

## Solution to Exercise 2 — Model Theory (1), 80616

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## Question 1

### Part a

Let  $\mathbb{F}$  be a field and let  $T_{\text{vec}}$  be the theory of infinite vector space over the field  $\mathbb{F}$  with the language  $L = \{0, +\} \cup \{\lambda_a \mid a \in \mathbb{F}\}$ , such that  $\lambda_a$  represents multiplication by scalar  $a \in \mathbb{F}$ . We will show that  $T_{\text{vec}}$  satisfies quantifier elimination.

*Proof.* Let  $\mathcal{V}, \mathcal{W}$  are vector spaces over  $\mathbb{F}$  such that there exists  $A \subseteq V \cap W$  and  $\langle A \rangle = V \cap W$ , and let  $\mathcal{A}$  be the model over  $\langle A \rangle$ .

Let  $t(x)$  be a term over  $L(A)$  (as every  $a \in \langle A \rangle$  is definable in  $L(A)$ ). Any definable  $v(x) : \mathcal{A} \rightarrow \mathcal{A}$  is of the form  $v = u + ax$ , then  $t(x) = u + \lambda_a x$  for some  $u \in A$  and  $a \in \mathbb{F}$ .

Any atomic formula with single free variable is of the form  $t(x) = s(x)$  or  $t(x) \neq s(x)$ , or equivalently  $t(x) = 0$  or  $t(x) \neq 0$  for some term. In turn, any  $\exists$ -primitive formula is of one of the forms,

$$\varphi(x) = \exists x \, t(x) = 0, \quad \varphi(x) = \exists x \bigwedge_{i < n} t_i(x) \neq 0$$

We know that  $u \in V, W$  for each  $u \in \langle A \rangle$ , as a linear combination it follows that  $\mathcal{V} \models u + av = 0$  for  $v \in V$  if and only if  $\mathcal{V} \models v = -\frac{u}{a}$ . But  $\lambda_{-1/a} \in \mathbb{F}$  as it is a field, and it follows that  $v$  is definable in  $L(A)$  if  $\mathcal{V} \models u + av = 0$ , we deduce that  $v \in W$  as well. Then if  $\varphi$  is of the first form, then  $\mathcal{V} \models \varphi \iff \mathcal{W} \models \varphi$ , and it remains to check the second form.

If  $\varphi$  is of the second form, then for any  $i < n$ ,  $t_i(x) \neq 0$  is equivalent to  $x \neq -\frac{u_i}{a_i} = c_i$  for  $u_i \in A, a_i \in \mathbb{F}$ , and by the assumption that  $T$  is of infinite we infer that  $T \models \exists x (\bigwedge_{i < n} x \neq c_i)$ , meaning that if  $\mathcal{V}, \mathcal{W} \models \varphi$ .

By quantifier elimination equivalently theorem,  $T$  is eliminating quantifiers.

If  $\mathcal{V}$  was not infinite (and we would change  $T$  as well) then the last step won't hold. In turn, we would have to divide into cases by the character of  $\mathbb{F}$ , if it would be 0 then the proof will hold. If on the other hand  $\text{char } \mathbb{F} < \infty$ , then the claim would not be true anymore.  $\square$

### Part b

Let  $L = \{\leq\} \cup \{c_n \mid n < \omega\}$  be a language, and let  $T = \text{DLO} \cup \{c_n < c_{n+1} \mid n < \omega\}$ .

We will show that  $T$  has quantifier elimination, and find all non-isolated types in  $S_1(T)$ .

*Proof.* The proof is identical to the case of DLO, using back and forth method on two models  $\mathcal{M}, \mathcal{N} \models T$ . The key difference is that if  $A \subseteq M \cap N$  with  $|A| < \omega$  then the back and forth isomorphism construction has to start with,

$$(\{c_i^{\mathcal{M}} \mid i < \omega\} \cup \{c_i^{\mathcal{N}} \mid i < \omega\}) \cap A$$

This way we get an isomorphism  $\varphi : \mathcal{M} \rightarrow \mathcal{N}$  over  $L(A)$ , and in particular  $\mathcal{M}_A \models \psi \iff \mathcal{N}_A \models \psi$  as  $\psi$  is existential primitive and being preserved by  $\varphi$ .  $\square$

We will find all non-isolated types in  $S_1(T)$ .

