# Fanoos: Multi-Resolution, Multi-Strength, Interactive Explanations for Learned Systems

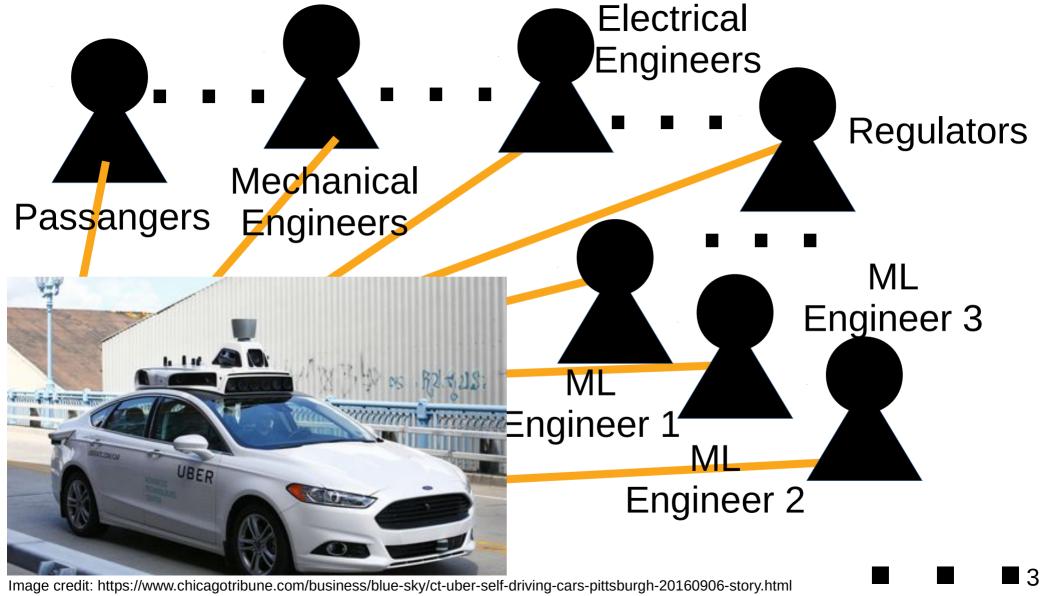
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D. Bayani: Fanoos

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# Individual ML systems are part of a larger whole in tackling problems



#### Some Observations

#### Actors have many different:

- Interests
- Needs depends on actor and task at hand
  - Stakes vary. Safety: high stakes, efficiency tweaks: lower stakes
- Backgrounds / Expertise

#### Unfilled Desiderata for XAI

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- *Interactive* (so can explore as needed)
- Can provide multiple abstraction levels of information (so can suite multiple audiences and needs)
- Can provide strong guarentees about info. provided (so explanations necessarily reflect system behaviour)
  - Should be as pedantic about details as user needs (sometimes want / don't want corner-case info.)

# Our Solution: Fanoos

• Fanoos (فــانوس) -"Lantern" in Farsi

"Shining a Light on Black-Box AI"

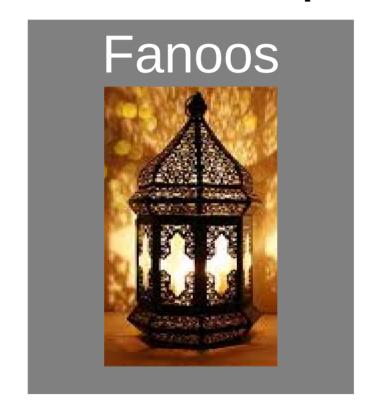


#### Plan For Next Few Slides

- Overview of What User Sees
- Description of the Mechanics
- Breifly overview experiments

