# Hapl-o-Mat - Getting Started

Please also see the README.

## Hapl-o-Mat

Hapl-o-Mat is software for HLA haplotype inference coded in C++. Besides estimating haplotype frequencies via an expectation-maximization algorithm, it is capable of processing HLA genotype population data. This includes translation of alleles between various typing resolutions and resolving allelic and genotypic ambiguities. Both common formats for recording HLA genotypes, multiple allele (NMDP) codes and genotype list strings, are supported.

This guide explains how to use Hapl-o-Mat under Linux. For more information refer to our publications on Hapl-o-Mat:

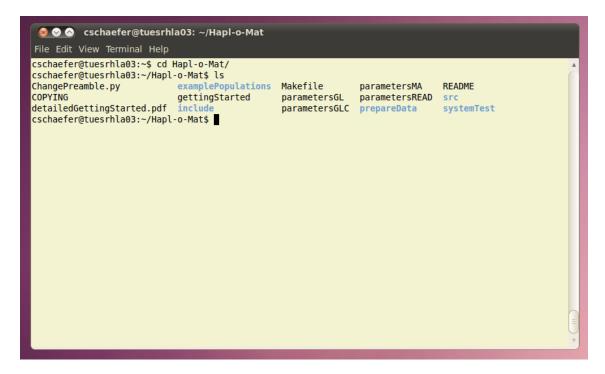
Journal article to come

C. Schaefer, A.H. Schmidt, J. Sauter: Hapl-O-mat: A Versatile Software for Haplotype Frequency Estimation. HLA (2016), 87, 236-320

## **Getting Started**

This guide is an introduction on how to use Hapl-o-Mat. In order to follow this guide, you need a Linux system and a C++ compiler supporting C++11. In this tutorial, we use Ubuntu 14.04.4 LTS and GNU compiler collection (GCC) version 4.8.4. If you are a seasoned Linux-User, feel free to refer to the shorter version of this guide, gettingStarted.

After successfully downloading Hapl-o-Mat, start a terminal and browse to the location where Hapl-o-Mat is stored. Enter the folder Hapl-o-Mat by typing "cd Hapl-o-Mat". Check what is inside by typing "ls". You should see the following:



You see folders in blue ink and files in black ink. We give you some information on the files you find. Files which are important for using Hapl-o-Mat are marked as bold:

File name	Description	
ChangePreamble.py	A python script to adapt the preamble in all files. You are not going to use it.	
CODVING		
COPYING	The GNU General Public License.	
detailedGettingStarted	Guides for using Hapl-o-Mat under Windows and Linux	
examplePopulations	Some genotype population data we are going to work with	
	in the section Tutorials.	
gettingStarted	A shorter form of this tutorial	
include	A part of Hapl-o-Mat's source code. If you do not want to	
	change code, do not touch it	
Makefile	Instructions for building Hapl-o-Mat. You might need to	
	adapt it, if you use another compiler than GCC	
parametersGL, parametersGLC,	Parameter files for Hapl-O-mat. We are going to discuss	
parametersMA, parametersMA	this in section Parameters	
prepareData	Here is everything to create the data required by Hapl-o-	
	Mat	
README	Read me	
src	A part of Hapl-o-Mat's source code. If you do not want to	
	change code, do not touch it	
systemTest	Run the system test after changing code to check, if you	
	broke something.	

To estimate haplotype frequencies we only need to consider the folder prepareData and the files Makefile, parametersGL, parametersGLC, parametersMA, and parametersREAD. To finish this tutorial we need the folder examplePopluations, too.

## **Install Hapl-o-Mat**

We compile Hapl-o-Mat with GCC using a Makefile. Just type "make" to create the executable "haplomat" and "make clean" to clean up. Type again "Is" to find a new file, haplomat (indicated in green in the figure below).

```
🚫 🛇 🚫 cschaefer@tuesrhla03: ~/Hapl-o-Mat
File Edit View Terminal Help
cschaefer@tuesrhla03:~$ cd Hapl-o-Mat/
cschaefer@tuesrhla03:~/Hapl-o-Mat$ ls
                                 examplePopulations Makefile
                                                                                             README
ChangePreamble.pv
                                                                          parametersMA
                                 gettingStarted
                                                        parametersGL
COPYING
                                                                          parametersREAD
                                                                                             src
detailedGettingStarted.pdf
                                                        parametersGLC prepareData
cschaefer@tuesrhla03:~/Hapl-o-Mat$ make
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                                -c -o src/Allele.o src/Allele.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude -c -o src/DataProcessing.o src/DataProcessing.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude -c -o src/File.o src/File.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                               -c -o src/Genotypes.o src/Genotypes.cc
                                                              -c -o src/Glid.o src/Glid.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                               -c -o src/Haplotype.o src/Haplotype.cc
                                                              -c -o src/Locus.o src/Locus.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                               -c -o src/Main.o src/Main.cc
                                                             -c -o src/Parameters.o src/Parameters.cc
-c -o src/Phenotype.o src/Phenotype.cc
-c -o src/Report.o src/Report.cc
-c -o src/Utility.o src/Utility.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude -o haplomat src/Allele.o src/DataProcessing.o sr
c/File.o src/Genotypes.o src/Glid.o src/Haplotype.o src/Locus.o src/Main.o src/Parameters.o src/
Phenotype.o src/Report.o src/Utility.o cschaefer@tuesrhla03:~/Hapl-o-Mat$ make clean
 rm -f -f src/*.o *.d
cschaefer@tuesrhla03:~/Hapl-o-Mat$ ls
ChangePreamble.py
                                 examplePopulations include
                                                                         parametersGLC
                                                                                            prepareData systemTest
COPYING
                                 gettingStarted
                                                        Makefile
                                                                         parametersMA
                                                                                            README
detailedGettingStarted.pdf h
                                                        parametersGL parametersREAD
cschaefer@tuesrhla03:~/Hapl-o-Mat$
```

## **Data Preparation**

Hapl-o-Mat relies on information on the HLA nomenclature. This information is provided by data files, which we are going to create. As the HLA nomenclature evolves over time, e.g. by finding new alleles or adding new NMDP codes, it is important to update data from time to time. Hapl-o-Mat relies on the following files, which must be placed in the folder "Hapl-o-Mat/data" (we create the folder "data" later):

File name	Description	
AllAllelesExpanded.txt	A list of relevant existing HLA alleles with their enclosed more-digit typing resolutions	
AlleleList.txt	If your input data in GL format includes a missing single-locus genotype, it can be replaced by combining all alleles of the same locus from this file	
Ambiguity.txt	Data basis for the ambiguity filter	
LargeG.txt	A list of G-groups with their enclosed alleles in 8-digit resolution	
MultipleAlleleCodes.txt	A list of multiple allele codes and their translation to alleles	
P.txt	A list of P-groups with their enclosed alleles in 8-digit resolution	
Smallg.txt	A list of g-groups with their enclosed alleles in 8-digit resolution	

In the following we are going to create these data files.

