

23MT2014

# THEORY OF COMPUTATION

Topic:

**RE  $\leftrightarrow$  NFA  $\leftrightarrow$  DFA**

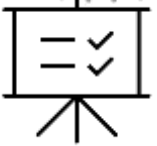
Session - 7

## AIM OF THE SESSION



To familiarize students with the basic concept of Regular Expressions

## INSTRUCTIONAL OBJECTIVES



This Session is designed to:

1. Define Regular Expression
2. Demonstrate the construction of RE for the given languages
3. Describe properties and Identity rules of RE
4. Demonstrate the equivalence of RE and Finite Automata (FA)

## LEARNING OUTCOMES



At the end of this session, you should be able to:

1. Define Regular Expression
2. Construct Regular Expression for given language
3. Convert between RE and FA

## REGULAR EXPRESSION

- **Regular expressions describe regular languages**
- **These are an algebraic representations of languages**
- **They have applications in**
  - **Text editors: search a word using RE (st\*)**
  - **Compiler Design: Comments (\* / ... \*/)**

## REGULAR EXPRESSION - definition

Let  $\Sigma$  be an alphabet. The regular expressions over  $\Sigma$  and the sets that they denote are defined recursively as follows.

$\phi$  is a regular expression and denotes empty set

$\epsilon$  is a regular expression and denotes the set  $\{\epsilon\}$

For each 'a' in  $\Sigma$ , 'a' is a regular expression and denotes the set  $\{a\}$

If 'r' and 's' are regular expressions denoting the languages R and S respectively, then the regular expression

**$r+s$**  denotes  $R \cup S$

**$rs$**  denotes  $RS$

**$r^*$**  denotes  $R^*$

# REGULAR EXPRESSION - operations

**Given regular expressions  $r_1$  and  $r_2$**

**Union**

$$r_1 + r_2$$

**Concatenation**

$$r_1 \cdot r_2$$

**Star Closure**

$$r_1^*$$

$$(r_1)$$

**Are regular expressions**

## REGULAR EXPRESSION - example

**A regular expression:**

$$(a + b \cdot c)^* \cdot (c + \emptyset)$$

**Not a regular expression:**

$$(a + b +)$$

# REGULAR EXPRESSION & Language

Regular Expression	Language
$0^*$	Set of all strings of the form $0^n$ ( $n \geq 0$ ) $L = \{\epsilon, 0, 00, 000, 0000, 00000, \dots\}$
$1+0$	Set of 0 and 1 $L = \{0, 1\}$
$01$	$L = \{01\}$
$(01)^*$	Set of all strings containing n '01's ( $n \geq 0$ ) $L = \{\epsilon, 01, 0101, 010101, 01010101, \dots\}$
$(1+0)^*$	Set of all strings containing 1 and 0 $L = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, 001, 010, 011, \dots\}$
$01^*$	Set of all strings start with 0 followed by n 1s ( $n \geq 0$ ) $L = \{0, 01, 011, 0111, 01111, 011111, \dots\}$
$(0+1)00$	$L = \{000, 100\}$

# Language & REGULAR EXPRESSION

- All strings of 0's and 1's starting with 0 and ending with 1
- $0(0+1)^*1$
- All strings of 0's and 1's with even number of 0's
- $1^*(01^*01^*)^*$
- All strings of 0's and 1's with at least two consecutive 0's
- $(0+1)^*00(0+1)^*$
- All strings of 0's and 1's without two consecutive 0's
- $((1+01)^*(\epsilon+0))$



# Equivalence of Regular Expressions

- Regular expressions  $r_1$  and  $r_2$
- are equivalent if

$$L(r_1) = L(r_2)$$

## Equivalence of Regular Expressions - example

$L = \{ \text{all strings without two consecutive 0} \}$

$$r_1 = (1 + 01)^* (0 + \varepsilon)$$

$$L(r_1) = \{ \varepsilon, 0, 1, 10, 01, 11, 110, 0101, \dots \}$$

$$r_2 = (1^* 0 1 1^*)^* (0 + \varepsilon) + 1^* (0 + \varepsilon)$$

$$L(r_2) = \{ \varepsilon, 0, 1, 10, 01, 11, 110, 0101, \dots \}$$

$L(r_1) = L(r_2) = L \quad \longrightarrow \quad r_1 \text{ and } r_2$   
are equivalent regular expr.

**The two regular expression's P and Q are equivalent (denoted as  $P=Q$ ) if and only if P represents the same set of strings as Q does.**

