

3 Sorting Algorithms

Sorting

input: sequence $(a(1), \dots, a(n))$ or set $\{a(1), \dots, a(n)\}$
output: sequence $(a(\pi(1)), \dots, a(\pi(n)))$ with $a(\pi(1)) \leq \dots \leq a(\pi(n))$

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with

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naive algorithm

1) compute minimum

$\min\{a(1), \dots, a(n)\}$

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$M(n)$ comparisons

$$\begin{aligned} M(1) &= 0 \\ M(n) &= 1 + M(n-1) \end{aligned}$$

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expand

$$\begin{aligned} M(b) &= 1 + M(n-1) \\ &= 1 + 1 + M(n-2) \\ &= 2 + M(n-2) \\ &\dots \\ &= x + M(n-x) \end{aligned}$$

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$$= 1 + 1 + M(n-2)$$

$$= 2 + M(n-2)$$

...

$$= x + M(n-x)$$

stop at

$$n-x=1, \quad x=n-1$$

$$M(n) = n-1$$

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$$\text{sort}(A) = \min(A) \circ \text{sort}(A \setminus \min(A))$$

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$$S(n) = M(n) + S(n-1)$$

$$= n - 1 + S(n-1)$$

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expand

stop at

$$\begin{aligned} S(1) &= 0 \\ S(n) &= M(n) + S(n-1) \\ &= n-1 + S(n-1) \end{aligned}$$

$$\begin{aligned} S(n) &= M(n) + S(n-1) \\ &= n-1 + S(n-1) \\ &= n-1 + n-2 + S(n-2) \\ &\dots \\ &= \sum_{i=n-x}^{n-1} i + S(n-x) \end{aligned}$$

$$\begin{aligned} n-x &= 1 \\ S(n) &= \sum_{i=1}^{n-1} i = (n-1) \cdot n/2 \end{aligned}$$

merge 2 sorted lists of length $n/2$

input: sorted sequences

$$(a(1), \dots, a(n/2))$$

with

$$(b(1)), \dots, b(n/2))$$

output: merged sequence

$$(c(1), \dots, c(n)) \quad \text{sorted}$$

Merge Sort

$$a(1) \leq \dots \leq a(n/2)$$

$$b(1) \leq \dots \leq b(n/2)$$

$$c(1) \leq \dots \leq c(n)$$

merge 2 sorted lists of length n/2

input: sorted sequences

$$(a(1), \dots, a(n))$$
$$(b(1)), \dots, b(m))$$

with

output: merged sequence $(c(1), \dots, c(n + m))$ sorted

$$merge((a(1), \dots, a(n)), (b(1), \dots b(m)))$$
$$= \begin{cases} a(1) \circ merge((a(2), \dots, a(n)), (b(1), \dots b(m))) & a(1) \leq b(1) \\ b(1) \circ merge((a(1), \dots, a(n)), (b(2), \dots b(m))) & a(1) > b(1) \end{cases}$$

$$n + m - 1$$

comparisons

Merge Sort

$$a(1) \leq \dots \leq a(n)$$

$$b(1) \leq \dots \leq b(nm)$$

$$c(1) \leq \dots \leq c(n + m))$$

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$n + m - 1$ comparisons

$$sort((a(1), \dots, a(n))) = merge(sort(a(1) \dots a(n/2)), sort(a(n/2 + 1), \dots, a(n)))$$

merge 2 sorted lists of length $n/2$

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$$S(1) = 0$$

$$S(n) < n/2 + n/2 + 2 \cdot S(n/2)$$

$$= 2 \cdot S(n/2) + n$$

difference equations (and difference inequalities)

Let

$$n = 2^k \quad k \in \mathbb{N}$$

be a power of two and assume

$$\begin{aligned} f(1) &= a \\ f(n) &= 2 \cdot f(n/2) + b \cdot n \\ f(n) &= ? \end{aligned}$$

Idea: expand definition until you see something

$$\begin{aligned} f(n) &= 2 \cdot f(n/2) + b \cdot n \\ &= 2 \cdot (2 \cdot f(n/4) + b \cdot n/2) + b \cdot n \\ &= 2^2 \cdot f(n/2^2) + 2 \cdot b \cdot n \\ &= \dots \\ &= 2^x \cdot f(n/2^x) + x \cdot b \cdot n \end{aligned}$$

Stop recursion at

$$n/2^x = 1, \quad x = k = \log n$$

Conjecture

$$\begin{aligned} f(n) &= n \cdot f(1) + b \cdot n \cdot \log(n) \\ &= b \cdot n \cdot \log n + a \cdot n \end{aligned}$$

