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# CS 471/571 (Fall 2023): Introduction to Artificial Intelligence

## Lecture 24: Perceptrons, Logistic Regression, and Neural Nets

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Thanh H. Nguyen

Source: <http://ai.berkeley.edu/home.html>



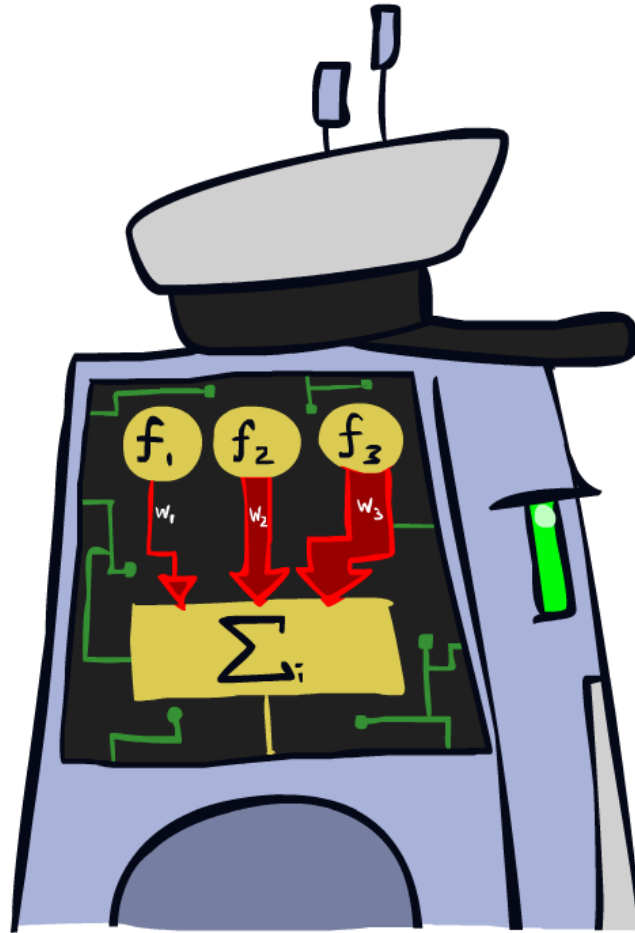
# Announcement and Reminder

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- Written assignment 4
  - Deadline: Wednesday, November 29<sup>th</sup>, 2023.
- Student experience survey
  - Deadline: 06:00 AM on Monday, Dec 4<sup>th</sup>, 2023
  - If  $\geq 80\%$  of students complete the survey, everyone will get an extra 2% credit for your final grade

# Linear Classifiers

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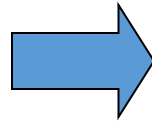
# Feature Vectors

Input

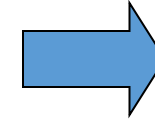
Features  $x$

Label  $y$

Hello,  
Do you want free printer  
cartridges? Why pay more  
when you can get them  
ABSOLUTELY FREE! Just

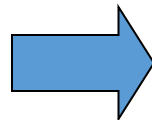


$\left( \begin{array}{ll} \# \text{ free} & : 2 \\ \text{YOUR\_NAME} & : 0 \\ \text{MISSPELLED} & : 2 \\ \text{FROM\_FRIEND} & : 0 \\ \dots & \end{array} \right)$

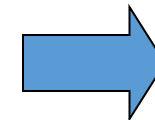


SPAM  
or  
+

2



$\left( \begin{array}{ll} \text{PIXEL-7,12} & : 1 \\ \text{PIXEL-7,13} & : 0 \\ \dots & \\ \text{NUM\_LOOPS} & : 1 \\ \dots & \end{array} \right)$

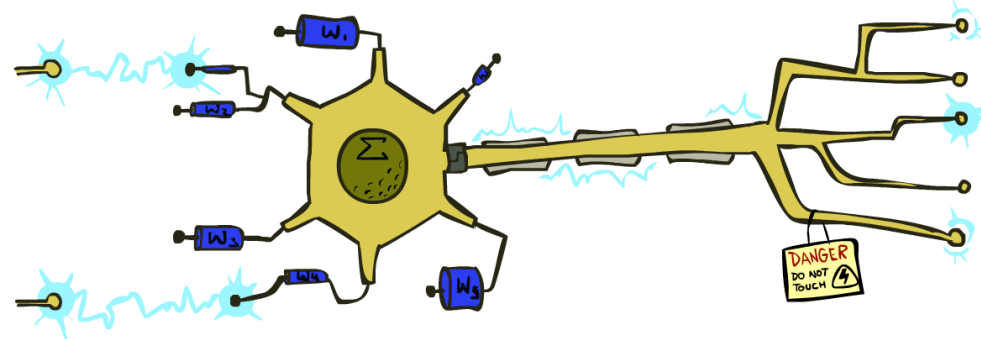


"2"



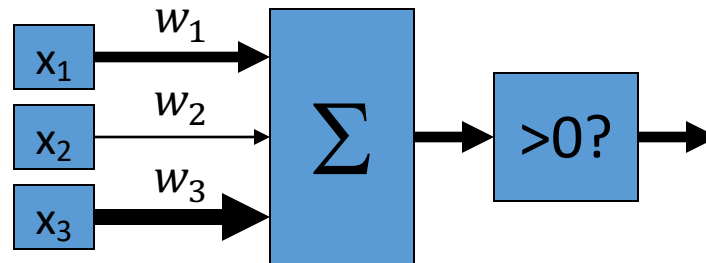
# Linear Classifiers

- Inputs are **feature values**
- Each feature has a **weight**
- Sum is the **activation**



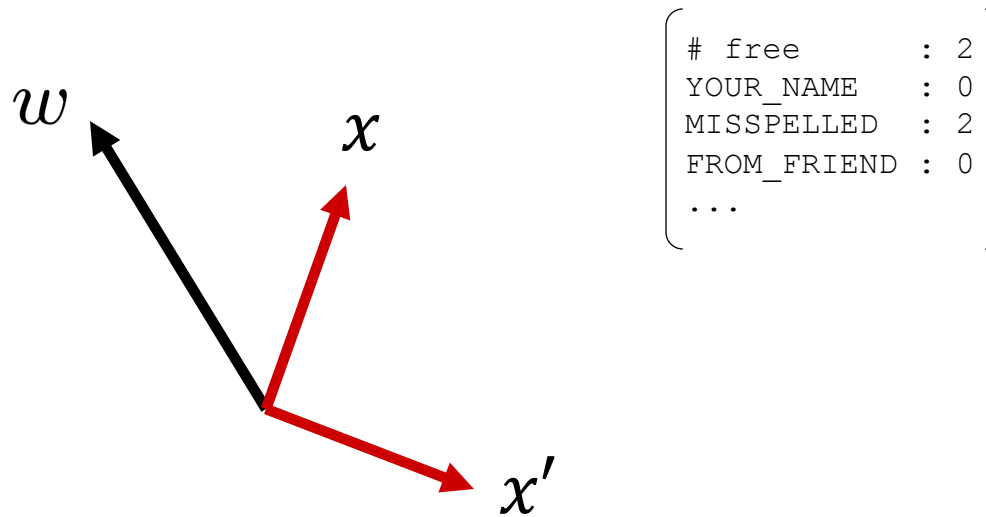
$$\text{activation}_w(x) = \sum_j w_j x_j = w \cdot x$$

- If the activation is:
  - Positive, output +1
  - Negative, output -1



# Weights

- Binary case: compare features to a weight vector
- Learning: figure out the weight vector from examples