#### Fanoos Overview:

### **Initial Setup**

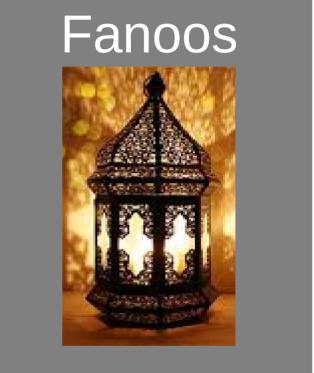


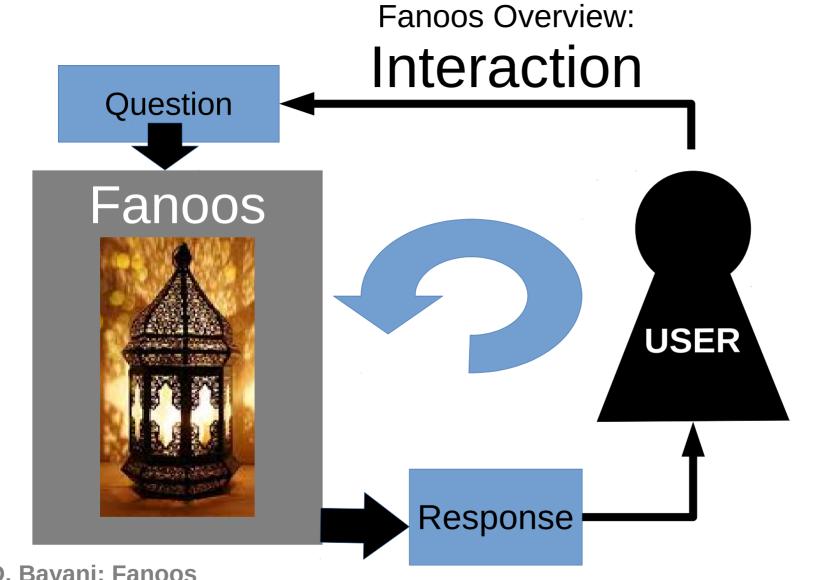
#### Fanoos Overview:

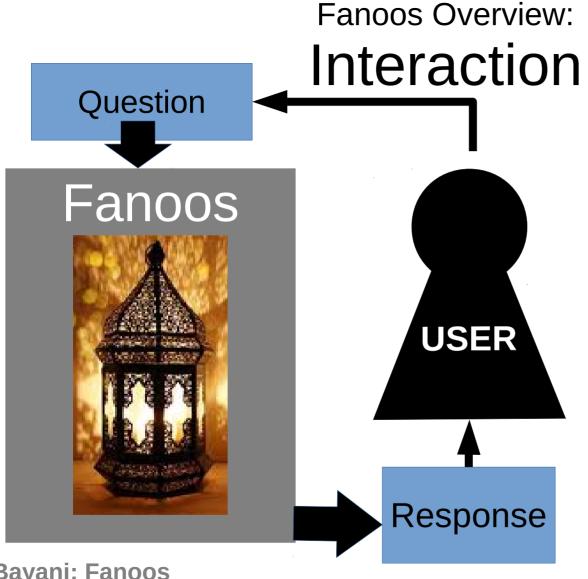
#### **Initial Setup**

**L**, the Learned Sytem to Explain (E.g., Neural Net)

Domain Info. (can have dataassisted construction) Initial Configuration Inputs



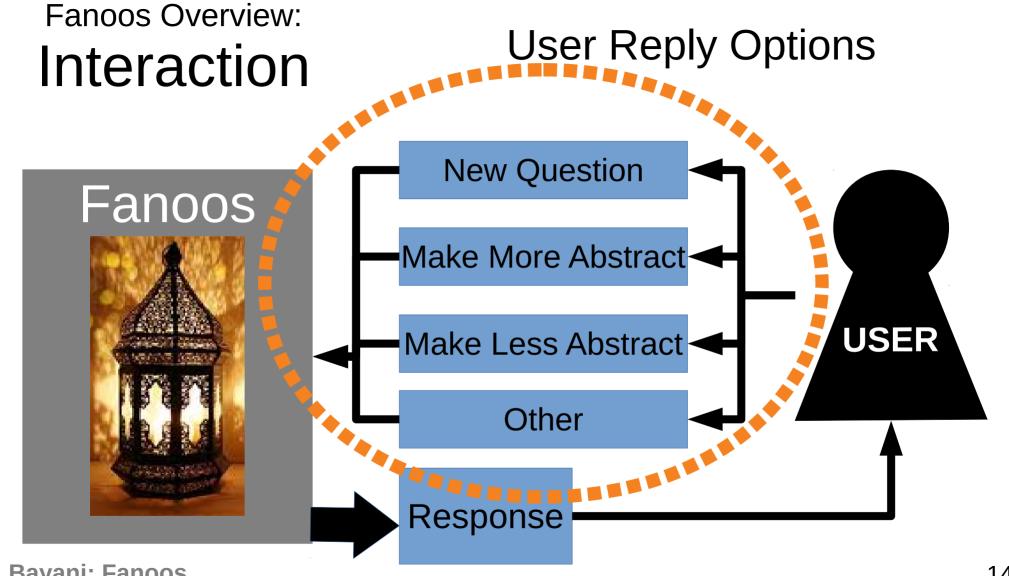




**Question Types:** 

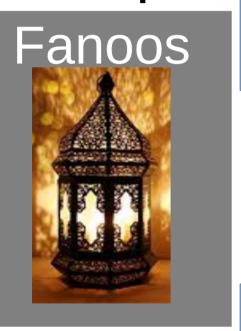
- When does L do X?
- What does **L** do when Y?
- In what circumstances is L doing X during Y?

Can be formally sound or probabilistically guaranteed



Fanoos Overview:

## Interaction Example



Example from robotics

#### Initial Question





Initial Response



#### User Request *More* abstract

New Response



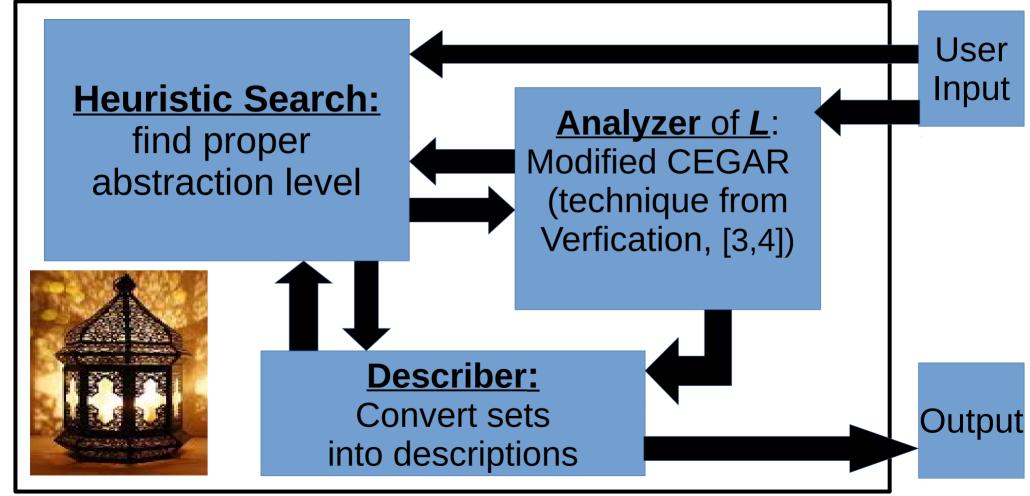
(0.44378316, 0.48588134, pole2 not near target position)
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D. Bavanı:

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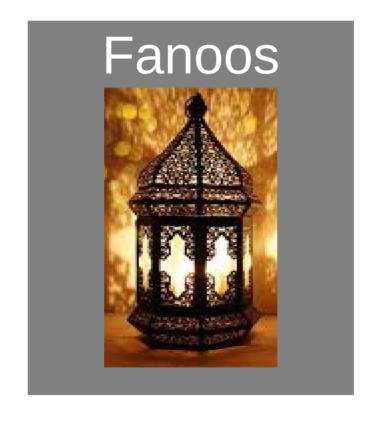
### Briefly, Inside Fanoos



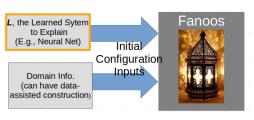
D. Bayani: Fanoos

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# Fanoos: Mechanics



# Domain Knowledge that User Provides



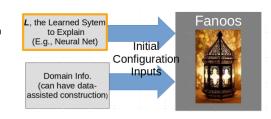
- The learned system, L
- Universe Bounding-Box for Input Space
  - Ex: for a constant-v Dubin car:

$$(x, y, \theta) \in [-1, 1] \times [50.3, 100.0] \times [0, 2\pi]$$

- Predicates: connecting sets to something user grasps. Ex:
  - "left arm higher than right arm": y arm1 > y arm2
  - "attempting spiral roll" :

$$\exists cx, cy \in B. |(x - cx)^2 + (y - cy)^2 - r^2| \le \epsilon_1 \land |2(x - cx)dx - 2(y - cy)dy| \le \epsilon_2 \land \dots$$
 18