**For showing the equivalence of two regular expressions we need to show some identities of regular expression's**

1.  $\varepsilon R = R \quad \varepsilon = R$

2.  $\varepsilon^* = \varepsilon$

3.  $(\Phi)^* = \varepsilon$

4.  $\Phi R = R \quad \Phi = \Phi$

5.  $\Phi + R = R$

6.  $R + R = R$

7.  $RR^* = R^*R = R +$

8.  $(R^*)^* = R^*$

9.  $E + RR^* = R^*$

10.  $(P + Q)R = PR + QR$

11.  $(P + Q)^* = (P^*Q^*)^* = (P^* + Q^*)^*$

12.  $R^*(\varepsilon + R) = (\varepsilon + R)R^* = R^*$

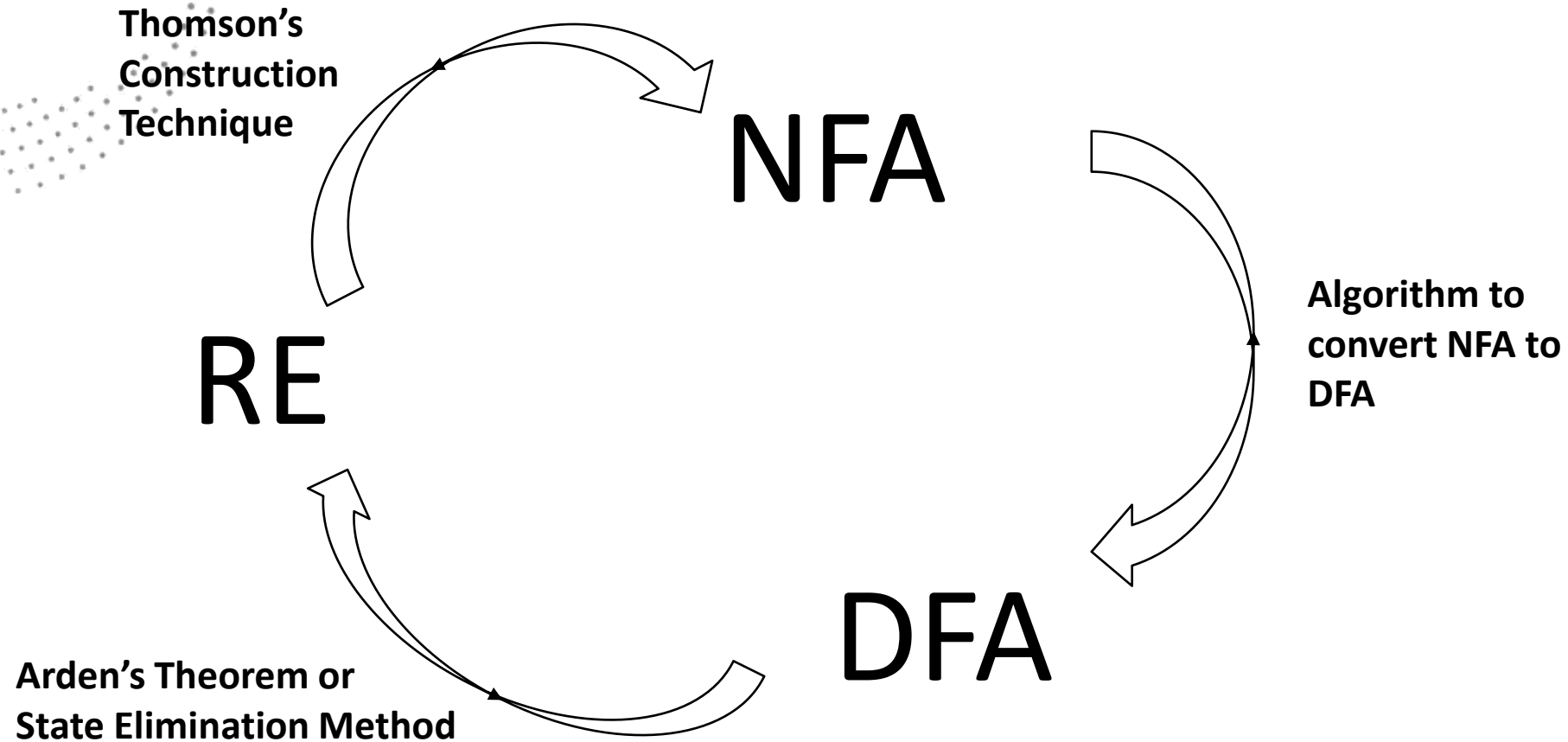
13.  $(R + \varepsilon)^* = R^*$

14.  $E + R^* = R^*$

15.  $(PQ)^*P = P(QP)^*$

16.  $R^*R + R = R^*R$

# Equivalence of Regular Expression & FA



- Thomson's Construction Technique

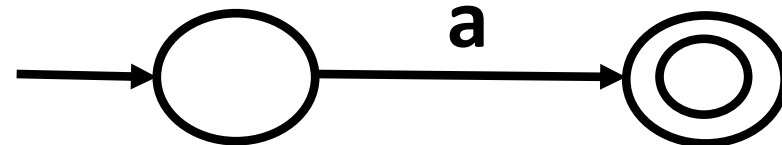
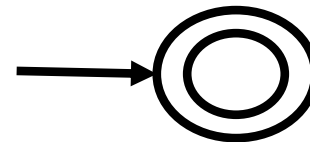
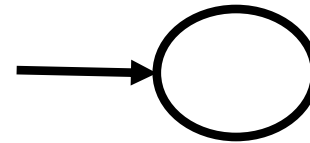
RE

