# **Code Explanation:**

#### Part 1-1: Minimax Search:

```
這部分程式碼的解釋已包含在截圖裡的註釋中 class MinimaxAgent(MultiAgentSearchAgent):
    """
    Your minimax agent (par1-1)
    """
    def getAction(self, gameState):
```

```
# Begin your code
numGhosts = gameState.getNumAgents() - 1
# pacman agent wants max value, so call maxValue(), not minValue()
return self.maxValue(gameState, 1, numGhosts)

util.raiseNotDefined()
# End your code
```

## Part 1-2: Expectimax Search:

```
這部分程式碼的解釋已包含在截圖裡的註釋中 class ExpectimaxAgent(MultiAgentSearchAgent):
    """
    Your expectimax agent (part1-2)
    """
    def getAction(self, gameState):
```

```
# Begin your code
numGhosts = gameState.getNumAgents() - 1
return self.maxValue(gameState, 1, numGhosts)

util.raiseNotDefined()
# End your code
```

```
# this maxValue() part is almost the same as the one in class MinimaxAgent(MultiAgentSearchAgent)

def maxValue(self, gameState, depth, numChosts):
    if gameState.isWin() or gameState.isLose():
        return self.evaluationFunction(gameState)

maxVal = float("-inf")

bestAction = Directions.STOP

for action in gameState.getLegalActions(0):
    # we call expectValue(), not minValue() because not all situations will be deterministic
    val = self.expectValue(gameState.getNextState(0, action), depth, numChosts, 1)
    if val > maxVal:
        maxVal = val
        bestAction = action

if depth > 1:
    return maxVal

return bestAction
```

#### **Part 1-3: Evaluation Function:**

這部分程式碼的解釋已包含在截圖裡的註釋中

```
# the higher ghostScore is, the better situation the pacman is in
ghostScore = 0
# We / (closestGhostDist+1) because the more the distance is, it is less important
# (closestGhostDist+1) because we don't want denominator to be 0
if minScaredTime == 0:
    # if some ghosts are not scared, we are in bad situation, so the value is minus
    ghostScore = -2.5 / (closestGhostDist+1)
else:
    # if all ghosts are scared, then our situation is better, so the value is plus
    ghostScore = 1 / (closestGhostDist+1)
# the higher currentGameState.getScore() is, the better situation the pacman is in
# the higher remainingFoodCount is, the worse situation the pacman is in because remaining foods more,
# so the sign is minus
# the weights are determined by experiments
return 0.8*currentGameState.getScore()+0.6/(closestGhostDist+1)+0.7*minScaredTime-0.5*remainingFoodCount+ghostScore
# End your code
```

#### Part 2-1: Value Iteration:

這部分程式碼的解釋已包含在截圖裡的註釋中 class ValueIterationAgent(ValueEstimationAgent):

```
def computeQValueFromValues(self, state, action):
    """
    Compute the Q-value of action in state from the
    value function stored in self.values.
    """
    "*** YOUR CODE HERE ***"
    # Begin your code
    QValue = 0
    # iterate through all possible nextStates, prob means the probability to transit to that nextState
    for nextState, prob in self.mdp.getTransitionStatesAndProbs(state, action):
        QValue += prob * (self.mdp.getReward(state, action, nextState) + self.discount * self.values[nextState])
    return QValue
    # End your code
```

```
def computeActionFromValues(self, state):
    """
    The policy is the best action in the given state
    according to the values currently stored in self.values.

    You may break ties any way you see fit. Note that if
    there are no legal actions, which is the case at the
        terminal state, you should return None.
    """
    "*** YOUR CODE HERE ***"
    # Begin your code
    #check for terminal
    if self.mdp.isTerminal(state):
        return None
    # initialize values to a dictionary
    values = util.Counter()
    # iterate through all possible actions and store the QValue in values
    for action in self.mdp.getPossibleActions(state):
        values[action] = self.getQValue(state, action)
    # argMax returns the key with the highest value
    # find the best action with the highest value
    return values.argMax()
# End your code
```

