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# Inverse modeling of NH<sub>3</sub> sources using CrlS remote sensing measurements

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#### **Abstract**

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Spatiotemporal uncertainty in NH<sub>3</sub> emissions in the US hinders prediction of environmental effects of atmospheric NH<sub>3</sub>. We conducted 4D-Var inversions using CrIS remote-sensing observations and GEOS-Chem to estimate monthly NH<sub>3</sub> emissions over the contiguous US at the 0.25° × 0.3125° resolution in 2014, finding they are 33% higher than the prior emissions which likely underestimated most agricultural emissions, especially intense springtime fertilizer and livestock sources over the Central US. However, decreases were found in the Central Valley, southern Minnesota, northern Iowa and southeastern North Carolina during warm months. These updates increased the correlation coefficient between modeled monthly mean NH3 and surface observations from 0.53 to 0.84, and reduced the normalized mean bias of annual mean simulated  $NH_3$  and wet  $NH_4^+$  by a factor of 1.3 to 12.7. Our satellite-based inversion approach thus holds promise for improving estimates of PM<sub>2.5</sub> and reactive nitrogen deposition throughout the world where NH<sub>3</sub> measurements are scarce.

## 1. Introduction

As the primary alkaline gas in the atmosphere, ammonia (NH<sub>3</sub>) is an important precursor to fine particulate matter (PM<sub>2.5</sub>), and it has effects on soil acidification, ecosystem stability, aerosol acidity, and climate change (Krupa 2003, Myhre et al 2009, Behera et al 2013, Nah et al 2018, Sutton et al 2011). NH<sub>3</sub> emissions are a key factor in PM<sub>2.5</sub> formation and its reduction has been reported as a cost-effective way to mitigate air pollution (Pinder et al 2007, Wu et al 2016). Additionally, as the increasingly dominant form of reactive nitrogen (Nr) (Du et al 2014, Ellis et al 2013, Li et al 2016), NH<sub>3</sub> plays a significant role in excessive NH<sub>3</sub> and NH<sub>4</sub><sup>+</sup> deposition that can harm

regional eco-biodiversity through eutrophication and acidification (Erisman et al 2013). To better understand and mitigate the environmental effects of NH<sub>3</sub>, accurate and up-to-date NH3 emission estimates are required.

Agricultural emissions are the main source of atmospheric NH<sub>3</sub> at national scales (Huang et al 2012, EEA 2017, U.S. EPA 2018), although locally non-agricultural sources can dominate (Felix et al 2014, Fenn et al 2018, Berner et al 2020). In the US, agricultural practices (livestock and fertilizer use) account for ~ 80% of national NH3 emissions, followed by biomass burning, transportation, industrial activities, residential activities, and other emissions (U.S. EPA 2018). Table 1 shows anthropogenic NH<sub>3</sub> emission inventories for the contiguous US in 2005 to 2014 ranging from 2.4 to 3.40 Tg N a<sup>-1</sup> (European CommissionEuropean Commission

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2011, Paulot *et al* 2014, Janssens-Maenhout *et al* 2015, Crippa *et al* 2018, Hoesly *et al* 2018). This large uncertainty in NH<sub>3</sub> emission inventories is due to scarce measurements of locally representative emission factors and out-of-date activity data (Beusen *et al* 2008, Holt *et al* 2017).

Alternatively, observations of NH<sub>3</sub> and its reaction products (i.e. NH<sub>4</sub><sup>+</sup>) can provide top-down constraints on NH<sub>3</sub> sources. In-situ wet deposition measurements were first used to constrain the seasonal cycle and magnitude of US NH<sub>3</sub> emissions by Gilliland *et al* (2003), which inspired similar subsequent studies (Pinder *et al* 2006, Henze *et al* 2009, Zhang *et al* 2012, Paulot *et al* 2014). Challenges with these approaches are limited in-situ observations and model uncertainties in precipitation and aerosol formation.