$\Phi$

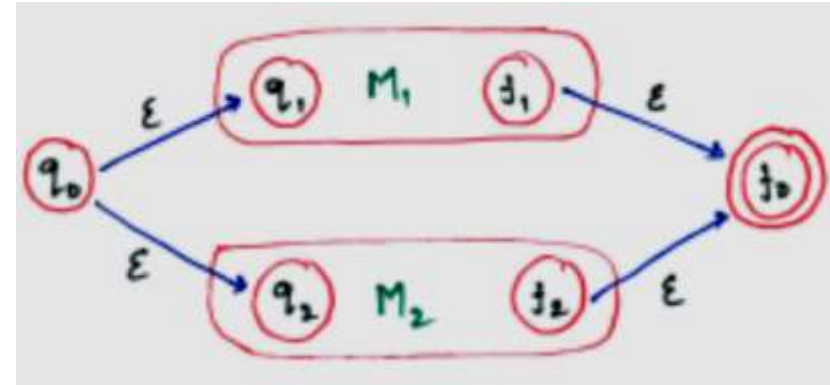
$\lambda$

$a$

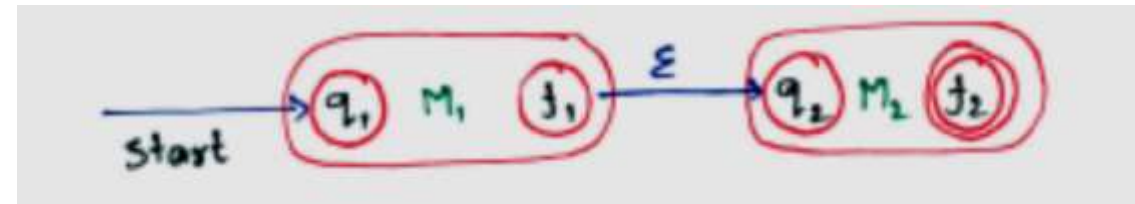
NFA



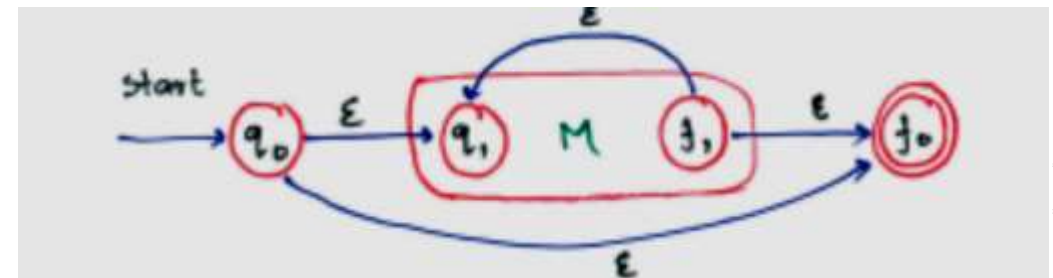
- Case 1 : Construction of  $L_1 + L_2$  (Union)



- Case 2 : Construction of  $L_1L_2$  (Concatenation)



- Case 3 : Construction of  $L_1^*$  (Star closure)



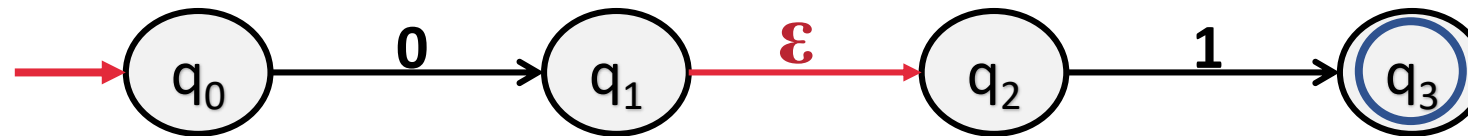
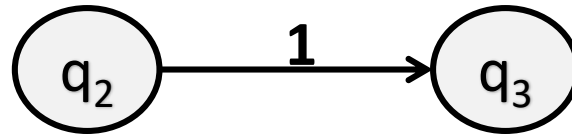
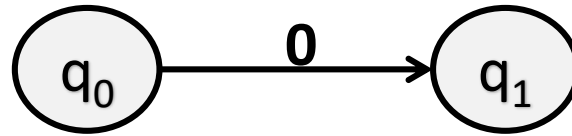
## RE to FA Conversion - Example