Merge Sort

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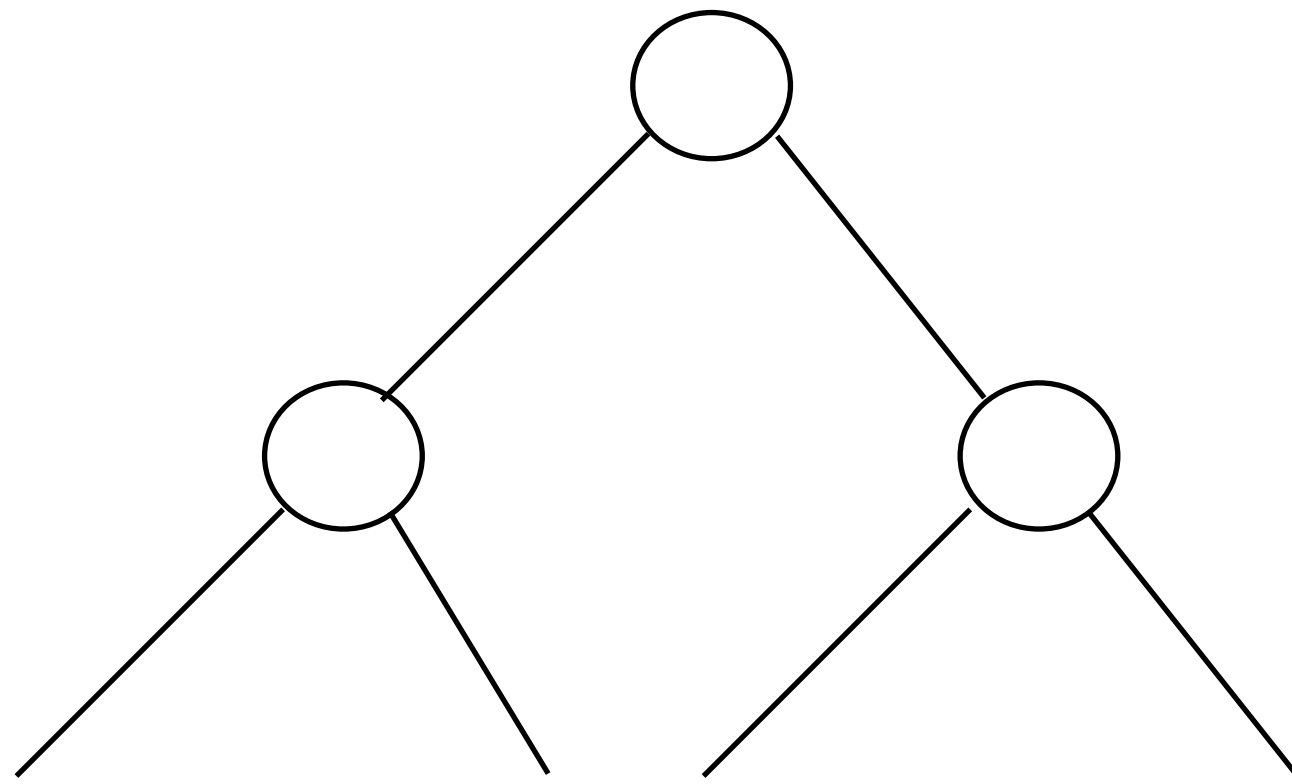
$$= 2 \cdot S(n/2) + n$$

$$S(n) < n \cdot \log n$$

can we sort asymptotically faster with comparisons?

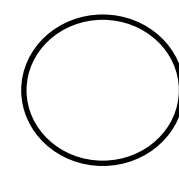
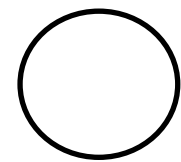
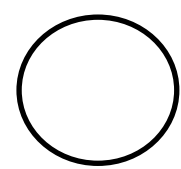
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can represent any such algorithm as binary tree

