

# Variant Annotation, Analysis and Search Tool

# User's Guide

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University of Utah, Department of Human Genetics Omicia Inc.

LICENSE	4
INTRODUCTION	5
BASIC WORKFLOW	5
CONNECTING AND GETTING HELP	6
INPUT FILE FORMATS	6
FASTA FILE FORMAT	6
GFF3 FILE FORMAT	7
GVF FILE FORMAT	8
OUTPUT FILE FORMATS	9
DATA SOURCES	9
FASTA FILES	9
GFF3 FILES	9
GVF FILES	10
BACKGROUND CDR FILES LOW COVERAGE 1000 GENOMES (JULY 2010) 179 INDIVIDUALS	<b>10</b> 10
Low Coverage 1000 Genomes (July 2010) 179 Individuals  Low Coverage 1000 Genomes (May 2011) 1094 Individuals	10
INDIVIDUAL TOOLS	10
VAT - VARIANT ANNOTATION TOOL	10
VAT INPUT	11
VAT COMMAND LINES	11
VAT OUTPUT	11
VST - VARIANT SELECTION TOOL	12
VST INPUT	13
VST COMMAND LINE OPTIONS	13
VST OUTPUT VAAST - VARIANT ANALYSIS AND SEARCH TOOL	14 <b>16</b>
VAAST - VARIANT ANALYSIS AND SEARCH TOOL VAAST INPUT FILES	16
REQUIRED VAAST COMMAND LINE OPTIONS	17
OPTIONS FOR MASKING AND GROUPING VARIANTS	18
OPTIONS FOR DISEASE MODELING	19
OPTIONS AFFECTING LIKELIHOOD RATIO SCORING	22
An Alternative Feature-Ranking Algorithm	22
OPTIONS AFFECTING MEMORY AND RUN-TIME PERFORMANCE	23
VAAST OUTPUT	24
ACCESSORY TOOLS	26
PUTTING IT ALL TOGETHER - WORKED EXAMPLES	27
BEST PRACTICES	28
REFERENCES	30

APPENDICES	31
Accessory Tools	Error! Bookmark not defined.
FAQ	31
ERROR CODES	32
FATAL ERRORS	32
Warnings	35
Info Messages	38
DATA INTERNAL TO THE CODE	39
CHROMOSOME NAMES AND LENGTHS	39
PSEUDOAUTOSOMAL REGIONS	40

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#### Introduction

VAAST, the Variant Annotation, Analysis and Search Tool is a probabilistic search tool for identifying damaged genes and their disease-causing variants in personal genome sequences. VAAST builds upon existing amino acid substitution (AAS) and aggregative approaches to variant prioritization, combining elements of both into a single unified likelihood-framework that allows users to identify damaged genes and deleterious variants with greater accuracy, and in an easy-to-use fashion. VAAST can score both coding and non-coding variants, evaluating the cumulative impact of both types of variants simultaneously. VAAST can identify rare variants causing rare genetic diseases, and it can also use both rare and common variants to identify genes responsible for common diseases.

#### **Basic Workflow**

VAAST combines variant frequency data with AAS effect information on a featureby-feature basis using the likelihood ratio described in the VAAST paper to compare the variants in a set of disease genomes to those in a set of healthy genomes.

The set of genomes being analyzed for disease causing features are referred to below as the target genomes. The set of healthy genomes that the target genomes are being compared to are referred to as the background genomes.

The basic inputs to VAAST consist of a set of single nucleotide variants (single nucleotide variants or SNVs) for the target and background genomes, a set of genomic features (typically genes) that will be scored, and a copy of the appropriate reference genome.

Provided with these inputs the VAAST analysis pipeline occurs in three basic steps:

- 1. Variant Annotation The variants for the individual genomes are annotated for the effects that they cause on the genomics features. Common SNV effects on genes are synonymous and non-synonymous codon changes, stop-codon loss and gain and splice-site variants.
- 2. Variant Selection Some set of (or commonly all) the variants in target genomes are combined together for comparison against the set of all background variants. Individual research designs may however call for selection of a subset of variants, for example all variants shared by affected family members, but not present in unaffected family members and VAAST has tools to do this.

3. Variant Analysis – All the variants found in the target genomes are then compared to all the variants in the background genomes and the features that contain those variants are scored and ranked by the likelihood that they are disease causing.

## **Connecting and Getting Help**

The main source of information for downloading software and data and getting help with the VAAST package is the VAAST website. Please visit us at:

http://www.yandell-lab.org/software/vaast.html

If you experience difficulties with the VAAST software that you cannot resolve please feel free to join and ask questions on the VAAST users mailing list:

http://yandell-lab.org/mailman/listinfo/vaast-user yandell-lab.org

If you think you have found a bug in the VAAST code we would love to get a bug report from you on our bug/issue tracker:

Coming Soon!

## **Input File Formats**

VAAST uses three common input file formats and produces two additional output file formats that are unique to the VAAST software. All of these file formats are text files and where appropriate are tab-delimited.

#### **Fasta File Format**

The Fasta file format is one of the most ubiquitous formats in bioinformatics and yet oddly it doesn't have an official specification - primarily because it's so simple it doesn't really need one. Have a look at the Wikipedia page for the Fasta format if you'd like a lot of detail and a little bit of interesting history and trivia (<a href="http://en.wikipedia.org/wiki/FASTA">http://en.wikipedia.org/wiki/FASTA</a> format).

For use within VAAST Fasta files are used for providing a copy of the reference genome sequence. The requirements of the Fasta format for VAAST are pretty straightforward. Each sequence (A chromosome or a contig) begins with a header line. This header line in turn begins with the '>' character. The first contiguous set of non-whitespace characters after the '>' are used as the ID of that sequence. This ID must match EXACTLY the 'seqid' column described below for the sequence feature and sequence variants. On the next and subsequent lines the sequence is represented as with the characters A, C, G, T, and N. All other characters are

disallowed. The sequence lines can be of any length, but they must all be the same length, except the final line of each sequence which can terminate whenever necessary at the end of the sequence. Below is an example of a short Fasta file.

#### >chr1

#### **GFF3 File Format**

The GFF3 (General Feature Format version 3) file format is a widely used format for annotating genomic features. Although various versions of GTF and GFF formats have been in use for many years, GFF3 was introduced by Lincoln Stein to standardize the various gene annotation formats to allow better interoperability between genome projects. The Sequence Ontology group currently maintains the GFF3 specification. The official GFF3 specification can be found on the Sequence Ontology website at:

#### http://www.sequenceontology.org/resources/gff3.html

Briefly, a GFF3 file begins with one or more lines of pragma or meta-data information on lines that begin with '##'. The only required pragma is '## gff-version 3' although VAAST tools can make use of other GFF3 pragmas if they are available. Header lines are followed by one or more (usually many more) feature lines. Each feature line describes a single genomic feature. Each feature line consists of nine tab-delimited columns. Each of the first eight columns describe details about the feature and its location on the genome and the final line is a set of tag value pairs that describe attributes of the feature. A full description of GFF3 is beyond the scope of this document, so please refer to the specification noted above for more detail. GFF3 files for use with VAAST can be downloaded from the VAAST website. A short example of a GFF3 file is given below.

```
##genome-build hg19
##sequence-region chr11 1 135006516

chr11 UCSC_refGene gene 71950121 71955220 . - . ID=PHOX2A
chr11 UCSC_refGene mRNA 71950121 71955220 . - . ID=NM_005169; Parent=PHOX2A;
chr11 UCSC_refGene exon 71950121 71951242 . - . ID=NM_005169:exon:01; Parent=NM_005169
chr11 UCSC_refGene CDS 71950793 71951242 . - 0 ID=NM_005169:CDS:01; Parent=NM_005169
chr11 UCSC_refGene CDS 71952146 71952333 . - 0 ID=NM_005169:CDS:02; Parent=NM_005169
chr11 UCSC_refGene exon 71952146 71952333 . - . ID=NM_005169:exon:02; Parent=NM_005169
chr11 UCSC_refGene exon 71952146 71952333 . - . ID=NM_005169:exon:02; Parent=NM_005169
chr11 UCSC_refGene exon 71954832 71955220 . - . ID=NM_005169:exon:03; Parent=NM_005169
chr11 UCSC_refGene CDS 71954832 71955048 . - 1 ID=NM_005169:CDS:03; Parent=NM_005169
```

