

393
× 252

3 × 2 出現 4 次

第一次就將 3 × 2 的答案記下來

Algorithms

Chapter 15

Dynamic Programming

動態規劃法 = 填表法 = 一種有技巧的暴力法

Associate Professor: Ching-Chi Lin

林清池 副教授

chingchi.lin@gmail.com

Department of Computer Science and Engineering
National Taiwan Ocean University

Outline

- ▶ **Rod cutting**
- ▶ Matrix-chain multiplication
- ▶ Elements of dynamic programming 使用動態規畫法的要素
- ▶ Longest common subsequence
- ▶ Optimal binary search trees

台北到高雄的最短距是350公里

Dynamic Programming_{1/2}

路徑(一): 台北→台中→台南→高雄

路徑(二): 台北→花蓮→屏東→高雄

- ▶ Not a specific algorithm, but a technique, like divide-and-conquer. 像 divide and conquer, 非演算法, 而是一種解決問題的技巧
- ▶ Dynamic programming is applicable when the subproblems are not independent. 適用於子問題重覆出現的時候
- ▶ A dynamic-programming algorithm solves every subsubproblem just once and then saves its answer in a table.
對相同子問題, 只解決一次, 且將答案放入表格中
- ▶ "Programming" in this context refers to a tabular method, not to writing computer code. Programming 指的是填表法
- ▶ Used for **optimization problems**: 用來解決最佳化問題
 - ▶ Find **a** solution with **the** optimal value.
 - ▶ Minimization or maximization. 可能是最大化(利益)或最小化(成本)
最佳解可能不止一個, 但最佳解的值只有一個

Dynamic Programming_{2/2}

► Four-step method

1. Characterize the structure of an optimal solution.
問題的最佳解也包含子問題的最佳解
2. Recursively define the value of an optimal solution.
用子問題的答案定義最佳解
3. Compute the value of an optimal solution in a bottom-up fashion.
先算子問題,再算原問題
4. Construct an optimal solution from computed information.
用子問題的答案產生最佳解

Rod cutting_{1/2}

- ▶ How to cut steel rods into pieces in order to maximize the revenue you can get ?
 - ▶ Each cut is free.
 - ▶ Rod lengths are always an integral number of inches. 長度為整數
- ▶ **The rod-cutting problem**
 - ▶ **Input:** A length n and table of prices p_i , for $i = 1, 2, \dots, n$.
 - ▶ **Output:** The maximum revenue obtainable for rods whose lengths sum to n .
- ▶ If p_n is large enough, an optimal solution might require no cuts. 也可以都不切
- ▶ We can cut up a rod of length n in 2^{n-1} different ways.
 - ▶ can choose to cut or not cut after each of the first $n - 1$ inches.
n-1個切點：每一個都可以選擇切或不切

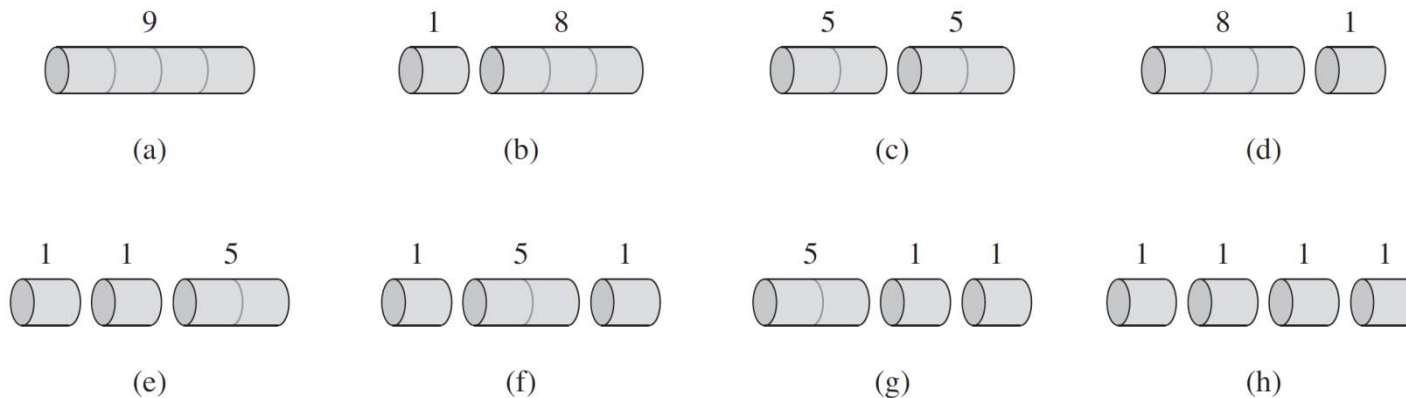
Rod cutting_{2/2}

- Consider the case when $n = 4$.

length i	1	2	3	4	5	6	7	8	9	10
price p_i	1	5	8	9	10	17	17	20	24	30

- Here are all 8 ways to cut a rod of length 4.
- The optimal strategy is part (c)—cutting the rod into two pieces of length 2—which has total value 10.

最好的切法



假設台北到高雄最短距離經過台中

台北到高雄最短距 = 台北到台中最短距 + 台中到高雄最短距

Structure of an optimal solution

- ▶ Let r_i be the maximum revenue for a rod of length i .
 r_i : 長度為 i 的最高價錢
- ▶ **Step 1:** Characterize the structure of an optimal solution.
 - ▶ Suppose a cut is made at distance j inches in an optimal solution of size n . 假設一個最好的切法在長度為 j 的地方切了一刀
 - ▶ The optimal revenue $r_n = r_j + r_{n-j}$.
 - ▶ An optimal solution to a problem contains within it an optimal solution to subproblems. 問題的最佳解包含子問題最佳解
 - ▶ This is **optimal substructure**.
 $r_n = r_j + r_{n-j}$
長度為 n 的最高價錢 = 長度為 j 的最高價錢 +
長度為 $n-j$ 的最高價錢

將問題的 size 變小：用子問題的答案定義最佳解

Recursive solution

- ▶ **Step 2:** Recursively define the value of an optimal solution.
最好的切法可能是
- ▶ Can determine optimal revenue r_n by taking the maximum of
 - ▶ p_n : the price we get by not making a cut, 不用切
 - ▶ $r_1 + r_{n-1}$: the maximum revenue from a rod of 1 inch and a rod of $n - 1$ inches, 切在距離 1
 - ▶ $r_2 + r_{n-2}$: the maximum revenue from a rod of 2 inch and a rod of $n - 2$ inches, ... 切在距離 2
 - ▶ $r_{n-1} + r_1$.
- ▶ More generally, $r_n = \max(p_n, r_1 + r_{n-1}, r_2 + r_{n-2}, \dots, r_{n-1} + r_1)$.
所有可能性中取最大：共有 n 種

