

DAGS

DEPLOYMENT AI GOVERNANCE STANDARD

DAGS v1.0 Normative Standard

Dags Conformance & Review Playbook

Status: Non-Normative Operational Guidance

Issued by: DAGS Governing Body

Applies to: Enterprises, Licensed Service Providers (LSPs), insurers, platforms

Version: v1.0

Effective Date: [YYYY-MM-DD]

1. Purpose of This Playbook

This playbook explains how conformance to the Deployment AI Governance Standard (DAGS) is asserted, reviewed, and relied upon in practice.

It exists to prevent role confusion, protect the independence of the DAGS Governing Body, and ensure that conformance workflows scale without collapsing into certification or assurance by default.

This document is non-normative. It does not define requirements, determine conformance, or override any canonical DAGS artifact.

2. What DAGS Conformance Is — and Is Not

What It Is

- A management assertion made by the deploying organization

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- Declared using the DAGS Canonical Conformance Statement
- Signed by an accountable enterprise officer
- Supported by internally maintained evidence

What It Is Not

- A certification issued by DAGS
 - An approval granted by the DAGS Governing Body
 - A self-scored checklist
 - A substitute for independent review
-

3. Roles and Responsibilities

3.1 The Deploying Organization (Enterprise)

The enterprise:

- Prepares and signs the DAGS Conformance Statement
- Defines the system scope and boundary
- Retains evidence supporting its assertion
- Bears responsibility for the accuracy of the claim

3.2 Licensed Service Providers (LSPs)

LSPs may:

- Assess alignment with DAGS controls
- Review evidence and system operation
- Identify gaps or exceptions
- Provide findings and recommendations

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LSPs may not:

- Issue or approve Conformance Statements
- Act on behalf of the DAGS Governing Body
- Certify systems as DAGS-compliant

3.3 The DAGS Governing Body

The Governing Body:

- Defines the standard and its meaning
- Maintains the canonical Conformance Statement format
- Issues interpretive notices and version updates

The Governing Body does not:

- Review enterprise evidence
- Approve or reject conformance claims
- Perform assessments or audits

3.4 Insurers, Regulators, and Counterparties

Third parties may:

- Request and review Conformance Statements
- Rely on assertions as part of underwriting or oversight
- Seek independent assessment or assurance

Reliance decisions remain with the relying party.

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4. End-to-End Conformance Flow

1. Preparation

The enterprise evaluates its deployed AI system against DAGS requirements.

2. Assertion

The enterprise completes and signs the DAGS Conformance Statement.

3. Independent Review (Optional but Common)

An LSP assesses the system and provides findings.

4. Assertion Update

The enterprise affirms or revises its Conformance Statement based on findings.

5. External Use

The Conformance Statement is shared with insurers, boards, regulators, or partners.

At no point is the Conformance Statement submitted to or approved by the DAGS Governing Body.

5. Relationship to Assurance and Certification

DAGS conformance is intentionally positioned upstream of assurance.

- Independent assurance may be performed by third parties
- Certification programs may exist now or in the future
- This playbook defines neither

Nothing in this document should be interpreted as creating an audit, certification, or attestation regime.

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6. Common Misunderstandings

- **Does DAGS certify systems?**
No.
 - **Does DAGS approve assessments?**
No.
 - **Can an LSP issue a Conformance Statement?**
No.
 - **Is this self-certification?**
No. It is a management assertion subject to independent review.
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7. Use with Derivative Artifacts

Derivative artifacts (spreadsheets, platform workflows, insurer intake forms) may be used for execution, provided they:

- Are explicitly derived from the canonical Conformance Statement
 - Reference the applicable DAGS version
 - Do not alter meaning or scope
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8. Preservation of Independence

This playbook exists to preserve clear separation between:

- Standard definition
- Conformance assertion
- Independent review

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That separation is foundational to the credibility, scalability, and durability of DAGS.

9. Updates

This playbook may be updated periodically as operational experience evolves. Updates do not alter the meaning of the DAGS standard or its conformance requirements.

Notice: This playbook is explanatory only. In the event of conflict, the DAGS standard text and canonical artifacts control.

NON-NORMATIVE

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