上面的程式中,計算了下面 value iteration 的公式

$$V^*(s) = \max_{a} \sum_{s'} T(s, a, s') [R(s, a, s') + \gamma V^*(s')]$$

# Part 2-2: Q-learning:

class QLearningAgent(ReinforcementAgent):

```
def getQValue(self, state, action):
    """
    Returns Q(state, action)
    Should return 0.0 if we have never seen a state
    or the Q node value otherwise
    """
    "*** YOUR CODE HERE ***"
    # Begin your code
    return self.QValues[(state, action)]
    # End your code
```

```
def computeValueFromQValues(self, state):
    """
    Returns max_action Q(state,action)
    where the max is over legal actions. Note that if
    there are no legal actions, which is the case at the
    terminal state, you should return a value of 0.0.
"""
    "*** YOUR CODE HERE ***"
    # Begin your code
    # get all possible actions
    actions = self.getLegalActions(state)
    # check if no legal actions
    if not actions:
        return 0.0
    # return maximum QValue among all actions as value
    return max(self.getQValue(state, action) for action in actions)
    # End your code
```

```
def computeActionFromQValues(self, state):
    """
    Compute the best action to take in a state. Note that if there
    are no legal actions, which is the case at the terminal state,
    you should return None.
    """
    "*** YOUR CODE HERE ***"
    # Begin your code
    # get all legal actions
    actions = self.getLegalActions(state)
    # check if no legal actions
    if not actions:
        return None

# store all best actions which have the same max QValue in a list
    best_actions = []
    # compute best QValue and store it in max_QValue
    max_QValue = self.computeValueFromQValues(state)
    # iterate through all legal actions
    for action in actions:
        # check if making this action can also get the best QValue
        if self.getQValue(state, action) == max_QValue:
            best_actions.append(action)
# randomly choose action among all best actions
    return random.choice(best_actions)
# End your code
```

#### Update formula for Q-Learning:

$$q^{new}\left(s,a
ight) = (1-lpha)\underbrace{q\left(s,a
ight)}_{ ext{old value}} + lpha \left(R_{t+1} + \gamma \max_{a^{'}} q\left(s^{\prime},a^{\prime}
ight)
ight)$$

## Part 2-3: epsilon-greedy action selection:

```
def getAction(self, state):
    """
    Compute the action to take in the current state. With
    probability self.epsilon, we should take a random action and
    take the best policy action otherwise. Note that if there are
    no legal actions, which is the case at the terminal state, you
    should choose None as the action.

HINT: You might want to use util.flipCoin(prob)
    HINT: To pick randomly from a list, use random.choice(list)
    """

# Pick Action
# get all legal actions
legalActions = self.getLegalActions(state)
action = None
    "*** YOUR CODE HERE ***"
# Begin your code
# check if no legal actions
if not legalActions:
    return None
```

在 epsilon-greedy action selection 中,會遵循下圖中的規則,而 epsilon 會從大到小,因此 會從大部分 exploration 轉變到大部分 exploitation

```
if random_num > epsilon:
# choose action via exploitation
else:
# choose action via exploration
```

## Part 2-4: Approximate Q-learning:

class ApproximateQAgent(PacmanQAgent):

```
def getQValue(self, state, action):
    """
    Should return Q(state,action) = w * featureVector
    where * is the dotProduct operator
    """
    "*** YOUR CODE HERE ***"
    # Begin your code
    # get weights and feature
    features = self.featExtractor.getFeatures(state, action)
    # return the summation of all features[i]*weights[i]
    return sum(self.weights[feature]*value for feature, value in features.items())
    # End your code
```

因為在 SARSA 中,Q-table 站的空間太大,所以使用 Feature Approximation。他的想法是 learn a reward function as a linear combination of features,並使用下列程式計算 QValue。

$$Q(s,a) = \sum_{i}^{n} f_i(s,a) w_i$$

```
def update(self, state, action, nextState, reward):

"""

Should update your weights based on transition

"""

"*** YOUR CODE HERE ***"

# Begin your code

# get features

features = self.featExtractor.getFeatures(state, action)

# calculate correction due to the formula

correction = reward + self.discount * self.getValue(nextState) - self.getQValue(state, action)

# iterate through all features

for feature, value in features.items():

# update weights due to the formula

self.weights[feature] += self.alpha * correction * value

# End your code
```

