: i) Ricci scalar (in red); ii) Ricci tensor (in yellow); iii) Einstein tensor (in green); iv) Riemann tensor (in blue); v) Weyl tensor (in purple). All timings were performed on a single 3.40 GHz core, with a 8 GB RAM.

## CONCLUSION

xPand is the first comprehensive package that could perform algebraic perturbation theory calculation in cosmology up to any order of interest. It does this in a manner familiar to most cosmologists. The package avoids the complexities associated with component by component computation through the use of well understood  $3 + 1$  decomposition formalism to split the perturbed variables on a given homogeneous background space-time and up to any order in perturbation theory. It is worth reiterating, the several advantages associated with the approach adopted in development of this package. Those advantages include:

- the freedom to choose a style of formatting/printing of any declared variable by the user.
- the package handles indices just the same way the user would handle them if he/she were to do the calculation by hand, that is it does not break the summation over spatial indices (as e.g. in *Out[18]*). It totally eliminates the laborious summation over repeated spatial indices, a feature that have inhibited the use of other packages developed to solve similar problems.
- It is easy to extend any computation to any dimension of space-time and for any theory of gravity, as long as we consider a homogeneous background.
- the users who have little or no knowledge of Mathematica syntax or xAct syntax can derive the same utility from the package pretty much the same way an expert in those packages would do.
- The package is relatively fast. At first order, the entire equations of General Relativity could be derived in approximately two seconds, and as the order in perturbation theory increases, the timing grows roughly as a power law.

Finally, we plan to extend this approach beyond the derivation of equations of general relativity or the conservation of energy-momentum tensor equations to the entire Einstein-Boltzmann system needed for radiation transfer, and to the Einstein-Jacobi map system needed for understanding the effect of weak gravitational lensing.

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### Appendix A: Classification of homogeneous cosmologies

In this appendix, we review the general properties of Bianchi space-times. These have homogeneous hypersurfaces, and thus there exist by construction three linearly independent Killing vector fields (KVF)  $\xi_i$ , with  $i \in \{1, 2, 3\}$ , satisfying [17]

$$\mathcal{L}_{\xi_i} \bar{g}_{\mu\nu} = 0 \quad \Leftrightarrow \quad \bar{\nabla}_{(\mu} \xi_{i\ \nu)} = 0, \quad \bar{u}_\mu \xi_i^\mu = 0. \quad (\text{A1})$$

From these relations and upon considering the vanishing of the vorticity of  $\bar{\mathbf{n}}$  [equation (24)], we obtain:

$$\mathcal{L}_{\bar{\mathbf{n}}} \xi_i = [\bar{\mathbf{n}}, \xi_i] = 0, \quad (\text{A2})$$

and hence:

$$\mathcal{L}_{\xi_i} \bar{h}_{\mu\nu} = 0. \quad (\text{A3})$$

The nature of the spatially homogeneous model is determined by the structure coefficients  $C_{ij}^k$  (with  $i, j$  and  $k$  running in  $\{1, 2, 3\}$ ), defined by the commutators of the KVF [15, 28]:

$$[\xi_i, \xi_j] \equiv -C_{ij}^k \xi_k, \quad \text{with} \quad C_{ij}^k = -C_{ji}^k. \quad (\text{A4})$$

Substituting this into the Jacobi identity,

$$[\xi_i, [\xi_j, \xi_k]] + [\xi_j, [\xi_k, \xi_i]] + [\xi_k, [\xi_i, \xi_j]] = 0, \quad (\text{A5})$$

we find the requirement

$$C_{[ij}^m C_{k]m}^l = 0 \quad \Rightarrow \quad C_{ij}^m C_{lm}^l = 0, \quad (\text{A6})$$

where we have used the fact that the structure coefficients are constant on the spatial slices.