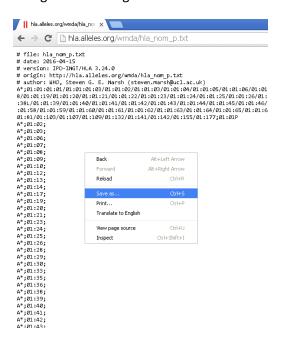
#### **Download Data**

Go to the folder "prepareData" by typing "cd prepareData" and check its content by typing "ls".

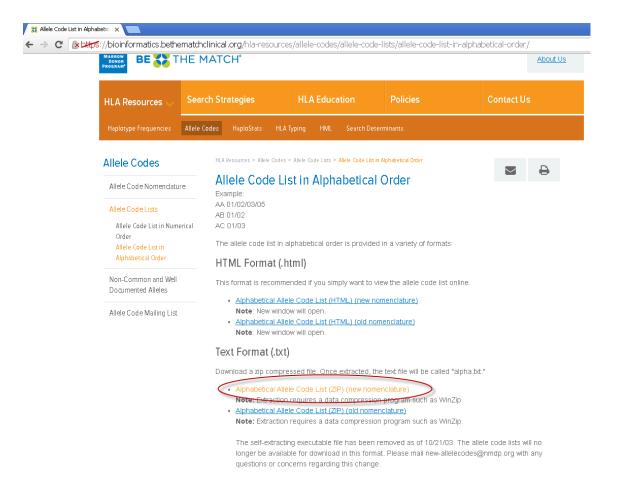
```
🚫 🛇 💍 cschaefer@tuesrhla03: ~/Hapl-o-Mat/prepareData
cschaefer@tuesrhla03:~$ cd Hapl-o-Mat/
cschaefer@tuesrhla03:~/Hapl-o-Mat$ ls
                                                         parametersMA
COPYING
                                         parametersGL
                                                                           prepareData src
         GETTINGSTARTED
                                        parametersGLC parametersREAD
                              Makefile
                                                                         README
cschaefer@tuesrhla03:~/Hapl-o-Mat$ make
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Allele.o src/Allele.cc
                                                         -c -o src/DataProcessing.o src/DataProcessing.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                          -c -o src/File.o src/File.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Genotypes.o src/Genotypes.cc
                                                         -c -o src/Glid.o src/Glid.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Haplotype.o src/Haplotype.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Locus.o src/Locus.co
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Main.o src/Main.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Parameters.o src/Parameters.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Phenotype.o src/Phenotype.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                         -c -o src/Report.o src/Report.cc
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude
                                                          -c -o src/Utility.o src/Utility.co
g++ -Wall -march=native -Ofast -std=c++11 -Iinclude -o haplomat src/Allele.o src/DataProcessing.o src/Fil
e.o src/Genotypes.o src/Glid.o src/Haplotype.o src/Locus.o src/Main.o src/Parameters.o src/Phenotype.
o src/Report.o src/Utility.o
cschaefer@tuesrhla03:~/Hapl-o-Mat$ ls
COPYING
          xamplePopulations
                              haplomat
include
                                         Makefile
                                                        parametersGLC parametersREAD README
         GETTINGSTARTED
                                         parametersGL
                                                        parametersMA
                                                                        prepareData
cschaefer@tuesrhla03:~/Hapl-o-Mat$ cd prepareData/
cschaefer@tuesrhla03:~/Hapl-o-Mat/prepareData$ ls
AddGToAmbiguity.py
BuildAllAllelesExpanded.py
                                    BuildAlleleList.py
                                                         {\tt BuildLargeG.py PrintAllelesMissingIngCode.py}
                                    BuildAmbiguity.py
                                                         BuildP.pv
                                                                          README
BuildAllAllelesFrom_hla_nom_g.py
                                    BuildData.sh
                                                         BuildSmallg.py TransferAlphaToMultipleAlleleCodes.py
cschaefer@tuesrhla03:~/Hapl-o-Mat/prepareData$
```

You find a number of Python scripts (files ending with .py) which we are going to use to produce the data. But first we need some information from the web. Save all files in one folder, e.g. "InputData".

1) Go to the website <a href="http://hla.alleles.org/wmda/hla nom p.txt">http://hla.alleles.org/wmda/hla nom p.txt</a> and save the file hla nom p.txt by right-clicking and choosing "Save as..."



- 2) Go to the website <a href="http://hla.alleles.org/wmda/hla nom g.txt">http://hla.alleles.org/wmda/hla nom g.txt</a> and save the file hla nom g.txt (same as in 1))
- 3) Go to the website <a href="https://bioinformatics.bethematchclinical.org/HLA-Resources/Allele-Codes/Allele-Code-Lists/Allele-Code-List-in-Alphabetical-Order/">https://bioinformatics.bethematchclinical.org/HLA-Resources/Allele-Codes/Allele-Code-Lists/Allele-Code-List-in-Alphabetical-Order/</a>. Click on "Alphabetical Allele Code List (ZIP) (new nomenclature)" and save alpha.v3.zip.

