NOTICE

D.C. LAW 5-192

"District of Columbia Association for Retarded Citizens, Inc., Revenue Bond Act of 1984".

Pursuant to Section 412 of the District of Columbia Self-Government and Governmental Reorganization Act, P. L. 93-198, "the Act", the Council of the District of Columbia adopted Bill No. 5-518 on first and second readings, December 4, 1984, and December 18, 1984, respectively. Following the signature of the Mayor on January 11, 1985, this legislation was assigned Act No. 5-257, published in the February 22, 1985, edition of the D.C. Register, (Vol. 32 page 997) and transmitted to Congress on January 24, 1985 for a 30-day review, in accordance with Section 602 (c)(1) of the Act.

The Council of the District of Columbia hereby gives notice that the 30-day Congressional Review Period has expired, and therefore, cites this enactment as D.C. Law 5-192, effective March 16, 1985.

the Council

Dates Counted During the 30-day Congressional Review Period:

24,25,28,29,30,31 January

1,4,5,6,7,19,20,21,22,25,26,27,28 February

1,4,5,6,7,8,11,12,13,14,15 March

AN ACT

D.C. ACT 5 - 257

IN THE COUNCIL OF THE DISTRICT OF COLUMBIA

JAN 1 1 1985

To authorize and provide for the issuance, sale and delivery of District of Columbia revenue bonds and to authorize and provide for a loan to the District of Columbia Association for Retarded Citizens, Inc.; and for other purposes.

BE IT ENACTED BY THE COUNCIL OF THE DISTRICT OF COLUMBIA,
That this act may be cited as the "District of Columbia
Association for Retarded Citizens, Inc., Revenue Bond Act of
1984".

Note, D.C. Code, sec. 47-334 (1985 supp.)

Sec. 2 Definitions.

For purposes of this act, the term:

- (1) "Acquisition" means, the acquisition, purchase, construction, reconstruction, improvement, renovation, rehabilitation, restoration, remodeling, repair, relocation, equipping, expansion, or extension of the facility described in paragraph (9).
- (2) "Authorized delegate" means the Deputy Mayor for Economic Development, the Deputy Mayor for Finance, or any officer or employee of the District designated by the Mayor to perform any function authorized by this act to be performed by the Mayor, or to perform any function authorized by the Secretary.
 - (3) "Bond counsel" means Melrod, Redman & Gartlan

A Professional Corporation, and Reynolds, Mundy & Gibson.

- (4) "Bonds" means the revenue bonds in an aggregate principal amount not to exceed \$3,500,000 authorized to be issued, pursuant to and in accordance with this act, for the benefit of the Non-Profit Organization, and generally designated as District of Columbia Revenue Bonds (District of Columbia Association for Retarded Citizens, Inc. Facility).
- (5) "Closing documents" means, with respect to
 the Bonds, all documents and agreements, including
 counterparts, which may be necessary, desirable or
 appropriate to close the issuance, sale, and delivery of the
 Bonds and the making of the Loan, and includes, for example,
 certificates, letters, opinions, receipts, and other similar
 instruments.
- (6) "Costs" means those costs paid or incurred by the Non-Profit Organization in connection with the acquisition of the facility.
- (7) "Council" means the Council of the District of Columbia.
- (8) "District" means the government of the District of Columbia.
- (9) "Facility" means, collectively, the facilities which are to be financed or refinanced in whole or in part by the issuance, sale, and delivery of the Bonds and the making of the Loan, and which are described in general terms in Exhibit A attached to and made a part of this act.