RE  $\rightarrow$  01

$r_1 = 0$

$r_2 = 1$

$r_3 = r_1 \cdot r_2$





# RE to FA Conversion - Example

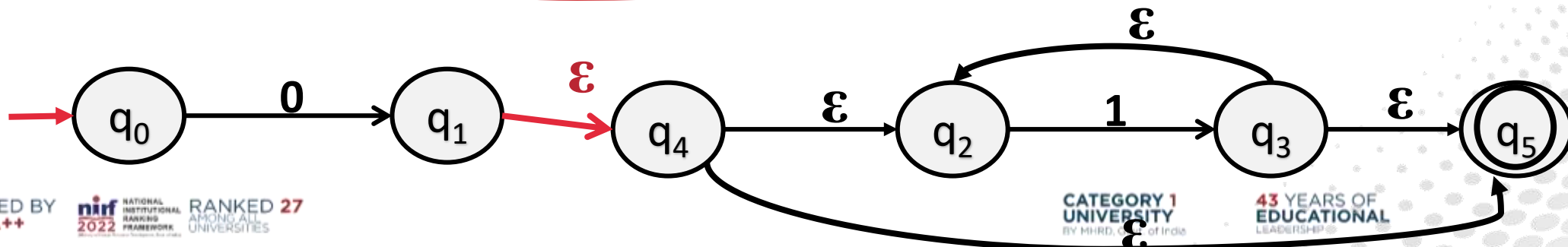
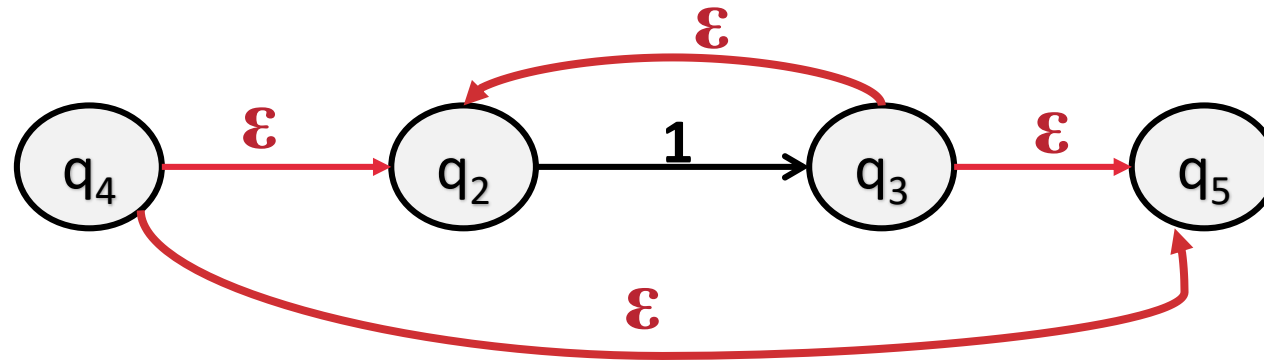
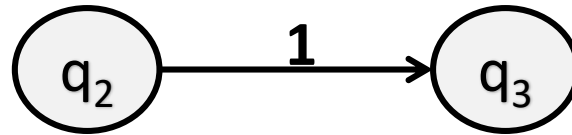
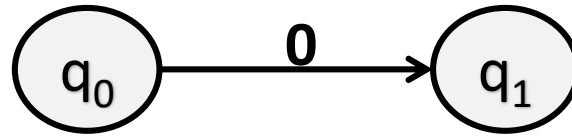
RE  $\rightarrow 01^*$

$r_1 = 0$

$r_2 = 1$

$r_3 = r_2^*$

$r_4 = r_1 \cdot r_3$



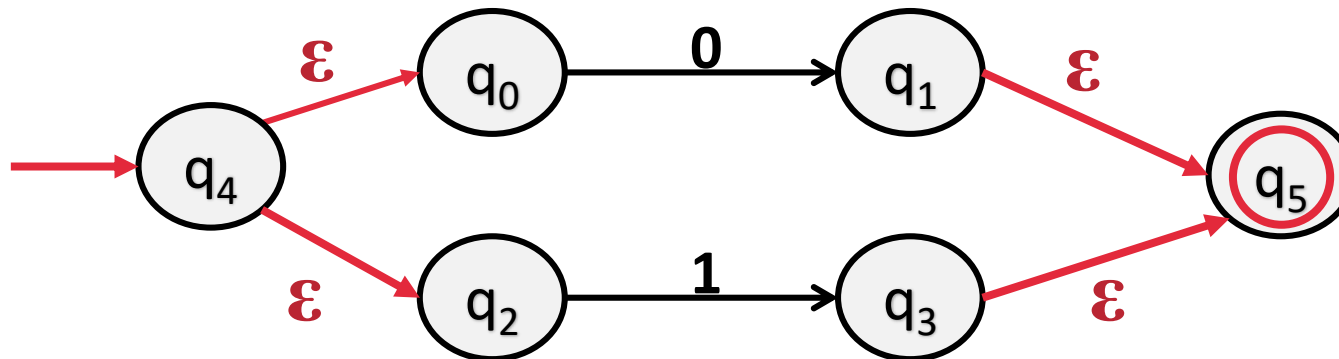
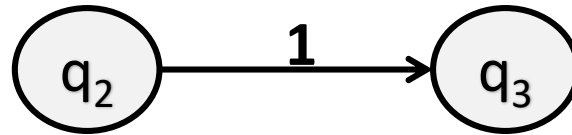
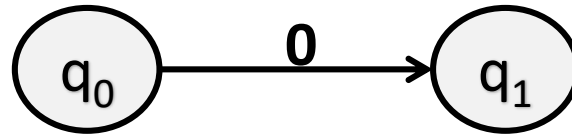
## RE to FA Conversion - Example

RE  $\rightarrow 0 + 1$

$r_1 = 0$

$r_2 = 1$

$r_3 = r_1 + r_2$



## RE to FA Conversion – More Example

$1*0 + 0$

$(0+1)^*$

$0*1^*$

$(01)^*(10)^*+00^*$

## Two Methods : Arden's theorem State Elimination Method

# Arden's Theorem:

- Let  $P$  and  $Q$  be two regular expressions over  $\Sigma$ .  
If  $P$  does not contain  $\lambda$ , then the following equation in  $R$ ,

$$R = Q + RP$$

has a unique solution given by

$$R = QP^*$$

## Conditions-

The transition diagram must not have any  $\epsilon$  transitions.

There must be only a single initial state.

## Step-01:

Form equation for each state considering the transitions which comes towards that state.

Add ' $\epsilon$ ' in the equation of initial state.

