



# 第十一届中国数据库技术大会

DATABASE TECHNOLOGY CONFERENCE CHINA 2020

## 架构革新 高效可控



北京国际会议中心 | 2020/12/21-12/23

# 个人介绍

□ 杨廷琨(yangtingkun)

□ Oracle ACE

□ ACOUG 副总裁

□ ITPUB 数据库管理区版主

□ 参与编写《Oracle数据库性能优化》、《Oracle DBA手记》、  
《Oracle DBA手记3》和《Oracle性能优化与诊断案例精选》

□ 二十年的DBA经验

□ 个人BLOG中积累了2500篇原创技术文章

□ 云和恩墨CTO



ORACLE  
ACE

ACOUG

All China Oracle User Group  
中国 Oracle 用户组



架构革新 11<sup>th</sup>  
高效可控

IT168.com

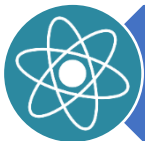
ChinaUnix

ITPUB

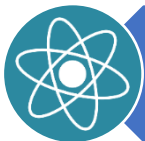
## 高效SQL的必要性

- SQL是世界上第二大的编程语言，超过50%的开发者使用SQL
- 80%的数据库问题是SQL引起的
- 80%的性能问题来自20%的SQL语句
- 高并发环境中，单条SQL语句可能导致整个数据库出现性能故障





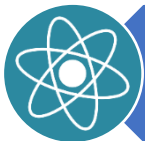
合理运用新特性



数据集整体处理



设计SQL执行计划

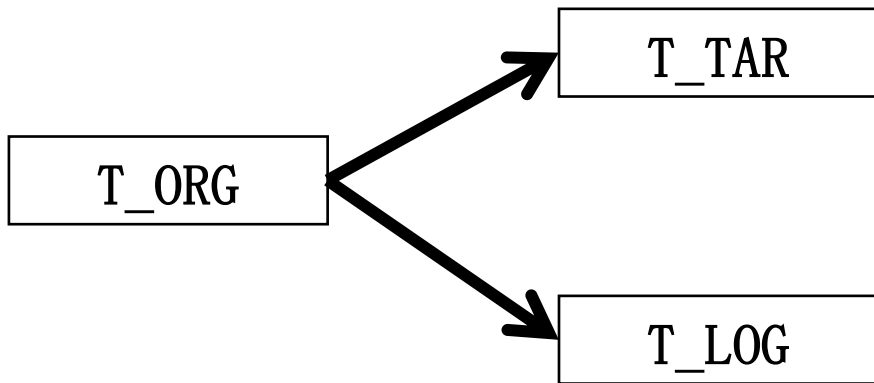


严格过滤数据



## 合理运用新特性

目标：从一张表取数据插入到另一张表中，此外需要为插入的目标表做一个应用级的日志表，也就是说在插入目标表的同时，还需要将相同的数据插入到日志表中。



方案：

- CREATE TRIGGER

```
CREATE OR REPLACE TRIGGER T_INSERT_TAR  
AFTER INSERT ON T_TAR  
FOR EACH ROW  
BEGIN  
INSERT INTO T_LOG (ID, NAME)  
VALUES (:NEW.ID, :NEW.NAME);  
END;
```



## 合理运用新特性

方案：

- CREATE TRIGGER

- 太“重”
- 实现与需求有差异
- 增加后续维护成本
- 触发器效率较低



## 合理运用新特性

方案:

- CREATE TRIGGER
- DOUBLE INSERT

```
INSERT INTO T_TAR SELECT * FROM T_ORG;  
INSERT INTO T_LOG SELECT * FROM T_ORG;
```





## 合理运用新特性

方案：

- CREATE TRIGGER
- DOUBLE INSERT
  - 一致性
    - 锁
    - 串行事务
    - 临时表
    - AS OF查询
  - 原子性
    - 异常处理



## 合理运用新特性

方案:

- CREATE TRIGGER
- DOUBLE INSERT
- OPEN CURSOR

```
SQL> BEGIN
2  FOR I IN (SELECT * FROM T_ORG) LOOP
3  INSERT INTO T_TAR VALUES (I.ID, I.NAME);
4  INSERT INTO T_LOG VALUES (I.ID, I.NAME);
5  END LOOP;
6  END;
7  /
```

PL/SQL 过程已成功完成。

高效可控

## 合理运用新特性

方案：

- CREATE TRIGGER
- DOUBLE INSERT
- OPEN CURSOR
  - 效率低



## 合理运用新特性

方案：

- CREATE TRIGGER
- DOUBLE INSERT
- OPEN CURSOR
- BULK INTO VARIABLE



# 合理运用新特性

```
SQL> DECLARE
2  TYPE T_ID IS TABLE OF T_ORG.ID%TYPE;
3  TYPE T_NAME IS TABLE OF T_ORG.NAME%TYPE;
4  V_ID T_ID;
5  V_NAME T_NAME;
6  BEGIN
7  SELECT ID, NAME BULK COLLECT INTO V_ID, V_NAME
8  FROM T_ORG;
9  FORALL I IN 1..V_ID.COUNT
10 INSERT INTO T_TAR VALUES (V_ID(I), V_NAME(I));
11 FORALL I IN 1..V_ID.COUNT
12 INSERT INTO T_LOG VALUES (V_ID(I), V_NAME(I));
13 END;
14 /
```

