# **DB 2**

05 - Row Updates

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Torsten Grust Universität Tübingen, Germany **SQL probe**  $Q_4$  uses SQL DML statements (INSERT, UPDATE, DELETE) to alter the state of a table:

INSERT INTO ternary SELECT ... SET  $c = e_1$  WHERE  $a = e_2$  WHERE  $a = e_2$ 

INSERT: evaluate query to construct new rows.

UPDATE, DELETE: query the table and identify the

affected rows.

Modify table storage to reflect the row updates.

<sup>&</sup>lt;sup>1</sup> We still assume that the table has *no* associated index structures.

```
(Re-)Create table ternary:
 DROP TABLE IF EXISTS ternary;
CREATE TABLE ternary (a int NOT NULL, b text NOT NULL, c float);
 INSERT INTO ternary(a, b, c)
    SELECT i,
           md5(i::text),
           log(i)
   FROM generate_series(1, 1000, 1) AS i;
 -- Q4: Perform row insertions/updates/deletions (A no ANALYZE here, don't alter the table now):
 EXPLAIN VERBOSE
   INSERT INTO ternary(a,b,c)
      SELECT t.a, 'Han Solo', t.c
     FROM ternary AS t;
 EXPLAIN VERBOSE
   UPDATE ternary AS t
     SET c = -1
     WHERE t.a = 982;
```

## Using EXPLAIN on Q4: INSERT



```
INSERT INTO ternary(a,b,c)
SELECT t.a, 'Han Solo', t.c
FROM ternary AS t;
```

### QUERY PLAN

- Seq Scan scans table ternary to construct rows to be inserted, feeds 1000 rows into Insert for insertion.
- Width of inserted rows (over-)estimated to be 44 bytes =
   4 (int) + 32 (text) + 8 (float) bytes.

Insert rows into table ternary (! uses EXPLAIN without ANALYZE to not actually modify the table at this point):

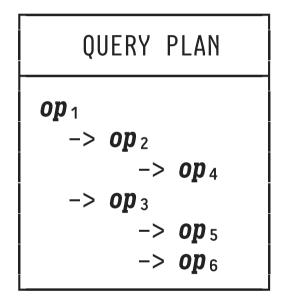
EXPLAIN VERBOSE
 INSERT INTO ternary(a,b,c)
 SELECT t.a, 'Han Solo', t.c
 FROM ternary AS t;

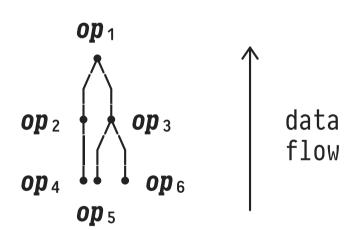
#### **QUERY PLAN**

Any column of type text - regardless of length - appears to account for 32 bytes in the width output of EXPLAIN. Actual rows on page have the expected width.

## Reading Complex EXPLAIN Outputs

 EXPLAIN uses symbol -> and indentation to visualize larger, tree-shaped query evaluation plans:





Read plans "inside out", root op₁ delivers query result.

## Using EXPLAIN on Q4: UPDATE



```
EXPLAIN VERBOSE
  UPDATE ternary AS t
  SET   c = -1
  WHERE t.a = 982;
```

```
Update on public.ternary t (cost=0.00..22.50 rows=1 width=51)
-> Seq Scan on public.ternary t (cost=0.00..22.50 rows=1 width=51)
```

```
Dutput: a, b, '-1'::double precision, ctid Filter: (t.a = 982) ↑
```

- Seq Scan emits complete rows (only c was updated).
- Additionally feeds row ID (ctid) into Update to identify the affected row(s).

Update and delete rows in table ternary (! uses EXPLAIN without ANALYZE to not actually modify the table at this point):

#### **OUERY PLAN**

Width of 51 > 45 accounts for the additional 6 bytes of the emitted ctid (row ID) field.

