On the Head-Complement combination

Frank Van Eynde

HPSG 2025 Universidade de Lisboa September 2-3, 2025

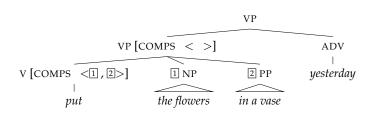
ENGLISH VPS

- (1) a. He [put the flowers in a vase] $_{vp}$
 - b. He [often_{adv} [put the flowers in a vase]_{vp}]_{vp}
 - c. He [[put the flowers in a vase] $_{vp}$ yesterday $_{adv}$] $_{vp}$

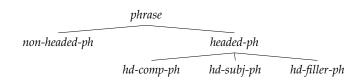
No interspersal of adjuncts and complements

- (2) a. * He put the flowers often in a vase
 - b. * He put the flowers yesterday in a vase

FLAT STRUCTURE



HIERARCHY OF PHRASE TYPES



Abeillé and Borsley (2021, 22)

HEAD-COMPLEMENT

(3)
$$hd\text{-}comp\text{-}ph \Rightarrow \begin{bmatrix} word \\ ss \mid LOC \mid CAT \mid COMPS & \langle 2, \dots, n \rangle \end{bmatrix}$$

$$DTRS & \langle 1, [ss 2], \dots, [ss n] \rangle$$

Abeillé and Borsley (2021, 22)

Empty COMPS Constraint:

(4)
$$phrase \Rightarrow \left[SS \mid LOC \mid CAT \mid COMPS \left\langle \right\rangle \right]$$

Ginzburg and Sag (2000, 33)

"this flat structure appears well motivated for English, but our general theory would allow us to write a Head-Complement Rule for some other language that allows some of the complements to be introduced higher in the tree structure."

Sag et al. (2003, 96)

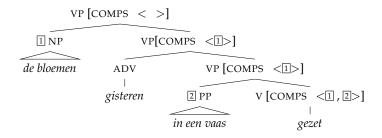
DUTCH VPs

(5) Hij heeft [gisteren [de bloemen in een vaas gezet]_{vp}]_{vp} he has yesterday the flowers in a vase put 'He put the flowers in a vase yesterday.'

Interspersal of adjuncts and complements is allowed.

(6) Hij heeft [de bloemen gisteren in een vaas gezet]_{vp} he has the flowers yesterday in a vase put 'He put the flowers in a vase yesterday.'

BINARY BRANCHING STRUCTURE



HEAD-COMPLEMENT

(7)
$$hd\text{-}comp\text{-}ph \Rightarrow$$

$$\begin{bmatrix} SS \mid LOC \mid CAT \mid COMPS & \boxed{1} \oplus \boxed{2} \\ HEAD\text{-}DTR & \begin{bmatrix} SS \mid LOC \mid CAT \mid COMPS & \boxed{1} \oplus \boxed{3} \oplus \boxed{2} \end{bmatrix} \end{bmatrix}$$

$$NON\text{-}HEAD\text{-}DTRS & \left[\begin{bmatrix} SS & \boxed{3} \end{bmatrix} \right]$$

Müller (2021, 379)

Empty COMPS Constraint does not hold.

THE COMMONLY HELD VIEW

- ► The choice between both versions is an issue of parametric variation.
- ► Head-initial languages use the flat structure variant.
- ► Head-final languages use the binary branching variant.

MY CLAIM

- ► There are languages which use both variants.
- ▶ One of them is Dutch.
- ► This has implications for the hierarchy of phrase types.

DUTCH PPS

- (8) Hij heeft de bloemen [in een vaas]_{pp} gezet. he has the flowers in a vase put 'He has put the flowers in a vase.'
- (9) Ze is aan hoge snelheid [die tunnel in]_{pp} gereden. she is on high speed that tunnel in driven 'She drove at high speed into that tunnel.'

DUTCH PPS

- (10) We hebben nog vaak [aan hem]_{pp} gedacht. we have still often on him thought 'We still thought a lot about him.'
- (11) We hebben nog vaak [daar aan]_{pp} gedacht. we have still often that.R on thought 'We still thought a lot about that.'

er 'it.R', hier 'this.R', daar 'that.R', waar 'what.R', ergens 'something.R', nergens 'nothing.R', overal 'everything.R'

THREE TYPES OF DUTCH ADPOSITIONS

- ► Adpositions that occur in both positions: *in*, *aan*, ...
- ► Adpositions that invariably precede their complement: *te, als, per, sinds, tijdens, ...*
- Adpositions that invariably follow their complement: af, heen, vandaan, ...