PL/SQL 过程已成功完成。



## 合理运用新特性

方案：

- CREATE TRIGGER
- DOUBLE INSERT
- OPEN CURSOR
- BULK INTO VARIABLE
  - 复杂度高
  - 效率较低



## 合理运用新特性

方案：

- CREATE TRIGGER
- DOUBLE INSERT
- OPEN CURSOR
- BULK INTO VARIABLE
- INSERT ALL

```
SQL> INSERT ALL INTO T_TAR (ID, NAME)
      2 INTO T_LOG (ID, NAME)
      3 SELECT ID, NAME FROM T_ORG;
```



# 合理运用新特性

“新”特性：

- INSERT ALL/ANY
- MERGE
- WITH
- ANALYTIC FUNCTIONS
- TOP-N
- PIVOT/UNPIVOT
- MODEL

插入多张表或限定条件插入

合并UPDATE和INSERT语句

避免重复读取

行级计算避免自关联

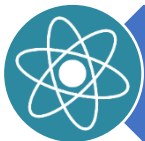
分页语句

行列转换

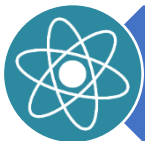
报表数组处理







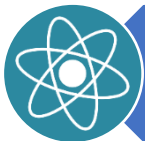
合理运用新特性



数据集整体处理



设计SQL执行计划



严格过滤数据



# 数据集整体处理

判断表空间是否自动扩展:

```
SQL> select distinct tablespace_name, autoextensible
2  from DBA_DATA_FILES
3  where autoextensible = 'YES'
4  union
5  select distinct tablespace_name, autoextensible
6  from DBA_DATA_FILES
7  where autoextensible = 'NO'
8  and tablespace_name not in
9  (select distinct tablespace_name
10  from DBA_DATA_FILES
11  where autoextensible = 'YES');
```

TABSPACE_NAME	AUT
SYSAUX	YES
SYSTEM	YES
TEST	NO
UNDOTBS1	YES
USERS	YES



```
SQL> select distinct tablespace_name, autoextensible  
2  from DBA_DATA_FILES  
3  where autoextensible = 'YES'  
4  union  
5  select distinct tablespace_name, autoextensible  
6  from DBA_DATA_FILES  
7  where autoextensible = 'NO'  
8  and tablespace_name not in  
9  (select distinct tablespace_name  
10 from DBA_DATA_FILES  
11 where autoextensible = 'YES');
```

- 优点：思路清晰
- 缺点：效率低效，冗余严重
  - 三次扫描DBA\_DATA\_FILES视图
  - 包含三次DISTINCT操作
  - 包含UNION数据集操作
  - 包含NOT IN子查询



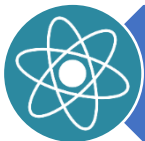
```
SQL> SELECT TABLESPACE_NAME, MAX(AUTOEXTENSIBLE)
2  FROM DBA_DATA_FILES
3  GROUP BY TABLESPACE_NAME;
```

TABLESPACE_NAME	MAX
SYSAUX	YES
UNDOTBS1	YES
USERS	YES
TEST	NO
SYSTEM	YES

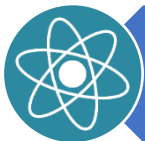


- Tom说过:
  - 如果可能你应该使用单条SQL语句来实现
  - 如果单条SQL语句无法实现, 那么考虑使用PL/SQL
  - 如果PL/SQL无法实现, 尝试使用Java存储过程
- 我们建议:
  - 能够用单条SQL处理的, 就不要使用多条
  - 能够只扫描一次的, 就不要扫描多次
  - 从整体上处理数据, 避免单条处理逻辑