換一種看法

A simpler way to decompose the problem

- ▶ Every optimal solution has a leftmost cut. 每個最佳解都有最左的一刀
 - ▶ A first piece of length i cut off the left-hand end, and a remaining piece of length $n - i$ on the right. 假設最左的那一刀切在距離 i
 - ▶ Need to divide only the remainder, not the first piece.
 - ▶ Leaves only one subproblem to solve, rather than two subproblems.
 - ▶ $r_n = \max_{1 \leq i \leq n} (p_i + r_{n-i})$. 左邊不用再切, 只需切右邊

CUT-ROD(p, n)

1. **if** $n == 0$
2. **return** 0
3. $q = -\infty$
4. **for** $i = 1$ **to** n \Rightarrow 左邊的長度由 $1 \sim n$
5. $q = \max(q, p[i] + \text{CUT-ROD}(p, n-i))$
6. **return** q

Recursive top-down
implementation

由上往下遞迴

Running time of CUT-ROD(p, n)

- ▶ For $n = 40$, the program could take more than an hour.
 $n = 40$ 時, 電腦要跑至少 1 小時
- ▶ Each time you increase n by 1, the program's running time would approximately double. *每增加 1, 要多 2 倍*
- ▶ Why is CUT-ROD so inefficient? *沒效率的原因: 重覆算子問題*
 - ▶ It solves the same subproblems repeatedly.

- ▶ Running time:

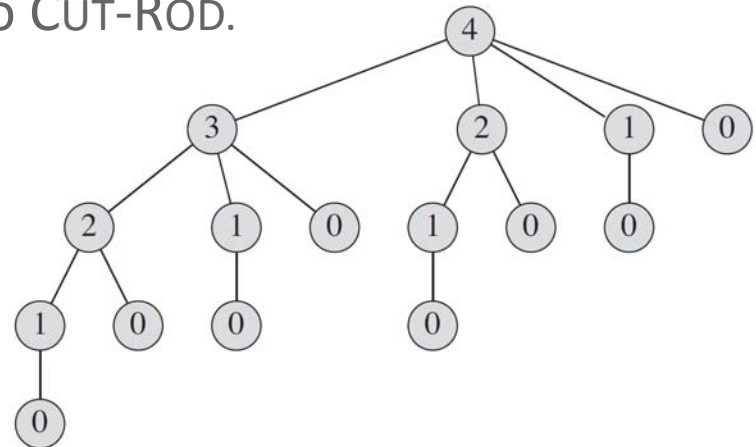
$n = 4$ 時, 右邊可能為 3, 2, 1, 0

- ▶ $T(n)$: total number of calls made to CUT-ROD.

$$T(n) = \begin{cases} 1 & \text{if } n = 0, \\ 1 + \sum_{j=0}^{n-1} T(j) & \text{if } n > 1. \end{cases}$$

自己 右邊子問題

- ▶ $T(n) = 2^n$. (exercise 15.1-1)



Dynamic programming 使用動態規劃法

- ▶ Using dynamic programming for optimal rod cutting
 - ▶ Instead of solving the same subproblems repeatedly, arrange to solve each sub-problem just once. 每個子問題只算一次
 - ▶ Save the solution to a subproblem in a table, and refer back to the table whenever we revisit the subproblem. 遇到相同子問題就查表
 - ▶ “Store, don’t recompute” → time-memory trade-off. 計算與記憶體空間
 - ▶ Can turn an exponential-time solution into a polynomial-time solution. 不可兼得
- ▶ Two basic approaches: **top-down with memoization**, and **bottom-up method**.

2 種方法 { top-down with memorization
bottom-up method

Top-down with memoization

將答案存在表中

- ▶ Save the result of each subproblem in an array or hash table.
- ▶ The procedure first checks whether it has previously solved this subproblem.
 - ▶ Yes : return the saved value.
 - ▶ No : compute the value in the usual manner.
- ▶ **Memoizing** is remembering what we have computed previously.
 - ▶ Storing the solution of length i in array entry $r[i]$.

計算前先檢查是否有算過

{ 有 : 回傳答案
沒有 : 進行計算

MEMOIZED-CUT-ROD-AUX(p, n, r)

```
1. if  $r[n] \geq 0$ 
2.   return  $r[n]$ 
3. if  $n == 0$ 
4.    $q = 0$ 
5. else  $q = -\infty$ 
6.   for  $i = 1$  to  $n$ 
7.      $q = \max(q, p[i] + \text{MEMOIZED-CUT-ROD-AUX}(p, n - i, r))$ 
8.    $r[n] = q$ 
9.   return  $q$ 
```

} 有 : 回傳答案

} 沒有 : 進行計算

MEMOIZED-CUT-ROD(p, n)

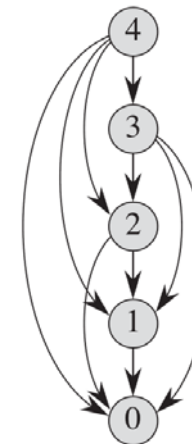
```
1. let  $r[0..n]$  be a new array
2. for  $i = 0$  to  $n$ 
3.    $r[i] = -\infty$ 
4. return MEMOIZED-CUT-ROD-AUX( $p, n, r$ )
```

Bottom-up method

- ▶ **Step 3:** Compute the value of an optimal solution in a bottom-up fashion. 先算子問題, 再算原問題
- ▶ The procedure solves subproblems of sizes $j = 0, 1, \dots, n$, in that order. 先算 $size = 1$, 再算 $size = 2, \dots$
- ▶ When solving a subproblem, have already solved the smaller subproblems we need. 先解決子問題: 不需遞迴

BOTTOM-UP-CUT-ROD(p, n)

1. let $r[0..n]$ be a new array
2. $r[0] = 0$
3. **for** $j = 1$ **to** n $j = size$
 $i = \text{左邊的長度}$
4. $q = -\infty$
5. **for** $i = 1$ **to** j j 種可能性
6. $q = \max(q, p[i] + r[j - i])$
7. $r[j] = q$
8. **return** $r[n]$



The subproblem graph.

Running time

- Both the top-down and bottom-up versions run in $\Theta(n^2)$ time.

- Bottom-up**

- Doubly nested loops
- Number of iterations of inner for loop forms an arithmetic series.

- Top-down**

- MEMOIZED-CUT-ROD solves each subproblem just once.
- It solves subproblems for sizes $0, 1, \dots, n$.
- To solve a subproblem of size n , the for loop iterates n times.
- Total number of iterations also forms an arithmetic series.

Memoized: ① 每一個子問題都只有算一次
② Size 為 n 的子問題有 n 種可能性
③ $1 + 1 + 2 + 3 + \dots + n = \Theta(n^2)$

size = 0

Reconstructing a solution_{1/2}

- ▶ **Step 4:** Construct an optimal solution from computed information. 用子問題的答案產生最佳解
- ▶ Saves the first cut made in an optimal solution for a problem of size i in $s[i]$. 將size為 i 的最左那一刀記在 $S[i]$

EXTENDED-BOTTOM-UP-CUT-ROD(p, n)

1. let $r[0..n]$ and $s[0..n]$ be new arrays
2. $r[0] = 0$
3. for $j = 1$ to n
4. $q = -\infty$
5. for $i = 1$ to j j 種可能性
6. if $q < p[i] + r[j - i]$ $\left. \begin{array}{l} \text{如果價錢更高} \\ \text{①更新價錢} \\ \text{②記住最左那一刀} \end{array} \right\}$
7. $q = p[i] + r[j - i]$
8. $s[j] = i$
9. $r[j] = q$ 記住最好價錢
10. return r and s

Reconstructing a solution_{2/2}

- ▶ To print out the cuts made in an optimal solution.