## Using EXPLAIN on Q4: DELETE



```
EXPLAIN VERBOSE
  DELETE FROM ternary AS t
  WHERE t.a = 982;
```

- Seq Scan returns affected row IDs (of 6 bytes each) only.
- We turn to Filter (makes scan skip non-qualifying rows)
   later in this course.

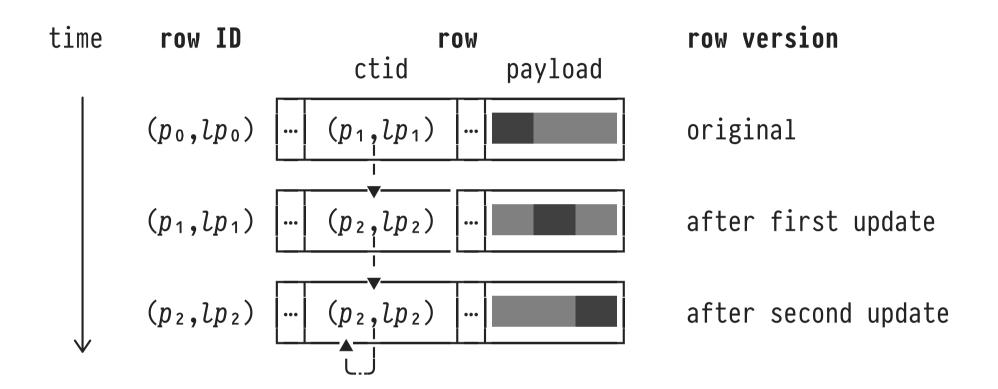


Let us take a closer look at how plan operator Update alters the target table's heap file pages. We find:<sup>2</sup>

- Rows are not updated in-place. A new version of the row is created original and updated row co-exist.
- Any database user (query, application) sees exactly one version of any row at any time. Different users may see different row versions.
- A separate VACUUM ("garbage collection") step collects and removes old versions that cannot be seen by any user.

<sup>&</sup>lt;sup>2</sup> This implementation of Update is typical for all DBMS that implement Multi-Version Concurrency Control (MVCC). We discuss MVCC later in this course.



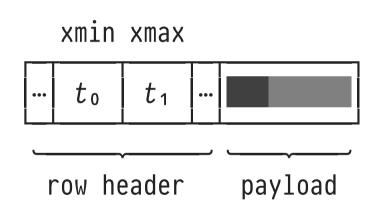


• Original and updated versions of a row form a chain, linked by the rows' IDs (held in field ctid).

## Row Visibility and Timestamps



- 1. Each row carries two **timestamps** xmin and xmax that mark its first and last time of existence.
- 2. Each query/update is executed at some timestamp *T* which defines the rows that are **visible** to the operation:



row is visible for any operation with timestamp  $t_0 \leq T < t_1$ 

 $(t_1 = \infty)$  row has not been updated yet)

• DBMS uses system-wide virtual timestamps (transaction IDs), see PostgreSQL built-in function txid\_current().

Explore row version chaining and row visibility for table ternary. Table has 9 pages, where the last page has space to spare. Update a row on page 9:

```
-- recreate a clean ternary table
DROP TABLE IF EXISTS ternary;
CREATE TABLE ternary (a int NOT NULL, b text NOT NULL, c float);
INSERT INTO ternary(a, b, c)
SELECT i,
md5(i::text),
log(i)
FROM generate_series(1, 1000, 1) AS i;
```

-- 1 list rows on page 9

SELECT t.ctid, t.\* FROM ternary AS t WHERE t.ctid >= '(9,1)';

ctid	а	b	С	
(9,1) (9,2)	964 965	8065d07da4a77621450aa84fee5656d9 eeb69a3cb92300456b6a5f4162093851	2.98407703390283 2.98452731334379	
(9,18) (9,19) (9,20)	981 982 983	287e03db1d99e0ec2edb90d079e142f3 fec8d47d412bcbeece3d9128ae855a7a 6aab1270668d8cac7cef2566a1c5f569	2.99166900737995 2.99211148778695 2.99255351783214	<b>─</b> will be updated
(9,36) (9,37)	999 1000	b706835de79a2b4e80506f582af3676a a9b7ba70783b617e9998dc4dd82eb3c5	2.99956548822598	