#### **GVF File Format**

The GVF (Genome Variation Format) file format was introduced recently by the Sequence Ontology group for use in describing sequence variants. It is based on the GFF3 format and is fully compatible with GFF3 and tools built for parsing, analyzing and viewing GFF3. The GVF specification can also be found on the Sequence Ontology website at:

#### http://www.sequenceontology.org/gvf.html

GVF shares the same nine-column format for feature lines, but specifies additional pragmas for use at the top of the file and additional tag/value pairs to describe feature attributes in column nine that are specific to variant features. An example of GVF file is given below.

```
##gvf-version 1.04

##individual-id ID=NA19240; Gender=female;
##sequence-region chr1 1 247249719

chr1 SOLiD SNV 886339 886339 . + . ID=01; Variant_seq=G; Reference_seq=A;
chr1 SOLiD SNV 887427 887427 . + . ID=02; Variant_seq=C; Reference_seq=T;
chr1 SOLiD SNV 888186 888186 . + . ID=03; Variant_seq=C; Reference_seq=T;
```

VAAST provides a tool 'vaast\_converter' for converting SNV variant files in VCF format to GVF format. VCF is another common format for describing sequence variants that was developed by the 1000 Genomes Project (<a href="http://www.1000genomes.org/node/101">http://www.1000genomes.org/node/101</a>).

In addition to the GVF specification mentioned above, a set of 10 whole genome sequence variant files referred to as the 10Gen data set can be found in GVF format at:

http://www.sequenceontology.org/resources/10Gen.html

The following Genome Biology publication describes the GVF format and the 10Gen dataset.

http://www.ncbi.nlm.nih.gov/pubmed/20796305

#### **Output File Formats**

VAAST produces two file formats that are unique to the VAAST package. These formats are mentioned briefly here and are described in more detail with the tools that produce them below.

A condenser or CDR file is produce by VST for input to VAAST. CDR files have a '.cdr' extension. The CDR format is a condensed representation of the variants for a set of genomes (the target and background genomes) and is used as input to VAAST.

The final output of a VAAST analysis is a VAAST file with a '.vaast' extension. This file contains information for every feature in the genome, including the features rank, significance level, raw score from the composite likelihood ratio test and the variants that occur within that feature in both the target and background genomes.

#### **Data Sources**

Input files to VAAST are available for download from the VAAST website.

http://www.yandell-lab.org/software/VAAST/data/

The files available are divided into two directories one for the 2006 hg18 (NCBI Build 36) assembly and one for the 2009 hg19 (GRCh37) assembly. It is critical that you know which assembly your variants are annotated on and to use the appropriate input files for that same assembly when running VAAST.

#### **Fasta Files**

The Fasta files used by VAAST represent the genome assembly that was used as the reference genome for variant calling. Two Fasta files are currently available and are copies of the associated file provided by the UCSC Genome Browser:

vaast\_chrs\_hg18.fa vaast chrs hg19.fa

#### **GFF3 Files**

The GFF3 files used by VAAST are based on NCBI RefSeq annotations that have been mapped to hg18 and hg19 assembly coordinates by the UCSC Genome Browser

team. The data in the UCSC table refGene was converted to GFF3 by the VAAST development team and one file is available for each assembly.

refGene\_hg18.gff3 refGene\_hg19.gff3

#### **GVF Files**

The GVF files containing the genome variants are the data that you provide VAAST for your analysis. These files may come to you in GVF format from your sequence provider, or you may need to convert your existing file to GVF format. VAAST provides a tool vaast\_converter for converting variant files from VCF format to GVF. Contact the VAAST community through the VAAST users mailing list if you need information on converting other file formats to GVF.

#### **Background CDR Files**

Any set of genomes that you wish to use as a background file of healthy genomes can be converted to CDR files with VST as described below. VAAST can reuse the same background CDR files for many different analyses. The VAAST data repository contains background CDR files for the following datasets:

Low Coverage 1000 Genomes (July 2010) 179 Individuals

Low Coverage 1000 Genomes (May 2011) 1094 individuals

#### **Individual Tools**

#### **VAT - Variant Annotation Tool**

VAT calculates a rich set of annotations on the effects that variants have on genomic features. These effects, like synonymous and non-synonymous codon changes and stop-gain and loss provide additional information about the underlying variant, which VAAST uses to score features. VAT annotates other classes of variant effect such as splice donor/acceptor/region, UTR variants and others; these additional annotations will be used by VAAST in a future release. VAT needs to know which assembly you are working with and the gender of the individual represented in the variant file. These can be annotated in the GVF variant file, but if they are not, they can be given on the command line. If this information is not provided VAAST will try to guess the gender from the ratio of heterozygous variants on chromosome X (see VAT documentation for more detail), and will get chromosomal boundaries

from the length of the sequences in the Fasta file. VAT prints its output to STDOUT and this should be redirected to a file as shown below.

#### **VAT Input**

The inputs to VAT are a genome annotation file (containing gene models for the given genome) in GFF3 format, a sequence file containing the assembly (the chromosomes and/or contigs that make up the genome) in Fasta format and a GVF file containing the SNVs found within an individual genome. The features in the GFF3 and GVF file should be sorted for maximum performance, and this can be done very easily with a simple linux command line:

```
grep -P '^(\#|\s)' variants.gvf > variants_srt.gvf && grep -vP '\#|\s)' variants.gvf sort -k 1,1 -k4n,4 -k5nr,5 >> variants_srt.gvf
```

#### **VAT Command Lines**

To see the full VAT documentation run:

```
VAT --help
```

The most basic VAT command line would look like this:

```
VAT -f genes.gff3 -a sequence.fasta variants.gvf > variants.vat.gvf
```

VAT will process the genome in chunks to limit memory usage. This makes it more tractable to run VAT on smaller machines or to run many copies of VAT in parallel on larger machines. The default chunk size is 10 MB, but can be set larger or smaller manage the trade off between speed and memory usage. You probably don't want to process with a chunk size of less than about 1 MB as this will start to incur a significant performance penalty with little improvement in memory usage. A more complete VAT command line with default values would look like this:

```
VAT -f genes.gff3 -a sequence.fasta -c 10000000 -g male -b hg19 variants.gvf > variants.vat.gvf
```

#### **VAT Output**

The output from VAT is the same as the GVF input file with the addition of Variant\_effect attributes in column 9. The attributes from an example Variant\_effect attribute are shown below. The different attributes are broken up across multiple lines for clarity in printed form but in the GVF document they would appear on a

single line separated by semicolons. The GVF specification will provide all the details of these lines, but briefly, multiple attribute values are comma separated, and data points within a single Variant\_effect value are space separated and consist of:

- 1. The variant effect, a Sequence Ontology (SO) term describing the effect that the variant has on some genomic feature.
- 2. A 0-based index to the Variant\_seq attribute values. This defines which variant sequene (allele) causes this effect.
- 3. The affected variant type. This is also a SO term describing the type of variant that is affected.
- 4. All remaining space separated values are a list of the feature IDs from the GFF3 file for the affected features.