PRINT-CUT-ROD-SOLUTION (p, n)

1. $(r, s) = \text{EXTENDED-BOTTOM-UP-CUT-ROD}(p, n)$
2. **while** $n > 0$
3. print $s[n]$ → 最左那一刀
4. $n = n - s[n]$ → 右边子问题

- ▶ The call $\text{EXTENDED-BOTTOM-UP-CUT-ROD}(p, n)$ return

i	0	1	2	3	4	5	6	7	8	9	10
$r[i]$	0	1	5	8	10	13	17	18	22	25	30
$s[i]$	0	1	2	3	2	2	6	1	2	3	10

- ▶ A call to $\text{PRINT-CUT-ROD-SOLUTION}(p, 10)$ would print just 10.
- ▶ A call with $n = 7$ would print the cuts 1 and 6.

Outline

- ▶ Rod cutting
- ▶ **Matrix-chain multiplication**
- ▶ Elements of dynamic programming
- ▶ Longest common subsequence
- ▶ Optimal binary search trees

$$[A]_{p \times q} \times [B]_{q \times r} = [C]_{p \times r}$$

Matrix-chain multiplication

- ▶ When we multiply two matrices A and B , if A is a $p \times q$ matrix and B is a $q \times r$ matrix, the resulting matrix C is a $p \times r$ matrix.
 - ▶ The number of scalar multiplications is pqr .
要算出 C 要算出 pqr 次, 大小為 pr
- ▶ **Matrix-chain multiplication problem**
— 連串的矩陣
 - ▶ **Input:** A chain $\langle A_1, A_2, \dots, A_n \rangle$ of n matrices.
(matrix A_i has dimension $p_{i-1} \times p_i$)
 - ▶ **Output:** A fully parenthesized product A_1, A_2, \dots, A_n that minimizes the number of scalar multiplications. 括號 \Rightarrow 乘法順序
將 $A_1 \dots A_n$ 完全括弧, 使得用到的純量乘法次數最少
- ▶ For example: The dimensions of the matrices A_1, A_2 , and A_3 are 10×100 , 100×5 , and 5×50 , respectively.
 - ▶ $((A_1 A_2) A_3) = 10 \cdot 100 \cdot 5 + 10 \cdot 5 \cdot 50 = 7500$.
 - ▶ $(A_1 (A_2 A_3)) = 100 \cdot 5 \cdot 50 + 10 \cdot 100 \cdot 50 = 75000$.

$$[A_i]_{p_{i-1} \times p_i}$$

$$p_{i-1} \begin{matrix} p_i \\ \boxed{A_i} \end{matrix}$$

$$[A_2, A_3]_{100 \times 50}$$

$$[A_1]_{10 \times 100} \cdot [A_2 A_3]_{100 \times 50} = [A_1 A_2 A_3]_{10 \times 50}$$

Counting the number of parenthesizations

- ▶ **Brute-force algorithm:** 暴力法：將所有可能性都試過

- ▶ Checking all possible parenthesizations

- ▶ Time: $\Omega(2^n)$. (Exercise 15.2-3)

$$p(k) \boxed{A_1 \dots A_k} \boxed{A_{k+1} \dots A_n} p(n-k)$$

$$\Rightarrow 1 \leq k \leq n-1$$

- ▶ Denote the number of alternative parenthesizations of a sequence of n matrices by $P(n)$.

- ▶ A fully parenthesized matrix product is the product of two fully parenthesized matrix subproducts.

- ▶ The split between the two subproducts may occur between the k th and $(k + 1)$ st matrices.

$p(n) = n$ 個矩陣完成括弧的可能數
(純量乘法可能數)

- ▶ Thus, we have
$$P(n) = \begin{cases} 1 & \text{if } n = 1, \\ \sum_{k=1}^{n-1} P(k)P(n-k) & \text{if } n \geq 2. \end{cases}$$

前 k 個的可能數 後 $n-k$ 個的可能數

Step 1: The structure of an optimal solution

- ▶ An optimal solution to an instance contains optimal solutions to subproblem instances. 問題的最佳解包含子問題的最佳解
- ▶ For example:
 - ▶ If $((A_1A_2)A_3)(A_4(A_5A_6))$ is an optimal solution to A_1, A_2, \dots, A_6 .
 - ▶ Then, $((A_1A_2)A_3)$ is an optimal solution to A_1, A_2, A_3 and $(A_4(A_5A_6))$ is an optimal solution to A_4, A_5, A_6 .

若最佳解為 $((A_1A_2)A_3)(A_4(A_5A_6))$

⇒ $((A_1A_2)A_3)$ 為 $A_1 \sim A_3$ 的最佳解

$(A_4(A_5A_6))$ 為 $A_4 \sim A_6$ 的最佳解

$$\begin{array}{c}
 p_i \quad p_{i+1} \quad \dots \quad p_j \\
 p_{i-1} \boxed{A_i} \boxed{A_{i+1}} \dots \boxed{A_j} \\
 = p_{i-1} \boxed{A}
 \end{array}$$

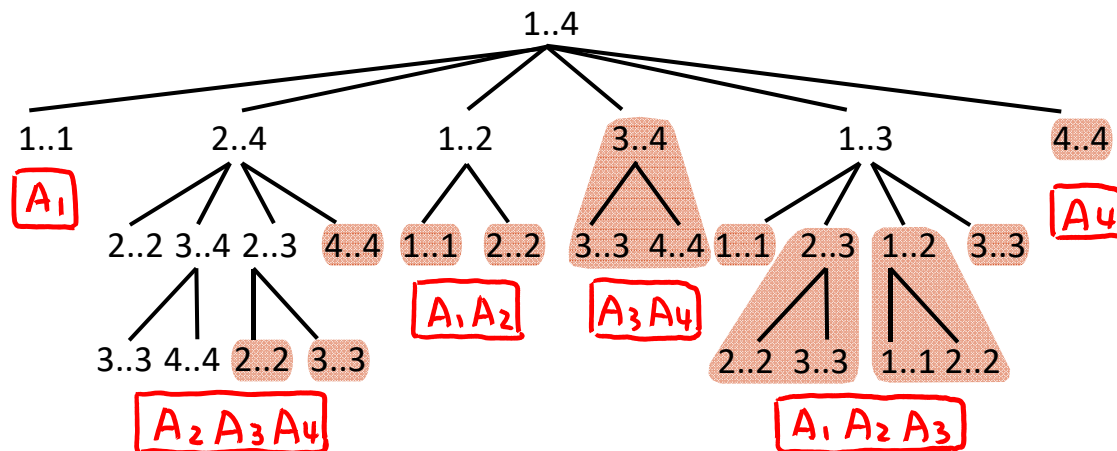
Step 2: A recursive solution

- Define $m[i, j]$ = the minimum number of scalar multiplications needed to compute $A_i A_{i+1} \dots A_j$. $m[i, j] = A_i \sim A_j$ 最少的純量相乘數

$$m[i, j] = \begin{cases} 0 & \text{if } i = j, \\ \min_{i \leq k < j} (m[i, k] + m[k+1, j] + p_{i-1} p_k p_j) & \text{if } i < j. \end{cases}$$

左邊最小乘法數 + 右邊最小乘法數 + 相乘的乘法數

- The recursion tree for the computation of $m[1, 4]$.



