## MODULE TunableMongoDB EXTENDS Naturals, FiniteSets, Sequences, TLC constants and variables CONSTANTS Client, Server, the set of clients and servers Key, Value, the set of keys and values Nil, model value, place holder Op Times, op count at most PtStop, max physical time NumberwriteConcern number VARIABLES Primary, Primary node Secondary, secondary nodes Oplog,oplog[s]: oplog at server[s]Store,store[s]: data stored at server[s]Ct, Ct[s]: cluster time at node sOt, Ot[s]: the last applied operation time at server s InMsqc, InMsqc[c]: the channel of messages at client $c \in Client$ InMsgs,InMsgc[s]: the channel of messages at server $s \in Server$ ServerMsq, ServerMsq[s]: the channel of heartbeat msgs at server s BlockedClient, BlockedClient: Client operations in progress BlockedThread, BlockedThread: blocked user thread and content OpCount, OpCount[c]: op count for client c Pt, Pt[s]: physical time at server s Cp, Cp[s]: majority commit point at server sState, State[s]: the latest Ot of all servers that server s knows CalState, CalState: sorted State[Primary] SnapshotTable, SnapshotTable[s]: snapshot mapping table at server sHistory History[c]: History sequence at client cAssume $Cardinality(Client) \geq 1$ at least one clinet Assume $Cardinality(Server) \geq 2$ at least one primary and one secondary ASSUME $Cardinality(Key) \ge 1$ at least one object ASSUME Cardinality(Value) > 2 at least two values to update $\overline{HLCLt}(x, y) \stackrel{\Delta}{=} \text{ if } x.p < y.p$ THEN TRUE ELSE IF x.p = y.pTHEN IF x.l < y.lTHEN TRUE ELSE FALSE ELSE FALSE $HLCMin(x, y) \stackrel{\triangle}{=} \text{ if } HLCLt(x, y) \text{ Then } x \text{ else } y$

 $HLCMax(x, y) \triangleq \text{if } HLCLt(x, y) \text{ Then } y \text{ else } x$ 

 $HLCType \stackrel{\triangle}{=} [p:Nat, l:Nat]$ 

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\begin{array}{ll} \mathit{Min}(x,\,y) \; \stackrel{\triangle}{=} \; \text{IF} \; x < y \; \text{THEN} \; x \; \text{ELSE} \; \; y \\ \mathit{Max}(x,\,y) \; \stackrel{\triangle}{=} \; \text{IF} \; x > y \; \text{THEN} \; x \; \text{ELSE} \; \; y \end{array}
vars \triangleq \langle Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc, InMsgs, ServerMsg, BlockedClient, BlockedThree
RECURSIVE CreateState(_, _) init state
CreateState(len, seq) \stackrel{\triangle}{=} IF len = 0 Then seq
                                         ELSE CreateState(len - 1, Append(seq, [p \mapsto 0, l \mapsto 0]))
InitPrimary \triangleq Primary = CHOOSE \ s \in Server : TRUE
InitSecondary \triangleq Secondary = Server \setminus \{Primary\}
InitOplog \stackrel{\Delta}{=} Oplog = [s \in Server \mapsto \langle \rangle]
InitStore \triangleq Store = [n \in Server \cup Client \mapsto [k \in Key \mapsto Nil]]
InitCt \triangleq Ct = [n \in Server \cup Client \mapsto [p \mapsto 0, l \mapsto 0]]
InitOt \stackrel{\triangle}{=} Ot = [n \in Server \cup Client \mapsto [p \mapsto 0, l \mapsto 0]]
\begin{array}{l} \textit{InitInMsgc} \triangleq \textit{InMsgc} = [c \in \textit{Client} \mapsto \langle \rangle] \\ \textit{InitInMsgs} \triangleq \textit{InMsgs} = [s \in \textit{Server} \mapsto \langle \rangle] \\ \end{array}
InitServerMsg \triangleq ServerMsg = [s \in Server \mapsto \langle \rangle]
InitBlockedClient \triangleq BlockedClient = \{\}
InitBlockedThread \triangleq BlockedThread = [s \in Client \mapsto Nil]
InitOpCount \triangleq OpCount = [c \in Client \mapsto OpTimes]
InitPt \stackrel{\triangle}{=} Pt = [s \in Server \mapsto 1]
InitCp \stackrel{\triangle}{=} Cp = [n \in Server \cup Client \mapsto [p \mapsto 0, l \mapsto 0]]
InitCalState \stackrel{\triangle}{=} CalState = CreateState(Cardinality(Server), \langle \rangle)
                                                  create initial state(for\ calculate)
InitState \stackrel{\triangle}{=} State = [s \in Server \mapsto [s0 \in Server \mapsto
                                                                   [p \mapsto 0, l \mapsto 0]
\mathit{InitSnap} \ \stackrel{\triangle}{=} \ \mathit{SnapshotTable} = [s \in \mathit{Server} \mapsto \langle [\mathit{ot} \mapsto [p \mapsto 0, \, l \mapsto 0], \,
                                                                           store \mapsto [k \in Key \mapsto Nil] \rangle
InitHistory \stackrel{\triangle}{=} History = [c \in Client \mapsto \langle \rangle] History operation seq is empty
Init \triangleq
      \land \mathit{InitPrimary} \land \mathit{InitSecondary} \land \mathit{InitOplog} \land \mathit{InitStore} \land \mathit{InitCt}
      \land InitOt \land InitPt \land InitCp \land InitCalState \land InitInMsgc \land InitInMsgs
      \land InitServerMsg \land InitBlockedClient \land InitBlockedThread \land InitOpCount
      \land InitState \land InitSnap \land InitHistory
 snapshot
RECURSIVE SelectSnapshot_rec(_, _, _)
SelectSnapshot\_rec(stable, cp, index) \stackrel{\triangle}{=}
      IF HLCLt(cp, stable[index].ot) THEN stable[index - 1].store
       ELSE IF index = Len(stable) THEN stable[index].store
       ELSE SelectSnapshot\_rec(stable, cp, index + 1)
SelectSnapshot(stable, cp) \triangleq SelectSnapshot\_rec(stable, cp, 1)
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## snapshot periodly