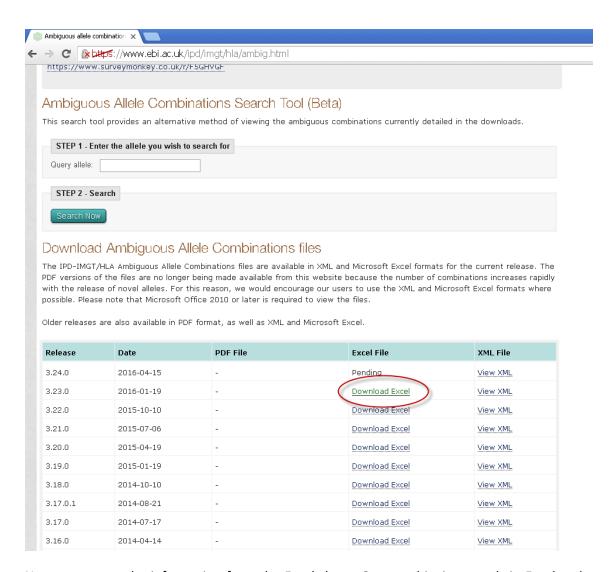


Enter the folder "Input data" and type "unzip alpha.v3.txt" to extract the archive. You can remove the archive "alpha.v3.zip" afterwards.

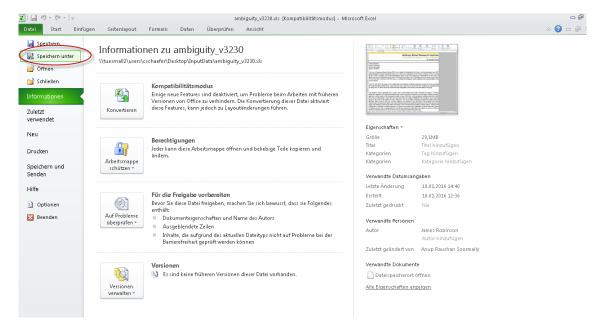
```
File Edit View Terminal Help

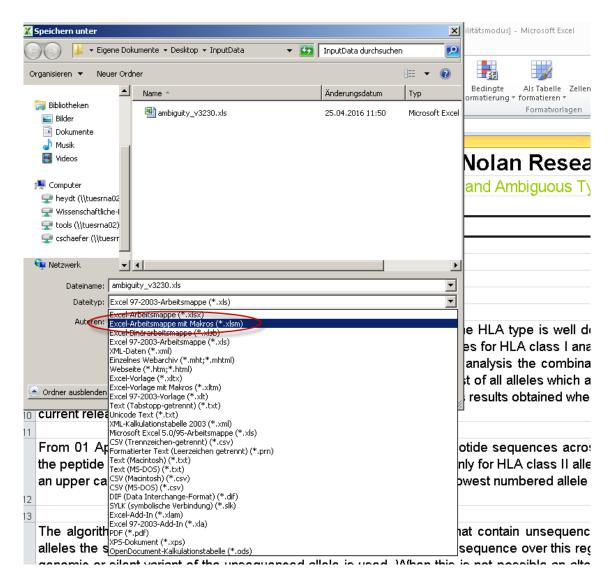
cschaefer@tuesrhla03:-/InputData$ ls
alpha.v3.zip hla nom g.txt hla nom p.txt
cschaefer@tuesrhla03:-/InputData$ unzip alpha.v3.zip
Archive: alpha.v3.zip
inflating: alpha.v3.txt
cschaefer@tuesrhla03:-/InputData$ ls
alpha.v3.txt alpha.v3.zip hla nom g.txt hla_nom_p.txt
cschaefer@tuesrhla03:-/InputData$ rm alpha.v3.zip
cschaefer@tuesrhla03:-/InputData$ rm alpha.v3.zip
cschaefer@tuesrhla03:-/InputData$ ls
alpha.v3.txt hla_nom_g.txt hla_nom_p.txt
cschaefer@tuesrhla03:-/InputData$ Is
alpha.v3.txt hla_nom_g.txt hla_nom_p.txt
cschaefer@tuesrhla03:-/InputData$ I
```

4) Go to the website <a href="https://www.ebi.ac.uk/ipd/imgt/hla/ambig.html">https://www.ebi.ac.uk/ipd/imgt/hla/ambig.html</a>. Click on "Download Excel" for the wanted release (usually the latest) and save ambiguity\_v<>.xls (replace <> by version).



Next we extract the information from the Excel sheet. Open ambiguity\_v<>.xls in Excel and save as ambiguity\_v<>.xlsm to run macros.

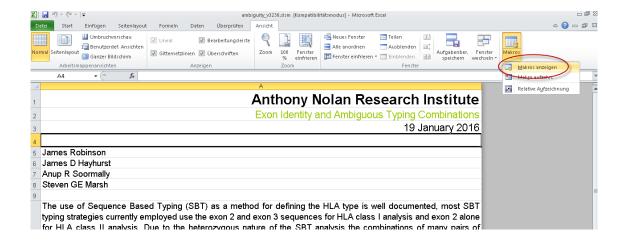


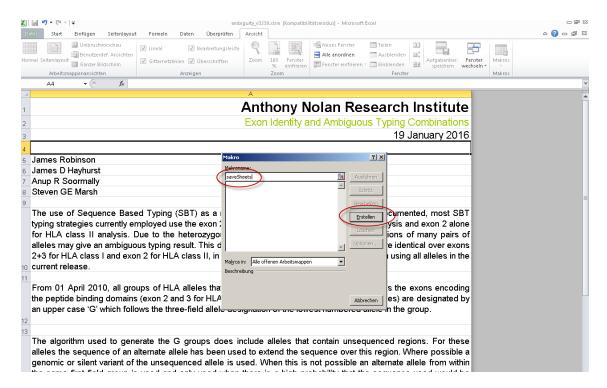


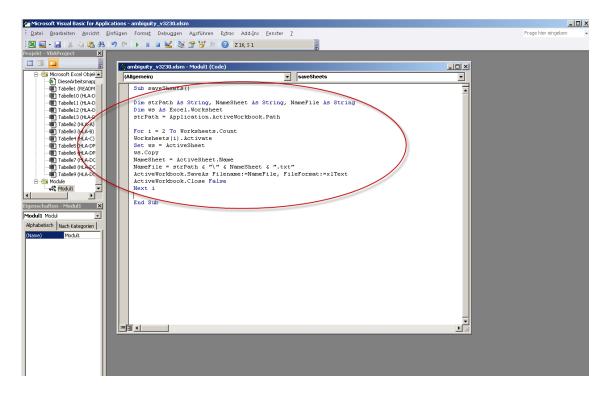
Now insert the following macro, which saves relevant information from the Excelsheets as text files:

Sub saveSheets()
Dim strPath As String, NameSheet As String, NameFile As String
Dim ws As Excel.Worksheet
strPath = Application.ActiveWorkbook.Path

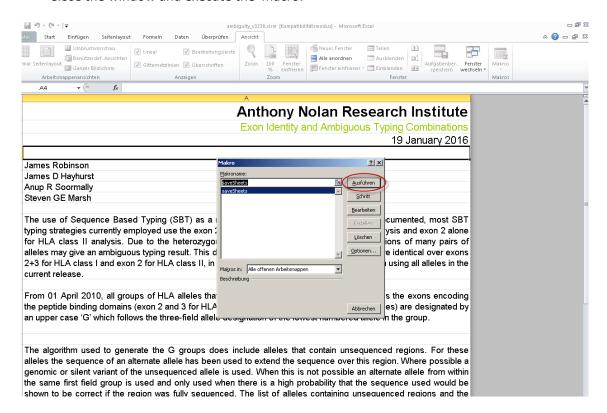
For i = 2 To Worksheets.Count
Worksheets(i).Activate
Set ws = ActiveSheet
ws.Copy
NameSheet = ActiveSheet.name
NameFile = strPath & "\" & NameSheet & ".txt"
ActiveWorkbook.SaveAs Filename:=NameFile, FileFormat:=xlText
ActiveWorkbook.Close False
Next i
End Sub







Close the window and execute the macro:



Some new text files should have appeared in your folder "inputData". Afterwards you can remove the Excel file.

### **Build Data for Hapl-o-Mat**

Enter the folder InputData via "cd InputData" and copy all files via "cp \* ../prepareData" to the folder "prepareData". Then enter folder "prepareData" via "cd ../prepareData" and check what is there via typing "ls". Next, create the data required for Hapl-o-Mat by running the bash script via "bash

BuildData.sh". It automatically calls the python scripts and moves the created files to the folder "data". Check for the created files by going one folder back typing "cd .." and typing "ls data".

```
⊗ 📀 🗞 cschaefer@tuesrhla03: ~/Hapl-o-Mat
cschaefer@tuesrhla03:~/Hapl-o-Mat$ cd InputData/
cschaefer@tuesrhla03:~/Hapl-o-Mat/InputData$ cp * ../prepareData/
cschaefer@tuesrhla03:~/Hapl-o-Mat/InputData$ cd ../prepareData/
cschaefer@tuesrhla03:~/Hapl-o-Mat/prepareData$ ls
AddAllelesMissingIngCode.py
                                       BuildAmbiguity.py
                                                                              HLA-DRB3.txt
AddAllelesMissingIngCode.py~
                                                              HLA-DPA1.txt HLA-DRB4.txt
                                        BuildData.sh
AddGToAmbiguity.py
                                        BuildLargeG.py
                                                              HLA-DPB1.txt
                                                                              HLA-DRB5.txt
alpha.v3.txt
                                        BuildP.py
                                                              HLA-DQA1.txt
                                                                              hla_nom_g.txt
                                        BuildSmallg.py
BuildAllAllelesExpanded.py
                                                              HLA-DQA.txt
                                                                              hla_nom_p.txt
BuildAllallelesFrom_hla_nom_g.py HLA-A.txt
BuildAlleleList.py HLA-B.txt
                                                              HLA-DQB1.txt
                                                                              README
BuildAlleLeList.py HLA-B.txt HLA-DRB1.txt cschaefer@tuesrhla03:~/Hapl-o-Mat/prepareData$ bash BuildData.sh
                                                              HLA-DRB1.txt TransferAlphaToMultipleAlleleCodes.py
cschaefer@tuesrhla03:~/Hapl-o-Mat/prepareData$ cd ..
cschaefer@tuesrhla03:~/Hapl-o-Mat$ ls data/
AllAllelesExpanded.txt Ambiguity.t<u>x</u>t LargeG.txt MultipleAlleleCodes.txt P.txt Smallg.txt
cschaefer@tuesrhla03:~/Hapl-o-Mat$
```

# **Input Genotype Data**

Hapl-o-Mat infers haplotypes from population genotype data. It supports different formats of recording genotype data. To use Hapl-o-Mat your data should be in one of the following data formats:

Data format	Description
MA	Ambiguities are encoded by multiple allele (MA) codes. Except for the first line, input files hold an individual's identification number and genotype per line. Genotypes are saved allele by allele without locus name. Identification number and alleles are TAB-separated. The first line of the file is a header file indicating the name of the first column and the loci of the other columns. Same loci must be placed next to each other. For an example refer to "examplePopulations/populationData_a.dat".
GLC	Genotypes with or without ambiguities are saved by genotype list strings. Input files hold an individual's identification number and genotype per line. Identification number and single-locus genotypes are TAB-separated. For an example refer to "examplePopulations/populationData_b.dat"
GL	Genotypes with or without ambiguities are saved by genotype list (GL) strings. Population data is saved in two files. The pull-file contains an individual's identification number and a list of integer numbers, GL-ids, referring to its single-locus genotype. The GL-ids are separated from the identification number via ";" and from each other via ":". The second file, the glid-file, contains a translation from GL-ids starting with "1" to actual single-locus genotypes. GL-id and genotype are separated via ";". A GL-id of "0" is interpreted as a missing typing at the corresponding locus and does not require a translation in the glid-file. For an example refer to

	"examplePopulations/populationData_c.pull" and
	"examplePopulations/populationData_c.glid".
READ	Ambiguities are completely resolved and alleles are already translated to the
	wanted typing resolutions. The input data is of the format as Hapl-o-Mat
	records processed genotype data. This allows for easily repeating a run
	without the need to resolve genotype data again.

