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# CS20202: Software Engineering

Module M03: Software Development Life Cycle (SDLC)

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# SDLC Goals

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# SDLC Goals

## Sources:

- [Introduction to Software Development Life Cycle: Phases & Models](#)



# Software Development Life Cycle (SDLC)

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- **SDLC** stands for *Software Development Life Cycle*

- Processes to develop, design, & maintain a software project by ensuring that all the functionalities along with user requirements, objectives, and end goals are addressed
- SDLC enhances the software project's quality and the software development process





# Goals of SDLC: Organizational Objectives

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## • Efficiency

- Build the system RIGHT: Do things RIGHT
  - ▷ Windows 98: Crashed on launch
  - ▷ Windows 10: Most popular OS
- Lines of *quality* code produced per man-hour
- Instances of *quality* support provided per man-week
- **Minimize Rework:** *Get it right the first time*

## • Effectiveness

- Build the RIGHT system: Do RIGHT things
  - ▷ Iridium
  - ▷ Tesla
- Lines of *quality* code produced per dollar
- Cost for every instance of support
- **Minimize Rework:** *Get it right the first time*

**Processes ensure Efficiency & Effectiveness**



# Goals of SDLC

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## SDLC is about Process compliance

SDLC has three primary objectives

- [1] Ensure that **high quality systems** are delivered
  - Built to contract (commitment)
- [2] Provide **strong management controls** over the projects
  - Efficiency
  - Effectiveness
  - Process Compliance
  - Cost Control
  - Customer Satisfaction
- [3] **Maximize the productivity** of the systems staff
  - Built by best practices

## Better Quality at Lower Cost



# SDLC Benefits

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## SDLC Benefits



# Benefits of SDLC

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SDLC offers the following benefits

- Address the goals and problems so that the project is implemented successfully
- Project members cannot proceed ahead before completion & approval of the prior stages
- Has necessary checks at each stage so that it is tested with precision before entering the installation stage
- Project members can continue the software development process without incurring any complications
- Optimal control with minimum problems, allowing the project members to run the project smoothly



# SDLC Stages

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# SDLC Stages: Requirement Gathering & Analysis

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## SDLC Stages: Requirement Gathering & Analysis



# SDLC Stage 1: Requirement Gathering & Analysis Phase

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Communication between

- stakeholders
- end-users
- project teams

for requirements are gathered from customers

- functional
- non-functional

*This Phase of SDLC involves:*

- Analysis of functionality and financial feasibility
- Identifying and capturing requirements of stakeholders through customer interactions like interviews, surveys, etc.
- Documenting all product requirements in a *Software Requirements Specification* (SRS) from customer
- Creating project prototypes



# SDLC Stages: Design

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## SDLC Stages: Design



# SDLC Stage 2: Design Phase

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Architectural design is proposed based on the SRS Document requirements and its refinements

*This Phase of SDLC involves:*

- Separation of requirements
  - hardware and software system
  - functional and non-functional
- Designing the system architecture based on gathered requirements
- Creating *Unified Modelling Language* (UML) diagrams like use cases, class diagrams, sequence diagrams, and activity diagrams
- Creating
  - *High Level Design* (HLD)
  - *Low Level Design* (LLD)



# SDLC Stages: Development

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## SDLC Stages: Development



# SDLC Stage 3: Development Phase

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Codes are written, and system is developed and built.

*This Phase of SDLC involves:*

- Actual code is written
- Demonstration of accomplished work presented before a Business Analyst for further modification of work
- Unit testing is performed, that is, verifying the code based on requirements



# SDLC Stages: Testing

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## SDLC Stages: Testing



# SDLC Stage 4: Testing Phase

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- Almost all stages of SDLC involves the testing strategy
- SDLC's testing phase refers to checking, reporting, and fixing the system for any bug/defect
- The on-going project is migrated to a test environment where different testing forms are performed
- Continues until the project has achieved the quality standards, as mentioned in the SRS

*This Phase of SDLC involves:*

- Testing the system as a whole
- Performing different types of test in the system
- Reporting and fixing all forms of bugs & defects



# SDLC Stages: Deployment & Maintenance

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## SDLC Stages: Deployment & Maintenance



# SDLC Stage 5: Deployment & Maintenance Phase

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- Ready to be launched
- May be initially released for limited users by testing it in a real business environment for *User Acceptance Testing (UAT)*

*This Phase of SDLC involves:*

- The system is ready for delivery
- The system is installed and used
- Errors are rectified that might have been previously missed
- Enhancing the system inside a data center



