

COUNCIL OF THE DISTRICT OF COLUMBIA

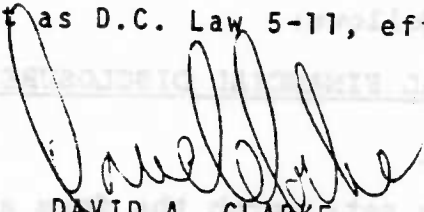
NOTICE

D.C. LAW 5-11

"District of Columbia Retirement Board First Regulations Adoption Act of 1983".

Pursuant to Section 412 of the District of Columbia Self-Government and Governmental Reorganization Act, P. L. 93-198, "the Act", the Council of the District of Columbia adopted Bill No. 5-10 on first and second readings, April 12, 1983 and April 26, 1983, respectively. Following the signature of the Mayor on May 4, 1983, this legislation was assigned Act No. 5-25, published in the May 20, 1983 edition of the D.C. Register, (Vol. 30 page 2300) and transmitted to Congress May 6, 1983 for a 30-day review, in accordance with Section 602 (c)(1) of the Act.

The Council of the District of Columbia hereby gives notice that the 30-day Congressional Review Period has expired, and therefore, cites this enactment as D.C. Law 5-11, effective June 22, 1983.



DAVID A. CLARKE  
Chairman of the Council

Dates Counted During the 30-day Congressional Review Period:

May	6,9,10,11,12,13,16,17,18,19,20,23,24,25,26
June	1,2,3,6,7,8,9,10,13,14,15,16,17,20,21

D.C. LAW 5-11

AN ACT

EFFECTIVE  
DATE JUN 2 2 1983

D.C. ACT 5-25

IN THE COUNCIL OF THE DISTRICT OF COLUMBIA

MAY 04 1983

To adopt the form and content for a personal financial disclosure statement for members of the District of Columbia Retirement Board.

BE IT ENACTED BY THE COUNCIL OF THE DISTRICT OF COLUMBIA,  
That this act may be cited as the "District of Columbia Retirement Board First Regulations Adoption Act of 1983".

Sec. 2. The Council of the District of Columbia, pursuant to section 161 of the District of Columbia Retirement Reform Act, approved November 17, 1979 (93 Stat. 866; D.C. Code, sec. 1-731) ("Act"), adopts the form and content of a personal financial disclosure statement for members of the District of Columbia Retirement Board ("Board") which follows:

CODIFICATION  
Note,  
D.C. Code,  
sec. 1-731  
(1981 ed.)

PERSONAL FINANCIAL DISCLOSURE STATEMENT

(a) Purpose.

This section sets forth the form and content of personal financial disclosure statements required for members of the Board.

(b) Filing of Personal Financial Disclosure Statements.

Each member of the Board shall submit a personal financial disclosure statement within 90 days of each member's appointment for each calendar year while serving as a member of the Board. All statements shall use the form

and provide the information required by this regulation. All statements shall be typewritten and shall be submitted no later than April 30 of each year to the Mayor of the District of Columbia ("Mayor"), the Council of the District of Columbia ("Council"), the Speaker of the United States House of Representatives, and the President pro tempore of the United States Senate. All statements submitted to the Council shall be filed with the Secretary to the Council. All statements submitted to the Mayor shall be filed with the Executive Secretary of the District of Columbia.

(c) Form and Content Required.

Members of the Board shall use the following form and provide the information required therein for personal financial disclosure statements:

GOVERNMENT OF THE DISTRICT OF COLUMBIA

FINANCIAL DISCLOSURE STATEMENT FOR MEMBERS OF THE D.C.

RETIREMENT BOARD

(Pursuant to section 161 of the District of Columbia

Retirement Reform Act, approved November 17, 1979

(93 Stat. 866; D.C. Code, sec. 1-731)

For Calendar Year \_\_\_\_\_

Full Name: \_\_\_\_\_ Tel. # \_\_\_\_\_

Address: \_\_\_\_\_  
 \_\_\_\_\_ (Zip Code) \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Position: \_\_\_\_\_

Bus. Address: \_\_\_\_\_ Tel. # \_\_\_\_\_  
 \_\_\_\_\_ (Zip Code) \_\_\_\_\_

Effective Date of Membership  
to the D.C. Retirement Board: \_\_\_\_\_

Notice: All items on this financial disclosure statement must be completed. If an item is not applicable, indicate so. Please type or print clearly. Attach additional sheets as needed, and identify each additional sheet with your name and the section being completed. All statements must be notarized.