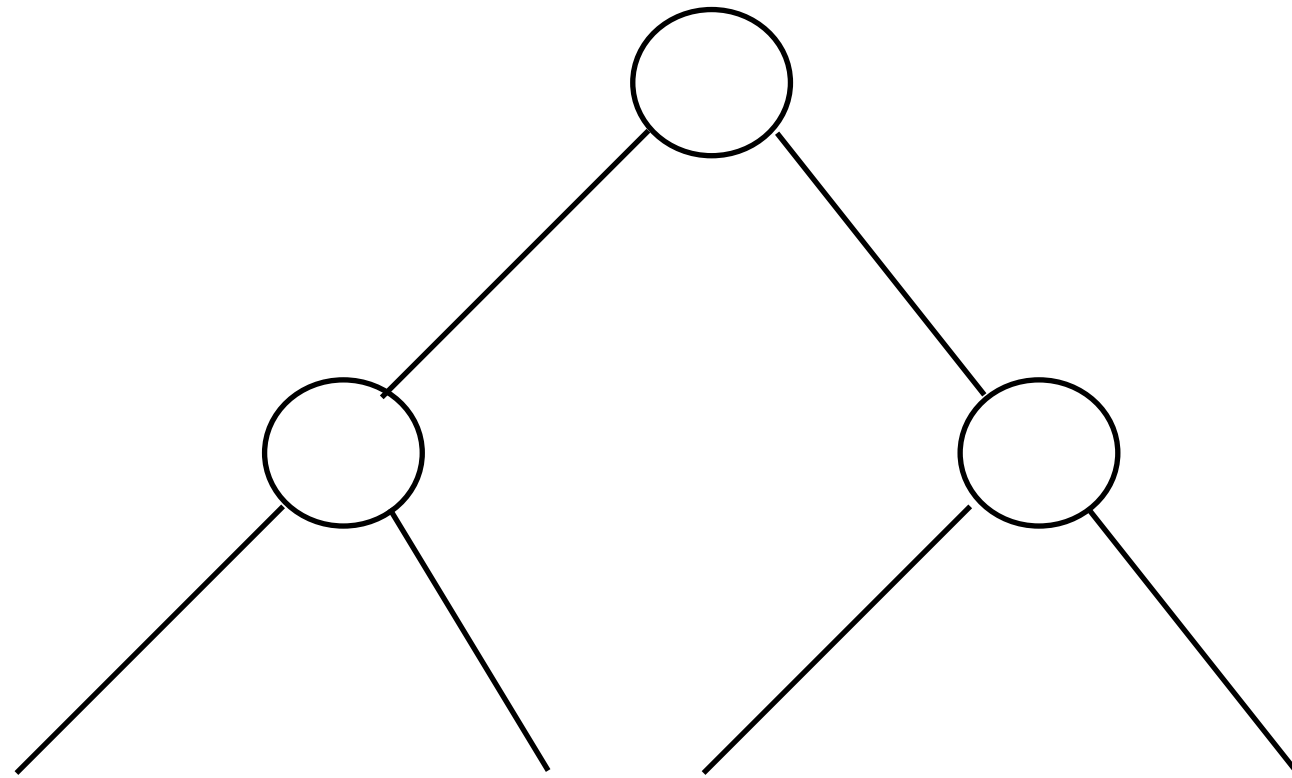
- nodes: comparisons



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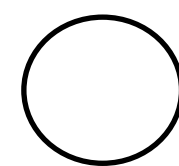
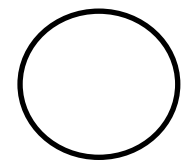
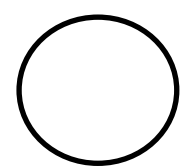
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$(a(1), \dots, a(n))$



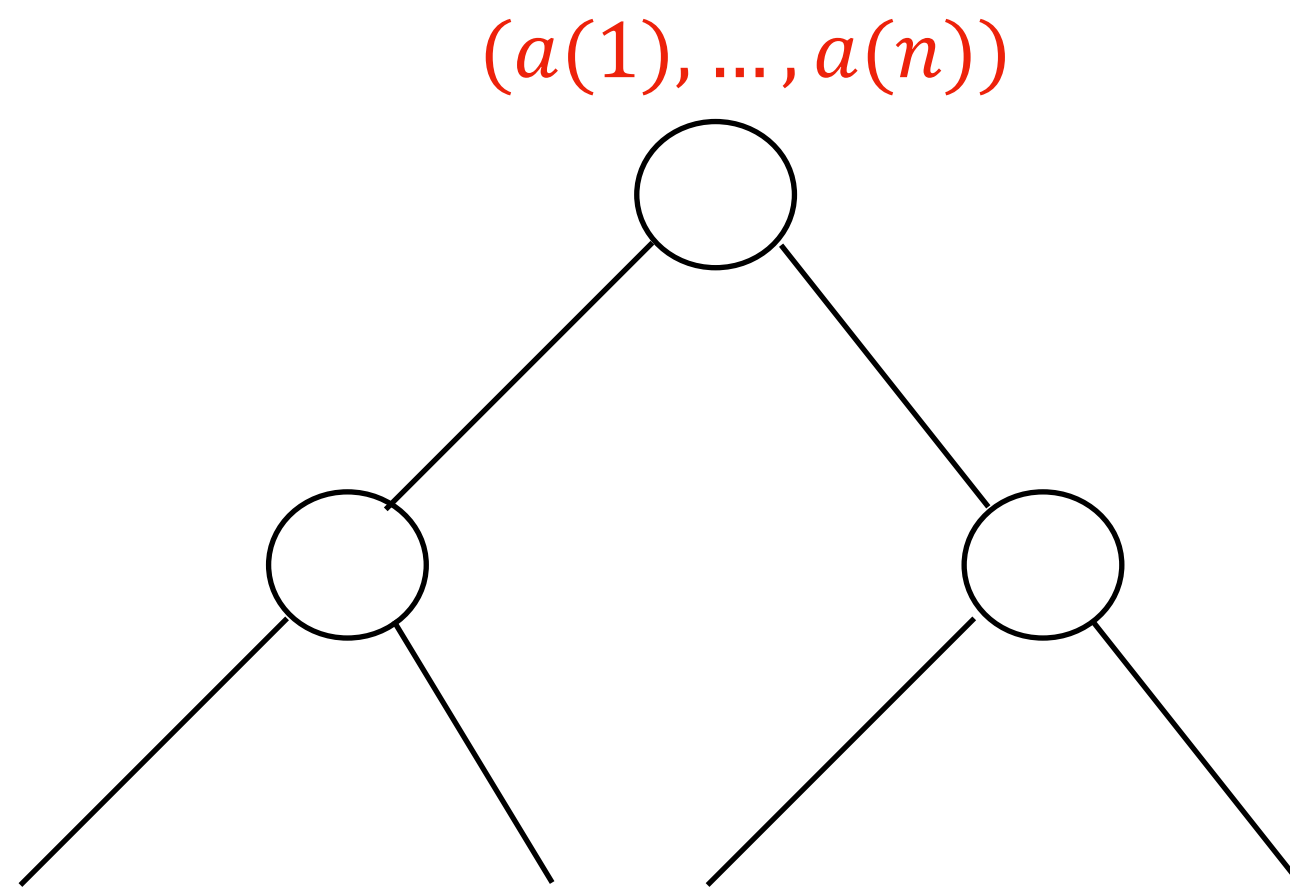
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- nodes: comparisons
- at root: input sequence or set to be sorted