*程式的流程是DFS (深度優先): $1..1 \rightarrow 2..4 \rightarrow 2..2 \rightarrow 3..4 \rightarrow 3..3 \rightarrow 4..4 \rightarrow 2..3 \rightarrow 2..2 \rightarrow 3..3 \rightarrow 4..4$

Step 3: Computing the optimal costs

- ▶ Based on the recursive formula, we could easily write an exponential-time recursive algorithm to compute the minimum cost $m[1, n]$ for multiplying $A_1 A_2 \dots A_n$.
- ▶ There are only $\binom{n}{2} + n = \Theta(n^2)$ distinct subproblems, one problem for each choice of i and j satisfying $1 \leq i \leq j \leq n$.
↖ i, j 不同 ↗ i, j 相同
- ▶ We can use dynamic programming to compute the solutions bottom up. 使用動態規劃法, 由下往上, 先算子問題, 再算原問題

暴力法花指數時間
但子問題的個數只有 n^2 個

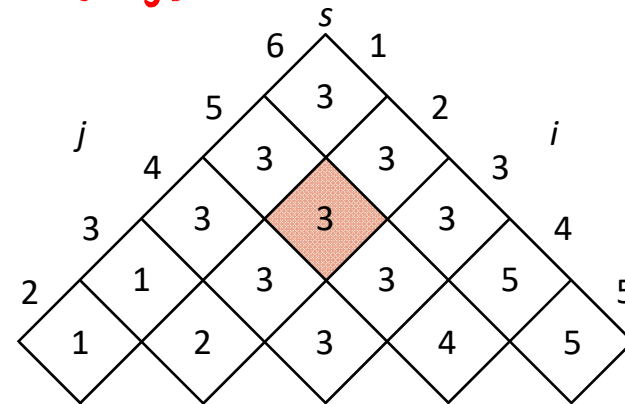
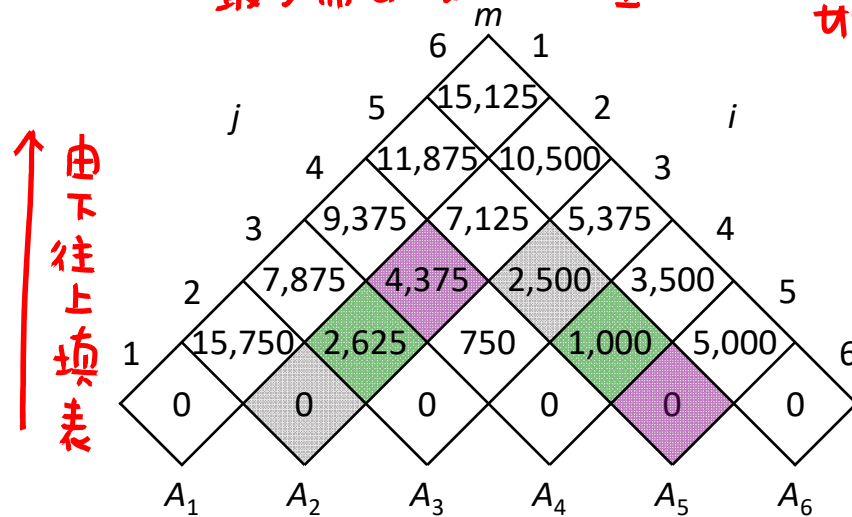
$$[A_1][A_2 A_3] = 0 + 2625 + 30 \times 35 \times 5 = 7875, k=1$$

$$[A_1 A_2][A_3] = 15750 + 0 + 30 \times 15 \times 5 = 18000, k=2$$

Dependencies between the subproblems

最少需要幾個純量乘法

如何算 $m[i, j]$ 最好的 k (使純量乘法最少)



matrix	A_1	A_2	A_3	A_4	A_5	A_6
dimension	30×35	35×15	15×5	5×10	10×20	20×25

$$p_0 = 30, p_1 = 35, p_2 = 15, p_3 = 5, p_4 = 10, p_5 = 20, p_6 = 25$$

► $s[i, j]$: index k achieved the optimal cost in computing $m[i, j]$.

$$m[2,5] = \min \begin{cases} m[2,2] + m[3,5] + p_1 p_2 p_5 = 0 + 2500 + 35 \cdot 15 \cdot 20 = 13000, & [A_2][A_3 A_4 A_5] \\ m[2,3] + m[4,5] + p_1 p_3 p_5 = 2625 + 1000 + 35 \cdot 5 \cdot 20 = 7125, & [A_2 A_3][A_4 A_5] \\ m[2,4] + m[5,5] + p_1 p_4 p_5 = 4375 + 0 + 35 \cdot 10 \cdot 20 = 11375. & [A_2 A_3 A_4][A_5] \end{cases}$$

*先算長度=1, 再算長度=2 ... 再算長度=n

MATRIX-CHAIN-ORDER pseudocode

MATRIX-CHAIN-ORDER(p)

```
1.  $n \leftarrow \text{length}[p] - 1$ 
2. for  $i \leftarrow 1$  to  $n$  ] 長度為 1
3.    $m[i, i] \leftarrow 0$ 
4.   for  $\ell \leftarrow 2$  to  $n$  /*  $\ell$  is the chain length */
5.     for  $i \leftarrow 1$  to  $n - \ell + 1$  長度為  $\ell$  的起頭和結尾
6.        $j \leftarrow i + \ell - 1$   $i$ : 起頭
7.        $m[i, j] \leftarrow \infty$   $j$ : 結尾
8.       for  $k \leftarrow i$  to  $j - 1$ 
9.          $q \leftarrow m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j$ 
10.        if  $q < m[i, j]$ 
11.           $m[i, j] \leftarrow q$  算  $m[i, j]$ 
12.           $s[i, j] \leftarrow k$   $k$  有  $j - i$  種
13. return  $m$  and  $s$ 
```

長度為 2 ~ n

- ▶ The loops are nested three deep, and each loop index (ℓ , i , and k) takes on at most $n - 1$ values.

- ▶ Time: $O(n^3)$.