*Dot product  $w \cdot x$  positive  
means the positive class*

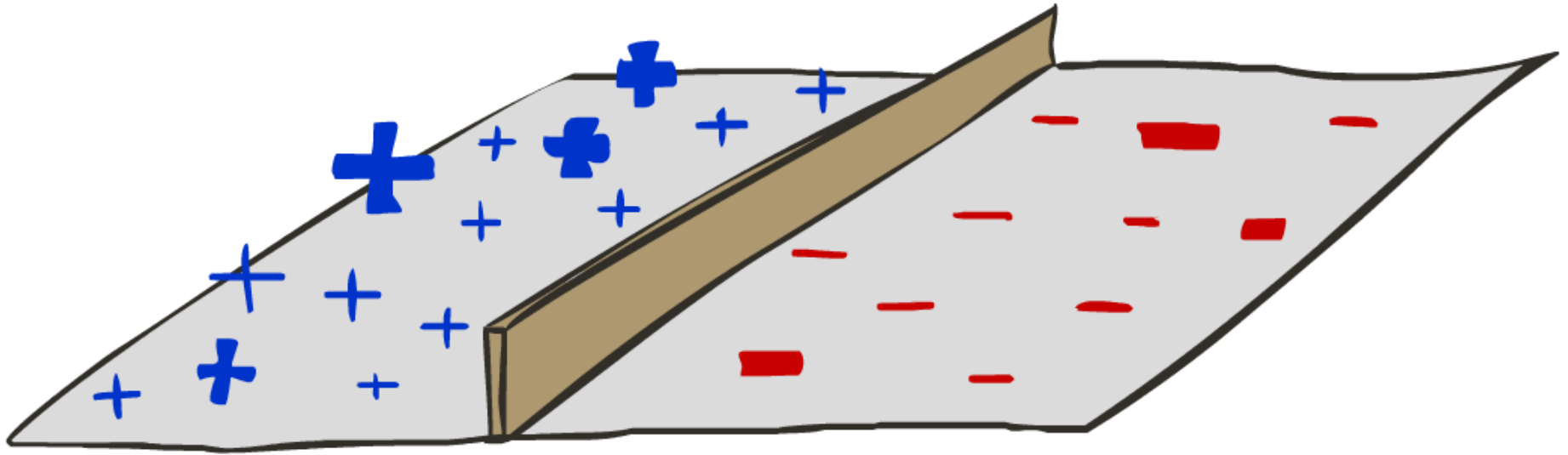
```
(  
  # free      : 2  
  YOUR_NAME   : 0  
  MISPELLED   : 2  
  FROM_FRIEND : 0  
  ...  
)
```

```
(  
  # free      : 0  
  YOUR_NAME   : 1  
  MISPELLED   : 1  
  FROM_FRIEND : 1  
  ...  
)
```



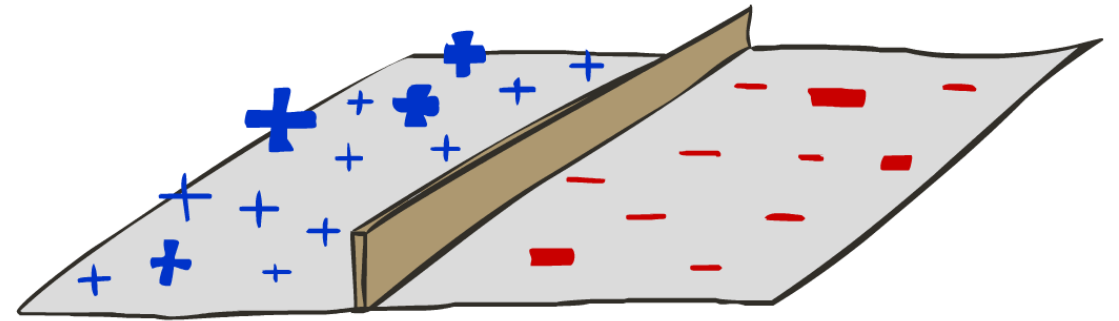
# Decision Rules

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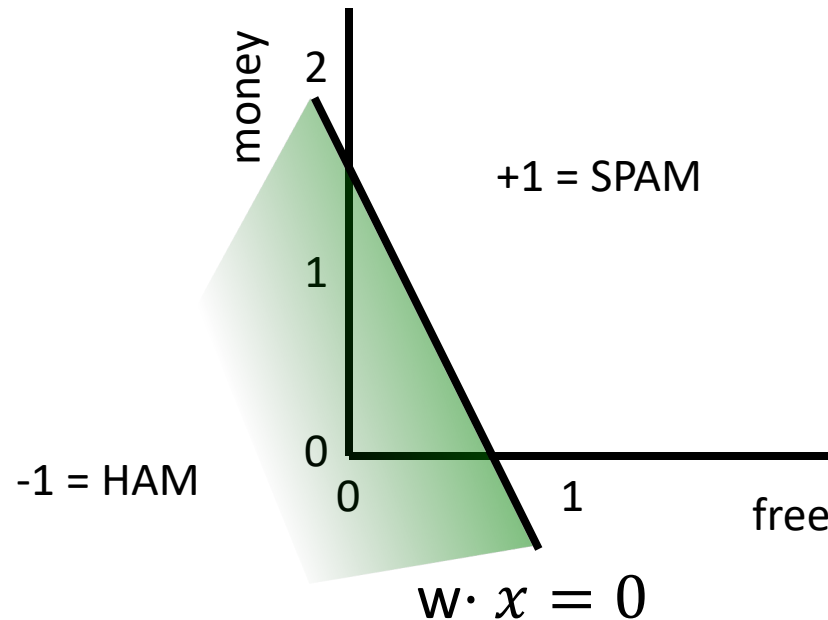
# Binary Decision Rule

- In the space of feature vectors
  - Examples are points
  - Any weight vector is a hyperplane
  - One side corresponds to  $Y = +1$
  - Other corresponds to  $Y = -1$



$w$

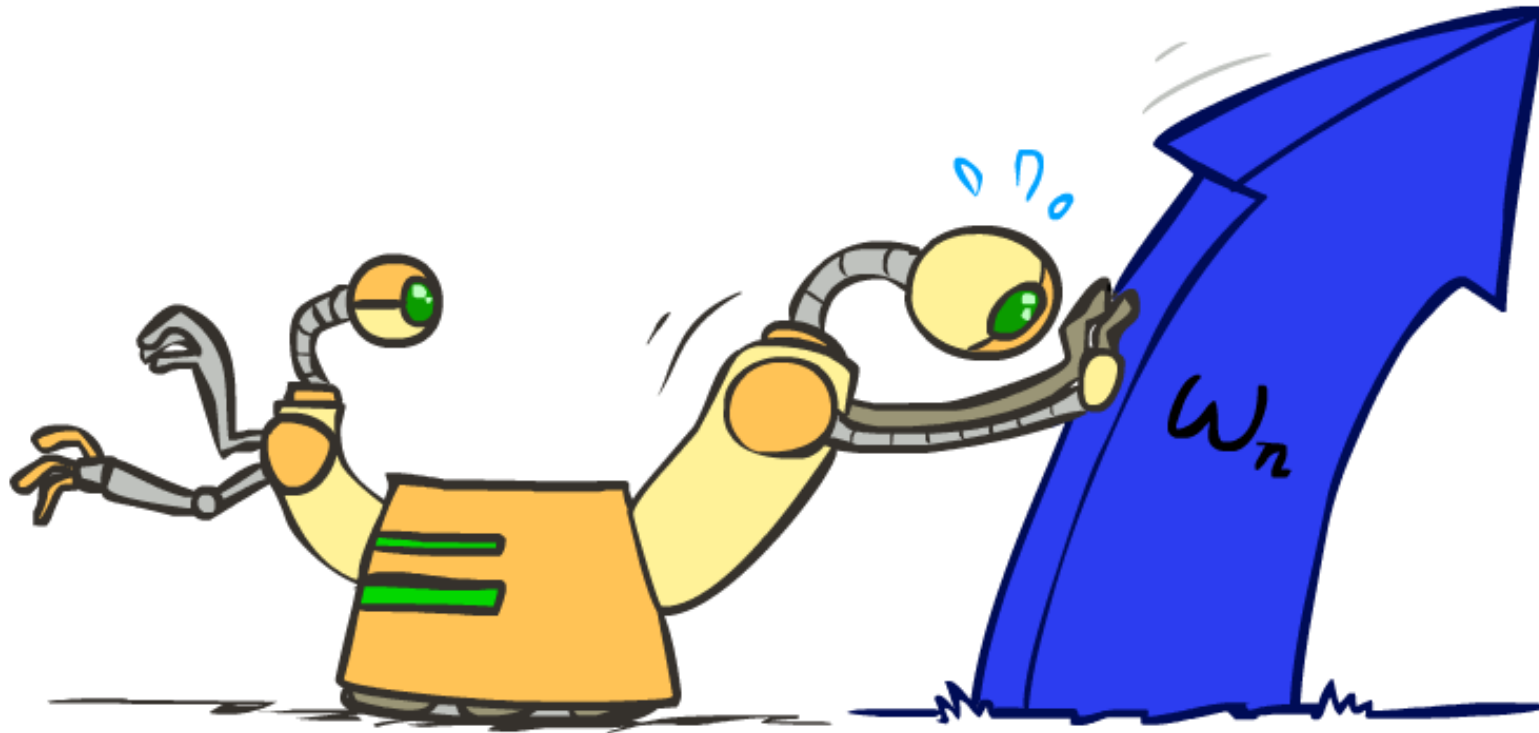
BIAS	:	-3
free	:	4
money	:	2
...		