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Snapshot \triangleq
     \land \exists s \in Server :
           SnapshotTable' = [SnapshotTable \ EXCEPT \ ![s] =
                                  Append(@, [ot \mapsto Ot[s], store \mapsto Store[s]])]
                                    create a new snapshot
     \land UNCHANGED \langle Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc,
                        InMsgs, ServerMsg, BlockedClient, BlockedThread,
                        OpCount, Pt, Cp, CalState, State, History
 commit point
RECURSIVE AddState(\_, \_, \_)
AddState(new, state, index) \triangleq \text{IF } index = 1 \land HLCLt(new, state[1]) \text{ THEN } \langle new \rangle \circ state \text{ less than the first}
                                       ELSE IF index = Len(state) + 1 THEN state \circ \langle new \rangle
                                       ELSE IF HLCLt(new, state[index]) THEN SubSeq(state, 1, index - 1) \circ (new)
                                       ELSE AddState(new, state, index + 1)
RECURSIVE RemoveState(_, _, _)
RemoveState(old, state, index) \triangleq IF \ state[index] = old \ THEN \ SubSeq(state, 1, index - 1) \circ SubSeq(state, index)
                                           ELSE RemoveState(old, state, index + 1)
AdvanceState(new, old, state) \triangleq AddState(new, RemoveState(old, state, 1), 1)
AdvanceCp \triangleq
     \land Cp' = [Cp \ \text{EXCEPT} \ ![Primary] = CalState[Cardinality(Server) \div 2 + 1]]
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsqc, InMsqs, ServerMsq, BlockedClient, I
 heartbeat
BroadcastHeartbeat(s) \triangleq
    LET msg \stackrel{\triangle}{=} [s \mapsto s, \ aot \mapsto Ot[s], \ ct \mapsto Ct[s], \ cp \mapsto Cp[s]]
         ServerMsg' = [x \in Server \mapsto \text{if } x = s \text{ Then } ServerMsg[x]]
                                                        ELSE Append(ServerMsq[x], msq)
ServerTakeHeartbeat \triangleq
     \land \exists s \in Server :
         \land Len(ServerMsg[s]) \neq 0 message channel is not empty
         \wedge Ct' = [Ct \text{ EXCEPT } ![s] = HLCMax(Ct[s], ServerMsg[s][1].ct)]
         \wedge State' =
            LET SubHbState \triangleq State[s]
                  hb \stackrel{\triangle}{=} [SubHbState \ \text{EXCEPT} \ ![ServerMsg[s][1].s] =
                           ServerMsg[s][1].aot]
            IN [State \ EXCEPT \ ![s] = hb]
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IF s = Primary primary node: update CalState

