

# Solution to Exercise 3 — Model Theory (1), 80616

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## Question 1

### Part a

We will show that there is a collection  $X \subseteq \mathcal{P}(\omega)$  such that  $|X| = \aleph_1$  and if  $x \neq y \in X$  then  $x \cap y$  is bounded.

*Proof.* For any  $A \subseteq \omega$  infinite let us define  $Y(A) = \{\sum_{n \in A \cap m} 2^n \mid m < \omega\}$ , then  $X(A) \in \mathcal{P}(\omega)$ . It follows that  $Y : \omega^\omega \rightarrow \mathcal{P}(\omega)$  is a function, note that it is injective, as if  $A \neq B \in \text{dom } Y$  then there is  $m \in A \setminus B$ , witnessing  $Y(A) \neq Y(B)$ . The injectivity of  $Y$  implies that  $|\text{Im } Y| = |\mathcal{P}(\omega)|$ , and let  $X = \text{Im } Y$ .

We move to show that if  $A' \neq B' \in X$  then  $A' \cap B' < \alpha$  for some  $\alpha < \omega$ . Let  $A, B \subseteq \omega$  be elements such that  $Y(A) = A', Y(B) = B'$ .  $A \neq B \implies \exists m < \omega, k \in A, k \notin B$  without loss of generality. Let  $k < m < \omega$ , then it suffices to show that  $\sum_{n < m} 2^n (\mathbb{1}_A(n) - \mathbb{1}_B(n)) \neq 0$ , but as a result from number theory and by the fact that  $A \cap m \neq B \cap m$  the expression indeed does not nullify. We deduce that  $|A' \cap B'| \leq k < \omega$  as wished.  $\square$

### Part b

Let  $\mathcal{L} = \{0, S, \leq\} \cup \{R_X \mid X \subseteq \omega\}$  be an uncountable language such that 0 is constant symbol,  $S$  is unary function symbol,  $\leq$  is binary relation symbol and  $R_X$  is an unary relation symbol for all  $X \subseteq \omega$ .

Let  $\mathcal{A}$  be a model over  $\mathcal{L}$  with  $A = \omega, 0^{\mathcal{A}} = 0, S^{\mathcal{A}}(n) = n + 1, x \leq^{\mathcal{A}} y \iff x \in y$  and  $R_X^{\mathcal{A}}(n) \iff n \in X$ , and let  $T = \text{Th}(\mathcal{A})$ .

We will show that  $T$  is  $\omega$ -categorical, that it has infinitely many nonequivalent formulas and that there are infinitely many non-isolate types in  $S_1(T)$ .

*Proof.* Let  $\mathcal{M} \models T$  be some countable model, we will show that  $\mathcal{M} \cong \mathcal{A}$ . We define recursively  $f : A \rightarrow M$  by  $f(0) = 0^{\mathcal{M}}$  and  $f(n+1) = S^{\mathcal{M}}(f(n))$ . It follows that  $f$  preserves 0,  $S$ , it remains to show it also preserves  $\leq, R_X$ . The claim that  $f$  preserves  $\leq$  can be shown using double induction by fixing each  $n$  and proving  $n \leq m \implies f(n) \leq f(m)$ . Definability of  $n \in \mathcal{A}$  as  $S^n(0)$  and the fact for each  $X, R_X^{\mathcal{A}}(n) \iff S^n(0) \in X$  implies that  $R_X$  is preserved under  $f$  as well.

$\mathcal{A}$  fulfills the axiom scheme of induction, meaning that  $T$  does as well. Each  $X \subseteq A$  is definable using  $R_X$ , meaning that the sentence  $(0 \in \mathbb{N} \wedge (x \in \mathbb{N} \rightarrow S(x) \in \mathbb{N})) \rightarrow \forall x \in \mathbb{N}$  exists in  $T$  (to be precise it is symbolically appears and not the actual form written) where  $\mathbb{N}$  is some  $X$ .  $f$  is defined as injection, let  $m \in M$  be some element. If  $m \in \mathbb{N}$  then by the recursive definition of  $f, m \in \text{Im } f$ . Otherwise,  $\mathcal{M} \models m \notin \mathbb{N}$ , a contradiction to the sentence  $\in T$ .  $f$  is bijection and thus a model isomorphism, thus  $T$  is  $\omega$ -categorical.

