

# Algorithms

## Chapter 4 Divide-and-Conquer

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# Outline

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- ▶ **The substitution method**
- ▶ The recursion-tree method
- ▶ The master method
- ▶ The maximum-subarray problem
- ▶ Strassen's algorithm for matrix multiplication

# The purpose of this chapter

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- ▶ When an algorithm contains a recursive call to itself, its running time can often be described by a recurrence.
- ▶ A **recurrence** is an equation or inequality that describes a function in terms of its value on small inputs.
  - ▶ For example: the worst-case running time of Merge-Sort

$$T(n) = \begin{cases} \theta(1) & \text{if } n = 1, \\ 2T(n/2) + \theta(n) & \text{if } n > 1. \end{cases}$$

- ▶ The solution is  $T(n) = \Theta(n \lg n)$ .
- ▶ Three methods for solving recurrences 三種方法
  - ▶ the substitution method 置換法
  - ▶ the recursion-tree method 遞迴樹
  - ▶ the master method 大師方法

## Technicalities<sub>1/2</sub> $T(n)$ : 只定義 $n$ 是整數時

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- ▶ The running time  $T(n)$  is only defined when  $n$  is an integer, since the size of the input is always an integer for most algorithms.
- ▶ For example: the running time of Merge-Sort is really

$$T(n) = \begin{cases} \theta(1) & \text{if } n = 1, \\ T(\lceil n/2 \rceil) + T(\lfloor n/2 \rfloor) + \theta(n) & \text{if } n > 1. \end{cases}$$

- ▶ Typically, we ignore the boundary conditions. 忽略边界條件
- ▶ Since the running time of an algorithm on a constant-sized input is a constant, we have  $T(n) = \Theta(1)$  for sufficiently small  $n$ .
- ▶ Thus, we can rewrite the recurrence as 當  $n$  夠小時,  $T(n) = \theta(1)$

忽略 ceiling 與 floor

$$T(n) = 2T(n/2) + \Theta(n).$$

## Technicalities<sub>2/2</sub> 在計算時, 忽略 floors, ceiling 以及 boundary conditions

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- ▶ When we state and solve recurrences, we often omit floors, ceilings, and boundary conditions.
- ▶ We forge ahead without these details and later determine whether or not they matter. 先忽略細節, 再回頭看是否重要
- ▶ They usually don't, but it is important to know when they do. 通常不重要, 但知道背後原因是重要的

## The substitution method<sub>1/3</sub> 置換法

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- ▶ The substitution method entails two steps: 2 步驟
  - ▶ Guess the form of the solution 依照經驗猜答案
  - ▶ Use mathematical induction to find the constants and show that the solution works 用數歸法證明是對的[把常數找出來]
- ▶ This method is powerful, but it obviously can be applied<sup>key</sup> only in cases when it is easy to guess the form of the answer  
功能強大, 但只對答案容易猜時有用

# The substitution method<sub>2/3</sub>

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- ▶ For example: determine an upper bound on the recurrence

$$T(n) = 2T(\lfloor n/2 \rfloor) + n, \text{ where } T(1)=1.$$

- ▶ guess that the solution is  $T(n) = O(n \lg n)$  依照經驗猜答案
- ▶ prove that there exist positive constants  $c > 0$  and  $n_0$  such that  $T(n) \leq cn \lg n$  for all  $n \geq n_0$  證明 big O 的條件 [找  $c$  與  $n_0$  兩個常數]

- ▶ **Basis step:**

- ▶ when  $n=1$ ,  $T(1) \leq c_1 1 \lg 1 = 0$ , which is at odds with  $T(1)=1$   $1 \leq c_1 \cdot 0 \Rightarrow$  所以  $c_1$  不存在

- 找  $n_0=2$
- Basis: 對於  $n=2,3$  成立
- ▶ since the recurrence does not depend directly on  $T(1)$ , we can replace  $T(1)$  by  $T(2)=4$  and  $T(3)=5$  as the base cases  $T(2) = 2T(1) + 2 = 2 \cdot 1 + 2 = 4$   
 $T(3) = 2T(1) + 3 = 2 \cdot 1 + 3 = 5$
  - ▶  $T(2) \leq c_1 2 \lg 2$  and  $T(3) \leq c_1 3 \lg 3$  for any choice of  $c_1 \geq 2$

- ▶ thus, we can choose  $c_1=2$  and  $n_0=2$   $4 \leq c_1 \cdot 2, 5 \leq c_1 \cdot 3 \cdot 1 \dots \Rightarrow c_1 \geq 2$  可以滿足

$\because n \geq 2$ , 在做除以2取 floor 後, 一定會遇到 2 or 3

## The substitution method<sub>3/3</sub>

假設  $T(\frac{n}{2})$  成立

► **Induction step:** 證明  $T(n)$  成立

► assume  $T(\lfloor n/2 \rfloor) \leq c_2 \lfloor n/2 \rfloor \lg(\lfloor n/2 \rfloor)$  for  $\lfloor n/2 \rfloor$

► then,  $T(n) = 2T(\lfloor n/2 \rfloor) + n$

置換  $\leq 2(c_2 \lfloor n/2 \rfloor \lg(\lfloor n/2 \rfloor)) + n$

$$\leq c_2 n \lg(n/2) + n$$

$$= c_2 n \lg n - c_2 n \lg 2 + n$$

算出的結果  $= c_2 n \lg n - c_2 n + n$

目標  $\leq c_2 n \lg n$

$T(2)$  is true = basis

$T(3)$  is true = basis

$T(4)$  is true =  $T(2)$  is true + induction step

$T(5)$  is true =  $T(2)$  is true + induction step

$T(6)$  is true =  $T(3)$  is true + induction step

$T(7)$  is true =  $T(3)$  is true + induction step

$T(8)$  is true =  $T(4)$  is true + induction step

⋮

► the last step holds as long as  $c_2 \geq 1$   $T(n)$  is true =  $T(\frac{n}{2})$  is true + induction step

$\Rightarrow$  觀察後得  $c_2 \geq 1$

► There exist positive constants  $c = \max\{2, 1\}$  and  $n_0 = 2$  such that

$$T(n) \leq cn \lg n \text{ for all } n \geq n_0$$

$c_1, c_2$

$c = 2, n_0 = 2 \Rightarrow$  得證



# Making a good guess 如何猜出答案

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► **Experience:**  $T(n) = 2T(\lfloor n/2 \rfloor + 17) + n$

- when  $n$  is large, the difference between  $T(\lfloor n/2 \rfloor)$  and  $T(\lfloor n/2 \rfloor + 17)$  is not that large: both cut  $n$  nearly evenly in half.
- we make the guess that  $T(n) = O(n \lg n)$  當  $n$  很大時, 17 就顯得相對不重要

► **Loose upper and lower bounds:**  $T(n) = 2T(\lfloor n/2 \rfloor) + n$

- prove a lower bound of  $T(n) = \Omega(n)$  and an upper bound of  $T(n) = O(n^2)$  分別先猜上界和下界 慢慢將上界往下, 下界往上
- gradually lower the upper bound and raise the lower bound until we converge on the tight solution of  $T(n) = \Theta(n \lg n)$