Satellite-based NH<sub>3</sub> observations can provide timely and spatially comprehensive constraints on NH<sub>3</sub> sources (Zhu et al 2013, Schiferl et al 2016, Zhang et al 2018, Van Damme et al 2018, Dammers et al 2019, Clarisse et al 2019). Atmospheric NH<sub>3</sub> is monitored from space by infrared spectrometers onboard the satellites TES, IASI, AIRS and CrIS (Shephard et al 2011, Van Damme et al 2014, Warner et al 2016, Shephard et al 2015). Zhu et al (2013) and Zhang et al (2018) derived NH<sub>3</sub> emissions from TES NH<sub>3</sub> observations for the globe and China, respectively, and found that bottom-up inventories generally underestimated agricultural NH<sub>3</sub> emissions during warm months. Schiferl et al (2016) used summertime IASI NH3 columns to constrain interannual variability of modeled NH3 over the contiguous US and explored the drivers of interannual variability. Most recently, Van Damme et al (2018) and Dammers et al (2019) derived NH<sub>3</sub> emissions from large pointsources using IASI and CrIS observations via a relationship between lifetime, atmospheric column concentrations and emissions, finding that the HTAP v2 inventory underestimates NH3 emissions from large point-sources over North America by a factor of 2.9 (Dammers et al 2019). To accurately estimate highresolution gridded NH<sub>3</sub> emissions using CrIS in an inversion, Li et al (2019) showed using pseudo observations that 4D-Var methods are needed to capture the impact of transport and the spatially variable role of HNO<sub>3</sub> and H<sub>2</sub>SO<sub>4</sub> on NH<sub>3</sub> lifetime. Thus, variability or uncertainty in NO<sub>x</sub> and SO<sub>2</sub> emissions needs to be accounted for in top-down estimates of NH<sub>3</sub> emissions (Yu et al 2018, Liu et al 2018).

Here we conduct the first 4D-Var inversions with CrIS NH<sub>3</sub> observations. Here we focus on 2014, as this is the first period for which a complete year of CrIS NH<sub>3</sub> retrievals were available. We use daytime CrIS NH<sub>3</sub> vertical profiles with GEOS-Chem and its adjoint (Henze *et al* 2007) to estimate gridded monthly NH<sub>3</sub> emissions over the contiguous US in 2014. CrIS NH<sub>3</sub> combines extensive spatial coverage, low noise and fine spatial resolution (Shephard *et al* 

2015); it has greater spatial coverage than TES, with global coverage similar to IASI and AIRS, and lower signal noise compared to other sensors (Zavyalov *et al* 2013), which improves sensitivity in the boundary layer. Our CrIS-derived NH<sub>3</sub> emissions are evaluated using surface NH<sub>3</sub> measurements from the AMoN (http://nadp.sws.uiuc.edu/AMoN/) and SEARCH (Hansen *et al* 2003) networks, and NH<sub>4</sub><sup>+</sup> wet deposition measurements from the NADP network (http://nadp.slh.wisc.edu/ntn/) in 2014.

## 2. CrIS NH<sub>3</sub> observations

CrIS is an infrared sounder onboard the Sunsynchronous satellite Suomi National Polar-orbiting Partnership (SNPP) (Tobin 2012) launched in October 2011 (used in this study) and the NOAA-20 launched in November 2017 (Glumb et al 2018). The first CrIS has a cross-track scanning swath width of 2200 km and a nadir spatial resolution of 14 km, which enables CrIS to achieves global coverage twice a day with daytime and nighttime overpasses at 13:30 local time (LT) and at 01:30 LT, respectively. NH<sub>3</sub> profile observations are retrieved through the CrIS Fast Physical Retrieval algorithm (CFPR), which minimizes the difference between measured and simulated spectral radiance in the NH<sub>3</sub> spectral feature around 967 cm<sup>-1</sup> (Shephard et al 2015). The CFPR algorithm uses three a priori NH<sub>3</sub> profiles, representative of polluted, moderately polluted, and clear conditions. For each NH<sub>3</sub> retrieval, one a priori profile is selected based on estimated NH3 signal (Shephard et al 2015). Pixel-specific a priori profiles and averaging kernels comprise the observation operator (H), which is essential for comparison between satellite retrievals and model simulations. We used highquality (QF = 5) daytime CrIS v1.5 NH<sub>3</sub> observations (Shephard et al 2020) over the North America domain [127°-65° W, 22°-57° N]. Daytime CrIS NH<sub>3</sub> observations have been validated by and show good agreement with ground-based and aircraft observations collected in select regions (Shephard et al 2015, Dammers et al 2017).