表的大小 $O(n^2)$, 算每一個要 $O(n)$,
因為每一格有 k 種且 $k < n$
 $\Rightarrow O(n^2) \cdot O(n) = O(n^3)$

用已知資訊建構最佳解

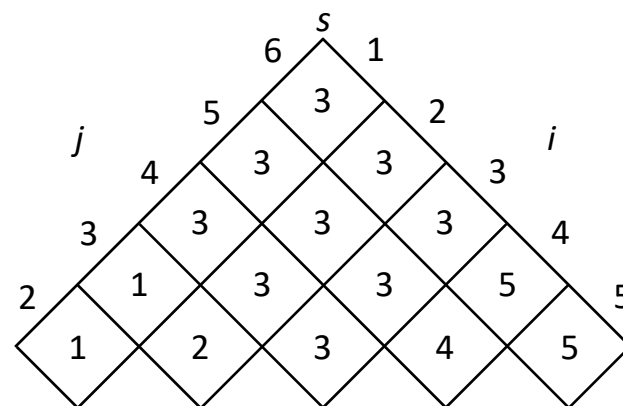
Step 4: Constructing an optimal solution

- Each entry $s[i, j]$ records the value of k such that the optimal parenthesization of $A_i A_{i+1} \cdots A_j$ splits the product between A_k and A_{k+1} .

PRINT-OPTIMAL-PARENS(s, i, j)

(左右) 1. if $i = j$ 2. print " A_i " 3. else print "(" 4. PRINT-OPTIMAL-PARENS($s, i, s[i, j]$) 5. PRINT-OPTIMAL-PARENS($s, s[i, j]+1, j$) 6. print ")"

若 $i = j$ 時, 直接印



- The call PRINT-OPTIMAL-PARENS($s, 1, n$) prints the parenthesization $((A_1(A_2A_3)) ((A_4A_5)A_6))$.
- (左 右)

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Elements of dynamic programming_{1/2}

► **Optimal substructure** 问题的最佳解也包含子问题的最佳解

- An optimal solution to a problem contains an optimal solution to subproblems.
 - If $((A_1A_2)A_3)(A_4(A_5A_6))$ is an optimal solution to A_1, A_2, \dots, A_6 , then $((A_1A_2)A_3)$ is an optimal solution to A_1, A_2, A_3 and $(A_4(A_5A_6))$ is an optimal solution to A_4, A_5, A_6 .

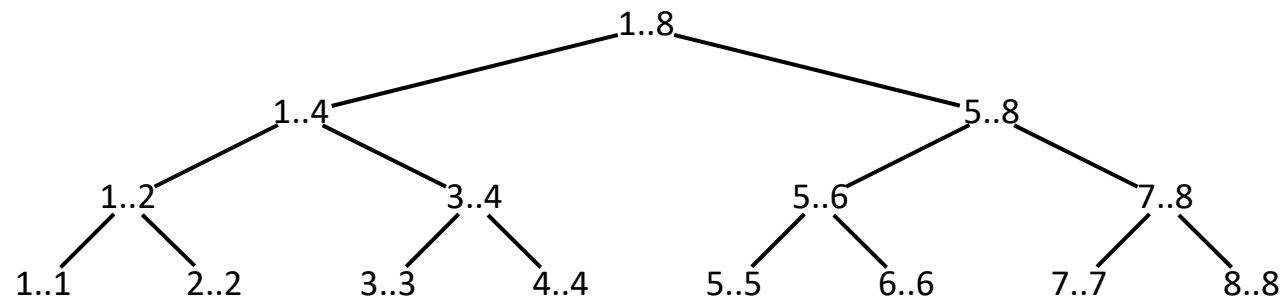
► **Overlapping subproblems** 重覆子问题

- A recursive algorithm revisits the same problem over and over again. 遞迴演算法 - 再遇到相同問題
- Typically, the total number of distinct subproblems is a polynomial in the input size. 但子問題的個數只有 n 的多項式個
- In contrast, a problem for which a divide-and-conquer approach is suitable usually generates brand-new problems at each step of the recursion. *matrix-chain* 的子問題會重覆

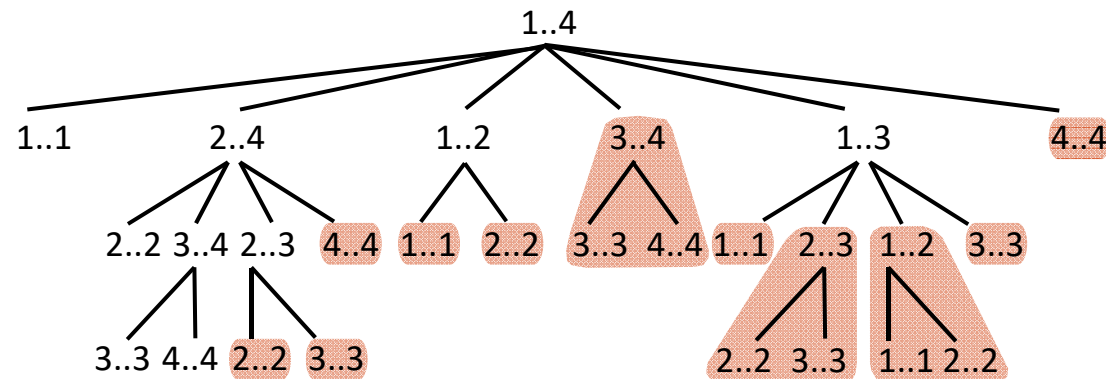
divide-and-conquer 會一直遇到新的子問題

Elements of dynamic programming_{2/2}

- ▶ Example: merge sort 問題不一樣



- ▶ Example: matrix-chain 重覆子問題



Outline

- ▶ Rod cutting
- ▶ Matrix-chain multiplication
- ▶ Elements of dynamic programming
- ▶ **Longest common subsequence**
- ▶ Optimal binary search trees

Longest-common-subsequence 最長相同子序列

- ▶ A **subsequence** is a sequence that can be derived from another sequence by deleting some elements.

- ▶ For example: 子序列: 將原本 sequence 的某些元素刪除

- ▶ $\langle K, C, B, A \rangle$ is a subsequence of $\langle K, G, C, E, B, B, A \rangle$.

- ▶ $\langle B, C, D, G \rangle$ is a subsequence of $\langle A, C, B, E, G, C, E, D, B, G \rangle$.

- ▶ **Longest-common-subsequence problem**

- ▶ **Input:** 2 sequences, $X = \langle x_1, x_2, \dots, x_m \rangle$ and $Y = \langle y_1, y_2, \dots, y_n \rangle$.

- ▶ **Output:** A maximum-length common subsequence of X and Y .

- ▶ For example: $X = \langle A, B, C, B, D, A, B \rangle$ and $Y = \langle B, D, C, A, B, A \rangle$.

- ▶ $\langle B, C, A \rangle$ is a common subsequence of both X and Y .

- ▶ $\langle B, C, B, A \rangle$ is an longest common subsequence (**LCS**) of X and Y .