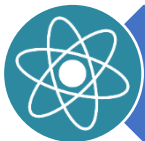
合理运用新特性



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设计SQL执行计划



严格过滤数据



# 设计SQL执行计划

客户某SQL语句执行缓慢，消耗大量逻辑读：

```
SQL> EXPLAIN PLAN FOR
2  SELECT VCM.POL_ID, TC.MOBILE, TC.FIRST_NAME PH_NAME,
3         PKG_UTILS.F_GET_DESC('T_TITLE', DECODE(TC.GENDER,'M', '1', 'F', '2'), '211'),
4         PKG_UTILS.F_GET_DESC('V_PRO_LIFE_3',
5         (SELECT T.PROD_ID FROM T_CON_PROD T WHERE T.POL_ID = VCM.POL_ID AND T.MASTER_ID IS NULL), '211'),
6         SUM(TPA.FEE_AMOUNT), VCM.POLICY_CODE, PKG_UTILS.F_GET_DESC('T_LIA_STAT', VCM.LIA_STATE, '211'),
7         PKG_UTILS.F_GET_DESC('T_SAL_CHAN', VCM.CHANN_TYPE, '211'), VCM.CHANN_TYPE, TC.CUSTOMER_ID, TCO.ORGAN_CODE
8  FROM V_CON_MAS VCM, T_CON_MAS TCM, T_AGENT TA, T_CUSTOMER TC,
9         T_POH VPH, T_COM_ORG TCO, T_P_A TPA
10 WHERE VCM.POL_ID = VPH.POL_ID
11 AND VCM.SERVICE_AGENT = TA.AGENT_ID
12 AND VCM.POL_ID = TCM.POL_ID
13 AND VPH.PARTY_ID = TC.CUSTOMER_ID
14 AND VCM.ORGAN_ID = TCO.ORGAN_ID
15 AND VCM.POL_ID = TPA.POL_ID
16 AND VCM.LIABILITY_STATE = 1
17 AND TPA.FEE_TYPE IN (41, 569)
18 AND TPA.FEE_STATUS <> 2
19 AND (EXISTS (
20     SELECT 1 FROM T_PO_CH T, T_CH TC WHERE T.MAS_C_ID = TC.CH_ID AND TC.CH_ID = TPA.CH_ID AND T.SERV_ID = 3 ))
21 AND TPA.DUE_TIME >= (TRUNC(:B1 ) + 7)
22 AND TPA.DUE_TIME < (TRUNC(:B1 ) + 8)
23 AND REGEXP_LIKE(TRIM(TC.MOBILE), '^\d{11}$')
24 AND NOT EXISTS (
25     SELECT 1 FROM T_DATA_EXT SDE, T_DATA TSD
26     WHERE SDE.DATA_ID = TSD.DATA_ID AND SDE.RELAT_VALUE_1 = VCM.POL_ID AND TSD.SMS_ID = 12 AND SDE.RELAT_VALUE_2 = TO_CHAR(:B1 , 'yyyy-MM-dd'))
27 GROUP BY VCM.POL_ID, TC.MOBILE, TC.FIRST_NAME, TC.GENDER, VCM.POLICY_CODE, VCM.LIABILITY_STATE, VCM.CHANNEL_TYPE, TC.CUSTOMER_ID, TCO.ORGAN_CODE;
```



## 设计SQL执行计划

Id	Operation	Name	Rows	Bytes	Cost (%CPU)	
0	SELECT STATEMENT		356	53400	901K	(1)
* 1	INDEX RANGE SCAN	IDX_CON_PROD__PRD_ID	1	12	1	(0)
2	HASH GROUP BY		356	53400	901K	(1)
* 3	FILTER					
* 4	FILTER					
5	NESTED LOOPS		356	53400	84579	(2)
* 6	HASH JOIN		356	51264	84578	(2)
7	TABLE ACCESS FULL	T_C_0	143	2145	4	(0)
8	NESTED LOOPS SEMI		356	45924	84573	(2)
9	NESTED LOOPS		370	44030	84481	(2)
10	NESTED LOOPS		6067	539K	82962	(2)
11	NESTED LOOPS		6036	465K	81452	(2)
* 12	HASH JOIN		6037	430K	81451	(2)
* 13	TABLE ACCESS BY INDEX ROWID	T_P_A	4812	145K	596	(1)
* 14	INDEX RANGE SCAN	IDX_P_A__DUE	14477		10	(0)
15	VIEW	V_CON_MAS	877K	35M	80844	(2)
16	UNION-ALL					
* 17	TABLE ACCESS FULL	T_CON_MAS_LOG	877K	36M	80844	(2)
* 18	FILTER					
* 19	TABLE ACCESS FULL	T_CON_MAS	155K	6357K	21715	(1)
* 20	INDEX UNIQUE SCAN	PK_T_AGENT	1	6	1	(0)
21	TABLE ACCESS BY INDEX ROWID	T_POH	1	12	1	(0)
* 22	INDEX RANGE SCAN	IDX_POH_PO_ID	1		1	(0)
* 23	TABLE ACCESS BY INDEX ROWID	T_CUSTOMER	1	28	1	(0)
* 24	INDEX UNIQUE SCAN	PK_T_CUSTOMER	1		1	(0)
* 25	TABLE ACCESS BY INDEX ROWID	T_PO_CH	244K	2389K	1	(0)
* 26	INDEX RANGE SCAN	IDX_PO_CH_MAS_CH_ID	1		1	(0)
* 27	INDEX UNIQUE SCAN	PK_T_CON_MAS	1	6	1	(0)
28	NESTED LOOPS					
29	NESTED LOOPS		1	34	4591	(1)
30	TABLE ACCESS BY INDEX ROWID	T_DATA_EXT	1	25	4590	(1)
* 31	INDEX SKIP SCAN	IDX_DATA_EXT__RELAT_	1		4589	(1)
* 32	INDEX UNIQUE SCAN	PK_T_DATA	1		1	(0)
* 33	TABLE ACCESS BY INDEX ROWID	T_DATA	1	9	1	(0)



# 设计SQL执行计划

SQL> create table t\_objects as select \* from dba\_objects;  
表已创建。

SQL> create index ind\_obj\_id on t\_objects(object\_id);  
索引已创建。

SQL> create table t\_tab as select \* from dba\_tables;  
表已创建。

SQL> create table t\_ind as select \* from dba\_indexes;  
表已创建。

SQL> create index ind\_tab\_name on t\_tab(table\_name);  
索引已创建。

SQL> create index ind\_ind\_name on t\_ind(index\_name);  
索引已创建。

SQL> create view v\_seg as  
2 select owner, table\_name, tablespace\_name, blocks from t\_tab where temporary = 'N'  
3 union all  
4 select owner, index\_name, tablespace\_name, num\_rows from t\_ind where status = 'N/A';  
视图已创建。