 $\wedge CalState' = \text{LET } newcal \stackrel{\triangle}{=}$ 

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THEN AdvanceState(ServerMsg[s][1].aot,
                                              State[s][ServerMsg[s][1].s], CalState)
                          ELSE CalState in newcal
         \wedge Cp' = \text{LET } newcp \triangleq
                    primary node: compute new mcp
                   IF s = Primary THEN CalState'[Cardinality(Server) \div 2 + 1]
                    secondary node: update mcp
                    ELSE IF \neg HLCLt(ServerMsg[s][1].cp, Cp[s])
                            \land \neg HLCLt(Ot[s], ServerMsg[s][1].cp)
                    THEN ServerMsg[s][1].cp
                    ELSE Cp[s]
                   IN [Cp \ EXCEPT \ ![s] = newcp]
        \land ServerMsg' = [ServerMsg \ EXCEPT \ ![s] = Tail(@)]
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ot, InMsqc, InMsqs,
         BlockedClient, BlockedThread, OpCount, Pt, SnapshotTable, History
 clock
UnchangedExPt \triangleq \langle Primary, Secondary, InMsgc, InMsgs, ServerMsg, Oplog, Store,
                         Ct, Ot, BlockedClient, OpCount
UnchangedExCt \triangleq \langle Primary, Secondary, InMsgc, InMsgs, ServerMsg, Oplog, Store,
                        Pt, Ot, BlockedClient, OpCount
\mathit{MaxPt} \ \stackrel{\triangle}{=} \ \mathit{Let} \ x \ \stackrel{\triangle}{=} \ \mathit{Choose} \ s \in \mathit{Server} : \forall \, s1 \in \mathit{Server} \setminus \{s\} :
                                     Pt[s] \ge Pt[s1]IN Pt[x]
NTPSync \stackrel{\Delta}{=}  simplify NTP protocal
     \land Pt' = [s \in Server \mapsto MaxPt]
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc, InMsgs,
                        ServerMsg, BlockedClient, BlockedThread, OpCount, Cp,
                        CalState, State, SnapshotTable, History
AdvancePt \triangleq
     \exists s \in Server :
          \wedge s = Primary
                                                   for simplicity
          \land Pt[s] \le PtStop
          \land Pt' = [Pt \text{ EXCEPT } ! [s] = @ + 1] advance physical time
          \land BroadcastHeartbeat(s)
                                                   broadcast heartbeat periodly
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc, InMsgs, State,
       BlockedClient, BlockedThread, OpCount, Cp, CalState, SnapshotTable, History
Tick(s) \stackrel{\triangle}{=} Ct' = \text{if } Ct[s].p \ge Pt[s] \text{ Then } [Ct \text{ except } ![s] = [p \mapsto @.p, l \mapsto @.l + 1]]
                                          ELSE Ct EXCEPT |s| = [p \mapsto Pt[s], l \mapsto 0]
 Replicate
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ReplicateOplog(s) \triangleq LET len\_s \triangleq Len(Oplog[s])
                                   len_{-}p \triangleq Len(Oplog[Primary])
                            IN IF s \neq Primary \land len\_s < len\_p
                                    THEN SubSeq(Oplog[Primary], len\_s + 1, len\_p)
Replicate \triangleq
     \land \exists s \in Secondary :
          \land ReplicateOplog(s) \neq \langle \rangle
          \land Oplog' = [Oplog \ EXCEPT \ ![s] = @ \circ ReplicateOplog(s)]
          \land Store' = [Store \ EXCEPT \ ![s] = Store[Primary]]
          \wedge Ct' = [Ct \text{ EXCEPT } ![s] = HLCMax(Ct[s], Ct[Primary])]
                                                                                          update Ct[s]
          \wedge Ot' = [Ct \ \text{EXCEPT} \ ![s] = HLCMax(Ot[s], Ot[Primary])]
          \land Cp' = [Cp \ \text{EXCEPT} \ ![s] = HLCMax(Cp[s], Cp[Primary])] \ \text{update} \ Cp[s]
          \wedge State' =
              LET SubHbState \triangleq State[s]
                    hb \stackrel{\triangle}{=} [SubHbState \ \text{EXCEPT} \ ![Primary] = Ot[Primary]]
                  [State EXCEPT ![s] = hb] update primary state s knows
          \wedge LET msg \stackrel{\Delta}{=} [s \mapsto s, aot \mapsto Ot'[s], ct \mapsto Ct'[s], cp \mapsto Cp'[s]]
                 ServerMsg' = [ServerMsg \ Except \ ![Primary]]
                                         = Append(ServerMsq[Primary], msq)
              we treat replSetUpdatePosition as a special heartbeat
          \land Unchanged \langle Primary, Secondary, InMsgc, InMsgs, BlockedClient,
                     BlockedThread, OpCount, Pt, CalState, SnapshotTable, History
 server get
 ServerGetReply\_local \stackrel{\Delta}{=}
    \land \exists s \in Server:
                                      \ * message channel is not empty
       \wedge Len(InMsgs[s]) \neq 0
       \land \mathit{InMsgs}[s][1].\mathit{op} = "\mathsf{get"} \ \backslash * \mathit{msg} \ \mathsf{type} \text{: get}
       \land InMsgs[s][1].rc = "local" \setminus * Read Concern: local
       \land \ Ct' = \ [ \ Ct \ \ \texttt{except} \ ![s] = \textit{HLCMax}(Ct[s], \ \textit{InMsgs}[s][1].ct) \ ]
       \land InMsgc' = [InMsgc \ EXCEPT \ ![InMsgs[s][1].c] =
          Append(@, [op \mapsto "get", k \mapsto InMsgs[s][1].k, v \mapsto
                   Store[s][InMsgs[s][1].k], ct \mapsto Ct'[s], ot \mapsto Ot[s]])
          \ * send msq to client
       \land InMsqs' = [InMsqs \ EXCEPT \ ![s] = Tail(@)]
    ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ot, ServerMsg,
               BlockedClient, BlockedThread, OpCount, Pt, Cp,
               CalState, State, SnapshotTable, History)
ServerGetReply\_local\_sleep \stackrel{\Delta}{=}
     \land \exists s \in Server :
          \wedge Len(InMsqs[s]) \neq 0
                                                message channel is not empty
          \wedge InMsgs[s][1].op = "get"
                                                 msq type: get
          \wedge InMsgs[s][1].rc = "local"
                                                Read Concern: local
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\land Ct' = [Ct \text{ EXCEPT } ![s] = HLCMax(Ct[s], InMsgs[s][1].ct)] Update Ct according to InMsg
         \land BlockedThread' = [BlockedThread \ EXCEPT \ ![InMsgs[s][1].c] =
                                 [type \mapsto \text{``read\_local''}, s \mapsto s, k \mapsto InMsgs[s][1].k, ot \mapsto InMsgs[s][1].ot]]
         \wedge InMsgs' = [InMsgs \ EXCEPT \ ![s] = Tail(@)]
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ot, InMsgc, ServerMsg,
                        BlockedClient, BlockedThread, OpCount, Pt, Cp,
                        CalState, State, SnapshotTable, History
ServerGetReply\_local\_wake \stackrel{\Delta}{=}
     \land \exists c \in Client:
         \land BlockedThread[c] \neq Nil
         \land BlockedThread[c].type = "read\_local"
         \land \neg HLCLt(Ot[BlockedThread[c].s], BlockedThread[c].ot) wait until Ot[s] \ge target ot
          \land \mathit{InMsgc'} = [\mathit{InMsgc} \ \mathtt{Except} \ ![c] = \mathit{Append}(@, [\mathit{op} \mapsto "\mathtt{get"}, \, k \mapsto \mathit{BlockedThread}[c].k, \, v \mapsto \mathit{InMsgc'}] 
                          Store[BlockedThread[c].s][BlockedThread[c].k], ct \mapsto Ct'[BlockedThread[c].s], ot \mapsto Ot[l]
              send msq to client
         \land BlockedThread' = [BlockedThread EXCEPT ! [c] = Nil]
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgs, ServerMsg,
                        BlockedClient, OpCount, Pt, Cp,
                        CalState, State, SnapshotTable, History
ServerGetReply\_majority\_sleep \triangleq