- (10) "Financing documents" means, with respect to
 the Bonds, the documents (other than closing documents) and
 agreements, including counterparts, to which the District is
 a party and which relate to the financing or refinancing
 transactions to be effected by the issuance, sale, and
 delivery of the Bonds and the making of the Loan.
- (11) "Home Rule Act" means the District of Columbia Self-Government and Governmental Reorganization Act of 1973, approved December 24, 1973 (87 Stat. 777; D.C. Code, sec. 1-201 et seq.).
- (12) "Issuance costs" means, with respect to the Bonds, all fees, costs, charges, and expenses paid or incurred or to be paid or incurred in connection with the authorization, preparation, printing, issuance, sale, and delivery of the Bonds, including, but not limited to, program fees and administrative fees charged by the District, underwriting, legal, accounting, rating agency, and other financial fees, costs and expenses, fees paid to financial institutions and insurance companies, compensation to financial advisors and other persons - other than full-time employees of the District - and entities performing services on behalf of the District, and all other fees, costs, and expenses incurred in connection with the development of the financing documents, the closing documents, and those other documents necessary, desirable or appropriate in connection with the authorization, preparation, issuance, sale, and delivery of the Bonds.
 - (13) "Loan" means the District's loan, authorized

by this act, to the Non-Profit Organization of the proceeds received by the District from the issuance, sale, and delivery of the Bonds.

- (14) "Mayor" means the Mayor of the District of Columbia.
- (15) "Non-Profit Organization" means the District of Columbia Association for Retarded Citizens, Inc., a non-profit organization organized and existing under the laws of the District of Columbia.
- (16) "Required reserves" means, with respect to the Bonds, any funds required to be set aside by the trustee pursuant to the financing documents.
- (17) "Revenue bonds" means District of Columbia revenue bonds, notes, or other obligations (including refunding bonds, notes, or other obligations) issued to borrow money to finance, to refinance, or to assist in the financing or refinancing of, undertakings in the areas of housing, health facilities, transit and utility facilities, recreational facilities, college and university facilities, college and university programs which provide loans for the payment of educational expenses for or on behalf of students, pollution control facilities, and industrial and commercial development.
- (18) "Secretary" means the Secretary of the District of Columbia.
 - Sec. 3. Findings.

The Council finds that:

(a) Section 490(a)(1) of the Home Rule Act provides

that the Council may by act authorize the issuance of revenue bonds, notes, or other obligations (including refunding bonds, notes or other obligations) to borrow money to finance, to refinance, or to assist in the financing or refinancing of, undertakings in the areas of housing, health facilities, transit and utility facilities, recreational facilities, college and university facilities, college and university programs which provide loans for the payment of educational expenses for or on behalf of students, pollution control facilities, and industrial and commercial development.

- (b) Section 490(a)(l) of the Home Rule Act further provides that a financing or refinancing of facilities undertaken pursuant to section 490(a)(l) of the Home Rule Act may be effected by loans made directly or indirectly to any individual or legal entity, by the purchase of any mortgage, note, or other security, or by the purchase, lease or sale of any property.
- (c) Section 490(a)(3) of the Home Rule Act provides that any revenue bond, note, or other obligation issued pursuant to section 490(a)(1) of the Home Rule Act shall be paid and secured (as to principal, interest, and any premium) as provided by the act of the Council authorizing the issuance of the bond, note, or other obligation.
- (d) Section 490(a)(3) of the Home Rule Act further provides that any act of the Council authorizing the issuance of a bond, note, or other obligation may provide for the payment of the bond, note, or other obligation from

any available revenues, assets or property and the securing of the bond, note, or other obligation by the mortgage of real property or the creation of any security interest in available revenues, assets, or other property.

- (e) Section 490(e) of the Home Rule Act provides that any act of the Council authorizing the issuance of revenue bonds, notes, or other obligations under section 490(a)(l) of the Home Rule Act may:
- (1) briefly describe the purpose for which the bonds, notes, or other obligations are to be issued;
 - (2) identify the act authorizing the purpose;
 - (3) prescribe the form, terms, provisions, ... manner, and method of issuing and selling, including sale by negotiation or by competitive bid, the bonds, notes, or other obligations;
 - (4) provide for the rights and remedies of the holders of the bonds, notes, or other obligations upon default;
 - (5) prescribe other details with respect to the issuance, sale, or securing of the bonds, notes, or other obligations; and
 - (6) authorize the Mayor to take any actions in connection with the issuance, sale, delivery, security, and payment of the bonds, notes, or other obligations, including the prescribing of terms or conditions not contained in the act of the Council.
 - (f) The Non-Profit Organization has applied to the District for assistance in financing and refinancing costs

of acquisition of the facility.