Figure 1 shows the spatial and seasonal variability of CrIS surface NH<sub>3</sub> concentrations in 2014. Higher NH<sub>3</sub> concentrations are found in warmer months over agricultural areas (like the central US, the Central Valley, southeastern Washington and southern Idaho), consistent with AIRS-based analysis of Warner *et al* (2017). CrIS detects a springtime peak over the north central US (South Dakota, Nebraska, western Iowa, northern Kansas), which is also detected by AIRS in March during 2014 to 2016 (Warner *et al* 2017) and is mainly caused by enhanced emissions from intense fertilizer use (Cao *et al* 2018), followed by minor contributions from temperature-driven increases in emissions. In contrast, summertime peaks over the Central Valley, the

**Table 1.**  $NH_3$  emission estimates (Tg N  $a^{-1}$ ) for the contiguous US.

Literature	Target year	Anthropogenic NH <sub>3</sub> emissions
Bottom-up		
NEI2008	2006	3.1
Paulot et al (2014)	2005-2008	$2.4^{a}$
EDGAR v4.2	2008	2.9
EDGAR v4.3.2	2010	$3.40^{c}$
HTAP v2	2010	2.95
CEDS_2018	2014	3.05
Top-down		
Zhang <i>et al</i> (2012)	2006-2008	2.3
Paulot et al (2014)	2005-2008	$2.8^{\mathrm{b}}$
Dammers2019	2013-2017	1.07 <sup>d</sup> (2.9 times HTAP v2)
This study	2014	3.91

<sup>&</sup>lt;sup>a</sup>Bottom-up anthropogenic NH<sub>3</sub> emissions, with agricultural emissions taken from MASAGE inventory.

south central US (North Texas and western Kansas), southeastern Washington and southern Idaho are likely caused by temperature-driven increases in emission factors of both livestock and fertilizer (Mikkelsen *et al* 2009, McQuilling and Adams 2015).

# 3. GEOS-Chem adjoint and 4D-Var inversion

The GEOS-Chem adjoint v35m, which is based on v8 of the forward model with updates through v9, is driven by Goddard Earth Observing System (GEOS-FP) assimilated meteorological fields with a horizontal resolution of 0.25° latitude × 0.3125° longitude and 47 vertical levels over a domain of 127°-65°W and 22°-57°N. Global 2° latitude × 2.5° longitude simulations are used for boundary conditions.

As  $\mathrm{NH_x}$  is not directly involved in other gasphase chemical reactions in GEOS-Chem (hereinafter referred to as GC), we construct an offline  $\mathrm{NH_x}$  simulation to reduce the computational cost of 4D-Var inversion at high resolution (0.25° latitude × 0.312 5° longitude) following Paulot *et al* (2014) and Zhang *et al* (2018). This simulation includes emissions, wet deposition (Liu *et al* 2001, Wang *et al* 2011, Amos et al 2012) and dry deposition (Wesely 1989, Wang *et al* 1998, Zhang *et al* 2001), transport of  $\mathrm{NH_3}$  and  $\mathrm{NH_4^+}$ , and  $\mathrm{NH_x}$  partitioning (Binkowski and Roselle 2003, Park *et al* 2004) driven by archived hourly  $\mathrm{SO_4^{2-}}$ , HNO<sub>3</sub>, and  $\mathrm{NO_3^-}$  concentrations from the standard  $\mathrm{O_3\text{-}NO_x\text{-}VOC\text{-}aerosol}$  simulation (hereinafter referred to as fullchem). We reduce the simulated

high-biased HNO<sub>3</sub> (Zhang *et al* 2012, Heald *et al* 2012) by 15% at each time step (10 minutes) following Heald *et al* (2012). The difference between monthly mean offline-simulated surface NH<sub>3</sub> and fullchem-simulated surface NH<sub>3</sub> in July is within 0.1% across the contiguous US.

We used NH<sub>3</sub> emissions in 2010 from HTAP v2 (Janssens-Maenhout *et al* 2015) as the prior anthropogenic emissions for global and regional simulations. NH<sub>3</sub> emissions for the contiguous US in HTAP v2 are from the NEI2008 emission inventory, on the lower end (2.95 Tg N a<sup>-1</sup>) of the range of previous estimates (table 1). No significant trend is found in NH<sub>3</sub> emissions from 2010 to 2014 in the US (Butler *et al* 2016). Changes in emissions of SO<sub>x</sub> and NO<sub>x</sub> can affect NH<sub>3</sub> column concentrations (Liu *et al* 2018, Yu *et al* 2018). Here we reduce SO<sub>2</sub> and NO<sub>x</sub> emissions over the US from HTAP v2 (originally for the year 2010) by 37.6% and 15.1%, respectively, as the emissions for the year 2014, according to EPA-based emission trends from 2010 to 2014 (Yu *et al* 2018).

