

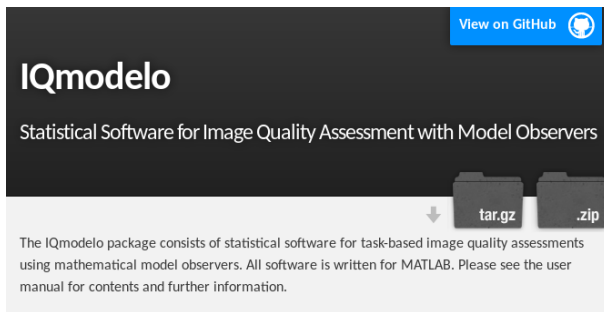
IQmodelo: Statistical software for task-based image quality assessment with model observers

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IQmodelo

- Public domain statistical analysis software for task-based image quality assessments with model observers
- Compatible with MATLAB® release R2007b and newer, some functions require Statistics Toolbox™
- <https://github.com/DIDSR/IQmodelo>
frontpage: <https://didsr.github.io/IQmodelo/>



Overview of Contents

- Parametric methods for linear observers
 - useful for ROC assessment of known-location detection/discrimination tasks
 - “exact” confidence intervals
 - channelized Hotelling observers
 - channelized Hotelling observers with known difference of class means
 - known-template linear observers
 - known-template linear observers with known difference of class means
- General methods
 - relaxed assumptions, approximate confidence intervals
 - nonparametric ROC, LROC, and EROC analysis for fixed readers
 - nonparametric analysis of binomial proportions for fixed readers
 - ANOVA-based multi-reader multi-case analysis for random readers
- Demos
- User manual – complete list of functions and references

Parametric Methods: channelized Hotelling observers

- each image multiplied with channel matrix to get channel output vector
- general case:
 - Wunderlich et al., IEEE Trans. Med. Imag., 2015
 - assumptions: channel outputs for signal absent and signal-present images follow multivariate normal distributions with common covariance matrix
 - typically satisfied for known-location signal detection/discrimination
- known difference of class means:
 - Wunderlich and Noo, IEEE Trans. Nucl. Sci., 2013
 - additional assumption: difference of means for signal-present and signal-absent channel outputs is known
 - reconstruction of high-dose data may yield accurate approximation

Parametric Methods: known-template linear observers

- ratings produced by taking inner product of template and image
- general case:
 - Wunderlich and Noo, Med. Phys., 2011
 - assumptions: ratings for signal absent and signal-present images are normally-distributed with common variance
 - typically satisfied for known-location signal detection/discrimination
- known difference of class means:
 - Wunderlich and Noo, IEEE Trans. Nucl. Sci., 2012
 - additional assumption: difference of means for signal-present and signal-absent ratings is known
 - reconstruction of high-dose data may yield accurate approximation

Nonparametric Methods: fixed observers

- ROC
 - Mann-Whitney (trapezoidal) AUC point estimates
 - variance/covariance analysis: DeLong et al., Biometrics, 1988
- LROC
 - generalization of Mann-Whitney and DeLong methods
 - Wunderlich and Noo, IEEE Trans. Med. Imag., 2012
- EROC
 - generalizes LROC to combined detection and estimation tasks
 - Wunderlich and Goossens, SPIE J. Med. Imag., 2014
- Binomial proportions
 - examples: probability of correct decision in MAFC task, probability of correct localization in LROC task
 - Noo et al., Proc. SPIE Med. Imag. Conf., 2013

- Obuchowski-Rockette method with Hillis' degrees of freedom (ORH method)

References:

Obuchowski and Rockette, Commun. Stat. Simulat. 1995

Hillis, Stat. Med. 2007, 2014

- applicable to any figure of merit
- requires fixed-reader covariance matrix that can be estimated with functions described previously for nonparametric ROC, LROC, EROC, and MAFC analysis

Confidence Interval Coverage Parameters

The analysis functions return $1 - \alpha$ confidence intervals, where

$$\alpha = \alpha_1 + \alpha_2$$

α_1 : controls lower bound

α_2 : controls upper bound

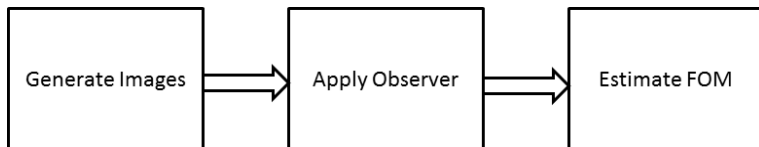
Examples:

- 2-sided 95% interval: $\alpha_1 = \alpha_2 = 0.025$
- 1-sided 95% interval: $\alpha_1 = 0.05, \alpha_2 = 0$

List of Demos

- known-location signal detection, ROC analysis
 - demo1a.m : one imaging scenario, 2-sided CIs
 - demo1b.m : same as demo1a, but with 1-sided CIs
 - demo2a.m : two imaging scenarios, 2-sided CIs
 - demo2b.m : same as demo2a, but with 1-sided CIs
- unknown-location signal detection, LROC analysis
 - demo3.m : one imaging scenario
 - demo4.m : two imaging scenarios