- (1) The Non-Profit Organization has requested the District to issue, sell, and deliver revenue bonds in an aggregate principal amount not to exceed \$3,500,000 (the Non-Profit Organization having determined that the District's assistance in financing and refinancing costs of acquisition of the facility will require the issuance, sale, and delivery of revenue bonds in an aggregate principal amount not to exceed \$3,500,000), and to loan the proceeds received from the sale of the revenue bonds to the Non-Profit Organization.
- (2) The various facilities which comprise or which will comprise the facility constitute "health facilities" or "recreational facilities" or "commercial development", each within the meaning of the Home Rule Act.
- (3) The acquisition of the facility will provide for improvements in the training and development of retarded persons, promote an increase in gainful employment in the District of Columbia, contribute to community betterment and generally assist in promoting commercial development and the expansion of business and industry in the District of Columbia, and as such constitutes an undertaking in the area of "recreational facilities" or an undertaking in the area of "health facilities" or an undertaking in the area of "commercial development", each within the meaning of the Home Rule Act.
- (4) The authorization, issuance, sale, and delivery of the Bonds and the making of the Loan to the

Non-Profit Organization of the proceeds received from the sale of the Bonds pursuant to section 490 of the Home Rule Act and this act, in order to provide assistance to the Non-Profit Organization in connection with financing and refinancing costs of acquisition of the facility, is desirable and in the public interest, will promote the purpose and intent of the Home Rule Act, and will assist, facilitate, and expedite the acquisition of the facility.

- Sec. 4. Authorization for Financing and
 Refinancing Costs of Acquisition of
 the Facility
- (a) The District is authorized to participate and assist in financing and refinancing costs of acquisition of the facility by the issuance, sale, and delivery of the Bonds and the making of the Loan pursuant to and in accordance with to the Home Rule Act and this act.
- (b) The District is authorized to issue, sell, and deliver the Bonds, at any time and from time to time, in one or more series, in an aggregate principal amount not to exceed \$3,500,000. The District is authorized to use the proceeds received from the sale of the Bonds shall be used to pay issuance costs with respect to the Bonds, to make the Loan for the purpose of financing and refinancing costs of acquisition of the facility and to fund required reserves with respect to the Bonds.
 - (c) The District is authorized to make the Loan.
- (d) Payment of the Bonds shall be secured as provided in the financing documents.

- any revenue bonds for the benefit of the Non-Profit
 Organization or to participate in or assist the Non-Profit
 Organization in any way with the financing or refinancing of
 the costs of acquisition of any facilities. Nothing
 contained in this act, the Bonds, or any of the financing
 documents shall be construed as obligating the District to
 issue any bonds for the benefit of the Non-Profit
 Organization, and the Non-Profit Organization shall have no
 claims for damages or for any other legal or equitable
 relief against the District, its elected officials,
 officers, employees, or agents as a consequence of any
 failure to issue any bonds for the benefit of the Non-Profit
 Organization.
- interest on the Bonds shall be payable solely from the proceeds received from the sale of the Bonds, income realized from the temporary investment of those proceeds, receipts and revenues realized by the District from the Loan, income realized from the temporary investment of those receipts and revenues prior to payment to the bondholders, other moneys which may be made available to the District for the payment of the Bonds, and other sources, other than the District, of payment provided in the financing documents.

 Nothing contained in the Bonds or the financing documents shall create any obligation on the part of the District to make payment with respect to the Bonds from other than the sources listed for that purpose in this subsection.

Sec. 5. Bonds.

