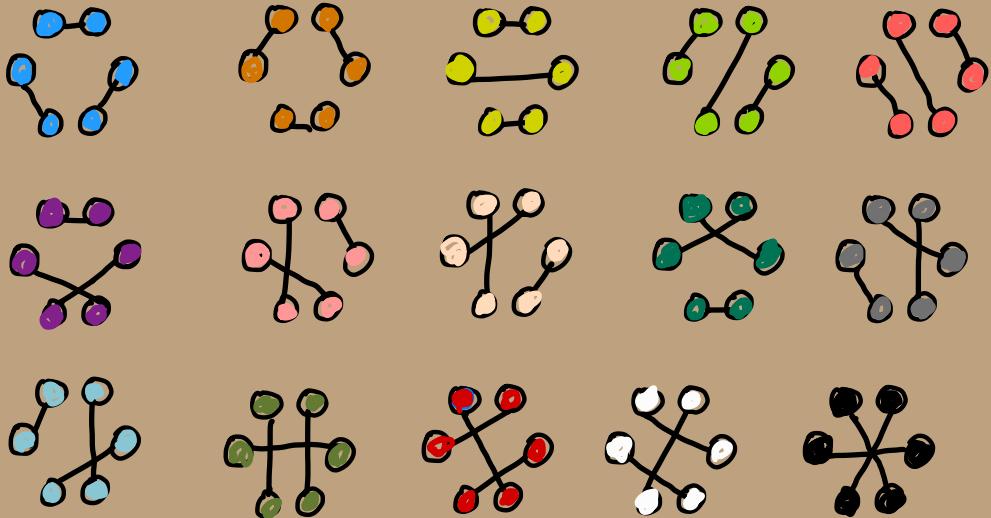


~~More~~

Counting / Combinatorics



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Lecture Notes

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$$\# \text{ Permutations} = n \cdot (n-1) \cdot (n-2) \cdots \cdot 3 \cdot 2 \cdots 1$$

$\stackrel{n!}{=} \text{An } \underline{\text{ordered}} \text{ arrangement of } n \text{ objects}$

$$\begin{aligned} k\text{-permutation} &= n \cdot (n-1) \cdots (n-k+1) \\ &= P(n, k) = C(n, k) \cdot k! = \binom{n}{k} k! \\ &= \frac{n!}{(n-k)!} \end{aligned}$$

$=$ ways to choose, then order k of n objects.

$$\begin{aligned} \# \text{ combinations} &= C(n, k) = \boxed{\binom{n}{k} = \frac{n!}{(n-k)! k!}} = \frac{P(n, k)}{k!} \\ &= n \text{ choose } k \end{aligned}$$

$=$ # of unordered subsets of size k from n objects.

(The order in which we choose k objects makes no difference)

Fun Facts:

$$\binom{n}{n-1} = \binom{n}{1} = n$$

e.g. 01101000

$$\binom{n}{0} = \binom{n}{n} = 1$$

$\binom{8}{3} =$ choose 1 locations

$$\binom{n}{k} = \binom{n}{n-k}$$



$\binom{8}{s} =$ choose \emptyset locations

Examples

① 10 packages: 6 heavy, 4 light.

Choose 3 packages: $\binom{10}{3}$

Choose and Deliver: $\binom{10}{3} 3! = P(10, 3) = \frac{10!}{7!}$

② Choose 3 heavy and 3 light.

$$\binom{6}{3} \binom{4}{3} = 80$$

choose and deliver:
 $\binom{6}{3} \binom{4}{3} 6!$

③ A "byte" has 8 bits.