在 Approximate Q-Learning 中,會使用 gradient descent 來更新 weights,correction 代表想達到的預計值與現在狀態下的差值,update 的公式如下

$$w_i \leftarrow w_i + \alpha[correction]f_i(s, a)$$
  
 $correction = (R(s, a) + \gamma V(s')) - Q(s, a)$ 

## **Discussion:**

### I. Value Iteration VS Q-Learning:

#### A. Value Iteration:

It is a model based-learning where we know the reward for a state and action pair, and the transitions for every action from a state. It is used for deterministic questions.

The results for command "python gridworld.py -a value -i 100 -k 100 -q" are the following pictures.

// (-i 100) means 100 rounds of value iteration

// (-k 100) means 100 episodes of execution of MDP



#### B. Q-Learning:

In Q-learning, the agent does not know state transition probabilities or rewards. The agent only discovers that there is a reward for going from one state to another via a given action when it does so and receives a reward. The transition probability is similar to that, too. Therefore, it is model-free.

The results for command "python gridworld.py -a q -k 100" are the following pictures. // (-k 100) means 100 episodes of execution of MDP



#### II. Evaluation Function in Expectimax Search:

A. When better = scoreEvaluationFunction, the results for command "python autograder.py" are the following pictures.

```
Average Score: -109.73
Scores: 833.0, 1285.0, -260.0, -487.0, -178.0, -139.0, -584.0, 779.0, -494.0, 390.0
51.0, 496.0, 386.0, 666.0, 154.0, 992.0, -467.0, -1126.0, 50.0, -519.0, -115.0, -117.0, -2477.0, -159.0, -207.0, -533.0, 675.0, 158.0, 426.0, -157.0, -401.0, 902.0, -387.0, 888.0, 51.0, -178.0, 76.0, 711.0, -4528.0, -257.0, -64.0, -315.0, -1024.0, -3.0, -243.0, -709.0, 5.0, -5112.0, 174.0, 1015.0, -331.0, 450.0
Win Rate: 61/100 (0.61)
```

B. When better = betterEvaluationFunction, the results for command "python autograder.py" are the following pictures. The win rate and average score are higher than previous evaluation function.

```
Average Score: 968.63
Scores: 1166.0, 876.0, 906.0, 1040.0, 1128.0, 1208.0, 914.0, 990.0, 2.0, 1157.0, 998.0, 422.0, 770.0, 580.0, 933.0, 1082.0, 932.0, 894.0, 0, 881.0, 1056.0, 707.0, 1200.0, 738.0, 1146.0, 1038.0, 802.0, 994.0, 1200.0, 1062.0, 900.0, 1094.0, 1013.0, 1186.0, 810.0, 654.0, 696.0, 977.0, .0, 1018.0, 952.0, 1148.0
Win Rate: 100/100 (1.00)
```

III. Comparison between Expectimax Search, Approximate Q-Learning, and DQN: I compare three methods by using these parameters: -n 100 (100 games) and -l smallClassic (smallClassic layout).

## A. Expectimax Search:

The result for command "python pacman.py -p ExpectimaxAgent -l smallClassic -a depth=3 -q -n 100" is the following picture.

```
Average Score: 643.76

Scores: 795.0, 248.0, 1313.0, 26.0, 1682.0, 83.0, 62.0, 339.0, 1046
1214.0, -194.0, 1297.0, 1409.0, 1486.0, -266.0, 996.0, 141.0, 266.0, -214
0, 1268.0, 1034.0, 44.0, 188.0, 1050.0, 1290.0, 1365.0, 1430.0, 1233.0, -4
255.0, -117.0, 64.0, -463.0, 776.0, 1226.0, 1500.0, 102.0, 1085.0, 823.0,
1021.0, 1648.0, -58.0, 1576.0

Win Rate: 54/100 (0.54)
```

The win rate for Expectimax Search was not high and the computing time for this method was very long. If the depth=4, then the computing time will be too long to run the game.

