# Deep learning

April 2023

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#### Outline

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# What is deep learning?

➤ a sub-field of machine learning dealing with algorithms inspired by the structure and function of the brain called artificial neural networks



- it mirrors the functioning of our brains
- similar to how nervous system structured where each neuron connected each other and passing information

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#### Deep Convolutional Neural Networks

(ConvNets) are deep artificial neural networks used:

- ▶ to classify images (e.g. name what they see)
- cluster them by similarity (photo search)
- perform object recognition within scenes

algorithms that can identify faces, individuals, street signs, tumors, perform optical character recognition (OCR).

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### Deep Convolutional Neural Networks

- pre-processing required in a ConvNet is much lower as compared to other classification algorithms
- architecture of a ConvNet is analogous to that of the connectivity pattern of Neurons in the Human Brain -Visual Cortex
- ➤ a ConvNet captures the spatial and temporal dependencies in an image

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#### Tensors

An  $n^{th}$  - rank tensor in m-dimensional space is a mathematical object that has n indices and  $m^n$  components and obeys certain transformation rules.

- generalizations of scalars, vectors, and matrices to an arbitrary number of indices.
- -used in physics such as elasticity, fluid mechanics, and general relativity.

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#### Notations for tensors

- $ightharpoonup a_{ijk...}$ ,  $a_i^{jk...}$ , etc., may have an arbitrary number of indices
- ▶ a tensor (rank r + s) may be of mixed type (r, s): r "contravariant" (upper) indices and s "covariant" (lower) indices.
- the positions of the slots in which contravariant and covariant indices are placed are significant!

$$a_{\mu
u}^{\lambda}
eq a_{\mu}^{
u\lambda}$$

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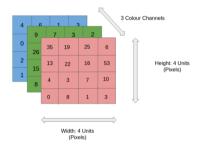
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#### Images as tensors

ConvNets ingest and process images as tensors.



- reduce the images  $\longrightarrow$  form easy to process (without losing critical features)

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# Convolution operation

an operation on two functions x(t) (input) and w(t) (kernel) of a real - valued argument

$$s(t) = \int x(a)w(t-a)da$$

notation:

$$s(t) = (x \circledast w)(t)$$

if t is discreet the integral turns into a sum

$$s(t) = (x \circledast w)(t) = \sum_{a=-\infty}^{\infty} x(a)w(t-a)$$

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in practice we have two tensors:

- ▶ the input a multidimensional array of data
- ▶ the kernel a multidimensional array of parameters (adapted by the learning algorithm)
- stored separately  $\longrightarrow$  that these functions are zero everywhere but in the finite set of points
- we can implement the infinite summation as a summation over a finite number of array elements
- convolutions can be over more than one axis at a time

$$S(i,j) = (I \circledast K)(i,j) = \sum_{m} \sum_{n} I(m,n)K(i-m,j-n)$$

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# Using a kernel

we want apply a filter over the image

AIM: **extract the high-level features** (edges, color, gradient orientation)

Example: Image Dimensions = 5 (Height)  $\times$  5 (Breadth)  $\times$  1 (Number of channels, eg. RGB)

Kernel / filter:

$$K = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 1 \end{bmatrix}$$

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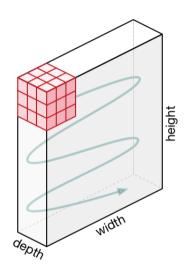
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### Using a Kernel - movement of the Kernel



- kernel shifts
- every time performing a matrix multiplication operation between K and the portion P of the image over which the kernel is hovering

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# Using a kernel - 3 channels

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# Layers of a convolutional network

#### Typical three stages:

- first: several convolutions
- second: a nonlinear activation function (ex: rectified linear activation function) detector stage
- ▶ third: **pooling** function to modify the output

Examples: max pooling (Zhou and Chellappa, 1988 - maximum output within a rectangular neighborhood), the average of a rectangular neighborhood,  $L^2$  norm of a rectangular neighborhood, a weighted average based on the distance from the central pixel.

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# Training the network

similar with ANN

▶ after computing the error, a gradient descent method is applied to all layers

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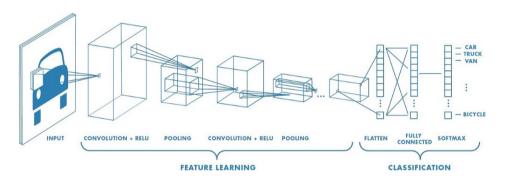
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Applying this filter to an image will result in a feature map that only contains vertical lines. It is a vertical line detector.

| 1.0 | 0.0 | -1.0 |
|-----|-----|------|
| 2.0 | 0.0 | -2.0 |
| 1.0 | 0.0 | -1.0 |

Table: A 3x3 element Sobel filter for detecting vertical lines

Dragging this filter systematically across pixel values in an image can only highlight vertical line pixels.