# How Software Projects Fail?

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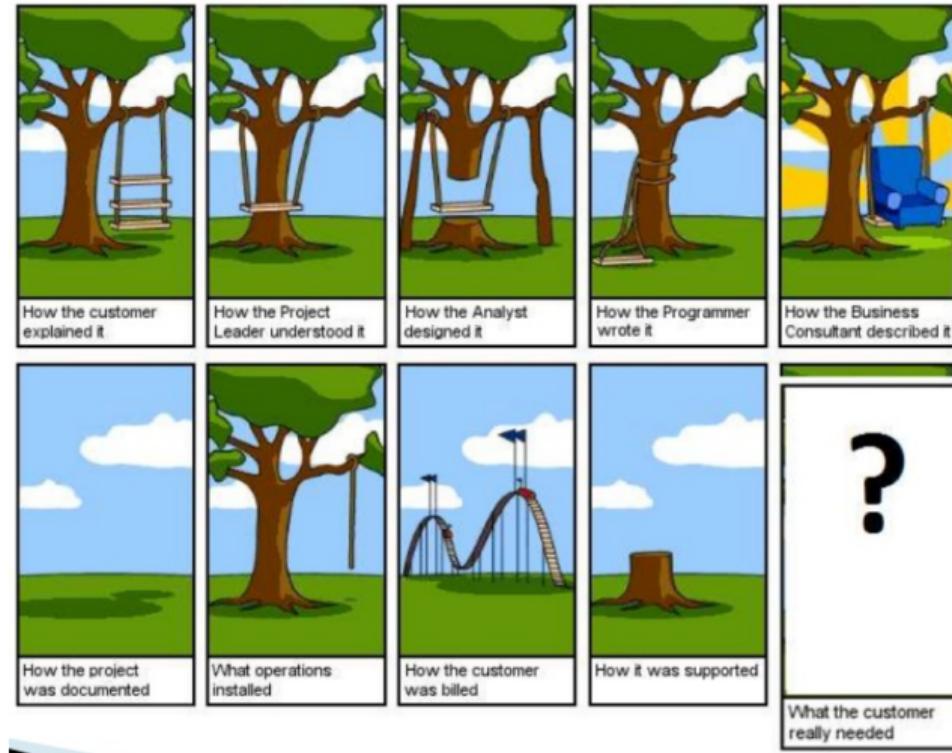
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## Sources:

- [8 Software Development Models: Sliced, Diced and Organized in Charts](#)
- [SDLC Models Explained: Agile, Waterfall, V-Shaped, Iterative, Spiral](#)
- [SDLC - Quick Guide](#)
- [Introduction to SCRUM](#)
- [SDLC \(Software Development Life Cycle\) Phases, Methodologies, Process, And Models](#)
- [CHAOS: A Recipe for Success](#)
- [An Introduction to Test Driven Development](#)
- [Wikipedia::Test-driven development](#)

# SDLC Models



# SDLC Models

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Various SDLC models are defined and designed to follow the software development process. These models are also known as Software Development Process Models. Each of these models follows a series of steps for ensuring the complete success of a project

*Some of the most popular SDLC models used for software development include:*

- [1] Waterfall Model
- [2] Iterative-Incremental Model
- [3] V Shaped Model
- [4] Rapid Action Development (RAD) Model
- [5] Spiral Model
- [6] Agile Model
- [7] Test-Driven Development (TDD) Model



# SDLC Model Timelines

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Decade	Methodology
1950s	Code & Fix
1960s	Design-Code-Test-Maintain
1970s	Waterfall Model
1980s	Spiral Model
1990s	Rapid Application Development, V Model, TDD
2000s	Agile Methods



# Popular SDLC Models

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- 
- The types in the *lower quadrants* of the chart take the *sequential flow*. They are easy to implement, use and manage. As you *move higher*, the process becomes *less rigid and offers more flexibility* when it comes to changes in the requirements for future software
  - The models on the *left side* of the chart imply *low customer involvement*; as you move *toward the right side*, the models grow more *cooperative* and include customers into different stages of software development life cycle more intensively
  - RUP ⇒ Rational Unified Process
  - Kanban (Japanese: meaning signboard or billboard) ⇒ Kanban (development), a kind of Agile



