

\ * To fix: heartbeat

MODULE *TunableMongoDB_Repl*

EXTENDS *Naturals, FiniteSets, Sequences, TLC*

constants and variables

CONSTANTS *Client, Server,* the set of clients and servers
Key, Value, the set of keys and values
Nil, model value, place holder
PtStop max physical time

VARIABLES *Primary,* Primary node
Secondary, secondary nodes
Oplog, *oplog[s]: oplog at server[s]*
Store, *store[s]: data stored at server[s]*
Ct, *Ct[s]: cluster time at node s*
Ot, *Ot[s]: the last applied operation time at server s*
ServerMsg, *ServerMsg[s]: the channel of heartbeat msgs at server s*
Pt, *Pt[s]: physical time at server s*
Cp, *Cp[s]: majority commit point at server s*
State, *State[s]: the latest Ot of all servers that server s knows*
CurrentTerm, *CurrentTerm[s]: current election term at server s*
→ updated in *update_position*, heartbeat and replicate
ReadyToServe, equal to 0 before any primary is elected
SyncSource *SyncSource[s]: sync source of server node s*

ASSUME *Cardinality(Client) ≥ 1* at least one client
ASSUME *Cardinality(Server) ≥ 2* at least one primary and one secondary
ASSUME *Cardinality(Key) ≥ 1* at least one object
ASSUME *Cardinality(Value) ≥ 2* at least two values to update

Helpers

$HLCLt(x, y) \triangleq$ IF $x.p < y.p$
THEN TRUE
ELSE IF $x.p = y.p$
THEN IF $x.l < y.l$
THEN TRUE
ELSE FALSE
ELSE FALSE

$HLCMin(x, y) \triangleq$ IF $HLCLt(x, y)$ THEN x ELSE y
 $HLCMax(x, y) \triangleq$ IF $HLCLt(x, y)$ THEN y ELSE x
 $HLCType \triangleq [p : Nat, l : Nat]$
 $Min(x, y) \triangleq$ IF $x < y$ THEN x ELSE y
 $Max(x, y) \triangleq$ IF $x > y$ THEN x ELSE y

$vars \triangleq \langle Primary, Secondary, Oplog, Store, Ct, Ot, ServerMsg, Pt, Cp, State, CurrentTerm, ReadyToServe, SyncSource \rangle$

RECURSIVE $CreateState(-, -)$ **init state**
 $CreateState(len, seq) \triangleq$
 IF $len = 0$ THEN seq
 ELSE $CreateState(len - 1, Append(seq, [p \mapsto 0, l \mapsto 0]))$

$LogTerm(i, index) \triangleq$ IF $index = 0$ THEN 0 ELSE $Oplog[i][index].term$
 $LastTerm(i) \triangleq CurrentTerm[i]$

Is node i ahead of node j
 $NotBehind(i, j) \triangleq$
 $\vee LastTerm(i) > LastTerm(j)$
 $\vee \wedge LastTerm(i) = LastTerm(j)$
 $\wedge Len(Oplog[i]) \geq Len(Oplog[j])$

$IsMajority(servers) \triangleq Cardinality(servers) * 2 > Cardinality(Server)$

Return the maximum value from a set, or undefined if the set is empty.
 $MaxVal(s) \triangleq CHOOSE x \in s : \forall y \in s : x \geq y$
 $HLCMinSet(s) \triangleq CHOOSE x \in s : \forall y \in s : \neg HLClt(y, x)$

clock
 $MaxPt \triangleq LET x \triangleq CHOOSE s \in Server : \forall s1 \in Server \setminus \{s\} :$
 $Pt[s] \geq Pt[s1]$
 IN $Pt[x]$

$Tick(s) \triangleq Ct' =$ IF $Ct[s].p \geq Pt[s]$
 THEN $[Ct \text{ EXCEPT } !s] = [p \mapsto @.p, l \mapsto @.l + 1]$
 ELSE $[Ct \text{ EXCEPT } !s] = [p \mapsto Pt[s], l \mapsto 0]$

heartbeat
Only Primary node sends heartbeat once advance pt
 $BroadcastHeartbeat(s) \triangleq$
 LET $msg \triangleq [type \mapsto \text{"heartbeat"}, s \mapsto s, aot \mapsto Ot[s],$
 $ct \mapsto Ct[s], cp \mapsto Cp[s], term \mapsto CurrentTerm[s]]$
 IN $ServerMsg' = [x \in Server \mapsto \text{IF } x = s \text{ THEN } ServerMsg[x]$
 ELSE $Append(ServerMsg[x], msg)]$

