

# 14-C3

排序

希尔排序：PS序列

They are like the leaves which a tempest whirls up and  
scatters in every direction and then allows to fall.

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## d-Sorting an $\mathcal{O}(d)$ -Ordered Sequence in $\mathcal{O}(dn)$ Time

❖ If  $g$  and  $h$  are relatively prime and are both in  $\mathcal{O}(d)$

we can d-sort the sequence in  $\mathcal{O}(dn)$  time ...

- re-arrange the sequence as a 2D matrix with  $d$  columns
- each element is swapped with  $\mathcal{O}((g-1) \cdot (h-1)/d) = \mathcal{O}(d)$  elements

inversion free

could be greater than  $S[i]$   $[i]$

$gh - g - h$

❖ Since this holds for all elements,  $\mathcal{O}(dn)$  steps are enough

# PS Sequence

❖ Papernov & Stasevic, 1965 //also called Hibbard's sequence

$$\mathcal{H}_{PS} = \mathcal{H}_{Shell} - 1 = \{ 2^k - 1 \mid k \in \mathcal{N} \} = \{ 1, 3, 7, 15, 31, 63, 127, 255, \dots \}$$

❖ Different items **MAY NOT** be relatively prime, e.g.,  $h_{2k} = h_k \cdot (h_k + 2)$

But ADJACENT items **MUST** be, since  $h_{k+1} - 2 \cdot h_k \equiv 1$

❖ Shellsort with  $\mathcal{H}_{PS}$  needs

- $\mathcal{O}(\log n)$  outer iterations and
- $\mathcal{O}(n^{3/2})$  time to sort a sequence of length  $n$  //Why ...

$$t < k$$

❖ Let  $h_t$  be the  $h$  closest to  $\sqrt{n}$  and hence  $h_t \approx \sqrt{n} = \Theta(n^{1/2})$

1) Consider those iterations for  $\{h_k \mid t < k\} = \{h_{t+1}, h_{t+2}, \dots, h_m\}$

$\therefore$  there would be  $\mathcal{O}(n/h_k)$  elements in each of the  $h_k$  columns

$\therefore$  we can **insertionsort** each column in  $\mathcal{O}((n/h_k)^2)$  time

$\therefore$  each  $h_k$ -sorting costs  $\mathcal{O}(n^2/h_k)$  time

$\therefore$  all these iterations cost time of

$$\mathcal{O}(2 \times n^2/h_t) = \mathcal{O}(n^{3/2})$$

$$k \leq t$$

$$h_k \leq h_t$$

$$k = t$$

$$h_k = h_t$$

$$t < k$$

$$h_t < h_k$$

$$k \leq t$$

2) Consider those iterations for  $\{ h_k \mid k \leq t \} = \overleftarrow{\{ h_1, h_2, \dots, h_t \}}$

$\therefore h_{k+1}$  and  $h_{k+2}$  are relatively prime and are both in  $\mathcal{O}(h_k)$

$\therefore$  each  $h_k$ -sorting costs  $\mathcal{O}(n \times h_k)$  time

$\therefore$  all these iterations cost  $\mathcal{O}(n \times 2 \cdot h_t) = \mathcal{O}(n^{3/2})$  time

❖ This upper bound is TIGHT

❖ What about the average cases?

- $\mathcal{O}(n^{5/4})$  based on simulations
- but not proved yet