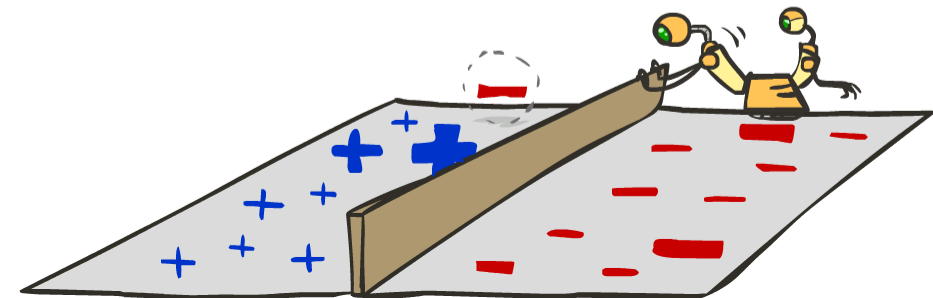
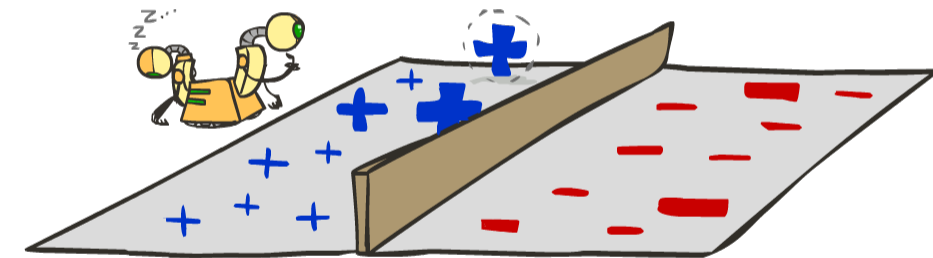
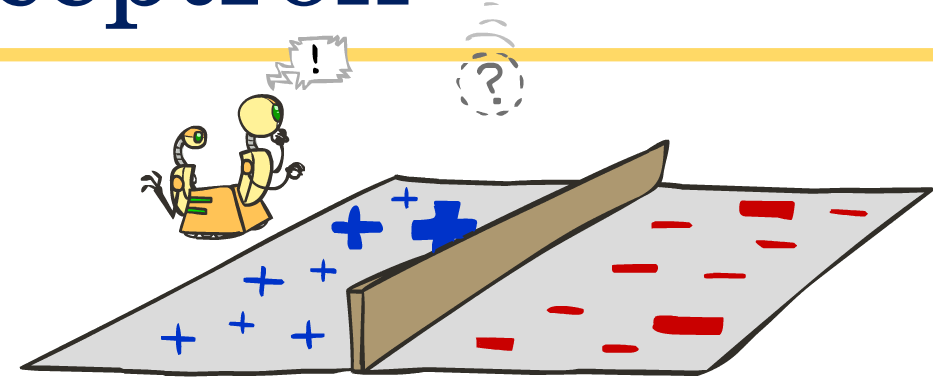
# Weight Updates

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# Learning: Binary Perceptron

- Start with weights = 0
- For each training instance:
  - Classify with current weights
- If correct (i.e.,  $\hat{y} = y$ ), no change!
- If wrong: adjust the weight vector



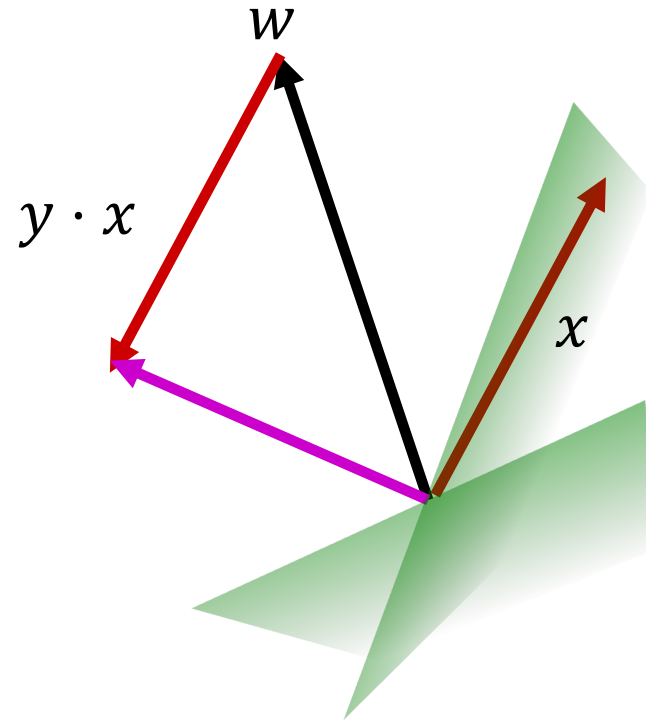
# Learning: Binary Perceptron

- Start with weights = 0
- For each training instance:
  - Classify with current weights

$$\hat{y} = \begin{cases} +1 & \text{if } w \cdot x \geq 0 \\ -1 & \text{if } w \cdot x < 0 \end{cases}$$

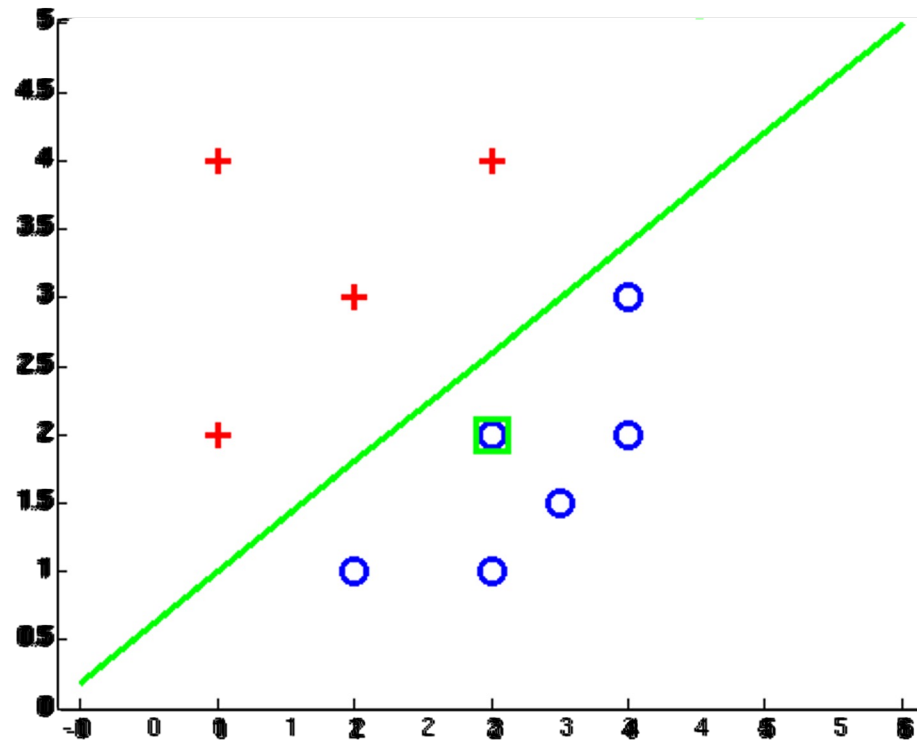
- If correct (i.e.,  $\hat{y} = y$ ), no change!
- If wrong: adjust the weight vector by adding or subtracting the feature vector. Subtract if  $y$  is -1.

$$w = w + y \cdot x$$



# Examples: Perceptron

## ■ Separable Case



# Multiclass Decision Rule

- If we have multiple classes:
  - A weight vector for each class:

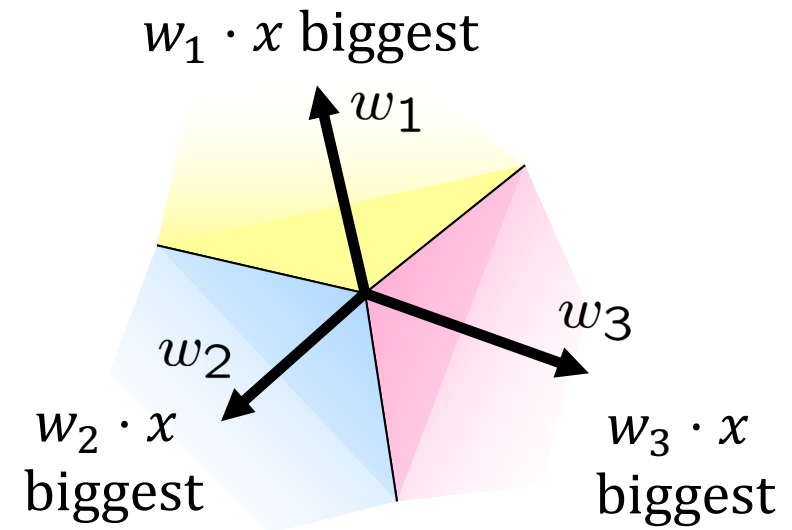
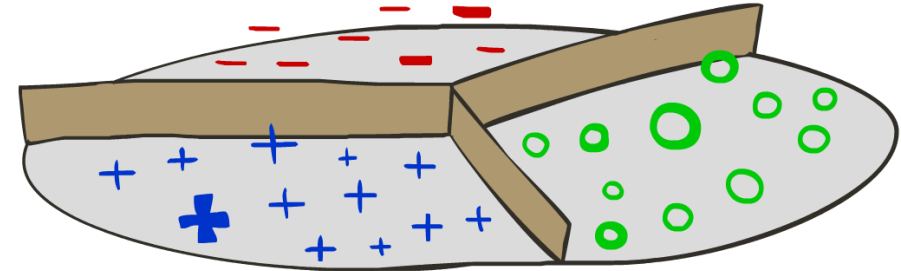
$$w_y$$