## 设计SQL执行计划

```
SQL> select obj.owner, obj.object_name, created, v.blocks  
2  from t_objects obj, v_seg v  
3  where obj.object_id = 12345  
4  and obj.object_name = v.table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	43
* 1	HASH JOIN		1	194	43
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	115	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1
4	VIEW	V_SEG	3340	257K	40
5	UNION-ALL				
* 6	TABLE ACCESS FULL	T_TAB	1184	95904	15
* 7	TABLE ACCESS FULL	T_IND	2156	178K	25



## 设计SQL执行计划

```
SQL> select /*+ index(t_tab ind_tab_name) */ obj.owner, obj.object_name, created, v.blocks  
2  from t_objects obj, v_seg v  
3  where obj.object_id = 12345  
4  and obj.object_name = v.table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	43
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* 7	TABLE ACCESS FULL	T_IND	2156	178K	25



## 设计SQL执行计划

```
SQL> select /*+ index(v.t_tab ind_tab_name) */ obj.owner, obj.object_name, created, v.blocks  
2 from t_objects obj, v_seg v  
3 where obj.object_id = 12345  
4 and obj.object_name = v.table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	1210
* 1	HASH JOIN		1	194	1210
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	115	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1
4	VIEW	V_SEG	3340	257K	1207
5	UNION-ALL				
* 6	TABLE ACCESS BY INDEX ROWID	T_TAB	1184	95904	1182
7	INDEX FULL SCAN	IND_TAB_NAME	2367		11
* 8	TABLE ACCESS FULL	T_IND	2156	178K	25



## 设计SQL执行计划

```
SQL> select obj.owner, obj.object_name, created, v.blocks
2  from t_objects obj,
3  (select owner, table_name, tablespace_name, blocks from t_tab where temporary = 'N'
4  union all
5  select owner, index_name, tablespace_name, num_rows from t_ind where status = 'N/A') v
6  where obj.object_id = 12345
7  and obj.object_name = v.table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	43
* 1	HASH JOIN		1	194	43
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	115	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1
4	VIEW		3340	257K	40
5	UNION-ALL				
* 6	TABLE ACCESS FULL	T_TAB	1184	95904	15
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## 设计SQL执行计划

```
SQL> select /*+ index(v.t_tab ind_tab_name) */ obj.owner, obj.object_name, created, v.blocks  
2 from t_objects obj,  
3 (select owner, table_name, tablespace_name, blocks from t_tab where temporary = 'N'  
4 union all  
5 select owner, index_name, tablespace_name, num_rows from t_ind where status = 'N/A') v  
6 where obj.object_id = 12345  
7 and obj.object_name = v.table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	1210
* 1	HASH JOIN		1	194	1210
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	115	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1
4	VIEW		3340	257K	1207
5	UNION-ALL				
* 6	TABLE ACCESS BY INDEX ROWID	T_TAB	1184	95904	1182
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2  from t_objects obj,
3  (select owner, table_name, tablespace_name, blocks from t_tab where temporary = 'N'
4  union all
5  select owner, index_name, tablespace_name, num_rows from t_ind where status = 'N/A')
6  where obj.object_id = 12345
7  and obj.object_name = table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	43
* 1	HASH JOIN		1	194	43
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	115	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1
4	VIEW		3340	257K	40
5	UNION-ALL				
* 6	TABLE ACCESS FULL	T_TAB	1184	95904	15
* 7	TABLE ACCESS FULL	T_IND	2156	178K	25



## 设计SQL执行计划

```
SQL> SELECT ID, OBJECT_ALIAS, DEPTH
2 FROM V$SQL_PLAN
3 WHERE SQL_ID IN
4 (SELECT SQL_ID FROM V$SQL
5 WHERE SQL_TEXT LIKE 'select /*+ index(t_tab ind_tab_name) */%')
6 ORDER BY SQL_ID, ID;
```

ID	OBJECT_ALIAS	DEPTH
0		0
1		1
2	OBJ@SEL\$1	2
3	OBJ@SEL\$1	3
4	from\$_subquery\$_002@SEL\$1	2
5		3
6	T_TAB@SEL\$2	4
7	T_IND@SEL\$3	4

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	43
* 1	HASH JOIN		1	194	43
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	115	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1	1	1
4	VIEW		3340	257K	40
5	UNION-ALL				
* 6	TABLE ACCESS FULL	T_TAB	1184	95904	15
* 7	TABLE ACCESS FULL	T_IND	2156	178K	25





## 设计SQL执行计划

