

DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

DAGS v1.0 Normative Standard

Prohibited Evidence Claims

1. Purpose

This document defines explicitly prohibited evidence claims under the Deployment AI Governance Standard (DAGS) v1.0.

Its purpose is to:

- Prevent substitution of weak, misleading, or non-governance artifacts for evidence
- Eliminate ambiguity regarding unacceptable evidentiary practices
- Protect the standard from dilution through narrative, marketing, or proxy claims
- Preserve insurer-, regulator-, and auditor-legible evidentiary rigor

This document is normative.

2. Principle of Prohibition

Evidence under DAGS shall demonstrate the existence and operation of governance controls.

Any claim that relies on implication, assumption, reputation, intent, or indirect inference shall not be accepted as evidence.

Where a prohibited claim is used in place of evidence, the associated requirement is not satisfied.

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3. Prohibited Claim Categories

3.1 Intent-Based Claims

The following claims are prohibited:

- “We intend to implement...”
- “We plan to add governance controls...”
- “This is on our roadmap...”

Future intent does not constitute evidence of existing controls.

3.2 Policy-Only Claims

The following are prohibited when unaccompanied by system linkage:

- Corporate policies without system-specific applicability
- Codes of conduct or ethics statements
- High-level governance principles

Policies are not evidence unless they demonstrably govern the deployed system.

3.3 Tool-Centric Claims

The following are prohibited:

- “We use [tool/platform], therefore governance exists”
- Tool screenshots without governance context

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- Vendor dashboards presented as control evidence

Tools do not constitute governance absent documented authority and use.

3.4 Vendor Assurance Claims

The following are prohibited:

- “The vendor handles this”
- “The platform is compliant by default”
- Vendor whitepapers or marketing claims used as evidence

Vendor assurances do not transfer accountability.

3.5 Reputation and Certification Claims

The following are prohibited:

- Claims based on vendor reputation or market position
- External certifications unrelated to DAGS
- Compliance with other standards presented as DAGS evidence

Reputation is not governance.

3.6 Absence-of-Incident Claims

The following are prohibited:

- “No issues have occurred”

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- “We have never had an incident”
- “Nothing has gone wrong to date”

Lack of failure does not demonstrate control.

3.7 Implied Control Claims

The following are prohibited:

- “It would be caught if something happened”
- “Someone would notice”
- “We would respond if needed”

Implied detection or response is not evidence.

4. Prohibited Evidentiary Substitutions

The following substitutions are explicitly prohibited:

- Ethics statements substituted for enforcement mechanisms
- Training programs substituted for governance controls
- Audits substituted for operational ownership
- Risk assessments substituted for accountability

Substitution undermines the evidentiary model.

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5. Handling of Prohibited Claims

Where prohibited claims are identified:

- The associated requirement shall be treated as unmet
- No partial credit or mitigation shall be applied
- Replacement with acceptable evidence is required for satisfaction

Prohibited claims shall not be rehabilitated through narrative explanation.

6. Authority and Enforcement

This document governs determination of prohibited evidence claims.

In the event of dispute, this document is authoritative.

Any assessment or representation that relies on prohibited claims is invalid for purposes of DAGS alignment.

7. Status

This Prohibited Evidence Claims document is **normative**.

It is binding for DAGS v1.0 and all derivative artifacts unless explicitly superseded.

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8. License & Authority

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