# Functional Programming

SS 2023

Torsten Grust University of Tübingen Function composition via (.) is *the* FP idiom that constructs larger programs. In a sense, (.) serves the role of; (statement sequencing) in imperative PLs.

#### • Sequencing functions:

```
(.) :: (b -> c) -> (a -> b) -> (a -> c)

f . g = \x -> f (g x)

(>=>) :: (a -> b) -> (b -> c) -> (a -> c)

f >=> g = g . f
```

- $\circ$  f<sub>1</sub>>=> f<sub>2</sub> >=> ... >=> f<sub>n</sub> composes the f<sub>i</sub> in left-to-right order (think UNIX pipes).
- o (>=>,id) forms a monoid: >=> is associative with identity id.

# Sequencing Partial Functions (a -> Maybe b)

- If the  $f_i$  in  $f_1 >=> f_2 >=> ... >=> f_n$  are potentially failing ("partial") functions, the composition should fail once the first function fails.
  - Return Nothing as soon as first function in sequence returns
     Nothing:

#### Sequencing Exception-Generating Functions (a -> Exc b)

 Result of computations that may either throw an exception or return a regular value b (assumes suitable representation type for errors/exceptions, e.g., type Error = String):

# type Exc b = Either Error b

• In a composition, exceptions are propagated once occurred:

```
(>=>) :: (a -> Exc b) -> (b -> Exc c) -> (a -> Exc c) f >=> g = \x ->
```

#### Sequencing Non-Deterministic Functions (a -> NonDet b)

 A non-deterministic function may respond with any answer in a list of possible of answers:

```
type NonDet b = [b]
```

 In a composition of non-deterministic functions, treat all possible outcomes alike:

```
(>=>) :: (a -> NonDet b) -> (b -> NonDet c) -> (a -> NonDet c) f >=> g = \x -> concat $ map g $ f x
```

or

$$f >=> g = \x -> concat [gy|y <- fx]$$

# Sequencing Stateful Functions (a -> State -> (State, b))

- Perform regular computation (to yield a value of type b) and transform the current state of the system/program.
  - State transformers (assumes state representation State):

```
type ST b = State -> (State, b)
```

- Stateful functions thus have type a -> ST b.
- Composition (with state transformation s0 → s1 → s2):

```
Sequencing Side-Effecting Functions (a -> World -> (World, b))
```

Modeling side effects: functions consume current "world" state,
 return new world state along with result:

```
type World = ¯\_(ツ)_/¯ -- abstract (defined by GHC runtime)
type IO b = World -> (World, b)
```

- Values of type IO b are actions that
  - 1. when performed have a side-effect on the world, and then
  - 2. return a value of type b.
- Haskell built-ins with side-effects:

```
o print :: Show a => a -> IO (), putStrLn :: String -> IO ()
```

- o getLine :: IO String
- o readFile :: FilePath -> IO String
- o (>=>) :: (a -> I0 b) -> (b -> I0 c) -> (a -> I0 c)

These sequencing functions follow an obvious pattern:

```
(>=>) :: (a -> b) -> (b -> c) -> (a -> c)
(>=>) :: (a -> Maybe b) -> (b -> Maybe c) -> (a -> Maybe c)
(>=>) :: (a -> Exc b) -> (b -> Exc c) -> (a -> Exc c)
(>=>) :: (a -> NonDet b) -> (b -> NonDet c) -> (a -> NonDet c)
(>=>) :: (a -> ST b) -> (b -> ST c) -> (a -> ST c)
(>=>) :: (a -> IO b) -> (b -> IO c) -> (a -> IO c)
(>=>) :: (a -> IO c) -> (a -> IO c)
```

 To instantiate (>=>) for different type constructors m, build a type class whose instances are type constructors (i.e. m is of kind \* -> \*).

