

DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

DAGS v1.0 Normative Standard

Acceptable Evidence Types

1. Purpose

This document defines the acceptable categories of evidence that may be used to demonstrate satisfaction of normative requirements under the Deployment AI Governance Standard (DAGS) v1.0.

Its purpose is to:

- Establish clear boundaries around what qualifies as evidence
- Prevent substitution of narrative claims or tooling artifacts for governance controls
- Support consistent interpretation across assessments and reviews
- Preserve insurer-, regulator-, and auditor-legible evidentiary standards

This document is normative.

2. Evidence Type Framework

Acceptable evidence under DAGS shall fall within one or more of the categories defined in this document.

Evidence types describe the form and governance relevance of artifacts, not the method by which they are produced.

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DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

Evidence may be generated through technical, procedural, or organizational means, but must conform to these categories.

3. Governance Documentation

Governance documentation is acceptable evidence where it demonstrates the existence of required controls.

Acceptable examples include:

- System-specific governance charters
- Role and responsibility definitions
- Authority and escalation frameworks
- Accountability matrices tied to the deployed system

Governance documentation shall be explicit, current, and system-scoped.

4. System Records and Registries

System records are acceptable evidence where they demonstrate visibility, ownership, or control.

Acceptable examples include:

- Model inventories and registries
- Vendor and dependency registers
- Control registries or system inventories
- Configuration records tied to deployed behavior

DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

Records shall be attributable to the declared system scope.

5. Operational Artifacts

Operational artifacts are acceptable evidence where they demonstrate operationalization of governance controls.

Acceptable examples include:

- Change authorization records
- Incident or issue management records
- Operational oversight documentation
- Lifecycle management records

Operational artifacts shall demonstrate execution, not intent.

6. Control and Configuration Artifacts

Artifacts demonstrating enforceable controls are acceptable evidence.

Acceptable examples include:

- Access control configurations
- Authorization or approval mechanisms
- Control interface restrictions
- Configuration constraints enforcing governance requirements

Control artifacts shall demonstrate enforceability at the deployment layer.

DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

7. Contractual and Legal Artifacts

Contractual artifacts are acceptable evidence only where they directly support deployment-layer governance.

Acceptable examples include:

- Contract provisions establishing governance authority
- Vendor obligations supporting visibility or control
- Rights of access or audit relevant to system governance

Contracts shall not be used to shift accountability away from the deploying organization.

8. Attestations and Formal Declarations

Formal attestations may be accepted as evidence only where no other durable artifact is possible.

Acceptable attestations shall:

- Be system-specific
- Be attributable to an accountable role
- Clearly state what governance control exists

Attestations shall not substitute for available documentation.

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DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

9. Evidence Combination and Sufficiency

A single artifact may support multiple requirements.

Conversely, multiple artifacts may be required to satisfy a single requirement.

Sufficiency is determined by whether the evidence demonstrates the existence and operation of the required control.

10. Prohibited Evidence Types

The following are not acceptable evidence under DAGS:

- Marketing or promotional materials
- Tool output without governance linkage
- Generic organizational policies not tied to the system
- Aspirational statements or future commitments
- Certifications or compliance claims unrelated to DAGS

Such materials do not demonstrate deployment-layer governance.

11. Authority

This document governs classification of acceptable evidence types.

In the event of dispute, this document governs determination of evidentiary acceptability.

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DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

12. Status

This Acceptable Evidence Types document is **normative**.

It is binding for DAGS v1.0 and all derivative artifacts unless explicitly superseded.

13. License & Authority

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Page 6 of 6