## Step-02:

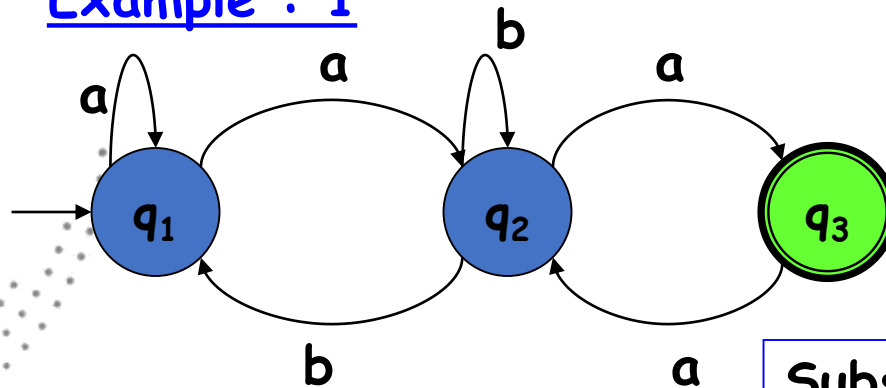
Bring final state in the form  $R = Q + RP$  to get the

**Arden's Theorem can be used to find a regular expression for both DFA and NFA.**

**If there exists multiple final states, then-**

- Write a regular expression for each final state separately.**
- Add all the regular expressions to get the final regular expression.**

## Example : 1



### Initial Equations

$$q_1 = q_1a + q_2b + \lambda$$

$$q_2 = q_1a + q_2b + q_3a$$

$$q_3 = q_2a$$

Substituting the value of  $q_3$  in  $q_2$   
we get:

$$q_2 = q_1a + q_2b + q_2aa$$

$$q_2 = q_1a + q_2(b + aa)$$

By Arden's Theorem

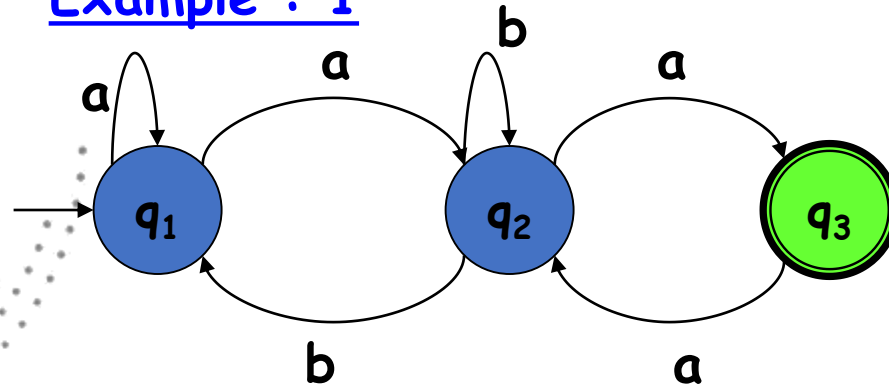
$$R = Q + RP \text{ has a solution } R = QP^*$$

Therefore

$$q_2 = q_1a(b + aa)^*$$



## Example : 1



Substituting the value of  $q_2$  in  $q_1$   
we get:

$$q_1 = q_1 a + q_1 a(b+aa)^* b + \lambda$$

$$q_1 = q_1 (a + a(b+aa)^* b) + \lambda$$

By Arden's Theorem

$$R = Q + RP \text{ has a solution } R = QP^*$$

Therefore

$$q_1 = \lambda (a + a(b+aa)^* b)^*$$

$$q_1 = (a + a(b+aa)^* b)^*$$

## Substituting the values

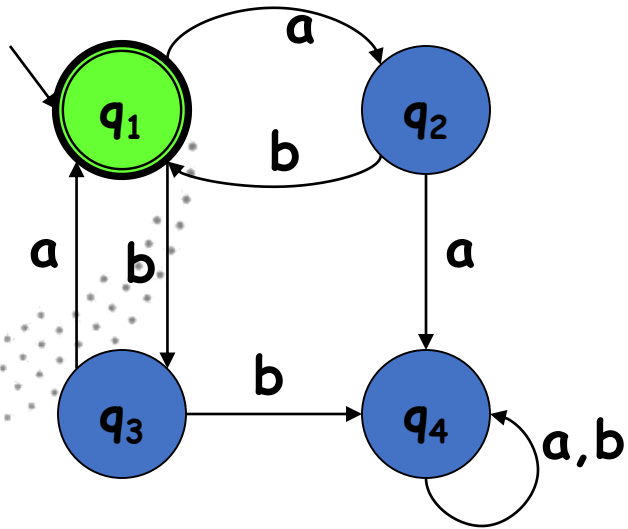
$$q_2 = (a + a(b+aa)^* b)^* a(b+aa)^*$$

$$q_3 = (a + a(b+aa)^* b)^* a(b+aa)^* a$$

So the Resultant regular expression  
that describes the language  
accepted by the given FA is:

$$(a + a(b+aa)^* b)^* a (b+aa)^* a$$

## Example : 2



### Initial Equations

$$q_1 = q_2b + q_3a + \lambda$$

$$q_2 = q_1a$$

$$q_3 = q_1b$$

$$q_4 = q_2a + q_3b + q_4a + q_4b$$

Since  $q_1$  is the only final state and it involves only  $q_2$  and  $q_3$  in its equation, the equation  $q_4$  is redundant for us.