     \land \exists s \in Server :
         \land Len(InMsgs[s]) \neq 0
                                           message channel is not empty
         \wedge InMsgs[s][1].op = "get"
                                           msq type: get
         \land InMsgs[s][1].rc = "major" Read Concern: majority
         \wedge Ct' = [Ct \text{ EXCEPT } ![s] = HLCMax(Ct[s], InMsgs[s][1].ct)]
         \land BlockedThread' = [BlockedThread \ EXCEPT \ ![InMsgs[s][1].c] =
                                 [type \mapsto \text{``read\_major''}, s \mapsto s, k \mapsto InMsgs[s][1].k, ot \mapsto InMsgs[s][1].ot]]
         \land InMsgs' = [InMsgs \ EXCEPT \ ![s] = Tail(@)]
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ot, InMsgc, ServerMsg,
                        BlockedClient, OpCount, Pt, Cp,
                        CalState, State, SnapshotTable, History
ServerGetReply\_majority\_wake \stackrel{\triangle}{=}
     \land \exists c \in Client:
         \land BlockedThread[c] \neq Nil
         \land BlockedThread[c].type = "read\_major"
         \land \neg HLCLt(Ot[BlockedThread[c].s], BlockedThread[c].ot) wait until Ot[s] \ge target of
         \land InMsgc' = [InMsgc \ EXCEPT \ ![c] = Append(@, [op \mapsto "get", k \mapsto BlockedThread[c].k, v \mapsto b])
                          SelectSnapshot(SnapshotTable[BlockedThread[c].s], Cp[BlockedThread[c].s])[BlockedThread[c].s])
                           \mapsto Ct'[BlockedThread[c].s], ot \mapsto Cp[BlockedThread[c].s]])
              send msg to client
         \land BlockedThread' = [BlockedThread EXCEPT ! [c] = Nil]
     ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgs, ServerMsg,
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BlockedClient, OpCount, Pt, Cp,
CalState, State, SnapshotTable, History)
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ServerGetReply\_linearizable\_sleep \stackrel{\Delta}{=}
     \land \exists s \in Server :
         \wedge s = Primary
         \wedge Len(InMsqs[s]) \neq 0
         \wedge InMsqs[s][1].op = "get"
         \wedge InMsgs[s][1].rc = "linea" Read Concern: linearizable
         \wedge Tick(s) advance cluster time
         \land Oplog' = [Oplog \ EXCEPT \ ![Primary] =
                      Append(@, \langle Nil, Nil, Ct'[s] \rangle)]
                        append noop operation to oplog[s]
         \wedge Ot' = [Ot \text{ EXCEPT } ![s] = Ct'[s]]
                      advance the last applied operation time Ot[s]
         \wedge State' =
            LET SubHbState \triangleq State[s]
                  hb \triangleq [SubHbState \ EXCEPT \ ![Primary] = Ot'[Primary]]
                 [State EXCEPT ![s] = hb] update primary state
         \land CalState' = AdvanceState(Ot'[Primary], Ot[Primary], CalState)
         \wedge InMsqs' = [InMsqs \ EXCEPT \ ![s] = Tail(@)]
         \land BlockedThread' = [BlockedThread \ EXCEPT \ ![InMsgs[s][1].c] =
                                 [type \mapsto \text{``read\_linea''}, ot \mapsto Ct'[s], s \mapsto s, k
                                 \mapsto InMsgs[s][1].k, v \mapsto Store[s][InMsgs[s][1].k]]
                        add the user thread to BlockedThread[c]
     ∧ UNCHANGED ⟨Primary, Secondary, Store, InMsgc, ServerMsg, BlockedClient,
                        OpCount, Pt, Cp, SnapshotTable, History \rangle
ServerGetReply\_linearizable\_wake \triangleq
       \land \exists c \in Client:
         \land BlockedThread[c] \neq Nil
         \land BlockedThread[c].type = "read_linea"
         \land \neg HLCLt(Cp[BlockedThread[c].s], BlockedThread[c].ot) | cp[s] \ge target ot
         \land InMsgc' = [InMsgc \ EXCEPT \ ! [c] = Append(@, [op \mapsto "get", k])]
                          \mapsto BlockedThread[c].k, v \mapsto BlockedThread[c].v, ct
                          \mapsto Ct[BlockedThread[c].s], ot \mapsto BlockedThread[c].ot])]
         \land BlockedThread' = [BlockedThread EXCEPT ! [c] = Nil] remove blocked state
       ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgs,
                          ServerMsq, BlockedClient, OpCount, Pt, Cp,
                          CalState, State, SnapshotTable, History
 server put
ServerPutReply\_zero \triangleq
     \land \exists s \in Server :
         \wedge s = Primary
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\land InMsgs[s][1].op = "put"
                                          msg type: put
         \wedge InMsgs[s][1].wc = "zero"
                                          Write Concern: 0
         \wedge Tick(s)
                                          advance cluster time
         \land Ot' = [Ot \ EXCEPT \ ![Primary] = Ct'[Primary]]
                           advance the last applied operation time Ot[Primary]
         \land Store' = [Store \ EXCEPT \ ![Primary][InMsgs[s][1].k] = InMsgs[s][1].v]
         \land Oplog' = [Oplog \ EXCEPT \ ![Primary] =
                      Append(@, \langle InMsgs[s][1].k, InMsgs[s][1].v, Ot'[Primary]\rangle)]
                       append operation to oplog[primary]
         \wedge State' =
            LET SubHbState \stackrel{\Delta}{=} State[s]
                 hb \stackrel{\triangle}{=} [SubHbState \ \text{EXCEPT} \ ![Primary] = Ot'[Primary]]
                 [State EXCEPT ![s] = hb] update primary state
         \land CalState' = AdvanceState(Ot'[Primary], Ot[Primary], CalState)
         \wedge InMsqs' = [InMsqs \ EXCEPT \ ![s] = Tail(@)]
    \land Unchanged \langle Primary, Secondary, InMsgc, ServerMsg, BlockedClient,
                 BlockedThread, OpCount, Pt, Cp, SnapshotTable, History
DH modified: Add k and v message when block thread, and return them when wake
ServerPutReply\_number\_sleep \triangleq
     \land \exists s \in Server :
         \wedge s = Primary
         \wedge Len(InMsgs[s]) \neq 0
                                           message channel is not empty
         \wedge InMsgs[s][1].op = "put"
                                            msg type: put
         \wedge InMsgs[s][1].wc = "num"
                                            Write Concern: num
         \wedge Tick(s)
                                           advance cluster time
         \wedge Ot' = [Ot \ EXCEPT \ ![Primary] = Ct'[Primary]]
                           advance the last applied operation time Ot[Primary]
         \land Store' = [Store \ EXCEPT \ ![Primary][InMsgs[s][1].k] = InMsgs[s][1].v]
         \land Oplog' = [Oplog \ EXCEPT \ ![Primary] =
                      Append(@, \langle InMsgs[s][1].k, InMsgs[s][1].v, Ot'[Primary]\rangle)]
         \wedge State' =
            Let SubHbState \triangleq State[s]
                 hb \stackrel{\triangle}{=} [SubHbState \ \text{EXCEPT} \ ![Primary] = Ot'[Primary]]
                 [State EXCEPT ![s] = hb] update primary state
         \land CalState' = AdvanceState(Ot'[Primary], Ot[Primary], CalState)
         \land BlockedThread' = [BlockedThread EXCEPT ! [InMsgs[s][1].c] = [type]
             \mapsto "write_num", ot \mapsto Ot'[s], s \mapsto s, numnode \mapsto InMsqs[s][1].num,
            k \mapsto InMsgs[s][1].k, v \mapsto InMsgs[s][1].v]
                        add the user thHistory to BlockedThread[c]
         \land InMsgs' = [InMsgs \ EXCEPT \ ![s] = Tail(@)]
    \land UNCHANGED \langle Primary, Secondary, InMsgc, ServerMsg, BlockedClient,
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message channel is not empty