Check one: \_\_\_\_\_ Original \_\_\_\_\_ Amendment

Reasons for Amendment: \_\_\_\_\_

1. Identify the amount and source of all income (as defined in section 61 of the Internal Revenue Code of 1954) received by you (or your spouse if the income is jointly received).

SOURCE

AMOUNT

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2. Identify each liability owed, either directly or indirectly, by you (or your spouse, if the liability is joint) that exceeds \$2,500 as of the last day of the year (exclude mortgage on real property that serves as your principal place of residence, and any revolving credit or installment accounts). Indicate the value of each liability with one of the following categories: (a) not more than \$5,000; (b) \$5,001 - \$15,000; (c) \$15,001 - \$50,000; (d) \$50,001 - \$100,000; (e) over \$100,000.

IDENTITY

CATEGORY

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3. Identify all property held, either directly or indirectly, by you (or your spouse if the property is joint) in a trade or business, for investment, or the production of income, with a fair market value of \$1,000 or more. Indicate the value of each property with one of the

following categories: (a) not more than \$5,000;  
 (b) \$5,001 - \$15,000; (c) \$15,001 - \$50,000;  
 (d) \$50,001 - \$100,000; (e) over \$100,000.

IDENTITY

CATEGORY

_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

4. Identify and give the date for all transactions made, either directly or indirectly, by you (or your spouse if the transactions were joint) in securities or commodities futures that exceeded \$1,000 (exclude gifts made to any organization with tax-exempt status under section 501(c)(3) of the Internal Revenue Code of 1954). Indicate the value of each transaction with one of the following categories: (a) not more than \$5,000; (b) \$5,001 - \$15,000; (c) \$15,001 - \$50,000; (d) \$50,001 - \$100,000; (e) over \$100,000.

IDENTITY

DATE

CATEGORY

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

5. Identify and give the date for each purchase or sale in which an interest was held, either directly or indirectly, by you (or your spouse if the interest was joint) in real or tangible personal property in excess of \$1,000 in value at the time of purchase or sale (exclude property that serves as the principal residence or is used as furnishings for the principal residence). Indicate the value of each purchase or sale with one of the following categories: (a) not more than \$5,000; (b) \$5,001 - \$15,000; (c) \$15,001 - \$50,000; (d) \$50,001 - \$100,000; (e) over \$100,000.

IDENTITY

DATE

CATEGORY

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

6. List all gifts with an aggregate value of \$100 or more received by you during the calendar year, from any business entity (including sole-proprietorships,

partnerships, and corporations) transacting any business with the District of Columbia government (including any of its agencies, departments, boards, commissions, or educational bodies) and including any business regulated by the District.

GIFTS	NAME AND ADDRESS OF DONOR	VALUE OF GIFT
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

7. Identify any bank, insurance company, or other financial institution; any brokerage or other securities or investment company; any nonprofit organization, labor organization, or educational or other institution in which you (or your spouse):  
(a) held an interest, or (b) served as an officer, director, partner, or in any fiduciary capacity.

NAME OF ORGANIZATION	INTEREST OR CAPACITY SERVED
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

8. Identify the nature and extent of any employment during the year by any bank, insurance company, other financial institution, or by any brokerage or other securities or investment company.

NAME OF ORGANIZATION	POSITION	DATE
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

#### VERIFICATION:

I swear (or affirm) that this Financial Disclosure Statement and supplementary information have been examined by me and to the best of my knowledge and belief is true, correct, and complete. I understand that the willful making of a false, misleading, or incomplete statement can be grounds for civil and criminal prosecution. I further state that I have not caused title to property to be placed in

another person or entity for purposes of avoiding the disclosure requirements of section 161 of the District of Columbia Retirement Reform Act, approved November 17, 1979 (93 Stat. 866; D.C. Code, sec. 1-731).