► **Recursion trees:**

- will be introduced later 第二節

目標  
↓  $O(n^2)$   
 $\Theta(n \lg n)$   
↑  $\Omega(n)$

## Subtleties 小細節

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- ▶ Consider the recurrence:

$$T(n) = T(\lfloor n/2 \rfloor) + T(\lceil n/2 \rceil) + 1$$

- ▶ **guess** that the solution is  $O(n)$ , i.e.,  $T(n) \leq cn$

- ▶ then,  $T(n) \leq c\lfloor n/2 \rfloor + (c\lceil n/2 \rceil + 1)$  置換  
 $= cn + 1$  算出的結果

- ▶  $c$  does not exist  $cn+1 \not\leq cn \Rightarrow c$  不存在

- ▶ **new guess**  $T(n) \leq cn - b$  再猜

- ▶ then,  $T(n) \leq (c\lfloor n/2 \rfloor - b) + (c\lceil n/2 \rceil - b) + 1$   
 $= cn - 2b + 1$   
 $\leq cn - b$  for  $b \geq 1$

- ▶ also, the constant  $c$  must be chosen large enough to handle the boundary conditions

選擇  $c$  時, 要滿足 basis 條件

## Avoiding pitfalls 避免犯錯

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- ▶ It is easy to err in the use of asymptotic notation.
  - ▶ For example:  $T(n) = 2T(\lfloor n/2 \rfloor) + n$ 
    - ▶ guess that the solution is  $O(n)$ , i.e.,  $T(n) \leq cn$
    - ▶ then,  $T(n) = 2T(\lfloor n/2 \rfloor) + n$ 
      - $\leq 2(c\lfloor n/2 \rfloor) + n$  置換
      - $\leq cn + n$  算出的結果
      - $= O(n)$
      - $\leq cn$  目標
- ← wrong  $c$  還沒找出來 [事實上  $c$  不存在]  
 $cn + n \neq cn$
- ▶ the error is that we haven't proved the **exact form** of the inductive hypothesis, that is, that  $T(n) \leq cn$

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- ▶ The substitution method
- ▶ **The recursion-tree method** 用遞迴樹
- ▶ The master method
- ▶ The maximum-subarray problem
- ▶ Strassen's algorithm for matrix multiplication

用遞迴樹產生答案, 用置換法驗證

## The recursion-tree method

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- ▶ A recursion tree is best used to generate a good guess, which is then verified by the substitution method.
- ▶ Tolerating a small amount of “sloppiness”, we could use recursion-tree to generate a good guess. 忽略細節
- ▶ One can also use a recursion tree as a direct proof of a solution to a recurrence. 也可用遞迴樹證明
- ▶ Ideas:
  - ▶ in a **recursion tree**, each node represents the cost of a single subproblem 代表 cost
  - ▶ sum the costs within each level to obtain a set of per-level costs 將同一層花費加起來
  - ▶ sum all the per-level costs to determine the total cost 將每一層花費加起來

