## CSE 256: NLP UCSD, Programming Assignment 4

# Text Decoding From GPT-2 using Beam Search (40 points)

Due: Dec 2, 2024

IMPORTANT: After copying this notebook to your Google Drive, paste a link to it below. To get a publicly-accessible link, click the *Share* button at the top right, then click "Get shareable link" and copy the link.

Link: paste your link here:

https://drive.google.com/file/d/1JF2uYOnhbV1BJulUc5zPuHcRP1cX0\_-a/view?usp=drive\_link

#### Notes:

Make sure to save the notebook as you go along.

Submission instructions are located at the bottom of the notebook.

### Part 0: Setup

### Adding a hardware accelerator

Go to the menu and add a GPU as follows:

```
Edit > Notebook Settings > Hardware accelerator > (GPU)
```

Run the following cell to confirm that the GPU is detected.

```
import torch

# Confirm that the GPU is detected
assert torch.cuda.is_available()

# Get the GPU device name.
device_name = torch.cuda.get_device_name()
n_gpu = torch.cuda.device_count()
print(f"Found device: {device_name}, n_gpu: {n_gpu}")

Found device: Tesla T4, n_gpu: 1
```

### Installing Hugging Face's Transformers and Additional Libraries

We will use Hugging Face's Transformers (https://github.com/huggingface/transformers).

Run the following cell to install Hugging Face's Transformers library and some other useful tools.

#### Part 1. Beam Search

We are going to explore decoding from a pretrained GPT-2 model using beam search. Run the below cell to set up some beam search utilities.

```
from transformers import GPT2LMHeadModel, GPT2Tokenizer

tokenizer = GPT2Tokenizer.from_pretrained("gpt2")
model = GPT2LMHeadModel.from_pretrained("gpt2", pad_token_id=tokenizer.eos_token_id)

# Beam Search

def init_beam_search(model, input_ids, num_beams):
```

```
assert len(input_ids.shape) == 2
       beam scores = torch.zeros(num beams, dtype=torch.float32, device=model.device)
       beam_scores[1:] = -1e9 # Break ties in first round.
       new_input_ids = input_ids.repeat_interleave(num_beams, dim=0).to(model.device)
       return new_input_ids, beam_scores
def run_beam_search_(model, tokenizer, input_text, num_beams=5, num_decode_steps=10, score_processors=[], to_cpu=True):
       input ids = tokenizer.encode(input text, return tensors='pt')
       input_ids, beam_scores = init_beam_search(model, input_ids, num_beams)
       token_scores = beam_scores.clone().view(num_beams, 1)
       model kwargs = {}
       for i in range(num_decode_steps):
               model_inputs = model.prepare_inputs_for_generation(input_ids, **model_kwargs)
               outputs = model(**model_inputs, return_dict=True)
               next_token_logits = outputs.logits[:, -1, :]
               vocab_size = next_token_logits.shape[-1]
               this_token_scores = torch.log_softmax(next_token_logits, -1)
               # Process token scores.
               processed_token_scores = this_token_scores
               for processor in score_processors:
                      processed_token_scores = processor(input_ids, processed_token_scores)
               # Update beam scores.
               next_token_scores = processed_token_scores + beam_scores.unsqueeze(-1)
               # Reshape for beam-search.
               next token scores = next token scores.view(num beams * vocab size)
               # Find top-scoring beams.
               next_token_scores, next_tokens = torch.topk(
                       {\tt next\_token\_scores, \quad num\_beams, \quad dim=0, \quad largest=True, \quad sorted=True}
               # Transform tokens since we reshaped earlier.
               next indices = torch.div(next tokens, vocab size, rounding mode="floor") # This is equivalent to `next tokens // vocab si
               next\_tokens = next\_tokens \% vocab\_size
               # Update tokens.
               input_ids = torch.cat([input_ids[next_indices, :], next_tokens.unsqueeze(-1)], dim=-1)
               # Update beam scores.
               beam_scores = next_token_scores
               # Update token scores.
               # UNCOMMENT: To use original scores instead.
               # token_scores = torch.cat([token_scores[next_indices, :], this_token_scores[next_indices, next_tokens].unsqueeze(-1)], dim=-1
               token_scores = torch.cat([token_scores[next_indices, :], processed_token_scores[next_indices, next_tokens].unsqueeze(-1)], dim=
               # Update hidden state.
               model kwargs = model. update model kwargs for generation(outputs, model kwargs, is encoder decoder=False)
               model_kwargs["past"] = model._reorder_cache(model_kwargs["past"], next_indices)
       def transfer(x):
           return x.cpu() if to_cpu else x
       return {
               "output_ids": transfer(input_ids),
               "beam_scores": transfer(beam_scores),
"token_scores": transfer(token_scores)
def run_beam_search(*args, **kwargs):
       with torch.inference_mode():
               return run beam search (*args, **kwargs)
# Add support for colored printing and plotting.
from rich import print as rich_print
import numpy as np
import matplotlib
from matplotlib import pyplot as plt
```

```
from matplotlib import cm
RICH_x = np. 1inspace(0.0, 1.0, 50)
RICH_rgb = (matplotlib.colormaps.get_cmap(plt.get_cmap('RdYlBu')) (RICH_x)[:, :3] * 255).astype(np.int32)[range(5, 45, 5)]
def print_with_probs(words, probs, prefix=None):
   def fmt(x, p, is_first=False):
       ix = int(p * RICH_rgb.shape[0])
       r, g, b = RICH_rgb[ix]
       if is first:
          return f'[bold rgb(0,0,0) on rgb(\{r\}, \{g\}, \{b\})]\{x\}'
       else:
           return f'[bold rgb(0,0,0) on rgb(\{r\}, \{g\}, \{b\})] \{x\}'
   output = []
   if prefix is not None:
       output.append(prefix)
   for i, (x, p) in enumerate(zip(words, probs)):
       output.append(fmt(x, p, is\_first=i == 0))
   rich_print(''.join(output))
# DEMO
# Show range of colors.
for i in range(RICH rgb.shape[0]):
   r, g, b = RICH_rgb[i]
    rich\_print(f'[bold rgb(0,0,0) on rgb(\{r\},\{g\},\{b\})] hello world rgb(\{r\},\{g\},\{b\})') 
# Example with words and probabilities.
words = ['the', 'brown', 'fox']
probs = [0.14, 0.83, 0.5]
print_with_probs(words, probs)
     Downloading: 100%
                                                                   0.99M/0.99M [00:00<00:00, 2.45MB/s]
     Downloading: 100%
                                                                   446k/446k [00:00<00:00, 3.12MB/s]
     Downloading: 100%
                                                                   26.0/26.0 [00:00<00:00, 986B/s]
                                                                   665/665 [00:00<00:00, 9.79kB/s]
     Downloading: 100%
     Downloading: 100%
                                                                   523M/523M [00:18<00:00, 38.6MB/s]
     /usr/local/lib/python3.10/dist-packages/transformers/modeling_utils.py:1439: FutureWarning: You are using `torch.load` with `weights_only=False`
       state\_dict = torch.\ load(resolved\_archive\_file,\ map\_location="cpu")
     hello world rgb(215,49,39)
     hello world rgb(244,111,68)
     hello world rgb(253,176,99)
     hello world rgb(254,226,147)
     hello world rgb(251,253,196)
     hello world rgb(217,239,246)
     hello world rgb(163,210,229)
     hello world rgb(108,164,204)
     the brown fox
```