So we will consider only  $q_2$  and  $q_3$  to solve  $q_1$ .

$$\begin{aligned} q_1 &= q_1ab + q_1ba + \lambda \\ &= q_1(ab + ba) + \lambda \end{aligned}$$

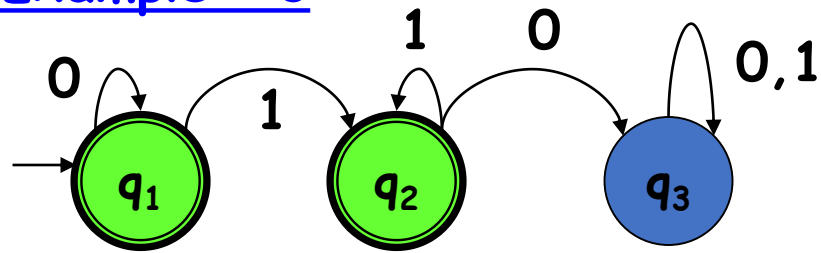
By Arden's Theorem

$$q_1 = \lambda (ab + ba)^*$$

So the Resultant Regular Exp is:

$$(ab + ba)^*$$

## Example : 3



### Initial Equations

$$q_1 = q_1 0 + \lambda$$

$$q_2 = q_1 1 + q_2 1$$

$$q_3 = q_2 0 + q_3(0 + 1)$$

$$q_1 = \lambda 0^* = 0^*$$

$$q_2 = q_1 1 + q_2 1$$

$$q_2 = 0^* 1 + q_2 1$$

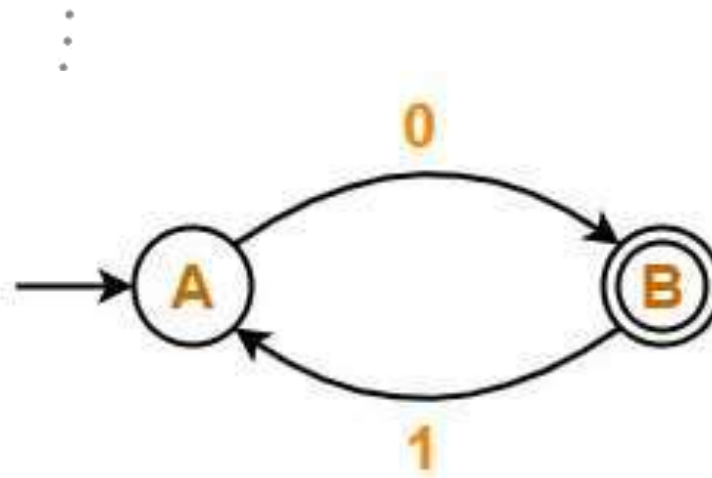
$$q_2 = (0^* 1) 1^*$$

As the Final States are only  $q_1$  and  $q_2$ , we need not solve for  $q_3$

Therefore the Regular Expression will be:

$$\begin{aligned}
 q_1 + q_2 &= 0^* + 0^*(11^*) \\
 &= 0^* (\lambda + 11^*) \\
 &= 0^* 1^* \text{ (by theorem)}
 \end{aligned}$$

# Arden's Theorem – Example 1



## Step-01:

Form an equation for each state-

- $A = \epsilon + B.1$  .....(1)
- $B = A.0$  .....(2)

## Step-02:

Bring final state in the form  $R = Q + RP$ .

Using (1) in (2), we get-

$$B = (\epsilon + B.1).0$$

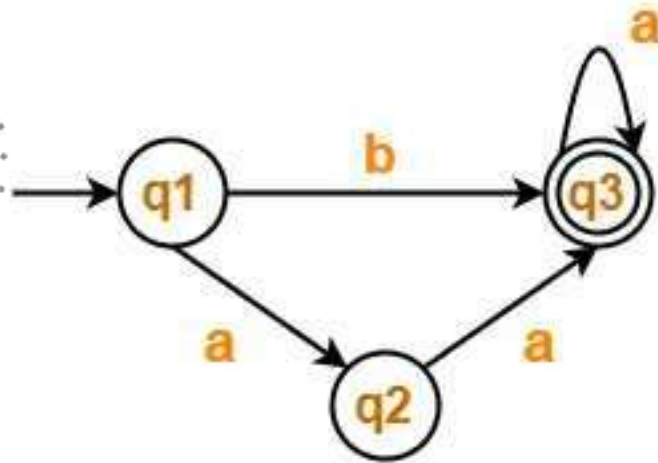
$$B = \epsilon.0 + B.1.0$$

$$B = 0 + B.(1.0) \quad \text{.....(3)}$$

Using Arden's Theorem in (3), we get-

$$B = 0.(1.0)^*$$

## Arden's Theorem – Example 2



### Step-01:

Form an equation for each state-

- $q1 = \epsilon$  .....(1)
- $q2 = q1.a$  .....(2)
- $q3 = q1.b + q2.a + q3.a$  .....(3)

### Step-02:

Bring final state in the form  $R = Q + RP$ .

Using (1) in (2), we get-

$$q2 = \epsilon.a$$

$$q2 = a \quad \text{.....(4)}$$

Using (1) and (4) in (3), we get-

$$q3 = q1.b + q2.a + q3.a$$

$$q3 = \epsilon.b + a.a + q3.a$$

$$q3 = (b + a.a) + q3.a \quad \text{.....(5)}$$

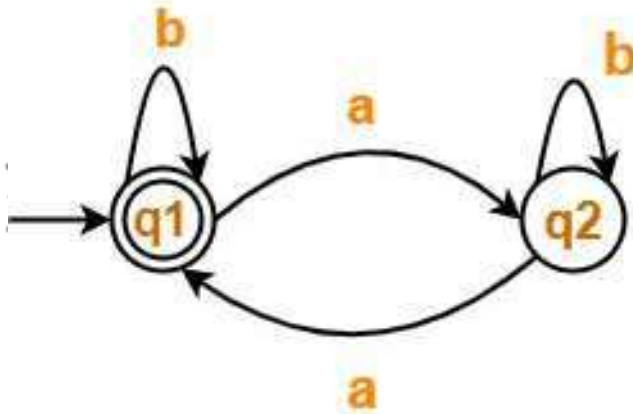
## Arden's Theorem – Example 2

Using Arden's Theorem in (5), we get-

$$q_3 = (b + a.a)a^*$$

Thus, Regular Expression for the given DFA =  $(b + aa)a^*$

## Arden's Theorem – Example 3



### Step-01:

Form an equation for each state-

- $q1 = \epsilon + q1.b + q2.a$  .....(1)
- $q2 = q1.a + q2.b$  .....(2)