We now construct on a given hypersurface a vector basis  $\{\mathbf{e}_i\}$  and its dual basis  $\{\mathbf{e}^i\}$ , invariant under the action of the KVF:

$$\mathcal{L}_{\xi_i} \mathbf{e}_j = [\xi_i, \mathbf{e}_j] = 0, \quad \mathcal{L}_{\xi_i} \mathbf{e}^j = 0. \quad (\text{A7})$$

From these properties along with relation (A4), we deduce that

$$[\mathbf{e}_i, \mathbf{e}_j] = C_{ij}^k \mathbf{e}_k, \quad 2e_i^\mu e_j^\nu \nabla_{[\mu} e_{\nu]}^k = -C_{ij}^k, \quad (\text{A8})$$

The coefficients of structure can be further developed in terms of a symmetric tensor  $n^{ij}$  and a ‘vector’  $a^i$  as

$$C_{ij}^k = \epsilon_{ijm} N^{mk} + 2A_{[i} \delta_{j]}^k. \quad (\text{A9})$$

where  $\epsilon_{ijm}$  denotes the Levi-Civita symbol. The classification of Bianchi space-times is exposed in detail notably in Ref. [28] and also summarized briefly in Ref. [29]. The bases  $\{\mathbf{e}_i\}$  and  $\{\mathbf{e}^i\}$  are then extended to the whole space-time by Lie dragging them with  $\bar{\mathbf{n}}$ , that is they should satisfy

$$\mathcal{L}_{\bar{\mathbf{n}}} \mathbf{e}_i = [\bar{\mathbf{n}}, \mathbf{e}_i] = 0, \quad \mathcal{L}_{\bar{\mathbf{n}}} \mathbf{e}^i = 0. \quad (\text{A10})$$

With the above prescription, we are thus able to construct a four-dimensional basis  $\{\mathbf{e}_a\} \equiv \{\bar{\mathbf{n}}, \mathbf{e}_i\}$  along with its dual  $\{\mathbf{e}^a\} \equiv \{\bar{\mathbf{n}}, \mathbf{e}^i\}$  (where  $\bar{\mathbf{n}}$  is the dual form of  $\bar{\mathbf{n}}$  and  $a \in \{0, 1, 2, 3\}$ ), that are invariant under the action of the KVF. The commutation relations simply follow from expressions (A8) and (A10): the structure coefficients  $C_{ab}^c$  vanish when



any of the indices is zero and takes the values  $C^k_{ij}$  otherwise. This method to build a four-dimensional basis out of a three-dimensional one, defined on a given spatial hypersurface, is the simplest one<sup>8</sup>.

The components of the induced metric of the hypersurfaces can then be written as

$$\bar{h}_{\mu\nu} = \bar{h}_{ij} e^i_\mu e^j_\nu. \quad (\text{A11})$$

From expressions (A3) and (A7), we have:  $\mathbf{e}_k(\bar{h}_{ij}) = 0$ ; and hence the components  $\bar{h}_{ij}$  are only time-dependent,  $\bar{h}_{ij} = \bar{h}_{ij}(\eta)$ . The connection coefficients associated with the background metric are given in this basis by

$$\bar{\Gamma}_{abc} = \frac{1}{2}(-\mathbf{e}_a(\bar{g}_{bc}) + \mathbf{e}_b(\bar{g}_{ca}) + \mathbf{e}_c(\bar{g}_{ab}) + C_{abc} - C_{bca} + C_{cab}). \quad (\text{A12})$$

We thus deduce that the spatial connection coefficients  $\bar{\Gamma}_{ijk}$  are only expressed in terms of the constants of structure since, as mentioned above, the components  $\bar{h}_{ij}$  only depend on  $\eta$ . Thus we have

$$\bar{\Gamma}_{ijk} = \frac{1}{2}(C_{ijk} - C_{jki} + C_{kij}), \quad C_{ijk} = \bar{\Gamma}_{ijk} - \bar{\Gamma}_{ikj}. \quad (\text{A13})$$