# Type Class Monad (Or: Why Haskell's Logo is ≫=)

• Type class Monad (m has kind \* -> \*):

```
class Applicative m => Monad m where
return :: a -> m a
    (>>=) :: m a -> (a -> m b) -> m b -- sequencing ("bind")
```

$$x - (return) \rightarrow x$$

$$x - (return) \rightarrow x$$

$$x - (s creates) \rightarrow y$$

$$x - (s creates) \rightarrow (s creates)$$

$$x - (s creates) \rightarrow (s creates)$$

• NB: Once a value is inside the monadic *m*-structure, class Monad does not let it "escape" again.

```
(>>=) vs. (>=>)
```

- **Bind** (>>=) is simpler/does less than (>=>):
  - o f >=> g involves two applications (of f and g). Instead, s >>= g receives structure s, extracts its value x, and routes x to g (one application).
  - o Nevertheless, both are closely related.
    (>=>) may be expressed in terms of (>>=):

```
(>=>) :: Monad m => (a -> m b) -> (b -> m c) -> (a -> m c)
f >=> g = \x -> f x >>= g

:: m b
```

• (>=>) is also known as **Kleisli composition**.

• Lifting creates a trivial context/structure (recall pure):

Monad	lifting (return x)
Maybe	Just x
Exc	Right x
NonDet	[x]
ST	\s -> (s,x)

• Example: Make Maybe an instance of Monad:

instance Monad Maybe where return 
$$x = Just x$$
  $x \longrightarrow (return) \longrightarrow x$ 

Nothing >>= g = Nothing

Just x >>= g = g x

#### The Functor/Applicative/Monad Tower

• The type classes Functor, Applicative, and Monad are obviously closely related:

```
class Functor m => Applicative m where ... class Applicative m => Monad m where ...
```

• Monad, Applicative, Functor form a "tower of abstractions":

expressiveness

<pre>Monad (return, (&gt;&gt;=))</pre>	▲ high
Applicative (pure, (<*>))	
Functor (fmap)	

 Monad is truly more expressive than the abstractions we have studied so far.

Monadic bind >>= can create entirely new contexts/structure:

These functions emit values (of type b) to be embedded in existing/combined structures

a new context m!

# Expressiveness: Monad > Applicative > Functor

• A Monad m can do whatever an Applicative can.

Proof: Express Applicative's operations in terms of Monad's:

• Given this and fmap f v = pure f < \*> v, m also is a Functor:

```
fmap f v \stackrel{\text{def}}{=} return f >>= \f -> v >>= \x -> return (f x)
```

# A Dose of Syntactic Sugar: do-Notation

- Looong chains of  $e_1 >>= \x -> e_2$  are typical for monadic code, yet hard to read.
- Imperative programming languages have introduced established notation for programs that
  - 1. perform computation  $e_1$ , name the result x, and then
  - 2. perform computation  $e_2$  (which may refer to variable x):

```
X := e<sub>1</sub>;
e<sub>2</sub>;  /* e<sub>2</sub> may use x */
```

• Haskell offers **syntactic sugar** that can mimic such sequential programs (but indeed is much more general): **do-notation**.

Haskell's do-notation (e: expression of type m a, es: sequence of ;-separated expressions e<sub>1</sub>; e<sub>2</sub>; ...; e<sub>n</sub>):

```
do { e } \equiv e

do { x <- e; es } \equiv e >>= \x -> \ do { es }

do { e; es } \equiv e >>= \x -> \ do { es }

do { let v = x; es } \equiv \ let v = x \ in do { es } -- \text{a pure let}
```

- May use 2D layout instead of { ... } and ;.
- Syntactically mimics imperative statements, but still is an epxression that computes a monadic value: do { ... } :: m b.