```
SQL> select /*+ index("from$_subquery$_002".t_tab ind_tab_name) */ obj.owner, obj.object_name, created, blocks
2  from t_objects obj,
3  (select owner, table_name, tablespace_name, blocks from t_tab where temporary = 'N'
4  union all
5  select owner, index_name, tablespace_name, num_rows from t_ind where status = 'N/A')
6  where obj.object_id = 12345
7  and obj.object_name = table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	194	1210
* 1	HASH JOIN		1	194	1210
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	115	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1
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* 6	TABLE ACCESS FULL	T_TAB	1184	95904	15
* 7	TABLE ACCESS FULL	T_IND	2156	178K	25

## 设计SQL执行计划

```
SQL> select /*+ index(@v_1 t_tab ind_tab_name) */ obj.owner, obj.object_name, created, blocks
2  from t_objects obj,
3  (select /*+ qb_name(v_1) */ owner, table_name, tablespace_name, blocks from t_tab where temporary = 'N'
4  union all
5  select owner, index_name, tablespace_name, num_rows from t_ind where status = 'N/A')
6  where obj.object_id = 12345
7  and obj.object_name = table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost
0	SELECT STATEMENT		1	123	1210
* 1	HASH JOIN		1	123	1210
2	TABLE ACCESS BY INDEX ROWID	T_OBJECTS	1	44	2
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1
4	VIEW		3340	257K	1207
5	UNION-ALL				
* 6	TABLE ACCESS BY INDEX ROWID	T_TAB	1184	28416	1182
7	INDEX FULL SCAN	IND_TAB_NAME	2367		11
* 8	TABLE ACCESS FULL	T_IND	2156	66836	25

## 设计SQL执行计划

```
SQL> select /*+ push_pred(v) */ obj.owner, obj.object_name, created, v.blocks  
2  from t_objects obj, v_seg v  
3  where obj.object_id = 12345  
4  and obj.object_name = v.table_name;
```

未选定行

Id	Operation	Name	Rows	Bytes	Cost (%CPU)
0	SELECT STATEMENT		1	78	6 (0)
1	NESTED LOOPS		1	78	6 (0)
2	TABLE ACCESS BY INDEX ROWID BATCHED	T_OBJECTS	1	44	2 (0)
* 3	INDEX RANGE SCAN	IND_OBJ_ID	1		1 (0)
4	VIEW	V_SEG	1	34	4 (0)
5	UNION ALL PUSHED PREDICATE				
* 6	TABLE ACCESS BY INDEX ROWID BATCHED	T_TAB	1	24	2 (0)
* 7	INDEX RANGE SCAN	IND_TAB_NAME	1		1 (0)
* 8	TABLE ACCESS BY INDEX ROWID BATCHED	T_IND	1	31	2 (0)
* 9	INDEX RANGE SCAN	IND_IND_NAME	1		1 (0)



## 设计SQL执行计划

```
SQL> alter session set "_optimizer_push_pred_cost_based"=false;
```

```
Session altered.
```

Id	Operation	Name	Rows	Bytes	Cost (%CPU)
0	SELECT STATEMENT		286	42900	659K (1)
* 1	INDEX RANGE SCAN	IDX_CON_PROD__PRD_ID	1	12	1 (0)
.					
.					
.					
* 12	TABLE ACCESS BY INDEX ROWID	T_P_A	4812	145K	596 (1)
* 13	INDEX RANGE SCAN	IDX_P_A__DUE	14477		10 (0)
* 14	VIEW	V_CON_MAS	1	42	1 (0)
15	UNION-ALL PARTITION				
* 16	TABLE ACCESS BY INDEX ROWID	T_CON_MAS_LOG	1	44	1 (0)
* 17	INDEX RANGE SCAN	IDX_CON_MAS_L__LAST_CM	1		1 (0)
* 18	FILTER				
* 19	TABLE ACCESS BY INDEX ROWID	T_CON_MAS	1	42	1 (0)
* 20	INDEX UNIQUE SCAN	PK_T_CON_MAS	1		1 (0)
21	NESTED LOOPS				
22	NESTED LOOPS		1	34	4591 (1)
.					
.					
.					
* 35	INDEX UNIQUE SCAN	PK_T_COM_ORG	1		1 (0)
36	TABLE ACCESS BY INDEX ROWID	T_COM_ORG	1	15	1 (0)

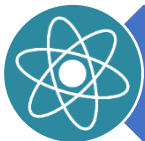


# 设计SQL执行计划

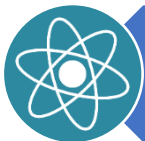
掌握HINT的重要性:

- 掌控SQL执行方式
- 理解执行计划的效率差异
- 分析定位问题的利器
- 规避bug或性能问题
- 强制数据库按照设计思路运行





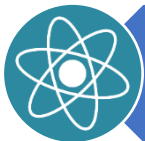
合理运用新特性



数据集整体处理



设计SQL执行计划



严格过滤数据



海盗分金问题：

有五个海盗，劫掠了100两金子，需要分赃。办法是抓阄，盗亦有道。

抓到第一个阄的人，可以先提出一个分配方案，如果他的方案被一半以上的人同意，就照他的方案分金子，否则，第一个人就要被杀掉。余下的人也照此办理。

我们的问题是：如果你是第一个人，你会提出怎样的分配方案？

为了分析问题更确定，我们假定每个人都是追求自己利益极大化的人。





一个人的分配原则：独占