Note that the indices in the basis of the  $\mathbf{e}_i$  and  $\mathbf{e}^i$  are lowered with  $\bar{h}_{ij}$  and raised with its inverse  $\bar{h}^{ij}$ , so that for instance  $C_{kij} \equiv \bar{h}_{km} C^m_{ij}$ . Furthermore, any tensor field on the background space-time must have the same symmetries. For instance a homogeneous tensor is necessarily of the form

$$\bar{V}_{\nu_1 \dots \nu_p} = \bar{V}_{i_1 \dots i_p}(\eta) e^{i_1}_{\nu_1} \dots e^{i_p}_{\nu_p}, \quad (\text{A14})$$

from which we deduce that

$$\bar{D}_k \bar{V}_{i_1 \dots i_p} \equiv e_k^\alpha e_{i_1}^{\nu_1} \dots e_{i_p}^{\nu_p} \bar{D}_\alpha \bar{V}_{\nu_1 \dots \nu_p} = - \sum_{j=1}^p \bar{\Gamma}_{k i_j}^q \bar{V}_{i_1 \dots i_{j-1} q i_{j+1} \dots i_p}. \quad (\text{A15})$$

This relation is essentially used to compute the induced covariant derivative of the extrinsic curvature, since it is a background tensor. Note also that the constants of structure are in fact the components in this specific basis of a tensor, and in general the tensor associated can be recovered from

$$C^\alpha_{\mu\nu} \equiv C^k_{ij} e_k^\alpha e^i_\mu e^j_\nu. \quad (\text{A16})$$

Similarly, the tensors associated with  $n_{ij}$  and  $a_i$  are found from

$$n_{\mu\nu} \equiv n_{ij} e^i_\mu e^j_\nu, \quad a_\mu \equiv a_i e^i_\mu. \quad (\text{A17})$$

So the relation (A15) is actually used to compute the induced covariant derivative of these tensors since they also live on the background space-time.

The Riemann tensor of the induced metric can be expressed only in terms of the constants of structure. In the basis of the  $\mathbf{e}_i$  and  $\mathbf{e}^i$ , its components are given by

$${}^3\bar{R}_{ij}{}^{kl} = -\frac{1}{2}C^p_{ij}C_p{}^{kl} + \frac{1}{2}C_p{}^l C^{pk}{}_j + C_p{}^l C_i{}^{kp} + C_p{}^l C^k{}_i{}^p + C_{ijp}C^{pkl} + \frac{1}{2}C_i{}^l C_j{}^{kp} + \frac{1}{2}C^l{}_{ip}C^k{}_j{}^p + C^k{}_{jp}C_i{}^{lp}, \quad (\text{A18})$$

where we remind again that the indices on  $C^k_{ij}$  are lowered and raised with  $\bar{h}_{ij}$  and its inverse  $\bar{h}^{ij}$ , and where a double antisymmetrization  $[ij]$  and  $[kl]$  is implied on the indices in the right hand side. The Ricci tensor can then be deduced and we obtain

$$\begin{aligned} {}^3\bar{R}_{ij} &= -\frac{1}{2}C_{kil}C^k{}_j{}^l - \frac{1}{2}C_{kil}C^l{}_j{}^k + \frac{1}{4}C_i{}^{kl}C_{jkl} + C_{(ij)}{}^p C^k{}_{pk} \\ {}^3\bar{R} &= -\frac{1}{4}C_{ijk}C^{ijk} - \frac{1}{2}C_{ijk}C^{jik} + C^{kj}{}_k C^p{}_{pj}. \end{aligned} \quad (\text{A19})$$

Note that thanks to the Jacobi identities (A6), the Riemann and Ricci tensors of the induced metric can take several equivalent forms. Finally, since the hypersurfaces are homogeneous, any induced derivative on  ${}^3\bar{R}_{ijkl}$  can be computed using Eq. (A15). All the rules of this appendix, that is the equations (A15), (A13), (A18) and (A19) are automatically implemented when calling the function `BackgroundSlicing` in case the space type specified is a Bianchi space-time. An option boolean controls whether or not the constants of structure should be opened using the parameterization (A9) in the final expressions.

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<sup>8</sup> Note that in this framework, only  $\bar{\mathbf{n}}$  is a unit vector. An alternative approach consists in building a basis of vectors that all are unitary, by renormalizing the  $\mathbf{e}_i$ . However, by doing so, the obtained spatial vectors do not commute with  $\bar{\mathbf{n}}$  anymore, and their associated structure coefficients become time-dependent. We shall not consider such possibility in the present paper, but details can be found in, e.g., [17, 28, 29].