How many bytes have 3 1-bits, e.g. 01101000

$$\binom{8}{3} = \frac{8!}{3! 5!} = \frac{8 \cdot 7 \cdot 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1}{3 \cdot 2 \cdot 1 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 56$$

④ How many bytes have exactly 5 ones?

$$\binom{8}{5} = \frac{8!}{5! 3!} = 56 \quad [\text{we are just flipping the bits}]$$

⑤ If $|A| = 10$, how many subsets have at least 8 elements?

$$\binom{10}{8} + \binom{10}{9} + \binom{10}{10}$$

$$= \frac{10 \cdot 9}{2 \cdot 1} + \frac{10}{1} + 1$$

$$= 45 + 10 + 1 = 56$$

⑤ Password Spaces

6 - 10 characters : Uppercase, Lowercase, Digits
 3 + letters
 3 + digits

How many passwords are there?
 What are the steps to defining the PW?
 Are the decisions AND or OR.

a) Length ? 6, 7, 8, 9, or 10 \Rightarrow Sum Rule.

$$\# \text{Length } 6 + \# \text{Length } 7 + \dots + \# \text{Length } 10$$

b) Once I pick length, count legals

$$\# \text{Legal} = \# \text{Possible} - \# \text{illegal}$$

~~# illegals~~

6 disjoint choices

\downarrow
sum rule

$$\begin{aligned} 0 \text{ letters} &\Rightarrow 10^9 \\ 1 \text{ letter} &\Rightarrow \text{Pick Letter Pos} \times \text{Pick Letter} \times \text{digits} = 9 \cdot 52 \cdot 10^8 \\ 2 \text{ letter} &\Rightarrow \binom{9}{2} \cdot 52^2 \cdot 10^7 \\ 0 \text{ digit} &\Rightarrow 52^9 \\ 1 \text{ digit} &\Rightarrow \text{Pick digit Position} \times \text{Pick Digit} \times \text{Tenters} \\ 2 \text{ digit.} &\Rightarrow \binom{9}{2} 10^2 \cdot 52^7 = 9 \cdot 10 \cdot 52^8 \end{aligned}$$

w/o inclusion/exclusion (2 letters \Rightarrow 7 digits)
 so
 there is no overlap among categories of illegals.

$$\# \text{PW} = \sum_{i=6}^{10} \left(62^i - \left(10^i + i \cdot 52 \cdot 10^{i-1} + \binom{i}{2} 52^2 \cdot 10^{i-2} + 52^i + i \cdot 10 \cdot 52^{i-1} + \binom{i}{2} 10^2 \cdot 52^{i-2} \right) \right)$$

More passwords:

How many 3-digit PIN's with at least one even digit.

How many total PIN's? $10 \cdot 10 \cdot 10 = 1000$

a) False Argument:

choose even digit location: 3

of even digits: 5

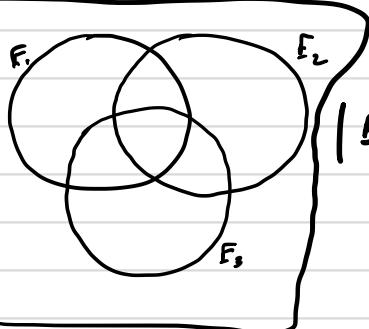
Possibilities for remaining digits: 10×10

1500

$1500 > 1000$, WRONG!

b)

E_1	= even digit in 1st location
E_2	= 2nd ..
E_3	= 3rd ..



$$|E_1 \cup E_2 \cup E_3| = |E_1| + |E_2| + |E_3|$$

$$\begin{aligned} & - |E_1 \cap E_2| - |E_1 \cap E_3| - |E_2 \cap E_3| \\ & + |E_1 \cap E_2 \cap E_3| = 875 \end{aligned}$$

c) # Legal PIN's = # PIN's - # Illegal PIN's

$$= 1000 - \# \text{ all odd}$$

$$= 1000 - 5 \cdot 5 \cdot 5$$

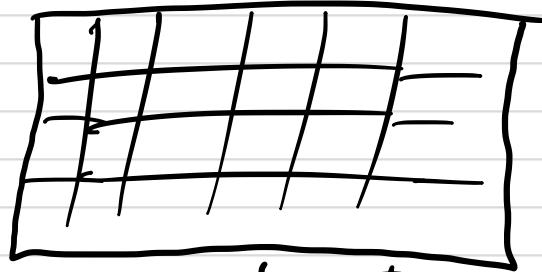
$$= 1000 - 125 = 875$$

(13)

Pigeon Hole Principle

A) Imagine you had 101 pigeons and a wall with 100 slots for each of your pigeons to nest in.