#### Question 1.1 (5 points)

Run the cell below. It produces a sequence of tokens using beam search and the provided prefix.

```
num_beams = 5
num_decode_steps = 10
input_text = 'The brown fox jumps'

beam_output = run_beam_search(model, tokenizer, input_text, num_beams=num_beams, num_decode_steps=num_decode_steps)
for i, tokens in enumerate(beam_output['output_ids']):
        score = beam_output['beam_scores'][i]
        print(i, round(score.item() / tokens.shape[-1], 3), tokenizer.decode(tokens, skip_special_tokens=True))

$\inc 0 - 1.106$ The brown fox jumps out of the fox's mouth, and the fox
        1 - 1.168 The brown fox jumps out of the fox's cage, and the fox
        2 - 1.182 The brown fox jumps out of the fox's mouth and starts to run
        3 - 1.192 The brown fox jumps out of the fox's mouth and begins to lick
        4 - 1.199 The brown fox jumps out of the fox's mouth and begins to bite
```

To get you more acquainted with the code, let's do a simple exercise first. Write your own code in the cell below to generate 3 tokens with a beam size of 4, and then print out the **third most probable** output sequence found during the search. Use the same prefix as above.

```
input_text = 'The brown fox jumps'
num_beams = 4
num_decode_steps = 3

beam_output = run_beam_search(model, tokenizer, input_text, num_beams=num_beams, num_decode_steps=num_decode_steps)

sorted_indices = torch.argsort(beam_output['beam_scores'], descending=True)
third_index = sorted_indices[2]

third_tokens = beam_output['output_ids'][third_index]
third_score = beam_output['beam_scores'][third_index]
normalized_score = round(third_score.item() / third_tokens.shape[-1], 3)

print(f"Third most probable sequence (normalized score: {normalized_score}):")
print(tokenizer.decode(third_tokens, skip_special_tokens=True))

Third most probable sequence (normalized score: -0.627):
The brown fox jumps up and down
```