Can node i sync from node j ?
 $CanSyncFrom(i, j) \triangleq$
 $\wedge Len(Oplog[i]) < Len(Oplog[j])$
 $\wedge LastTerm(i) = LogTerm(j, Len(Oplog[i]))$

$Oplog$ entries needed to replicate from j to i
 $ReplicateOplog(i, j) \triangleq$
 LET $len_i \triangleq Len(Oplog[i])$

$len_j \triangleq Len(Oplog[j])$
 IN IF $i \in Secondary \wedge len_i < len_j$
 THEN $SubSeq(Oplog[j], len_i + 1, len_j)$
 ELSE $\langle \rangle$

Can node i rollback its log based on j 's log
 $CanRollback(i, j) \triangleq \wedge Len(Oplog[i]) > 0$
 $\wedge Len(Oplog[j]) > 0$
 $\wedge CurrentTerm[i] < CurrentTerm[j]$
 \wedge
 $\vee Len(Oplog[i]) > Len(Oplog[j])$
 $\vee \wedge Len(Oplog[i]) \leq Len(Oplog[j])$
 $\wedge CurrentTerm[i] \neq LogTerm(j, Len(Oplog[i]))$

Returns the highest common index between two divergent logs.

If there is no common index between the logs, returns 0.

$RollbackCommonPoint(i, j) \triangleq$
 LET $commonIndices \triangleq \{k \in DOMAIN Oplog[i] :$
 $\wedge k \leq Len(Oplog[j])$
 $\wedge Oplog[i][k] = Oplog[j][k]\}$ IN
 IF $commonIndices = \{\}$ THEN 0 ELSE $MaxVal(commonIndices)$

The set of all *quorums*. This just calculates simple majorities, but the only important property is that every quorum overlaps with every other.

$Quorum \triangleq \{i \in SUBSET (Server) : Cardinality(i) * 2 > Cardinality(Server)\}$

$QuorumAgreeInSameTerm(states) \triangleq$

LET $quorums \triangleq \{Q \in Quorum :$

 Make sure all nodes in quorum have actually applied some entries.

$\wedge \vee \forall s \in Q : states[s].p > 0$

$\vee \wedge \forall s \in Q : states[s].p = 0$

$\wedge \forall s \in Q : states[s].l > 0$

 Make sure every applied entry in quorum has the same term.

$\wedge \forall s, t \in Q :$

$s \neq t \Rightarrow states[s].term = states[t].term$

IN $quorums$

Init Part

$InitPrimary \triangleq Primary = \{CHOOSE s \in Server : TRUE\}$

$InitSecondary \triangleq Secondary = Server \setminus Primary$

$InitOplog \triangleq Oplog = [s \in Server \mapsto \langle \rangle]$

$InitStore \triangleq Store = [n \in Server \cup Client \mapsto [k \in Key \mapsto Nil]]$

$InitCt \triangleq Ct = [n \in Server \cup Client \mapsto [p \mapsto 0, l \mapsto 0]]$

$InitOt \triangleq Ot = [n \in Server \cup Client \mapsto [p \mapsto 0, l \mapsto 0]]$

$InitServerMsg \triangleq ServerMsg = [s \in Server \mapsto \langle \rangle]$

$$\begin{aligned}
InitPt &\triangleq Pt = [s \in Server \mapsto 1] \\
InitCp &\triangleq Cp = [n \in Server \cup Client \mapsto [p \mapsto 0, l \mapsto 0]] \\
InitState &\triangleq State = [s \in Server \mapsto [s0 \in Server \mapsto \\
&\quad [p \mapsto 0, l \mapsto 0, term \mapsto 0]]] \\
InitCurrentTerm &\triangleq CurrentTerm = [s \in Server \mapsto 0] \\
InitReadyToServe &\triangleq ReadyToServe = 0 \\
InitSyncSource &\triangleq SyncSource = [s \in Server \mapsto Nil]
\end{aligned}$$

$$\begin{aligned}
Init &\triangleq \\
&\wedge InitPrimary \wedge InitSecondary \wedge InitOplog \wedge InitStore \wedge InitCt \\
&\wedge InitOt \wedge InitPt \wedge InitCp \\
&\wedge InitServerMsg \\
&\wedge InitState \wedge InitCurrentTerm \wedge InitReadyToServe \\
&\wedge InitSyncSource
\end{aligned}$$