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- nodes: comparisons
- at root: input sequence or set to be sorted
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- one leaf for each permutation

π

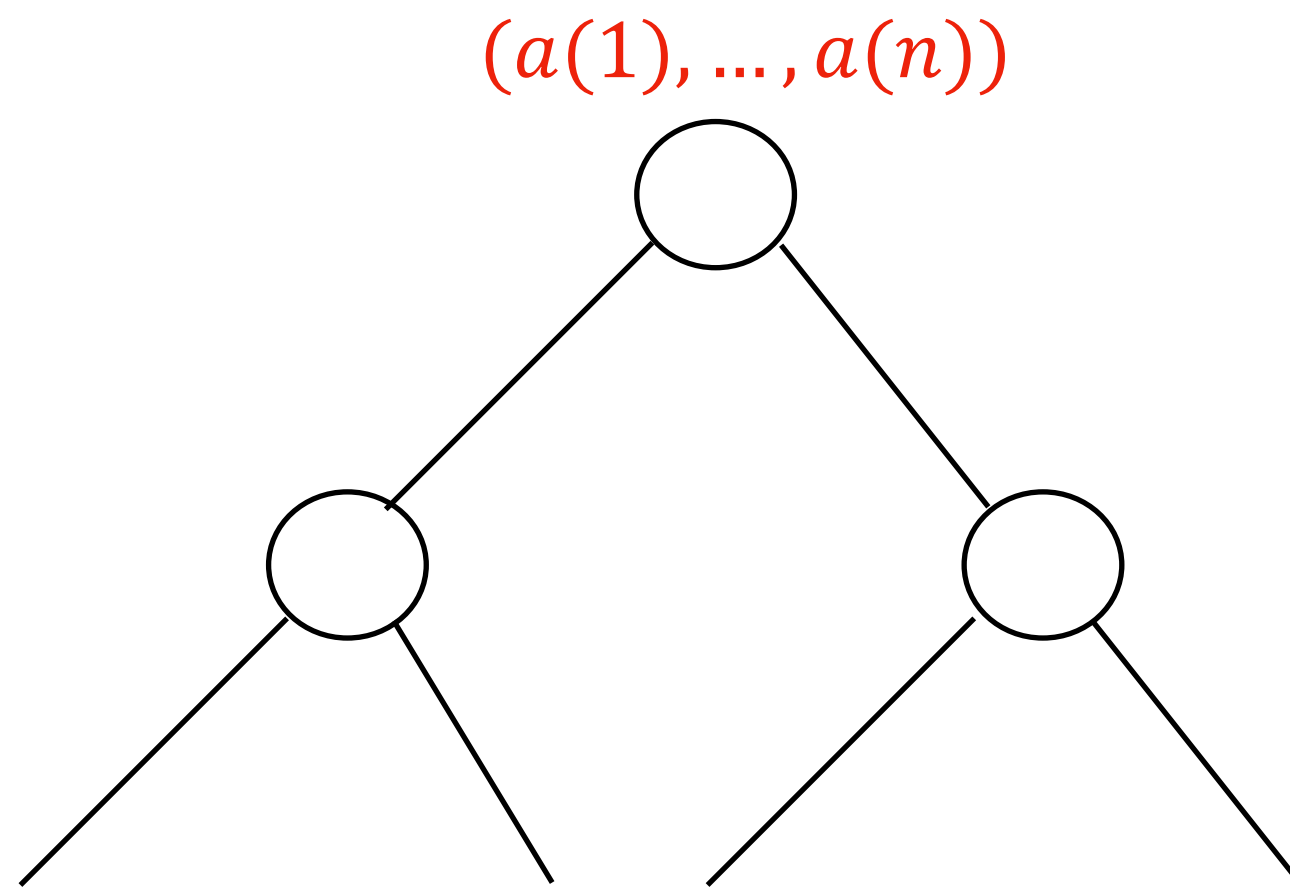
depth of tree = length of longest path = worst case number of comparisons