Diurnal variability in NH<sub>3</sub> emissions and concentrations is a potential source of uncertainty in satellite-based emission estimates Dammers *et al* (2019) since there is only a single daytime overpass (13:30 LT for CrIS). We thus updated the standard GC simulation (driven by static monthly emissions, referred to as the GC default) to include diurnal variability of livestock NH<sub>3</sub> emissions following Zhu *et al* (2015), which enables GC (referred to as GC prior) to better reproduce the diurnal variability of the hourly surface NH<sub>3</sub> measurements from SEARCH (figure S1).

 $<sup>^{\</sup>mathrm{b}}$ Total NH $_{3}$  emission (anthropogenic + biomass burning + natural sources) estimate derived from NADP wet NH $_{4}^{+}$  deposition measurements.

<sup>&</sup>lt;sup>c</sup>Including NH<sub>3</sub> emissions from agricultural soils (AGS), agricultural waste burning (AWB), manure management (MNM), chemical processes (CHE), power industry (ENE), combustion for manufacturing (IND), non-metallic minerals production (NMM), energy for buildings (RCO), oil refineries and transformation industry (REF\_TRF), solid waste incineration (SWD\_INC), solid waste landfills (SWD\_LDf), shipping (TNR\_Ship), railways,pipelines, off-road transport (TNR\_Other), Road transportation (TRO), waste water handling (WWT) (Crippa *et al* 2018).

<sup>&</sup>lt;sup>d</sup>Only NH<sub>3</sub> emissions from 48 large point-sources (mainly industrial and agricultural sources) over North America.

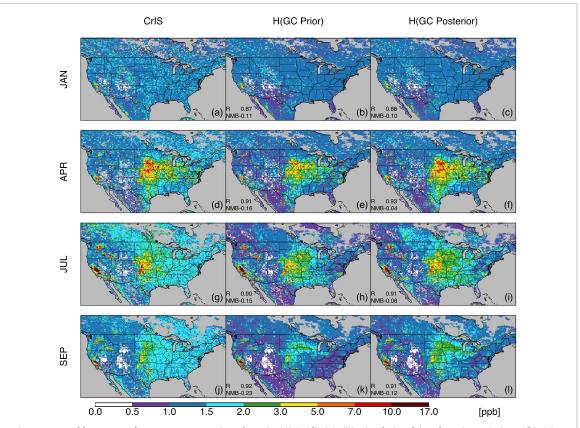


Figure 1. Monthly mean surface NH<sub>3</sub> concentrations from CrIS((a), (d), (g), (j)), simulation driven by prior emissions ((b), (e), (h), (k)), simulation driven by posterior emissions ((c), (f), (i), (l)), respectively, in January, April, July, and September in 2014.

We apply this updated GC model and its adjoint (Henze *et al* 2007) to our 4D-Var inversion, which iteratively minimizes the cost function *J*:

$$J = \gamma (\mathbf{x} - \mathbf{x}_a)^T \mathbf{S}_a^{-1} (\mathbf{x} - \mathbf{x}_a) + (\mathbf{H}(\mathbf{x}) - \mathbf{y}_o)^T \mathbf{S}_o^{-1} (\mathbf{H}(\mathbf{x}) - \mathbf{y}_o),$$
(1)

J is the mismatch between the observations  $(y_0)$  and the model (H(x)) plus the deviation of adjusted emission scaling factors (x, the ratio of adjusted emissions to prior emissions) from their initial values  $(x_a)$ .  $S_o$ is the observation error covariance matrix from the CrIS v1.5 retrieval product. Here we apply the CrIS linear averaging kernel (derived from the CrIS logarithmic averaging kernel) to the model simulation to convert the simulated NH<sub>3</sub> to observation space. The lower and upper bounds of x are set to be 0.5 and 5.0, respectively. We assume the diagonal elements of the prior emission error covariance matrix  $(S_a)$  are 100% and the correlation length is 100 km in latitudinal and longitudinal directions.  $\gamma$  is a regulation parameter introduced to balance the observation and penalty terms, determined to be 100 through an Lcurve approach (Hansen et al 1999). The minimization of equation (1) is found iteratively using the L-BFGS-B algorithm (Byrd et al 1995). The optimization is considered converged when the change across iterations of the cost function is less than  $10^{-4}$ .