Thus, Regular Expression for the given DFA =  $(b + a.b^*.a)^*$

### Step-02:

Bring final state in the form  $R = Q + RP$ .

Using Arden's Theorem in (2), we get-

$$q2 = q1.a.b^* \quad \text{.....(3)}$$

Using (3) in (1), we get-

$$q1 = \epsilon + q1.b + q1.a.b^*.a$$

$$q1 = \epsilon + q1.(b + a.b^*.a) \quad \text{.....(4)}$$

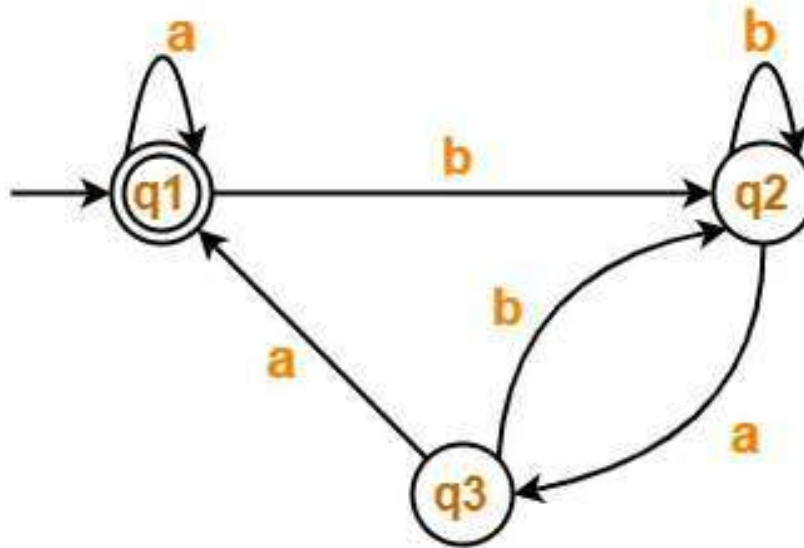
Using Arden's Theorem in (4), we get-

$$q1 = \epsilon.(b + a.b^*.a)^*$$

$$q1 = (b + a.b^*.a)^*$$



# Arden's Theorem – Example 4



## Step-01:

Form an equation for each state-

- $q1 = \epsilon + q1.a + q3.a$  .....(1)
- $q2 = q1.b + q2.b + q3.b$  .....(2)
- $q3 = q2.a$  .....(3)

## Step-02:

Bring final state in the form  $R = Q + RP$ .

Using (3) in (2), we get-

$$q2 = q1.b + q2.b + q2.a.b$$

$$q2 = q1.b + q2.(b + a.b) \quad \text{.....(4)}$$

Using Arden's Theorem in (4), we get-

$$q2 = q1.b.(b + a.b)^* \quad \text{.....(5)}$$

Using (5) in (3), we get-

$$q3 = q1.b.(b + a.b)^*.a \quad \text{.....(6)}$$



## Arden's Theorem – Example 4

⋮

Using (6) in (1), we get-

$$q1 = \epsilon + q1.a + q1.b.(b + a.b)^*.a.a$$

$$q1 = \epsilon + q1.(a + b.(b + a.b)^*.a.a) \quad \text{.....(7)}$$

Using Arden's Theorem in (7), we get-

$$q1 = \epsilon.(a + b.(b + a.b)^*.a.a)^*$$

$$q1 = (a + b.(b + a.b)^*.a.a)^*$$

Thus, Regular Expression for the given DFA =  $(a + b(b + ab)^*aa)^*$

# SUMMARY

- Regular Expressions represent the Regular Languages.
- RE can be converted to Finite Automata using Thomson's Method
- FA can be converted to RE using Arden's Method

## SELF-ASSESSMENT QUESTIONS

1. Which of the following does not represent the given language?  
Language:  $\{0,01\}$

- (a)  $0+01$
- (b)  $\{0\} \cup \{01\}$
- (c)  $\{0\} \cup \{0\}\{1\}$
- (d)  $\{0\} \wedge \{01\}$

2. Which among the following are incorrect regular identities?

- (a)  $\epsilon R = R$
- (b)  $\epsilon^* = \epsilon$
- (c)  $\Phi^* = \epsilon$
- (d)  $R\Phi = R$

## SELF-ASSESSMENT QUESTIONS

3. Conversion of a regular expression into its corresponding NFA :

- (a) Thomson's Construction Algorithm
- (b) Powerset Construction
- (c) Kleene's algorithm
- (d) None of the mentioned

4. The appropriate precedence order of operations over a Regular Language is

- (a) Kleene, Union, Concatenate
- (b) Kleene, Star, Union
- (c) Kleene, Dot, Union
- (d) Star, Union, Dot