- (a) The Bonds shall be issued in accordance with the terms and conditions of a trust instrument to be entered into by the District and a trustee to be selected by the Non-Profit Organization, the selection of the trustee to be subject to the approval of the Mayor.
- (b) The Bonds shall be in substantially the form of the bond attached to this act, which form is approved by the Council, except that, within the limitations of the Home Rule Act and this act, the Mayor is authorized and empowered to prescribe, determine, and approve all matters and details relating to the Bonds, and all forms, documents, and procedures necessary, desirable or appropriate to the authorization, preparation, execution, issuance, sale, and delivery of, security for, and payment of, the Bonds, including, but not limited to:
- (1) the final form, designation, tenor, terms and conditions of, and provisions for the registration and transfer of, the Bonds;
- (2) the principal amount of the Bonds (which shall not exceed the aggregate principal amount authorized to be issued by this act) to be issued at any one time and from time to time and the denominations of the Bonds;
- (3) the rates of interest or the method for determining the rates of interest on the Bonds;
- (4) the dates of issuance, sale, and delivery of the Bonds and the dates of maturities of the Bonds;
 - (5) the times and place or places of payment of

the Bonds;

- (6) the security for the Bonds;
- (7) the creation of any reserve fund, sinking fund, or other fund with respect to the Bonds; and
- (8) the terms and conditions under which the Bonds may be called or put for redemption before their stated maturities.
- (c) The Bonds may be issued at any time or from time to time and in one or more series. Each series of the Bonds shall be identified by the year of issue or by some other or additional appropriate designation.
- (d) The Bonds shall be executed in the name of the District and on its behalf by the Mayor, with the Mayor's manual or facsimile signature.
- (e) The official seal of the District or a facsimile of it shall be impressed, printed, or otherwise reproduced on the Bonds and attested by the Secretary, with the Secretary's manual or facsimile signature.
- the Bonds, on behalf of the District, with those additions, deletions, and modifications that the Mayor considers necessary, desirable, or appropriate, on the advice of bond counsel or otherwise, to carry out the purposes of this act. The Mayor's execution of the Bonds shall constitute conclusive evidence of the Mayor's approval, on behalf of the District, of the final form and content of the Bonds. The Secretary is authorized and empowered to seal the Bonds on behalf of the District. The Mayor is further authorized

and empowered to deliver the executed and sealed Bonds, on behalf of the District, to the trustee for authentication, and, after the trustee has authenticated the Bonds, to deliver the Bonds to the original purchasers of the Bonds upon payment of the purchase price.

- (g) The trustee for the Bonds is authorized, empowered, and directed to authenticate the Bonds and to deposit and disburse the amount of the purchase price received for the Bonds as provided in the trust instrument pursuant to and in accordance with which the Bonds are issued.
- (h) The Bonds of any series may be sold at private or public sale at, above, or below par and in a manner, at times, on dates, to a person or entity and upon terms that the Mayor considers to be in the best interests of the District.
 - Sec. 6. Financing Documents and Closing Documents.
- (a) The forms of the financing documents attached to this act are approved by the Council, except that, within the limitations of the Home Rule Act and this act, the Mayor is authorized and empowered to prescribe, determine, and approve the final form and content of all financing documents and all closing documents which may be necessary, desirable, or appropriate to effectuate and close the issuance, sale, and delivery of the Bonds and the making of the Loan.
 - (b) Each of the financing documents and each of the

- (c) The official seal of the District or a facsimile of it shall be impressed, printed, or otherwise reproduced on the financing documents and the closing documents to which the District is a party and attested by the Secretary, with the Secretary's manual or facsimile signature.
- (d) The Mayor is authorized and empowered to execute each of the financing documents and each of the closing documents, on behalf of the District, with those additions, deletions, and modifications that the Mayor considers necessary, desirable, or appropriate, on the advice of bond counsel or otherwise, to carry out the purposes of this act. The Mayor's execution of the financing documents and the closing documents shall constitute conclusive evidence of the Mayor's approval, on behalf of the District, of the final form and content of the executed financing documents and the executed closing documents. The Secretary is authorized and empowered to seal the financing documents and the closing documents, as appropriate, on behalf of the District. The Mayor is further authorized and empowered to deliver the executed and sealed financing documents and closing documents, on behalf of the District, prior to or simultaneously with the issuance, sale, and delivery of the Bonds, and to perform the obligations of the District contained in the executed and delivered financing documents and closing documents.

- (e) It is the intent and purpose of this act that any instrument or document executed on behalf of and in the name of the District in connection with financing and refinancing costs of acquisition of the facility shall be considered to have been executed pursuant to the authority conferred by this act.
- (f) Each of the financing documents and each of the closing documents to which the District is not a party shall be approved, as to form and content, by the Mayor.