- Score (activation) of a class  $y$ :

$$w_y \cdot x$$

- Prediction highest score wins

$$y = \arg \max_y w_y \cdot x$$



*Binary = multiclass where the negative class has weight zero*



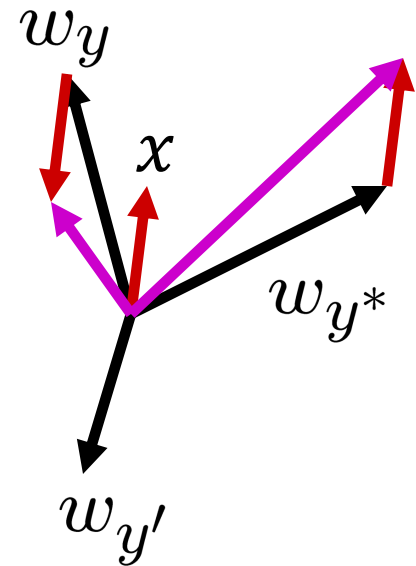
# Learning: Multiclass Perceptron

- Start with all weights = 0
- Pick up training examples one by one
- Predict with current weights

$$y = \arg \max_y w_y \cdot x$$

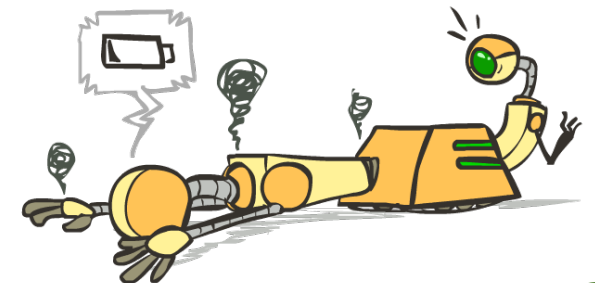
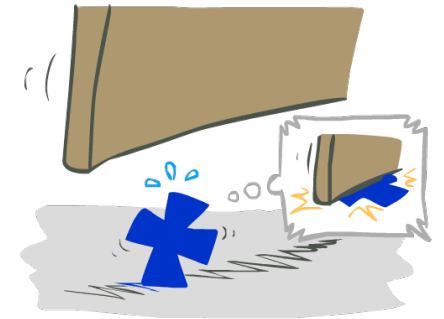
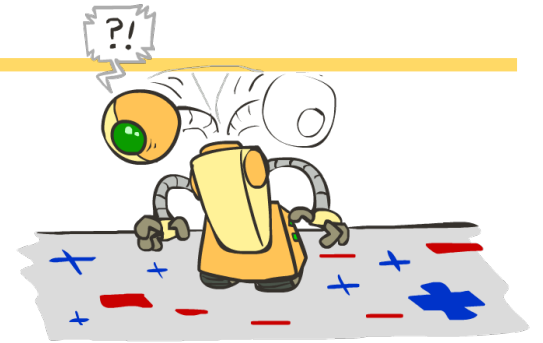
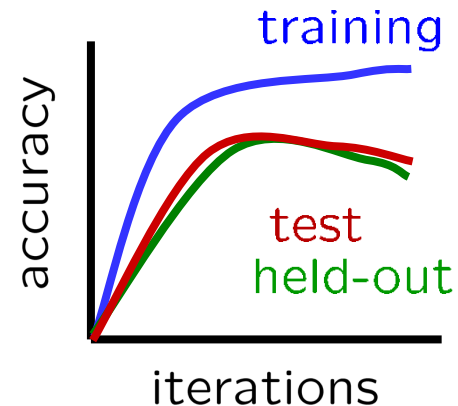
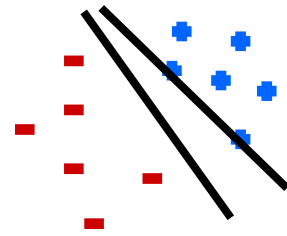
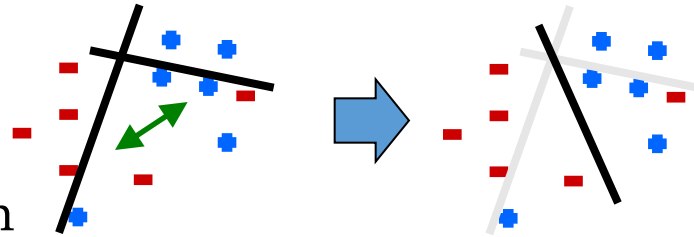
- If correct, no change!
- If wrong: lower score of wrong answer, raise score of right answer

$$w_y = w_y - x$$
$$w_{y^*} = w_{y^*} + x$$



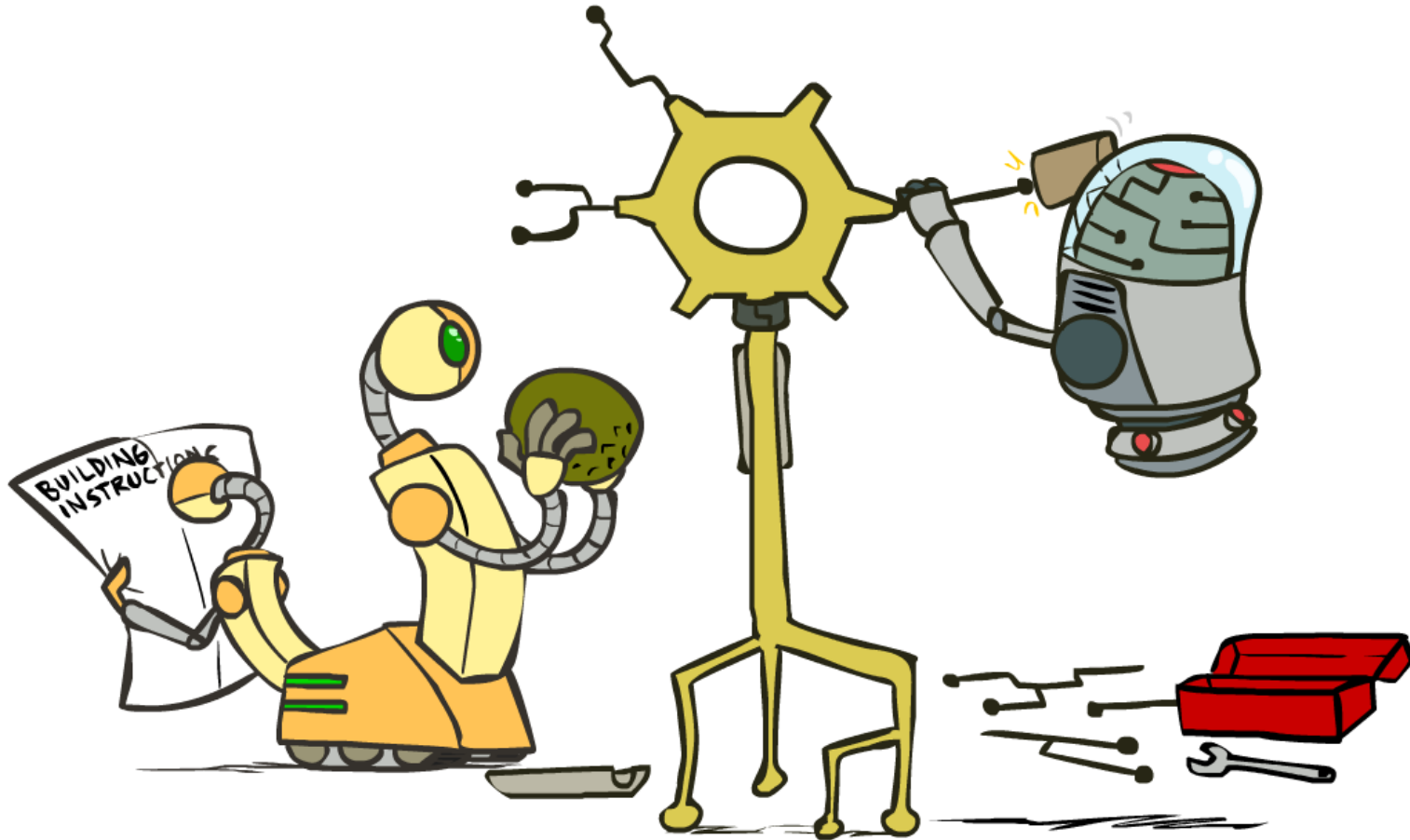
# Problems with the Perceptron

- Noise: if the data isn't separable, weights might thrash
  - Averaging weight vectors over time can help (averaged perceptron)
- Mediocre generalization: finds a “barely” separating solution
- Overtraining: test / held-out accuracy usually rises, then falls
  - Overtraining is a kind of overfitting