長度為4 最長相同子序列

Step 1: Characterizing an LCS

- ▶ **Brute-force algorithm:** 暴力法將所有可能性都看過
 - ▶ For every subsequence of X , check whether it is a subsequence of Y .
- ▶ Time: $\Theta(n2^m)$.
 - ▶ 2^m subsequences of X to check. X 的子序列個數 2^m
 - ▶ Each subsequence takes $\Theta(n)$ time to check: scan Y for first letter, from there scan for second, and so on. 檢查是不是 Y 的子序列: $O(n)$
共花 $\Theta(n \cdot 2^m)$
- ▶ Given a sequence $X = \langle x_1, x_2, \dots, x_m \rangle$, we define the i th **prefix** of X , as $X_i = \langle x_1, x_2, \dots, x_i \rangle$. X 的第 i 個前置
- ▶ For example:
 - ▶ $X = \langle A, B, C, B, D, A, B \rangle$.
 - ▶ $X_4 = \langle A, B, C, B \rangle$ and X_0 is the empty sequence.

Optimal substructure of an LCS

若 $x_m \neq y_n$, Z 有可能
 $\begin{cases} x_{m-1} \text{ 和 } y_n \text{ 的 LCS} \\ x_m \text{ 和 } y_{n-1} \text{ 的 LCS} \end{cases}$

► **Theorem 15.1** 如果 $x_m = y_n$, 可以 x_{m-1} 和 y_{n-1} 的 LCS 來形成 Z_{k-1}

Let $X = \langle x_1, x_2, \dots, x_m \rangle$ and $Y = \langle y_1, y_2, \dots, y_n \rangle$ be sequences, and let $Z = \langle z_1, z_2, \dots, z_k \rangle$ be any LCS of X and Y .

1. If $x_m = y_n$, then $z_k = x_m = y_n$ and Z_{k-1} is an LCS of X_{m-1} and Y_{n-1} .
2. If $x_m \neq y_n$, then $z_k \neq x_m$ implies that Z is an LCS of X_{m-1} and Y .
3. If $x_m \neq y_n$, then $z_k \neq y_n$ implies that Z is an LCS of X and Y_{n-1} .

► For example:

- $X = \langle A, B, C, B, D, A, B \rangle$, $Y = \langle B, D, C, A, B \rangle$ and $Z = \langle B, C, A, B \rangle$ is an LCS of X and Y .
If $x_7 = y_5$, then $z_4 = x_7 = y_5$ and $Z_3 = \langle B, C, A \rangle$ is an LCS of X_6 and Y_4 .
- $X = \langle A, B, C, B, D, A, D \rangle$, $Y = \langle B, D, C, B, A \rangle$ and $Z = \langle B, C, A \rangle$ is an LCS of X and Y .
If $x_7 \neq y_5$, then $z_3 \neq x_7$ implies that $Z_3 = \langle B, C, A \rangle$ is an LCS of X_6 and Y_5 .
- $X = \langle A, B, C, B, D, A, A \rangle$, $Y = \langle B, D, C, A, B \rangle$ and $Z = \langle B, C, A \rangle$ is an LCS of X and Y .
If $x_7 \neq y_5$, then $z_3 \neq y_5$ implies that $Z_3 = \langle B, C, A \rangle$ is an LCS of X_7 and Y_4 .

在 $x_m \neq y_n$ 的情形下, $z_k \neq x_m$ 與 $z_k \neq y_n$ 至少有一個成立,

⇒ 因為兩個都是 common sequence, 所以選較長者

Step 2: A recursive solution

- ▶ Define $c[i, j]$ = length of LCS of X_i and Y_j . We want $c[m, n]$.

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ c[i-1, j-1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j, \text{ case ①} \\ \max(c[i, j-1], c[i-1, j]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j. \text{ case ② ③} \end{cases}$$

Handwritten notes in red:

- x_i 和 y_j 的 LCS 長度
- 由定理而來
- 選較長者

Step 3: Computing the length of an LCS

- ▶ Based on the recursive formula, we could easily write an exponential-time recursive algorithm to compute the length of an LCS of two sequences.
如果將遞迴公式直接寫成程式, 則程式的時間複雜度將會是指數時間
- ▶ There are only $\Theta(mn)$ distinct subproblems.
 $c[i, j]$, 其中 $i = 1 \sim m$, $j = 1 \sim n$, \therefore 子問題個數 $\Theta(mn)$ 個
- ▶ We can use dynamic programming to compute the solutions bottom up. 使用動態規劃法, 由下往上, 先算子問題, 再算原問題

LCS-LENGTH pseudocode

LCS-LENGTH(X, Y)

```

1.   $m \leftarrow \text{length}[X]; n \leftarrow \text{length}[Y]$ 
2.  for  $i \leftarrow 1$  to  $m$ 
3.     $c[i, 0] \leftarrow 0$ 
4.  for  $j \leftarrow 0$  to  $n$ 
5.     $c[0, j] \leftarrow 0$ 
6.  for  $i \leftarrow 1$  to  $m$ 
7.    for  $j \leftarrow 1$  to  $n$ 
8.      if  $x_i = y_j$ 
9.         $c[i, j] \leftarrow c[i - 1, j - 1] + 1$ 
10.        $b[i, j] \leftarrow \nwarrow$ 
11.      else if  $c[i - 1, j] \geq c[i, j - 1]$ 
12.         $c[i, j] \leftarrow c[i - 1, j]$ 
13.         $b[i, j] \leftarrow \uparrow$ 
14.      else  $c[i, j] \leftarrow c[i, j - 1]$ 
15.            $b[i, j] \leftarrow \leftarrow$ 
16.  return  $c$  and  $b$ 
    
```

初始化 先算 $\lambda=1$
 $\lambda=2$
 $\lambda=m$

		j	0	1	2	3	4	5	6
		y_j		B	D	C	A	B	A
i	x_i		0	0	0	0	0	0	0
0			0	0	0	0	0	0	0
1	A		0	\uparrow	\uparrow	\uparrow	\nwarrow 1	\leftarrow 1	\nwarrow 1
2	B		0	\nwarrow 1	\leftarrow 1	\leftarrow 1	\uparrow 1	\nwarrow 2	\leftarrow 2
3	C		0	\uparrow 1	\uparrow 1	\nwarrow 2	\leftarrow 2	\uparrow 2	\uparrow 2
4	B		0	\nwarrow 1	\uparrow 1	\uparrow 2	\uparrow 2	\nwarrow 3	\leftarrow 3
5	D		0	\uparrow 1	\nwarrow 2	\uparrow 2	\uparrow 2	\nwarrow 3	\uparrow 3
6	A		0	\uparrow 1	\uparrow 2	\uparrow 2	\nwarrow 3	\uparrow 3	\nwarrow 4
7	B		0	\nwarrow 1	\uparrow 2	\uparrow 2	\uparrow 3	\nwarrow 4	\uparrow 4

x_i 與 y_j 相比

不同: 上和左中取大的 \Rightarrow case ② ③

相同: 左上的長度 + 1 \Rightarrow case ①

\uparrow : 記錄大的是從何處所取

► Time: $O(mn)$.