Two minimal pairs: *met* vs. *mee* 'with', *tot* vs. *toe* 'to'.

INTERSPERSAL WITH PRE-HEAD ADJUNCTS

- (12) Ze wonen [vlak [naast de autostrade]] they live right next.to the motorway 'They live right next to the motorway.'
- (13) Ze hebben [vlak [daar naast]] een bom gelegd. they have right that.R next a bomb put 'They put a bomb right next to that.'

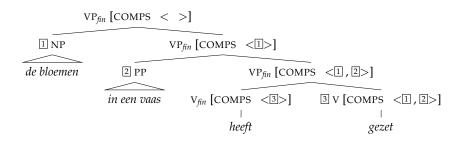
- (14) * Ze wonen [de autostrade [vlak [naast __]]] they live that motorway right next
- (15) Ze hebben [daar [vlak [__ naast]]] een bom gelegd. they have that.R right next a bomb put

COMPLEMENT RAISING

Realization of the complement of a word A in the phrasal projection of a word B that selects A as its complement.

```
(16) ... dat hij de bloemen in een vaas heeft gezet.
... that he the flowers in a vase has put
'... that he put the flowers in a vase.'
```

COMPLEMENT RAISING OUT OF VP



(17)
$$headed-ph \Rightarrow \begin{bmatrix} SYNSEM \mid LOC \mid CAT \mid COMPS & A \oplus B \\ HD-DTR \mid SYNSEM \mid LOC \mid CAT \mid COMPS & B \\ NONHD-DTR \mid SYNSEM \mid LOC \mid CAT \mid COMPS & A \end{bmatrix}$$

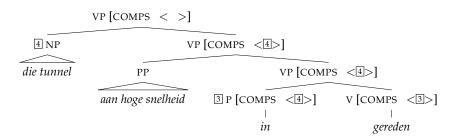
Van Eynde and Augustinus (2014, 160) Van Eynde (2019, 1044)

COMPLEMENT RAISING OUT OF DUTCH PPS

- (18) Ze is [die tunnel] aan hoge snelheid [__ in] gereden. she is that tunnel at high speed in driven
- (19) We hebben daar nog vaak [__ aan] gedacht. we have that.R still often on thought

- (20) * Hij heeft [een vaas] de bloemen [in __] gezet. he has a vase the flowers in put
- (21) * We hebben hem nog vaak [aan __] gedacht. we have him still often on thought

COMPLEMENT RAISING OUT OF PP



ADPOSITIONS WITH A PP COMPLEMENT

- (22) Die huizen zijn nog [van [voor de oorlog]] those houses are still of before the war 'Those house are from before the war.'
- (23) Ze zijn toen [[van dat dak] af] gesprongen they are then from that roof off jumped 'They have jumped from the roof then.'

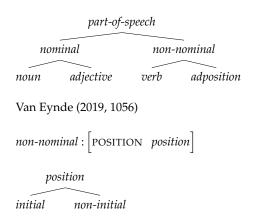
- (24) * Die huizen zijn [voor de oorlog] nog [van __] those houses are before the war still from
- (25) Ze zijn [van dat dak] toen [__ af] gesprongen they are from that roof then off jumped

- (26) Ze zijn daar toen [[_- van] af] gesprongen they are that.R then from off jumped 'They have jumped from that then.'
- (27) * Die huizen zijn daar nog [van [__ voor]] those houses are that.R still from before

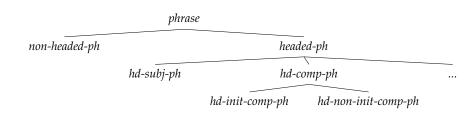
INTERSPERSAL WITH PP ADJUNCTS

- (28) Die huizen zijn [van vlak [daar voor]] those houses are from right that.R before
- (29) Die huizen zijn [van [daar [vlak __ voor]]] those houses are from that.R right before
- (30) * Die huizen zijn [daar [van [vlak __ voor]]] those houses are that.R from right before