Y Y
Y Y
Y Y
Y



What can we say about the occupancy of the holes?

At least one slot has two pigeons!

B) Suppose I flip a coin 5 times. I might get H or T:

HHTHT }
TTTTT }
HTTHH } Now my coin
flips are the pigeons and I have two
slots or "holes" — one for heads and one for tails.

By the Pigeon Hole Principle, I know at least 3+ Heads or 3+ Tails.

PHP - continued .

c) The population of Boston is 600 thousand. A human head has 90 - 150,000 hairs.

Even ignoring bald people and allowing for up to 500,000 hairs on a head there are 2 people walking around with exactly the same # of hairs!

$$\lceil \frac{600,000}{500,000} \rceil = \lceil 1.2 \rceil = 2$$

In general , when we have n objects to assign to k "slots" , we know that one of the slots will have

$\lceil n/k \rceil$ objects .

"ceiling" function

round up to the

next highest integer .

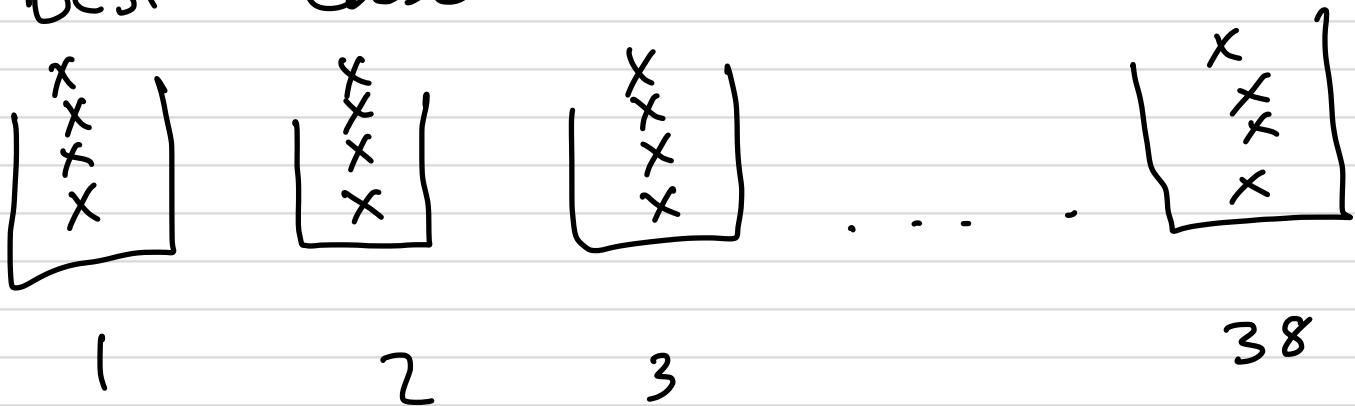
Objects are discrete .

(No splitting the poor birds across multiple holes!!)

Roulette Again

How many spins before
I am guaranteed to see
the same # 5 times?

Best Case:



$38 \cdot 4 = 152$ spins and each
appears 4 times.

It only requires 1 more spin

So: $38 \cdot 4 + 1 = 153$ spins.

Note: $\lceil \frac{153}{38} \rceil = \lceil 4.02 \rceil = 5$

OPTIONAL

Theorem: n objects in k boxes.