Next State Actions

Replication Protocol: possible actions

$$\begin{aligned}
TurnOnReadyToServe &\triangleq \\
&\wedge ReadyToServe = 0 \\
&\wedge \exists s \in Primary : \\
&\quad \wedge CurrentTerm' = [CurrentTerm \text{ EXCEPT } ![s] = CurrentTerm[s] + 1] \\
&\quad \wedge ReadyToServe' = ReadyToServe + 1 \\
&\wedge \text{UNCHANGED } \langle Primary, Secondary, Oplog, Store, Ct, Ot, \\
&\quad ServerMsg, Pt, Cp, State, SyncSource \rangle
\end{aligned}$$

$$\begin{aligned}
Stepdown &\triangleq \\
&\wedge ReadyToServe > 0 \\
&\wedge \exists s \in Primary : \\
&\quad \wedge Primary' = Primary \setminus \{s\} \\
&\quad \wedge Secondary' = Secondary \cup \{s\} \\
&\wedge \text{UNCHANGED } \langle Oplog, Store, Ct, Ot, ServerMsg, \\
&\quad Pt, Cp, State, CurrentTerm, \\
&\quad ReadyToServe, SyncSource \rangle
\end{aligned}$$

Todo: *Stepdown* when receiving a higher term heartbeat

There are majority nodes agree to elect node i to become primary

$$\begin{aligned}
ElectPrimary &\triangleq \\
&\wedge ReadyToServe > 0 \\
&\wedge \exists i \in Server : \exists majorNodes \in \text{SUBSET } (Server) : \\
&\quad \wedge \forall j \in majorNodes : \wedge NotBehind(i, j) \\
&\quad \wedge CurrentTerm[i] \geq CurrentTerm[j] \\
&\quad \wedge IsMajority(majorNodes) \\
&\quad \text{voted nodes for } i \text{ cannot be primary anymore} \\
&\wedge Primary' = \text{LET } possiblePrimary \triangleq Primary \setminus majorNodes
\end{aligned}$$

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      IN  $possiblePrimary \cup \{i\}$ 
    add voted nodes into secondaries
     $\wedge Secondary' = LET\ possibleSecondary \triangleq Secondary \cup majorNodes$ 
      IN  $possibleSecondary \setminus \{i\}$ 
     $\wedge CurrentTerm' = [index \in Server \mapsto IF\ index \in (majorNodes \cup \{i\})$ 
      THEN  $CurrentTerm[i] + 1$ 
      ELSE  $CurrentTerm[index]]$ 

    A primary node do not have any sync source
     $\wedge SyncSource' = [SyncSource\ EXCEPT\ ![i] = Nil]$ 
     $\wedge UNCHANGED\ \langle Oplog, Store, Ct, Ot, ServerMsg, Pt, Cp, State, ReadyToServe \rangle$ 

AdvanceCp  $\triangleq$ 
   $\wedge ReadyToServe > 0$ 
   $\wedge \exists s \in Primary :$ 
    LET  $newCp \triangleq$ 
      LET  $quorumAgree \triangleq QuorumAgreeInSameTerm(State[s])$ 
      IN IF  $Cardinality(quorumAgree) > 0$ 
        THEN LET  $QuorumSet \triangleq CHOOSE\ i \in quorumAgree : TRUE$ 
           $serverInQuorum \triangleq CHOOSE\ j \in QuorumSet : TRUE$ 
           $termOfQuorum \triangleq State[s][serverInQuorum].term$ 
           $StateSet \triangleq \{[p \mapsto State[s][j].p, l \mapsto State[s][j].l] : j \in QuorumSet\}$ 
           $newCommitPoint \triangleq HLCMinSet(StateSet)$ 
           $oldCommitPoint \triangleq [p \mapsto Cp[s].p, l \mapsto Cp[s].l]$ 
           $newCp\ \text{must be greater than current } Cp\ \text{for primary to advance it}$ 
          IN IF  $termOfQuorum = CurrentTerm[s] \wedge HLCLt(oldCommitPoint, newCommitPoint)$ 
            THEN  $[p \mapsto newCommitPoint.p, l \mapsto newCommitPoint.l, term \mapsto termOfQuorum]$ 
            ELSE  $Cp[s]$ 
          ELSE  $Cp[s]$ 
        IN  $Cp' = [Cp\ EXCEPT\ ![s] = newCp]$ 
     $\wedge UNCHANGED\ \langle Primary, Secondary, Oplog, Store, Ct, Ot,$ 
       $ServerMsg, Pt, State, CurrentTerm, ReadyToServe, SyncSource \rangle$ 