*Solution.* Let  $p \in S_1(T)$  be some type, and let  $c$  be new constant such that  $\varphi(c)$  is true. Then  $\varphi(x) = c = c_i$  for some  $i < \omega$  is a type, but it is (by definition) isolated, and thus we can assume  $p$  does not consist of such formulas.  $T$  has only  $c_i$  as constant symbols, then any type such that  $c_i \leq x \leq c_{i+1} \in p$  is isolated, but if all of the formulas are of the form  $c_i \leq x$  we get partial type. We can deduce that there are no non-isolated types in  $S_1(T)$ .

## Question 2

Let  $T_{EQ}$  be the theory of equivalence relation over  $L = \{E\}$ . We will show that  $T_{EQ}^*$ , the model companion of  $T_{EQ}$ , is the theory of equivalence relation with infinitely many infinite equivalence classes. Moreover, we will show that  $T_{EQ}^*$  has quantifier elimination.

*Proof.* Notice that,

$$T_{EQ} = \{\forall x E(x, x), \forall x \forall y (E(x, y) \leftrightarrow E(y, x)), \forall x \forall y \forall z (E(x, y) \wedge E(y, z)) \rightarrow E(x, z)\}$$

meaning that  $T_{EQ}$  consists of global sentences only, as a corollary from class  $T_{EQ}^*$  exists uniquely.

By proposition from class we know that  $T_{EQ}^*$  is closed existentially closed over  $T_{\forall} = T$ . Let us observe,

$$\varphi_n = \exists x_0 \cdots \exists x_{n-1} \left( \bigwedge_{i < j < n} x_i \neq x_j \wedge E(x_i, x_j) \right)$$

then  $\varphi_n \in T_{EQ}^*$  for any  $n < \omega$ , meaning that any equivalence class is infinite. We also define,

$$\psi_n = \exists x_0 \cdots \exists x_{n-1} \left( \bigwedge_{i < j < n} \neg E(x_i, x_j) \right)$$

then  $T_{EQ}^* \models \psi_n$  as well for any  $n < \omega$ , meaning that there are infinite equivalence classes.

We move to show that  $T_{EQ}^*$  eliminating quantifiers. The proof is by using the equivalence theorem and by defining  $A \subseteq M, N$  as in other proofs. In this case the primitive existential formula over  $L(A)$  will be of the form  $\exists x E(x, a_0) \wedge \cdots \wedge E(x, a_{n-1}) \wedge \neg E(x, a_n) \wedge \cdots \wedge \neg E(x, a_{n+m-1})$ , and formulas over equation symbols. In turn we can use the properties of  $T_{EQ}^*$  to show  $\mathcal{M} \models \varphi \iff \mathcal{N} \models \varphi$  in the exact way of question 1.  $\square$

### Question 3

We will show that there is a complete theory  $T$  over a countable language, and a collection of  $2^{\aleph_0}$  non-isolated types in  $S_1(T)$  such that every model  $\mathcal{M} \models T$  satisfies at least one of these types.

*Proof.* Let  $L = \{P_i \mid i < \omega\}$  be a language consists of countable many unary relation symbols. Let,

$$T = \left\{ \varphi_{A,B} = \exists x \left( \bigwedge_{i \in A} P_i(x) \right) \wedge \left( \bigwedge_{j \in B} \neg P_j(x) \right) \mid A, B \subseteq \omega, |A|, |B| < \omega, A \cap B = \emptyset \right\}$$

be the theory such that for any finite selection of predicates, there is an element that is true for them for any choice.