## B. Approximate Q-Learning:

The SimpleExtractor function and its feature description is written as the following.

```
def getFaatures(self, state, action):
    # extract the grid of food and wall locations and get the ghost locations
    food = state.getFood()
    walls = state.getWalls()
    ghosts = state.getGhostPositions()

    features = util.Counter()

    features["bias"] = 1.0

# compute the location of pacman after he takes the action
    x, y = state.getPacmanPosition()
    dx, dy = Actions.directionToVector(action)
    next_x, next_y = int(x + dx), int(y + dy)

# count the number of ghosts 1-step away
    features["#-of-ghosts-1-step-away"] = sum((next_x, next_y) in Actions.getLegalNeighbors(g, walls) for g in ghosts)

# if there is no danger of ghosts then add the food feature
    if not features["#-of-ghosts-1-step-away"] and food[next_x][next_y]:
        features["eats-food"] = 1.0

dist = closestFood((next_x, next_y), food, walls)
    if dist is not None:

# make the distance a number less than one otherwise the update
    # will diverge wildly
    features.divideAll(10.0)
    return features
```

# PacMan features from lab

- "bias" always 1.0
- "#-of-ghosts-1-step-away" the number of ghosts (regardless of whether they are safe or dangerous) that are 1 step away from Pac-Man
- "closest-food" the distance in Pac-Man steps to the closest food pellet (does take into account walls that may be in the way)
- "eats-food" either 1 or 0 if Pac-Man will eat a pellet of food by taking the given action in the given state

The result for command "python pacman.py -p ApproximateQAgent -a extractor=SimpleExtractor -x 50 -n 150 -l smallClassic -q" is the following picture.

```
Scores: 975.0, 957.0, 969.0, 987.0, 971.0, 1166.0, 1158.0, 963.0, 1159.0, 980.0, 975.0, 968.0, -143.0, 972.0, 966.0, 1341.0, 976.0, 976.0, 978.0, 982.0, 979.0, -146.0, 954.0, 967.0, -185.0, 977.0, 959.0, 976.0, -64.0, 976.0, -25.0, 980.0, 966.0, 971.0, 973.0, 978.0, 962.0, 983.0, -349.0, 950.0, 987.0, Win Rate: 88/100 (0.88)
```

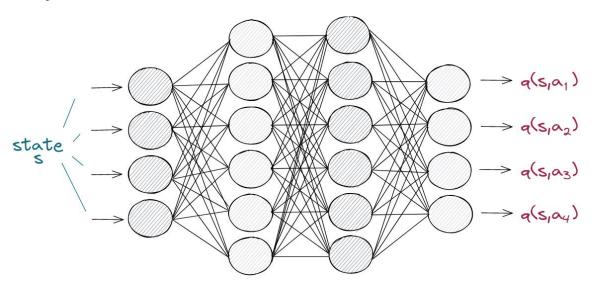
The win rate for Approximate Q-Learning was high, and its computing time was much shorter than using Expectimax Search.

## C. Deep Q-Learning (DQN):

While Q-Learning performs quite well in the pacman game, its performance will drop-off considerably when working in more complex and sophisticated environments. In large environment, each state in the environment would be represented by a set of pixels, and the agent may be able to take several actions from each state. The iterative process of computing and updating Q-values for each state-action pair in a large state space becomes computationally inefficient and perhaps infeasible due to the computational resources and time this may take.

Therefore, we can use DQN to solve the problem. In DQN, we have a deep neural network that accepts states from a given environment as input. For each given state input, the network outputs estimated QValues for each action that can be taken from that state. The objective of this network is to approximate the optimal Q-function. Next, the loss from the network is calculated by comparing the outputted QValues to the target QValues from the Bellman equation. After the loss is calculated, the weights within the network

are updated via SGD and backpropagation to minimize the loss. This process is done over and over again for each state in the environment until we sufficiently minimize the loss and get an approximate optimal Q-function. The following is a schematic diagram for DQN.