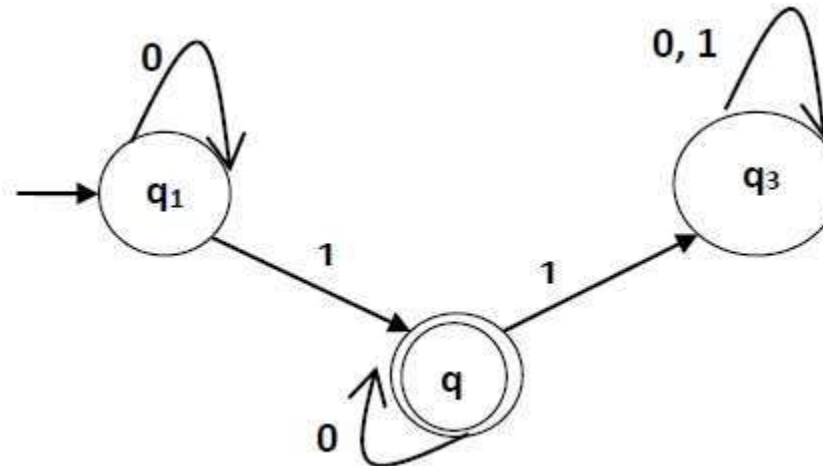
1. Construct a regular expression for the language  $L = \{ w \mid w \in \{0,1\}^* \text{ and 'w' does not contain two consecutives 1} \}$  (4.5 marks)

2. Construct Finite Automata for the following regular expressions.

(a)  $(01)^*(10)^*+00^*$  (4marks)

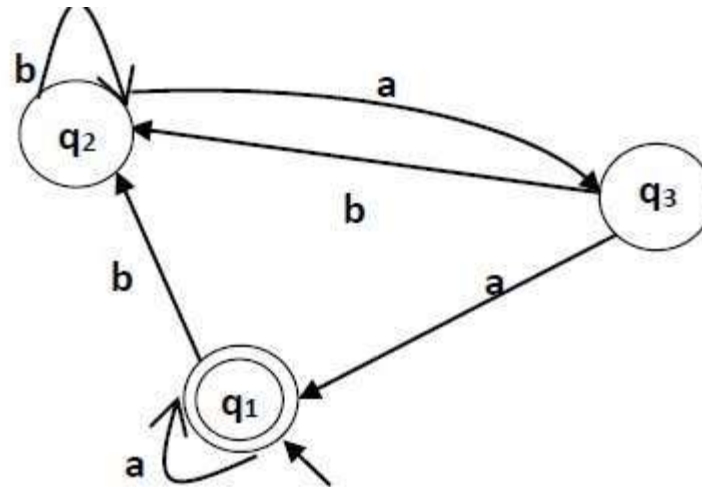
(b)  $(1+0)^* 11 + 101^*$  (4 marks)

3. Construct regular expression for the following FA (12.5 marks)



4. (a) List out the identity rules of Regular Expression (5 marks)

(b) Convert the following FA to RE (10 marks)



# MCQ

Question 1:

Which symbol is used to represent the Kleene star operation in regular expressions?

- a) +
- b) \*
- c) ?
- d) .

Answer: b) \*

Question 2:

What does the "+" operator represent in regular expressions?

- a) Matches the preceding character or subexpression zero or one time.
- b) Matches the preceding character or subexpression one or more times.
- c) Matches the preceding character or subexpression zero or more times.
- d) Matches the preceding character or subexpression exactly once.

Answer: b) Matches the preceding character or subexpression one or more times.

# MCQ

Question 3:

Which of the following is the correct regular expression for matching any digit?

- a) [0-9]
- b) [a-zA-Z]
- c) \d
- d) \w

Answer: a) [0-9]

Question 4:

What does the "^" symbol represent in regular expressions?

- a) Matches the start of a line or string.
- b) Matches the end of a line or string.
- c) Matches any single character.
- d) Matches the preceding character or subexpression zero or one time.

Answer: a) Matches the start of a line or string.



# Terminal question

1. What is the purpose of converting a regular expression to an NFA?
2. What are the steps involved in Thomson's construction method for converting a regular expression to an NFA?
3. How does the state reduction method contribute to the conversion of an NFA to a DFA?
4. What are the advantages of using NFAs and DFAs in language recognition?
5. Can any regular expression be converted into an equivalent DFA?
6. How does the conversion of regular expressions to NFAs and DFAs impact language recognition efficiency?
7. Are Thomson's construction method and state reduction method the only techniques for this conversion?
8. Can the resulting DFA after conversion recognize a language that the original regular expression could not?
9. What role do states and transitions play in the NFA and DFA representations?
10. How does the conversion process from regular expression to NFA to DFA contribute to the study of automata theory?

## Reference Books:

1. John E. Hopcroft, Rajeev Motwani, and Jeffery D. Ullman, “Introduction to Automata Theory, Languages and Computation”, 3rd Edition, Pearson Education, 2008.
2. K. L. P. Mishra and N. Chandrasekaran, “Theory of Computer Science: Automata, Languages, and Computation”, 2nd edition, PHI.
3. Harry R Lewis, Christos H Papadimitriou, “Elements of the theory of computation”, 2nd Edition, PHI/Pearson Education, 1997.

THANK YOU



Team – TOC