## Question 1.2 (5 points)

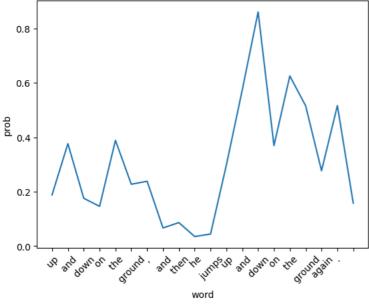
Run the cell below to visualize the probabilities the model assigns for each generated word when using beam search with beam size 1 (i.e., greedy decoding).

```
input_text = 'The brown fox jumps'
beam_output = run_beam_search(model, tokenizer, input_text, num_beams=1, num_decode_steps=20)
probs = beam_output['token_scores'][0, 1:].exp()
output_subwords = [tokenizer.decode(tok, skip_special_tokens=True) for tok in beam_output['output_ids'][0]]
print('Visualizeation with plot:')

fig, ax = plt.subplots()
plt.plot(range(len(probs)), probs)
ax.set_xticks(range(len(probs)))
ax.set_xticks(range(len(probs)))
ax.set_xticklabels(output_subwords[-len(probs):], rotation = 45)
plt.xlabel('word')
plt.ylabel('prob')
plt.show()

print('Visualization with colored text (red for lower probability, and blue for higher):')
print_with_probs(output_subwords[-len(probs):], probs, ' '.join(output_subwords[:-len(probs)]))
```

→ Visualizeation with plot:



Visualization with colored text (red for lower probability, and blue for higher):
The brown fox jumps up and down on the ground, and then he jumps up and down on the ground again.

◀

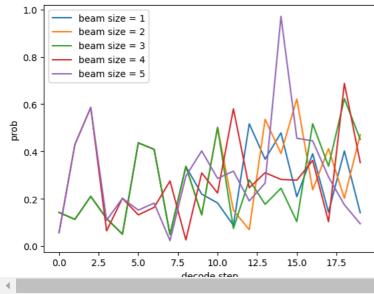
Why does the model assign higher probability to tokens generated later than to tokens generated earlier?

## Write your answer here

The model assigns higher probabilities to tokens generated later because it has more context from the previously generated tokens, allowing it to refine its predictions and make more confident choices. Early in the sequence, the model has less information to work with, leading to more uncertainty and lower peak probabilities. As the sequence grows, the model adjusts its understanding based on the accumulated context, resulting in higher probabilities for later tokens.

Run the cell below to visualize the word probabilities when using different beam sizes.

```
input_text = 'Once upon a time, in a barn near a farm house,'
num\_decode\_steps = 20
model.cuda()
beam size list = [1, 2, 3, 4, 5]
output list = []
probs list = []
for bm in beam_size_list:
   beam_output = run_beam_search(model, tokenizer, input_text, num_beams=bm, num_decode_steps=num_decode_steps)
   output_list.append(beam_output)
   probs = beam_output['token_scores'][0, 1:].exp()
   probs_list.append((bm, probs))
print('Visualization with plot:')
fig, ax = plt.subplots()
for bm, probs in probs_list:
   plt.plot(range(len(probs)), probs, label=f'beam size = {bm}')
plt.xlabel('decode step')
plt.ylabel('prob')
plt.legend(loc='best')
plt.show()
print('Model predictions:')
for bm, beam_output in {\tt zip(beam\_size\_list, output\_list)}:
   tokens = beam_output['output_ids'][0]
   print(bm, beam_output['beam_scores'][0].item() / tokens.shape[-1], tokenizer.decode(tokens, skip_special_tokens=True))
→ Visualization with plot:
         1.0
                    beam size = 1
                    beam size = 2
                    beam size = 3
                    beam size = 4
                    beam size = 5
```



### Question 1.3 (10 points)

Beam search often results in repetition in the predicted tokens. In the following cell we pass a score processor called WordBlock to run beam search. At each time step, it reduces the probability for any previously seen word so that it is not generated again.