 $\wedge Len(InMsgs[s]) \neq 0$ 

## OpCount, Pt, Cp, SnapshotTable, History

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ServerPutReply\_number\_wake \stackrel{\Delta}{=}
       \land \exists c \in Client:
         \land BlockedThread[c] \neq Nil
         \land BlockedThread[c].type = "write\_num"
         \land \neg HLCLt(CalState[Cardinality(Server) - BlockedThread[c].numnode + 1],
                       BlockedThread[c].ot) CalState[s][n - num + 1] \ge target ot
         \land InMsgc' = [InMsgc \ EXCEPT \ ! [c] = Append(@, [op \mapsto "put", ct])]
                         \mapsto Ct[Primary], ot \mapsto BlockedThread[c].ot, k \mapsto BlockedThread[c].k, v \mapsto BlockedThread[c].k
         \land BlockedThread' = [BlockedThread EXCEPT ! [c] = Nil]
                                                                  remove blocked state
       ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgs,
                         ServerMsg, BlockedClient, OpCount, Pt, Cp,
                         CalState, State, SnapshotTable, History
 ***************************
DH modified: Add k and v message when block thread, and return them when wake
ServerPutReply\_majority\_sleep \triangleq
     \land \exists s \in Server :
        \wedge s = Primary
        \wedge Len(InMsgs[s]) \neq 0
        \land InMsgs[s][1].op = "put"
        \land InMsgs[s][1].wc = "major"
        \wedge Tick(s)
        \wedge Ot' = [Ot \ EXCEPT \ ![Primary] = Ct'[Primary]]
        \land Store' = [Store \ \ \texttt{EXCEPT} \ ! [Primary][InMsgs[s][1].k] = InMsgs[s][1].v]
        \land Oplog' = [Oplog \ EXCEPT \ ![Primary] =
                      Append(@, \langle InMsgs[s][1].k, InMsgs[s][1].v, Ot'[Primary]\rangle)]
        \wedge State' =
            LET SubHbState \triangleq State[s]
                 hb \stackrel{\triangle}{=} [SubHbState \ \text{EXCEPT} \ ![Primary] = Ot'[Primary]]
                [State EXCEPT ![s] = hb] update primary state
        \land CalState' = AdvanceState(Ot'[Primary], Ot[Primary], CalState)
        \land BlockedThread' = [BlockedThread \ EXCEPT \ ![InMsgs[s][1].c] = [type \mapsto "write\_major", ot]
                      \mapsto Ot'[s], s \mapsto s, k \mapsto InMsgs[s][1].k, v \mapsto InMsgs[s][1].v]
        \wedge InMsgs' = [InMsgs \ EXCEPT \ ![s] = Tail(@)]
    ∧ UNCHANGED ⟨Primary, Secondary, InMsqc, ServerMsq, BlockedClient, OpCount, Pt, Cp, SnapshotTab
ServerPutReply\_majority\_wake \stackrel{\triangle}{=}
       \land \exists c \in Client:
         \land BlockedThread[c] \neq Nil
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 $\land BlockedThread[c].type = "write_major"$ 