In the example below the effects of an SNV are described. The second given variant sequence (allele) at this locus causes a non\_synonymous\_codon effect on an mRNA - the NM\_001014980 mRNA in particular.

#### **VST - Variant Selection Tool**

VST performs set operations (intersection, union, compliment, and difference) on a group of annotated GVF files to produce a merged representation in a CDR file. This is typically used to create a merged set of variants for the target or background genomes required as input to VAAST, but can also include more complex selections as described below. VST will process the genomes in chunks to manage memory usage (see description of VAT above and the VST documentation for more detail). By default the chunk size in 50000000, but an alternate value can be specified on the command line. VST also needs to know information about the contig/chromosome sizes it will encounter in the GVF file (or the genome assembly), and the gender of each individual. VST will also infer gender like VAT if this info is not provided in the GVF file or on the command line, but because it does not use the Fasta file, information on chromosome sizes must either appear in the GVF files as ##sequence-region pragmas or VST must be given the genome assembly build on he command line. VST can perform multiple set operations in a single run and these will be applied recursively as explained below.

#### **VST Input**

The input to VST is one or more variant files in GVF format.

#### **VST Command Line Options**

To see the full VST documentation run:

```
VST --help
```

The --opts (-o) argument provides VST with the set operations to perform. The various types of set operations available and their one-letter abbreviations are:

- 1. U Union: All variants.
- 2. I Intersection: Variants shared by all files.
- 3. C Compliment: Variants unique to the first file.
- 4. D Difference: Variants unique to any file.
- 5. S Shared: Variants shared by n files: S(n,0..2). The value for n can be a positive integer, in which case all variants present in at least n files will be retained. In addition, the value of n can be an integer preceded by one of the following comparison operators:
  - a. eq Exactly n files share the variant.
  - b. gt Greater than n files share the variant.
  - c. lt Less than n files share the variant.

A simple union on the first through fourth files on the command line would have an -opts argument like this:

```
--opts U(0, 1, 2, 3)
```

In the above example U refers to the set operation to be carried out on the files (union), and the integers 0, 1, 2, 3 are a 0-based index of the files given on the command line to be operated on. The above operation would produce the union of the first, second, third and fourth files on the command line. Since 0, 1, 2, 3 is a range of contiguous file index IDs the range could have been specified as a range instead to save some typing. The same operation, specified with a range, would appear as:

```
--opts U(0 .. 3)
```

In both cases above the spaces are optional.

The most basic VST command line would look like this:

```
VST --opts 'U(0..3)' *.gvf > vst_output.cdr
```

The above command line would produce a CDR file with all variant loci present in any of the first four files given. If there were more than four files represented by the glob above only the first four would be operated on.

Set operations can be combined recursively to produce more complex operations. For example, a complex VST command that would identify the variants found on the X chromosome of an affected boy and his carrier mother and grandmother, but not on his unaffected brother and uncle would look like this:

```
VST -o 'C(I(0..2),U(3,4))' -c chrX -b hg19 -h 50000000 affected.gvf mother.gvf grandmother.gvf brother.gvf uncle.gvf > vst_output.cdr
```

In the above example the intersection is found between the variants in genomes of the affected boy, his mother and his grandmother. The union is found between the variants found in the genomes of the unaffected brother and uncle. Finally, the results from those first two set operations are used as input to the final set operation which takes the compliment (only those variants found in the first set) providing as output all variants found in all three of the affected/carrier genomes but not found in either of the unaffected genomes.

#### **VST Output**

The output from VST is a CDR file. The CDR file contains a condensed representation of the variants in one or more GVF files. The CDR file then becomes the input for the target and background genomes to VAAST. CDR files have two types of lines. At the beginning of the file are locus lines. These lines describe the variants at every locus in the set of genomes where any genome has a variant.

```
chr1 878681 878681 SNV synonymous_codon A|E 48-56,137,200|A:G|E:G
```

The locus lines have the following columns:

- 1. Seqid The chromosome
- 2. Start
- 3. End
- 4. Variant type (Currently always SNV for VAAST)
- 5. Variant effect(s) Sequence Ontology terms describing the effect of the variant.
- 6. Reference sequence. The reference nucleotide is always present and if applicable the reference amino acid is also given separated by a vertical bar

- '|'. If multiple reference amino acids are possible due to multiple transcripts translated in different frames, then only the most common (random if a tie) amino acid is given.
- 7. Column 7 and onward show all the genotypes that were found in any individual in the given set - a separate column for each genotype seen. The data in each of these columns is separated by vertical bars 'l' into the following values.
  - a. A comma separated list of index numbers (or ranges, 5-8 represents 5,6,7,8) that represent the individuals that have the given genotype. The numbers are a 0-based index to the files given on the command line.
  - b. The variant genotype (e.g. A:C).
  - c. If the given variant falls within a coding region the variant amino acids corresponding to the genotype are given in the same order (e.g. C:R).

At the end of the CDR file several lines of meta-data are given that apply to the entire file. Each of these lines begins with a double-hash '##'. The data following the double-hash are tab separated. The following meta-data lines are present:

1. ##W0C6.25701499933934e-05

Represent amino acid substitution frequencies.

- a. The first column has two amino acids separated by a zero.
- b. The second column has the frequency that particular substitution occurs within the data set calculated as number of times that substitution is seen divided by the cumulative length of all genomes in the set.
- 2. ## PSUEDO-COUNT 3.2303107427267e-11

The pseudo count us used by VAAST as a proxy for the frequency of any amino acid substitution not seen in a particular set and is 10X less than the minimum amino acid substitution frequency seen within the dataset.

- GENOME-LENGTH 3095677412 3. ##
  - The length of the genome.
- 4. ## GENOME-COUNT 5

The number of genomes in the set.

- 5. ## **CUMULATIVE-GENOME-length** 15478387060
  - The cumulative length of all genomes in the set.
- COMMAND-LINE /home/bmoore/VAAST RC 1.0/bin/vaast tools/vst -o U(0..4) file0.vat.gvf file1.vat.gvf file2.vat.gvf file3.vat.gvf file4.vat.gvf The command line used.
- 7. ## PROGRAM-VERSION RC1.0

The program version

- GENDER F:0-2 M:3-4
  - The gender of the individuals in the file (0-based index to the files on the command line.
- 9. ## FILE-INDEX file0.vat.gvf

The 0-based index with the corresponding files given on the command line.

An example excerpt from a CDR file is shown below (some information is truncated with ... for display purposes.

```
chr1 879304 879304 SNV non sy... codon G|G 0,4-5|A:A|D:D
chrl 879317 879317 SNV synonymous codon C|Y 2|C:T|Y:Y
##
                4.7243294612378e-06
##
        COM
                9.69093222818011e-10
##
        R00
                3.40591043470133e-05
##
        PSUEDO-COUNT
                        3.2303107427267e-11
        GENOME-LENGTH
##
                        3095677412
##
        GENOME-COUNT
                        453
##
        CUMULATIVE-GENOME-length
                                         1402341867636
##
        COMMAND-LINE file0.vat.gvf file1.vat.gvf file2.vat.gvf ...
##
       PROGRAM-VERSION rc 1.0
##
        GENDER F:0-2 M:3-4
       FILE-INDEX 0
                             file0.vat
##
       FILE-INDEX
                       1
                            file1.vat
       FILE-INDEX 2
FILE-INDEX 3
FILE-INDEX 4
FILE-INDEX 5
##
                             file2.vat
                             file3.vat
                             file4.vat
##
##
                             file5.vat
```

#### **VAAST – Variant Analysis and Search Tool**

VAAST, the Variant Annotation, Analysis and Search Tool is a probabilistic search tool for identifying damaged genes and their disease-causing variants in personal genome sequences. VAAST builds upon existing amino acid substitution (AAS) and aggregative approaches to variant prioritization, combining elements of both into a single unified likelihood-framework that allows users to identify damaged genes and deleterious variants with greater accuracy, and in an easy-to-use fashion. VAAST can score both coding and non-coding variants, evaluating the cumulative impact of both types of variants simultaneously. VAAST can identify rare variants causing rare genetic diseases, and it can also use both rare and common variants to identify genes responsible for common diseases.