Let x_1, x_2, \dots, x_k be
 k integers. (non negative)

$$\sum_i x_i = n$$

Average: $\bar{x} = \frac{n}{k} = \frac{x_1 + x_2 + \dots + x_k}{k}$

Claim: At least one x_i must
be at least as large as \bar{x}

$$x_i \geq \bar{x}$$

(Can't have all values $< \bar{x}$)

Proof by contradiction

Assume that no $x_i \geq \bar{x}$
Then all $x_i < \bar{x}$ for all i :

$$x_1 < \bar{x} \quad x_2 < \bar{x} \quad \Rightarrow x_1 + x_2 + \dots + x_k < k \bar{x}$$

$$\begin{matrix} \vdots \\ x_k < \bar{x} \end{matrix} \quad \downarrow \quad \bar{x} > \frac{x_1 + \dots + x_k}{k}$$

$$\bar{x} > \bar{x} \quad (\text{contradiction})$$



OPTIONAL

②

Binomial Theorem : $(x+y)^n$

$$(x+y)^0 = 1$$

$$(x+y)^1 = x+y$$

$$(x+y)^2 = (x+y)(x+y) = x^2 + 2xy + y^2$$

		\uparrow choose x or y	\uparrow choose x or y	
F	First	x	x	$\rightarrow x^2$
O	Outer	x	y	$\rightarrow xy$
I	Inner	y	x	$\rightarrow yx$
L	Last	y	y	$\rightarrow y^2$

$\Rightarrow x^2 + 2xy + y^2$

	x	y
x	x^2	xy
y	yx	y^2

Another way to think about it:

Each Terms can involve 0, 1, or 2 y 's
 So my choice is: How many y 's do we want to expand by?

$$(x+y)^3 = (x+y)(x+y)(x+y)$$

For each term I choose x or y for expansion.
 The resultant terms will have

$$x^3 \quad x^2y \quad xy^2 \quad y^3$$

Q: How many y 's do I choose? (Dictates term)
 How many ways can you do that (Dictates coefficient)

OPTIONAL

e.g. x^2y term

#ways = 3

A:	$0 y^5$	<u># ways</u> 1 $\binom{3}{0}$	<u>term</u> x^3
	$1 y^5$	3 $\binom{3}{1}$	x^2y
	$2 y^5$	3 $\binom{3}{2}$	xy^2
	$3 y^5$	1 $\binom{3}{3}$	y^3

$$x^3 + 3x^2y + 3xy^2 + y^3$$

$$(x+y)^5 = (x+y)(x+y)(x+y)(x+y)(x+y)$$

<u>#ys</u>	<u># ways</u>	<u>term</u>
0	$\binom{5}{0} = 1$	x^5
1	$\binom{5}{1} = 5$	x^4y
2	$\binom{5}{2} = 10$	x^3y^2
3	$\binom{5}{3} = 10$	x^2y^3
4	$\binom{5}{4} = 5$	xy^4
5	$\binom{5}{5} = 1$	y^5

$$\Rightarrow x^5 + 5x^4y + 10x^3y^2 + 10x^2y^3 + 5xy^4 + y^5$$

So in general:

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

OPTIONAL

⑧ Application from algebra

$$(x + 2y^{-2})^6 \quad Q: \text{What term includes } y^{-8}?$$

$$= (x + 2y^{-2})(x + 2y^{-2}) \dots (x + 2y^{-2})$$

↑
 we want to expand by this term 4 times.
 Out of 6.

$$\text{So : } \binom{6}{4} x^2 (2y^{-2})^4 = 15 x^2 2^4 y^{-8}$$

$$= 240 x^2 y^{-8}$$

$$\begin{array}{r}
 \uparrow \\
 \frac{6 \cdot 5 \dots}{2 \cdot 1 \cdot 4 \cdot 3 \cdot 2 \dots} \\
 = 15
 \end{array}$$

$$⑨ (x+y)^4 = (x+y)(x+y)^3 = (x+y)(x^3 + 3x^2y + 3xy^2 + y^3)$$

$$\begin{aligned}
 &= x^4 + 3x^3y + 3x^2y^2 + xy^3 \\
 &+ \underline{x^3y + 3x^2y^2 + 3xy^3 + y^4} \\
 &\quad x^4 + 4x^3y + 6x^2y^2 + 4xy^3 + y^4
 \end{aligned}$$

Coefficients: 1 3 3 1

$$\begin{array}{r}
 \binom{3}{2} \\
 \hline
 1 \quad 3 \quad 3 \quad 1 \\
 \hline
 1 \quad 4 \quad 6 \quad 4 \quad 1
 \end{array}$$

$$\binom{4}{2}$$

$\binom{4}{2} = \binom{3}{2} + \binom{3}{1}$: I need two y^s , so expand by x and choose 2 y^s OR expand by y and choose 1 y term.