## 4. Results

Figure 1 and table S1 (stacks.iop.org/ERL/15/104082/ mmedia) compare GC surface NH<sub>3</sub> concentrations driven by prior and posterior emissions (hereafter referred to as GC prior and GC posterior, respectively) to surface-level concentrations from CrIS NH<sub>3</sub> profiles for different months of 2014. With higher concentrations found over the central US and the Central Valley in warm months, GC prior generally captures the spatial pattern (R between 0.82 to 0.94 throughout the whole year except December, see table S1) and seasonal variability of surface NH3 observed by CrIS, but has a year-round low bias across most of the contiguous US with monthly domain NMB between -8% to -26% (table S1), indicating broad underestimation of emissions in the prior inventory. Meanwhile, high biases are found over the Central Valley, South Minnesota and North Iowa, as well as southeast North Carolina during warm months. Compared to GC prior, GC posterior better reproduces the magnitude, seasonality, and spatial variability in surface NH3 concentration from the CrIS profiles across most of the domain (especially over the central US during warmer months), with slightly improved R and significantly decreased NMB (between -0.02 to -0.12, see table S1) during most of the year, with the exception of November, December and January likely owing to smaller satellite instrument sensitivity to smaller surface concentrations in cold months. GC posterior also reduced the gap between modeled and observed vertical profiles most notably during warm months (figure S2) compared to GC prior.

Figures 2(a) and (b) show the prior and posterior annual anthropogenic NH $_3$  emissions, respectively. They have similar spatial patterns, but the posterior emissions are 33% higher than the prior annual emissions. Figure 2(c) shows increases across most of the contiguous US, especially over the central and northwest US (dominated by concentrated livestock operations and crop farming), while there were small-scale decreases (< 40%) in the Central Valley, South Minnesota and North Iowa, as well as southeast North Carolina.

Figure 2(d) shows that total posterior anthropogenic NH<sub>3</sub> emissions peak in summer and have a similar seasonal pattern as the prior emissions, but increased significantly throughout the whole year by a factor of 1.1 to 1.6, especially during warm months, despite small-scale decreases (figure S3) in the Central Valley and southeast North Carolina, as well as in Northwest Iowa and southwest Minnesota from March to October. The largest percentage increase (about 64%) was found in May, strongly suggesting large underestimates in agricultural activities and emission factors.

Combining spatial distributions of NH<sub>3</sub> emission from different animal types based on Carnegie Mellon University's livestock emission model (U.S. EPA 2018) and spatial and temporal distribution of fertilizer application rate from Cao et al (2018), as well as figure 2(c) and figure S3, we can roughly conclude which sources of NH<sub>3</sub> emissions might be biased in the prior inventory. The substantial underestimates over the central US (South Dakota, Nebraska, southwestern Kansas, northern Texas, and southern Wisconsin) and the northwestern US in spring are most likely due to underestimate of fertilizer use and manure application. The underestimate in northeastern Colorado and northern Texas during most of the year is likely caused by underestimated cattle emissions, consistent with previous studies (Battye et al 2016, Kille et al 2017) based on aircraft, ground, and satellite measurements. The moderate underestimate over most of the southeastern US is more likely due to poultry emissions, whereas the underestimate over southeastern North Carolina is more likely due to swine emissions. For southeastern Pennsylvania, the underestimate might be due to an underestimate of both livestock (swine and poultry) and fertilizer emissions during warm months. For the Delmarva peninsula area, the year-round underestimate is more likely caused by low emission factors of broiler chicken emissions (Russ and Schaeffer 2017).

While increases in NH<sub>3</sub> emissions occur over most of the domain, persistent decreases are found during warm months in a few areas (e.g. the Central Valley, northwest Iowa and southwest Minnesota, as well as Clinton and Duplin) with the densest cattle or swine population. These heterogeneous adjustments of NH<sub>3</sub> emissions might be caused by 1) biased emission factors due to lack of differentiation in animal size and age, pasture and feedlot cattle, and manure management techniques (Battye *et al* 2019), and 2) the potential underestimation of CrIS NH<sub>3</sub> over very high-emission areas (Dammers *et al* 2017).