#### **VAAST Input Files**

VAAST takes three input files:

1. A feature file in GFF3 format containing the features (usually genes) to be evaluated. This feature file should be the same used to annotate the background and target genomes.

- 2. A condenser file in CDR format that contains the set of variants found in the background genomes
- 3. A condenser file in CDR format that contains the set of variants from target genomes.

The GFF3 file format is described above and GFF3 files can be downloaded from the VAAST data repository. The CDR file format is produced by the VST program and is described in the section on that program.

**Required VAAST Command Line Options** 

#### mode and outfile

The simplest VAAST command line would look something like this:

```
VAAST --mode lrt --outfile output genes.gff3 background.cdr target.cdr
```

The output file will have a suffix of '.vaast', in the example shown above the file would be 'output.vaast'.

In this example, VAAST will use the likelihood ratio test (-mode lrt) to score features based on the frequency difference of variants between background and target genomes. The genes are ranked based on their p-value which is calculated from the composite-likelihood ratio test (CLRT) by permuting the target and background status of each genome. The likelihood ratio test (lrt) mode is recommended in most cases, however an alternative method for ranking candidate genes - the weighted sum statistics (WSS) is available and discussed separately below.

#### codon\_bias and rate

Under the 'lrt' mode, you can provide additional information about the disease you are studying. Consider the following command line:

```
VAAST --mode lrt --rate 0.001 --codon_bias --gp 10000 --outfile output genes.gff3 background.cdr target.cdr
```

Here we introduce two important options:

- --codon\_bias (-k)
- o --rate (-r)

The --codon\_bias option causes VAAST to use amino acid substitution (AAS) frequencies to estimate the how deleterious each amino acid change is. By default AASs found in the OMIM database are used to represent the frequencies of AASs in a disease state. Other AASs can be utilitzed (see the aas\_matrix option below). This

option significantly increases the power of VAAST for a variety of common and rare disease analyses. The --rate option takes as it's argument a real number that allows you to specify an estimate of the maximum expected disease allele frequency within the background population. By setting this option, the CLRT will be constrained such that the allele frequency of healthy genomes in the alternative model cannot exceed the specified value. Neither the --codon\_bias nor the --rate options are required, however it is recommended that you always use the --codon\_bias option for disease gene discovery, and the --rate option wherever a disease allele frequency estimate is available.

#### gp and sp

Note that whenever we are using either the --rate or the --codon\_bias options, the CLRT is un-nested, so we can not convert the raw feature score to a p-value. Instead, we perform permutations to determine the p-value. In this example, we are using standard genome permutation (-gp <n>, n being the maximum number of permutations to perform). This method permutes the background and target status of each genome, which controls for linkage disequilibrium (LD) between variants. When analyzing many background and target genomes, genome permutation becomes computational intensive. In this situation, site-permutation ("-sp <number>" option; non LD-corrected) may be an attractive alternative. The following command line demonstrates this option:

```
VAAST --mode lrt --rate 0.001 --codon_bias --sp 10000 --outfile output genes.gff3 background.cdr target.cdr
```

It may be desirable to mask out variants from genomic regions of repetitive or homologous sequences. Variant calling may be error-prone in these regions due to difficulties in uniquely mapping reads. The --variant\_mask option is designed to address this problem. The following command line shows this option:

#### **Options for Masking and Grouping Variants**

#### variant masking

It may be desirable to mask out variants from genomic regions of repetitive or homologous sequences. Variant calling may be error-prone because of difficulties in uniquely mapping reads. The --variant\_mask option is designed to address this problem. The following command line shows this option:

```
VAAST --mode lrt --codon_bias --gp 10000 --variant_mask <masking_file> outfile output genes.gff3 background.cdr target.cdr
```

Multiple variant-masking files are available from the VAAST data repository and you should choose the one that best describes your sequencing/variant-calling pipeline. Be aware, however, that variant-masking may mask out genes of interest if they have paralogous copies or conserved motifs, so caution is advised.

#### grouping

In some cases, the disease under investigation may be caused by many relatively rare but distinct variants within the same gene. Each variant alone may have been rare enough to escape detection by the CRLT. By grouping these rare variants in a feature before raw score calculation, we can gain power to detect such disease genes. The following command line demonstrate the --grouping option:

```
VAAST --mode lrt --codon_bias --gp 10000 --grouping <integer> --outfile output genes.gff3 background.cdr target.cdr
```

The argument to the --grouping option is an integer that sets the upper limit of allele count for variants to be grouped. For example, '-grouping 3' means that any variant alleles present 3 times or less within the target genomes will be grouped. The scored variants are treated as if from a single locus and scored aggregatively. By default the value for the --grouping option is set to four. To disable variant grouping set the value of grouping to zero (--grouping 0).

#### **Options for Disease Modeling**

VAAST provides a number of options that allow you to inform the algorithm of additional information that you may have about a disease. This information when supplied to VAAST can result in increased power to detect the causative gene. The sections below describe these options.

#### inheritance

The --inheritance (-iht) option allows you to inform VAAST about the inheritance model. This option takes as its argument either d(ominant) or r(ecessive). When dominant is specified only the most best-scoring variant locus in each feature in each individual will be scored. When recessive is specified, only the best-scoring homozygous locus or the two best-scoring heterozygous loci in each feature in each individual will be scored.

#### penetrance

The --penetrance (-pnt) option allows you to specify whether the causal alleles are expected to be fully penetrant or not. Valid arguments to the --penetrance option are c(omplete) and i(ncomplete). The default is '--penetrance i'. When '--penetrance c' is specified with a dominant disease model (--inheritance d), any target variant

found in the background genomes will be removed from the calculation. Complete penetrance under a dominant model is a very stringent filter that should be used with great caution.

If '--penetrance c' is specified under a recessive model, the behavior is a little more complicated:

- 1. Any target variant that is found in homozygous state in any of the background genomes will be removed from calculation.
- 2. Any combination of two heterozygous loci found within one feature in a background genome will not be scored. See the --no\_max\_allele\_count option below to disable this behavior.

#### locus heterogeneity

The --locus\_heterogeneity (-lh) option indicates that VAAST should allow locus heterogeneity. Allow values for arguments to this option are y(es) and n(o). The default is "--locus\_heterogeneity y". When "--locus\_heterogeneity n" is specified under a dominant model, VAAST will require every target genome to have at least one variant with a CLRT score greater than 0 (i.e. every individual must have at least one putative disease causing variant). When "--locus\_heterogeneity n" is specified under a recessive model, VAAST will require every target genome to have at least two variants with a CLRT score greater than 0. If these criteria are not met, the feature will receive a score of zero. Note that this is a stringent filter that will cause a true disease gene to be missed if just one individual in the target is heterogeneous or incorrectly genotyped and thus it is turned off by default.