OPTIONAL

(10) Fun with the binomial theorem.

$$\begin{aligned} \binom{n}{0} &= 1 \\ \binom{n}{1} &= n \\ \binom{n}{2} &= 121 \\ \binom{n}{3} &= 1331 \\ \binom{n}{4} &= 14641 \end{aligned}$$

The binomial coefficients!

Why does this work?

$$\begin{aligned} n^n &= (1 + 10)^n = \sum_{k=0}^n \binom{n}{k} 1^{n-k} 10^k \\ &= \sum_{k=0}^n \binom{n}{k} 10^k \\ &= \binom{n}{n} 10^n + \binom{n}{n-1} 10^{n-1} \dots + \binom{n}{0} 10^0 \end{aligned}$$

e.g.

$$\begin{aligned} n^3 &= (1 + 10)^3 = \binom{3}{3} 10^3 + \binom{3}{2} 10^2 + \binom{3}{1} 10^1 + \binom{3}{0} 10^0 \\ &\quad 1 \cdot 1000 + 3 \cdot 100 + 3 \cdot 10 + 1 \cdot 1 \\ &= 1331 \end{aligned}$$

The pattern breaks down for $n \geq 5$. Why?

Another Funfact

a) Suppose I need to choose 3 of 8 people
Imagine we line up the 8 people.

$$\binom{8}{3} = \binom{7}{3} + \binom{7}{2}$$

↑
don't choose
1st person ↑
choose
1st person

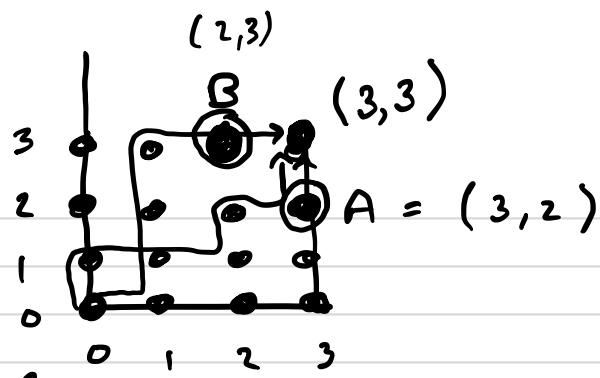
b) # of length 5 bit strings with exactly 3 ones

$$----- \quad \binom{5}{3}$$

$$0----- \quad 1-----$$
$$\binom{4}{3} + \binom{4}{2}$$

$$00--- \quad 01---$$
$$\binom{3}{3} + \binom{3}{2}$$

c) Lattice Paths



$$\binom{6}{3} \text{ total paths}$$

$= \# \text{ paths through } A + \# \text{ paths through } B$

$$= \binom{5}{3} + \binom{5}{2}$$

$A \qquad B$

In general:

$$\binom{n}{k} = \binom{n-1}{k} + \binom{n-1}{k-1}$$

This recursive formula leads to
Pascal's Triangle (next page)

(12)