# **Parameters**

Each input format for genotype data requires a different set of parameters. The parameters are saved in the corresponding files "parametersMA", "parametersGLC", "parametersGL", and "parametersREAD". All input formats have the following parameters in common:

Parameter	Description
FILENAME_HAPLOTYPES	Name of the file which temporarily saves
	haplotype names
FILENAME_GENOTYPES	Name of the file which saves resolved
	genotypes.
FILENAME_HAPLOTYPEFREQUENCIES	Name of the file which saves haplotypes and
	estimated haplotype frequencies.
FILENAME_EPSILON_LOGL	Name of the file which saves stopping criterion
	and log-likelihood per iteration.
INITIALIZATION_HAPLOTYPEFREQUENCIES	Initialization routine for haplotype frequencies.
	It takes the following values:
	<ul> <li>"equal": All haplotype frequencies are</li> </ul>
	initialized with the same frequency
	<ul><li>"numberOccurrence": Haplotype</li></ul>
	frequencies are initialized according to
	the initial number of occurrence of the
	haplotype
	• "random": Haplotype frequencies are
	initialized randomly
	• "perturbation": Haplotype frequencies
	are initialized as in numberOccurrence
	and then randomly modified by a small
	(<10%) positive or negative offset
EPSILON	Value for the stopping criterion, i.e. the maximal
	change between consecutive haplotype
	frequency estimations is smaller than the
CLIT, HADI OTVDEEDE OUENOISE	assigned value.
CUT_HAPLOTYPEFREQUENCIES	Estimated haplotype frequencies smaller than
DENIODA A LIZE LIA DI OTVDEEDE OLIENICIEC	this value are removed from the output
RENORMALIZE_HAPLOTYPEFREQUENCIES	Takes values "true" and "false". If "true",
	normalize estimated haplotype frequencies to
	sum to one. Within machine precision, this becomes necessary, if estimated haplotypes are
	removed, e.g. via the option
	CUT HAPLOTYPEFREQUENCIES
SEED	Set the seed of the used pseudo random number
JEED	generator. If set to "0", the seed is initialized by
	the system time.
	the system time.

Depending on the input format (indicated in brackets), additional parameters are:

Parameter	Input format	Description
FILENAME_INPUT	MA, GLC, READ	The file name of the input population data
FILENAME_PULL	GL	The file name of the pull-file
FILENAME_GLID	GL	The file name of the glid-file
LOCI_AND_ RESOLUTIONS	MA, GL, GLC	Loci included into analysis and desired typing resolution per locus. The list is separated by "," and contains the locus name followed by ":" and the desired typing resolution, e.g. A:g,B:4d,C:g. Supported typing resolutions and their abbreviations are g-groups (g), P-groups (P), G-groups (G), 2-digit fields (2d), 4-digit fields (4d), 6-digit fields (6d), and 8-digit fields (8d). Alleles are not translated via the option asltls (applying the ambiguity filter includes an intrinsic translation to G-groups)
LOCIORDER	GL	Specify the order of loci the individual's GL-ids correspond to. Loci are separated via ",".
RESOLVE_MISSING_ GENOTYPES	GL	Takes values "true" and "false". If set to true, a missing typing is replaced by a combination of all alleles from AlleleList.txt at the locus. Else, individuals with a missing typing are discarded from analysis
MINIMAL_FREQUENCY_ GENOTYPES	MA, GL, GLC	Genotypes which split into more genotypes than the inverse of this number are discarded from analysis
DO_AMBIGUITYFILTER	MA, GL, GLC	Takes values "true" and "false". The option "true" activates the ambiguity filter
EXPAND_LINES_ AMBIGUITYFILTER	MA, GL, GLC	Takes values "true" and "false". If set to "true", matching lines with additional genotype pairs in the ambiguity filter are considered

Whenever specifying a file name including folders, you have to create the folders before running Hapl-o-Mat.

### **Tutorials**

We have everything ready to use Hapl-o-Mat. In the following we estimate haplotype frequencies from some included genotype data recorded in different input formats.

### **Input Format MA**

You find the relevant population data in "examplePopulations/populationData\_a.dat". As ambiguities are recorded as multiple allele codes, the input format is MA. We are going to infer three locus (A, B, DRB1) haplotypes from this data. Alleles at loci A and B shall be translated to typing resolution g and alleles at locus DRB1 to 4-digits typing resolution.

#### **Preparations**

Enter the folder "examplePopulations" by typing "cd examplePopulations", create a folder named "a" by typing "mkdir a", and enter the folder by typing "cd a". Then provide the data required by Hapl-o-Mat by copying the folder data to "a", "cp -r ../../data .". Additionally, copy the executable "haplomat" and the file "parametersMA" to folder "a", "cp ../../haplomat ../../parametersMA .". Check that everything is there by typing "Is".

```
© Cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a

File Edit View Terminal Help

cschaefer@tuesrhla03: ~/Hapl-o-Mat$ ls

COPYING examplePopulations haplomat InputData parametersGL parametersMA prepareData src

data GETTINGSTARTED include Makefile parametersGLC parametersREAD README

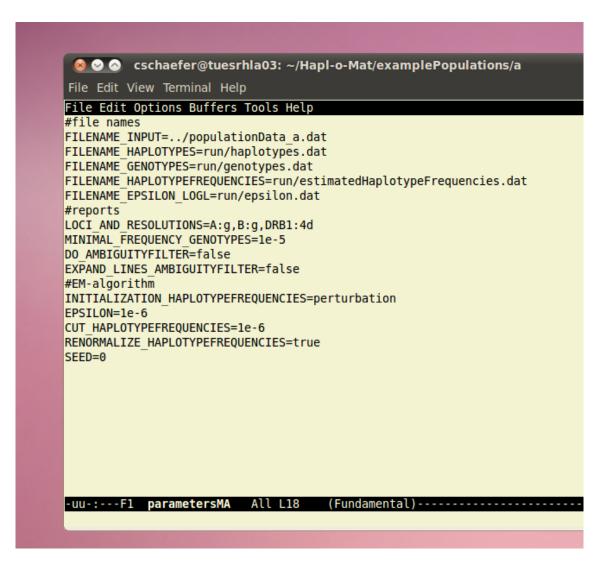
cschaefer@tuesrhla03: ~/Hapl-o-Mat$ cd examplePopulations/
cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations$ mkdir a
cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a$ cd a
cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a$ cp -r ../../data/ .
cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a$ cp ../../haplomat ../../parametersMA .
cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a$ ls

data haplomat parametersMA
cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a$
```

#### **Parameters**

According to the format of the input genotype data we use the parameter file "parametersMA". Open it in a text editor of your choice and set the following values:

#file names FILENAME\_INPUT=../populationData\_a.dat FILENAME\_HAPLOTYPES=run/haplotypes.dat FILENAME\_GENOTYPES=run/genotypes.dat FILENAME HAPLOTYPEFREQUENCIES=run/hfs.dat FILENAME\_EPSILON\_LOGL=run/epsilon.dat #reports LOCI\_AND\_RESOLUTIONS=A:g,B:g,DRB1:4d MINIMAL FREQUENCY GENOTYPES=1e-5 DO AMBIGUITYFILTER=false EXPAND LINES AMBIGUITYFILTER=false #EM-algorithm INITIALIZATION\_HAPLOTYPEFREQUENCIES=perturbation EPSILON=1e-6 CUT HAPLOTYPEFREQUENCIES=1e-6 RENORMALIZE\_HAPLOTYPEFREQUENCIES=true SEED=1000



Do not forget to create the folder "run" by typing "mkdir run".