Step 4: Constructing an LCS

- Whenever we encounter a "↖" in entry $b[i, j]$, it implies that $x_i = y_j$ is an element of the LCS. 將回溯路徑印出

PRINT-LCS(b, X, i, j)

1. if $i = 0$ or $j = 0$
2. return
3. if $b[i, j] = "↖"$
4. PRINT-LCS($b, X, i - 1, j - 1$) 往左上
5. print x_i 直接印
6. elseif $b[i, j] = "↑"$
7. PRINT-LCS($b, X, i - 1, j$) 往上
8. else PRINT-LCS($b, X, i, j - 1$) 往左

		j						
		0	1	2	3	4	5	6
i	y_i							
		B	D	C	A	B	A	
0	x_i	0	0	0	0	0	0	0
1	A	0	↑	↑	↑	↖	←	↖
2	B	0	↖	←	←	↑	↖	←
3	C	0	↑	↑	↖	←	↑	↑
4	B	0	↖	↑	↑	↑	↖	←
5	D	0	↑	↖	↑	↑	↑	↑
6	A	0	↑	↑	↑	↖	↑	↖
7	B	0	↖	↑	↑	↑	↖	↑

- This procedure prints "BCBA".

Outline

- ▶ Rod cutting
- ▶ Matrix-chain multiplication
- ▶ Elements of dynamic programming
- ▶ Longest common subsequence
- ▶ **Optimal binary search trees**

Optimal binary search trees

► **Input:** A sequence $K = \langle k_1, k_2, \dots, k_n \rangle$ of n distinct keys in sorted order.

A sequence $D = \langle d_0, d_1, \dots, d_n \rangle$ of $n + 1$ dummy keys.

► $k_1 < k_2 < \dots < k_n$.

► d_0 = all values $< k_1$. d_n = all values $> k_n$.

► d_i = all values between k_i and k_{i+1} .

► For each key k_i , a probability p_i that a search is for k_i .

► For each key d_i , a probability q_i that a search is for d_i .

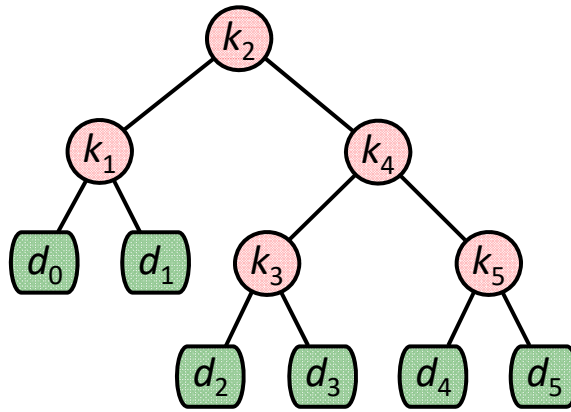
► **Output:** A BST with minimum expected search cost.

$$\begin{aligned} \text{E}[\text{search cost in } T] &= \sum_{i=1}^n (\text{depth}_T(k_i) + 1) \cdot p_i + \sum_{i=1}^n (\text{depth}_T(d_i) + 1) \cdot q_i \\ &= \sum_{i=1}^n p_i + \sum_{i=1}^n q_i + \sum_{i=1}^n \text{depth}_T(k_i) \cdot p_i + \sum_{i=1}^n \text{depth}_T(d_i) \cdot q_i \\ &= 1 + \sum_{i=1}^n \text{depth}_T(k_i) \cdot p_i + \sum_{i=1}^n \text{depth}_T(d_i) \cdot q_i \end{aligned}$$

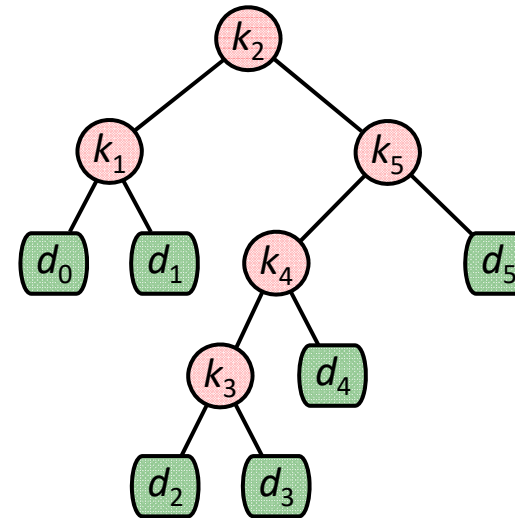
$\sum_{i=1}^n p_i + \sum_{i=1}^n q_i = 1$

$$0.15 \times 2 + 0.1 \times 1 + 0.05 \times 3 + 0.10 \times 2 + 0.20 \times 3 \\ + 0.05 \times 3 + 0.10 \times 3 + 0.05 \times 4 + 0.05 \times 4 + 0.05 \times 4 + 0.10 \times 4 = 2.80$$

An example



Expected search cost 2.80.



Expected search cost 2.75.
This tree is optimal.

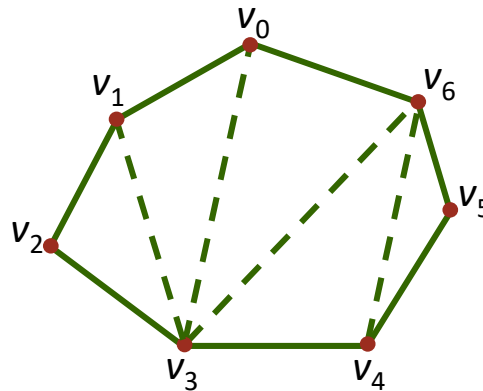
k_i : 成功
 d_i : 失敗

- Two binary search trees for a set of $n = 5$ keys with the following probabilities:

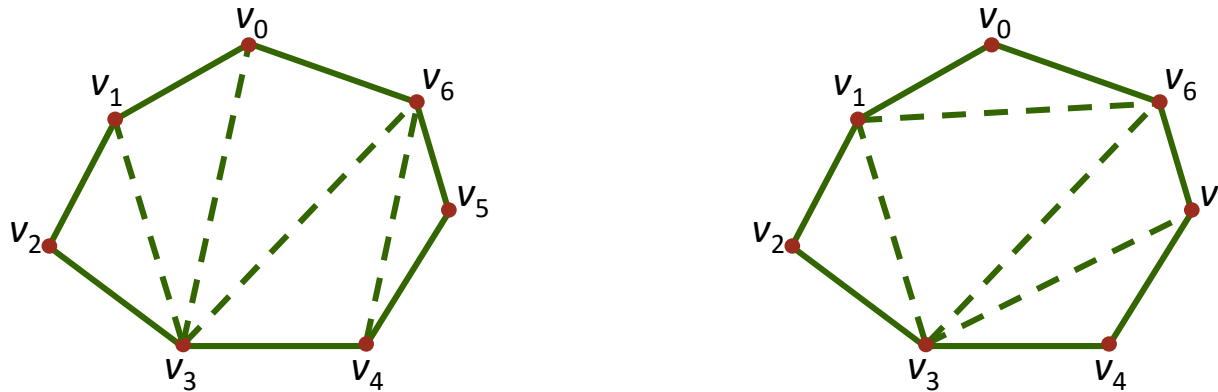
i	0	1	2	3	4	5
p_i		0.15	0.10	0.05	0.10	0.20
q_i	0.05	0.10	0.05	0.05	0.05	0.10

Optimal polygon triangulation_{1/2} 将多边形三角化

- ▶ If $P = \langle v_0, v_1, \dots, v_{n-1} \rangle$ is a **convex polygon**, it has n **sides**, $\overline{v_0v_1}$, $\overline{v_1v_2}$, ..., $\overline{v_{n-1}v_0}$.
- ▶ Given two nonadjacent vertices v_i and v_j , the segment v_iv_j is a **chord** of the polygon.
- ▶ A **triangulation** of a polygon is a set T of chords of the polygon that divide the polygon into disjoint triangles.