heartbeatoplogOtstore
ServerTakeHeartbeat  $\triangleq$ 
   $\wedge ReadyToServe > 0$ 
   $\wedge \exists s \in Server :$ 
     $\wedge Len(ServerMsg[s]) \neq 0$  message channel is not empty
     $\wedge ServerMsg[s][1].type = \text{"heartbeat"}$ 
     $\wedge CurrentTerm[s] = ServerMsg[s][1].term$ 
     $\wedge Ct' = [Ct\ EXCEPT\ ![s] = HLCMax(Ct[s], ServerMsg[s][1].ct)]$ 
     $\wedge State' =$ 
      LET  $newState \triangleq [$ 
         $p \mapsto ServerMsg[s][1].aot.p,$ 
         $l \mapsto ServerMsg[s][1].aot.l,$ 
         $term \mapsto ServerMsg[s][1].term$ 

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]
IN LET SubHbState  $\triangleq$  State[s]
    hb  $\triangleq$  [SubHbState EXCEPT ![ServerMsg[s][1].s] = newState]
    IN [State EXCEPT ![s] = hb]
 $\wedge$  Cp' = LET newcp  $\triangleq$ 
    primary node: compute new mcp
    IF s  $\in$  Primary THEN
        LET quorumAgree  $\triangleq$  QuorumAgreeInSameTerm(State[s]) IN
        IF Cardinality(quorumAgree) > 0
            THEN LET QuorumSet  $\triangleq$  CHOOSE i  $\in$  quorumAgree : TRUE
                serverInQuorum  $\triangleq$  CHOOSE j  $\in$  QuorumSet : TRUE
                termOfQuorum  $\triangleq$  State[s][serverInQuorum].term
                StateSet  $\triangleq$  {p  $\mapsto$  State[s][j].p, l  $\mapsto$  State[s][j].l : j  $\in$  QuorumSet}
                newCommitPoint  $\triangleq$  HLCMinSet(StateSet)
            IN IF termOfQuorum = CurrentTerm[s]
                THEN
                    [p  $\mapsto$  newCommitPoint.p, l  $\mapsto$  newCommitPoint.l, term  $\mapsto$ 
                    ELSE Cp[s]
                ELSE Cp[s]
            ELSE Cp[s]
        secondary node: update mcp
        ELSE IF LET msgCP  $\triangleq$  [p  $\mapsto$  ServerMsg[s][1].cp.p, l  $\mapsto$  ServerMsg[s][1].cp.l] IN
             $\wedge \neg$ HLCLt(msgCP, Cp[s])
             $\wedge \neg$ HLCLt(Ot[s], msgCP)
            The term of cp must equal to the CurrentTerm of that node to advance it
             $\wedge$  ServerMsg[s].cp.term = CurrentTerm[s]
            THEN ServerMsg[s][1].cp
            ELSE Cp[s]
        IN [Cp EXCEPT ![s] = newcp]
 $\wedge$  ServerMsg' = [ServerMsg EXCEPT ![s] = Tail(@)]
 $\wedge$  CurrentTerm' = [CurrentTerm EXCEPT ![s] = Max(CurrentTerm[s], ServerMsg[s][1].term)]
 $\wedge$  UNCHANGED  $\langle$ Primary, Secondary, Oplog, Store, Ot, Pt,
    ReadyToServe, SyncSource $\rangle$ 

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ServerTakeUpdatePosition \triangleq

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 $\wedge$  ReadyToServe > 0
 $\wedge \exists s \in$  Server :
     $\wedge$  Len(ServerMsg[s])  $\neq$  0 message channel is not empty
     $\wedge$  ServerMsg[s][1].type = "update_position"
     $\wedge$  Ct' = [Ct EXCEPT ![s] = HLCMax(Ct[s], ServerMsg[s][1].ct)] update ct accordingly
     $\wedge$  State' =
        LET newState  $\triangleq$  [
            p  $\mapsto$  ServerMsg[s][1].aot.p,
            l  $\mapsto$  ServerMsg[s][1].aot.l,
            term  $\mapsto$  ServerMsg[s][1].term
        ]