# SDLC Models: Waterfall

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## SDLC Models: Waterfall



# Waterfall Model

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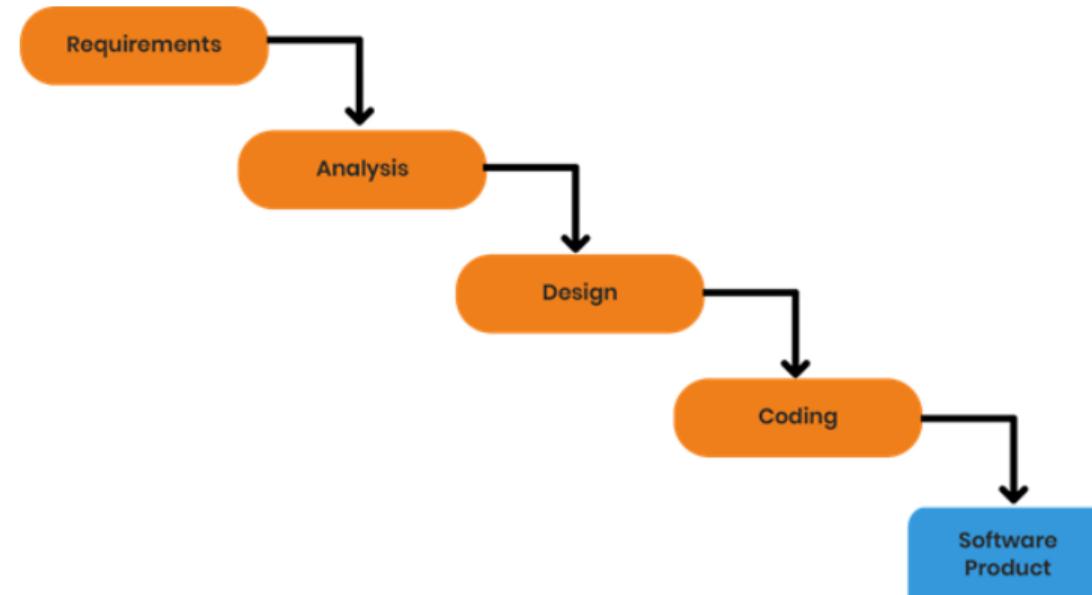
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# Waterfall Model

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- Strengths of the Waterfall Model
  - Easy to understand and use
  - Achievements are well-defined
  - Defines requirements stability
  - Works well when the project quality is important
- Weaknesses of the Waterfall Model
  - It cannot match reality well
  - Difficult to make changes
  - Software delivered towards the end of the project only
  - Testing begins only after the development phase is complete

**Customer at the START and END only**  
*RIGHT recipe to build a WRONG system*



# Waterfall Model

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Works when:

- Software projects that are stable
- Unchanging requirements
- Where it is possible that the designers will be able to fully predict problems
- Where it is possible that the designers produce a *correct* design



# Waterfall Model: Fail-late Lifecycle

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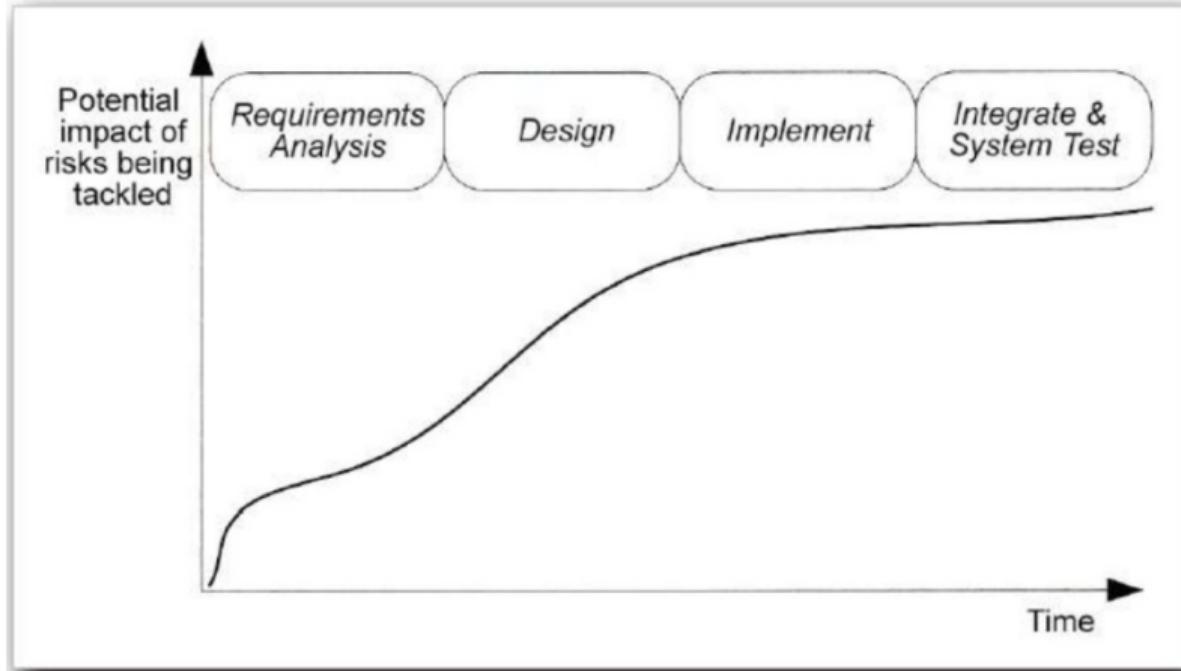
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Source: *Introduction to SCRUM*