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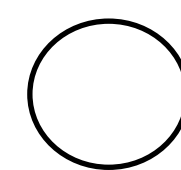
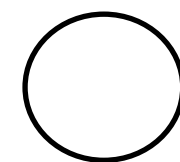
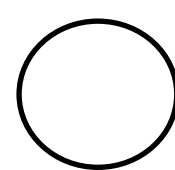
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d

depth of tree = length of longest path = worst case number of comparisons

easy induction:

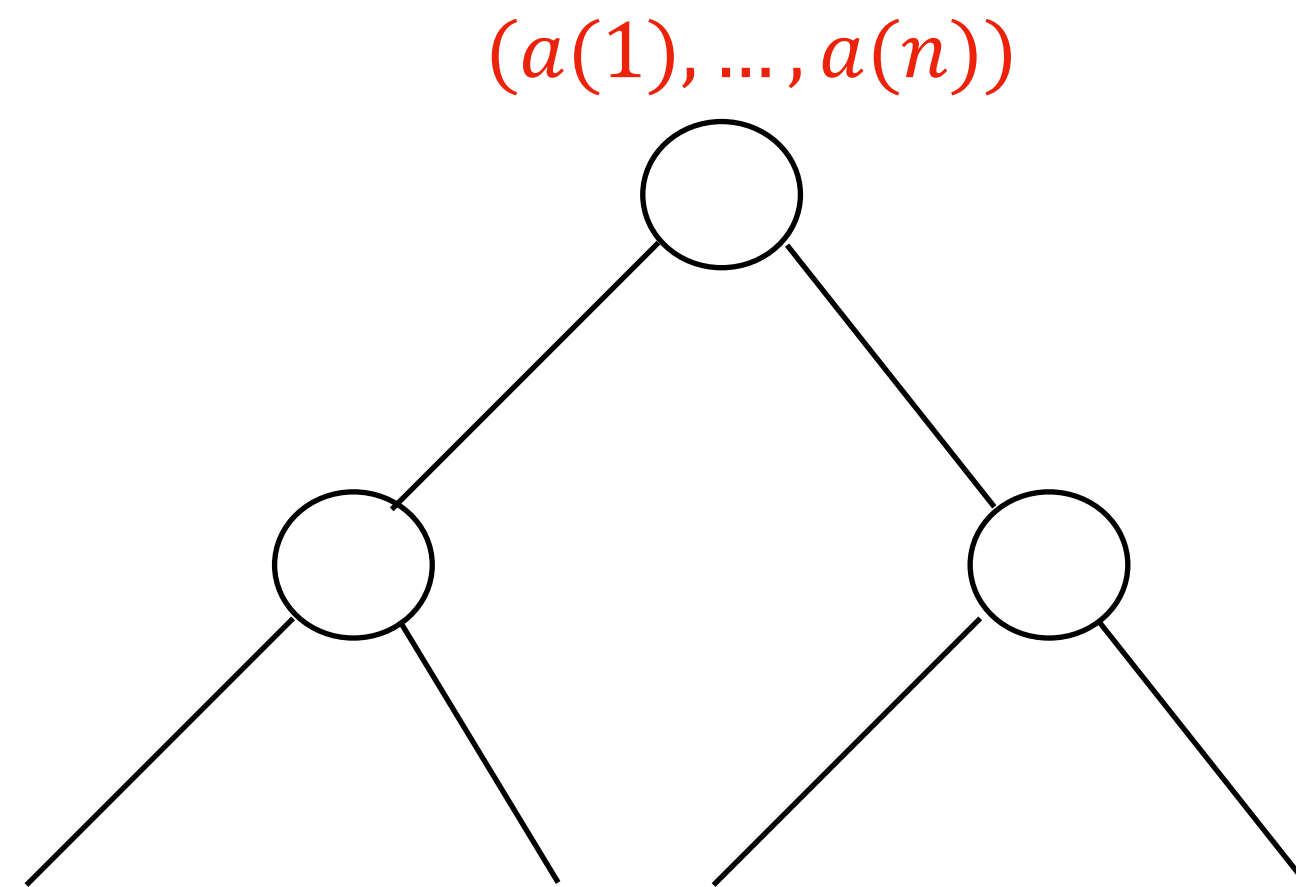
binary tree with depth d has at most 2^d leaves



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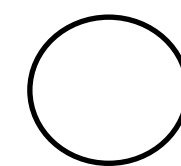
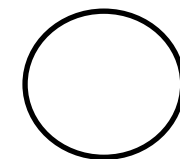
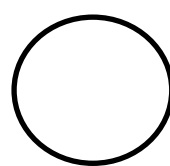
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def:

$n!$

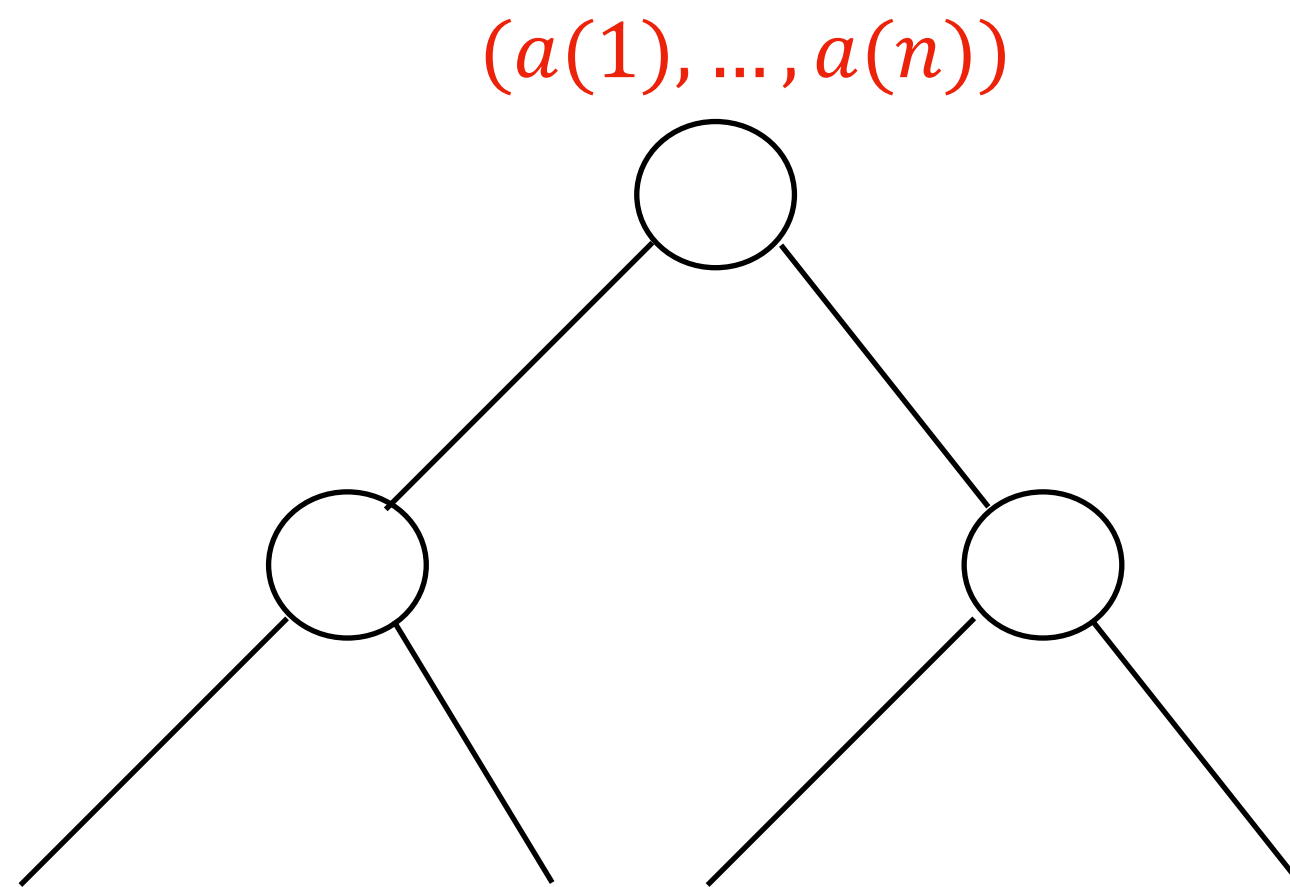
number of permutations of n numbers

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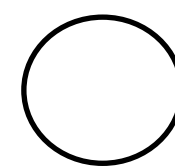
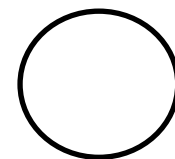
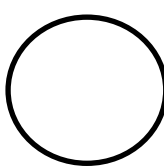
$$1! = 1$$