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\land \neg HLCLt(Cp[Primary], BlockedThread[c].ot)
                  \wedge InMsgc' = [InMsgc \ EXCEPT \ ![c] =
                         Append(@, [op \mapsto "put", ct \mapsto Ct[BlockedThread[c].s], ot \mapsto BlockedThread[c].ot, k \mapsto BlockedThrea
                  \land BlockedThread' = [BlockedThread EXCEPT ! [c] = Nil]
             ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgs, ServerMsg, BlockedClient, OpCour
  client get
ClientGetRequest\_local\_primary \triangleq
         \land \exists k \in Key, c \in Client \setminus BlockedClient :
                 \land InMsgs' = [InMsgs \ EXCEPT \ ![Primary] = Append(@,
                         [op \mapsto \text{"get"}, c \mapsto c, rc \mapsto \text{"local"}, k \mapsto k, ct \mapsto Ct[c], ot \mapsto Ot[c]])]
                 \land BlockedClient' = BlockedClient \cup \{c\}
         \land UNCHANGED \langle Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc, ServerMsg,
                                                BlockedThread, OpCount, Pt, Cp, CalState,
                                                State, SnapshotTable, History
ClientGetRequest\_local\_secondary \stackrel{\Delta}{=}
         \land \exists k \in Key, c \in Client \setminus BlockedClient, s \in Secondary:
                 \wedge InMsgs' = [InMsgs \ EXCEPT \ ![s] = Append(@,
                        [op \mapsto \text{``get''}, c \mapsto c, rc \mapsto \text{``local''}, k \mapsto k, ct \mapsto Ct[c], ot \mapsto Ot[c]])]
                 \land BlockedClient' = BlockedClient \cup \{c\}
         ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc, ServerMsg, BlockedThread, OpCount
ClientGetRequest\_majority\_primary \triangleq
         \land \exists k \in Key, c \in Client \backslash BlockedClient :
                 \wedge InMsgs' = [InMsgs \ EXCEPT \ ![Primary] = Append(@,
                         [op \mapsto \text{"get"}, c \mapsto c, rc \mapsto \text{"major"}, k \mapsto k, ct \mapsto Ct[c], ot \mapsto Ot[c]])]
                 \land BlockedClient' = BlockedClient \cup \{c\}
         ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsqc, ServerMsq, BlockedThread, OpCour
ClientGetRequest\_majority\_secondary \triangleq
         \land \exists k \in Key, c \in Client \setminus BlockedClient, s \in Secondary:
                 \wedge InMsgs' = [InMsgs \ EXCEPT \ ![s] = Append(@,
                        [op \mapsto \text{``get''}, c \mapsto c, rc \mapsto \text{``major''}, k \mapsto k, ct \mapsto Ct[c], ot \mapsto Ot[c]])]
                 \land BlockedClient' = BlockedClient \cup \{c\}
         ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc, ServerMsg, BlockedThread, OpCour
ClientGetRequest\_linearizable \triangleq
         \land \exists k \in Key, c \in Client \setminus BlockedClient :
                 \wedge InMsgs' = [InMsgs \ EXCEPT \ ![Primary] = Append(@,
                        [op \mapsto \text{"get"}, c \mapsto c, rc \mapsto \text{"linea"}, k \mapsto k, ct \mapsto Ct[c], ot \mapsto Ot[c]])]
                 \land BlockedClient' = BlockedClient \cup \{c\}
         \(\triangle\) UNCHANGED \(\langle\) Primary, Secondary, Oplog, Store, Ct, Ot, InMsqc, ServerMsq, BlockedThread, OpCour
```

client put