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IN  LET  $SubHbState \triangleq State[s]$ 
       $hb \triangleq [SubHbState \text{ EXCEPT } ![ServerMsg[s][1].s] = newState]$ 
IN   $[State \text{ EXCEPT } ![s] = hb]$ 
 $\wedge Cp' = \text{LET } newcp \triangleq$ 
  primary node: compute new mcp
  IF  $s \in Primary$  THEN
    LET  $quorumAgree \triangleq QuorumAgreeInSameTerm(State[s])$  IN
      IF  $Cardinality(quorumAgree) > 0$ 
        THEN LET  $QuorumSet \triangleq \text{CHOOSE } i \in quorumAgree : \text{TRUE}$ 
               $serverInQuorum \triangleq \text{CHOOSE } j \in QuorumSet : \text{TRUE}$ 
               $termOfQuorum \triangleq State[s][serverInQuorum].term$ 
               $StateSet \triangleq \{[p \mapsto State[s][j].p, l \mapsto State[s][j].l] : j \in QuorumSet\}$ 
               $newCommitPoint \triangleq HLCMinSet(StateSet)$ 
        IN  IF  $termOfQuorum = CurrentTerm[s]$ 
              THEN
                 $[p \mapsto newCommitPoint.p, l \mapsto newCommitPoint.l, term \mapsto \dots]$ 
              ELSE  $Cp[s]$ 
        ELSE  $Cp[s]$ 
      secondary node: update mcp
      ELSE IF LET  $msgCP \triangleq [p \mapsto ServerMsg[s][1].cp.p, l \mapsto ServerMsg[s][1].cp.l]$  IN
             $\wedge \neg HLCLt(msgCP, Cp[s])$ 
             $\wedge \neg HLCLt(Ot[s], msgCP)$ 
            THEN  $ServerMsg[s][1].cp$ 
            ELSE  $Cp[s]$ 
      IN   $[Cp \text{ EXCEPT } ![s] = newcp]$ 
 $\wedge CurrentTerm' = [CurrentTerm \text{ EXCEPT } ![s] = Max(CurrentTerm[s], ServerMsg[s][1].term)]$ 
 $\wedge ServerMsg' = \text{LET } newServerMsg \triangleq [ServerMsg \text{ EXCEPT } ![s] = Tail(@)]$ 
      IN  (LET  $appendMsg \triangleq [type \mapsto \text{"update\_position"}, s \mapsto ServerMsg[s][1].s, aot \mapsto ServerMsg[s][1].aot,$ 
             $ct \mapsto ServerMsg[s][1].ct, cp \mapsto ServerMsg[s][1].cp, term \mapsto ServerMsg[s][1].term]$ 
            IN  (LET  $newMsg \triangleq$  IF  $s \in Primary \vee SyncSource[s] = Nil$ 
                  THEN  $newServerMsg$  IF  $s$  is primary, accept the  $msg$ , else  $Nil$ 
                  ELSE  $[newServerMsg \text{ EXCEPT } ![SyncSource[s]] = Append(newServerMsg, newMsg)]$ 
            IN   $newMsg$ ))
 $\wedge \text{UNCHANGED } \langle Primary, Secondary, Oplog, Store, Ot, Pt, ReadyToServe, SyncSource \rangle$ 

 $NTPSync \triangleq$  simplify NTP protocol
 $\wedge ReadyToServe > 0$ 
 $\wedge Pt' = [s \in Server \mapsto MaxPt]$ 
 $\wedge \text{UNCHANGED } \langle Primary, Secondary, Oplog, Store, Ct, Ot, ServerMsg, Cp, State, CurrentTerm, ReadyToServe, SyncSource \rangle$ 

 $AdvancePt \triangleq$ 
 $\wedge ReadyToServe > 0$ 
 $\wedge \exists s \in Server :$ 

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$\wedge s \in \text{Primary}$ for simplicity
 $\wedge Pt[s] \leq PtStop$
 $\wedge Pt' = [Pt \text{ EXCEPT } ![s] = @ + 1]$ advance physical time
 $\wedge \text{BroadcastHeartbeat}(s)$ broadcast heartbeat periodically
 $\wedge \text{UNCHANGED } \langle \text{Primary}, \text{Secondary}, \text{Oplog}, \text{Store}, \text{Ct}, \text{Ot}, \text{State},$
 $\quad \text{Cp}, \text{CurrentTerm}, \text{ReadyToServe}, \text{SyncSource} \rangle$

Replicate oplog from node j to node i , and update related structures accordingly