OPTIONAL

PASCAL'S TRIANGLE

	$k =$	0	1	2	3	4		Σ	
$n = 0$		1						1	2^0
		1	1	1				2	2^1
$\binom{n}{k}:$	2	1	2	1				4	2^2
	3	1	3	3	1			8	2^3
	4	1	4	6	4	1		16	2^4
	5	1	5	10	10	5	1	32	2^5

$$\binom{n}{0} = 1$$

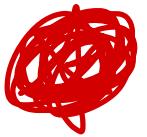
$$\binom{n}{n} = 1$$

$$\binom{n}{0} + \binom{n}{1} + \cdots + \binom{n}{n-1} + \binom{n}{n} = \boxed{\sum_{k=0}^n \binom{n}{k} = 2^n}$$

$$(x+y)^n = \sum_{k=0}^n \binom{n}{k} x^{n-k} y^k$$

$$\text{Let } x = 1 \quad y = 1$$

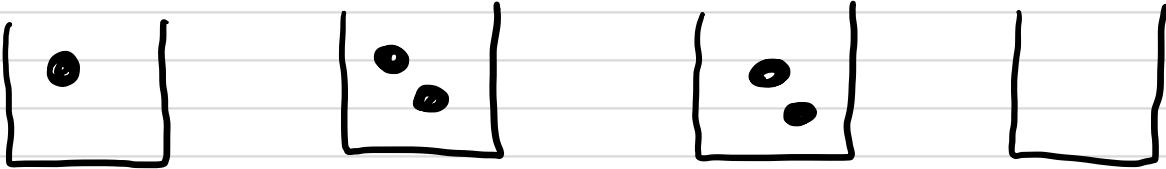
$$(1+1)^n = \sum_{k=0}^n \binom{n}{k} 1^{n-k} 1^k = \sum_{k=0}^n \binom{n}{k} = 2^n$$



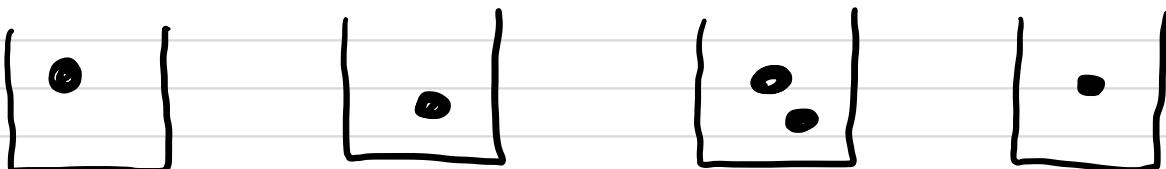
Balls and Bins

Suppose you had 4 bins & 5 balls.
The balls are indistinguishable.