# Waterfall Model: Fail-late Lifecycle

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- A bug found in early stages is cheaper in money, effort, and time, to fix than the same bug found later on in the process
- An early defect that is left undetected until development or maintenance is estimated to cost 50 to 200 times as much to fix as it would have cost to fix at requirements time

**Source:** *Introduction to SCRUM*



# SDLC Models: Iterative-Incremental

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## SDLC Models: Iterative-Incremental



# Iterative-Incremental Model

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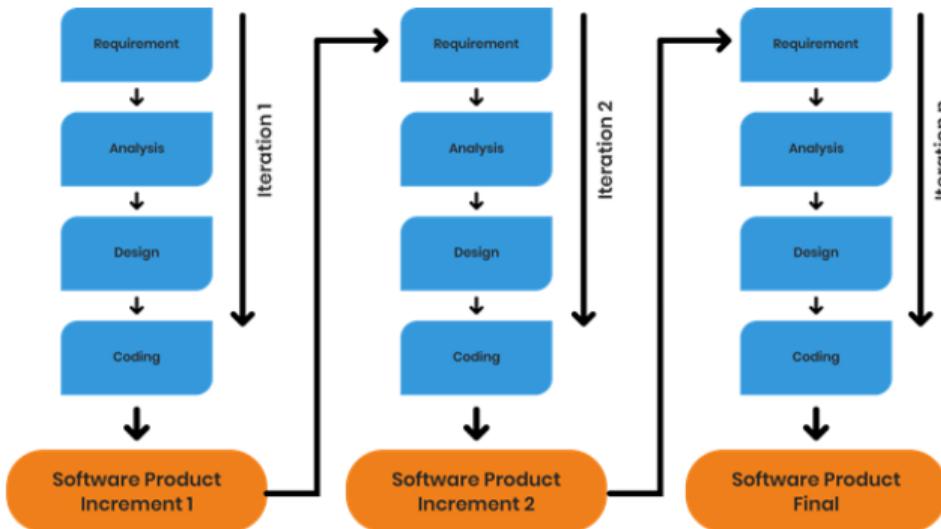
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- First, a partial implementation of the complete system is built in deliverable form
- Increased functionalities are added and for any defects, they are fixed with the working product delivered at the end
- This process is repeated until the product development cycle gets completed
- With each **iterations** (repetition), a product increment gets delivered





# Iterative-Incremental Model

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- Strengths of the Iterative-Incremental Model
  - Prioritized requirements can be initially developed
  - The initial delivery of the product is faster
  - Lower initial delivery costs
  - Changes in requirements can be easily adjusted
- Weaknesses of the Iterative-Incremental Model
  - There are requirements for effective iterations planning
  - Efficient design is required for including the required functionalities
  - An early definition of a complete, as well as fully functional system, is needed for allowing increments definition
  - Clear module interfaces are required

**Customer at the START and END of Iterations only**  
*Better than Waterfall*



# SDLC Models: V-Shaped

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# SDLC Models: V-Shaped



# V-Shaped Model

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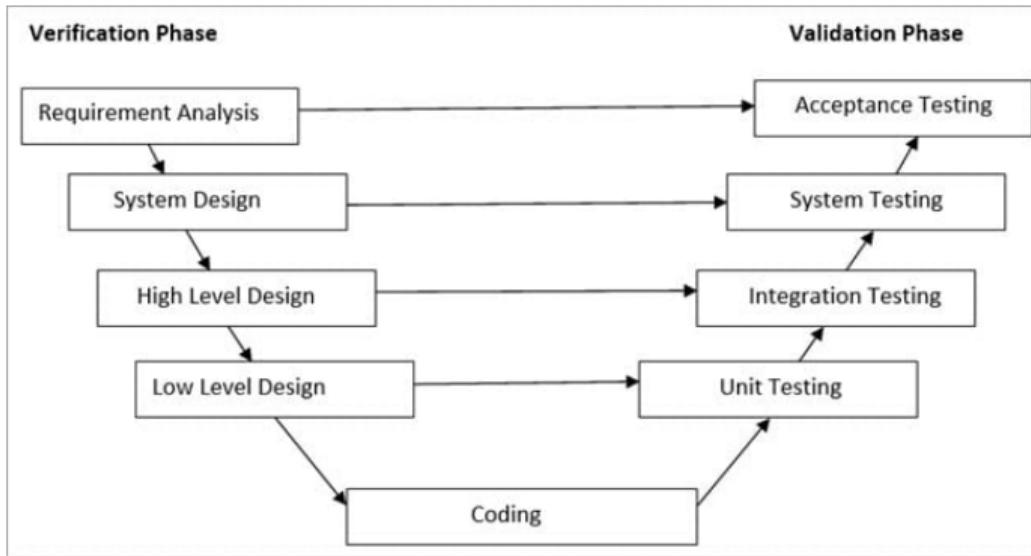
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V- Model is also known as **Verification** and **Validation** Model where Verification & Validation goes hand in hand – development and testing goes parallel. V model & Waterfall are same except that test planning & testing start at an early stage in V-Model