$$n! = n \cdot (n-1)!$$

choices of first element

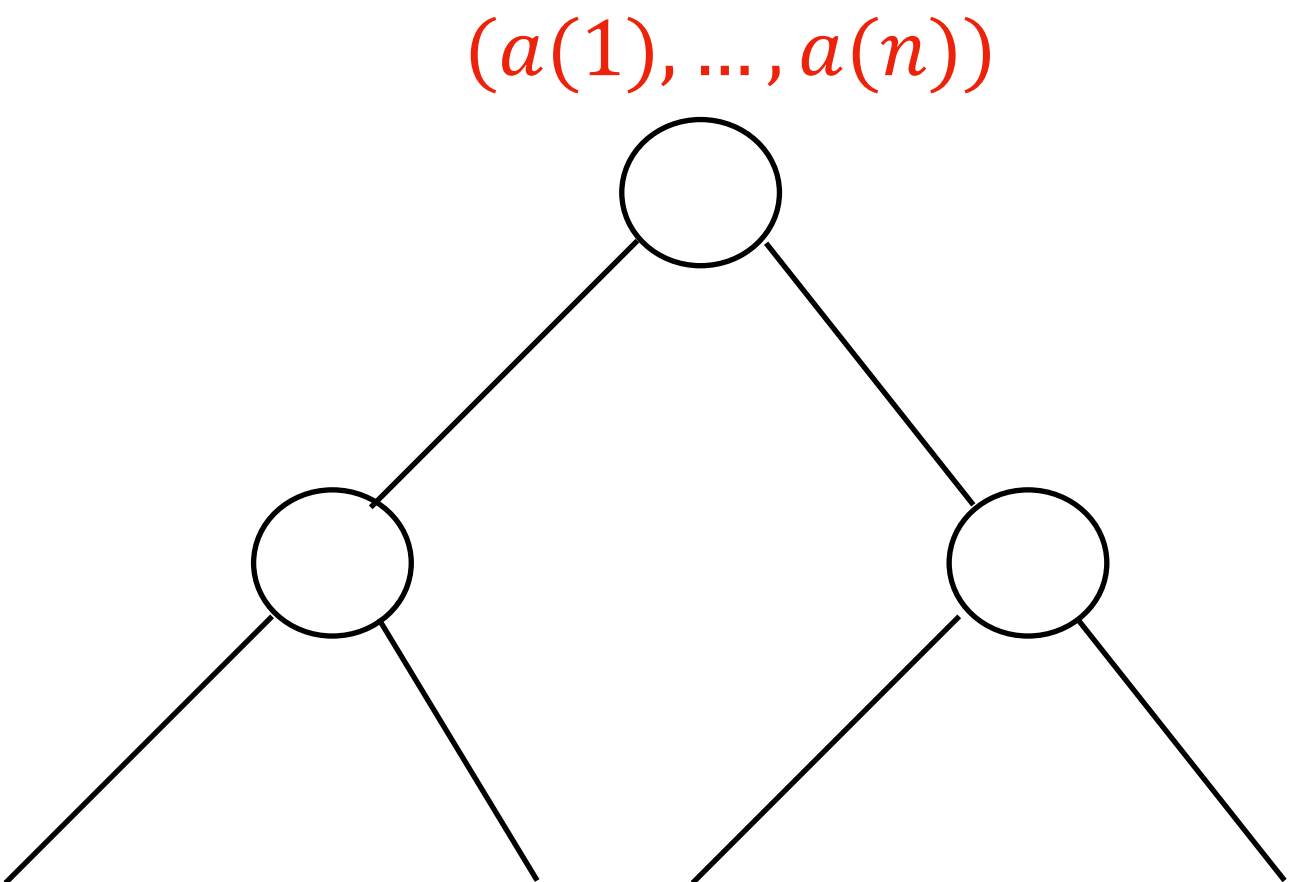
possible permutations of remaining elements