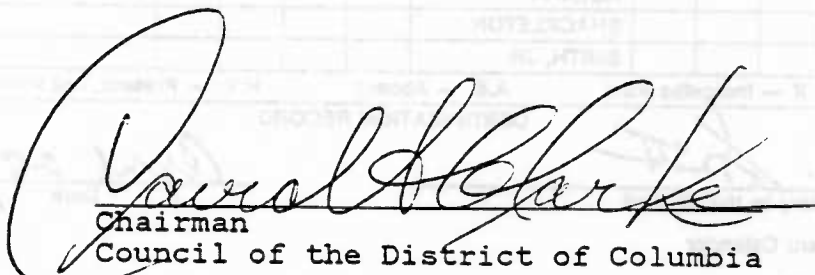
\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

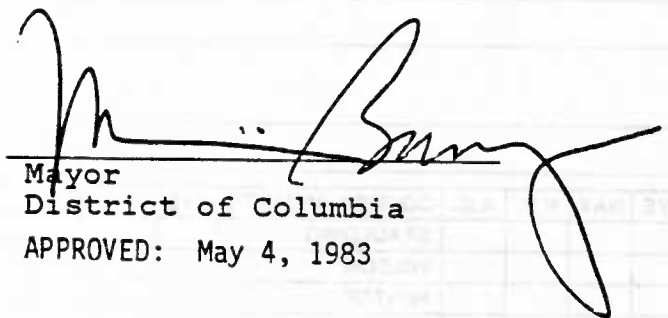
Subscribed and sworn (or affirmed) to before this \_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_.

\_\_\_\_\_  
Notary Public

Sec. 3. This act shall take effect after a 30-day period of Congressional review following approval by the Mayor (or in the event of veto by the Mayor, action by the Council of the District of Columbia to override the veto) as provided in section 602(c)(1) of the District of Columbia Self-Government and Governmental Reorganization Act, approved December 24, 1973 (87 Stat. 813; D.C. Code, sec. 1-233(c)(1)).

  
Chairman

Council of the District of Columbia

  
Mayor  
District of Columbia

APPROVED: May 4, 1983



# COUNCIL OF THE DISTRICT OF COLUMBIA

Council Period Five — First Session

## RECORD OF OFFICIAL COUNCIL VOTE

DOCKET NO: B 5-10

☒ Item on Consent Calendar

☒ ACTION & DATE: Adopted First Reading, 4-12-83

☒ VOICE VOTE: Unanimous

Recorded vote on request

Absent: all present

☐ ROLL CALL VOTE: — RESULT \_\_\_\_\_

COUNCIL MEMBER	AYE	NAY	N.V.	A.B.	COUNCIL MEMBER	AYE	NAY	N.V.	A.B.	COUNCIL MEMBER	AYE	NAY	N.V.	A.B.
CHMN. CLARKE					MOORE, JR.					SPAULDING				
CRAWFORD					RAY					WILSON				
JARVIS					ROLARK					WINTER				
KANE					SHACKLETON									
MASON					SMITH, JR.									

X — Indicates Vote

A.B. — Absent

N.V. — Present, not voting

### CERTIFICATION RECORD

Russell. Smith  
Secretary to the Council

April 28, 1983  
Date

☒ Item on Consent Calendar

☒ ACTION & DATE: Adopted Final Reading, 4-26-83

☒ VOICE VOTE: Unanimous

Recorded vote on request

Absent: Ray

☐ ROLL CALL VOTE: — RESULT \_\_\_\_\_

COUNCIL MEMBER	AYE	NAY	N.V.	A.B.	COUNCIL MEMBER	AYE	NAY	N.V.	A.B.	COUNCIL MEMBER	AYE	NAY	N.V.	A.B.
CHMN. CLARKE					MOORE, JR.					SPAULDING				
CRAWFORD					RAY					WILSON				
JARVIS					ROLARK					WINTER				
KANE					SHACKLETON									
MASON					SMITH, JR.									

X — Indicates Vote

A.B. — Absent

N.V. — Present, not voting

### CERTIFICATION RECORD

Russell. Smith  
Secretary to the Council

April 28, 1983  
Date

☐ Item on Consent Calendar

☐ ACTION & DATE: \_\_\_\_\_

☐ VOICE VOTE: \_\_\_\_\_

Recorded vote on request

Absent: \_\_\_\_\_

☐ ROLL CALL VOTE: — RESULT \_\_\_\_\_

COUNCIL MEMBER	AYE	NAY	N.V.	A.B.	COUNCIL MEMBER	AYE	NAY	N.V.	A.B.	COUNCIL MEMBER	AYE	NAY	N.V.	A.B.
CHMN. CLARKE					MOORE, JR.					SPAULDING				
CRAWFORD					RAY					WILSON				
JARVIS					ROLARK					WINTER				
KANE					SHACKLETON									
MASON					SMITH, JR.									

X — Indicates Vote

A.B. — Absent

N.V. — Present, not voting

### CERTIFICATION RECORD

Secretary to the Council

Date

63-6034-P