#### Run Hapl-o-Mat

Compute haplotype frequencies from the genotype input data by running Hapl-o-Mat. If you are not already there, go to folder "a" and run Hapl-o-Mat via

./haplomat MA

It produces some output on the screen including your chosen parameters, statistics on the resolved genotype data and the expectation-maximization algorithm, and the run time. You can easily write this output to an extra file by starting Hapl-o-Mat with

./haplomat MA > Log.dat

```
cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a
File Edit View Terminal Help
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/a$ ls
                 parametersMA parametersMA-
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/a$ mkdir run
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/a$ ./haplomat MA
         Hapl-o-Mat
         Copyright (C) 2016 DKMS gGmbH
########Initialization
         MA format
#######Parameters I/0
         Input: ../populationData a.dat
         Output haplotypes: run/haplotypes.dat
         Output genotypes: run/genotypes.dat
         {\tt Output\ estimated\ haplotype\ frequencies:\ run/estimated Haplotype Frequencies.dat}
         Output epsilon and log(L): run/epsilon.dat
########Parameters resolving genotypes
Minimal frequency of genotypes: 1e-05
         Loci with target allele resolutions:
         A : g
         DRB1: 4d
Apply ambiguity filter: no
#######Parameters EM algorithm
         Haplotype frequency initialization: perturbation
         Epsilon: 1e-06
         Cut haplotype frequencies: 1e-06
         Renormalize haplotype frequencies
         Zero: 1e-14
```

#### Results

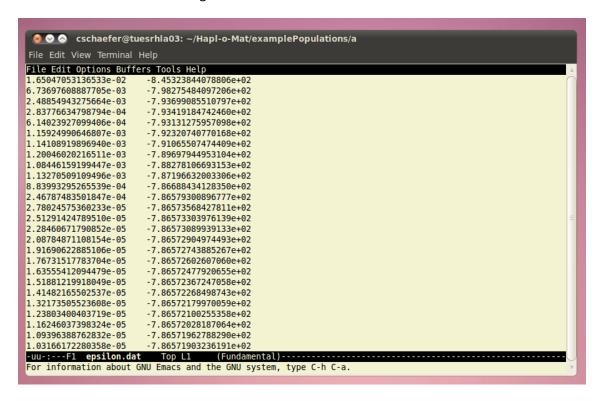
Now let's examine the results produced by Hapl-o-Mat. We first look into the file with the resolved genotypes, "run/genotypes.dat".

```
🔞 📀 🚫 cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a
File Edit View Terminal Help
File Edit Options Buffers Tools Help
                         A*26:04+A*29:67^B*07:218+B*54:16^DRB1*11:182+DRB1*13:14
                0.098765432098765
        III
                                         A*02:570+A*24:02g^B*13:07N+B*14:01^DRB1*12:01+DRB1*14:23
                                         A*02:570+A*24:50^B*13:07N+B*14:01^DRB1*12:01+DRB1*14:23
                0.012345679012346
        III
                0.098765432098765
                                         A*02:570+A*24:02g^B*13:07N+B*14:14^DRB1*12:01+DRB1*14:23
        III
                0.012345679012346
                                         A*02:570+A*24:50^B*13:07N+B*14:14^DRB1*12:01+DRB1*14:23
2 2 2
        III
                0.098765432098765
                                         A*02:570+A*24:02g^B*13:07N+B*14:19^DRB1*12:01+DRB1*14:23
        TTT
                0.012345679012346
                                         A*02:570+A*24:50^R*13:07N+R*14:19^DRR1*12:01+DRR1*14:23
                                         A*02:570+A*24:02g^B*13:07N+B*14:01^DRB1*12:06+DRB1*14:23
                0.098765432098765
        III
2
2
2
2
2
2
2
2
2
2
2
2
2
2
                0.012345679012346
                                         A*02:570+A*24:50^B*13:07N+B*14:01^DRB1*12:06+DRB1*14:23
        III
                0.098765432098765
                                         A*02:570+A*24:02g^B*13:07N+B*14:14^DRB1*12:06+DRB1*14:23
        III
                0.012345679012346
                                         A*02:570+A*24:50^B*13:07N+B*14:14^DRB1*12:06+DRB1*14:23
                                         A*02:570+A*24:02g^B*13:07N+B*14:19^DRB1*12:06+DRB1*14:23
        III
                0.098765432098765
                                         A*02:570+A*24:50 B*13:07N+B*14:19 DRB1*12:06+DRB1*14:23
                0.012345679012346
        III
                0.098765432098765
                                         A*02:570+A*24:02g^B*13:07N+B*14:01^DRB1*12:10+DRB1*14:23
        III
                0.012345679012346
                                         A*02:570+A*24:50^B*13:07N+B*14:01^DRB1*12:10+DRB1*14:23
        III
                0.098765432098765
                                         A*02:570+A*24:02g^B*13:07N+B*14:14^DRB1*12:10+DRB1*14:23
        III
                0.012345679012346
                                         A*02:570+A*24:50^B*13:07N+B*14:14^DRB1*12:10+DRB1*14:23
                0.098765432098765
                                         A*02:570+A*24:02g^B*13:07N+B*14:19^DRB1*12:10+DRB1*14:23
        III
        III
                0.012345679012346
                                         A*02:570+A*24:50^B*13:07N+B*14:19^DRB1*12:10+DRB1*14:23
                         A*02:570+A*29:67^B*13:07N+B*35:54^DRB1*13:121+DRB1*14:23
        NNN
                                         A*02:77+A*66:10^B*14:01+B*15:154^DRB1*16:30+DRB1*16:30
        NTN
                0.333333333333333
                                         A*02:77+A*66:10^B*14:14+B*15:154^DRB1*16:30+DRB1*16:30
A*02:77+A*66:10^B*14:19+B*15:154^DRB1*16:30+DRB1*16:30
        NIN
                0.333333333333333
        NTN
                0.33333333333333
                         A*03:217+A*29:36^B*15:01g+B*35:54^DRB1*11:95+DRB1*14:23
                         A*02:454+A*03:93^B*15:316+B*35:54^DRB1*04:52+DRB1*08:18
        NNN
        NNN
                         A*24:02g+A*30:13^B*18:19+B*39:27^DRB1*13:116+DRB1*14:23
       -F1 genotypes.dat Top L1
                                        (Fundamental)---
For information about GNU Emacs and the GNU system, type C-h C-a.
```