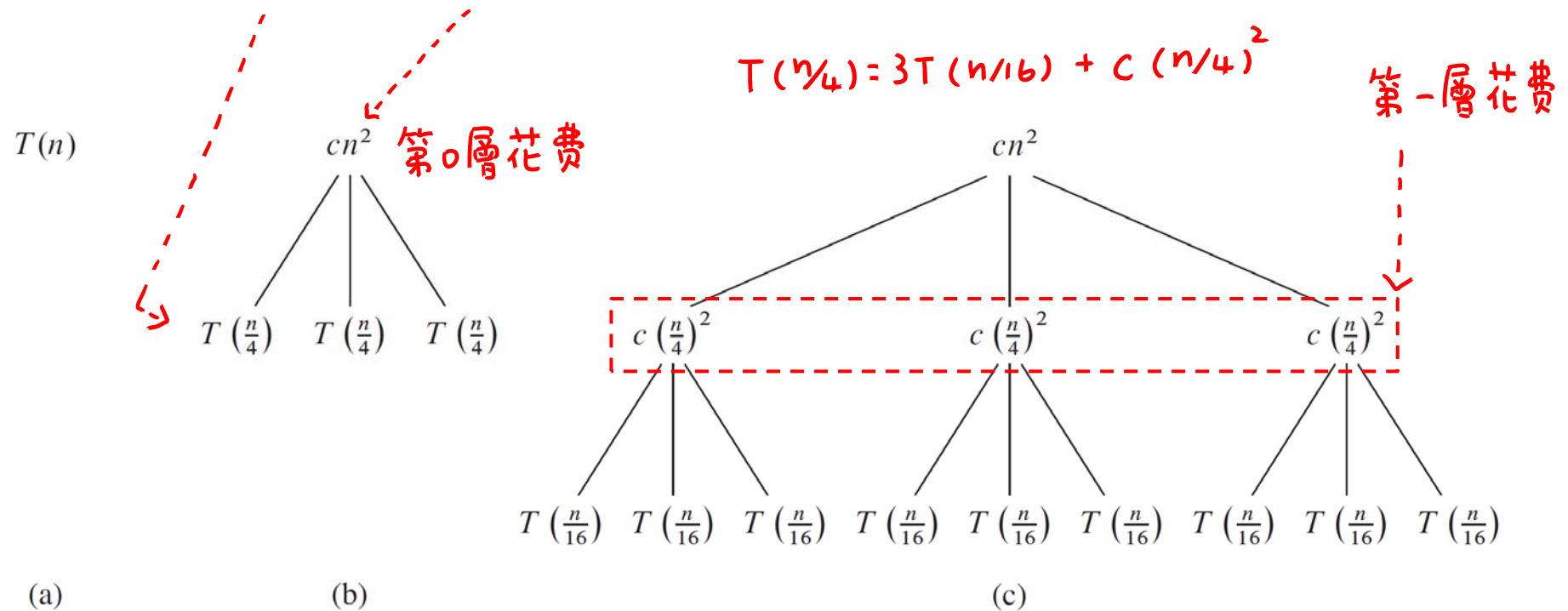
## An example

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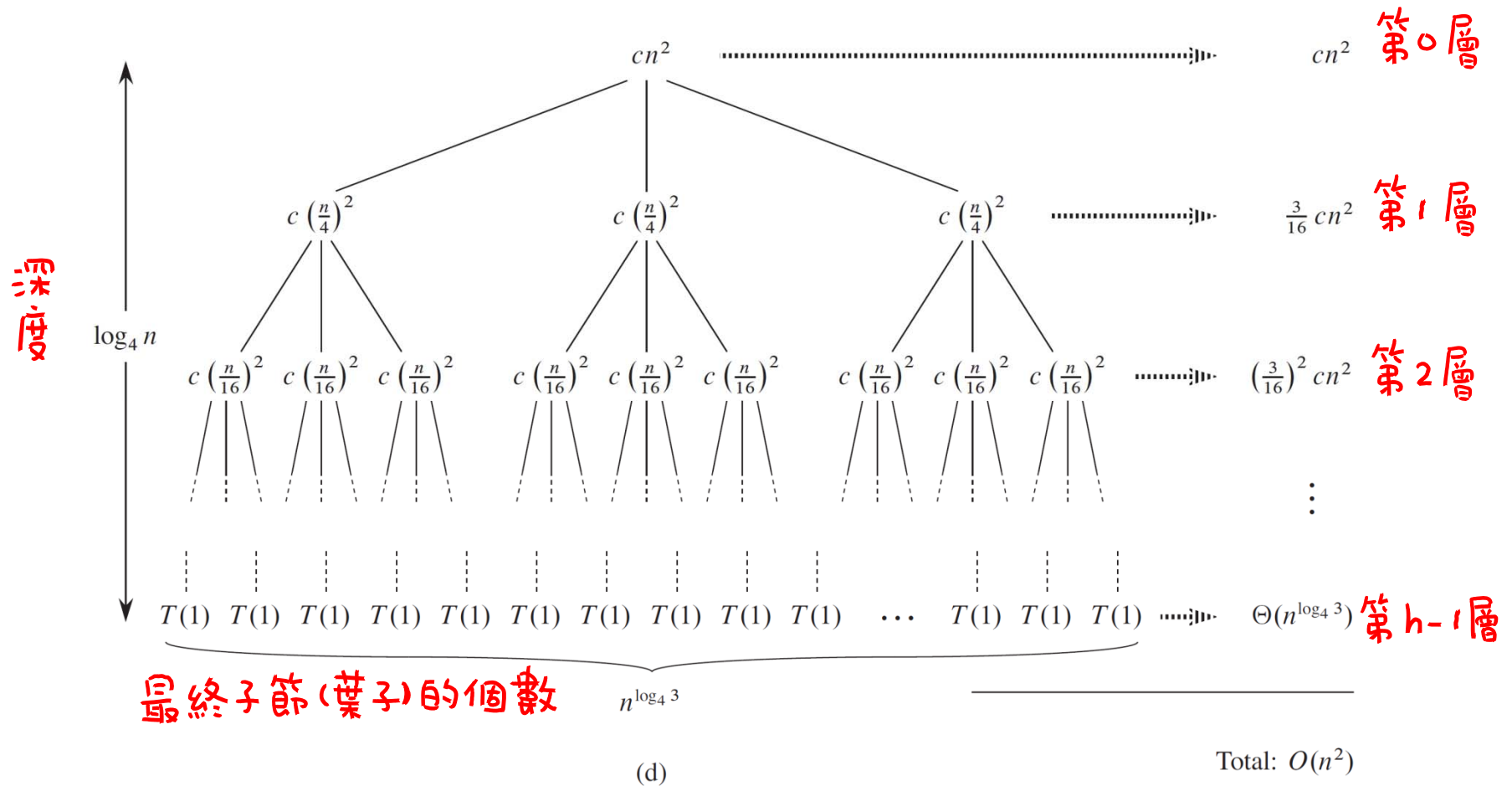
- ▶ For example:  $T(n) = 3T(\lfloor n/4 \rfloor) + \Theta(n^2)$
- ▶ Tolerating the sloppiness:
  - ▶ ignore the floor in the recurrence 将 floor 忽略
  - ▶ assume  $n$  is an exact power of 4  $n = 4^k$
- ▶ Rewrite the recurrence as  $T(n) = 3T(n/4) + cn^2$

# The construction of a recursion tree<sub>1/2</sub>

►  $T(n) = 3T(n/4) + cn^2$

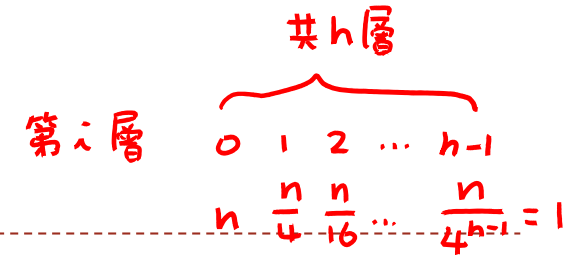


# The construction of a recursion tree<sub>2/2</sub>





# Determine the cost of the tree<sub>1/2</sub>



- ▶ The subproblem size for a node at depth  $i$  is  $n/4^i$ .  $4^{h-1} = n, h = \log_4 n + 1$
- ▶ Thus, the tree has  $\log_4 n + 1$  levels (0, 1, 2, ...,  $\log_4 n$ ).
- ▶ Each node at depth  $i$ , has a cost of  $c(n/4^i)^2$  for  $0 \leq i \leq \log_4 n$ .
- ▶ So, the total cost over all nodes at depth  $i$  is  $3^i * c(n/4^i)^2$   
 $= (3/16)^i cn^2$ . 第  $i$  層的花費 node 數 每個 node 的花費
- ▶ The last level, at  $\log_4 n$ , has  $3^{\log_4 n} = n^{\log_4 3}$  nodes.  
 $(3^{\log_4 n})$  最後一層的節數
- ▶ The cost of the entire tree:

$$T(n) = cn^2 + \frac{3}{16}cn^2 + \left(\frac{3}{16}\right)^2 cn^2 + \dots + \left(\frac{3}{16}\right)^{\log_4 n - 1} cn^2 + \left(\frac{3}{16}\right)^{\log_4 n} cn^2$$

$$= \sum_{i=0}^{\log_4 n} \left(\frac{3}{16}\right)^i cn^2$$

$$= \frac{(3/16)^{\log_4 n + 1} - 1}{(3/16) - 1} cn^2$$

最後一層花費 =  $n^{\log_4 \frac{3}{16}} \cdot cn^2$   
 $= n^{\log_4 3 - \log_4 16} \cdot cn^2$   
 $= cn^{\log_4 3}$   
 $= \text{最後一層的節數} \cdot c$

## Determine the cost of the tree<sub>2/2</sub>

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- ▶ Take advantage of small amounts of sloppiness, we have

多算一些  $\rightarrow \infty$

$$\begin{aligned} T(n) &= \sum_{i=0}^{\log_4 n} \left(\frac{3}{16}\right)^i cn^2 \\ &< \sum_{i=0}^{\infty} \left(\frac{3}{16}\right)^i cn^2 = \frac{1}{1 - (3/16)} cn^2 \\ &= \frac{16}{13} cn^2 = O(n^2) \end{aligned}$$

- ▶ Thus, we have derived a guess of  $T(n) = O(n^2)$ .

遞迴樹產生的答案

會忽略細節，所算出的答案非準確

## Verify the correctness of our guess 用置換法證明它正確

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- ▶ Now we can use the substitution method to verify that our guess is correct.
- ▶ We want to show that  $T(n) \leq dn^2$  for some constant  $d > 0$ .
- ▶ Using the same constant  $c > 0$  as before, we have

$$T(n) = 3T(\lfloor n/4 \rfloor) + \theta(n^2)$$

$$\leq 3T(\lfloor n/4 \rfloor) + cn^2$$

$$\leq 3d \lfloor n/4 \rfloor^2 + cn^2 \quad \text{置換}$$

$$\leq 3d(n/4)^2 + cn^2$$

$$= 3/16dn^2 + cn^2 \quad \text{算出的結果}$$

$$\leq dn^2, \quad \text{目標}$$

$$\begin{aligned} &\theta(n^2) \\ &\Rightarrow C_1 n^2 \leq f(n) \leq C_2 n^2 \end{aligned}$$

where the last step holds for  $d \geq (16/13)c$ .

# Another example

分成 = 部份

divide and combine 時間

- ▶ Another example:  $T(n) = T(n/3) + T(2n/3) + O(n)$ .