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| -2.0 | -2.0 | -4.0 | -2.0 | -2.0 |
|------|------|------|------|------|
| -1.0 | -1.0 | -2.0 | -1.0 | -1.0 |
| 0.0  | 0.0  | 0.0  | 0.0  | 0.0  |
| 1.0  | 1.0  | 2.0  | 1.0  | 1.0  |
| 2.0  | 2.0  | 4.0  | 2.0  | 2.0  |

Table: A horizontal line detector

 combining the filters' results (combining both feature maps, will result in all of the lines in an image being highlighted)

▶ a suite of tens or even hundreds of other small filters can be designed to detect other features in the image

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\* the values of the filter are weights to be learned during the training of the network

training under gradient descent

- ▶ the network will learn what types of features to extract from the input
- the extracted features will be those that minimize the loss function (e. g. extract features that are the most useful for classifying images as dogs or cats)

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► the input 
$$X = \begin{bmatrix} x_{1,1} & x_{1,2} & x_{1,3} \\ x_{2,1} & x_{2,2} & x_{2,3} \\ x_{3,1} & x_{3,2} & x_{3,3} \end{bmatrix}$$

• the filter 
$$F = \begin{bmatrix} F_{1,1} & F_{1,2} \\ F_{2,1} & F_{2,2} \end{bmatrix}$$

- ▶ the output is  $O = X \circledast F$
- $ightharpoonup \frac{\partial E}{\partial O}$  is the gradient of loss from previous layer

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After we apply the convolution on X and F we have:

$$O_{1,1} = x_{1,1} * F_{1,1} + x_{1,2} * F_{1,2} + x_{2,1} * F_{2,1} + x_{2,2} * F_{2,2}$$

$$O_{1,2} = x_{1,2} * F_{1,1} + x_{1,3} * F_{1,2} + x_{2,2} * F_{2,1} + x_{2,3} * F_{2,2}$$

$$O_{2,1} = x_{2,1} * F_{1,1} + x_{2,2} * F_{1,2} + x_{3,1} * F_{2,1} + x_{3,2} * F_{2,2}$$

$$O_{2,2} = x_{2,2} * F_{1,1} + x_{2,3} * F_{1,2} + x_{3,2} * F_{2,1} + x_{3,3} * F_{2,2}$$

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we compute the partial derivative of O with respect of F

$$\frac{\partial O_{1,1}}{\partial F_{1,1}} = x_{1,1}, \ \frac{\partial O_{1,1}}{\partial F_{1,2}} = x_{1,2}, \ \frac{\partial O_{1,1}}{\partial F_{2,1}} = x_{2,1}, \ \frac{\partial O_{1,1}}{\partial F_{2,2}} = x_{2,2}$$

similar we compute for  $O_{1,2}$ ,  $O_{2,1}$ , and  $O_{2,2}$ 

the gradient to update the filter will be

$$\frac{\partial E}{\partial F} = \frac{\partial E}{\partial O} * \frac{\partial O}{\partial F} \tag{1}$$

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if we expand Equation 1

$$\frac{\partial E}{\partial F_{1,1}} = \frac{\partial E}{\partial O_{1,1}} * \frac{\partial O_{1,1}}{\partial F_{1,1}} + \frac{\partial E}{\partial O_{1,2}} * \frac{\partial O_{1,2}}{\partial F_{1,1}} + \frac{\partial E}{\partial O_{2,2}} * \frac{\partial O_{2,2}}{\partial F_{1,1}} + \frac{\partial E}{\partial O_{2,2}} * \frac{\partial O_{2,2}}{\partial F_{1,1}} = \frac{\partial E}{\partial O_{1,1}} * x_{1,1} + \frac{\partial E}{\partial O_{1,2}} * x_{1,2} + \frac{\partial E}{\partial O_{2,1}} * x_{2,1} + \frac{\partial E}{\partial O_{2,2}} * x_{2,2}$$

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we observe that this is actually a convolution product between the input and the loss gradient

$$\begin{bmatrix} \frac{\partial E}{\partial F_{1,1}} & \frac{\partial E}{\partial F_{1,2}} \\ \frac{\partial E}{\partial F_{2,1}} & \frac{\partial E}{\partial F_{2,2}} \end{bmatrix} = X \circledast \begin{bmatrix} \frac{\partial E}{\partial O_{1,1}} & \frac{\partial E}{\partial O_{1,2}} \\ \frac{\partial E}{\partial O_{2,1}} & \frac{\partial E}{\partial O_{2,2}} \end{bmatrix}$$
(2)

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similar we get for the derivative of E with respect of X

$$\begin{bmatrix} \frac{\partial E}{\partial x_{1,1}} & \frac{\partial E}{\partial x_{1,2}} & \frac{\partial E}{\partial x_{1,3}} \\ \frac{\partial E}{\partial x_{2,1}} & \frac{\partial E}{\partial x_{2,2}} & \frac{\partial E}{\partial x_{2,3}} \\ \frac{\partial E}{\partial x_{1,1}} & \frac{\partial E}{\partial x_{2,2}} & \frac{\partial E}{\partial x_{2,3}} \end{bmatrix} = \begin{bmatrix} F_{2,2} & F_{2,1} \\ F_{1,2} & F_{1,1} \end{bmatrix} \circledast \begin{bmatrix} \frac{\partial E}{\partial O_{1,1}} & \frac{\partial E}{\partial O_{1,2}} \\ \frac{\partial E}{\partial O_{2,1}} & \frac{\partial E}{\partial O_{2,2}} \end{bmatrix}$$

observe that matrix F is flipped over  $180^{o}$  degrees in this formula.

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# Pooling

helps to make the representation approximately invariant to small translations of the input.

- useful property if we care more about whether some feature is present than exactly where it is;
- essential for handling inputs of varying size;
- Some guidance as to which kinds of pooling one should use in various situations: Boureau et al., 2010.

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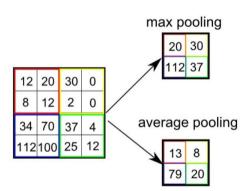
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Pooling Layer

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### Pooling



- Max Pooling returns the maximum value from the portion of the image covered by the Kernel;
- Average Pooling returns the average of all the values from the portion of the image covered by the Kernel

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# Training the Pooling layer

in an  $N \times N$  pooling block, backward propagation of error is reduced to a single value - value of the "winning unit"

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