Demo Structure



Because each demo presents performance estimates obtained from a single random data set, the results

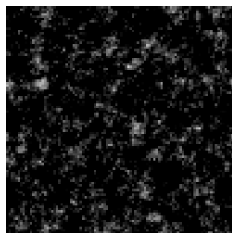
- are subject to statistical variations
- do not justify general conclusions

Demos 1 and 2: Setup

- **Task:** known-location signal detection
- **Observer:**
 - known-template linear observer
 - template: uniform disk roughly same size as signal
 - channelized Hotelling observer (CHO)
 - 3 DOG channels
 - 40 Gabor channels
- **Figure of merit:** area under ROC curve (AUC)

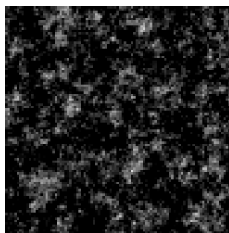
Demos 1 and 2: Images

- image size: 96×96
- colored gaussian noise background following power-law model
 - common noise component across imaging scenarios \Rightarrow correlation
- gaussian signal added at center
- specified set of seeds for random number generator \Rightarrow same results
- 150 signal-absent images, 150 signal-present images



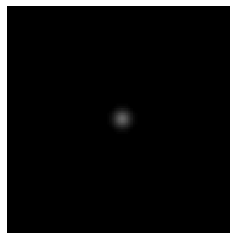
signal absent

grayscale: [0, 50]



signal present

grayscale: [0, 50]



signal

grayscale: [0, 25]

```

demo1a
----- demo 1a -----
computing lesion-absent images (m=150) ...
computing lesion-present images (n=150) ...

Displaying two-sided 95% confidence intervals for AUC:

%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
fixed template linear observer:
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
2AFC estimates:

p =

    0.7933

p_CI =

    0.7208    0.8509

nonparametric (Mann-Whitney) estimates:

AUC =

    0.8068

AUC_CI =

    0.7532    0.8511

```

Demo 1a results

| observer | method | point estimate | 95% CI (2-sided) |
|---------------|------------------------|----------------|------------------|
| disk-template | 2AFC | 0.793 | [0.721, 0.851] |
| disk-template | Mann-Whitney-DeLong | 0.807 | [0.753, 0.851] |
| disk-template | parametric | 0.805 | [0.754, 0.850] |
| disk-template | parametric known-means | 0.783 | [0.765, 0.802] |
| CHO-DOG | parametric | 0.810 | [0.757, 0.853] |
| CHO-DOG | parametric known-means | 0.794 | [0.775, 0.813] |
| CHO-Gabor | parametric | 0.890 | [0.819, 0.907] |
| CHO-Gabor | parametric known-means | 0.880 | [0.860, 0.900] |

Demo 1b results

| observer | method | point estimate | 95% CI (1-sided) |
|---------------|------------------------|----------------|------------------|
| disk-template | 2AFC | 0.793 | [0.734, 1] |
| disk-template | Mann-Whitney-DeLong | 0.807 | [0.763, 1] |
| disk-template | parametric | 0.805 | [0.763, 1] |
| disk-template | parametric known-means | 0.783 | [0.768, 1] |
| CHO-DOG | parametric | 0.810 | [0.766, 1] |
| CHO-DOG | parametric known-means | 0.794 | [0.778, 1] |
| CHO-Gabor | parametric | 0.890 | [0.827, 1] |
| CHO-Gabor | parametric known-means | 0.880 | [0.863, 1] |

Demo 2

- Compare two fixed imaging scenarios with confidence interval for $AUC_B - AUC_A$
- Same signal and noise statistics for each scenario
- Common noise component across scenarios \Rightarrow correlated results
- For the parametric methods,
 - known-template, known difference of class means method provides accurate CI for AUC difference (assumes same correlation for signal-absent and signal-present ratings)
 - other parametric methods rely on Bonferroni inequality to obtain (overly) conservative confidence intervals (see appendix of manual)
- The nonparametric methods give accurate confidence intervals for AUC difference, but do not utilize known difference of class means

Common one-sided hypotheses

Non-inferiority test: ($\delta = \text{non-inferiority margin}$)

$$H_0: \text{AUC}_B - \text{AUC}_A \leq -\delta$$

inferiority

$$H_1: \text{AUC}_B - \text{AUC}_A > -\delta$$

non-inferiority

- used to assess “substantial equivalence”

Superiority test: ($\delta = 0$)

$$H_0: \text{AUC}_B - \text{AUC}_A \leq 0$$

non-superiority

$$H_1: \text{AUC}_B - \text{AUC}_A > 0$$

superiority

For either test:

- Can evaluate with one-sided $1 - \alpha$ intervals of the form $[\Delta\text{AUC}_L, 1]$ by setting $\alpha_1 = \alpha$, $\alpha_2 = 0$
- For a given α , one-sided intervals are more efficient than two-sided intervals