#### no\_max\_allele\_count

The --no\_max\_allele\_count (-n) option affects the behavior of the --inheritance option. Under recessive model (--inheritance r), VAAST scores only the two best-scoring heterozygous loci or the single-best homozygous scoring locus in each target feature within each a target genome. Under a dominant model (--inheritance d), VAAST scores only the single best-scoring locus in each feature within a target genome. The --no\_max\_allele\_count option disables these restrictions and allows all variants to be score while the remainder of the inheritance model behaviour remains active. In most circumstances, the default value is recommended (i.e. you don't need to set -no\_max\_allele\_count).

A good combination of the above four disease model options may dramatically increase the sensitivity and/or specificity of a VAAST analysis. Several examples of the use of these options in combination are given below:

```
VAAST --mode lrt --codon_bias --gp 10000 --inheritance r --outfile output genes.gff3 background.cdr target.cdr
```

Above we have specified "--inheritance r" to use a recessive disease model. This will allow only the top two best-scoring variants in each feature within each target genome to be scored. Large genes often harbor many of variants and this increases the probability that minor systematic differences between background and target genomes are magnified (e.g. population differences, sequencing platform differences), resulting in an overestimation of the significance level of the gene. Use of the --inheritance option will remove this type of bias.

```
VAAST —-mode lrt —-codon_bias —-gp 10000 —-inheritance r —-penetrance c —-outfile output genes.gff3 background.cdr target.cdr
```

In the example above we have specified the "--inheritance r --penetrance c" options in combination. This will greatly improve the specificity when searching for rare, recessive disease genes.

```
VAAST --mode lrt --codon_bias --gp 10000 --inheritance d --penetrance c --lh n --outfile output genes.gff3 background.cdr target.cdr
```

Our final example in this set is the most stringent. We specify "--inheritance d --penetrance c". Here we are saying that this is a fully penetrant, domiant disease. This combination removes all variants found in the background genomes. Disallowing locus heterogeneity in addition ("--locus\_heterogeneity n") adds even more stringency. Any feature that has a zero score in any target genome will receive an overall score of zero. Please see the warnings above regarding the use of --lh and --penetrance c, and note that for most analyses, we do not recommend the use of such of a highly stringent filter.

#### trio

A powerful research design for disease gene discovery in the case of highly penetrant recessive diseases is to sequence affected children and unaffected parents (tio data). In these cases VAAST can take advantage of the relationship between family members to enhance the specificity with which it detects casual genes. This option is demonstrated in the example below.

```
VAAST --mode lrt --codon_bias --gp 10000 --trio parents.cdr --outfile output genes.gff3 background.cdr target.cdr
```

The --trio option removes variants that are found in the affected genomes but not found in his/her parents, which are likely sequencing errors. Of course *de novo* mutations in the affected genomes would be filtered out by this step so this would not be a good option to use if you suspect the causative mutation may be *de novo*. However since the rate of de novo mutations is low relative to sequencing error rates (about 70 de novo mutations versus over 10,000 errors per genome) this

option when used carefully can greatly improve the specificity of finding the causative gene. When '--penetrance c' is specified, VAAST will include the parental genomes among the background genomes for the purposes of evaluating penetrance criteria (see above).

Providing VAAST with trio data is a powerful way to increase specificity. In our validation study of the Miller Syndrome dataset, running VAAST with a single quartet of genomes (two affected siblings and their healthy parents) resulted in two candidate genes - the two known causal genes for the two diseases affecting the children.

#### **Options Affecting Likelihood Ratio Scoring**

#### all variant

By default VAAST will only score non-synonymous coding variants. The --all\_variant (-e) option causes VAAST to score synonymous coding variants and variants in non-coding regions.

#### aas matrix

The --aas\_matrix (-b) options allows you to use alternative amino acid mutation rate matrix for scoring codon bias. The VAAST data repository provides several such matrices such as those based on the BLOSUM.

#### ref

The --ref (-a) option allows VAAST to score loci where the minor allele in the background genomes is the same as the allele in the reference genome. By default VAAST will not score these loci.

#### An Alternative Feature-Ranking Algorithm

In addition to the likelihood ratio test '--mode lrt' as a scoring mode, VAAST also incorporates several alternative feature-ranking algorithms. Currently you can use the Weighted Sum Statistic (wss) method (*Madsen and Browning (2009) A groupwise association test for rare mutations using a weighted sum statistic*) for ranking features. The following command line shows its use:

```
VAAST --mode wss --gp 10000 --outfile output genes.gff3 background.cdr target.cdr
```

u

In addition to the '--gp' and '-sp' options for performing permutation tests, you can use '-u' option instead. This option will cause VAAST to estimate the significance of features based on the distribution of test statistics. This method is faster than genome permutation with '--gp'. In some scenarios, calculating significance while running '--mode lrt' mode can take a long time, while using '--mode wss -u' in combination would give you an approximate significance estimate much faster. Keep in mind however that, "--mode wss" is typically less powerful than "-mode lrt," and is not compatible with "--codon\_bias" and "--rate" options or the disease modeling options.

#### **Options Affecting Memory and Run-Time Performance**

#### mp1

The --mp1 (-p) option allows VAAST to utilize multiple CPUs. When using --mp1 each thread will process a separate feature. The argument is an integer that specifies the number of CPUs (threads) are to be used.

#### mp2

The --mp2 (-q) option also allows VAAST to utilize multiple CPUs. When using --mp2 VAAST processes one feature at a time, spreading the permutations across multiple threads. The argument is an integer that specifies the number CPUs (threads) are to be used.

#### chrs

The --chrs (-c) limits VAAST to scoring only score features on a specified chromosome(s). The argument to this option is a comma-separated list of chromosomes. In this case only the actual chromosome number or letter is given. For example '--chrs 4,15' will score features on chr4 and chr15 only. Specifying chromosome and Y would be done with '--chrs X,Y' (case insensitive).

#### features

The --features option allows the user to specify a comma separated list of feature IDs. Only the specified features will be scored.

#### restart

The --restart option will restart your VAAST analysis if your previous VAAST run terminated unexpectedly. For a restarted run you only need to specify '-restart' and '--outfile' on the command line, all other options from the previous run will be recovered from temporary log file(s). The --outfile argument however must be the same as it was in the failed run.

#### fast\_gp

The --fast\_gp option exploits the degeneracy within genotypes between the background and target genomes to accelerate genome permutations. This option is highly recommended if you are doing genome permutations (-gp) and your target genome number is less than about 20.

#### significance

The --significance (-j) option causes VAAST to stop permutations when the 0.95 confidence interval is above or below a specified significance level. This can improve performance by causing VAAST to stop permutations when the p-value is accurate enough but has not yet converged, thus avoiding unnecessary permutations.

#### daemon

The --daemon option causes VAAST to spawn a daemon process to monitor its RAM usage and kill VAAST if RAM exceeds 90% of the system RAM.

#### **VAAST Output**

VAAST writes its outputs to a file with a '.vaast' extension. This file contains a ranked list of features and other pertinent information that helps users interpret feature ranking and scores. Below is a snippet from a VAAST output file:

```
## VAAST_VERSION RC1.0
## COMMAND VAAST -o test -m lrt -k -d le5 -c 16 features.gff3 \
    background.cdr target.cdr
> NM_021195
chr16 - 3005361;3006023;-;16
TU: 23.41 3005636@16 C|V N|2|C:G|V:L N|0|G:G|L:L
TU: 0 3005925@16 G|T N|2|A:G|T:T
BR: 3005597@16 T|I 1,3,5,9-10,14-16,21,23,25,29,31,33, \
    36,38,41-42,44,47,50,53-55,59-61,67|C:C|V:V
RANK:0
SCORE:23.40553616
genome_permutation_p:7.90624199419662e-05
genome_permutation_0.95_ci:3.46e-05,0.0001549
```

Two lines at the top starting with '##' appear at the beginning of every VAAST output file. These two lines show the version of VAAST and the command line that generated the file.