Run the cell to see how the output of beam search changes with and without using  $\,\mathbb{W}\!\mathrm{ordBlock}\,.$ 

```
print('Beam Search')
beam_output = run_beam_search(model, tokenizer, input_text, num_beams=num_beams, num_decode_steps=40, score_processors=[])
print(tokenizer.decode(beam_output['output_ids'][0], skip_special_tokens=True))

print('Beam Search w/ Word Block')
beam_output = run_beam_search(model, tokenizer, input_text, num_beams=num_beams, num_decode_steps=40, score_processors=[WordBlock()])
print(tokenizer.decode(beam_output['output_ids'][0], skip_special_tokens=True))

Model predictions:
1 -0.9706197796445905 Once upon a time, in a barn near a farm house, a young boy was playing with a stick. He was playing with a stick, and the l 2 -0.9286185177889738 Once upon a time, in a barn near a farm house, a young boy was playing with a stick. The boy was playing with a stick and 3 -0.9597569667931759 Once upon a time, in a barn near a farm house, a young boy was playing with a stick. The boy, who had been playing with a stick and 5 -0.9058780670166016 Once upon a time, in a barn near a farm house, there was a young girl who had been brought up by her mother. She had been l 5 -0.9058780670166016 Once upon a time, in a barn near a farm house, there was a man who had been living in the house for a long time. He was a Beam Search
Once upon a time, in a barn near a farm house, a young boy was playing with a stick. He was playing with a stick, and the boy was playing with a Beam Search w/ Word Block
Once upon a time, in a barn near a farm house, the young girl was playing with her father's dog. She had been told that she would be given to his
```

Is WordBlock a practical way to prevent repetition in beam search? What (if anything) could go wrong when using WordBlock?

#### Write your answer here

The WordBlock processor is a practical way to prevent repetition in beam search because it explicitly penalizes any previously generated words, ensuring that the model avoids generating the same word again in the sequence. This can lead to more diverse and coherent outputs by reducing redundancy. However, using WordBlock may also introduce risks: if a word is crucial to the context or meaning (e.g., pronouns or repeated phrases in dialogues), penalizing it might result in incoherent or less natural outputs. Additionally, overly strict blocking can force the model to select suboptimal words that fit less naturally into the context, potentially reducing the quality of the generated text.

### Question 1.4 (20 points)

Use the previous <code>WordBlock</code> example to write a new score processor called <code>BeamBlock</code>. Instead of uni-grams, your implementation should prevent tri-grams from appearing more than once in the sequence.

Note: This technique is called "beam blocking" and is described <a href="here">here</a> (section 2.5). Also, for this assignment you do not need to re-normalize your output distribution after masking values, although typically re-normalization is done.

Write your code in the indicated section in the below cell.

```
import collections
class BeamBlock:
       def __call__(self, input_ids, scores):
              for batch_idx in range(input_ids.shape[0]):
                      # Extract the sequence of tokens for the current batch
                      token_list = input_ids[batch_idx].tolist()
                      # Build a set of existing tri-grams in the sequence
                      trigrams = set()
                      for i in range(len(token_list) - 2):
                             trigrams.\,add(tuple(token\_list[i:i+3]))
                      # Check for potential next tokens that would form repeated tri-grams
                      for i in range (scores. shape [1]):
                                                         # Ensure at least 2 tokens exist for tri-gram formation
                             if len(token\ list) >= 2:
                                     candidate_trigram = tuple(token_list[-2:] + [i])
                                     if candidate trigram in trigrams:
                                            scores[batch idx, i] = -1e9 # Penalize the score
              return scores
input_text = 'Once upon a time, in a barn near a farm house,'
num_beams = 1
beam_output = run_beam_search(model, tokenizer, input_text, num_beams=num_beams, num_decode_steps=40, score_processors=[])
print(tokenizer.decode(beam_output['output_ids'][0], skip_special_tokens=True))
print('Beam Search w/ Beam Block')
beam_output = run_beam_search(model, tokenizer, input_text, num_beams=num_beams, num_decode_steps=40, score_processors=[BeamBlock()])
print(tokenizer.decode(beam_output['output_ids'][0], skip_special_tokens=True))
```



Beam Search w/ Beam Block

Once upon a time, in a barn near a farm house, a young boy was playing with a stick. He was playing on the stick, and the boy was trying to get a

•

# **Submission Instructions**

- 1. Click the Save button at the top of the Jupyter Notebook.
- 2. Select Cell -> All Output -> Clear. This will clear all the outputs from all cells (but will keep the content of all cells).
- 3. Select Cell -> Run All. This will run all the cells in order, and will take several minutes.
- 4. Once you've rerun everything, convert the notebook to PDF, you can use tools such as <u>nbconvert</u>, which requires first downloading the ipynb to your local machine, and then running "nbconvert". (If you have trouble using nbconvert, you can also save the webpage as pdf. Make sure all your solutions are displayed in the pdf, it's okay if the provided codes get cut off because lines are not wrapped in code cells).
- 5. Look at the PDF file and make sure all your solutions are there, displayed correctly. The PDF is the only thing your graders will see!
- 6. Submit your PDF on Gradescope,

#### Acknowledgements

This assignment is based on an assignment developed by Mohit lyyer