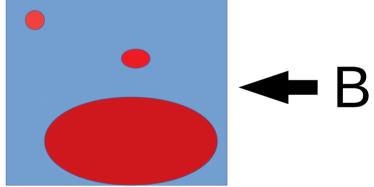
# Domain Knowledge that User Provides



 Note: Using a SAT-solver, we can say whether predicate holds:

- Everywhere on a set
- At least one place in a set

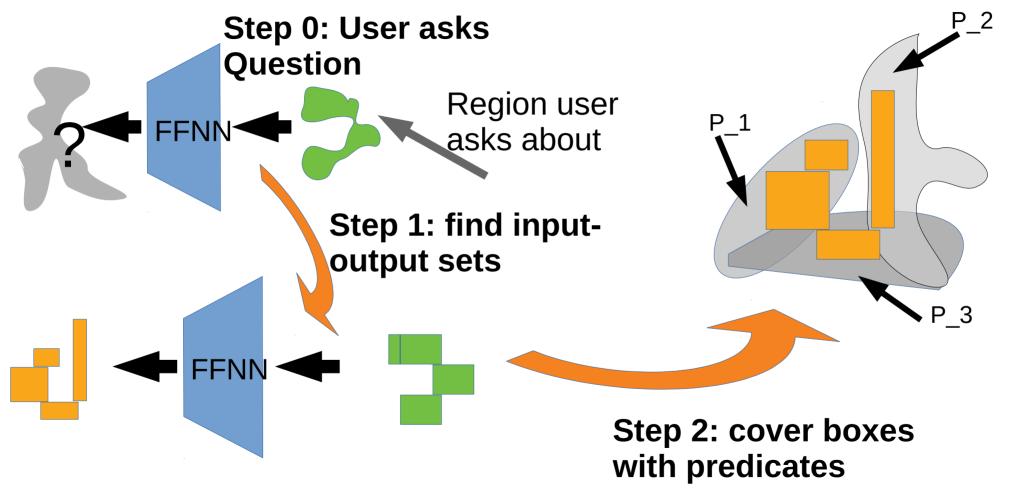
"
$$\forall v \in B.P(v)$$
" is false " $\exists v \in B.P(v)$ " is true



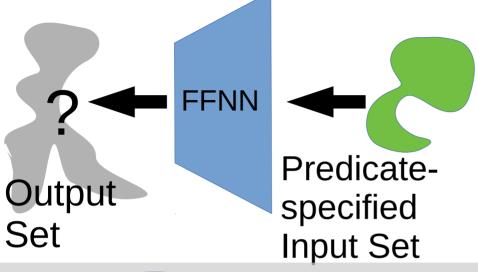
Red: P fails to hold

Blue: P holds

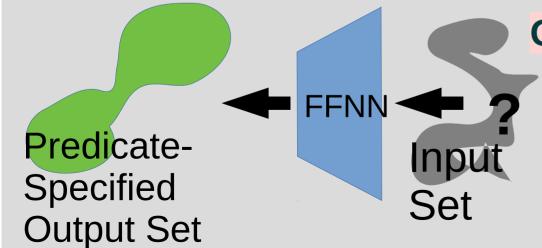
### Overview: Responding to Questions



### Step 1: "Finding the Other Set"

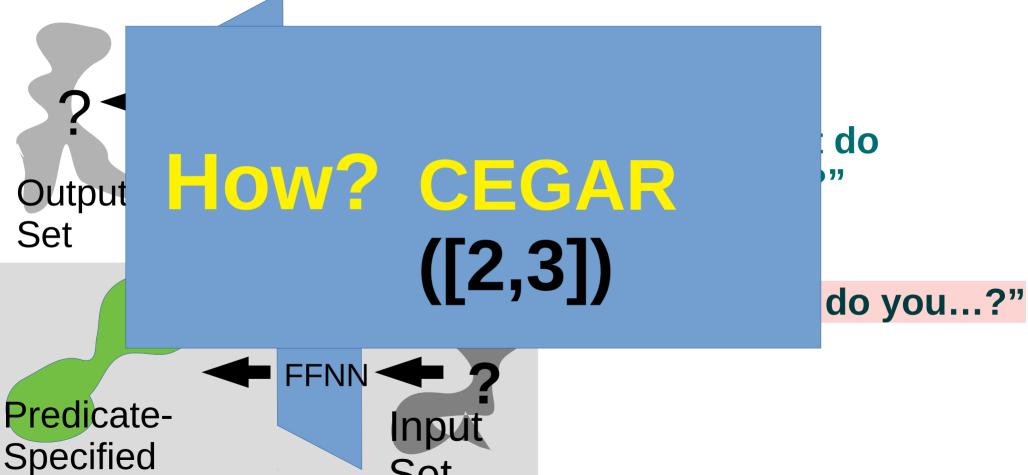


Question: "What do you do when ...?"