- ▶ The recursion-tree:

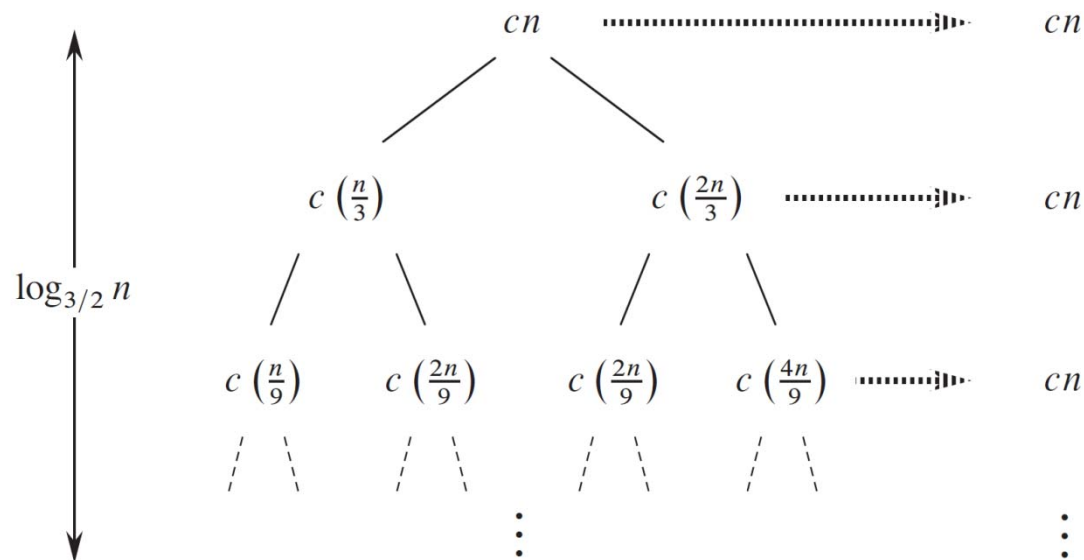
共  $h$  層

第  $i$  層

$0 \ 1 \ 2 \ \dots \ h-1$

$$n \left(\frac{n}{3}\right)^0 \left(\frac{n}{3}\right)^1 \dots \left(\frac{n}{3}\right)^{h-1} = 1$$

$$\left(\frac{3}{2}\right)^{h-1} = n, \quad h = \log_{\frac{3}{2}} n + 1$$



第1種可能性答案  $\Rightarrow$  Total:  $O(n \lg n)$

## Determine the cost of the tree

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- ▶ In the figure, we get a value of  $cn$  for every level.
- ▶ The height of tree is  $\log_{3/2} n$ .
- ▶ Intuitively, we expect the solution to the recurrence to be at most  $O(cn \log_{3/2} n) = O(n \lg n)$ . ← 第1種可能性答案
- ▶ The recursion tree has fewer than  $2^{\log_{3/2} n} = n^{\log_{3/2} 2}$  leaves.
- ▶ The total cost of all leaves would then be  $\theta(n^{\log_{3/2} 2})$ , which is  $\omega(n \lg n)$ . ← 第2種可能性答案
- ▶ Also, not all levels contribute a cost of exactly  $cn$ .  
不是每一層都花  $cn$  有些 leaf 尚未到最後一層就消失了 (結束)
- ▶ Thus, we derived a guess of  $T(n) = O(n \lg n)$ .

所以, 還是猜  $T(n) = O(n \log n)$

共有  $h = \log_{3/2} n + 1$  層  $\Rightarrow$  樹高 =  $\log_{3/2} n$   
 $\Rightarrow$  最後一層 leaf 個數  $< 2^{\log_{3/2} n} = n^{\log_{3/2} 2}$   
 $\Rightarrow$  比常數倍的  $n \log n$  大

( $n^\epsilon > \log n$ ,  $\epsilon > 0$ ,  $n \rightarrow \infty$ )

假設  $T(n/3)$  和  $T(2n/3)$  成立

假設  $T(n/3) \leq d(n/3) \lg(n/3)$  和  $T(2n/3) \leq d(2n/3) \lg(2n/3)$

## Verify the correctness of our guess

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► We can verify the guess by the substitution method.

► We have  $T(n) = T(n/3) + T(2n/3) + O(n)$

$$\leq T(n/3) + T(2n/3) + cn$$

$$\leq d(n/3) \lg(n/3) + d(2n/3) \lg(2n/3) + cn \quad \text{置換}$$

$$= (d(n/3) \lg n - d(n/3) \lg 3)$$

$$+ (d(2n/3) \lg n - d(2n/3) \lg(3/2)) + cn$$

$$= dn \lg n - d((n/3) \lg 3 + (2n/3) \lg(3/2)) + cn$$

$$= dn \lg n - d((n/3) \lg 3 + (2n/3) \lg 3 - (2n/3) \lg 2) + cn$$

$$= dn \lg n - dn(\lg 3 - 2/3) + cn \quad \text{算出的結果}$$

$$\leq dn \lg n \quad \text{目標} \Rightarrow \text{求 } d = ?$$

$\Rightarrow d$  的範圍

for  $d \geq c/(\lg 3 - (2/3))$ .

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## The master method<sub>1/2</sub> 大師方法給一個公式解

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- ▶ The master method provides a "cookbook" method for solving recurrences of the form

$$T(n) = aT(n/b) + f(n)$$

$a$ 個子問題, 每一個大小  $\frac{n}{b}$

$T(\frac{n}{b}) \Rightarrow$  子問題所需時間

$f(n) =$  分割和合併所需時間

- ▶  $a \geq 1$  and  $b > 1$  are constants
- ▶  $f(n)$  is an asymptotically positive function  $n$  夠大時,  $f(n)$  值為正
- ▶ It requires memorization of three cases, but then the solution of many recurrences can be determined quite easily.

三種情形, 可解大多數的 recurrences

$\Rightarrow$  有一些不能解



## The master method<sub>2/2</sub>

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- ▶ The recurrence  $T(n) = aT(n/b) + f(n)$  describes the running time of an algorithm that
  - ▶ divides a problem of size  $n$  into  $a$  subproblems, each of size  $n/b$
  - ▶ each of subproblems is solved recursively in time  $T(n/b)$
  - ▶ the cost of dividing and combining the results is  $f(n)$
- ▶ For example, the recurrence arising from the MERGE-SORT procedure has  $a = 2$ ,  $b = 2$ , and  $f(n) = \Theta(n)$ .  
 $f(n) = \text{divide} + \text{merge}$   
 $\theta(1) \quad \theta(n)$   
Merge-Sort: 分割成2個子問題, 子問題大小為  $\frac{n}{2}$
- ▶ Normally, we omit the floor and ceiling functions when writing divide-and-conquer recurrences of this form.  
忽略 ceiling 和 floor

# Master theorem $n^{\log_b a}$ v.s. $f(n)$ 比大小 $\Rightarrow$ 誰大時間複雜度就為誰

- **Master theorem:** Let  $a \geq 1$  and  $b > 1$  be constants, let  $f(n)$  be a function, and let  $T(n)$  be defined on the nonnegative integers by the recurrence

$$T(n) = aT(n/b) + f(n)$$

$\text{可以是 } \lfloor n/b \rfloor \text{ 或 } \lceil n/b \rceil$

where we interpret  $n/b$  to mean either  $\lfloor n/b \rfloor$  or  $\lceil n/b \rceil$ .