	has been HOT updated?	updated row?	t_xmax	t_xmin	lp_len	lp_off	1p	t_ctid	
	f f	f	0 0	12708 12708	72 72	8120 8048	1 2	(9,1) (9,2)	
← will be updated	f   f   f	f   f   f	0 0 0	12708 12708 12708	72 72 72	6896 6824 6752	18 19 20	[ (9,18)   (9,19)   (9,20)	
	f f	f f	0 0	12708 12708	72 72	5600 5528	36 37	(9,36) (9,37)	

#### row visible indefinitely, no update yet

-- S check current transaction ID (≡ virtual timestamp)
SELECT txid\_current();

```
txid_current
```

current operation timestamp

SELECT txid\_current();

txid\_current

12711 — current operation timestamp

-- Q update one row UPDATE ternary AS t SET c = -1 WHERE t.a = 982;

-- S check visible contents of page 9 after update SELECT t.ctid, t.\* FROM ternary AS t WHERE t.ctid >= '(9,1)';

					1
ct	id	a	b	С	
(9,		964 965	8065d07da4a77621450aa84fee5656d9 eeb69a3cb92300456b6a5f4162093851	2.98407703390283 2.98452731334379	
(9, (9,	18)   20)	981 983	287e03db1d99e0ec2edb90d079e142f3 6aab1270668d8cac7cef2566a1c5f569	2.99166900737995 2.99255351783214	row (9,19) is gone (≡ invisible)
(9,		999 1000 982	b706835de79a2b4e80506f582af3676a a9b7ba70783b617e9998dc4dd82eb3c5 fec8d47d412bcbeece3d9128ae855a7a	2.99956548822598 3 -1	<b>-</b> updated row

								_
t_ctid	lp	lp_off	lp_len	t_xmin	t_xmax	updated row?	has been HOT updated?	
(9,1) (9,2)	1 2	8120 8048	72 72	12708 12708	0 0	f f	f	
[ (9,18)   (9,38)   (9,20)	18 19 20	6896 6824 6752	72 72 72	12708 12708 12708	12713 • 0	f f f	f t -	■ points to new row version
(9,36) (9,37) (9,38)	36 37 38	5600 5528 5456	72 72 72	12708 12708 12713	0 0 0	f f t •	f f f	<b>-</b> updated row
	٠, .	1.6				•		ı

points to itself (≡ end of chain)

visibility of old row version is limited

db2=# SELECT txid\_current();

txid\_current

## Impact of Updates Beyond the Row's Page



- Updates on full pages may lead to row relocation across pages: versions then have row IDs  $(p_i, lp_i)$ ,  $(p_{i+1}, lp_{i+1})$  where  $p_i \neq p_{i+1}$ .
  - Traversal of longer update chains may lead to I/Ocostly "page hopping."
  - ⇒ Perform VACUUM to collect inaccessible old versions.
    From outside page, point to most recent row directly.
- PostgreSQL optimizes for the good-natured case where  $p_i = p_{i+1}$  and indexed row fields have not been changed.
  - Such heap-only tuple (HOT) updates have page-internal impact only, no maintenance outside page required.



INSERT INTO ternary VALUES (...,...,...)

VALUES (...,...,...)

VALUES (...,...,...)

VHERE  $a = e_2$ WHERE  $a = e_2$ 

UPDATE: affects updated column(s) only.

INSERT, DELETE: operate on full rows, all column BATs
of table ternary will be affected.

 MonetDB uses user-specific Δ tables ("delta tables") to represent changes. Column BATs are not modified immediately. Global visibility of changes is delayed.

## Using EXPLAIN on Q4: DELETE



- algebra.thetaselect( $b_1,b_2,\nu,\theta$ ) returns the oids of those rows r in algebra.projection( $b_2,b_1$ ), for which predicate tail(r)  $\theta$   $\nu$  holds.
- sql.delete(...) modifies the BAT of currently visible rows (obtainable via sql.tid(...)) for table ternary.
  - However, no column BAT is changed yet.