# Logistic Regression

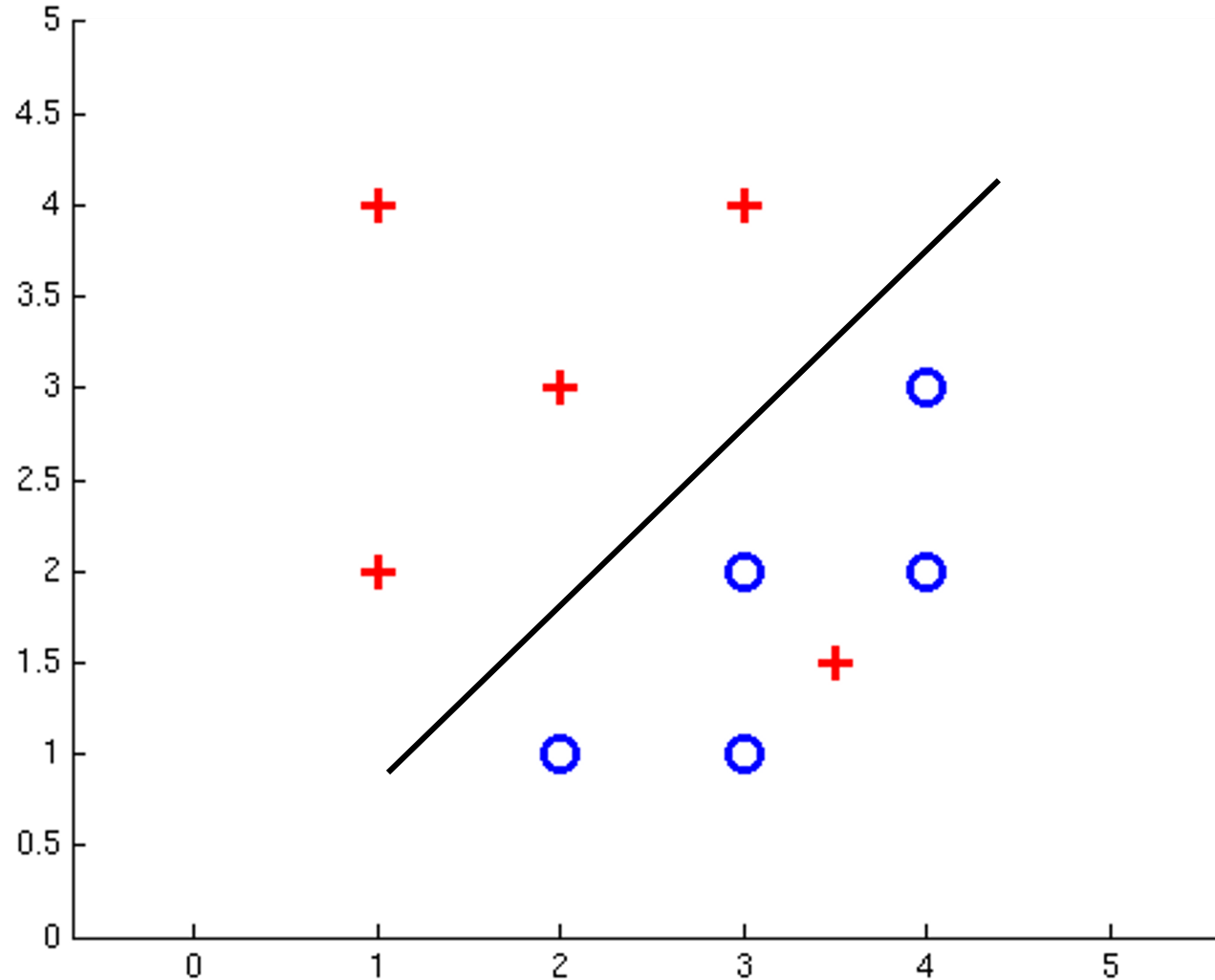
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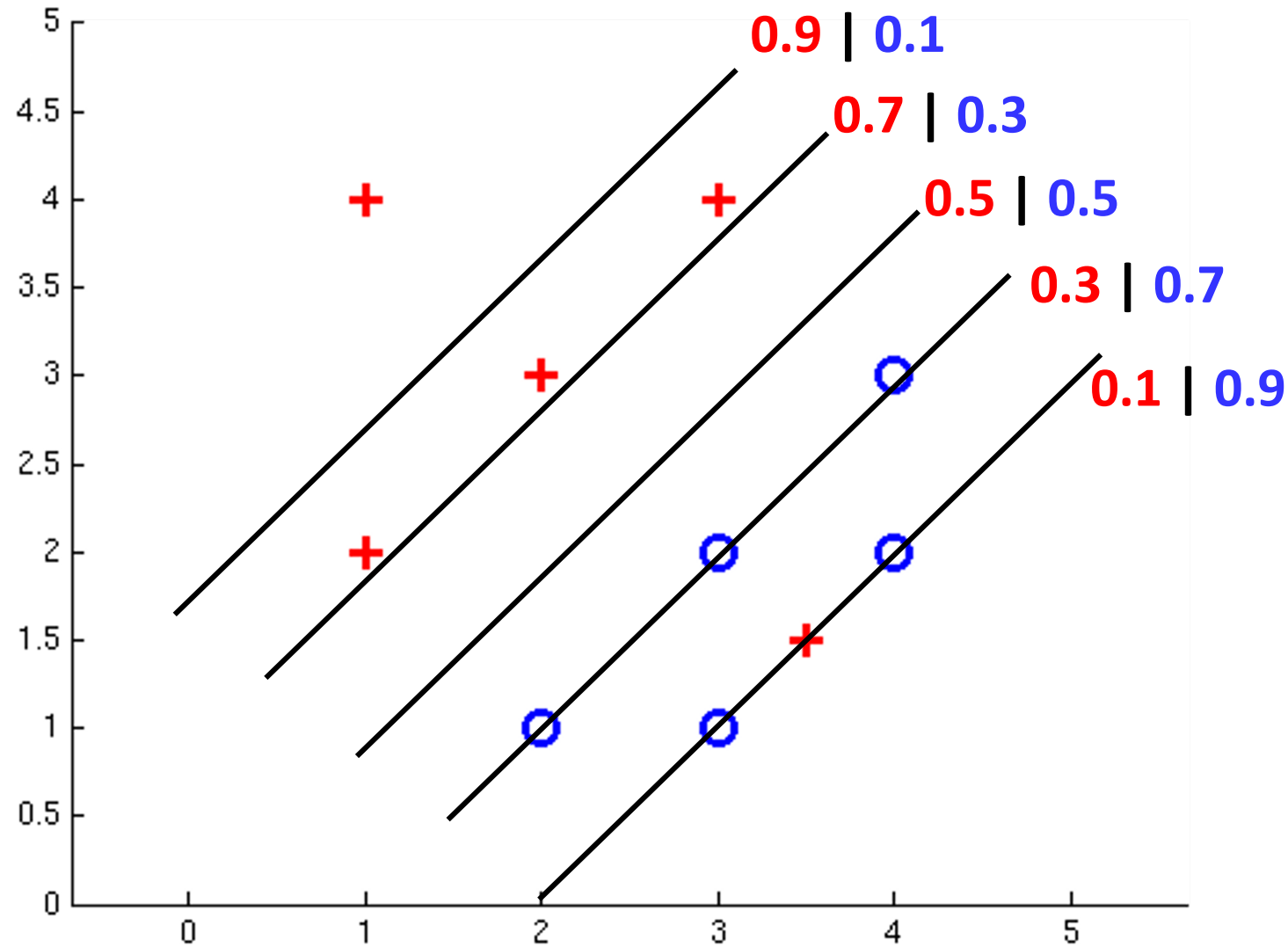