```
SQL> WITH A AS  
2  (SELECT 100 - ROWNUM + 1 N FROM DUAL CONNECT BY ROWNUM <= 101),  
3  MAX_ONE AS  
4  (SELECT MAX(N) MAX1 FROM A)  
5  SELECT * FROM MAX_ONE;
```

MAX1

-----  
100



最悲催的两个人分金方案：白忙

```
SQL> WITH A AS
2  (SELECT 100 - ROWNUM + 1 N FROM DUAL CONNECT BY ROWNUM <= 101),
3  MAX_ONE AS
4  (SELECT MAX(N) MAX1 FROM A),
5  MAX_TWO AS
6  (SELECT /*+ LEADING (P2, P1) USE_NL(P1) */ P2.N MAX2, P1.N MAX1
7  FROM A P1, A P2
8  WHERE P1.N + P2.N = 100
9  AND P1.N >= (SELECT MAX1 FROM MAX_ONE)
10 AND ROWNUM = 1)
11 SELECT * FROM MAX_TWO;
```

MAX2	MAX1
0	100



## 严格过滤数据

三个人的分金方案：拉拢

```

SQL> WITH A AS
2  (SELECT 100 - ROWNUM + 1 N FROM DUAL CONNECT BY ROWNUM <= 101),
3  MAX_ONE AS
4  (SELECT MAX(N) MAX1 FROM A),
5  MAX_TWO AS
6  (SELECT /*+ LEADING (P2, P1) USE_NL(P1) */ P2.N MAX2, P1.N MAX1
7  FROM A P1, A P2
8  WHERE P1.N + P2.N = 100
9  AND P1.N >= (SELECT MAX1 FROM MAX_ONE)
10 AND ROWNUM = 1),
11 MAX_THREE AS
12 (SELECT /*+ LEADING(P3, P2, P1) USE_NL(P1) */ P3.N MAX3, P2.N MAX2, P1.N MAX1
13 FROM A P1, A P2, A P3, MAX_TWO
14 WHERE P1.N + P2.N + P3.N = 100
15 AND SIGN(P2.N - MAX2) + SIGN(P1.N - MAX1) >= 0
16 AND ROWNUM = 1)
17 SELECT * FROM MAX_THREE;

```

MAX3	MAX2	MAX1
99	1	0



四个人的分金方案：排挤

```
SQL> WITH A AS
2  (SELECT 100 - ROWNUM + 1 N FROM DUAL CONNECT BY ROWNUM <= 101),
3  MAX_ONE AS
4  (SELECT MAX(N) MAX1 FROM A),
5  MAX_TWO AS
6  (SELECT /*+ LEADING (P2, P1) USE_NL(P1) */ P2.N MAX2, P1.N MAX1
7  FROM A P1, A P2
8  WHERE P1.N + P2.N = 100
9  AND P1.N >= (SELECT MAX1 FROM MAX_ONE)
10 AND ROWNUM = 1),
11 MAX_THREE AS
12 (SELECT /*+ LEADING(P3, P2, P1) USE_NL(P1) */ P3.N MAX3, P2.N MAX2, P1.N MAX1
13 FROM A P1, A P2, A P3, MAX_TWO
14 WHERE P1.N + P2.N + P3.N = 100
15 AND SIGN(P2.N - MAX2) + SIGN(P1.N - MAX1) >= 0
16 AND ROWNUM = 1),
17 MAX_FOUR AS
18 (SELECT /*+ LEADING(P4, P3, P2, P1) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P4.N MAX4, P3.N MAX3, P2.N MAX2, P1.N MAX1
19 FROM A P1, A P2, A P3, A P4, MAX_THREE
20 WHERE P1.N + P2.N + P3.N + P4.N = 100
21 AND SIGN(P3.N - MAX3) + SIGN(P2.N - MAX2) + SIGN(P1.N - MAX1) > 0
22 AND ROWNUM = 1)
23 SELECT * FROM MAX_FOUR;
```

MAX4	MAX3	MAX2	MAX1
97	0	2	1



```

SQL> WITH A AS
2  (SELECT 100 - ROWNUM + 1 N FROM DUAL CONNECT BY ROWNUM <= 101),
3  MAX_ONE AS
4  (SELECT MAX(N) MAX1 FROM A),
5  MAX_TWO AS
6  (SELECT /*+ LEADING (P2, P1) USE_NL(P1) */ P2.N MAX2, P1.N MAX1
7  FROM A P1, A P2
8  WHERE P1.N + P2.N = 100
9  AND P1.N >= (SELECT MAX1 FROM MAX_ONE)
10 AND ROWNUM = 1),
11 MAX_THREE AS
12 (SELECT /*+ LEADING(P3, P2, P1) USE_NL(P1) */ P3.N MAX3, P2.N MAX2, P1.N MAX1
13 FROM A P1, A P2, A P3, MAX_TWO
14 WHERE P1.N + P2.N + P3.N = 100
15 AND SIGN(P2.N - MAX2) + SIGN(P1.N - MAX1) >= 0
16 AND ROWNUM = 1),
17 MAX_FOUR AS
18 (SELECT /*+ LEADING(P4, P3, P2, P1) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P4.N MAX4, P3.N MAX3, P2.N MAX2, P1.N MAX1
19 FROM A P1, A P2, A P3, A P4, MAX_THREE
20 WHERE P1.N + P2.N + P3.N + P4.N = 100
21 AND SIGN(P3.N - MAX3) + SIGN(P2.N - MAX2) + SIGN(P1.N - MAX1) > 0
22 AND ROWNUM = 1),
23 FIVE AS
24 (SELECT /*+ LEADING(P5, P4, P3, P2, P1) USE_NL(P4) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P5.N N5, P4.N N4, P3.N N3, P2.N N2, P1.N N1
25 FROM A P1, A P2, A P3, A P4, A P5, MAX_FOUR
26 WHERE P1.N + P2.N + P3.N + P4.N + P5.N = 100
27 AND SIGN(P4.N - MAX4) + SIGN(P3.N - MAX3) + SIGN(P2.N - MAX2) + SIGN(P1.N - MAX1) >= 0
28 AND ROWNUM = 1)
29 SELECT * FROM FIVE;

```

N5	N4	N3	N2	N1
97	0	1	0	2

已用时间: 00: 05: 51.49

## 严格过滤数据