Then,  $T(n)$  can be bounded asymptotically as follows.

1. If  $f(n) = O(n^{\log_b a - \epsilon})$  for some constant  $\epsilon > 0$ , then  $T(n) = \theta(n^{\log_b a})$ .  
 $n^{\log_b a}$  夠大, 相差  $n^\epsilon \Rightarrow T(n) = \theta(n^{\log_b a})$
2. If  $f(n) = \theta(n^{\log_b a})$ , then  $T(n) = \theta(n^{\log_b a} \lg n)$ . 相等, 乘以  $\lg n$
3. If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some constant  $\epsilon > 0$ , and if  $af(n/b) \leq cf(n)$  for some constant  $c < 1$  and all sufficiently large  $n$ , then  $T(n) = \theta(f(n))$ .  
 $n^{\log_b a}$  小, 相差  $n^\epsilon$  且滿足正規情況

$$\Rightarrow T(n) = \theta(f(n))$$

# Intuition behind the master method

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- ▶ Intuitively, the solution to the recurrence is determined by comparing the two functions  $f(n)$  and  $n^{\log_b a}$ .
  - ▶ Case 1: if  $n^{\log_b a}$  is asymptotically larger than  $f(n)$  by a factor of  $n^\epsilon$  for some constant  $\epsilon > 0$ , then the solution is  $T(n) = \theta(n^{\log_b a})$ .
  - ▶ Case 2: if  $n^{\log_b a}$  is asymptotically equal to  $f(n)$ , then the solution is  $T(n) = \theta(n^{\log_b a} \lg n)$ .
  - ▶ Case 3: if  $n^{\log_b a}$  is asymptotically smaller than  $f(n)$  by a factor of  $n^\epsilon$ , and the function  $f(n)$  satisfies the "regularity" condition that  $af(n/b) \leq cf(n)$ , then the solution is  $T(n) = \theta(f(n))$ .
- ▶ The three cases do not cover all the possibilities for  $f(n)$ .  
三種情形, 可解大多數的 recurrences  
⇒ 有一些不能解

## Using the master method<sub>1/3</sub>

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► Example 1:  $T(n) = 9T(n/3) + n$

► For this recurrence, we have  $a = 9, b = 3, f(n) = n$ .

$n \cdot n^\epsilon = n^2$   
 $n^{\log_b a}$  較大,  $\epsilon = 1$

► Thus,  $n^{\log_b a} = n^{\log_3 9} = \theta(n^2)$ .

► Since  $f(n) = O(n^{\log_3 9 - \epsilon})$ , where  $\epsilon = 1$ , we can apply case 1.

► The solution is  $T(n) = \Theta(n^2)$ .

► Example 2:  $T(n) = T(2n/3) + 1$

► For this recurrence, we have  $a = 1, b = 3/2, f(n) = 1$ .

► Thus,  $n^{\log_b a} = n^{\log_{3/2} 1} = n^0 = 1$ .

► Since  $f(n) = O(n^{\log_b a}) = \theta(1)$ , we can apply case 2.

► The solution is  $T(n) = \Theta(\lg n)$ .

相等,  $n^{\log_b a} \times \lg n = 1 \times \lg n = \lg n$

## Using the master method<sub>3/3</sub>

$n^{\log_b a} = n$ ,  $f(n) = n \lg n$   
 $\therefore n < n \lg n$ , 但是不够大  
[只有大於  $\lg n$ , 不到  $n^\epsilon$ ]  $n^\epsilon > \lg n$

$$\lim_{n \rightarrow \infty} \frac{\log_b n}{n^a} = 0, \text{ for } a > 0, b \geq 1$$

- ▶ Example 4:  $T(n) = 2T(n/2) + n \lg n$ 
  - ▶ For this recurrence, we have  $a = 2$ ,  $b = 2$ ,  $f(n) = n \lg n$ .
  - ▶ The function  $f(n) = n \lg n$  is asymptotically larger than  $n^{\log_b a} = n^{\log_2 2} = n$ .
  - ▶ But, it is not polynomially larger since the ratio  $f(n)/n^{\log_b a} = (n \lg n)/n = \lg n$  is asymptotically less than  $n^\epsilon$  for any positive constant  $\epsilon$ .
  - ▶ Consequently, the recurrence falls into the gap between case 2 and case 3. 遞迴式落在 case 2 和 case 3 之間
- ▶ If  $g(n)$  is asymptotically larger than  $f(n)$  by a factor of  $n^\epsilon$  for some constant  $\epsilon > 0$ , then we said  $g(n)$  is **polynomially larger** than  $f(n)$ .

$$f(n) \text{ 大 } \begin{cases} \text{大於 } n^\epsilon \\ af(n/b) \leq cf(n) \end{cases}$$

找常數  $c < 1$

## Using the master method<sub>2/3</sub>

---

- ▶ Example 3:  $T(n) = 3T(n/4) + n \lg n$ 
  - ▶ For this recurrence, we have  $a = 3$ ,  $b = 4$ ,  $f(n) = n \lg n$ .
  - ▶ Thus,  $n^{\log_b a} = n^{\log_4 3} = O(n^{0.793})$ .
  - ▶ For sufficiently large  $n$ ,  $af(n/b) = 3(n/4) \lg(n/4) \leq (3/4)n \lg n = cf(n)$  for  $c = 3/4$ .
  - ▶ Since  $f(n) = \Omega(n^{\log_4 3 + \epsilon})$  with  $\epsilon \approx 0.2$  and the regularity condition holds for  $f(n)$  case 3 applies.
  - ▶ The solution is  $T(n) = \Theta(n \lg n)$ .

# Outline

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- ▶ The substitution method
- ▶ The recursion-tree method
- ▶ The master method
- ▶ **The maximum-subarray problem**
- ▶ Strassen's algorithm for matrix multiplication

## 最大子陣列

# The maximum-subarray problem

---

- ▶ **Input:** An array  $A[1...n]$  of numbers.
  - ▶ Assume that some of the numbers are negative, because this problem is trivial when all numbers are nonnegative.
- ▶ **Output:** Indices  $i$  and  $j$  such that  $A[i...j]$  has the greatest sum of any contiguous subarray of array  $A$ .  
找出  $i, j$  使得從  $i$  加到  $j$  的和是最大
- ▶ For example:
  - ▶ The subarray  $A[8...11]$ , with sum 43, has the greatest sum of any contiguous subarray of array  $A$ . 回報 8 和 11

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
A	13	-3	-25	20	-3	-16	-23	18	20	-7	12	-5	-22	15	-4	7