# Example 1: Interactively Copy a File (do-Notation)

• Using do-notation leads to almost Python-like 🗬 syntax:

```
copyFile :: FilePath -> FilePath -> IO Int
copyFile from to = do
  content <- readFile from</pre>
  writeFile to content
  return (length content)
main :: IO ()
main = do
  putStrLn "Which file do you want to copy?"
  from <- getLine</pre>
  putStrLn "Where do you want to copy it to?"
  to <- getLine
  n <- copyFile from to
  putStrLn ("Copied " ++ show n ++ " bytes.")
```

# Example ②: Interactively Copy a File (>>= Explicit)

```
copyFile :: FilePath -> FilePath -> IO Int
copyFile from to =
 readFile from >>= \content ->
 writeFile to content >= \setminus_- -> -- ? may use (>>)
  return (length content)
main :: IO ()
main =
 putStrLn "Which file do you want to copy?" >>= \_ ->
 getLine
                                             >>= \from ->
 putStrLn "Where do you want to copy it to?" >>= \_ ->
 getLine
                                             >>= \to ->
  copyFile from to
                                             >>= \n ->
 putStrLn ("Copied " ++ show n ++ " bytes.")
```

- This is **not** imperative programming in Haskell. Beware of actually thinking in **?**, **9**, **JS**, ... terms.
- Example: Is this correct Haskell? What is the output?

```
f :: IO Integer
f = do
    putStrLn "Running f ..."
    return 1893
    return 1904

main :: IO ()
main = do
    x <- f
    putStrLn (show x)</pre>
```

• But how can I/O possibly be pure? Reading a string with getLine may well yield different results on each execution.

#### **♀** Key Idea:

Decouple the **pure construction** of I/O actions from their **effectful execution.** 

- Construct (complex) I/O action of type IO a using getLine,
   putStrLn, >>=, ... No I/O or side effects happened yet.
- 2. Perform constructed IO a action: **side effects will occur** and value of type a is returned. *Only* the IO a action returned by function main is performed by Haskell's runtime system.

- GHC's internal representation of IO actions is opaque. Likewise, the internals of the Haskell runtime—the interpreter for these IO actions—are hidden.
- To better see what is going on, build our own versions of both in terms of a simple **IO** action **DSL**:
  - 1. The *constructors* build IO actions (*e.g.*, printing/reading strings). Supply a Monad instance for the constructors to use do-notation to conveniently sequence complex IO actions.
  - 2. The *observer* runIO translates the resulting IO action into a regular Haskell IO a action that can then be performed with side effects.

 Haskell presently cannot enforce (or even check) this, but return and >>= (or >=>) are expected to behave "sanely":

```
return >=> g \equiv g (left identity)

f >=> return \equiv f (right identity)

(f >=> g) >=> h \equiv f >=> (g >=> h) (associativity)
```

 $\circ \Rightarrow (>=>, return)$  forms a monoid over the carrier of functions a -> m b.

"A monad is just a monoid in the category of endofunctors. What's the problem?" — James Iry

- Category is *Hask* (objects: Haskell types, arrows: functions)
- m is the endofunctor: m b is a Haskell type again

The monads we have seen so far are specialists in representing computations with a single type of side effect:

Monad	represents computation that
Maybe a	may yield an a or return no result at all
Either e a	throws an exception e or succeeds with a
NonDet a ([a])	yields one of many possible a
ST a	reads/modifies current state, then returns a
IO a	performs input/output, then returns a
Reader e a	reads environment e, then returns a

- Can we build a monad to represent computations that perform I/O
   and may potentially throw an exception?
  - o Do we need to start from scratch?
  - o Or can we combine the Either and IO monads systematically?

# Either + IO: • Verifying E-Mail Addresses

• Start with a computation that "verifies" a given e-mail address (does it contain exactly one '@')?

○ Case Left e represents an exception that subsequent computations need to handle ( monad-trans-1.hs). • Go interactive: ask for e-mail address, then verify it:

```
askForEmail :: IO (Either LoginError String)
askForEmail = do
  putStrLn "Enter e-mail address:"
  email <- getLine
  return (checkEmail email)</pre>
```

- As long as exception-generating computation and I/O do not interact, we are doing fine.
  - Here: perform all I/O, then verify e-mail (and we're done).
- If, instead, exception-generating computation and I/O mix, the code quickly gets nested and messier ( monad-trans-2.hs).