```

SQL> WITH A AS
2  (SELECT 100 - ROWNUM + 1 N, ROWNUM - 1 NA FROM DUAL CONNECT BY ROWNUM <= 101),
3  MAX_ONE AS
4  (SELECT MAX(N) MAX1 FROM A),
5  MAX_TWO AS
6  (SELECT /*+ LEADING(MAX_ONE, P2, P1) USE_NL(P2) USE_NL(P1) */ DECODE(P1.NA, MAX1, P2.N - 1, P2.N) MAX2, P1.NA MAX1
7  FROM A P1, A P2, MAX_ONE
8  WHERE P1.NA + P2.N = 100
9  AND P1.NA >= MAX1
10 AND ROWNUM = 1),
11 MAX_THREE AS
12 (SELECT /*+ LEADING(MAX_TWO, P3, P2, P1) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P3.N MAX3, P2.NA MAX2, P1.NA MAX1
13 FROM A P1, A P2, A P3, MAX_TWO
14 WHERE P1.NA + P2.NA + P3.N = 100
15 AND P3.N + P2.NA <= 100
16 AND CASE WHEN P2.NA > MAX2 THEN 1 ELSE -1 END + CASE WHEN P1.NA > MAX1 THEN 1 ELSE -1 END >= 0
17 AND ROWNUM = 1),
18 MAX_FOUR AS
19 (SELECT /*+ LEADING(MAX_THREE, P4, P3, P2, P1) USE_NL(P4) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P4.N MAX4, P3.NA MAX3, P2.NA MAX2, P1.NA MAX1
20 FROM A P1, A P2, A P3, A P4, MAX_THREE
21 WHERE P1.NA + P2.NA + P3.NA + P4.N = 100
22 AND P4.N + P3.NA <= 100
23 AND P4.N + P3.NA + P2.NA <= 100
24 AND CASE WHEN P3.NA > MAX3 THEN 1 ELSE -1 END + CASE WHEN P2.NA > MAX2 THEN 1 ELSE -1 END + CASE WHEN P1.NA > MAX1 THEN 1 ELSE -1 END > 0
25 AND ROWNUM = 1),
26 MAX_FIVE AS
27 (SELECT /*+ LEADING(MAX_FOUR, P5, P4, P3, P2, P1) USE_NL(P5) USE_NL(P4) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P5.N N5, P4.NA N4, P3.NA N3, P2.NA N2, P1.NA N1
28 FROM A P1, A P2, A P3, A P4, A P5, MAX_FOUR
29 WHERE P1.NA + P2.NA + P3.NA + P4.NA + P5.N = 100
30 AND P5.N + P4.NA <= 100
31 AND P5.N + P4.NA + P3.NA <= 100
32 AND P5.N + P4.NA + P3.NA + P2.NA <= 100
33 AND CASE WHEN P4.NA > MAX4 THEN 1 ELSE -1 END + CASE WHEN P3.NA > MAX3 THEN 1 ELSE -1 END + CASE WHEN P2.NA > MAX2 THEN 1 ELSE -1 END + CASE WHEN P1.NA > MAX1
34 THEN 1 ELSE -1 END >= 0
35 SELECT * FROM MAX_FIVE;

```

N5	N4	N3	N2	N1
97	0	1	0	2

已用时间: 00: 00: 00.03



# 严格过滤数据