The DQN architecture in this pacman game is as the following.

```
""" Deep Q Network """

Class DQN(nn.Module):

    def __init__(self, num_inputs=6, num_actions=4):
        super(DQN, self).__init__()

        self.conv1 = nn.Conv2d(num_inputs, 32, kernel_size=3, stride=1)
        self.conv2 = nn.Conv2d(32, 64, kernel_size=2, stride=1)
        self.fc3 = nn.Linear(4352, 512)
        self.fc4 = nn.Linear(512, num_actions)

def forward(self, x):
        x = F.relu(self.conv1(x))
        x = F.relu(self.conv2(x))
        # print(x.view(x.size(0), -1).shape)
        x = F.relu(self.fc3(x.view(x.size(0), -1)))
        return self.fc4(x)
```

I train the model with 10000 episodes. The result for command "python pacman.py -p PacmanDQN -n 10000 -x 10000 -l smallClassic" is the following picture.

```
Episode no = 9961; won: True; Q(s,a) = 238.29149839582405; reward = 678.0; and epsilon = 0.1

Episode no = 9962; won: False; Q(s,a) = 218.2335448414027; reward = -273.0; and epsilon = 0.1

Episode no = 9963; won: True; Q(s,a) = 219.87824857803213; reward = 644.0; and epsilon = 0.1

Episode no = 9964; won: False; Q(s,a) = 221.98219308381346; reward = 2.0; and epsilon = 0.1

Episode no = 9965; won: False; Q(s,a) = 226.7128961674246; reward = -9.0; and epsilon = 0.1

Episode no = 9966; won: True; Q(s,a) = 220.77536225989547; reward = 749.0; and epsilon = 0.1

Episode no = 9967; won: True; Q(s,a) = 212.53860800687738; reward = 748.0; and epsilon = 0.1

Episode no = 9968; won: False; Q(s,a) = 200.0770074414617; reward = -342.0; and epsilon = 0.1

Episode no = 9969; won: True; Q(s,a) = 227.8366021347603; reward = 786.0; and epsilon = 0.1

Episode no = 9970; won: False; Q(s,a) = 227.5500288744018; reward = 63.0; and epsilon = 0.1

Episode no = 9971; won: True; Q(s,a) = 219.86522775681058; reward = 749.0; and epsilon = 0.1

Episode no = 9972; won: True; Q(s,a) = 219.72594644937837; reward = 701.0; and epsilon = 0.1

Episode no = 9973; won: False; Q(s,a) = 222.30283907937135; reward = 25.0; and epsilon = 0.1

Episode no = 10000; won: True; Q(s,a) = 222.42646064155; reward = 823.0; and epsilon = 0.1

UPDATING target network
```

After training, I used the command "python pacman.py -p PacmanDQN -n 200 -x 100 -l smallClassic -q". The result is the following picture.

```
Episode no = 191; won: False; Q(s,a) = 232.60911673586477; reward = -46.0; and epsilon = 0.6 Pacman emerges victorious! Score: 1326 Episode no = 192; won: True; Q(s,a) = 234.26560533896486; reward = 672.0; and epsilon = 0.0 Pacman emerges victorious! Score: 1746 Episode no = 193; won: True; Q(s,a) = 229.68038789218977; reward = 772.0; and epsilon = 0.0 Pacman emerges victorious! Score: 1563 Episode no = 194; won: True; Q(s,a) = 236.3426160467681; reward = 738.0; and epsilon = 0.0 Pacman emerges victorious! Score: 1737 Episode no = 195; won: True; Q(s,a) = 238.6111717796316; reward = 752.0; and epsilon = 0.0 Pacman emerges victorious! Score: 1109 Episode no = 196; won: True; Q(s,a) = 228.25633336428635; reward = 593.0; and epsilon = 0.0 Pacman emerges victorious! Score: 1365 , 1563.0, 1737.0, 1109.0, 1365.0, 1378.0, 1099.0, 1215.0 Win Rate: 85/100 (0.85)
```

The win rate for DQN was high, and its computing time was much shorter than using Expectimax Search.

#### IV. 心得

在這項作業中,雖然原本對 Reinforcement Learning 了解不多,但在大量查找網路資料並配合作業中程式碼後,學到了不少關於這方面的知識。作業中最困難的部份應該是要如何從這個大致上寫好的 project 中找到並正確使用各項函式,在這方面花了不少時間,其餘部分反而沒太大問題。