Table 1 compares our posterior NH<sub>3</sub> emissions for the contiguous US in 2014 to previous bottomup (European Commission 2011, Janssens-Maenhout et al 2015, Hoesly et al 2018, Crippa et al 2018) and top-down (Zhang et al 2012, Paulot et al 2014, Dammers et al 2019) studies. Our CrIS-derived annual  $NH_3$  emission estimate is 3.91 Tg N  $a^{-1}$ , consistently higher than previous bottom-up estimates ranging from 2.4 to 3.40 Tg N a<sup>-1</sup> and ground-based measurement derived estimates between 2.3 and 2.8 Tg N a<sup>-1</sup>. The generally higher estimates derived from satellite observations are likely due to 1) its greater spatial coverage and detection of elevated concentrations above surface level in the NH<sub>3</sub> profiles, and 2) the high bias (< 5% for most values, but > 30% for small values) in CrIS NH3 concentrations (Dammers et al 2017). The difference between our estimate (1.3 times higher than HTAP v2 estimate) and that also derived from CrIS by Dammers et al (2019) (2.9 times higher than HTAP v2 estimate), might be due to the fact that Dammers et al (2019) used time-constant emission profiles for large point sources, targeted the years 2013 to 2017 while we only target the year 2014 which has lower CrIS NH<sub>3</sub> concentrations compared to other years (Shephard et al 2020), used several estimated constant lifetimes (average of 2.35 h), and did not remove the influence of the CrIS retrieval a priori NH<sub>3</sub> information. With proper diurnal emission profiles, top-down estimate from Dammers et al (2019) could be reduced by a factor of 2.0, which is 1.45 times higher than HTAP v2 estimate and much closer to our top-down estimate (1.3 times higher than HTAP v2 estimate) (Dammers 2020). For our 4D-Var inversion, application of averaging kernels has a larger impact on top-down NH3 emissions than diurnal variability of livestock emissions (see figure S5(a)).

Compared to previous top-down (Gilliland et al 2006, Henze et al 2009, Zhang et al 2012, Zhu et al 2013, Paulot et al 2014) and bottom-up (Pinder et al 2006, Cooter et al 2012, Paulot et al 2014, Janssens-Maenhout et al 2015) estimates, our posterior monthly emissions have similar summer/winter contrast, but generally higher magnitudes during most of the year except April, May and July. Our posterior estimates for mid-late spring (April and May) and July are lower than those from Gilliland et al (2006) based on NADP wet NH<sub>4</sub><sup>+</sup>, and Zhu et al (2013) based on TES NH<sub>3</sub>, respectively. The largest difference among these monthly estimates lies in the

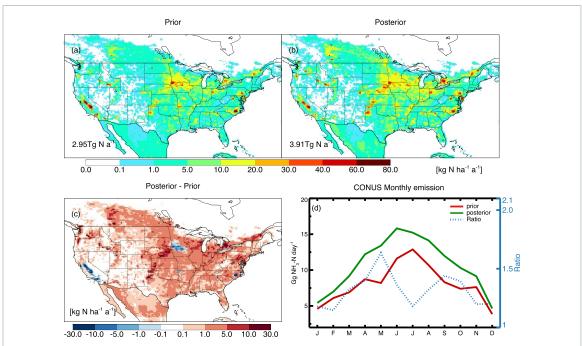


Figure 2. Spatial distribution of prior (a) and posterior (b) annual anthropogenic NH<sub>3</sub> emissions; (c) difference between posterior and prior annual anthropogenic NH<sub>3</sub> emissions; (d) prior (red solid) and posterior (green solid) monthly anthropogenic NH<sub>3</sub> emission for contiguous US, ratio of posterior/prior (blue dotted line).

spring/summer contrast. Wet NH<sub>4</sub><sup>+</sup>-based emissions (Gilliland et al 2006, Pinder et al 2006, Paulot et al 2014) are generally higher in spring than in summer likely due to most of the NADP-wet NH<sub>4</sub> monitoring sites being more representative of agricultural land cover (Bigelow et al 2001) where fertilizer use and manure application peak in spring, while satellite NH<sub>3</sub>-based estimates, such as Zhu et al (2013) and our study, are always higher in summer likely due to the satellite's more uniform spatial coverage that captures the agricultural emission increases (both in livestock and fertilizer use) driven by increasing ambient temperature throughout the domain (figures 1(d) and (g)). Meanwhile, in-situ measurementbased inversions are prone to underestimating small but widespread NH<sub>3</sub> emissions due to the sparsity of monitoring sites. The data filtering (retaining only pixels with Quality Flag of 5) in our inversion and the averaged 15% high bias in CrIS NH3 retrieval over the US compared to AMoN measurements (Kharol et al 2018) might also contribute to our generally higher posterior NH3 emissions, especially over the East US.