The first column corresponds to the individual's identification number. The second column indicates how ambiguities per single-locus genotypes have been resolved. If no ambiguity occurred or no additional genotypes are formed, the type is N. If an ambiguity occurred and was resolved via building all possible allele combinations, the type is I. Activating the ambiguity filter gives additional types: A, if one matching line in the ambiguity file was found, and M if multiple matching lines were

found. The third column gives the frequency of the genotype and the fourth column the genotype itself. The genotype is saved in the GL format. If an individual's genotype splits into a set of genotypes, each genotype is written to one line starting with the same identification number. The corresponding frequencies become non-integer and sum to one.

The evolution of the stopping criterion and log-likelihood while iterating expectation and maximization steps is written to "run/epsilon.dat". The first column is the stopping criterion and the second one the not normalized log-likelihood.



The inferred haplotypes including estimated frequencies are listed in "run/hfs.dat". Haplotypes are saved in the GL format. This is the file you were aiming at. It is sorted by descending frequency and already normalized if you activated the corresponding option (we did in this tutorial).

```
🥝 🔗 🔗 cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/a
File Edit Options Buffers Tools Help
A*29:25~B*15:101~DRB1*16:30
                                0.060000000000000
A*11:01g~B*40:01g~DRB1*07:56
                                0.04000000000000
A*24:02g~B*15:27~DRB1*14:85
                                0.03000000000000
A*68:70~B*37:19~DRB1*13:52
                                0.030000000000000
A*11:01a~B*15:316~DRB1*11:182
                                0.03000000000000
A*30:13~B*18:12~DRB1*11:147
                                0.025000000000000
A*32:33~B*54:16~DRB1*11:182
                                0.025000000000000
A*03:02g~B*35:32~DRB1*09:02
                                0.02500000000000
A*30:73N~B*14:08~DRB1*14:01
                                0.02013379227088
A*26:04~B*54:16~DRB1*11:182
                                0.020000000000000
A*03:217~B*35:51~DRB1*14:85
                                0.020000000000000
A*03:93~B*35:54~DRB1*04:52
                                0.02000000000000
A*02:454~B*15:316~DRB1*08:18
                                0.020000000000000
A*03:217~B*15:01g~DRB1*11:95
                                0.020000000000000
A*02:570~B*13:07N~DRB1*14:23
                                0.020000000000000
A*66:10~B*15:154~DRB1*16:30
                                0.015000000000000
A*68:70~B*35:32~DRB1*13:66
                                0.015000000000000
A*03:217~B*52:49N~DRB1*08:30
                                0.015000000000000
A*32:73~B*51:114~DRB1*07:56
                                0.015000000000000
A*29:67~B*35:54~DRB1*13:121
                                0.015000000000000
A*03:239~B*27:98~DRB1*03:103
                                0.015000000000000
A*02:258~B*42:14~DRB1*13:121
                                0.015000000000000
A*68:94N~B*39:27~DRB1*03:103
                                0.015000000000000
A*66:10~B*51:01g~DRB1*04:52
                                0.015000000000000
A*02:163~B*37:28~DRB1*16:30
                                0.01500000000000
A*23:06~B*08:145~DRB1*16:30
                                0.015000000000000
-uu-:---F1 estimatedHaplotypeFrequencies.dat
                                               Top L1
                                                           (Fundamental)-----
For information about GNU Emacs and the GNU system, type C-h C-a.
```

#### **Input Format GLC**

This time ambiguities in the genotypic population data are recorded via genotype list strings. The file with the population data is called "populationData\_b.glc". As all the information is in one file, the input format is GLC. Running Hapl-o-Mat works exactly as in the first tutorial. You just use the parameter file "parametersGLC" instead of "parametersMA" and make the appropriate changes. Run Hapl-o-Mat in folder "b" with

./haplomat GLC

```
🧶 🔗 🔗 cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/b
File Edit View Terminal Help
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ ls
a populationData_a.dat populationData_b.glc populationData_c.glid populationData_c.pull cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ mkdir b
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ cd b/
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/b$ cp -r ../../data/ ../../haplomat ../../parametersGLC
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/b$ ls
                 parametersGLC
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/b$ e parametersGLC
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/b$ mkdir run
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/b$ ./haplomat GLC
          Hapl-o-Mat
          Copyright (C) 2016 DKMS gGmbH
#######Initialization
#######Parameters I/O
          Input: ../populationData_b.glc
Output haplotypes: run/haplotypes.dat
Output genotypes: run/genotypes.dat
          Output estimated haplotype frequencies: run/estimatedHaplotypeFrequencies.dat
          Output epsilon and log(L): run/epsilon.dat
########Parameters resolving genotypes
Minimal frequency of genotypes: 1e-05
          Loci with target allele resolutions:
          A : g
```

```
🔕 🤡 🙆 cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/b
File Edit View Terminal Help
File Edit Options Buffers Tools Help
#file names
FILENAME INPUT=../populationData_b.glc
FILENAME HAPLOTYPES=run/haplotypes.dat
FILENAME GENOTYPES=run/genotypes.dat
FILENAME_HAPLOTYPEFREQUENCIES=run/estimatedHaplotypeFrequencies.dat
FILENAME EPSILON LOGL=run/epsilon.dat
#reports
LOCI_AND_RESOLUTIONS=A:g,B:g,DRB1:4d
MINIMAL FREQUENCY GENOTYPES=1e-5
DO AMBIGUITYFILTER=false
EXPAND LINES AMBIGUITYFILTER=false
#EM-algorithm
INITIALIZATION HAPLOTYPEFREQUENCIES=perturbation
EPSILON=1e-6
CUT HAPLOTYPEFREQUENCIES=1e-6
RENORMALIZE HAPLOTYPEFREQUENCIES=true
-uu-:---F1 parametersGLC All L1
                                    (Fundamental)-----
For information about GNU Emacs and the GNU system, type C-h C-a.
```