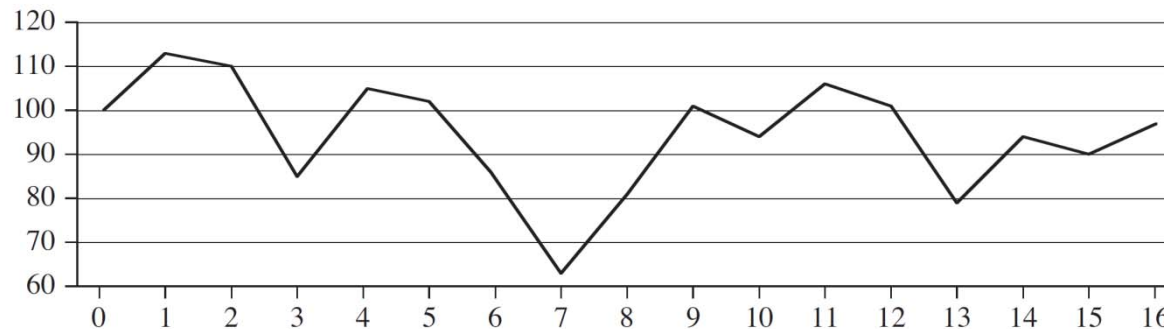
maximum subarray



# An application

- ▶ You have the prices that a stock traded at over a period of  $n$  consecutive days.
- ▶ When should you have bought the stock? When should you have sold the stock? 第7天買, 第11天賣賺最多

回傳8和11



Day	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Price	100	113	110	85	105	102	86	63	81	101	94	106	101	79	94	90	97
Change		13	-3	-25	20	-3	-16	-23	18	20	-7	12	-5	-22	15	-4	7

# Solving by brute-force algorithm

---

## ► Brute-force algorithm:

- Check all  $\binom{n}{2} + n = \theta(n^2)$  subarrays. 有  $\binom{n}{2} + n$  種可能性
- Organize the computation so that each subarray  $A[i..j]$  takes  $O(1)$  time.
- So that the brute-force solution takes  $\theta(n^2)$  time.

$$A[9..13] = -1$$

	8	9	10	11	12	13	14	15	16	17
A	...	-6	5	-4	3	1	-2	3	-6	...

算  $A[9..13]$  後算  $A[9..14]$

只需  $O(1)$  時間

暴力法  $\theta(n^2)$  時間

$O(1)$  time



$$A[9..14] = -3$$

	8	9	10	11	12	13	14	15	16	17
A	...	-6	5	-4	3	1	-2	3	-6	...

# Solving by divide-and-conquer

- ▶ **Divide** by splitting into two subarrays  $A[\text{low} \dots \text{mid}]$  and  $A[\text{mid} + 1 \dots \text{high}]$ ,  $\text{mid}$  is the midpoint of  $A[\text{low} \dots \text{high}]$ .

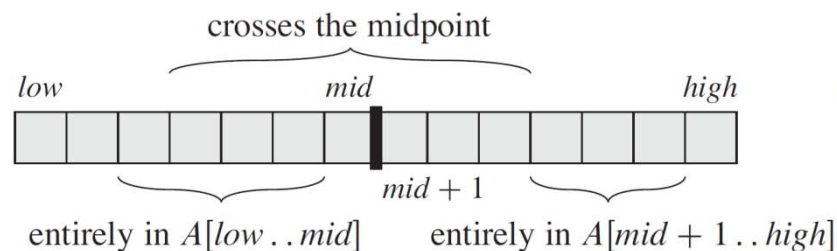
找出中間那一個

- ▶ **Conquer** by recursively finding a maximum subarrays of the two subarrays  $A[\text{low} \dots \text{mid}]$  and  $A[\text{mid} + 1 \dots \text{high}]$ .

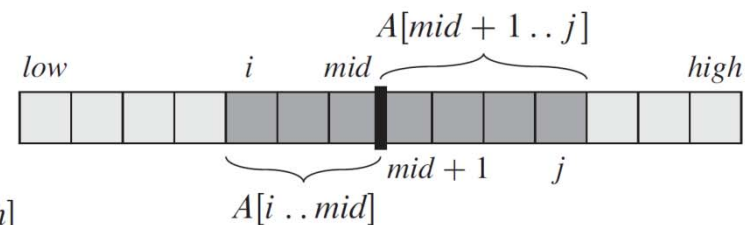
分別算左邊最大與右邊最大(子問題)

- ▶ **Combine** by finding a maximum subarray that crosses the midpoint, and using the best solution out of the three.

找出跨越中間的最大，從三種可能性中取最佳(非子問題)



(a)

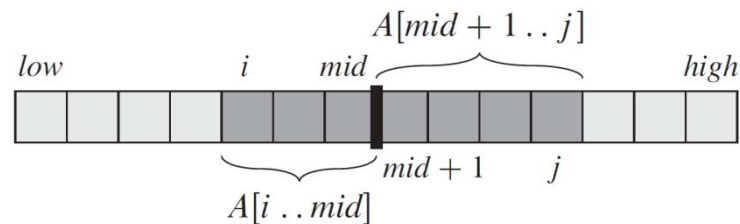


(b)

算跨中最大

## Maximum subarray that crosses the midpoint

- ▶ **Not** a smaller instance of the original problem: has the added restriction that the subarray must cross the midpoint.  
不是原問題的子問題, 因增加要跨中的條件
- ▶ Any subarray crossing the midpoint  $A[mid]$  is made of two subarrays  $A[i...mid]$  and  $A[mid+1...j]$ .  
分別找出  $A[i...mid]$  的最大和  $A[mid+1...j]$  的最大
- ▶ Find maximum subarrays of the form  $A[i...mid]$  and  $A[mid+1...j]$  and then combine them.



⇒ 將  $i, j$  找出

已知最大 目前的和

$left-sum = -\infty$   $sum = 0$

	<i>low</i>			<i>mid</i>			<i>high</i>			
	8	9	10	11	12	13	14	15	16	17
<i>A</i>	...	-6	5	-4	3	1	-2	3	-6	...



已知最大的index

$left-sum = 3$   $sum = 3$   $max-left = 12$

	<i>low</i>			<i>mid</i>			<i>high</i>			
	8	9	10	11	12	13	14	15	16	17
<i>A</i>	...	-6	5	-4	3	1	-2	3	-6	...



$left-sum = 3$   $sum = -1$   $max-left = 12$

	<i>low</i>			<i>mid</i>			<i>high</i>			
	8	9	10	11	12	13	14	15	16	17
<i>A</i>	...	-6	5	-4	3	1	-2	3	-6	...



$left-sum = 4$   $sum = -2$   $max-left = 10$

	<i>low</i>			<i>mid</i>			<i>high</i>			
	8	9	10	11	12	13	14	15	16	17
A	...	-6	5	-4	3	1	-2	3	-6	...



$left-sum = 4$   $sum = 4$   $max-left = 10$

	<i>low</i>			<i>mid</i>			<i>high</i>			
	8	9	10	11	12	13	14	15	16	17
A	...	-6	5	-4	3	1	-2	3	-6	...

# Find-Max-Crossing-Subarray

FIND-MAX-CROSSING-SUBARRAY(*A*, *low*, *mid*, *high*)

算左邊	1.	$left-sum \leftarrow -\infty$	}	$\Theta(1)$ 初始化
	2.	$sum \leftarrow 0$		
	3.	<b>for</b> $i \leftarrow mid$ <b>downto</b> $low$	}	$\Theta(n)$ 從 $mid$ 往左, 一次加一個 如果目前的和 > 已知最大 ⇒ 更新資訊
	4.	$sum \leftarrow sum + A[i]$		
	5.	<b>if</b> $sum > left-sum$		
	6.	$left-sum \leftarrow sum$		
	7.	$max-left \leftarrow i$		
算右邊	8.	$right-sum \leftarrow -\infty$	}	$\Theta(1)$ 初始化
	9.	$sum \leftarrow 0$		
	10.	<b>for</b> $j \leftarrow mid + 1$ <b>to</b> $high$	}	$\Theta(n)$ 從 $mid$ 往右, 一次加一個 如果目前的和 > 已知最大 ⇒ 更新資訊
	11.	$sum \leftarrow sum + A[j]$		
	12.	<b>if</b> $sum > right-sum$		
	13.	$right-sum \leftarrow sum$		
	14.	$max-right \leftarrow j$		
15.	<b>return</b> ( $max-left, max-right, left-sum + right-sum$ )		Time: $\Theta(n)$ .	