To further evaluate our CrIS-derived NH<sub>3</sub> emissions, we next compare prior and posterior simulated surface NH<sub>3</sub> and wet NH<sub>4</sub><sup>+</sup> concentrations against measurements from the AMoN, NADP, and SEARCH monitoring networks. For AMoN sites, we filtered out those sites with monthly mean beyond the monthly domain average by three times the standard deviation.

Correlation coefficients between time series of monthly mean GC surface concentrations and AMoN NH<sub>3</sub> measurements in 2014 for each site before and

after emission optimization, respectively, are shown in figures 3(a) and (b). GC prior generally captures the spatial pattern (R between 0.51 and 0.81 throughout the whole year except February, figure S4) and seasonal variability in AMoN NH3, but only 11 out of 65 sites had a correlation coefficient greater than 0.6. Ten sites (AZ98, FL11, GA40, GA41, MD99, NS01, NY96, OH02, SC05, WV18) have R less than -0.1. Most of these ten sites are located where NH<sub>3</sub> emissions are small (less than  $5 \text{ kg N ha}^{-1} \text{ a}^{-1}$ , see figure 2(a)). Emission optimization enables the model to better reproduce the observed seasonal variability, increasing the number of sites with R greater than 0.6 to 30 and further leading to a moderate increase (from 0.53 to 0.84) in R between domain average monthly mean GC and AMoN measurements.

Figures 3(c) and (d) show the normalized mean bias of annual mean GC surface NH<sub>3</sub> relative to AMoN NH<sub>3</sub> measurements for each site. Low bias is found in the annual mean GC prior at most sites. 36 of 65 sites have NMB magnitude greater than 0.4. Emission optimization largely reduces the low bias for most sites at the annual scale, leading to an approximately three-fold decrease in domain NMB (from -0.45 to -0.16) at the annual scale. The number of sites with NMB magnitude greater than 0.4 is reduced to 28. Moreover, the magnitude of posterior monthly domain NMB is also reduced by a factor between 1.4 and 12.7 throughout the year except November (see figure S4).

Similar but less significant improvement is found in comparison with domain average monthly mean NADP wet deposition of NH<sub>4</sub><sup>+</sup> in 2014 (figure 3(e)). GC-simulated NH<sub>4</sub><sup>+</sup> wet deposition consists of wet

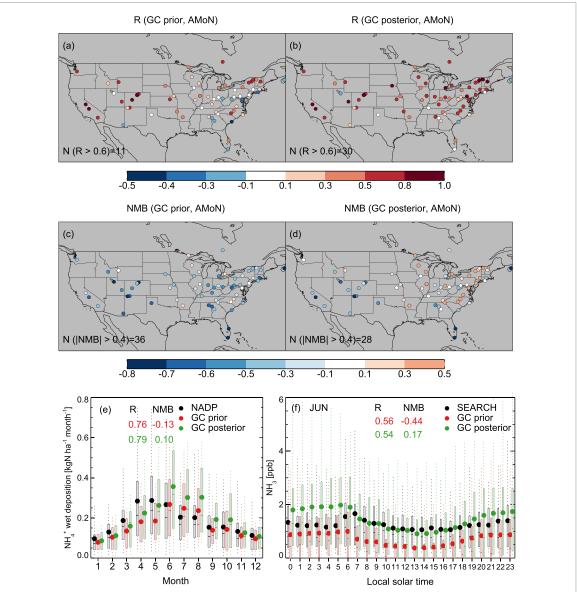


Figure 3. (a) Correlation coefficient between prior monthly mean GC surface NH<sub>3</sub> and monthly mean AMoN NH<sub>3</sub> measurements; (b) posterior correlation coefficient; (c) normalized mean bias of prior annual mean GC surface NH<sub>3</sub> relative to annual mean AMoN NH<sub>3</sub> measurements; (d) posterior normalized mean bias; (e) Boxplot of monthly mean of GC prior and GC posterior NH<sub>4</sub><sup>+</sup> wet deposition, as well as NADP NH<sub>4</sub><sup>+</sup> wet deposition; (f) Boxplot of hourly mean of GC prior and GC posterior surface NH<sub>3</sub>, as well as SEARCH NH<sub>3</sub> in June.