## Using EXPLAIN on Q4: INSERT



```
sql> EXPLAIN INSERT INTO ternary(a,b,c) VALUES (1001, 'Han Solo', -2);

::
sql_append := sql.append(sql, "sys", "ternary", "a", 1001:int);
sql_append := sql.append(sql, "sys", "ternary", "b", "Han Solo");
sql_append := sql.append(sql, "sys", "ternary", "c", -2:dbl);
::
```

- For ternary(a,b,c), a row insert translates into three individual operations on the column BATs.
- sql.append(...) saves the inserted value in the Δ<sup>i</sup> table associated with each column BAT.
  - The column BATs do not change yet.

## Using EXPLAIN on Q4: UPDATE



- BATs updated\_rows and updated\_c contain oids and c values of the changed rows.<sup>3</sup>
- sql.update(...) saves these changes in the  $\Delta^u$  table for the BAT of column c.  $\triangle$  The column BAT is not changed yet.

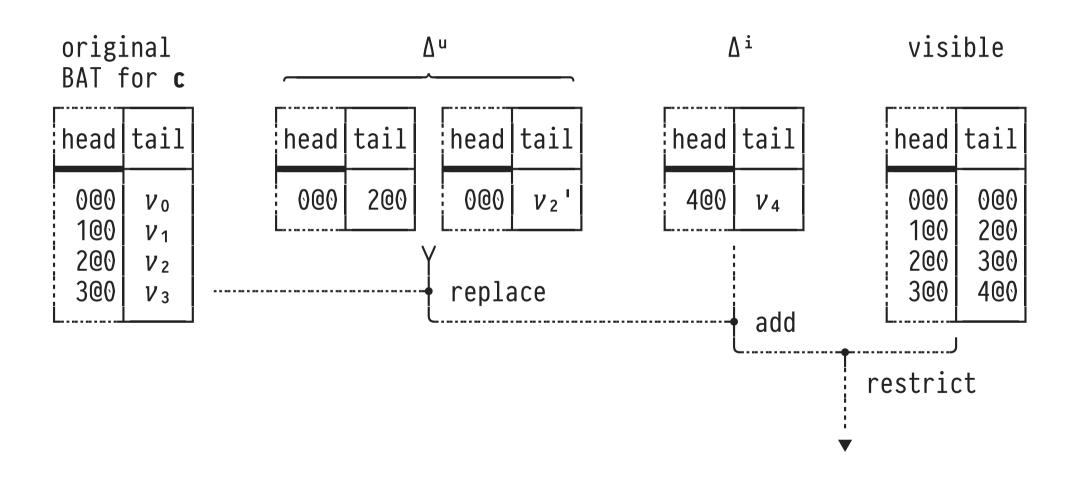
<sup>&</sup>lt;sup>3</sup> algebra.project(b, v) returns b with all tail values set to v.

1. The use of BAT updated\_rows\_a above appears unnecessary in this case (could be replaced by updated\_rows).

## Δ and Visibility Tables



In column c, update  $\nu_2$  to  $\nu_2$ ', insert  $\nu_4$ , delete  $\nu_1$ :



## Applying Changes on Demand



- When a query needs to see the changes made to column c, apply all changes accumulated in the c's  $\Delta$  tables:
  - 1. Load yet unmodified column BAT for c.
  - Read c's Δ<sup>u</sup> table and perform value replacements (via bat.replace(...)).
  - 3. Read c's Δ<sup>i</sup> table and perform value inserts (via bat.append(...)).
  - Restrict c to currently visible rows (via algebra.projection(...)).
- Make changes permanent only once we want them to be seen globally by all users ( $COMMIT \rightarrow transaction management$ ).