Question: "When do you...?"

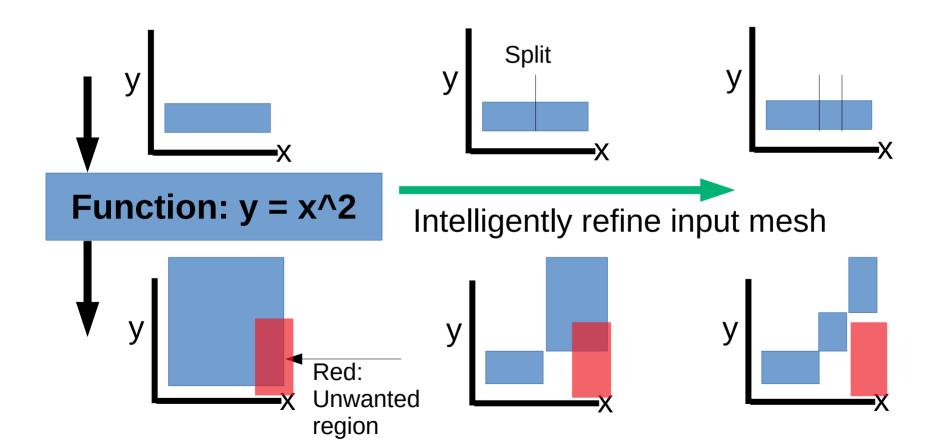
### Step 1: "Finding the Other Set"



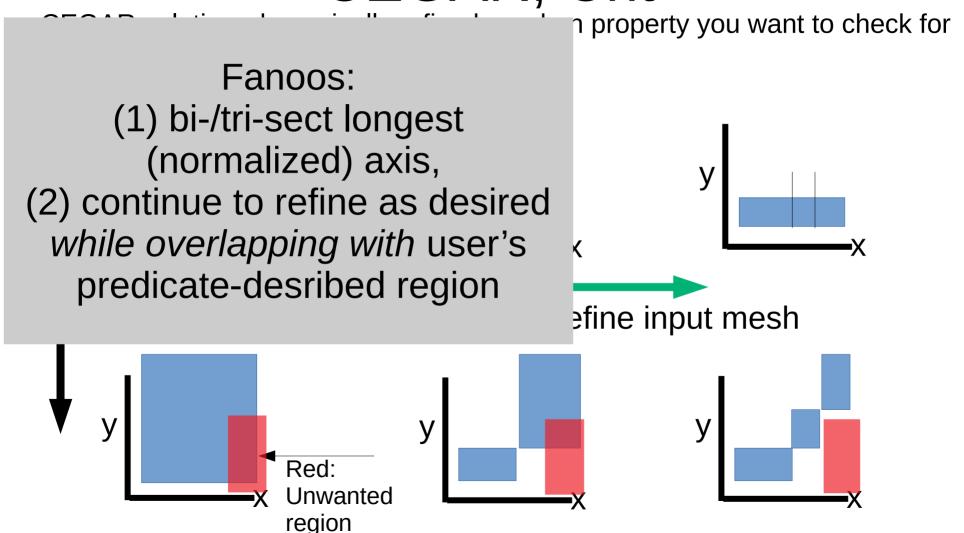
**Output Set** 

#### CEGAR, Cnt

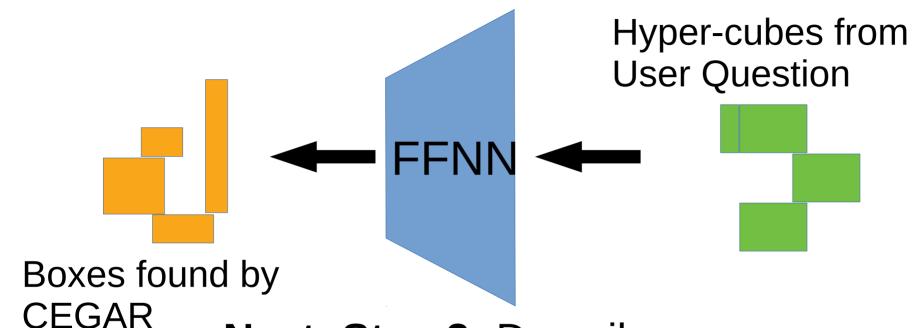
- CEGAR solution: dynamically refine based on property you want to check for
- For us, used hyper-cubes as the abstraction



#### CEGAR, Cnt



#### What we have so far:



- Next: Step 2: Describe the found boxes
  - How? Form a covering with the predicates.