```
DH modified: change the state of history when write with w:0
ClientPutRequest\_zero \triangleq
    \land \exists k \in Key, v \in Value, c \in Client \setminus BlockedClient :
        \land OpCount[c] \neq 0
        \land InMsgs' = [InMsgs \ EXCEPT \ ![Primary] =
            Append(@, [op \mapsto "put", c \mapsto c, wc \mapsto "zero", k
                           \mapsto k, v \mapsto v, ct \mapsto Ct[c])
        \land OpCount' = [OpCount \ EXCEPT \ ![c] = @ - 1]
        \land History' = [History EXCEPT ![c] = Append(@, [op \mapsto "put", ts \mapsto InMsgc[c][1].ot, k \mapsto k, v \mapsto v])
    ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc,
                       ServerMsg, BlockedClient, BlockedThread, Pt, Cp,
                       CalState, State, SnapshotTable
ClientPutRequest\_number \stackrel{\Delta}{=}
    \land \exists k \in Key, v \in Value, c \in Client \setminus BlockedClient, num \in Number :
        \wedge InMsgs' = [InMsgs \ EXCEPT \ ![Primary] =
            Append(@, [op \mapsto "put", c \mapsto c, wc \mapsto "num", num \mapsto num, k \mapsto k, v \mapsto v, ct \mapsto Ct[c]])]
        \land BlockedClient' = BlockedClient \cup \{c\}
    ∧ UNCHANGED ⟨Op Count, Primary, Secondary, Oplog, Store, Ct, Ot, InMsgc, ServerMsg,
                       BlockedThread, Pt, Cp, CalState, State, SnapshotTable, History
ClientPutRequest\_majority \triangleq
    \land \exists k \in Key, v \in Value, c \in Client \backslash BlockedClient :
        \land InMsgs' = [InMsgs \ EXCEPT \ ![Primary] =
            Append(@, [op \mapsto "put", c \mapsto c, wc \mapsto "major", k \mapsto k, v \mapsto v, ct \mapsto Ct[c]])]
        \land BlockedClient' = BlockedClient \cup \{c\}
    ∧ UNCHANGED ⟨OpCount, Primary, Secondary, Oplog, Store, Ct, Ot, InMsqc, ServerMsq, BlockedThread
DH modified: record the k and v in msg to history
ClientGetResponse \triangleq
    \land \exists c \in Client :
        \land OpCount[c] \neq 0
                                        client c has operation times
        \wedge Len(InMsgc[c]) \neq 0
                                        message channel is not empty
        \wedge InMsgc[c][1].op = "get"
                                        msg type: get
        \land Store' = [Store \ EXCEPT \ ![c][InMsgc[c][1].k] = InMsgc[c][1].v]
             store data
        \land History' = [History \ EXCEPT \ ![c] = Append(@, [op])
                          \mapsto "get", ts \mapsto InMsgc[c][1].ot, k \mapsto InMsgc[c][1].k, v \mapsto InMsgc[c][1].v])
        \wedge InMsgc' = [InMsgc \ EXCEPT \ ![c] = Tail(@)]
        \land BlockedClient' = IF Len(InMsgc'[c]) = 0
```

```
ELSE BlockedClient remove blocked state
        \land OpCount' = [OpCount \ EXCEPT \ ![c] = @ - 1]
    \land UNCHANGED \langle Primary, Secondary, Oplog, Ct, Ot, InMsgs, ServerMsg,
                      BlockedThread, Pt, Cp, CalState, State, SnapshotTable
 DH modified: record the k and v in msa to history record of from server
ClientPutResponse \triangleq
    \land \exists c \in Client :
        \land OpCount[c] \neq 0
                                      client c has operation times
        \wedge Len(InMsqc[c]) \neq 0
                                      message channel is not empty
        \wedge InMsgc[c][1].op = "put"
                                      msg type: put
        \land Ct' = [Ct \text{ EXCEPT } ! [c] = \overline{HLCMax(@, InMsgc[c][1].ct)}]
        \land Ot' = [Ot \ EXCEPT \ ![c] = HLCMax(@, InMsgc[c][1].ot)] Update Ot to record "my write" ot
        \land History' = [History \ EXCEPT \ ![c] = Append(@, [op])]
                         \mapsto "put", ts \mapsto InMsqc[c][1].ot, k \mapsto InMsqc[c][1].k, v \mapsto InMsqc[c][1].v])
        \wedge InMsgc' = [InMsgc \ EXCEPT \ ![c] = Tail(@)]
        \land BlockedClient' = IF Len(InMsqc'[c]) = 0
                              THEN BlockedClient \setminus \{c\}
                              ELSE BlockedClient remove blocked state
        \land OpCount' = [OpCount \ EXCEPT \ ![c] = @ - 1]
    ∧ UNCHANGED ⟨Primary, Secondary, Oplog, Store, InMsgs, ServerMsg,
                      BlockedThread, Pt, Cp, CalState, State, SnapshotTable
ClientGetRequest\_local \triangleq \lor ClientGetRequest\_local\_primary
                             \lor ClientGetRequest\_local\_secondary
ClientGetRequest\_majority \triangleq \lor ClientGetRequest\_majority\_primary
                                  \lor ClientGetRequest\_majority\_secondary
all possible client get actions
ClientGetRequest \triangleq \lor ClientGetRequest\_local
                       \lor ClientGetRequest\_majority
                       \lor ClientGetRequest\_linearizable
all possible clent put actions
ClientPutRequest \triangleq \lor ClientPutRequest\_zero
                       \lor ClientPutRequest\_number
                       \vee ClientPutRequest\_majority
all possible server get actions
ServerGetReply \triangleq \lor ServerGetReply\_local\_sleep
                     \vee ServerGetReply_local_wake
```

THEN  $BlockedClient \setminus \{c\}$ 

```
 \lor ServerGetReply\_majority\_sleep \\ \lor ServerGetReply\_majority\_wake \\ \lor ServerGetReply\_linearizable\_sleep \\ \lor ServerGetReply\_linearizable\_wake \\ \hline \text{all possible server put actions} \\ ServerPutReply \triangleq \lor ServerPutReply\_zero \\ \lor ServerPutReply\_number\_sleep \\ \lor ServerPutReply\_majority\_sleep \\ \lor ServerPutReply\_number\_wake \\ \lor ServerPutReply\_majority\_wake \\ \lor ServerPutReply\_majority\_wake \\ \hline
```