Sec. 7. Additional Authority.

In addition to the authority conferred on the Mayor by this act with respect to the Bonds, the financing documents, and the closing documents, the Mayor is authorized and empowered, with respect to the issuance, sale, and delivery of the Bonds and the making of the Loan, on behalf of the District, to execute, acknowledge, seal, deliver, and perform, and to receive and accept, all other documents, agreements, certificates, and instruments as may be necessary, desirable, or appropriate to effectuate the issuance, sale, and delivery of the Bonds and the making of the Loan, and to take all other actions consistent with this act necessary, proper, expedient, desirable appropriate in order to effectuate the issuance, sale, and delivery of the Bonds and the making of the Loan, including, but not limited to, the establishment of procedures for monitoring the use of the proceeds received from the sale of the Bonds to ensure that they are properly applied to the costs of the facility and used to accomplish the purposes of the Home

Rule Act.

Sec. 8. Authorized Delegation of Authority.

The Mayor may delegate to any authorized delegate the performance of any act authorized to be performed by the Mayor or the Secretary under this act.

- Sec. 9. Limited Liability.
- (a) The Bonds shall constitute special obligations of the District, shall not constitute general obligations of the District, and shall not constitute a pledge of or involve the faith and credit or the taxing power of the District, shall not constitute a debt of the District, and shall not constitute a prohibited lending of the public credit for private undertakings under section 602(a)(2) of the Home Rule Act. The Bonds shall contain a legend which shall provide generally that the Bonds do not constitute a general obligation of the District of Columbia, do not constitute a pledge of or involve the full faith and credit or the taxing power of the District of Columbia, do not constitute a debt of the District of Columbia, and do not constitute a lending of the public credit for private undertakings as provided in section 602(a)(2) of the Home Rule Act.
 - (b) The Bonds shall never constitute or give rise to any pecuniary liability of the District, except that nothing contained in any financing document shall be construed to preclude any action or proceeding, other than that element in any action or proceeding involving a claim for monetary damages, in any court or before any governmental body,

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agency, or instrumentality against the District or any of its elected officials, officers, or employees, to enforce the provisions of any financing document.

- (c) The District shall have no liability or obligation for the payment of any issuance costs, or other fees, costs, charges, or expenses which have been or will be incurred in connection with the authorization, preparation, printing, issuance, sale, and delivery of the Bonds or the making of the Loan.
- (d) All covenants, obligations, and agreements of the District contained in this act, the Bonds, the financing documents, and the closing documents to which the District is a party shall be considered to be the covenants, obligations, and agreements of the District to the fullest extent authorized by law, and each of those covenants, obligations, and agreements shall be binding upon the District and its successors, except that no person, including the Non-Profit Organization and any bondholder, shall have any claims against the District or any of its elected officials, officers, employees, or agents for damages suffered as a result of the District's failure to perform any covenant, undertaking, or obligation under the Bonds, the financing documents, or the closing documents, nor as a result of the incorrectness of any representation in or omission from the financing documents or the closing documents, unless the District or its elected officials, officers, employees or agents have willfully acted in a fraudulent manner.

- Sec. 10. District of Columbia Officials.
- (a) All rights, powers, and privileges conferred and duties and liabilities imposed upon the District by this act, the Bonds, the financing documents, or the closing documents, shall be exercised or performed by those officials, employees, or agents of the District required or permitted by law to exercise or perform them. No covenant, obligation, or agreement contained in this act, the Bonds, the financing documents, or the closing documents shall be considered to be a covenant, obligation, or agreement of any elected official, officer, employee, or agent of the District in his or her individual capacity.
- (b) The signature, countersignature, facsimile signature, or facsimile countersignature of any officer appearing on the Bonds, the financing documents, or the closing documents shall be valid and sufficient for all purposes, notwithstanding the fact that the officer ceases to be that officer before the delivery of the Bonds, the financing documents, or the closing documents.

Sec. 11. Maintenance of Documents.