**Source:** SDLC (Software Development Life Cycle) Phases, Methodologies, Process, And Models

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# V-Shaped Model

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- Strengths of the V-Shaped Model
  - It is a simple and easily understandable model
  - V-model approach is good for smaller projects wherein the requirement is defined and it freezes in the early stage
  - It is a systematic and disciplined model which results in a high-quality product
- Weaknesses of the V-Shaped Model
  - V-shaped model is not good for ongoing projects
  - Requirement change at the later stage would cost too high

**Customer at the START and END only**  
*Works well for small & new projects*

**Source:** *SDLC (Software Development Life Cycle) Phases, Methodologies, Process, And Models*



# SDLC Models: RAD

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## SDLC Models: RAD



# Rapid Application Development (RAD) Model: Prototype Model

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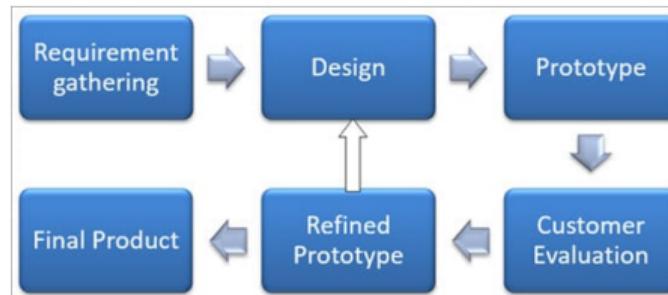
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- RAD is a refinement of the **Prototype** model in which the prototype is developed prior to the actual software
- Prototype models have limited functional capabilities and inefficient performance when compared to the actual software
- Dummy functions are used to create prototypes
- Prototypes are built prior to the actual software to get feedback from the customer
- Feedbacks are implemented and the prototype is reviewed by the customer for change
- This process goes on until the model is accepted by the customer



**Source:** SDLC (Software Development Life Cycle) Phases, Methodologies, Process, And Models



# Rapid Application Development (RAD) Model

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The RAD SDLC model is based on **prototyping** and **iterative development**, with no involvement of a defined planning structure. In this model, different function modules are parallelly developed as prototypes and then integrated to speed up product delivery





# Rapid Application Development (RAD) Model

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Iterative-Incremental

V-Shaped

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- Strengths of the RAD Model

- Reduced cycle time and enhanced productivity with minimal team members
- Customer's continuous involvement ensures minimal risks of not achieving customer satisfaction
- Easy to accommodate any user changes

- Weaknesses of the RAD Model

- Hard to use and implement with legacy systems
- Heavily dependent on technically strong members for identifying business requirements

## Customer at the Prototyping

*Works well for fast development priorities  
(Smaller development)  
(Development for estimation)*



# SDLC Models: Spiral

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## SDLC Models: Spiral



# Spiral Model

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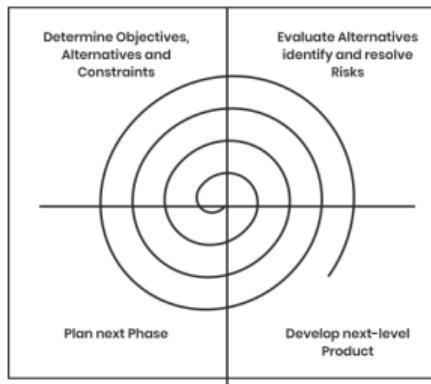
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The spiral model combines **risk analysis** with **RAD prototyping** to the **Waterfall model**.