# Non-Separable Case: Deterministic Decision

Even the best linear boundary makes at least one mistake



# Non-Separable Case: Probabilistic Decision

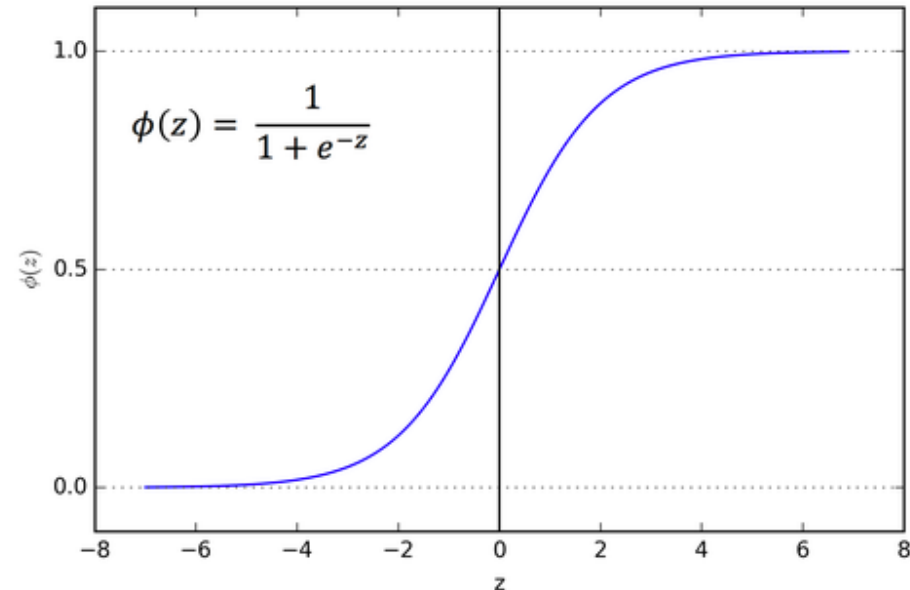


# How to get probabilistic decisions?

- Perceptron scoring:  $z = w \cdot x$
- If  $z = w \cdot x$  very positive  $\rightarrow$  want probability going to 1
- If  $z = w \cdot x$  very negative  $\rightarrow$  want probability going to 0

- Sigmoid function

$$\phi(z) = \frac{1}{1 + e^{-z}}$$



# How to get probabilistic decisions?

- Sigmoid function

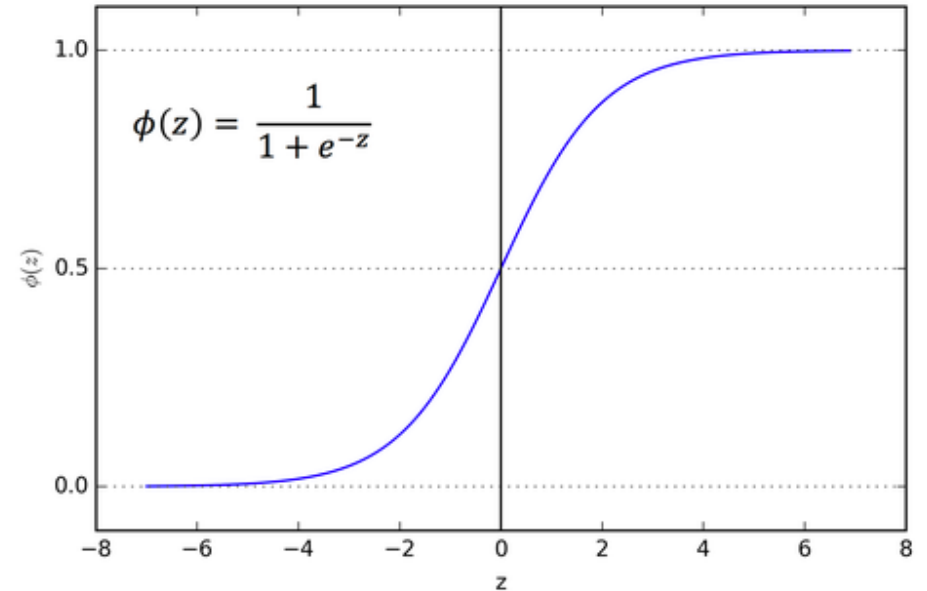
$$z = w \cdot x$$

$$\phi(z) = \frac{1}{1 + e^{-z}}$$

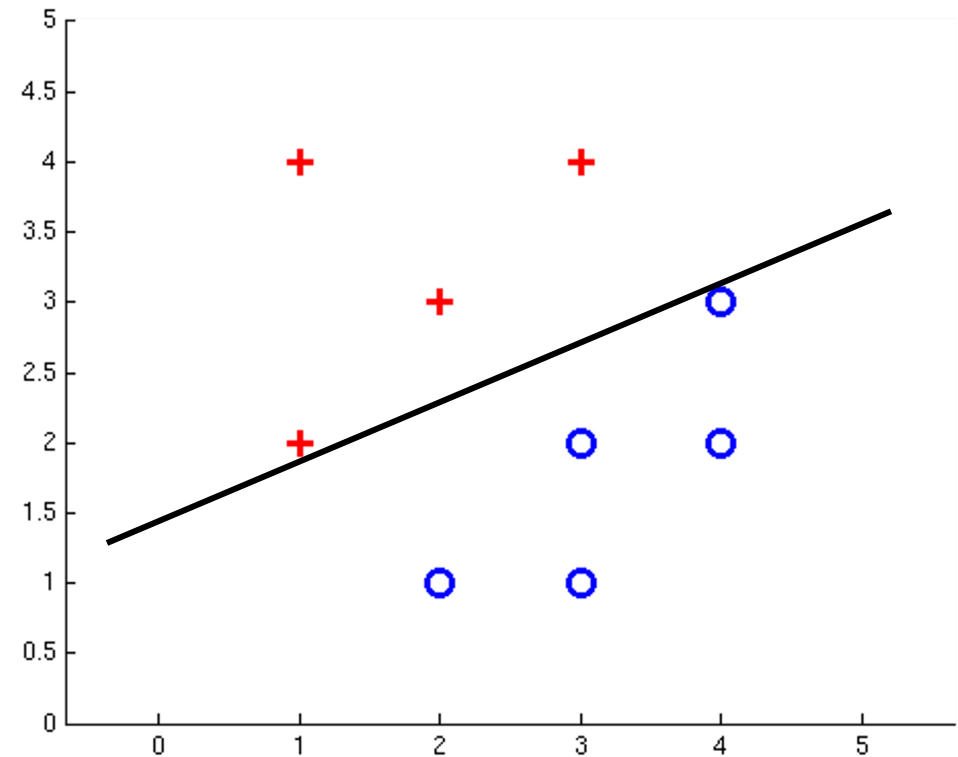
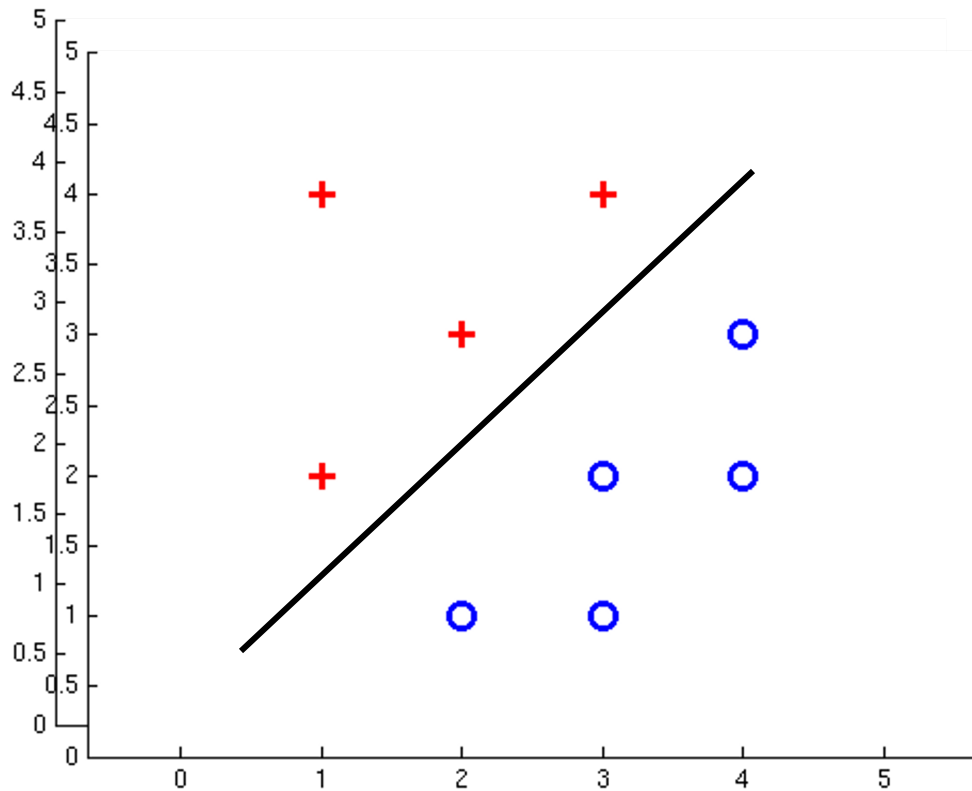
- Probabilistic decision