#### What we have so far:

Sub-steps: 2.1) Get candidate predicates for each box 2.2) Form global covering from the candidates

Hyper-cubes from User Question

Note: Might merge boxes a bit first

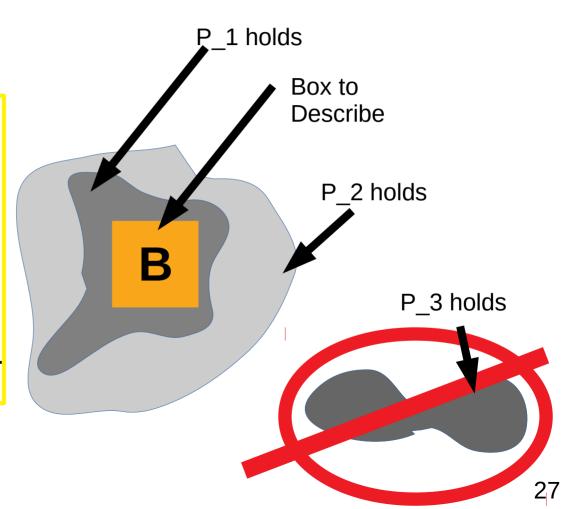
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  - Describe the found boxes
- How? Form a covering with the predicates.

# Step 2.1: Getting Candidate Preds.

For Each Box

For each box, B:

- 1)Get preds that hold over B
  - 1."feasibility check": try on random sample from B first
  - 2. Check with SAT-Solver
- 2) Get most specific preds

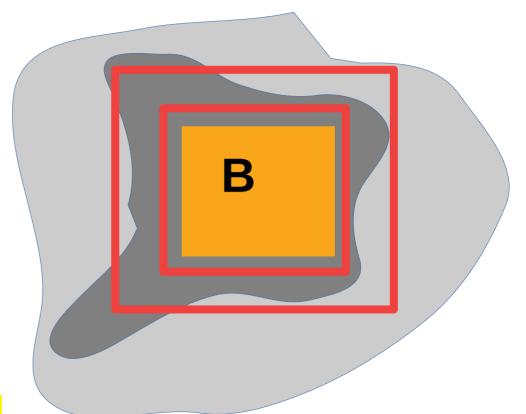


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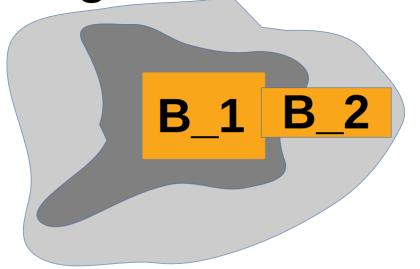


Note: Can utilize a taxonomy for filtering, If provided

Step 2.2: Forming Global Covering

 Iterative greedy based on score

- Submodularity
- Need to throw out "dominated" preds
- Some subtleties for mult-dimensional setting
  - Ex: may need multiple preds to cover box; one pred variable x, another might cover y



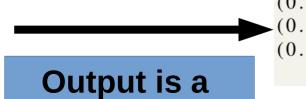
#### Cleaning and Presenting to User

- Some further post-processing
- Gather and show

Normalize "unique" box volumes covered

Normalize total box volumes covered

(0.11, 0.34, And(pole1\_on\_left cart moving right))

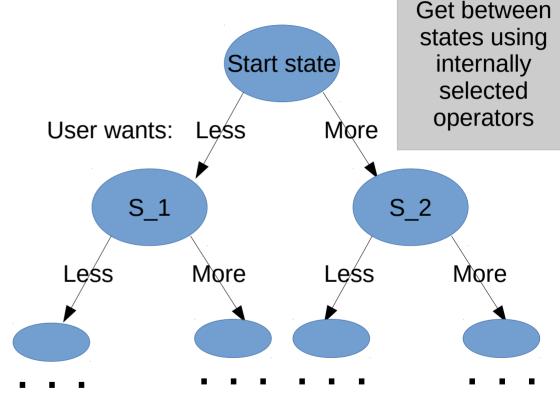


**Weighted DNF** 

(0.44378316, 0.48588134, pole2 not near target position) ► (0.33605014, 0.36551887, pole2angle\_rateofchange\_high\_\_magnitude) (0.22016670, 0.23739381, pole2angle\_to\_right, statevalueestimate\_very\_low)