Information on each ranked feature appear in the lines below that. The record for a single feature appears on multiple lines in the VAAST output. Each feature record begins with a line that begins with the character '>' and continues until the next record begins or until no more records are encountered. After the '>' character on

the first line the ID of the feature is given. In the example above the details for the NM 021195 mRNA are shown.

The second line after the ID line in the feature record describes the structure of the gene model. Three or more tab delimited columns occur on this line. Those columns are:

- 1. The first column records the chromosome/contig name (the seqid in GFF3 and GVF files).
- 2. The second column designates the strand that the feature is on.
- 3. The third and subsequent columns each specify the structural annotation for an exon. Four values are separated by semicolons:
  - a. The start coordinate of the exon.
  - b. The end coordinate of the exon.
  - c. Strand of the exon.
  - d. Chromosome number of the exon.

On the third line and several additional lines, information on individual variant loci located within the given feature is described. These lines are split into tab-delimited columns. The description of these columns differs depending on the value in the first column. If the first column has a value of either 'TU' or 'TR', the data on that line describes variant locus details for the target genomes. If the first column has a value of 'BR' or 'PR' then the line describes variants found in the background or parent (--trio) genomes respectively.

#### Target genome columns:

- 1. Either 'TU' or 'TR' where 'TU' indicates that the variant is unique to the target genomes and 'TR' indicates that the background genomes share this variant.
- 2. Likelihood ratio score at this locus. The higher the score, the more likely this locus is disease-causing. A score of 0 or below indicates that the feature is very unlikely to be disease causal. This column is present only in target genome loci and only meaningful for '--mode lrt' scoring.
- 3. The 1-based genomic coordinate of this locus and the Seqid separated by '@'. For example, '56289513@16' indicates position 56289513 on chromosome 16.
- 4. All subsequent columns provide details of individual genotypes observed at this locus within the target genomes. The genotype CDR file provided representation of genotype at this locus. For loci in the target genomes you'll see either 'B|' or 'N|' at the beginning of genotype. A 'B' indicates that this variant is present in the background and an 'N' indicates that it is not.

After all of the lines describing variant loci within the feature have printed, there are several additional lines in each record, some of which are optional:

**RANK:** The 0-based rank of this feature relative to all other features in the GFF3 file.

**SCORE:** The raw CLRT score of this feature, which is equal to  $2 \times \ln(\text{composite likelihood}) - 2 \times (\text{number of variant sites})$ . This score is only meaningful with the likelihood ratio test mode '--mode lrt'.

**genome\_permutation\_p:** The significance level obtained by doing genome-permutations. This value is probably the most indicative figure of the disease relevance of the feature. However, keep in mind that the number of genomes in your target/background CDR constrains the lowest achievable significance level. If VAAST did not observe a single permutation with a CLRT score higher than the actual CLRT score, it will report the lowest possible p-value bound by the number of target/background genomes.

**genome\_permutation\_0.95\_ci**: The 95% confidence interval of the genome permutation p value.

**IS\_permutation\_p:** The significance level obtained by non-LD corrected site permutation.

**IS\_0.95\_confidence\_interval:** The 95% confidence interval of the site permutation p-value.

**IS\_converged:** This value indicates whether there were a sufficient number of permutations for the site permutation p-value to converge. It is a Boolean value 1 for 'yes' and 0 for 'no'.

**UPF:** This value is only present when using trio option (--trio). It indicates whether the target genome(s) variants in this feature can all be found in one of the healthy parents.

#### **Accessory Tools**

#### vaast indexer

The vaast\_indexer will index GFF3, GVF and FASTA file for use with the tools in the VAAST software package. If the file(s) have a .fa, .fasta, .gff, .gff3, or .gvf extension then vaast\_indexer will know which type of file it is indexing and do the right thing. If your files have other extensions, use the --format option to specify either fasta or gff.

vaast\_indexer file1.fasta [file2.gff3 file3.gff file4.gvf ...]

#### vaast\_converter

The vaast\_converter will convert various variant file formats to GVF for use with the tools in the VAAST software suite.

#### vaast validator

The vaast\_validator will validate GFF3, GVF and FASTA file for use with the tools in the VAAST software suite.

#### cdr\_manipulator.pl

The cdr\_maipulator script allows you to merge, split and liftOver CDR files

cdr\_manipulator.pl merge <first cdr file> <second cdr file> <output cdr file> cdr\_manipulator.pl split <mother cdr file> <daughter cdr file> <comma separated IDs> cdr\_manipulator.pl liftover <liftover data file> <original cdr file> <output cdr file>

## **Putting it all Together – Worked Examples**

Let's do a complete (albeit small scale) VAAST run with the test files in the 't/data/' folder in the VAAST code directory. This example uses the data from Table 3 in the VAAST paper, where we benchmarked the power of VAAST to identify the causal gene in a rare disease (Miller Syndrome). The causative alleles reported in Ng *et al., 2010* were added into the GVF files of three healthy genomes (here we only use chromosome 16 data which contains the causative gene for Miller Syndrome - *DHODH*). We will run the whole VAAST pipeline and identify the causative gene. All the following command lines are executed in 't/' directory in the VAAST code repository.

The first step is to annotate the three GVF files with VAT. Each GVF file represents the genotype data for chromosome 16 of one affected genome.

```
VAT -f data/hg18-chr16.gff3 -a data/hg18-chr16.fasta data/miller-1.gvf > data/miller-1.vat.gvf VAT -f data/hg18-chr16.gff3 -a data/hg18-chr16.fasta data/miller-2.gvf > data/miller-2.vat.gvf VAT -f data/hg18-chr16.gff3 -a data/hg18-chr16.fasta data/miller-3.gvf > data/miller-3.vat.gvf
```

Next we merge these three annotated GVF file into a single CDR file:

VST -o 'U(0..2)' -b hg18 data/miller-1.vat.gvf data/miller-2.vat.gvf data/miller-3.vat.gvf > data/miller\_output.cdr

Finally, we will run VAAST on the target CDR file against a background CDR file containing 180 genomes from 1000 genome project and 9 genomes from 10Gen genome data set (Reese *et al.* (2010) A standard variation file format for human genome sequences):

 $VAAST\ -inheritance\ r\ --locus\_heterogeneity\ n\ --fast\_gp\ -gp\ 1e4\ --outfile\ test\ --rate\ 0.00035\ --mode\ lrt\ --codon\_bias\ data/hg18-chr16.gff3\ data/189genomes-chr16.cdr\ data/miller\_output.cdr$ 

Here VAAST searches for genes causal for Miller Syndrome on chromosome 16, assuming a recessive inheritance model (--inheritance r) and no locus heterogeneity (--locus\_heterogeneity n). We also used the amino acid substitution method (--codon\_bias) and provided an estimate of the maximum frequency of the causal allele (-rate 0.00035).

After the analysis has finished you will find the VAAST report file ('test.vaast') in the same folder. The top-ranking gene is DHODH with a p-value of 8.61e-7, which has been reported to be the causal gene for Miller Syndrome. In this example, DHODH is ranked  $1^{\rm st}$ , being the only statistically significant feature. Keep in mind that in some cases, false-positives may receive genome-wide significance and may even out-rank the true causal gene(s) due to poor variant calling, population stratification or platform bias issues.