$\text{Replicate} \triangleq$

$\wedge \text{ReadyToServe} > 0$
 $\wedge \exists i, j \in \text{Server} :$
 $\quad \wedge \text{CanSyncFrom}(i, j)$ i can sync from j only need not to rollback
 $\quad \wedge i \in \text{Secondary}$
 $\quad \wedge \text{ReplicateOplog}(i, j) \neq \langle \rangle$
 $\quad \wedge \text{Oplog}' = [\text{Oplog} \text{ EXCEPT } ![i] = @ \circ \text{ReplicateOplog}(i, j)]$
 $\quad \wedge \text{Store}' = [\text{Store} \text{ EXCEPT } ![i] = \text{Store}[j]]$
 $\quad \wedge \text{Ct}' = [\text{Ct} \text{ EXCEPT } ![i] = \text{HLCMax}(\text{Ct}[i], \text{Ct}[j])] \quad \text{update } \text{Ct}[i]$
 $\quad \wedge \text{Ot}' = [\text{Ot} \text{ EXCEPT } ![i] = \text{HLCMax}(\text{Ot}[i], \text{Ot}[j])] \quad \text{update } \text{Ot}[i]$
 $\quad \wedge \text{Cp}' = [\text{Cp} \text{ EXCEPT } ![i] = \text{HLCMax}(\text{Cp}[i], \text{Cp}[j])] \quad \text{update } \text{Cp}[i]$
 $\quad \wedge \text{CurrentTerm}' = [\text{CurrentTerm} \text{ EXCEPT } ![i] = \text{Max}(\text{CurrentTerm}[i], \text{CurrentTerm}[j])] \quad \text{update } \text{CurrentTerm}$
 $\quad \wedge \text{State}' =$
 $\quad \quad \text{LET } \text{newState} \triangleq [$
 $\quad \quad \quad p \mapsto \text{Ot}[j].p,$
 $\quad \quad \quad l \mapsto \text{Ot}[j].l,$
 $\quad \quad \quad \text{term} \mapsto \text{CurrentTerm}[j]$
 $\quad \quad]$
 $\quad \text{IN LET } \text{SubHbState} \triangleq \text{State}[i]$
 $\quad \quad \text{hb} \triangleq [\text{SubHbState} \text{ EXCEPT } ![j] = \text{newState}]$
 $\quad \quad \text{IN } [\text{State} \text{ EXCEPT } ![i] = \text{hb}] \quad \text{update } j\text{'s state } i \text{ knows}$
 $\wedge \text{LET } \text{msg} \triangleq [\text{type} \mapsto \text{"update_position"}, s \mapsto i, aot \mapsto \text{Ot}'[i], ct \mapsto \text{Ct}'[i], cp \mapsto \text{Cp}'[i], \text{term} \mapsto \text{CurrentTerm}[j]]$
 $\quad \text{IN } \text{ServerMsg}' = [\text{ServerMsg} \text{ EXCEPT } ![j] = \text{Append}(\text{ServerMsg}[j], \text{msg})]$
 $\wedge \text{SyncSource}' = [\text{SyncSource} \text{ EXCEPT } ![i] = j]$
 $\wedge \text{CalState}' = [\text{CalState} \text{ EXCEPT } ![i] = \text{CalState}[j]]$
 $\wedge \text{UNCHANGED } \langle \text{Primary}, \text{Secondary}, \text{Pt}, \text{ReadyToServe} \rangle$

Rollback i 's oplog and recover it to j 's state

Recover to j 's state immediately to prevent internal client request

$\text{RollbackAndRecover} \triangleq$

$\wedge \text{ReadyToServe} > 0$
 $\wedge \exists i, j \in \text{Server} :$
 $\quad \wedge i \in \text{Secondary}$
 $\quad \wedge \text{CanRollback}(i, j)$
 $\quad \wedge \text{LET } \text{cmp} \triangleq \text{RollbackCommonPoint}(i, j) \text{ IN}$
 $\quad \quad \text{LET } \text{commonLog} \triangleq \text{SubSeq}(\text{Oplog}[i], 1, \text{cmp})$
 $\quad \quad \text{appendLog} \triangleq \text{SubSeq}(\text{Oplog}[j], \text{cmp} + 1, \text{Len}(\text{Oplog}[j]))$