We will show that  $T$  is complete. In intention of showing quantifier elimination, let  $\mathcal{M}, \mathcal{N} \models T$  be some models and let  $A \subseteq M, N$  be some finite set such that  $\mathcal{A} \subseteq \mathcal{M}, \mathcal{N}$ . Let  $\varphi(x)$  be some primitive existential formula over  $L(A)$ , then,

$$\varphi = \exists x \left( \bigwedge_{i < n_0} P_i(x) \right) \wedge \left( \bigwedge_{j < n_1} \neg P_j(x) \right) \wedge \left( \bigwedge_{k < n_2} x = a_k \right) \wedge \left( \bigwedge_{l < n_3} x \neq a_l \right)$$

which means that  $\varphi \equiv \varphi_{C,B}$  or  $\varphi \equiv \exists x \, x = a$  or  $\varphi \equiv \exists x, x \neq a_0 \wedge \dots \wedge x \neq a_{n-1}$ .  $\mathcal{M}, \mathcal{N} \models \varphi_{C,B}, \exists x \, x = a$  for any  $C, B, a$  from  $T$  and  $\mathcal{A}$  definition. The last case is achieved by choosing a witness in each model of  $\varphi_{C,B}$ , such that  $C \supsetneq \bigcup C_i, B \supsetneq \bigcup B_i$ , when  $a_i$  are witnesses of  $\varphi_{C_i, B_i}$  for  $i < n$ . By equivalently to quantifier elimination  $T$  is such theory, and has no constant symbols, then it is complete.

Let  $f : \omega \rightarrow 2$  be a function, and let us consider the type,

$$p_f(x) = \{ \varphi_{A,B} \mid A = f^{-1}(1) \cap [n], B = f^{-1}(0) \cap [n], n < \omega \}$$

type such that  $T_{\{c\}} \models \varphi(c)$  is true if and only if  $P_n(c) \iff f(n) = 1$ .  $T$  is complete and  $c$  has a sentence defining its value for any  $P_n$ , therefore  $p_f \in S_1(T)$ .

We will now show that  $p$  is not isolated. Let  $M = N = \{f \in \{0, 1\}^{[m]} \mid m < \omega\}$  and,

$$P_i^{\mathcal{M}}(f) \iff i \in \text{dom } f \wedge f(i) = 1, \quad P_i^{\mathcal{N}}(f) \iff i \in \text{dom } f \vee f(i) = 1$$

We now define the types  $p_{c_0}$  and  $p_{c_1}$ , then  $f = \{ \langle 0, 0 \rangle \}$  is  $\in M, N$ , and,

$$\mathcal{M} \models p_{f \cup \{ \langle n, 0 \rangle \mid 0 < n < \omega \}}(f), \mathcal{M} \not\models p_{f \cup \{ \langle n, 1 \rangle \mid 0 < n < \omega \}}(f), \mathcal{N} \not\models p_{f \cup \{ \langle n, 0 \rangle \mid 0 < n < \omega \}}(f), \mathcal{N} \models p_{f \cup \{ \langle n, 1 \rangle \mid 0 < n < \omega \}}(f)$$

Then  $p_f$  cannot be isolated, as otherwise there would be undecidable sentence in  $T$ . The above claim can be extended to any arbitrary function using appropriate construction of models, we infer that  $p_f$  is non-isolated for all  $f \in \{0, 1\}^\omega$ .

Let  $\mathcal{M} \models T$  be some model.  $\mathcal{M} \models \exists x \, \varphi_{\{0\}, \emptyset}$ , and let  $d \in M$  be a witness. Let  $f : \omega \rightarrow \{0, 1\}$  be a function such that  $f(n) = 1 \iff P_n^{\mathcal{M}}(d)$  ( $f(0) = 1$  by definition).  $\mathcal{M} \models p_f(d)$  directly from the definition of  $p_f$ .  $\square$

## Question 4

Let  $L$  and  $T$  be as in the last question. We will show that  $T$  is complete and that there is no isolated type in  $S_1(T)$ .

*Proof.* Was proved in the last question.

□

## Question 5

We will show that there is a complete theory  $T$  over the language  $L$  such that  $|L| = \aleph_1$  and a non-isolated type  $p(c)$  that cannot be omitted.