The loops in the model represent the phase of the SDLC process – the innermost loop is of requirement gathering & analysis which follows the Planning, Risk analysis, development, and evaluation. Next loop is Designing followed by Implementation & then testing



The spiral model has 4 quads:

- [1] Determine Objectives, Alternatives and Constraints (Quad 1: *Planning*)
- [2] Evaluate Alternatives, Identify and Resolve Risks (Quad 2: *Risk Analysis*)
- [3] Develop Next-Level Product (Quad 3: *Engineering*)
- [4] Planning the Next Phase (Quad 4: *Evaluation*)



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- Strengths of the Spiral Model

- An early indication of the risks can be provided, without incurring much cost
- Users can have a look at their system early due to RAD
- Users are involved in all lifecycle stages
- Critical & high-risk functionalities are initially developed

- Weaknesses of the Spiral

- Hard to set the objectives, verifiable milestones for indicating preparedness to go ahead with the next iteration
- Time spent on addressing risks can be large for smaller & low-risk involved projects
- Complex to understand for new members
- The spiral may go on indefinitely

**Customer at every SPIRAL round**

*Improves Quality, Reduces Rework  
May slow down development*



# SDLC Models: Agile

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# SDLC Models: Agile



# Agile Model

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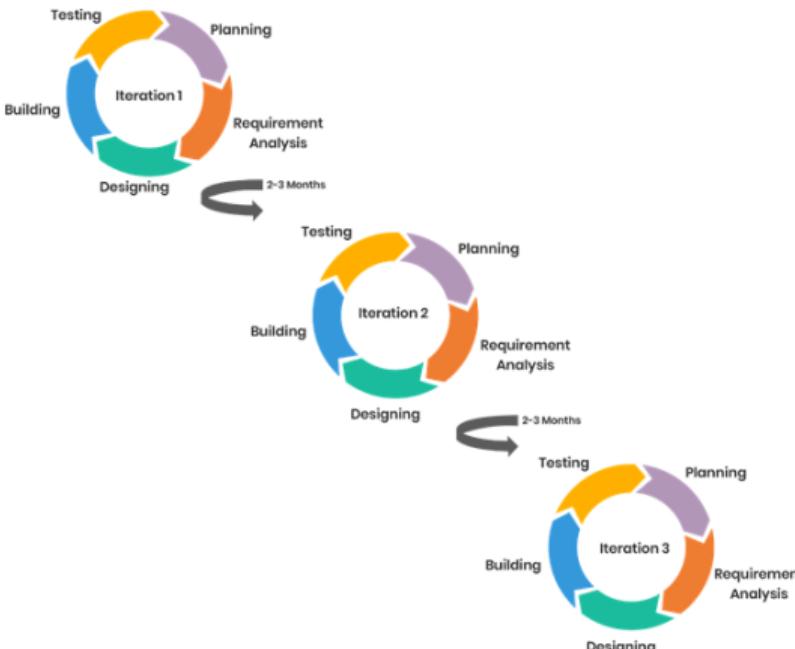
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- Strengths of the Agile Model
  - Easy to accommodate changing requirements
  - Regular communication takes place between customers and developers
  - Functionalities can be developed quickly and demonstrated to customers
- Weaknesses of the Agile Model
  - Not ideal for handling complex dependencies
  - Teams need to have the desired experience levels for adhering method rules

## Customer in the LOOP

*Improves Quality, Reduces Rework  
May slow down development*



# Agile Approach: Fail-early Lifecycle

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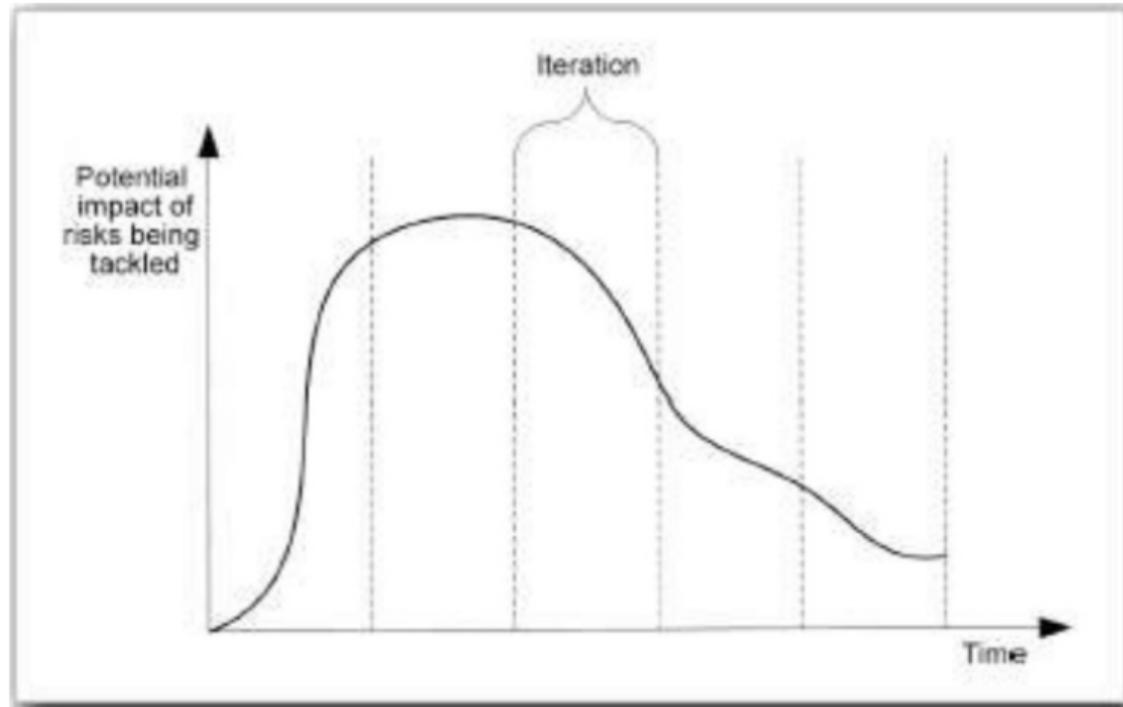
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Source: *Introduction to SCRUM*