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IN    $Oplog' = [Oplog \text{ EXCEPT } ![i] = commonLog \circ appendLog]$ 
 $\wedge CurrentTerm' = [CurrentTerm \text{ EXCEPT } ![i] = Max(CurrentTerm[i], CurrentTerm[j])] \text{ update } CurrentTerm[j]$ 
 $\wedge Store' = [Store \text{ EXCEPT } ![i] = Store[j]]$ 
 $\wedge Ct' = [Ct \text{ EXCEPT } ![i] = HLCMax(Ct[i], Ct[j])] \text{ update } Ct[i]$ 
 $\wedge Ot' = [Ot \text{ EXCEPT } ![i] = HLCMax(Ot[i], Ot[j])] \text{ update } Ot[i]$ 
 $\wedge Cp' = [Cp \text{ EXCEPT } ![i] = HLCMax(Cp[i], Cp[j])] \text{ update } Cp[i]$ 
 $\wedge State' =$ 
  LET  $newStatei \triangleq [$ 
     $p \mapsto Ot'[i].p,$ 
     $l \mapsto Ot'[j].l,$ 
     $term \mapsto CurrentTerm'[i]$ 
  ]
   $newStatej \triangleq [$ 
     $p \mapsto Ot[j].p,$ 
     $l \mapsto Ot[j].l,$ 
     $term \mapsto CurrentTerm[j]$ 
  ]
IN   LET  $SubHbState \triangleq State[i]$ 
       $hb \triangleq [SubHbState \text{ EXCEPT } ![i] = newStatei] \text{ update } i\text{'s self state (used in mcp computation)}$ 
       $hb1 \triangleq [hb \text{ EXCEPT } ![j] = newStatej] \text{ update } j\text{'s state } i \text{ knows}$ 
      IN    $[State \text{ EXCEPT } ![i] = hb1]$ 
 $\wedge$  LET  $msg \triangleq [type \mapsto \text{"update\_position"}, s \mapsto i, aot \mapsto Ot'[i], ct \mapsto Ct'[i], cp \mapsto Cp'[i], term \mapsto CurrentTerm[i]]$ 
      IN    $ServerMsg' = [ServerMsg \text{ EXCEPT } ![j] = Append(ServerMsg[j], msg)]$ 
 $\wedge SyncSource' = [SyncSource \text{ EXCEPT } ![i] = j]$ 
 $\wedge$  UNCHANGED  $\langle Primary, Secondary, Pt, ReadyToServe \rangle$ 

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ClientRequest  $\triangleq$ 
 $\wedge ReadyToServe > 0$ 
 $\wedge \exists s \in Server, k \in Key, v \in Value :$ 
   $\wedge s \in Primary$ 
   $\wedge Tick(s)$ 
   $\wedge Ot' = [Ot \text{ EXCEPT } ![s] = Ct'[s]]$ 
   $\wedge Store' = [Store \text{ EXCEPT } ![s][k] = v]$ 
   $\wedge Oplog' =$  LET  $entry \triangleq [k \mapsto k, v \mapsto v, ot \mapsto Ot'[s], term \mapsto CurrentTerm[s]]$ 
     $newLog \triangleq Append(Oplog[s], entry)$ 
    IN    $[Oplog \text{ EXCEPT } ![s] = newLog]$ 
 $\wedge State' =$ 
  LET  $newState \triangleq [$ 
     $p \mapsto Ot'[s].p,$ 
     $l \mapsto Ot'[s].l,$ 
     $term \mapsto CurrentTerm[s]$ 
  ]
  IN   LET  $SubHbState \triangleq State[s]$ 
         $hb \triangleq [SubHbState \text{ EXCEPT } ![s] = newState]$ 
        IN    $[State \text{ EXCEPT } ![s] = hb] \text{ update } i\text{'s state}$ 

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$$\wedge \text{UNCHANGED } \langle \text{Primary}, \text{Secondary}, \text{ServerMsg}, \\ \text{Pt}, \text{Cp}, \\ \text{CurrentTerm}, \text{ReadyToServe}, \text{SyncSource} \rangle$$

Next state for all configurations

$$\begin{aligned} \text{Next} \triangleq & \vee \text{Replicate} \\ & \vee \text{AdvancePt} \\ & \vee \text{AdvanceCp} \\ & \vee \text{ServerTakeHeartbeat} \\ & \vee \text{ServerTakeUpdatePosition} \\ & \vee \text{Stepdown} \\ & \vee \text{RollbackAndRecover} \\ & \vee \text{TurnOnReadyToServe} \\ & \vee \text{ElectPrimary} \\ & \vee \text{ClientRequest} \\ & \vee \text{NTPSync} \end{aligned}$$

$$\text{Spec} \triangleq \text{Init} \wedge \square[\text{Next}]_{\text{vars}}$$

Properties to check?