True copies of the final financing documents and closing documents shall be filed in the Office of the Mayor.

Sec. 12. Information Reporting.

All actions taken by the Mayor which relate to the execution and delivery of the Bonds shall be reported to the Council, for its information, within 10 days after the action is taken. Within 3 days after the Mayor's receipt of the transcript of proceedings relating to the issuance of

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the Bonds, the Mayor shall transmit a copy of the transcript to the Chairman of the Council.

Sec. 13. Disclaimers.

- (a) Nothing contained in this act shall obligate the Mayor or the District to issue any bonds, and the issuance of any bonds pursuant to this act shall be in the discretion of the District.
- (b) The Council, by enacting this act or by taking any other action in connection with the financing and refinancing of the costs of acquisition of the facility, does not and cannot provide any assurance that the facility is viable or sound, that the Non-Profit Organization is financially sound, or that amounts owing on the Bonds or the Loan will be paid. Neither the Non-Profit Organization, nor any purchaser of the Bonds, nor any other person shall rely upon the District with respect to those matters.
- (c) The District shall have no obligation with respect to the purchase of the Bonds.

Sec. 14. Validity of Authorized Bonds.

No misrepresentation, breach of covenant or breach of warranty by any party of any provision contained in the bonds or in any financing documents or in any closing documents or other instrument shall in any way affect the validity of the Bonds issued pursuant to this act. Nor shall the validity of the Bonds issued pursuant to this act be affected in any way by the failure of any person to perform any ministerial act provided in this act to be performed.

Sec. 15. Severability.

If any provision of this act or the application of it to any person or circumstance is held to be unconstitutional or beyond the statutory authority of the Council, or otherwise invalid, the invalidity shall not affect other provisions or applications of this act which can be given effect without the invalid provision or application, and to this end the provisions of this act are declared to be severable.

Sec. 16. Expiration.

If the Bonds are not issued, sold, and delivered to the original purchaser within two years from the effective date of this act, the authorization provided in this act with respect to the issuance, sale, and delivery of the Bonds shall expire.

Sec. 17. Effective Date.

This act shall take effect after a 30-day period of Congressional review following approval by the Mayor (or in the event of veto by the Mayor, action by the Council of the District of Columbia to override the veto) as provided in section 602(c)(1) of the District of Columbia
Self-Government and Governmental Reorganization Act,

approved December 24, 1973 (87 Stat. 813; D.C. Code, sec. 1-233(c)(1)).

Chairman

Council of the District of Columbia

Mayor

District of Columbia

APPROVED: January 11, 1985

EXHIBIT A
District of Columbia Association
for Retarded Citizens, Inc., Facility

As described in the Non-Profit Organization's Application to the Office of Business and Economic Development, dated April 23, 1982, supplemented October 7, 1983, and as may be later supplemented prior to the issuance of the Bonds, the facility is comprised generally of various facilities (including, without limitation, land, interests in land, buildings, structures, machinery, equipment, furnishings and other real and personal property) which have been, are being, or will be, acquired, purchased constructed, reconstructed, improved, renovated, rehabilitated, restored, remodeled, repaired, relocated, equipped, expanded or extended, specifically including, but not limited to, a 31,500 square foot developmental center and a 7,450 square foot therapeutic gymnasium to be located on lot 0019 in square 3894, known by street address as 900 Varnum Street, N.E., in the District of Columbia, and those other "recreational facilities", "health facilities", facilities for "commercial development" and other facilities as may be designated by the Non-Profit Organization from time to time prior to the issuance of the Bonds, and which qualify for District revenue bond financing. The Non-Profit Organization has represented in its Application that the acquisition of the facility is part of the Non-Profit Organization's plan for development and/or improvement of, among other things, the Non-Profit Organization's programs for providing comprehensive training to, and employment services for, unemployed mentally retarded persons including improvement of pre-vocational training, vocational evaluation and training, sheltered workshop and work adjustment training and support services, e.g., counseling and speech therapy.



COUNCIL OF THE DISTRICT OF COLUMBIA

Council Period Five — Second Session

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CERTIFICATION RECORD

Secretary to the Council

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