### **Input Format GL**

Again, ambiguities in the genotypic population data are recorded via genotype list strings. Since the data is saved in two different files, the input format is GL. Follow the steps from tutorial a), but use the parameter file "parametersGL". The file names for the population data populationData\_c.pull" and "populationData\_c.glid". I guess, you can figure out the matching positions in the parameter file. GL input format requires the order of loci as input, which can be obtained by looking in the pull- and glid-file. The first individual from "populationData\_c.pull" has GLids 1, 2, 3, 4, 5, and 6. We know from "populationData\_c.pull" that they correspond to loci B, A, DPB1, DRB1, C, and DQB1, respectively. Because of that set LOCIORDER=B,A,DPB1,DRB1,C,DQB1". Finally, additional option RESOLVE MISSING GENOTYPE to "false". Run Hapl-o-Mat in folder "c" with

./haplomat GL

```
🧶 🔗 🔗 cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/c
File Edit View Terminal Help
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ mkdir c
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ cd c/
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/c$ cp -r ../../data/ ../../haplomat ../../parametersGL
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/c$ e parametersGL
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/c$ mkdir run
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/c$ ./haplomat GL
          Copyright (C) 2016 DKMS gGmbH
########Initialization
          GL format
########Parameters I/0
          Input pull file: ../populationData c.pull
          Input GL-id file: ../populationData_c.glid
Output haplotypes: run/haplotypes.dat
          Output genotypes: run/genotypes.dat Output estimated haplotype frequencies: run/estimated Haplotype Frequencies.dat Output epsilon and \log(L): run/epsilon.dat
########Parameters resolving genotypes
Minimal frequency of genotypes: 1e-05
          Loci with target allele resolutions:
          A : g
          B : g
DRB1 : 4d
          Resolve missing genotypes: no
```

```
🧶 🔗 🚫 cschaefer@tuesrhla03: ∼/Hapl-o-Mat/examplePopulations/c
File Edit View Terminal Help
File Edit Options Buffers Tools Help
#file names
FILENAME_PULL=../populationData_c.pull
FILENAME_GLID=../populationData_c.glid
FILENAME HAPLOTYPES=run/haplotypes.dat
FILENAME GENOTYPES=run/genotypes.dat
FILENAME_HAPLOTYPEFREQUENCIES=run/estimatedHaplotypeFrequencies.dat
FILENAME EPSILON LOGL=run/epsilon.dat
LOCIORDER=B,A,DPB1,DRB1,C,DQB1
LOCI AND RESOLUTIONS=A:g,B:g,DRB1:4d
MINIMAL FREQUENCY GENOTYPES=1e-5
DO AMBIGUITYFILTER=false
EXPAND_LINES_AMBIGUITYFILTER=false
RESOLVE MISSING GENOTYPES=false
#EM-algorithm
INITIALIZATION_HAPLOTYPEFREQUENCIES=perturbation
EPSILON=1e-6
CUT HAPLOTYPEFREQUENCIES=1e-6
RENORMALIZE_HAPLOTYPEFREQUENCIES=true
SEED=0
(Fundamental)------
```

#### **Input Format READ**

Finally, we test the input format READ. Create a folder "d" and copy one file with resolved genotypes, say "a/run/genotypes.dat" there. Add "haplomat" and "parametersREAD" to this folder. Using the input format READ, Hapl-o-Mat does not resolve ambiguities or translates alleles, but reads in already resolved genotype data. Because of that the folder "data" is not required and the parameter file "parameterREADS" misses some options. Just adjust the file names and set parameters for the haplotype frequency estimation. Run Hapl-o-Mat in folder "d" via

```
🔞 🤡 ் cschaefer@tuesrhla03: ~/Hapl-o-Mat/examplePopulations/d
\underline{\textbf{F}} ile \ \underline{\textbf{E}} dit \ \underline{\textbf{V}} iew \ \underline{\textbf{T}} erminal \ \underline{\textbf{H}} elp
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ ls
            populationData_a.dat populationData_b.glc populationData_c.glid populationData_c.pull
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ mkdir d
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations$ cd d/
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/d$ cp ../a/run/genotypes.dat .
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/d$ cp ../../haplomat ../../parametersREAD .
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/d$ e parametersREAD cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/d$ mkdir run
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/d$ ls
genotypes.dat haplomat parametersREAD parametersREAD~ run
cschaefer@tuesrhla03:~/Hapl-o-Mat/examplePopulations/d$ ./haplomat READ
             Hapl-o-Mat
             Copyright (C) 2016 DKMS gGmbH
#######Initialization
             Readin format
#######Parameters I/O
             Input: genotypes.dat
Output haplotypes: run/haplotypes.dat
            Output estimated haplotype frequencies: run/estimatedHaplotypeFrequencies.dat Output epsilon and log(L): run/epsilon.dat
#######Parameters EM algorithm
             Haplotype frequency initialization: perturbation
             Epsilon: 1e-06
             Cut haplotype frequencies: 1e-06
Renormalize haplotype frequencies
             Zero: 1e-14
             Seed: 1461593514120206388
```

# **Quick Guide**

The following overview gives you a small reminder on how to use Hapl-o-Mat:

- 1) Build the executable "haplomat" via make.
- 2) Update the data comprising information on the HLA nomenclature following the instructions under Data Preparation or in the file "prepareData/README".
- 3) Prepare the genotype population data you want to study. Identify how genotyping ambiguities are recorded (NMDP codes or GL strings) and choose the input format

- accordingly. Adapt the format of your data, e.g. include the header line or make alleles TAB separated.
- 4) Set the parameters in the parameter file corresponding to your input format.
- 5) Copy the executable "haplomat", the folder "data", the parameter file, and your input population data into one folder. Create any folders you specified in the parameter file. You do not need all the other files to run Hapl-o-Mat. Run Hapl-o-Mat.