*Proof.* Let  $L = \{P, E\} \cup \{c_i \mid i < \omega\} \cup \{d_i \mid i < \omega_1\}$ , where  $P$  is an unary predicate,  $E$  is binary predicate,  $c_i, d_i$  are constant symbols for any  $i$ . Let us define the theory,

$$\begin{aligned} T = & \{\forall x (P(x) \rightarrow \exists! y (\neg P(y) \wedge E(x, y))), \forall y (\neg P(y) \rightarrow \exists! x (P(x) \wedge E(x, y)))\} \\ & \cup \{c_i \neq c_j \mid i < j < \omega\} \cup \{d_i \neq d_j \mid i < j < \omega_1\} \\ & \cup \{\neg E(c_i, d_j) \mid i < \omega, j < \omega_1\} \cup \{P(c_i) \mid i < \omega\} \cup \{\neg P(d_i) \mid i < \omega_1\} \end{aligned}$$

the theory such that if  $\mathcal{M} \models T$  then  $E^{\mathcal{M}} : A_M \rightarrow B_M$ , for  $A_M = \{x \in M \mid P^{\mathcal{M}}(x)\}$ ,  $B_M = \{y \in M \mid \neg P^{\mathcal{M}}(y)\}$ , is a bijection. It also follows from definition that  $\{c_i^{\mathcal{M}} \mid i < \omega\} \subseteq A_M$  and  $\{d_i^{\mathcal{M}} \mid i < \omega_1\} \subseteq B_M$ , therefore  $|B_M| \geq \aleph_1$ .  $E^{\mathcal{M}}$  is a bijection, implying that  $|A_M| = |B_M|$ .

We will show that  $T$  is complete as an  $\aleph_1$ -categorical theory with no models of size  $< \aleph_1$ . As was shown in the last part  $|M| \geq |B_M| \geq \aleph_1$ .

Let  $\mathcal{M}, \mathcal{N} \models T$  be some models such that  $|M| = |N| = \aleph_1$ , and let  $f = E^{\mathcal{M}}$  and  $g = E^{\mathcal{N}}$ .  $A_M \cup B_M = M$  then  $|A_M| = |B_M| = \aleph_1$ .  $|A_M| \geq \aleph_1$ ,  $|\{c_i^{\mathcal{M}}\}| = \aleph_0$  therefore  $|A_M \setminus \{c_i^{\mathcal{M}}\}| \geq \aleph_1$  as well, the claim holds for  $\mathcal{N}$  as well. It follows that  $|A_M \setminus \{c_i^{\mathcal{M}}\}| = |A_N \setminus \{c_i^{\mathcal{N}}\}|$  and let  $h : A_M \setminus \{c_i\} \rightarrow A_N \setminus \{c_i\}$  be a bijection witnessing that. We can define now  $F : M \rightarrow N$  by,

$$F(x) = \begin{cases} c_i^{\mathcal{N}} & x = c_i^{\mathcal{M}} \\ d_i^{\mathcal{N}} & x = d_i^{\mathcal{M}} \\ h(x) & x \in A_M \setminus \{c_i^{\mathcal{M}}\} \\ (g \circ h \circ f^{-1})(x) & x \in B_M \setminus \{d_i^{\mathcal{M}}\} \end{cases}$$

$F$  is a bijection by its definition as composition of bijections,  $F$  is also constant preserving between  $\mathcal{M}$  and  $\mathcal{N}$ . By  $A_M, A_N, B_M, B_N$  definitions  $F$  also preserves  $P$ , and by  $f, g$  it preserves  $E$  as well, implying that  $F : \mathcal{M} \rightarrow \mathcal{N}$  is a model isomorphism.

We found that  $T$  has single model of size  $\aleph_1$  up to isomorphism, therefore  $T$  is complete.

We move to define a type that cannot be isolated nor being omitted. Let,

$$p(x) = \{P(x)\} \cup \{x \neq c_i \mid i < \omega\}$$

$p$  cannot be omitted, as to be so it must be omitted by sentence of the form  $E(x, d_i)$  for some  $i$ .

If  $\mathcal{M} \models T$  then we take  $e \in M$  such that  $\mathcal{M} \models E(e, d_0)$ . □