#### **Best Practices**

When you run VAAST, one thing to keep in mind is that a carefully designed research strategy is vital to the success. VAAST could be sensitive to the systematic bias between the background and target genomes. For example, if the background and target genomes have distinct or disproportional makeup of ethnicities, VAAST could pick up genes showing different allele frequencies between different populations instead of disease-relevant genes. The same is true for platform bias, therefore background and target genotypes should be generated from the same sequencing platform and variant calling pipelines whenever possible. If not, it is possible that you may see hundreds or thousands of features showing genome-wide significance because of the platform mismatch. In addition to the introduction false positives, target and background mismatches can drastically increase computational run time.

As stated before, the most meaningful statistic that VAAST generates is the genome permutation p-value, which is corrected for LD and reflects the significance level of the feature. The genome-wide significance level threshold that you should use could be calculated from number of features in your GFF3 file. For example, if your feature file contains 21,000 protein-coding genes and you set the significance level to be 0.05, then the genome-wide significance level would be 0.05 / 21000 = 2.38e-6. It is

desirable to check the number of features achieving genome-significance before looking at individual features. If many features are statistically significant, it is very likely that systematic differences between background and target genomes are biasing the results.

When you get a ranked list of genome-wide significant genes and start looking at the genotypes for each individual feature, the variant score (the second column in the variant lines) could be very helpful, as it tells you about the relative contribution of each locus toward the overall significance of this feature. For the high-scoring variants, you may want to check their presence/frequency in background genomes or parent genomes (if trio data available).

Occasionally, and especially with unmatched background and target genomes (e.g. different populations or sequencing platforms), some genes may receive a high rank simply because they have many variant sites. With more variant sites, the CLRT is more likely to identify small systematic differences between background and target genomes.

When used with the default settings for locus heterogeneity (yes) and penetrance (incomplete), the recessive inheritance option (-inh r) is appropriate for a wide range of study designs. This option allows up to two variants per genome per feature to receive a score. Therefore, as long as no individual carries more than two disease-causing variants in the same gene, this option will not result in false-negatives, even if the disease-gene is dominant. The recessive option can partially mitigate the problems introduced by unmatched background and target genomes by scoring only the alleles most likely to be disease-causing in an individual.

Because the significance level of each feature is estimated by permutation, the amount of time required to accurately estimate the significance level increases exponentially as the significance level decreases. In most situations, obtaining a highly accurate estimate of the significance level is less important than knowing whether the significance level is above or below some specified threshold. For these situations, the --significance option can greatly reduce the computational requirements of the analysis. With these considerations in mind, when reporting VAAST results, the 95% upper bound on the p-value should be reported in addition to or instead of the estimated p-value. Using the -mp2 option with the -feature option on a small list of genes of interest is one option for obtaining accurate p-values with tight confidence intervals for the purposes of publication.

## References

# **Appendices**

FAQ

#### **Error Codes**

#### **Fatal Errors**

```
can_call_gender_only_on_gvf_file (VAAST::GFF3)
```

Some code tried to call the 'gender' method on a VAAST::GFF3 object that was created on a GFF3 file, and this doesn't make sense. The 'gender' method can only be called on a GVF file.

```
conflicting_sequence_lengths (VST)
```

Different GVF/GFF3 files have specified different sequence lengths with ##sequence-region pragma. Since these pragmas are supposed to represent the same assembly this isn't possible. Maybe you have one file from one assembly and one from another.

```
eval_error_caught (VST)
```

VST uses the eval function to carry out the recursive set operations and an error occurred during one of these calls. This is most likely caused by a typo in your -- opts command line argument.

```
file does not exist (vaast_indexer)
```

A file path was given to the script but the file does not exist. Please check the file path.

```
incompatible genome builds (VAT)
```

A different genome build was declared in the GVF file and GFF3 file. You need to be sure you are using the same assembly build for both of these files (and for all work within a VAAST analysis).

```
invalid comparison operator (VST)
```

The VST -S option allows you to specify the comparsion operator. Allow values are =, <, > and you gave something else.

```
invalid file index (VST)
```

VST uses 0-based numerical indexes to reference the files given on the command line. You've passed something other than a valid integer within the --opts option to VST. Please check your command line.

```
invalid_file_type (vaast_indexer)
```

The script relies on the file extension to determine what kind of file it is indexing. Use one of the following as a file extension or use the --format option.

```
invalid_first_argument_to_S (VST)
```

The first argument to the VST -S option should be of the form <OPR><INT>, where OPR is one of =, <, > and INT is an 0-based integer indexing one of the GVF files given on the VST command line. You apparently gave it something else. Please check your command line.

```
invalid gender (VAT)
```

Valid arguments to the --gender option are female or male (case insensitive) and you gave something different.

```
invalid genome build (VAT, VST)
```

You provided a build argument to VST that it doesn't support. Currently only hg18 and hg19 are valid arguments to build.

```
invalid gff-version pragma (VAAST::GFF3)
```

You file has a gff-version pragma at the top that has some value other than 3. Only GFF3 files are supported by VAAST::GFF3.

```
invalid pragma value (VAAST::GFF3)
```

VAAST::GFF3 found a missing or invalid pragma value while parsing a GFF3/GVF file. More details about which pragma and what the invalid value was are given with the error.

```
invalid_sequence_length (VST)
```

While trying determining the length of chromosome/contigs for you file VST calculated a zero-length sequence, which is invalid.

```
missing chromosome data (VST)
```

VST needs to know the length of the chromosomes/contigs it will be processing. It gets this information from either the GVF file ##sequence-region pragmas, from the --build argument, or from the --chrs argument if you are processing a subset of the genome. It found non of these sources of information. The easiest fix for this is to give the --build option on the command line with either hg18 or hg19 as the argument.

```
missing file argument (VAT)
```

One of the required files: GFF3, Fasta or GVF was not provided to VAT.

```
missing_log_file (VAAST::Utils)
```

When VAAST is run with the --restart option it expects to find a outputfile.tmpftp file in the current directory from a previous run. This file was not present and so a restart is not possible.

```
missing_NUM_GENOMES_line (VAAST)
```

VAAST needs to know the number of genomes used to create the CDR file for the target and background genomes. This information appears near the end of the CDR file with a ## NUM\_GENOMES pragma, and appears to be missing. Check the completeness of you CDR files to be sure they aren't truncated.

```
missing or unreadable file (VAAST, VAAST::Utils)
```

A given file was either missing or unreadable. Please check you file paths and if the files do exist check their permissions.

```
missing sequence-region pragma (VST)
```

VST needs to know the length of the chromosomes/contigs it will be processing. It gets this information from either the GVF file ##sequence-region pragmas, from the --build argument, or from the --chrs argument if you are processing a subset of the genome. It found ##sequece-region pragmas for a given chromosome/contig, but the start and end values were missing. You should fix your GVF file sequence-region pragmas, however the easiest fix for this is to give the --build option on the command line with either hg18 or hg19 as the argument.

```
options error (VAAST::Utils)
```

VAAST::Utils parses the command line options for VAAST, and it encountered an invalid option or argument. More detailed information should have been given with the error message about which option/argument were invalid.

#### unknown\_data\_type (VAAST::Utils)

VAAST::Utils encountered an unkown data type. If the error got this far it's probably a bug in VAAST and it would be great if you could report it to the VAAST users mailing list and the VAAST bug tracker.

#### unreadable\_file (vaast\_indexer)

A file was given to the script which and the file exists, but is unreadable. Check the file permissions.

#### untested\_method (VAAST::GFF3)

You've called a method on VAAST::GFF3 that has been written but not tested. This suggests that you are writing you own code against the VAAST library (or someone else is doing that for you) and that's great, but you if that's the case you can probably look at the code yourself and find a workaround. If not you may want to get on the VAAST developers list and discuss this issue.