```
next state for all configurations
Next \triangleq \lor ClientGetRequest \lor ClientPutRequest
           \lor ClientGetResponse \lor ClientPutResponse
            \lor ServerGetReply \lor ServerPutReply
            \vee Replicate
            \vee AdvancePt
           \lor ServerTakeHeartbeat
            \vee Snapshot
Spec \triangleq Init \wedge \Box [Next]_{vars}
Next\_Except\_ClientRequset \stackrel{\triangle}{=} \lor ClientGetResponse
                                       \lor \mathit{ClientPutResponse}
                                       \lor ServerGetReply
                                       \lor ServerPutReply
                                       \vee Replicate
                                       \vee AdvancePt
                                       \lor ServerTakeHeartbeat
                                       \vee Snapshot
ClientReguset\_1 \triangleq \lor ClientPutReguest\_majority
                         \lor ClientGetRequest\_local\_primary
ClientRequset\_2 \stackrel{\Delta}{=} \lor ClientPutRequest\_majority
                         \lor ClientGetRequest\_local\_secondary
ClientRequset\_3 \triangleq \lor ClientPutRequest\_majority
                         \lor ClientGetRequest\_majority\_primary
ClientRequset\_4 \stackrel{\triangle}{=} \lor ClientPutRequest\_majority
                         \lor ClientGetRequest\_majority\_secondary
```

 $ClientRequest\_5 \stackrel{\triangle}{=} \lor ClientPutRequest\_majority$ 

- $\lor\ ClientGetRequest\_linearizable$
- $ClientRequset\_6 \stackrel{\triangle}{=} \lor ClientPutRequest\_number$  $\lor ClientGetRequest\_local\_primary$
- $ClientRequset\_7 \stackrel{\triangle}{=} \lor ClientPutRequest\_number$  $\lor ClientGetRequest\_local\_secondary$
- $ClientRequset\_8 \stackrel{\triangle}{=} \lor ClientPutRequest\_number$  $\lor ClientGetRequest\_majority\_primary$
- $ClientRequest\_9 \stackrel{\triangle}{=} \lor ClientPutRequest\_number$  $\lor ClientGetRequest\_majority\_secondary$
- $ClientRequset\_10 \triangleq \lor ClientPutRequest\_number$  $\lor ClientGetRequest\_linearizable$
- $Next1 \triangleq \forall Next\_Except\_ClientRequset$  $\forall ClientRequset\_1$
- $Next2 \triangleq \bigvee Next\_Except\_ClientRequset$  $\bigvee ClientRequset\_2$
- $Next3 \triangleq \bigvee Next\_Except\_ClientRequset$  $\bigvee ClientRequset\_3$
- $Next4 \triangleq \bigvee Next\_Except\_ClientRequset$  $\bigvee ClientRequset\_4$
- $Next5 \triangleq \bigvee Next\_Except\_ClientRequset$  $\bigvee ClientRequset\_5$
- $\begin{array}{ccc} \textit{Next6} & \triangleq & \lor \textit{Next\_Except\_ClientRequset} \\ & \lor \textit{ClientRequset\_6} \\ \end{array}$
- $Next7 \triangleq \lor Next\_Except\_ClientRequset$  $\lor ClientRequset\_7$
- $Next8 \triangleq \forall Next\_Except\_ClientRequset$  $<math>\forall ClientRequset\_8$
- $\begin{array}{ccc} Next9 & \triangleq & \lor Next\_Except\_ClientRequset \\ & \lor ClientRequset\_9 \end{array}$
- $Next10 \triangleq \lor Next\_Except\_ClientRequset$  $\lor ClientRequset\_10$
- $Spec1 \triangleq Init \wedge \Box [Next1]_{vars}$
- $Spec2 \triangleq Init \wedge \Box [Next2]_{vars}$
- $Spec3 \stackrel{\triangle}{=} Init \wedge \Box [Next3]_{vars}$

```
Spec4 \stackrel{\triangle}{=} Init \wedge \Box [Next4]_{vars}
Spec5 \triangleq Init \wedge \Box [Next5]_{vars}
Spec6 \stackrel{\triangle}{=} Init \wedge \Box [Next6]_{vars}
Spec7 \triangleq Init \wedge \Box [Next7]_{vars}
Spec 8 \triangleq Init \land \Box [Next 8]_{vars}
Spec9 \triangleq Init \wedge \Box [Next9]_{vars}
Spec10 \triangleq Init \wedge \Box [Next10]_{vars}
 Idea: Primary check
MonotonicRead \stackrel{\Delta}{=} \forall c \in Client : \forall i, j \in DOMAIN \; History[c] :
                                 \wedge i < j
                                 \land History[c][i].op = "get"
                                 \land History[c][j].op = "get"
                                 \Rightarrow \neg HLCLt(History[c][j].ts, History[c][i].ts)
MonotonicWrite \stackrel{\triangle}{=} \forall c \in Client : \forall i, j \in DOMAIN \; History[c] :
                                  \land i < j
                                  \land History[c][i].op = "put"
                                  \land History[c][j].op = "put"
                                   \Rightarrow \neg HLCLt(History[c][j].ts, History[c][i].ts)
ReadYourWrite \stackrel{\triangle}{=} \forall c \in Client : \forall i, j \in DOMAIN \; History[c] :
                          \wedge i < j
                           \land History[c][i].op = "put"
                           \land History[c][j].op = "get"
                           \Rightarrow \neg HLCLt(History[c][j].ts, History[c][i].ts)
WriteFollowRead \triangleq \forall c \in Client : \forall i, j \in DOMAIN \ History[c] :
                          \land i < j
                          \land History[c][i].op = "get"
                          \land \quad \mathit{History}[c][j].\mathit{op} = \text{``put''}
                          \Rightarrow \neg HLCLt(History[c][j].ts, History[c][i].ts)
```

 $<sup>\</sup>setminus * \ \mathrm{Modification} \ \mathit{History}$ 

<sup>\\*</sup> Last modified Tue Mar 22 15:52:26 CST 2022 by dh

<sup>\^\*</sup> Created Wed Mar 16 11:44:03 CST 2022 by dh