$$P(y^{(i)} = +1 | x^{(i)}; w) = \frac{1}{1 + e^{-w \cdot x^{(i)}}}$$

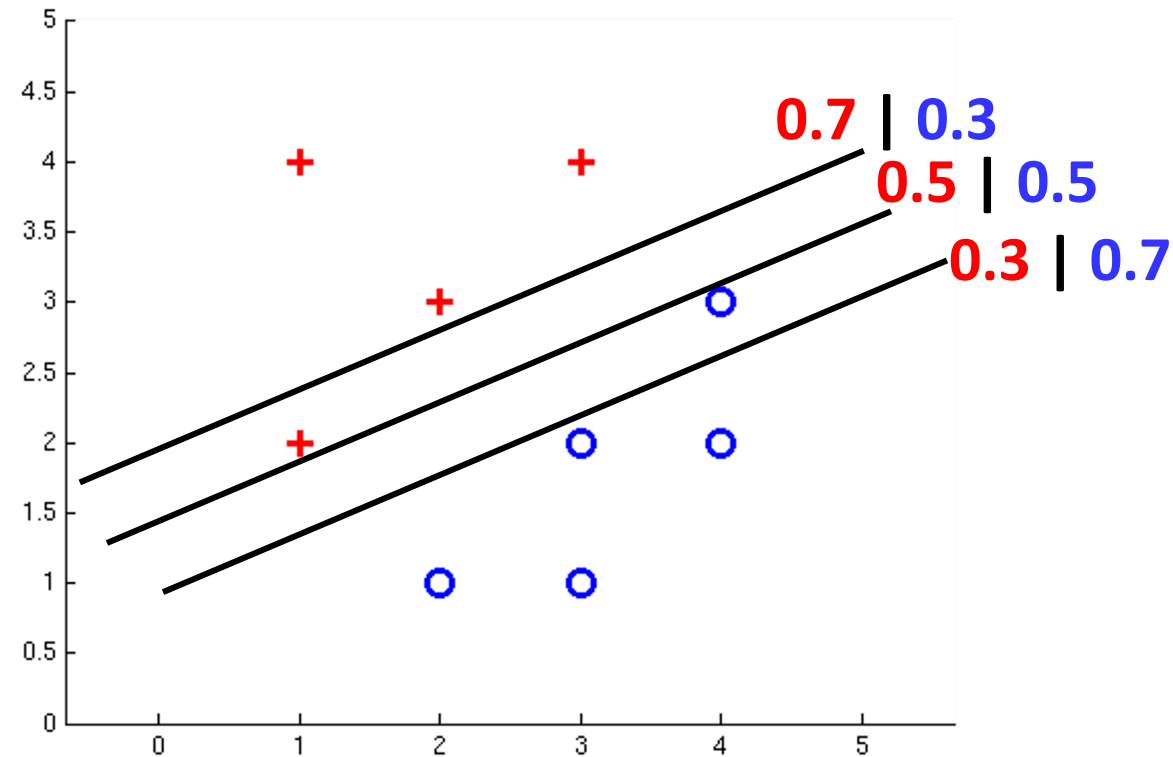
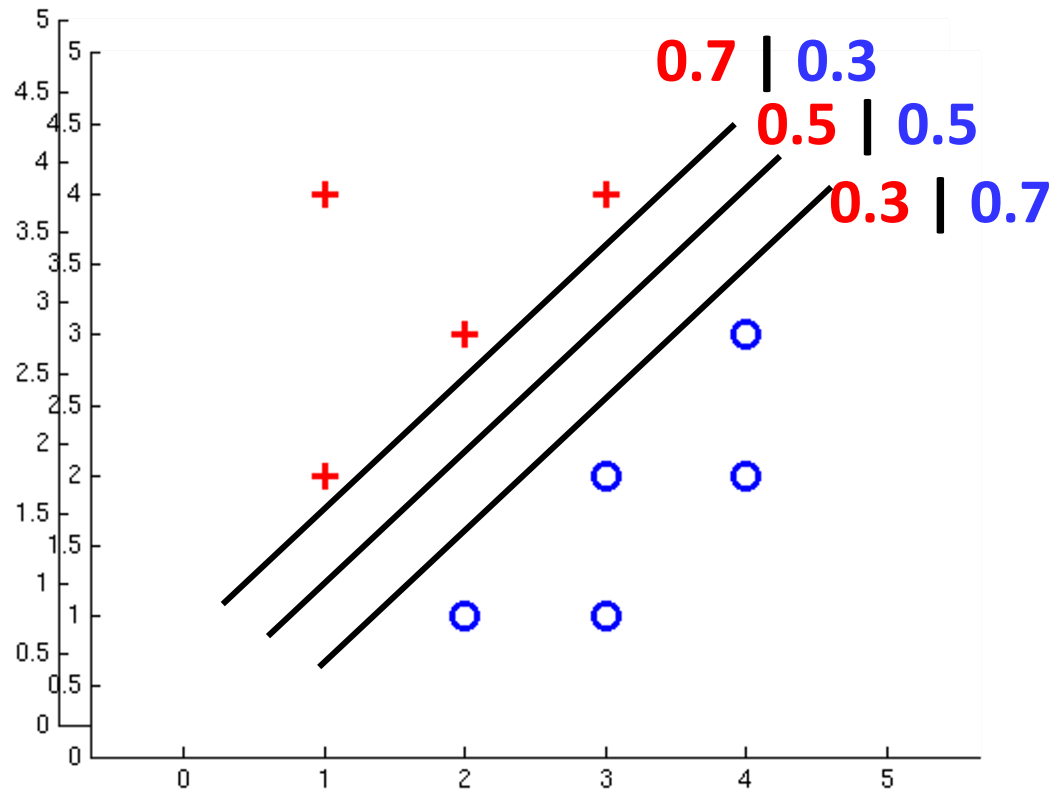
$$P(y^{(i)} = -1 | x^{(i)}; w) = 1 - \frac{1}{1 + e^{-w \cdot x^{(i)}}}$$



# Separable Case: Deterministic Decision – Many Options



# Separable Case: Probabilistic Decision – Clear Preference



# Determine Best Weights: Maximum Likelihood Estimation

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- Maximum likelihood estimation: choose  $w$  so as to make the data as high probability as possible.

$$\max_w ll(w) = \max_w \sum_i \log P(y^{(i)} | x^{(i)}; w)$$

with:

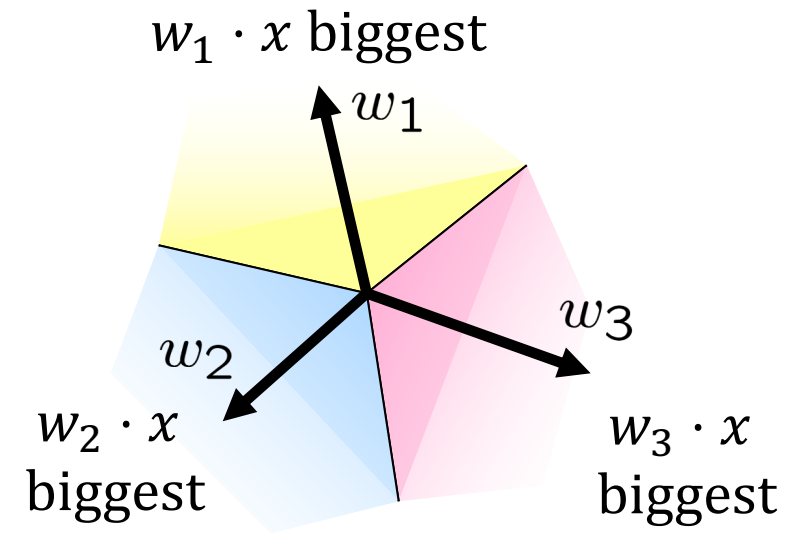
$$P(y^{(i)} = +1 | x^{(i)}; w) = \frac{1}{1 + e^{-w \cdot x^{(i)}}}$$

$$P(y^{(i)} = -1 | x^{(i)}; w) = 1 - \frac{1}{1 + e^{-w \cdot x^{(i)}}}$$



# Multiclass Logistic Regression

- Recall Perceptron:
  - A weight vector for each class:  $w_y$
  - Score (activation) of a class  $y$ :  $z_y = w_y \cdot x$
  - Prediction highest score wins  $y = \arg \max_y w_y \cdot x$
- How to make the scores into probabilities?



$$\underbrace{z_1, z_2, z_3}_{\text{original activations}} \rightarrow \underbrace{\frac{e^{z_1}}{e^{z_1} + e^{z_2} + e^{z_3}}, \frac{e^{z_2}}{e^{z_1} + e^{z_2} + e^{z_3}}, \frac{e^{z_3}}{e^{z_1} + e^{z_2} + e^{z_3}}}_{\text{softmax activations}}$$





# Best $w$ ?

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- Maximum likelihood estimation:

$$\max_w ll(w) = \max_w \sum_i \log P(y^{(i)} | x^{(i)}; w)$$

with the likelihood of each data point:

$$P(y^{(i)} | x^{(i)}; w) = \frac{e^{w_{y^{(i)}} \cdot x^{(i)}}}{\sum_y e^{w_y \cdot x^{(i)}}}$$