```
SQL> WITH A AS
2 (SELECT 100 - ROWNUM + 1 N, ROWNUM - 1 NA FROM DUAL CONNECT BY ROWNUM <= 101),
3 MAX_ONE AS
4 (SELECT MAX(N) MAX1 FROM A),
5 MAX_TWO AS
6 (SELECT /*+ LEADING(MAX_ONE, P2, P1) USE_NL(P2) USE_NL(P1) */ DECODE(P1.NA, MAX1, P2.N - 1, P2.N) MAX2, P1.NA MAX1
7 FROM A P1, A P2, MAX_ONE
8 WHERE P1.NA + P2.N = 100
9 AND P1.NA >= MAX1
10 AND ROWNUM = 1),
11 MAX_THREE AS
12 (SELECT /*+ LEADING(MAX_TWO, P3, P2, P1) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P3.N MAX3, P2.NA MAX2, P1.NA MAX1
13 FROM A P1, A P2, A P3, MAX_TWO
14 WHERE P1.NA + P2.NA + P3.N = 100
15 AND P3.N + P2.NA <= 100
16 AND CASE WHEN P2.NA > MAX2 THEN 1 ELSE -1 END + CASE WHEN P1.NA > MAX1 THEN 1 ELSE -1 END >= 0
17 AND ROWNUM = 1),
18 MAX_FOUR AS
19 (SELECT /*+ LEADING(MAX_THREE, P4, P3, P2, P1) USE_NL(P4) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P4.N MAX4, P3.NA MAX3, P2.NA MAX2, P1.NA MAX1
20 FROM A P1, A P2, A P3, A P4, MAX_THREE
21 WHERE P1.NA + P2.NA + P3.NA + P4.N = 100
22 AND P4.N + P3.NA <= 100
23 AND P4.N + P3.NA + P2.NA <= 100
24 AND CASE WHEN P3.NA > MAX3 THEN 1 ELSE -1 END + CASE WHEN P2.NA > MAX2 THEN 1 ELSE -1 END + CASE WHEN P1.NA > MAX1 THEN 1 ELSE -1 END > 0
25 AND ROWNUM = 1),
26 MAX_FIVE AS
27 (SELECT /*+ LEADING(MAX_FOUR, P5, P4, P3, P2, P1) USE_NL(P5) USE_NL(P4) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P5.N N5, P4.NA N4, P3.NA N3, P2.NA N2, P1.NA N1
28 FROM A P1, A P2, A P3, A P4, A P5, MAX_FOUR
29 WHERE P1.NA + P2.NA + P3.NA + P4.NA + P5.N = 100
30 AND P5.N + P4.NA <= 100
31 AND P5.N + P4.NA + P3.NA <= 100
32 AND P5.N + P4.NA + P3.NA + P2.NA <= 100
33 AND CASE WHEN P4.NA > MAX4 THEN 1 ELSE -1 END + CASE WHEN P3.NA > MAX3 THEN 1 ELSE -1 END + CASE WHEN P2.NA > MAX2 THEN 1 ELSE -1 END + CASE WHEN P1.NA > MAX1 THEN 1 ELSE -1 END >= 0
34 AND ROWNUM = 1),
35 FIVE AS
36 (SELECT /*+ LEADING(MAX_FOUR, MAX_FIVE, P5, P4, P3, P2, P1) USE_NL(MAX_FIVE) USE_NL(P5) USE_NL(P4) USE_NL(P3) USE_NL(P2) USE_NL(P1) */ P5.N N5, P4.NA N4, P3.NA N3, P2.NA N2, P1.NA N1
37 FROM A P1, A P2, A P3, A P4, A P5, MAX_FOUR, MAX_FIVE
38 WHERE P1.NA + P2.NA + P3.NA + P4.NA + P5.N = 100
39 AND MAX_FIVE.N5 = P5.N
40 AND P5.N + P4.NA <= 100
41 AND P5.N + P4.NA + P3.NA <= 100
42 AND P5.N + P4.NA + P3.NA + P2.NA <= 100
43 AND CASE WHEN P4.NA > MAX_FOUR.MAX4 THEN 1 ELSE -1 END
44 + CASE WHEN P3.NA > MAX_FOUR.MAX3 THEN 1 ELSE -1 END
45 + CASE WHEN P2.NA > MAX_FOUR.MAX2 THEN 1 ELSE -1 END
46 + CASE WHEN P1.NA > MAX_FOUR.MAX1 THEN 1 ELSE -1 END >= 0)
47 SELECT * FROM FIVE;
```

	N5	N4	N3	N2	N1
97		0	1	0	2
97		0	1	2	0

已用时间: 00: 00: 00.03

## 严格过滤数据

WITH A AS

.

.

.

FROM A P1, A P2, A P3, MAX\_TWO

WHERE P1.NA + P2.NA + P3.N = 100

AND P3.N + P2.NA &lt;= 100

.

.

.

WHERE P1.NA + P2.NA + P3.NA + P4.N = 100

AND P4.N + P3.NA &lt;= 100

AND P4.N + P3.NA + P2.NA &lt;= 100

.

.

.

WHERE P1.NA + P2.NA + P3.NA + P4.NA + P5.N = 100

AND P5.N + P4.NA &lt;= 100

AND P5.N + P4.NA + P3.NA &lt;= 100

AND P5.N + P4.NA + P3.NA + P2.NA &lt;= 100

.

.





# 严格过滤数据

- 第一步结果集最小原则
- 能提前限制的条件不要放到后面
- 充分利用索引的ACCESS
- 不要忽略FILTER



## 总结

- 处理问题从整体上考虑，避免单条操作
- 第一步结果集最小原则，合理选择驱动表
- 利用新特性、分析函数避免重复多次的扫描，减少自关联
- 在每一个步骤上尽可能过滤掉无用数据
- 多写SQL：熟能生巧
- 多思考：算法为王
- 持之以恒：优化无止境



# THANKS