### Using Feedback

- Fanoos has many internal parameters for:
  - CEGAR
  - Box-merging
  - Predicate
  - Etc.
- Use state-operator model
  - Feedback changes state and internal params
  - View as search for proper abstraction level



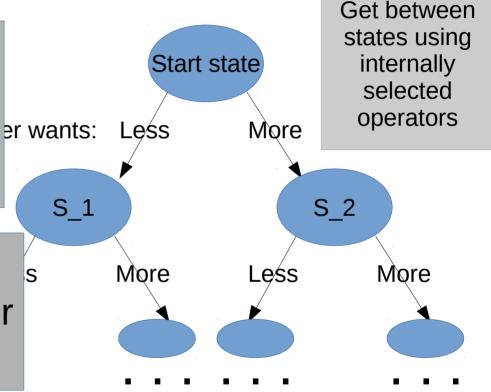
### Using Feedback

- Fanoos I paramet
  - CEGAF
  - Box-me
  - Predica
  - Etc.

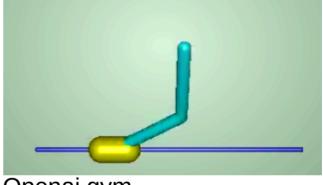
How select operators? Hand-written heuristics.

Generally try to get smaller boxes, and looser descriptions for greater abstraction

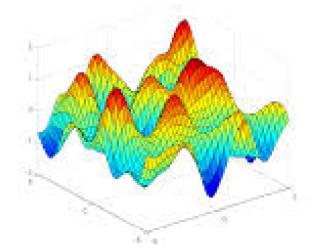
- On-going future work:
  - Fe Using ML-back operator and selection
  - Vie abstraction level



- Ran on
  - Invertible double pendulum policy
    - 6D input, 2D output
  - A 3-degree polynomial regression for CPU Usage
    - 5D pre-featurization input, 3D output
- Preds. formed by mix of hand, data statistics, and templates



Openai gym InvertedDoublePendulum-v2



- 130+ Start Questions, Several Hundred Replies Total
  - Questions randomly generated based on some criteria
  - Half asked to make more abstract (MA),
     half asked to make less abstract (LA)
- Compared befores-and-afters for:
  - Reachability
  - Result structure
  - Some approximation of an expert agreement

- Reachability: MA tend to result in fewer, larger boxes. Opposite for LA
- Structural:
  - MA tend to be shorter, and have fewer conjuncts
  - Based on Jaccard and Overlap score, not just becoming more verbose

Table 2: Median *relative* change in description before and after Fanoos adjusts the abstraction in the requested direction

			CPU	CPU	IDP	IDP
		Request	LA	MA	LA	MA
Reachability	Boxes	Number		-8678.0	2.0	-16.0
	Volume	Max	-0.015		-0.004	0.004
		Median	-0.003	0.003	-0.004	0.004
		Min	-0.001	0.001	-0.003	0.003
		Sum	-0.03	0.03	-0.168	0.166
Structural	Jaccard		0.106	0.211	0.056	0.056
	Overlap coeff.		0.5	0.714	0.25	0.25
	Conjuncts		1.0	-2.0	0.5	-2.5
	Disjuncts		7.0	-7.5	2.0	-2.5
	Named preds.		1.0	-1.0	1.0	-4.5
	Box-Range preds.		2.0	-2.0	1.5	-1.5
Expert	MA	Multiplicity	3.0	-3.0	24.0	-20.0
		Uniqueness	0.0	0.0	1.0	-1.5
	LA	Multiplicity	20.0	-21.5	68.5	-86.0
		Uniqueness	2.0	-2.0	12.0	-14.0

- Approximate "Expert" Judgement:
  - Labeled each predicate as higher or lower abstractness
  - "grain of salt measure": course labels and did not review whole output
  - As expected: LA requests tended for more lower abstraction terms, opposite for MA requests

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