# Divide-and-conquer procedure

FIND-MAXIMUM-SUBARRAY( $A, low, high$ )

1. **if**  $high == low$

2. **return** ( $low, high, A[low]$ ) // base case: only one element

3. **else**  $mid \leftarrow \lfloor (low + high) / 2 \rfloor$

4. ( $left-low, left-high, left-sum$ )  $\leftarrow$   
FIND-MAXIMUM-SUBARRAY( $A, low, mid$ )

5. ( $right-low, right-high, right-sum$ )  $\leftarrow$   
FIND-MAXIMUM-SUBARRAY( $A, mid+1, high$ )

6. ( $cross-low, cross-high, cross-sum$ )  $\leftarrow$   
FIND-MAX-CROSSING-SUBARRAY( $A, low, mid, high$ )

7. **if**  $left-sum \geq right-sum$  and  $left-sum \geq cross-sum$

8. **return** ( $left-low, left-high, left-sum$ )

9. **elseif**  $right-sum \geq left-sum$  and  $right-sum \geq cross-sum$

10. **return** ( $right-low, right-high, right-sum$ )

11. **else return** ( $cross-low, cross-high, cross-sum$ )

只有一個元素

$\Theta(1)$

$T(n/2)$  算左邊最大

$T(n/2)$  算右邊最大

$\Theta(n)$  算跨中最大

$\Theta(1)$

回傳3種可能性  
中最大的

解決子問題

合併

**Initial call :** FIND-MAXIMUM-SUBARRAY( $A, 1, n$ )

# Analyzing maximum-subarray

---

- ▶ For simplicity, assume that  $n$  is a power of 2.

$$T(n) = \begin{cases} \theta(1) & \text{if } n = 1, \\ 2T(n/2) + \theta(n) & \text{otherwise.} \end{cases} \quad \begin{matrix} f(n) = \text{divide} + \text{combine} \\ \theta(1) \quad \theta(n) \end{matrix}$$

- ▶ The base case occurs when  $n = 1$ .
- ▶ **Divide**: compute the middle of the subarray,  $D(n) = \Theta(1)$ .
- ▶ **Conquer**: Recursively solve 2 subproblems, each of size  $n/2$ .
- ▶ **Combine**: Combining consists of calling FIND-MAX-CROSSING-SUBARRAY, which takes  $\Theta(n)$  time, and a constant number of constant-time tests  $\Rightarrow C(n) = \Theta(n) + \Theta(1)$  time for combining.
- ▶ By using master method, we have  $T(n) = \Theta(n \lg n)$ .

大師方法 case 2



# Outline

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- ▶ The substitution method
- ▶ The recursion-tree method
- ▶ The master method
- ▶ The maximum-subarray problem
- ▶ **Strassen's algorithm for matrix multiplication**

# Matrix multiplication $\begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{bmatrix} \times \begin{bmatrix} b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \dots & b_{nn} \end{bmatrix} = \begin{bmatrix} c_{11} & c_{12} & \dots & c_{1n} \\ c_{21} & c_{22} & \dots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \dots & c_{nn} \end{bmatrix}$

- **Input:** Two  $n \times n$  matrices,  $A = (a_{ij})$  and  $B = (b_{ij})$ .
- **Output:**  $n \times n$  matrix,  $C = (c_{ij})$ , where  $C = A \cdot B$ , i.e.,

$$c_{ji} = \sum_{k=1}^n a_{ik} b_{kj} \quad \text{for } i, j = 1, 2, \dots, n.$$

- Need to compute  $n^2$  entries of  $C$ .
- Each entry is the sum of  $n$  values.

SQUARE-MATRIX-MULTIPLY ( $A, B$ )

1.  $n \leftarrow A.\text{rows}$
2. let  $C$  be a new  $n \times n$  matrix
3. **for**  $i \leftarrow 1$  **to**  $n$
4.     **for**  $j \leftarrow 1$  **to**  $n$
5.          $c_{ij} \leftarrow 0$
6.         **for**  $k \leftarrow 1$  **to**  $n$
7.              $c_{ij} \leftarrow c_{ij} + a_{ik} \cdot b_{kj}$
8. **Return**  $C$

$$c_{11} = a_{11} \times b_{11} + a_{12} \times b_{21} + \dots + a_{1n} \times b_{n1}$$

$C$  有  $n^2$  個元素, 每一個皆為  $n$  個值相加

**Time:**  $\Theta(n^3)$ .

## Simple divide-and-conquer method

- ▶ Partition each of  $A$ ,  $B$  and  $C$  into four  $n/2 \times n/2$  matrices, so that we rewrite the equation  $C = A \cdot B$  as  $C = A \cdot B$  的另一種看法

$$\begin{pmatrix} C_{11} & C_{12} \\ C_{21} & C_{22} \end{pmatrix} = \begin{pmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{pmatrix} \cdot \begin{pmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{pmatrix}$$

- ▶ The four corresponding equations are:

- ▶  $C_{11} = A_{11} \cdot B_{11} + A_{12} \cdot B_{21},$

- ▶  $C_{12} = A_{11} \cdot B_{12} + A_{12} \cdot B_{22},$

- ▶  $C_{21} = A_{21} \cdot B_{11} + A_{22} \cdot B_{21},$

- ▶  $C_{22} = A_{21} \cdot B_{12} + A_{22} \cdot B_{22}.$

8 個子問題, 每一個大小是  $\frac{n}{2}$

$$A_{11} \cdot B_{11} + A_{12} \cdot B_{21}$$

相加需要  $\Theta(n^2)$  的時間

- ▶ Each of these equations multiplies two  $n/2 \times n/2$  matrices and then adds their  $n/2 \times n/2$  products.