## Delay Change Propagation: Disable AUTO COMMIT



 To experiment with Δ-based change management, disable MonetDB's default auto commit behavior (use mclient option --autocommit to turn auto commit off):

```
DELETE FROM ternary WHERE a = 981;
INSERT INTO ternary(a,b,c) VALUES (1001, 'Han Solo', -2);
UPDATE ternary SET c = -1 WHERE a = 982;
```

 Without auto commit, changes are still pending at this point. Thus:

```
Demonstrate \Delta tables and delayed change propagation on table ternary.
1 (Re-)Create and populate table ternary:
 $ mclient -d scratch -l sql
  DROP TABLE IF EXISTS ternary:
  CREATE TABLE ternary (a int NOT NULL, b text NOT NULL, c double);
  INSERT INTO ternary(a, b, c)
    SELECT value, md5(value), log(value)
    FROM generate series(1, 1001):
  SELECT t.* FROM ternary AS t LIMIT 5;
          c4ca4238a0b923820dcc509a6f75849b
          c81e728d9d4c2f636f067f89cc14862c
                                                       0.6931471805599453
          eccbc87e4b5ce2fe28308fd9f2a7baf3
                                                       1.0986122886681098
          a87ff679a2f3e71d9181a67b7542122c
                                                       1.3862943611198906
          e4da3b7fbbce2345d7772b0674a318d5
                                                       1.6094379124341003
2 Perform updates on ternary, then guery column c. . Turn auto commit off such that changes accumulate in the Δ tables:
$ mclient -d scratch -l sql --autocommit -
 sql>EXPLAIN DELETE FROM ternary WHERE a = 981;
  X_9:bat[:int] := sql.bind(X_5, "sys", "ternary", "a", 0:int);
   C_6:bat[:oid] := sql.tid(X_5, "sys", "ternary");
   C_21 := algebra.thetaselect(X_9, C_6, 981:int, "==");
  X_26 := sql.delete(X_5, "sys", "ternary", C_21);
 sql>DELETE FROM ternary WHERE a = 981;
 sgl>EXPLAIN UPDATE ternary SET c = -1 WHERE a = 982;
 [...]
  X_10:bat[:int] := sql.bind(X_6, "sys", "ternary", "a", 0:int);
C_7:bat[:oid] := sql.tid(X_6, "sys", "ternary");
C_22 := algebra.thetaselect(X_10, C_7, 982:int, "==");
  X_24 := algebra.projection(C_22, X_10);
  X_28 := algebra.project(X_24, -1:dbl);
  X_{30} := sgl.update(X_6, "sys", "ternary", "c", C_22, X_28);
```

```
sgl>UPDATE ternary SET c = -1 WHERE a = 982;
sql>EXPLAIN INSERT INTO ternary(a,b,c) VALUES (1001, 'Han Solo', -2);
[...]
 X_20 := sql.append(X_7, "sys", "ternary", "a", 1001:int);
X_25 := sql.append(X_20, "sys", "ternary", "b", "Han Solo");
X_28 := sql.append(X_25, "sys", "ternary", "c", -2:dbl);
sql>INSERT INTO ternary(a.b.c) VALUES (1001, 'Han Solo', -2);
sql>EXPLAIN SELECT t.c FROM ternary AS t;
[...]
  C_5:bat[:oid] := sql.tid(X_4, "sys", "ternary");
  X_8:bat[:db1] := sql.bind(X_4, "sys", "ternary", "c", 0:int);
 (C_13:bat[:oid], X_14:bat[:db1]) := sql.bind(X_4, "sys", "ternary", "c", 2:int); — access \Delta^{\text{u}} X_11:bat[:db1] := sql.bind(X_4, "sys", "ternary", "c", 1:int);, — access \Delta^{\text{i}}
                                                                                                ■ access Δ<sup>i</sup>
 X_{16} := sql.delta(X_{8}, C_{13}, X_{14}, X_{11});
                                                                                                ■ apply Δ<sup>u</sup>, Δ<sup>i</sup>
 X_{17} := algebra.projection(C 5, X 16);
                                                                                                restrict to visible
sgl> SELECT t.* FROM ternary AS t;
     1 | c4ca4238a0b923820dcc509a6f75849b |
   980
          d79aac075930c83c2f1e369a511148fe
                                                          6.887552571664617
                                                                                 row with a = 981 deleted