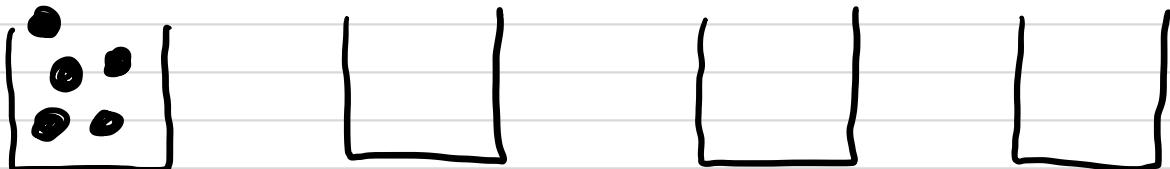
1 2 2 0



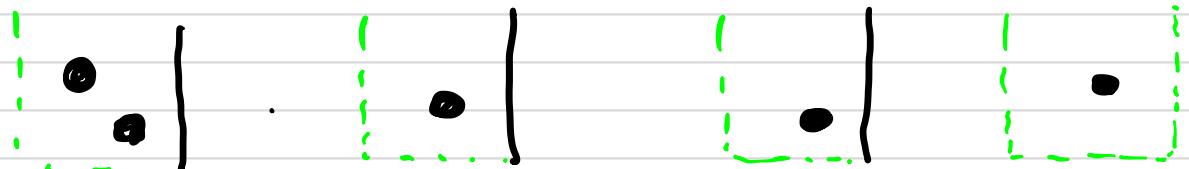
1 1 2 1



5 0 0 0

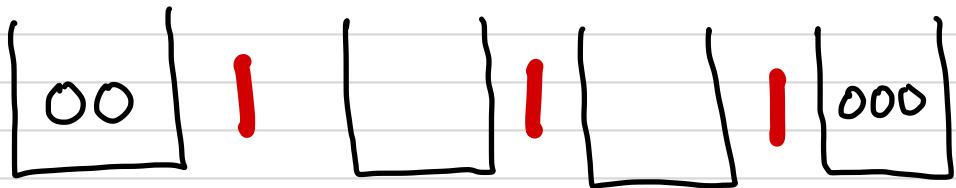


2 1 1 1

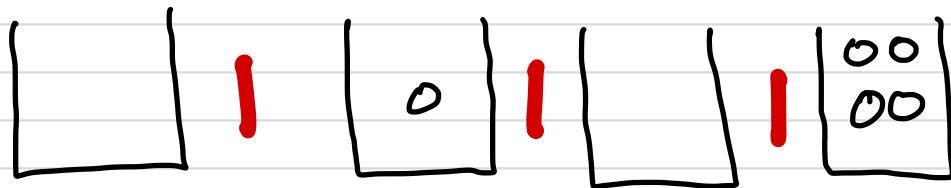


$$= \binom{8}{3} \leftarrow \begin{array}{l} \# \text{ balls} + \# \text{ dividers} \\ \# \text{ dividers} \end{array} \Rightarrow \binom{\# \text{ balls} + \# \text{ bins} - 1}{\# \text{ bins} - 1}$$

$$= \binom{8}{5} \leftarrow \binom{\# \text{ balls} + \# \text{ bins} - 1}{\# \text{ balls}}$$

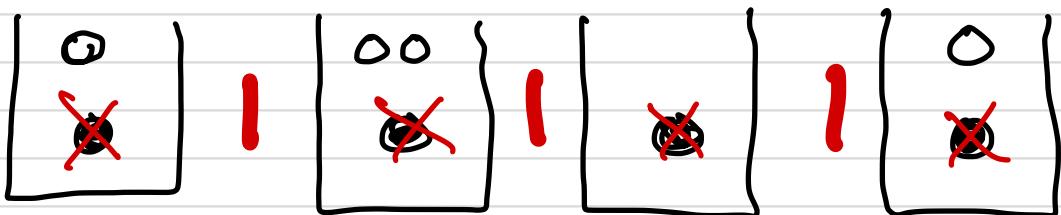


00 111000



| 0110000

8 balls , At least one in each bin :



0100110 (4 balls were
"consumed")

$$\cancel{\binom{8+3}{3}} \Rightarrow \binom{4+3}{3} = \binom{7}{3} = 35$$

arrangements of n balls into k bins

$$= \binom{n+k-1}{k-1} = \binom{n+k-1}{n+k-1-(k-1)} = \binom{n+k-1}{n}$$

↑
choosing
bin divider
locations

↑
choosing
ball
locations

Since : $\binom{n}{k} = \binom{n}{n-k}$

5 brands of soda , buy 15 cans .

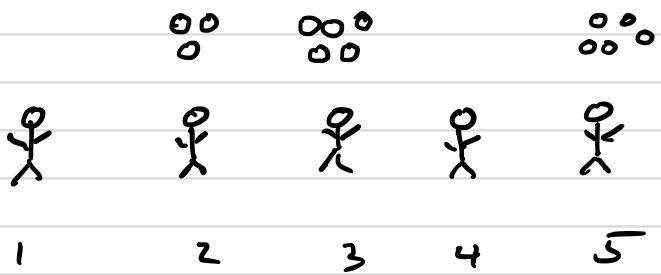
↓
bins

↓
balls

$$\binom{15+5-1}{5-1} = \binom{19}{4} = 3876$$

How many ways to distribute 13 cookies to 5 kids

bins = kids
balls = cookies



$$\binom{17}{4}$$

$$| \ 000 | 00000 | 1 00000$$

a) What if every kid gets 1 cookie? Imagine we just distribute the cookies, leaving 8 left.