$$\begin{aligned} \text{IsLogPrefix}(i, j) &\triangleq \\ &\wedge \text{Len}(\text{Oplog}[i]) \leq \text{Len}(\text{Oplog}[j]) \\ &\wedge \text{Oplog}[i] = \text{SubSeq}(\text{Oplog}[j], 1, \text{Len}(\text{Oplog}[i])) \end{aligned}$$

If two logs have the same last *log* entry term, then one is a prefix of the other (from Will)

$$\begin{aligned} \text{LastTermsEquivalentImpliesPrefixes} &\triangleq \\ &\forall i, j \in \text{Server} : \\ &\quad \text{LogTerm}(i, \text{Len}(\text{Oplog}[i])) = \text{LogTerm}(j, \text{Len}(\text{Oplog}[j])) \Rightarrow \\ &\quad \text{IsLogPrefix}(i, j) \vee \text{IsLogPrefix}(j, i) \end{aligned}$$

Check whether terms are incremented monotonically (from Will)

$$\begin{aligned} \text{TermsMonotonic} &\triangleq \\ &\square[\forall s \in \text{Server} : \text{CurrentTerm}'[s] \geq \text{CurrentTerm}[s]]_{\text{vars}} \end{aligned}$$

Check the *log* in *Primary* node is append only (from Will)

$$\begin{aligned} \text{PrimaryAppendOnly} &\triangleq \\ &\square[\forall s \in \text{Server} : s \in \text{Primary} \Rightarrow \text{Len}(\text{Oplog}'[s]) \geq \text{Len}(\text{Oplog}[s])]_{\text{vars}} \end{aligned}$$

Never rollback oplog before common point (from Will & Raft *Mongo*)

$$\begin{aligned} \text{NeverRollbackCommonPoint} &\triangleq \\ &\exists i, j \in \text{Server} : \text{CanRollback}(i, j) \Rightarrow \\ &\quad \text{LET } \text{commonPoint} \triangleq \text{RollbackCommonPoint}(i, j) \\ &\quad \text{lastOplog} \triangleq \text{Oplog}[i][\text{commonPoint}] \\ &\quad \text{IN } \text{HLCLt}(\text{Cp}[i], \text{lastOplog.ot}) \end{aligned}$$

Eventually *log* correctness (from Will)

$$\text{EventuallyLogsConverge} \triangleq \diamond \square[\forall s, t \in \text{Server} : s \neq t \Rightarrow \text{Oplog}[s] = \text{Oplog}[t]]_{\text{vars}}$$

$EventuallyLogsNonEmpty \triangleq \Diamond(\exists s \in Server : Len(Oplog[s]) > 0)$

(from *RaftMongo*)

$TwoPrimariesInSameTerm \triangleq$

$\exists i, j \in Server :$
 $\wedge i \neq j$
 $\wedge CurrentTerm[i] = CurrentTerm[j]$
 $\wedge i \in Primary$
 $\wedge j \in Primary$

$NoTwoPrimariesInSameTerm \triangleq \neg TwoPrimariesInSameTerm$

Check if there is any cycle of sync source path (from *RaftMongo Sync*)

$SyncSourceCycleTwoNode \triangleq$

$\exists s, t \in Server :$
 $\wedge s \neq t$
 $\wedge SyncSource[s] = t$
 $\wedge SyncSource[t] = s$

$BoundedSeq(s, n) \triangleq [1 .. n \rightarrow s]$

$SyncSourcePaths \triangleq$

$\{p \in BoundedSeq(Server, Cardinality(Server)) :$
 $\forall i \in 1 .. (Len(p) - 1) : SyncSource[p[i]] = p[i + 1]\}$

$SyncSourcePath(i, j) \triangleq$

$\exists p \in SyncSourcePaths :$
 $\wedge Len(p) > 1$
 $\wedge p[1] = i$
 $\wedge p[Len(p)] = j$

$SyncSourceCycle \triangleq$

$\exists s \in Server : SyncSourcePath(s, s)$

$NonTrivialSyncCycle \triangleq SyncSourceCycle \wedge \neg SyncSourceCycleTwoNode$

$NoNonTrivialSyncCycle \triangleq \neg NonTrivialSyncCycle$

\ * Modification History
 \ * Last modified Wed May 04 15:41:10 CST 2022 by dh
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