# Procedure of matrix-multiply-recursive

SQUARE-MATRIX-MULTIPLY-RECURSIVE ( $A, B$ )

1.  $n \leftarrow A.rows$
2. let  $C$  be a new  $n \times n$  matrix
3. **if**  $n == 1$  // base case: only one element
4.  $c_{11} \leftarrow a_{11} \cdot b_{11}$
5. **else** partition each of  $A, B$  and  $C$  into four  $n/2 \times n/2$  matrices
6.  $C_{11} \leftarrow \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{11}, B_{11})$   
+ SQUARE-MATRIX-MULTIPLY-RECURSIVE( $A_{12}, B_{21}$ )
7.  $C_{12} \leftarrow \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{11}, B_{12})$   
+ SQUARE-MATRIX-MULTIPLY-RECURSIVE( $A_{12}, B_{22}$ )
8.  $C_{21} \leftarrow \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{21}, B_{11})$   
+ SQUARE-MATRIX-MULTIPLY-RECURSIVE( $A_{22}, B_{21}$ )
9.  $C_{22} \leftarrow \text{SQUARE-MATRIX-MULTIPLY-RECURSIVE}(A_{21}, B_{12})$   
+ SQUARE-MATRIX-MULTIPLY-RECURSIVE( $A_{22}, B_{22}$ )
10. **return**  $C$

}  $\Theta(1)$

}  $\Theta(1)$

}  $8T(n/2) + \Theta(n^2)$

$f(n) = \text{divide} + \text{combine}$   
 $\Theta(1) \quad \Theta(n^2)$

8 個子問題, 每一個大小是  $\frac{n}{2}$

$A_{11} \cdot B_{11} + A_{12} \cdot B_{21}$

相加需要  $\Theta(n^2)$  的時間

# Analyzing

- ▶ For simplicity, assume that  $n$  is a power of 2.

$$T(n) = \begin{cases} \theta(1) & \text{if } n = 1, \\ 8T(n/2) + \theta(n^2) & \text{otherwise.} \end{cases} \quad \begin{matrix} f(n) = \text{divide} + \text{combine} \\ \theta(1) \quad \quad \theta(n^2) \end{matrix}$$

- ▶ The base case occurs when  $n = 1$ .
- ▶ **Divide**: Partition  $A$ ,  $B$  and  $C$  into four  $n/2 \times n/2$  matrices by index calculation takes  $\Theta(1)$ ,  $D(n) = \Theta(1)$ . 8 個子問題, 每一個大小是  $\frac{n}{2}$
- ▶ **Conquer**: Recursively solve 8 subproblems, each of size  $n/2$ .
- ▶ **Combine**: Combining takes  $\Theta(n^2)$  time to add  $n/2 \times n/2$  matrices four times.  $\Rightarrow C(n) = \Theta(n^2)$  time for combining.  $A_{11} \cdot B_{11} + A_{12} \cdot B_{21}$   
相加需要  $\theta(n^2)$  的時間
- ▶ By using master method, we have  $T(n) = \Theta(n^3)$ .

大師方法 case 1

# Strassen's method

- 分割**
- ▶ Step 1: partition each of  $A, B$  and  $C$  into four  $n/2 \times n/2$  matrices. **Time:  $\Theta(1)$ .** 產生  $A_{11}, A_{12}, A_{21}, A_{22}$   
 $B_{11}, B_{12}, B_{21}, B_{22}$   $C_{11}, C_{12}, C_{21}, C_{22}$
  - ▶ Step 2: create 10 matrices  $S_1, S_2, \dots, S_{10}$ , each of which is  $n/2 \times n/2$  and is the sum or difference of two matrices created in step 1. **Time:  $\Theta(n^2)$ .** 產生  $S_1, S_2 \dots S_{10}$  這 10 個矩陣
- 擊破**
- ▶ Step 3: using the submatrices created in Step 1 and the 10 matrices created in step 2, recursively compute seven matrix products  $P_1, P_2, \dots, P_7$ . Each matrix  $P_i$  is  $n/2 \times n/2$ . **Time:  $7T(n/2)$ .**  
解決  $P_1, P_2 \dots P_7$ , 這 7 個子問題
- 合併**
- ▶ Step 4: Compute the desired submatrices  $C_{11}, C_{12}, C_{21}, C_{22}$  of the result matrix  $C$  by adding and subtracting various combinations of the  $P_i$  matrices. **Time:  $\Theta(n^2)$ .** 由  $P_1, P_2 \dots P_7$  的解產生矩陣  $C$

## Step 2: create the 10 matrices

---

- ▶  $S_1 = B_{12} - B_{22} ,$
  - ▶  $S_2 = A_{11} + A_{12} ,$
  - ▶  $S_3 = A_{21} + A_{22} ,$
  - ▶  $S_4 = B_{21} - B_{11} ,$
  - ▶  $S_5 = A_{11} + A_{22} ,$
  - ▶  $S_6 = B_{11} + B_{22} ,$
  - ▶  $S_7 = A_{12} - A_{22} ,$
  - ▶  $S_8 = B_{21} + B_{22} ,$
  - ▶  $S_9 = A_{11} - A_{21} ,$
  - ▶  $S_{10} = B_{11} + B_{12} .$
- Time:  $\Theta(n^2)$ .**

## Step 3: create the 7 matrices

---

- ▶  $P_1 = A_{11} \cdot S_1 = A_{11} \cdot B_{12} - A_{11} \cdot B_{22} ,$
- ▶  $P_2 = S_2 \cdot B_{22} = A_{11} \cdot B_{22} + A_{12} \cdot B_{22} ,$
- ▶  $P_3 = S_3 \cdot B_{11} = A_{21} \cdot B_{11} + A_{22} \cdot B_{11} ,$
- ▶  $P_4 = A_{22} \cdot S_4 = A_{22} \cdot B_{21} - A_{22} \cdot B_{11} ,$
- ▶  $P_5 = S_5 \cdot S_6 = A_{11} \cdot B_{11} + A_{11} \cdot B_{22} + A_{22} \cdot B_{11} + A_{22} \cdot B_{22} ,$
- ▶  $P_6 = S_7 \cdot S_8 = A_{12} \cdot B_{21} + A_{12} \cdot B_{22} - A_{22} \cdot B_{21} - A_{22} \cdot B_{22} ,$
- ▶  $P_7 = S_9 \cdot S_{10} = A_{11} \cdot B_{11} + A_{11} \cdot B_{12} - A_{21} \cdot B_{11} - A_{21} \cdot B_{12} .$

**Time:  $7T(n/2)$ .**



## Step 4: construct submatrices of $C$

---

▶  $C_{11} = P_5 + P_4 - P_2 + P_6 ,$

▶  $C_{12} = P_1 + P_2 ,$

▶  $C_{21} = P_3 + P_4 ,$

▶  $C_{22} = P_5 + P_1 - P_3 - P_7 .$

**Time:  $\Theta(n^2)$ .**

# Analyzing

---

- ▶ For simplicity, assume that  $n$  is a power of 2.

$$T(n) = \begin{cases} \theta(1) & \text{if } n = 1, \\ 7T(n/2) + \theta(n^2) & \text{otherwise.} \end{cases} \quad \begin{matrix} f(n) = \text{divide} + \text{combine} \\ \theta(n^2) \quad \theta(n^2) \end{matrix}$$

- ▶ The base case occurs when  $n = 1$ .
- ▶ **Divide**: Partition  $A, B$  and  $C$  into four  $n/2 \times n/2$  matrices by index calculation takes  $\Theta(1)$ . Creating the matrices  $S_1, S_2, \dots, S_{10}$ , each of which is  $n/2 \times n/2$  takes  $\Theta(n^2)$ ,  $D(n) = \Theta(1) + \Theta(n^2) = \Theta(n^2)$ .  
 $7$  個子問題, 每一個大小是  $\frac{n}{2}$
- ▶ **Conquer**: Recursively solve 7 subproblems, each of size  $n/2$ .
- ▶ **Combine**: Combining takes  $\Theta(n^2)$  time to add and subtract  $n/2 \times n/2$  matrices.  $\Rightarrow C(n) = \Theta(n^2)$  time for combining.
- ▶ By using master method, we have  $T(n) = \Theta(n^{\lg 7})$ .  
大師方法 case 1