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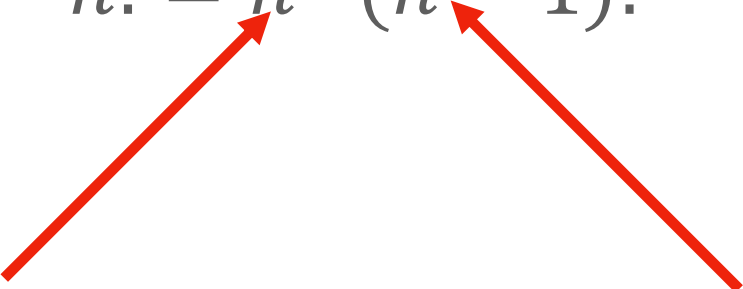
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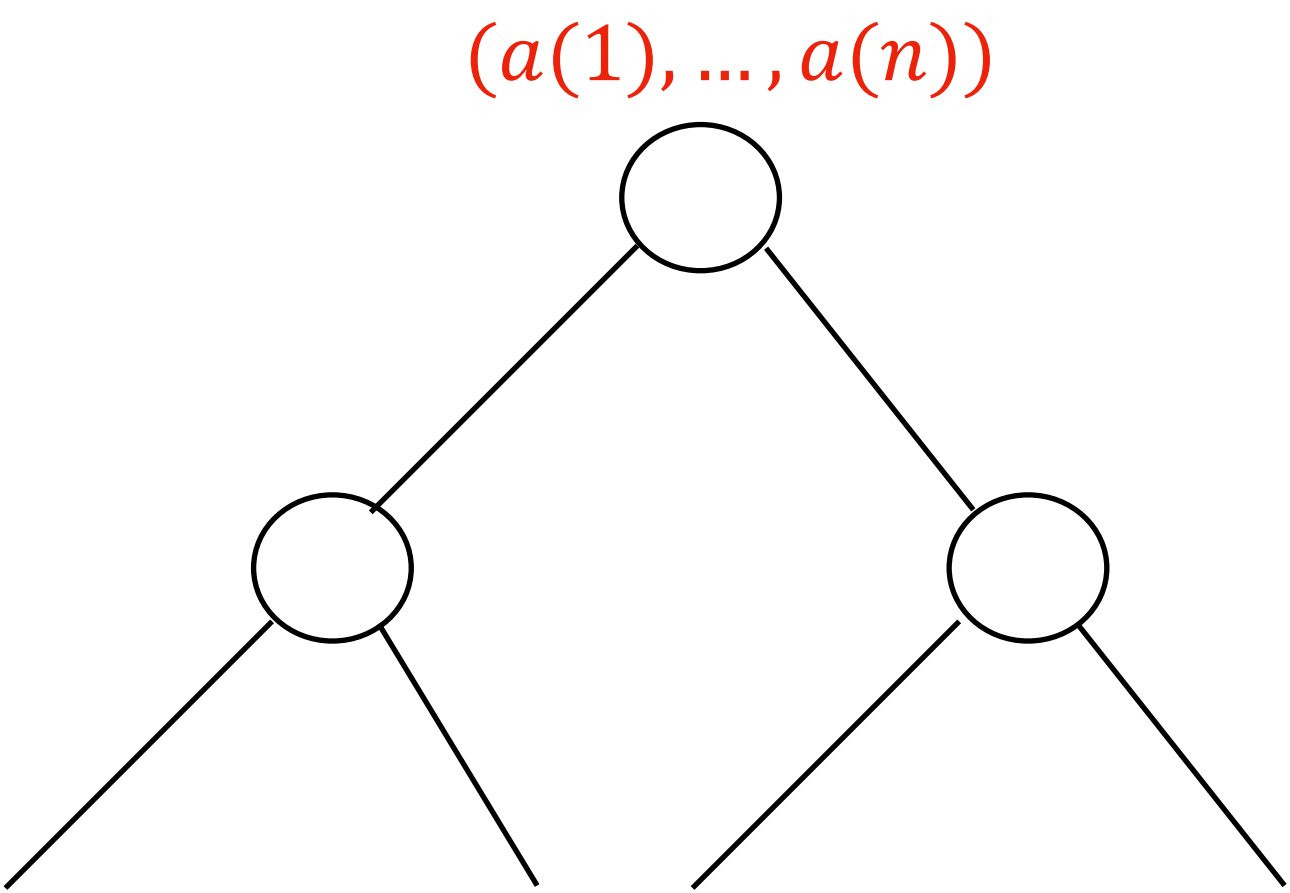


$(a(\pi(1)), \dots, a(\pi(n)))$

$2^d \geq n! > n \cdot (n - 1) \cdot \dots \cdot n/2 > (n/2)^{n/2}$

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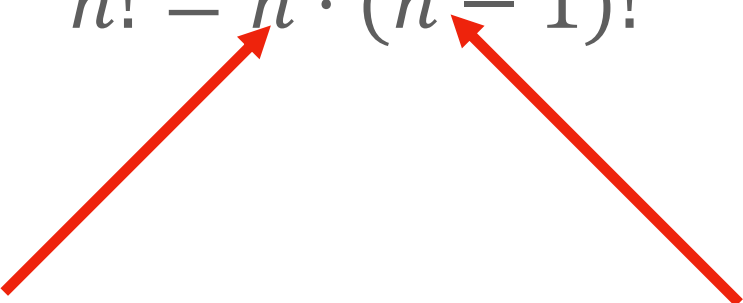
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choices of first element possible permutations of remaining elements



$(a(\pi(1)), \dots, a(\pi(n)))$

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$d > (n/2) \cdot \log(n/2)$

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based on ,random events‘
whatever randomness is...

more in ,theoretical computer science‘
or - time permitting - here

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two flavors

- random input
 - modeling: our lack of understanding of what future inputs we will see
 - **bad:** what if an adversary constructs the input - when it matters?
- the algorithm generates - and branches on - random data
 - good: this is under the programmer's control
 - usual implementation: by a **pseudo random number generator**

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the miracle

- you program with a pseudo random number generator
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- the measured run time (usually) matches the analysis

gentle reminder at this place:

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repairs just happen to be particularly rare

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1903: Russel paradox

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1903: Russel paradox

why does probabilistic modeling
of a completely deterministic -provably not random - algorithm
give the right results??

the miracle

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Quicksort

here: the algorithm does the random experiments

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Quicksort. 1960, Tony Hoare

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2013

now computer science textbooks continue since decades

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$n-1$ comparisons

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$T(n)$ expected run time for sorting n elements

$$T(n) \leq n + (1/n) \cdot \sum_{i=1}^n (T(i-1) + T(n-i))$$

now **computer science textbooks** continue since decades

here: the algorithm does the random experiments

input: $(a(1), \dots, a(n))$ or set $A = \{a(1), \dots, a(n)\}$ we assume here: $a(i)$ mutually distinct

random experiment: choose 'splitter' $s \in \{a(1), \dots, a(n)\}$

all n splitters equally likely

$$A_{<} = \{a \in A \mid a < s\}$$

$n-1$ comparisons

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← **this** should have a proof!