# SDLC Models: Agile: CHAOS

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## SDLC Models: Agile: CHAOS



# CHAOS Ten

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*The Standish Group* annually publishes **CHAOS Report** (*Comprehensive Human Appraisal for Originating Software*) from 1994

- Original CHAOS study identified 10 success factors
- No project requires all 10 factors to be successful, but the more factors, the higher confidence level

Success Factor	Point
User Involvement	20
Executive Management Support	15
Clear Business Objectives	15
Experienced Project Manager	15
Small Milestones	10
Firm Basic Requirements	5
Competent Staff	5
Proper Planning	5
Ownership	5
Others	5

**Source:** *CHAOS: A Recipe for Success*

Software Engineering

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# SDLC Models: TDD

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# SDLC Models: TDD



# Test Driven Development

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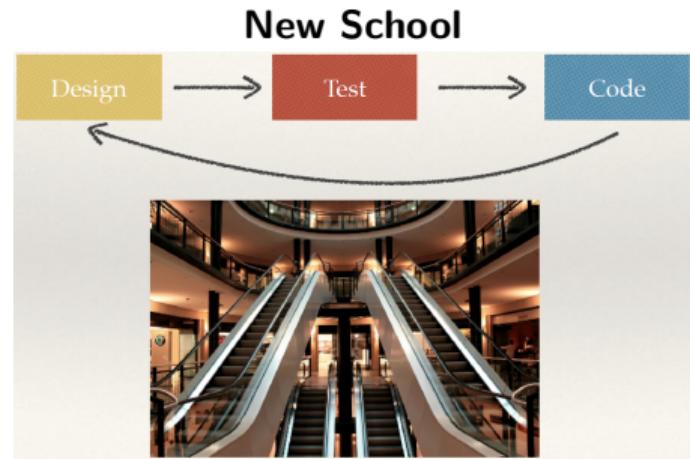
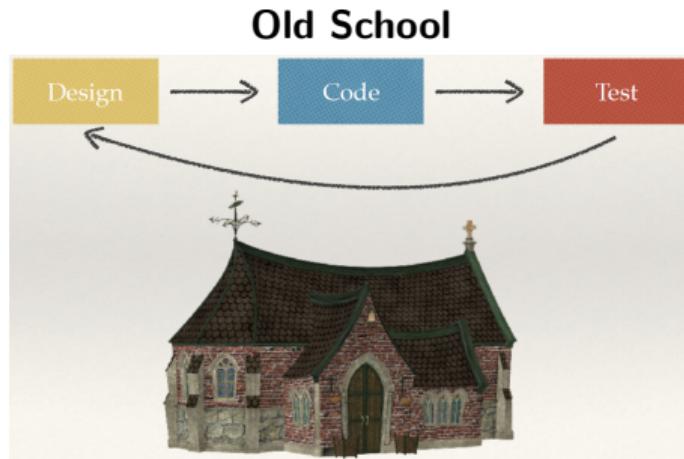
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**Source:** *An Introduction to Test Driven Development*



# Test Driven Development

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- **Test-Driven Development** (TDD) is a technique for building software that guides software development by writing tests. (Martin Fowler's definition)
- TDD is NOT primarily about testing or development (that is, coding)
- It is rather about design – where design is evolved through refactoring
  - Developers write unit tests (NOT testers) and then code
- In TDD, tests mean *Unit Tests*
- When unit tests are written in a project, TDD may not be followed – tests could be written after the writing code: *Plain Old Unit testing* (POUting)
  - *Following TDD, we write the tests first before writing the code*
- **Disadvantages in writing tests after code**
  - Testing does not give direct f/b to design and programming ⇒ in TDD, the f/b is directly fed back into improving design & programs
  - Often, after realising the functionality in code, unit testing is omitted ⇒ TDD inverts this sequence and helps create unit tests first
  - Writing tests after developing code often results in **happy path** testing ⇒ we don't have enough granular or **testable** code segments to write the tests