THE POSITION FEATURE



HIERARCHY OF PHRASE TYPES



```
(31) head-init-comp-ph \Rightarrow
\begin{bmatrix}
SS \mid LOC \mid CAT & HEAD \mid POSITION & initial \\
COMPS & & \\
\end{bmatrix} \\
HEAD-DTR & \begin{bmatrix} word \\
SS \mid LOC \mid CAT \mid COMPS & \\
\end{bmatrix} \\
DAUGHTERS & \begin{bmatrix} 1, \begin{bmatrix} SS & 2 \end{bmatrix}, \dots, \begin{bmatrix} SS & \boxed{n} \end{bmatrix} \\
\end{bmatrix}
```

(32) head-non-init-comp-ph
$$\Rightarrow$$

$$\begin{bmatrix}
SS \mid LOC \mid CAT \mid COMPS \mid A \\
HEAD-DTR \mid \left[SS \mid LOC \mid CAT \mid COMPS \mid A \mid \oplus \langle 2 \rangle \right] \\
DAUGHTERS \left\langle \left[SS \mid 2 \right], \mid 1 \right\rangle
\end{bmatrix}$$

(33)
$$head\text{-}comp\text{-}ph \Rightarrow \begin{bmatrix} SS \mid LOC \mid CAT \mid SUBJ & A \\ HEAD\text{-}DTR \mid SS \mid LOC \mid CAT \mid SUBJ & A \end{bmatrix}$$

HEAD-INITIAL VPS

Imperatives

- (34) Maak die tas eens open! make that bag once open 'Open that bag'
- (35) * Die tas maak __ eens open that bag make once open

No complement raising

NON-INVERTED FINITE VERBS IN V2 POSITION

- (36) Je leest zulke boeken beter niet. you read such books betetr not 'you'd better not read such books.'
- (37) * Zulke boeken je leest __ beter niet such books you read better not
- (38) Zulke boeken, je leest ze beter niet. such books you read them better not 'Such books, you'd better not read them.'

Dislocation + resumptive pronoun is allowed, complement raising is not.

INVERTED FINITE VERBS

- (39) Lees jij zulke boeken? read you such books 'Do you red such books?'
- (40) Zulke boeken lees ik __ liever niet. such books read I __ rather not 'Such books I'd rather not read.'

Topicalization is allowed, but complement raising is not.

CONCLUSION

- ► Current HPSG models the Head-Complement combination in two different ways: flat structure vs. binary branching structure.
- ► The difference is commonly treated as an instance of parametric variation.
- ► There are languages which employ both variants. One of them is Dutch.

CONCLUSION

- ► Interspersal with pre-head adjuncts and complement raising is possible for head-final Dutch PPs, but not for head-initial Dutch PPs.
- ➤ To model this we use the flat structure version for head-initial PPs and the binary branching version for head-final PPs.
- ► The distinction is also relevant for Dutch VPs.

REFERENCES

- Abeillé, A. and Borsley, R. D. (2021). Basic properties and elements. In Müller, S., Abeillé, A., Borsley, R. D., and Koenig, J.-P., editors, *Head-driven Phrase Structure Grammar. The handbook*, pages 3–45. Language Science Press, Berlin.
- Ginzburg, J. and Sag, I. A. (2000). *Interrogative Investigations*. CSLI Publications, Stanford.
- Müller, S. (2021). Constituent order. In Müller, S., Abeillé, A., Borsley, R., and Koenig, J.-P., editors, *Head-driven Phrase Structure Grammar. The handbook. Volume 2*, pages 369–417. Language Science Press, Berlin.
- Sag, I. A., Wasow, T., and Bender, E. (2003). Syntactic Theory. A Formal Introduction. Second Edition. CSLI Publications, Stanford.
- Van Eynde, F. (2019). Clustering and stranding in Dutch. *Linguistics*, 57:1025–1071.
- Van Eynde, F. and Augustinus, L. (2014). Complement raising, extraction and adposition stranding in Dutch. In Müller, S., editor, Proceedings of the 21st International Conference on Head-driven Phrase Structure Grammar, pages 156–175, Stanford. CSLI Publications.