

## Exemplary Solutions – Sheet 7

Zürich, November 6, 2020

### Solution to Exercise 17

We first show using an indirect proof that  $L_1$  is not recursively enumerable. To this end, assume that  $L_1$  is recursively enumerable. Then there exists a Turing machine  $M$  such that  $L(M) = L_1$ . Since  $M$  must occur in the canonical enumeration of all Turing machines, there exists some  $j \in \mathbb{N}$  such that  $M_j = M$ . We now consider the word  $w_{3j+1}$ . Then we derive

$$\begin{aligned} w_{3j+1} \in L_1 &\iff M_j \text{ does not accept } w_{3j+1} && \text{(by definition of } L_1) \\ &\iff M \text{ does not accept } w_{3j+1} && \text{(by definition von } M) \\ &\iff w_{3j+1} \notin L(M) \\ &\iff w_{3j+1} \notin L_1. \end{aligned}$$

This is a contradiction, our assumption was thus false and  $L_1$  is not recursively enumerable. An analogous proof does not work for the language  $L_2$ . The proof for  $L_1$  is based on the fact that the enumeration contains every Turing machine, in particular one for the language under consideration. This is true for  $L_2$  as well, but does not lead to a contradiction, since this Turing machine can have an index that is not of the form  $3i + 1$  for any  $i \in \mathbb{N}$ . Note that one cannot conclude that  $L_2$  is recursively enumerable from the failed proof attempt.

### Solution to Exercise 18

Let  $L \subseteq \{0, 1\}^*$  be an infinite language. Using the diagonalization method, we can define a subset  $L'$  of  $L$  that is not recursively enumerable. Let  $M_i$  be the  $i$ -th Turing machine in the canonical order. Then we define a diagonalization language with respect to  $L$  as follows:

$$L_{\text{diag}, L} = \{w \in \{0, 1\}^* \mid w = w_i \text{ is the } i\text{-th word in } L \text{ for some } i \in \mathbb{N} \\ \text{and } M_i \text{ does not accept } w_i\}.$$

It clearly holds that  $L_{\text{diag}, L} \subseteq L$ .

Assume that  $L_{\text{diag}, L}$  is recursively enumerable. Then  $L_{\text{diag}, L} = L(M)$  holds for a TM  $M$ . Since  $M$  must occur in the canonical enumeration of all Turing machines, there exists some  $i \in \mathbb{N}$  such that  $M = M_i$ . But the language  $L_{\text{diag}, L}$  cannot be equal to  $L(M_i)$  because

$$w_i \in L_{\text{diag}, L} \iff w_i \notin L(M_i).$$

This is a contradiction, hence,  $L_{\text{diag},L} \notin \mathcal{L}_{\text{RE}}$ .

## Solution to Exercise 19

The path of the frog depends on two parameters that are unknown to us: The origin of its path  $u \in \mathbb{Z}$  and the number of steps  $s \in \mathbb{Z} - \{0\}$  it makes per night. A negative number of steps denotes a direction of movement to the left. Based on these parameters, the frog is located at the point  $u + s \cdot t$  during the day  $t \in \mathbb{N}$ .

To catch the frog, it suffices to successively try out all pairs of parameters  $(u, s)$ , i.e., to provide an enumeration of  $\mathbb{Z} \times (\mathbb{Z} - \{0\})$ . In the lecture (cf. Lemma 5.11 in the textbook), we have seen an enumeration of  $(\mathbb{N} - \{0\}) \times (\mathbb{N} - \{0\})$  which assigns the number  $f((a, b)) = \binom{a+b-1}{2} + b$  to the pair  $(a, b)$ . From that, we can derive an enumeration  $g$  of  $(\mathbb{Z} - \{0\}) \times (\mathbb{Z} - \{0\})$  which assigns the following natural number to the pair  $(u, s) \in (\mathbb{Z} - \{0\}) \times (\mathbb{Z} - \{0\})$ :

$$g((u, s)) = \begin{cases} 4\left(\binom{u+s-1}{2} + s\right) & \text{for } u, s > 0 \\ 4\left(\binom{u-s-1}{2} - s\right) - 1 & \text{for } u > 0 \text{ and } s < 0 \\ 4\left(\binom{-u+s-1}{2} + s\right) - 2 & \text{for } u < 0 \text{ and } s > 0 \\ 4\left(\binom{-u-s-1}{2} - s\right) - 3 & \text{for } u, s < 0 \end{cases}$$

This enumeration uses the enumeration from the lecture for each of the four quadrants and proceeds in turns, enumerating successively one element from each quadrant in one turn. Since  $f$  is a bijection,  $g$  is clearly a bijection as well.

So far, we have not considered the possibility that the origin of the frog's path can be at the point 0. To provide an enumeration  $h$  of  $\mathbb{Z} \times (\mathbb{Z} - \{0\})$ , we use the enumeration  $g$  and alternate between one element from  $(\mathbb{Z} - \{0\}) \times (\mathbb{Z} - \{0\})$  (at even positions) and one element from  $\{0\} \times (\mathbb{Z} - \{0\})$  (at odd positions). This yields

$$h((u, s)) = \begin{cases} 2g((u, s)) & \text{for } u \neq 0 \\ 4s - 1 & \text{for } u = 0 \text{ and } s > 0 \\ -4s - 3 & \text{for } u = 0 \text{ and } s < 0 \end{cases}$$

At odd positions, the enumeration alternates between a pair  $(0, s)$  with  $s > 0$  and one with  $s < 0$ . The function defined in this way is a bijection of the parameter space of the frog's path into the natural numbers.

This yields the following strategy to catch the frog: Test the pair of parameters  $(u, s)$  such that  $h((u, s)) = t$  on the day  $t \in \mathbb{N}$ , i.e., try to catch the frog at the point  $u + s \cdot t$ . This strategy tests eventually each possible value of the parameters, so the frog will be caught in finite time.