D2 — Model and Vendor Visibility

Evidence Principles

1. Purpose

This document defines the evidence principles applicable to the Model and Vendor Visibility domain (D2) of the Deployment AI Governance Standard (DAGS) v1.0.

Its purpose is to:

- Specify the nature and category of evidence required to demonstrate satisfaction of D2 requirements
- Ensure visibility evidence is durable, system-scoped, and auditable
- Prevent substitution of assumptions or vendor assertions for documented visibility

This document is normative.

---

2. General Evidence Standard

Evidence for D2 requirements shall demonstrate actual visibility into models, vendors, and dependencies that influence deployed system behavior.

Evidence shall be:

- Documented and durable

Version: v1.0
Status: Published

- Attributable to the declared system scope
- Sufficient to identify roles, influence, and dependencies
- Current with respect to the deployed system

Narrative descriptions without artifact support are insufficient.

---

3. Model Visibility Evidence

Evidence demonstrating model visibility shall establish:

- Identification of all models influencing system behavior
- Clarity of each model's role and influence
- Distinction between internal and externally sourced models

Acceptable evidence categories include:

- Model inventories or registries
- System architecture documentation identifying models
- Records linking models to functional roles

Model existence shall not be inferred from code repositories or vendor marketing materials.

---

4. Vendor Visibility Evidence

Evidence demonstrating vendor visibility shall establish:

- Identification of all vendors materially affecting system behavior
- Documentation of vendor roles and scope of influence
- Visibility into whether vendors can alter deployed behavior

Acceptable evidence categories include:

- Vendor inventories or dependency registers
- System documentation identifying vendor-provided components
- Governance documentation defining vendor roles

Vendor reputation or contractual brand alone is not sufficient.

5. Dependency Evidence

Evidence of dependency visibility shall demonstrate:

- Identification of external services or components influencing behavior
- Awareness of update channels or behavior modification mechanisms
- Understanding of dependency boundaries

Acceptable evidence categories include:

- Dependency maps or system diagrams
- Service integration documentation
- Records of externally managed behavior sources

Dependencies shall not be assumed immaterial without analysis.

6. Change Influence Evidence

Where vendors or external services can influence deployed behavior, evidence shall demonstrate:

- The nature of that influence
- Whether changes are automated or human-initiated
- Whether organizational approval is required

Acceptable evidence categories include:

- Change authority documentation
- Vendor change notification records
- Governance controls governing external changes

Evidence of monitoring alone is not sufficient without defined authority.

---

7. Evidence Currency and Maintenance

Evidence for D2 requirements shall be maintained such that:

- It reflects the current deployed configuration
- Changes in models or vendors are recorded
- Superseded documentation is not relied upon

Outdated visibility artifacts are not acceptable.

---

8. Prohibited Evidence Substitutions

The following shall not be accepted as evidence for D2 requirements:

- Vendor marketing materials
- Contractual assurances without system linkage
- Assumptions of immutability or stability
- Statements of trust without documentation

Such materials do not demonstrate deployment-layer visibility.

---

9. Status

This Evidence Principles document is **normative**.

It is binding for DAGS v1.0 and all derivative artifacts unless explicitly superseded.

---

10. License & Authority

The Deployment AI Governance Standard (DAGS) is a publicly available governance standard made available for reference and implementation.

All intellectual property rights in DAGS, including the standard text, structure, methodology, and interpretive guidance, are retained by the DAGS Governing Body.

DAGS

DEPLOYMENT AI
GOVERNANCE STANDARD

Public availability of this document does not grant any license or right to use DAGS for commercial, advisory, certification, assurance, or assessment purposes.

Such uses may require separate authorization or licensing from the DAGS Governing Body.

No rights are granted by implication, estoppel, or public distribution.

Editorial, interpretive, versioning, and equivalency authority is retained exclusively by the DAGS Governing Body. No third party may issue authoritative interpretations, certifications, or compliance determinations without explicit written authorization.

Deployment AI Governance Standard (DAGS) v1.0
Status: Published
Copyright © DAGS Governing Body
All Rights Reserved