$$\binom{12}{4}$$

e.g. $| | 0000 | | 0000 \leftarrow \dots$

b) 2 or more cookies each? Pre-distribute the 10 cookies leaving just 3 to distribute to 5 kids

$$\binom{7}{4}$$

e.g. $0 | 1 00 | 1$

How many integer solutions to the equation:

$$x_1 + x_2 + x_3 + x_4 + x_5 = 13$$

This is the same as the cookies in kids problem!

bins = variables

balls = units of one, 13 total

Flower Arrangements:

- Roses, Daisies, Carnation, Trises
- 12 flowers

R	D	C	I
12 = 12	0	0	0
12 = 0	12	0	0
12 = 6	2	2	2

1. Any Combination $5 \cdot 7$

$$\binom{12+3}{3} = \binom{15}{3} = \frac{15 \cdot 14 \cdot 13}{3 \cdot 2} = 455$$

2. At least one of each flower
 "consume 4 flowers total"

Distribute Another 8 flowers
 any way you like

$$\binom{8+3}{3} = \binom{11}{3} = \frac{11 \cdot 10 \cdot 9}{3 \cdot 2} = 165$$

3. At least $\frac{1}{2}$ the flowers are roses:

- Distribute 6 Roses
- Now choose 6 more flowers

from R, D, C, I s. 4

$$\binom{6+3}{3} = \binom{9}{3} = \frac{9 \cdot 8 \cdot 7}{3 \cdot 2} = 84$$

4. Exactly $\frac{1}{2}$ are Roses

- Choose 6 flowers from D, C, I

$$\binom{6+2}{2} = \binom{8}{2} = \frac{8 \cdot 7}{2} = 28$$

5. No more than 3 Roses

a) Method 1 : Consider bouquets with 0, 1, 2, or 3 Roses.

$$\begin{array}{ccccccccc} \frac{0}{\binom{12+2}{2}} & + & \frac{1}{\binom{11+2}{2}} & + & \frac{2}{\binom{10+2}{2}} & + & \frac{3}{\binom{9+2}{2}} \\ 91 & + & 78 & + & 66 & + & 55 & = & 290 \end{array}$$

⑥ Method 2 .

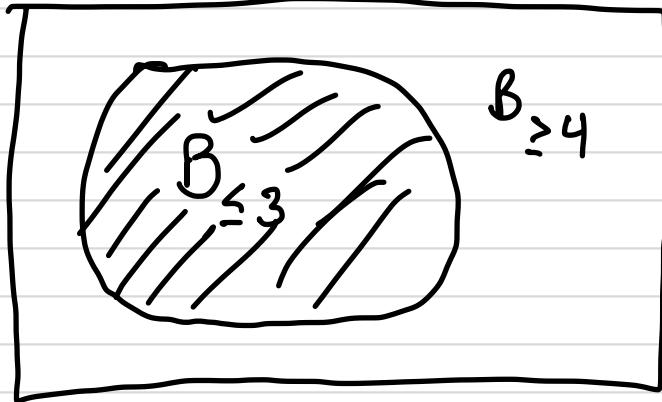
$$\text{Bouquets}_{\leq 3} = \text{Bouquets}_{\text{ANY}} - \text{Bouquets}_{\geq 4}$$

$$= \binom{12+3}{3} - \binom{8+3}{3}$$

$$= \binom{15}{3} - \binom{11}{3}$$

$$= 455 - 165$$

$$B_{\text{ANY}} = 290$$



6. Roses and Carnations Only.

Max 8 Roses

Max 8 Carnations

<u>R</u>	<u>C</u>	
8	4	
7	5	
6	6	
5	7	
4	8	

} 5 Bouquets

Set aside 4R, 4C

Distribute Remaining 4 in $\binom{4+1}{1,1} = \binom{5}{1,1} = S$

7. 18 flowers	has	3	R	C	I
1 type	has	4	C	R	C
1 type	has	5	I	D	D
1 type	has	6	D	I	R
		18			

4! possibilities = 24