# Best $w$ ?

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- Optimization

- i.e., how do we solve:

$$\max_w ll(w) = \max_w \sum_i \log P(y^{(i)} | x^{(i)}; w)$$

- No closed-form expression of optimal  $w$



# Gradient Ascent

- Perform update in uphill direction for each coordinate
- The steeper the slope (i.e. the higher the derivative) the bigger the step for that coordinate
- E.g., consider:  $g(w_1, w_2)$

- Updates:

$$w_1 \leftarrow w_1 + \alpha * \frac{\partial g}{\partial w_1}(w_1, w_2)$$

$$w_2 \leftarrow w_2 + \alpha * \frac{\partial g}{\partial w_2}(w_1, w_2)$$

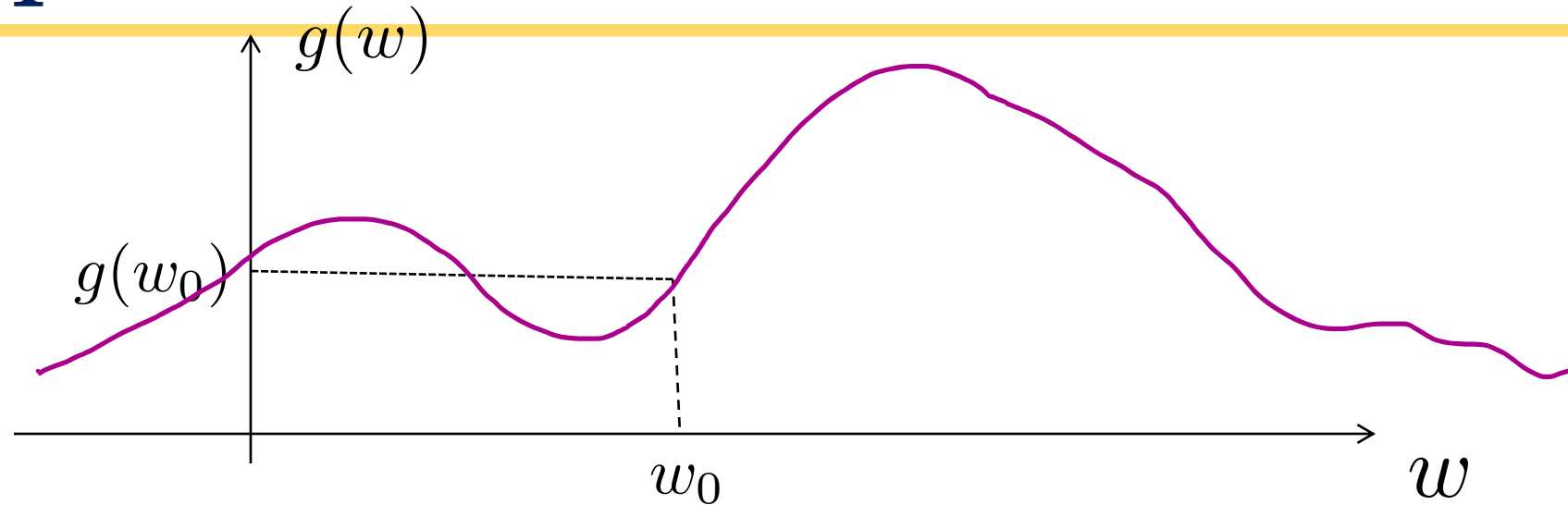
- Updates in vector notation:

$$w \leftarrow w + \alpha * \nabla_w g(w)$$

$$\text{with: } \nabla_w g(w) = \begin{bmatrix} \frac{\partial g}{\partial w_1}(w) \\ \frac{\partial g}{\partial w_2}(w) \end{bmatrix} = \text{gradient}$$



# 1-D Optimization

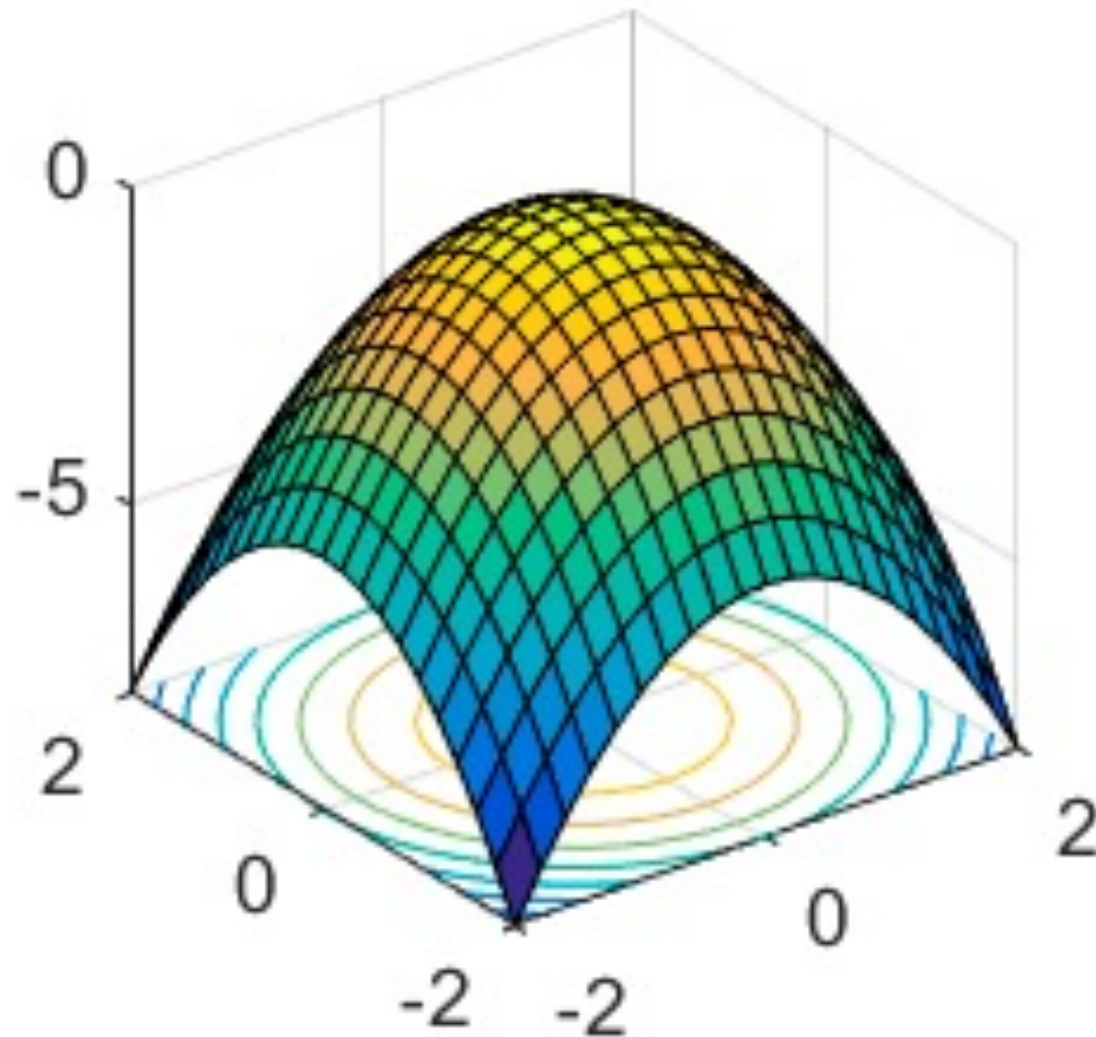


- Could evaluate  $g(w_0 + h)$  and  $g(w_0 - h)$ 
  - Then step in best direction
- Or, evaluate derivative:  $\frac{\partial g(w_0)}{\partial w} = \lim_{h \rightarrow 0} \frac{g(w_0 + h) - g(w_0 - h)}{2h}$ 
  - Tells which direction to step into



# 2-D Optimization

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# Gradient Ascent

- Perform update in uphill direction for each coordinate
- The steeper the slope (i.e. the higher the derivative) the bigger the step for that coordinate
- E.g., consider:  $g(w_1, w_2)$

- Updates:

$$w_1 \leftarrow w_1 + \alpha * \frac{\partial g}{\partial w_1}(w_1, w_2)$$

$$w_2 \leftarrow w_2 + \alpha * \frac{\partial g}{\partial w_2}(w_1, w_2)$$

- Updates in vector notation:

$$w \leftarrow w + \alpha * \nabla_w g(w)$$

$$\text{with: } \nabla_w g(w) = \begin{bmatrix} \frac{\partial g}{\partial w_1}(w) \\ \frac{\partial g}{\partial w_2}(w) \end{bmatrix} = \text{gradient}$$