# Either + IO: 3 Build a Custom Combined Monad

We are operating in the **combined** (or: **stacked**) **monad** IO (Either LoginError String). Generalized:

```
-- A computation that performs I/O and then either
-- 1 fails with an exception (of type e) or
-- 2 succeeds and returns with a result (of type a):
newtype EitherIO e a = EitherIO { runEitherIO :: IO (Either e a) }
```

 Recall that this newtype declaration defines two type conversions (at no runtime cost):

```
EitherIO :: IO (Either e a) -> EitherIO e a runEitherIO :: EitherIO e a -> IO (Either e a)
```

• The essence (behavior) of the combined monad:

```
instance Monad (EitherIO e) where
            trivial (= no) I/O, computation succeeds
return x = EitherIO $ (returnio . Right) x
                                             1 computation failed,
                                                  trivial I/0
x >>= f = EitherIO $ runEitherIO x >>=io either (returnio . Left)
                                                  (runEitherIO . f)
                     perform I/O,
                then yield Either e a
                                           2 computation succeeded,
                                                continue with f
```

# 4 Lifting Values Into the Combined Either IO Monad

• 1 To run existing code in the new EitherIO monad, we need to convert/lift IO a (and Either e a) values into EitherIO e a values. Otherwise, type errors (\*\*) occur:

- $\circ$   $f^1$ : Has type IO a but we need EitherIO e a.
- $\circ$   $\neq$ <sup>2</sup>: Has type IO (Either *e a*) but we need EitherIO *e a*.

# 4 Lifting Values Into the Combined Either IO Monad

• Both **liftings** (Either *e a* → EitherIO *e a* ← IO *a*) are readily defined and help to write EitherIO computations concisely:

# **5** Throwing and Catching Exceptions

• In userLogin, liftEither (Left exc) is used to raise (or "throw") exception exc. Capturing that idiom:

```
throw :: e -> EitherIO e a
throw exc = liftEither (Left exc)
```

 As a counterpart to throw, "catch" exceptions thrown by a computation and invoke a handler to cope with the exception:

```
catch :: EitherIO e a -> (e -> EitherIO e a) -> EitherIO e a

handler (receives
exception as input)
```

# **5** Throwing and Catching Exceptions

Exception handlers allow to model complex application behavior.
 Example:

```
1 Attempt user login.
2 Success? { Yes, logged in. First failure? { No, abort. Print error message.}
```

○ Implementation ( monad-trans-4.hs):

```
loginDialogue :: EitherIO LoginError String
loginDialogue = do
  email <- userLogin `catch` wrongPassword `catch` abortLogin
  liftIO $ putStrLn ("Logged in as " ++ email)
  return email</pre>
```

# **6** Generalizing From **10** to Any Monad *m*

• Abstract from IO and combine Either with any monad m. We arrive at the monad transformer EitherT e m a:

```
-- Run a computation in monad m and then either
-- 1 fail with an exception (of type e) or
-- 2 succeed and return with a result (of type a):
newtype EitherT e m a = EitherT { runEitherT :: m (Either e a) }
```

- See the Haskell transformers library for a collection of such monad transformers.
  - Example: An implementation of EitherT is found in Control.Monad.Trans.ExceptT.

# **6** Generalizing From **10** to Any Monad m

```
instance Monad m \Rightarrow Monad (EitherT e m) where
        trivial m-computation, computation succeeds
 return x = EitherT $ (return<sub>m</sub> . Right) x
                                              1 computation failed,
                                                trivial m-computation
 x >>= f = EitherT $ runEitherT x >>=m either (returnm . Left)
                                                  (runEitherT . f)
                perform m-computation x,
                 then yield Either e a
                                             2 computation succeeded,
                                                   continue with f
```

• See monad-trans-5.hs.