deposition of aerosol-phase NH<sub>4</sub><sup>+</sup> and gas-phase NH<sub>3</sub>. To remove the bias caused by the difference between measured and simulated precipitation, we scaled the measured wet  $NH_4^+$  by the ratio of modeled and measured precipitation, NADP wet NH<sub>4</sub><sup>+</sup> × (P<sub>model</sub>/P<sub>NADP</sub>)<sup>0.6</sup>, following Paulot et al (2014). We only compared simulated wet NH<sub>4</sub><sup>+</sup> to measurements with P<sub>model</sub>/P<sub>NADP</sub> between 0.25 and 4.0 (Paulot et al 2014). NADP-measured NH<sub>4</sub><sup>+</sup> wet deposition is higher in warm months and peaks in spring, likely due to intense fertilizer and manure application. GC prior can capture the general summer/winter contrast but underestimates the magnitude during most of the year, especially in spring. Our CrIS-derived NH<sub>3</sub> emissions improve the overall ability of the model to reproduce NADP wet measurements with a slight

increase in R (from 0.76 to 0.79) and a slight decrease in NMB magnitude (from -0.13 to 0.10), although GC posterior still misses the springtime peak. The maximum percentage increase in anthropogenic emissions in the spring (figure 2(d)) is consistent with the higher springtime emissions reflected by NADP wet NH $_{\odot}^{+}$ .

Figure 3(f) shows another evaluation using hourly measurements of surface  $\mathrm{NH}_3$  collected from five SEARCH sites (BHM, CTR, JST, OLF, YRK) in June 2014. While having a similar diurnal cycle as GC prior, GC posterior better reproduces the magnitude of monthly-averaged hourly SEARCH  $\mathrm{NH}_3$ , reducing the NMB from -0.44 to 0.17 in June. A substantial decrease from -0.38 to 0.03 in NMB is found at the annual scale.

#### 5. Discussion and conclusions

This study presents the first 4D-Var inversion of  $NH_3$  using CrIS measurements. CrIS-derived monthly  $NH_3$  emissions are higher than HTAP v2 emissions across most of the contiguous US and throughout most of the year, despite occasional small decreases (< 40%), revealing a substantial underestimate of  $NH_3$  emissions from springtime fertilizer and manure application over the central US and widespread underestimation of total agricultural  $NH_3$  emissions (fertilizer + manure) associated with warm temperature

New CrIS observations since 2018 (Glumb *et al* 2018) could help future studies constrain long-term NH<sub>3</sub> trends. We also emphasize that use of an observation operator (which contains critical information about the instrument's sensitivity to NH<sub>3</sub>) is critical for making comparisons between model simulations and CrIS NH<sub>3</sub> profiles. For example, without consideration of the observation operator the difference between simulations and CrIS observations at surface level over polluted areas in July 2014 is three times higher than when using the observation operator (see figure S5 (a)), and commensurate differences could be expected in top-down emission estimates.

Given the critical role of NH<sub>3</sub> in PM<sub>2.5</sub> formation and excessive deposition of Nr, evaluation of NH<sub>3</sub> emission is important for environmental policy. A series of NH<sub>3</sub> emission control policies have been implemented in Europe (Giannakis *et al* 2019). However, NH<sub>3</sub> emissions in the US have not been regulated for multiple reasons, one of which is the difficulty of emission monitoring (United States Department of Agriculture 2014). Top-down NH<sub>3</sub> emissions at high spatial resolution (0.25°  $\times$  0.3125°) derived from CrIS observations may provide future policy makers quantitative support for monitoring changes in NH<sub>3</sub> emissions.

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hpfx.collab.science.gc.ca/mas001/satellite\_ext/cris/snpp/nh3/v1\_5/global/2014/). GEOS-Chem adjoint v35m source code is available online (http://wiki.seas.harvard.edu/geos-chem/index.php/GEOS-Chem\_Adjoint).

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