                                                                                 updated
   982
          fec8d47d412bcbeece3d9128ae855a7a
   983
          6aab1270668d8cac7cef2566a1c5f569
                                                          6.890609120147166
   984
          d93ed5b6db83be78efb0d05ae420158e
                                                          6.891625897052253
   985
          54a367d629152b720749e187b3eaa11b
                                                          6.892641641172089
          fe7ee8fc1959cc7214fa21c4840dff0a
   986
                                                          6.893656354602635
   987
          df6d2338b2b8fce1ec2f6dda0a630eb0
                                                          6.894670039433482
   988
          9908279ebbf1f9b250ba689db6a0222b
                                                          6.895682697747868
   989
          a1140a3d0df1c81e24ae954d935e8926
                                                         6.8966943316227125
   990
          4fac9ba115140ac4f1c22da82aa0bc7f
                                                          6.897704943128636
   991
          692f93be8c7a41525c0baf2076aecfb4
                                                          6.898714534329988
   992
          860320be12a1c050cd7731794e231bd3
                                                          6.899723107284872
   993
          7b13b2203029ed80337f27127a9f1d28
                                                          6.900730664045173
   994
          934815ad542a4a7c5e8a2dfa04fea9f5
                                                          6.901737206656574
   995
          2bcab9d935d219641434683dd9d18a03
                                                          6.902742737158593
   996
          0b8aff0438617c055eb55f0ba5d226fa
                                                          6.903747257584598
   997
          ec5aa0b7846082a2415f0902f0da88f2
                                                          6.904750769961838
   998
          9ab0d88431732957a618d4a469a0d4c3
                                                          6.905753276311464
   999
          b706835de79a2b4e80506f582af3676a
                                                          6.906754778648554
  1000
          a9b7ba70783b617e9998dc4dd82eb3c5
                                                          6.907755278982137
  1001 | Han Solo
                                                                                 inserted
```

```
3 Replay MAL commands on mclient console:
Re-create and populate table ternary:
$ mclient -d scratch -l sql
 DROP TABLE IF EXISTS ternary;
 CREATE TABLE ternary (a int NOT NULL, b text NOT NULL, c double);
 INSERT INTO ternary(a, b, c)
   SELECT value, md5(value), log(value)
   FROM generate series(1, 1001):
Replay MAL commands:
$ mclient -d scratch -l mal
 sql.init();
 sql := sql.mvc();
# DELETE FROM ternary WHERE a = 981;
             :bat[:oid] := sql.tid(sql, "sys", "ternary");
 ternary
 a0 :bat[:int] := sql.bind(sql, "sys", "ternary", "a", 0:int);
deleted_rows:bat[:oid] := algebra.thetaselect(a0, ternary, 981:int, "==");
 sql_delete
                       := sql.delete(sql, "sys", "ternary", deleted_rows);
 io.print(deleted rows):
 #----#
 #ht #name
# void void # type
 #----#
[ 000, 98000 ]
                                       oid of the deleted row
# UPDATE ternary SET c = -1 WHERE a = 982;
 ternary:bat[:oid] := sql.tid(sql, "sys", "ternary");
 io.print(ternary);
 #----#
 #ht #name
# void oid # type
 #----#
 [...]
 [ 978@0, 978@0 ]
 [ 979@0, 979@0 ]
                                       visibility: row with oid 980@0 missing
 [ 980@0, 981@0 ]
 [ 981@0, 982@0 ]