Optimal polygon triangulation_{2/2}



Two ways of triangulating a convex polygon.

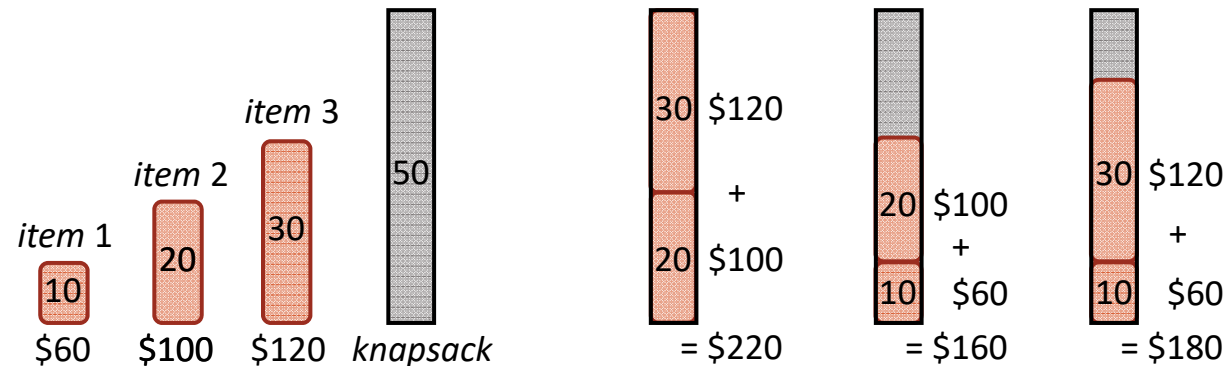
► Optimal polygon triangulation problem

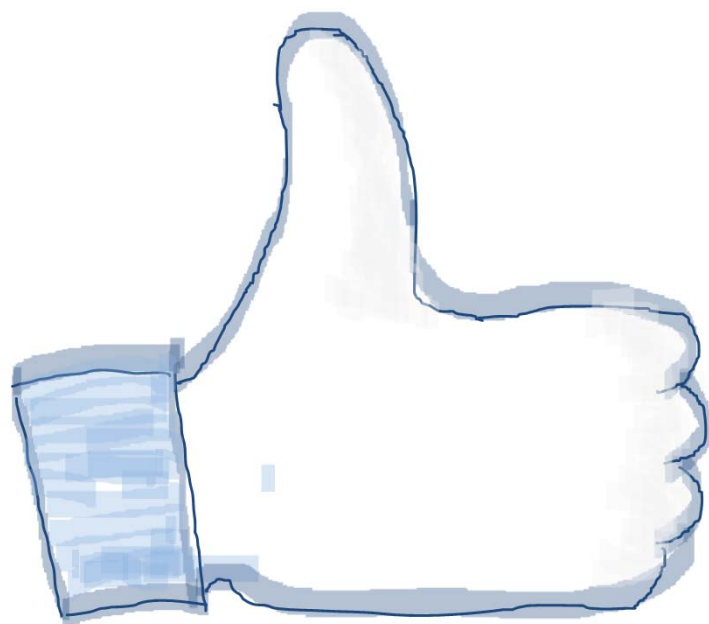
- **Input:** A convex polygon $P = \langle v_0, v_1, \dots, v_{n-1} \rangle$.
 - A weighting function w defined on triangles formed by sides and chords of P . 三角化後邊的和為最小
- **Output:** A triangulation that minimizes the sum of the weights of the triangles in the triangulation.

動態規劃法 = 填表法

0-1 knapsack problem-- using DP 背包問題

- ▶ **Input:** A set $A = \{a_1, a_2, \dots, a_n\}$ of n items and a knapsack of capacity C .
 - ▶ Each item a_i is worth v_i dollars and weighs w_i pounds. 各物品有其重量及價值 背包容量
- ▶ **Output:** A subset of items whose total size is bounded by C and whose profit is maximized. 如何取有最大價值
- ▶ Each item must either be taken or left behind. 每個item只能選擇取或不取
- ▶ For example:





2014.01.06.
魏琳 寫

我

沒

畫

你

畫

義

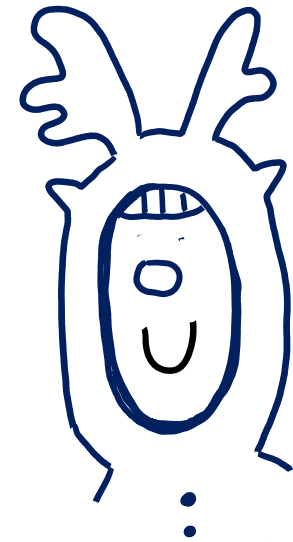
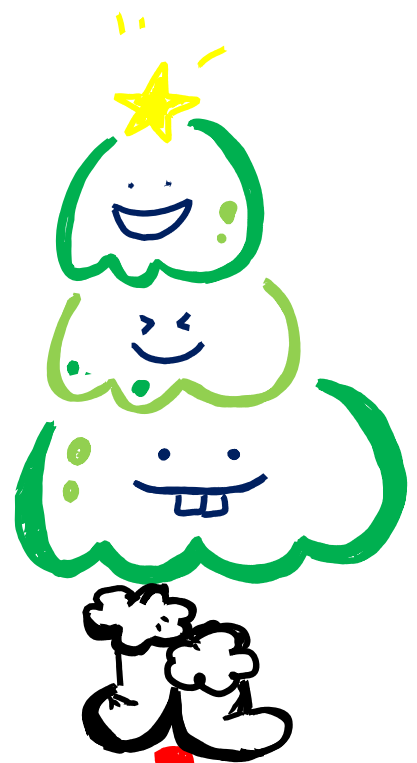
超

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对阿 对阿 对阿 对阿 对阿 对阿 对阿 对阿 对阿 对阿

清池 Good Good 講義



对阿 对阿 对阿
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大家要認真看講義喔！

对阿 对阿 对阿
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鄭文輝 2014.12.17