Demo 2a results

| observer | method | \widehat{AUC}_A | \widehat{AUC}_B | 95% CI (2-sided) |
|---------------|------------------------|-------------------|-------------------|-------------------|
| disk-template | 2AFC | 0.793 | 0.827 | $[-0.054, 0.121]$ |
| disk-template | Mann-Whitney-DeLong | 0.807 | 0.791 | $[-0.080, 0.049]$ |
| disk-template | parametric | 0.805 | 0.784 | $[-0.134, 0.091]$ |
| disk-template | parametric known-means | 0.783 | 0.787 | $[-0.022, 0.030]$ |
| CHO-DOG | parametric | 0.810 | 0.778 | $[-0.147, 0.081]$ |
| CHO-DOG | parametric known-means | 0.794 | 0.789 | $[-0.047, 0.038]$ |
| CHO-Gabor | parametric | 0.890 | 0.908 | $[-0.072, 0.119]$ |
| CHO-Gabor | parametric known-means | 0.880 | 0.900 | $[-0.025, 0.065]$ |

Demo 2b results

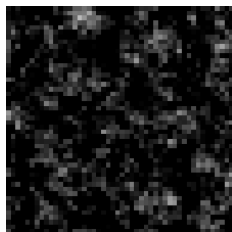
| observer | method | \widehat{AUC}_A | \widehat{AUC}_B | 95% CI (1-sided) |
|---------------|------------------------|-------------------|-------------------|------------------|
| disk-template | 2AFC | 0.793 | 0.827 | $[-0.040, 1]$ |
| disk-template | Mann-Whitney-DeLong | 0.807 | 0.791 | $[-0.070, 1]$ |
| disk-template | parametric | 0.805 | 0.784 | $[-0.120, 1]$ |
| disk-template | parametric known-means | 0.783 | 0.787 | $[-0.018, 1]$ |
| CHO-DOG | parametric | 0.810 | 0.778 | $[-0.133, 1]$ |
| CHO-DOG | parametric known-means | 0.794 | 0.789 | $[-0.042, 1]$ |
| CHO-Gabor | parametric | 0.890 | 0.908 | $[-0.060, 1]$ |
| CHO-Gabor | parametric known-means | 0.880 | 0.900 | $[-0.020, 1]$ |

Demos 3 and 4: Setup

- **Task:** unknown-location signal detection
- **Observer:**
 - known-template scanning-linear observer
 - template: uniform disk roughly same size as signal
 - template scanned over all pixels
 - rating = maximum score
 - mark = location of maximum score
- **Figures of merit:**
 - probability of correct signal localization (P_{CL})
 - area under LROC curve (\mathcal{A}_L)

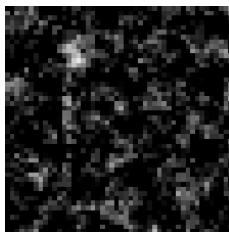
Demos 3 and 4: Images

- image size: 48×48
- colored gaussian noise background following power-law model
 - common noise component across imaging scenarios \Rightarrow correlation
- gaussian signal added at random location (uniformly distributed)
- specified set of seeds for random number generator \Rightarrow same results
- 150 signal-absent images, 150 signal-present images



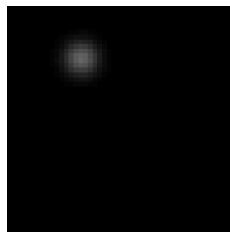
signal absent

grayscale: [0, 25]



signal present

grayscale: [0, 25]



signal

grayscale: [0, 25]

```
----- demo 3 -----  
computing lesion-absent images (m=150) ...  
computing lesion-present images (n=150) ...  
scanning linear observer:  
  
Displaying point estimates and two-sided 95% confidence intervals...  
  
Probability of correct localization:  
  
PCL =  
  
    0.5867  
  
PCL_CI =  
  
    0.5060    0.6629  
  
Area under LROC curve:  
  
AL =  
  
    0.4903  
  
AL_CI =  
  
    0.4167    0.5639
```

----- demo 4 -----

computing lesion-absent images (m=150) ...

computing lesion-present images (n=150) ...

scanning linear observer:

Displaying point estimates and two-sided 95% confidence intervals for performance differences...

Probability of correct localization:

PCL =

0.5867

0.6200

PCL_CI =

-0.0722 0.1389

Area under LROC curve:

AL =

0.4903

0.4742

AL_CI =

-0.1036 0.0714

Summary

- <https://github.com/DIDSR/IQmodelo>
- See manual and demo code for details on function usage
- Always justify assumptions!
- Some approaches for efficiently utilizing sample images:
 - use observer models and estimators that don't require splitting images into separate training and testing sets
 - one-sided confidence intervals for one-sided hypotheses
 - obtain class mean difference and incorporate into performance estimation
 - more complex tasks, e.g., unknown-location signal detection