Let  $\varphi_n(x) = (x = S^n(0))$ , as an informal way to define that  $\varphi_0(x) = (x = 0), \varphi_1 = (x = S(0))$  and so on.  $\mathcal{A} \models \forall x x \neq S(x)$ , then we can deduce that  $\varphi_n \not\equiv \varphi_m$  for all  $n < m < \omega$ . Then  $\{\varphi_n \mid n < \omega\} \subseteq T$  is a set of non-equivalent formulas.

Let  $p(x) = \{\underline{n} \leq x \mid n < \omega\}$  be a partial type, and let  $A \in X$  for  $X$  of the previous part.  $T \models \forall x (x = 0 \vee \exists y S(y) = x)$  therefore if  $S = T \cup p(\gamma)$  for new constant symbol  $\gamma$  then  $\exists x x = S^z(\gamma)$  for any  $z \in \mathbb{Z}$ . Let  $p_A(x) = \text{cl}_T p(x) \cup \{R_A(S^a(x)) \mid a \in A\} \cup \{\neg R_Y(S^z(x)) \mid A \neq Y \subseteq \omega, z \in \mathbb{Z}\}$ .  $S_A = T \cup p_A(\gamma)$  is complete as a closure under consequences. It can be shown using induction over the structure of the formula that  $p_A$  cannot be omitted for any  $A \in X$ .

We want to show that there are infinitely many different types  $p_A$ . Let  $A \neq B \in X$  and let us consider  $S_A, S_B$ . If  $\mathcal{M} \models S_A \cap S_B$  then  $\mathcal{M}$  thinks that there is a maximal element in  $A \cap B$ , meaning that the class is bounded, in oppose to the fact that  $S_A, S_B$  think that  $A, B$  are unbounded. It follows that  $S_A \neq S_B$  and that there is no common theory such that it contain  $T$ . We conclude that there are  $2^{\aleph_0}$  such types.  $\square$

## Question 2

Let  $\omega \leq \kappa$  be some cardinal. We say that a model  $\mathcal{M}$  is  $\kappa$ -saturated if for every  $A \subseteq M$  with  $|A| < \kappa$ , any type in  $S_1(A)$  is realized.

### Part a

Let  $T$  be a consistent complete theory over a language of size  $\leq \kappa$  with an infinite model.

We will show that there is a  $\kappa^+$  saturated model of  $T$  of cardinality  $2^\kappa$ .

*Proof.* By Löwenheim-Skolem theorem we can assume that there is a model  $\mathcal{M} \models T$  of cardinality  $\kappa$ . Let,

$$K = \{p \subseteq \text{form}_{L(A)} \mid A \subseteq M, \forall \varphi \in p, \text{FV}(\varphi) = \{x\}, T \cup p(c) \text{ is complete}\}$$

Note that  $\text{form}_{L(M)}$  is of size  $2^\kappa$  therefore  $K$  is bounded by  $2^\kappa$  as well. Let  $\mathcal{N}' \models T \cup \bigcup K$  be enrichment of  $\mathcal{M}$  by up to  $2^\kappa$  new constants such that each type is realized and let  $\mathcal{N}$  be its reduction to  $\mathcal{M}$ 's language. Then  $\mathcal{N} \models T$ , is saturated and  $\kappa^+$  saturated directly by its construction. Lastly, if  $|N| < 2^\kappa$ , we can use upwards Löwenheim-Skolem again on  $\mathcal{N}'$ .  $\square$

### Part b

We will show that if  $\mathcal{M}$  and  $\mathcal{N}$  are elementary equivalent  $\kappa$ -saturated models of cardinality  $\kappa$  then  $\mathcal{M} \cong \mathcal{N}$ , and that any partial elementary map  $f : A \rightarrow B$  with  $A \subseteq M, B \subseteq N, |A| < \kappa$  can be extended to an isomorphism.

*Proof.* TODO  $\square$