```

```
[...]
 updated rows :bat[:oid] := algebra.thetaselect(a0, ternary, 982:int, "==");
 updated rows a:bat[:int] := algebra.projection(updated rows, a0);
              :bat[:dbl] := algebra.project(updated rows a, -1:dbl);
 updated c
                          := sql.update(sql, "sys", "ternary", "c", updated_rows, updated_c);
 sql update
io.print(updated_rows, updated_c);
#----#
#ttt # name
# void void dbl # type
#----#
[ 000, 98100, -1 ]

    Δ": oid of updated row and new c value

# INSERT INTO ternary(a,b,c) VALUES (1001, 'Han Solo', -2);
sql_append := sql.append(sql, "sys", "ternary", "a", 1001:int);
sql_append := sql.append(sql, "sys", "ternary", "b", "Han Solo");
sql_append := sql.append(sql, "sys", "ternary", "c", -2:dbl);
# SELECT t.c FROM ternary AS t:
ternary:bat[:oid] := sql.tid(sql, "sys", "ternary");
        :bat[:dbl] := sql.bind(sql, "sys", "ternary", "c", 0:int);
io.print(ternary);
#----#
#ht #name
# void oid # type
#----#
[ 000, 000 ]
[...]
[ 978@0, 978@0 ]
[ 979@0. 979@0 ]
                          visibility: row with oid 980@0 still missing
[ 98000, 98100 ]
[ 981@0, 982@0
 98200. 98300
[ 983@0, 984@0
[ 984@0, 985@0
[ 985@0, 986@0
[ 986@0, 987@0
[ 987@0, 988@0
[ 988@0, 989@0
[ 989@0, 990@0
[ 990@0, 991@0
[ 99100, 99200 ]
[ 992@0, 993@0 ]
```

```
99300.
        994@0
        995@0
 99400.
 995@0.
        996@0
[ 996@0.
        997@0
[ 997@0.
        998@0
[ 99800,
        999@0 ]
[ 999@0, 1000@0 ]
                      visibility: new row with oid 1000@0 now visible
io.print(c0):
#----#
#ht #name
# void dbl # type
#----#
[ 000, 0 ]
[...]
[ 979@0, 6.887552571664617 ]
[ 980@0. 6.8885724595653635 ]
                               column BAT for c not updated yet: row 981@0 still visible
 98100, 6.889591308354466
 982@0, 6.890609120147166
 983@0, 6.891625897052253
[ 984@0, 6.892641641172089
[ 985@0, 6.893656354602635
 98600, 6.894670039433482
 987@0, 6.895682697747868
 988@0, 6.8966943316227125 ]
 98900. 6.897704943128636
 990@0, 6.898714534329988
 991@0, 6.899723107284872
[ 992@0. 6.900730664045173
 993@0, 6.901737206656574
 994@0, 6.902742737158593
 995@0. 6.903747257584598
[ 996@0, 6.904750769961838
[ 997@0. 6.905753276311464
[ 998@0, 6.906754778648554
[ 999@0, 6.907755278982137 ]
                              column BAT for c not updated yet: row 1000@0 not present yet
# access the \Delta tables using sql.bind(..., 1) and sql.bind(..., 2)
■ access Δ<sup>i</sup>

— access Δ<sup>u</sup>

io.print(inserted_c);
#ht #name
# void dbl # type
#----#
[ 1000@0, -2 ]
```

```
io.print(updated_rows, updated_c);
#____#
#ttt # name
# void oid dbl # type
[ 000, 98100, -1 ]
# implement changes (this is equivalent to sql.delta(sql, c0, updated rows, updated c, inserted c))
bat.replace(c0, updated_rows, updated_c, true);
                                                  ■ apply Δ<sup>u</sup>
bat.append(c0, inserted_c);
                                                  ■ apply Δ<sup>i</sup>
                                                  - restrict visibility
c:bat[:dbl] := algebra.projection(ternary, c0);
io.print(c);
[ 978@0, 6.88653164253051 ]
[ 979@0,
         6.887552571664617 ]
[ 980@0,
         -1 ]
                                 updated
 98100, 6.890609120147166
[ 982@0.
        6.891625897052253
 983@0, 6.892641641172089
[ 984@0, 6.893656354602635
[ 985@0, 6.894670039433482
 98600.
        6.895682697747868
[ 987@0, 6.8966943316227125 ]
 988@0, 6.897704943128636
 989@0, 6.898714534329988
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[ 998@0, 6.907755278982137
[ 999@0, -2 ]
                                 inserted (also: 1000 rows only since 1 row deleted)
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