#### Warnings

#### auto setting gender (VAAST::GFF3)

The 'gender' method was called on a VAAST::GFF3 but gender information was missing from the GVF ('file ##individual-id Gender=female'), so the gender of the individual in the file was auto-set based on the ratio heterozygous/(heterozygous+homozygous) for all variants on chrX. If that ratio is >= 0.45 the gender is set to female, otherwise it is set to male. Using the presence or absence of variants on chrY is not always valid.

#### cant determine gender from file assuming female (VAT, VST)

VAT tried several ways to determine the gender (--gender option (VAT only), ##individual-id pragma in the GVF file, and auto-setting gender based on the ratio of heterozygous calls on chrX by VAAST::GFF3) but non of them worked. VAT will go ahead an annotate the file but will assume the genome is female for purposes of heterozygous calls on chrX. Note that this will not prevent VAT from annotating chrY variants. Using an invalid gender for a genome will prevent iheritance models in VAAST from working and will cause invalid scoring on sex chromosomes.

#### excessive memory usage (VAAST)

When you pass the -daemon command to VAAST, it spawns a daemon process to monitor memory. This process has detected that memory usage has exceeded 90% of system RAM and will terminate the parent VAAST process. This is issued as a

warning even though VAAST is about to die because the daemon needs to handle process termination a bit differently than the parent process.

```
exon boundary beyond transcript seq length (VAT)
```

An exon boundary was detected in a GFF3 file that exceeded the bound of the parent transcript. This in invalid and likely represents a broken gene model and bad GFF3 file.

```
heterozygous_locus_on_haploid_chromosome (VAT)
```

A heterozygous variant was found on a chromosome region that should only have homozygous variant calls. Gender and pesudoautosomal regions are taken into account. You may want to make sure gender is set correctly or filter you GVF file for heterozygous calls on male sex chromosomes. Invalid variant calls like this will prevent iheritance models in VAAST from working and will cause invalid scoring on sex chromosomes, so VAT will fix them for you.

```
ignoring_more_than_two_alleles_on_diploid_chromosome (VAT)
```

VAT found more than two sequences given in the Variant\_seq attribute for a variant in a GVF file. Only the first two sequences are used and all others are discarded.

```
invalid_codon (VAT)
```

VAT encountered a codon that it could not translate despite considering ambiguity codes. This may result from a codon with length less than 3 or because a nucleotide was specified with an invalid code (IUPAC ambiguity codes are valid) or because the ambiguity was too great to resolve the codon to a single amino acid.

```
invalid *pragma (VAAST::GFF3)
```

VAAST::GFF3 issues a series of warnings about invalid pragmas while parsing GFF3 and GVF files. Each warning should provide some additional information that will give a clue to what the invalid pragma and value were.

```
invalid pragma argument (VAAST::GFF3)
```

An invalid argument was passed to one of the methods 'pragma\_sequence\_region' or 'pragma\_individual\_id'. More detail should be provided with the error message.

```
invalid_pragma_value (VAAST::GFF3)
```

An ivalid value has been passed as an argument to one of the pragma get/set methods. More detail should be provided with the error message.

```
mising_gender_in_CDR (VAAST::Utils)
```

A CDR file is missing the required ## GENDER directive. Variant scores on sex chromosomes will be invalid.

```
multiple_non_ref_alleles_on_haploid_chromosome (VAT)
```

Multiple sequences were found in the Variant\_seq tag on a non-psuedoautosomal region of a haploid chromosome (even after the refrence sequence was removed). Only the first sequence will be considered.

```
no gff3 features in range (VAT)
```

No features were found in the GFF3 file on the given chromosome/contig. This will occur if you have variants for a given chromosome/contig in you GVF file and none for that same seqid in your GFF3 file.

```
no_information_on_pseudoautosomal_regions_for_build (VAT)
```

If you use a build other than hg18 or hg19, then VAT has no information on pseudoautosomal regions and will treat all of chrX and chrY as haploid in males.

```
parent feature missing (VAT)
```

An mRNA, exon, CDS or other feature was given, but the feature that it identified in it's Parent attribute is not found in the file. This gene model will be discarded.

```
replacing existing pragma value (VAAST::GFF3)
```

A pragma value is being set when a value for that pragma already existed - either because it occured in the file, or has been set before by the program. This is fine as long as that is what you intended to do.

```
translating codon of invalid length (VAT)
```

A codon of length less that 3 was encountered. It may still be able to be translated due to the ambiguity of the genetic code.

```
translating_sequence_of_invalid_length (VAT)
```

A transcript sequence is being translated that has a length that is not divisible by three. This is almost certainly the result of a bad gene model in the GFF3 file. This error can generally be ignored, but be aware that any data for the genes involved will likely be bad.

```
unable_to_guess_gender (VAAST::GFF3)
```

When the 'gender' method is called on a VAAST::GFF object and the file did not specify the gender in an individual-id pragma, VAAST::GFF3 will attempt to determine the gender based on the ratio of heterozygous/(heterozygous + homozygous) variant calls on chrX. If that ratio is >= 0.45 the gender will be set to female otherwise it will be set to male. If chrX variants are not available then this warning will be thrown and undef will be returned to the program calling the method.

```
unable_to_determine_if_region_is_pseudoautosomal (VAT)
```

The method overlaps\_psuedoautosomal\_regions was called, but one of the required arguments for seqid, start or end was undefined and thus VAT can not determine if the region is within a pseudoautosomal region.

**Info Messages** 

processing finished (VAAST)

VAAST is letting you know she's all done!

process\_update (VAAST)

VAAST is giving some update on the progress of the process.

genome\_build (VAT)

VAT is letting you know what genome build it's working with.

#### **Data Internal to the Code**

VAAST uses some information internally that is hard-coded and not supplied by the user. The following section describes this data.

#### **Chromosome names and lengths**

VAAST uses the following information on chromosome names and lengths for human genome builds.

#### hg18

- chr1247249719
- chr2242951149
- chr3199501827
- chr4191273063
- chr5180857866
- chr6170899992
- chr7158821424
- chr8146274826
- chr9140273252
- chr10135374737
- chr11134452384
- chr12132349534
- chr13114142980
- chr14106368585
- chr15100338915
- chr1688827254
- chr1778774742
- chr1876117153
- chr1963811651
- chr2062435964
- chr2146944323
- chr2249691432
- chrX154913754
- chrY57772954
- chrM16571

#### hg19

- chr1249250621
- chr2243199373

- chr3198022430
- chr4191154276
- chr5180915260
- chr6171115067
- chr7159138663
- chr8146364022
- chr9141213431
- chr10135534747
- chr11135006516
- chr12133851895
- chr13115169878
- chr14107349540
- chr15102531392
- chr1690354753
- chr1781195210
- chr1878077248
- chr1959128983
- chr2063025520
- chr2148129895
- chr2251304566
- chrX155270560
- chrY59373566
- chrM16571

#### **Pseudoautosomal regions**

VAAST uses pseudoautosomal regions to determine the areas of the X and Y chromosome where heterozygous variant calls are allowed. The information comes from UCSC genome browser front page for each build:

http://genome.ucsc.edu/cgi-

bin/hgGateway?hgsid=194029611&clade=mammal&org=Human&db=hg18

#### hg18

chrX

o five prime: 1-2709520

three\_prime: 154584238-154913754

chrY

o five\_prime: 1-2709520

o three\_prime: 57443438-57772954

#### hg19

• chrX

o five\_prime: 60001-2699520

o three\_prime: 154931044-155260560

chrY

o five\_prime: 10001-2649520

o three\_prime: 59034050-59363566