# TDD Mantra

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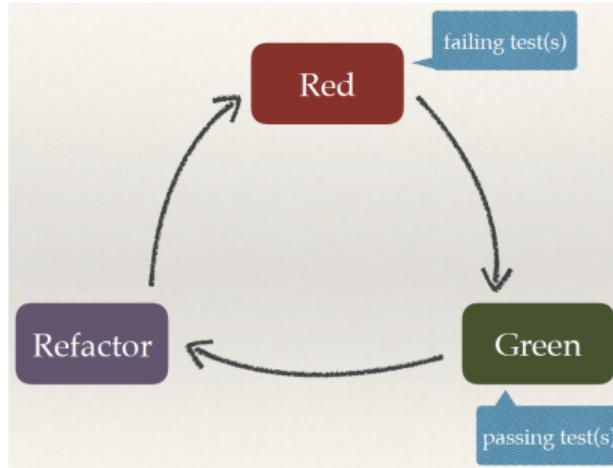
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- **Red:** Write a little test that doesn't work, perhaps doesn't even compile at first
- **Green:** Make the test work quickly, committing whatever sins necessary in the process
- **Refactor:** Eliminate all the duplication and smells created in just getting the test to work

**Source:** *An Introduction to Test Driven Development*



# TDD Laws

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Best Practice

## Make it green, then make it clean!

Three Laws of TDD:

- **Law 1:** You may not write production code unless you've first written a failing unit test
- **Law 2:** You may not write more of a unit test than is sufficient to fail
- **Law 3:** You may not write more production code than is sufficient to make the failing unit test pass

**Source:** *An Introduction to Test Driven Development*



# TDD Global Lifecycle

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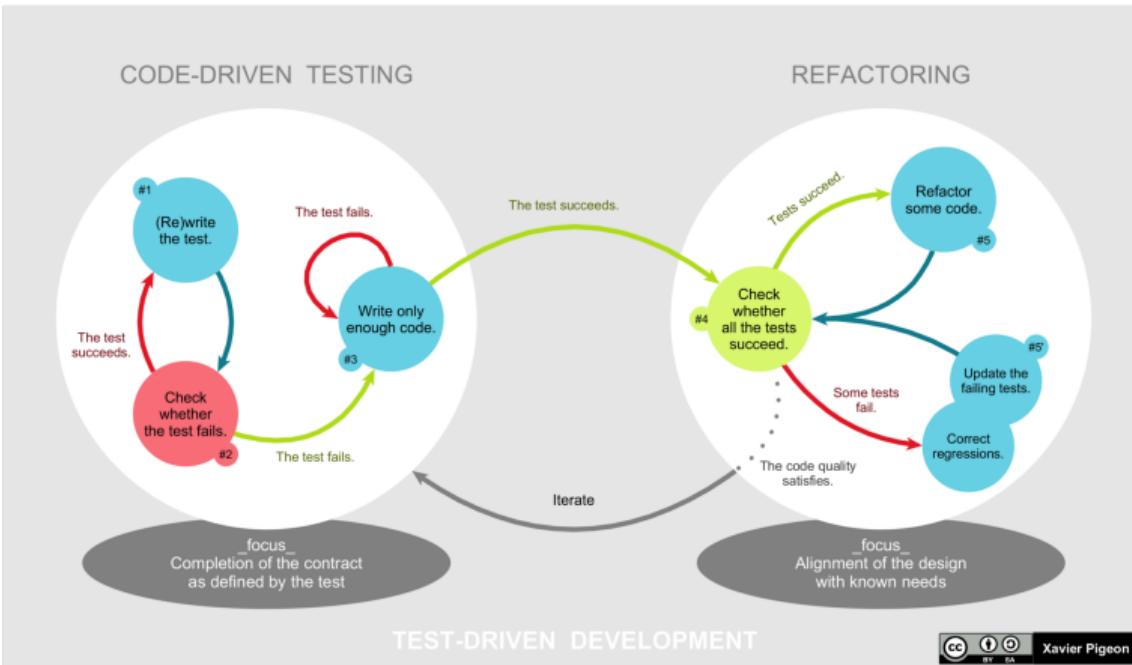
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Source: Wikipedia::Test-driven development

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# TDD Benefits

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Benefit	Reason
<b>Better Design</b>	Cleaner code (because of refactoring)
<b>Safer refactoring</b>	Increased quality
<b>Better code coverage</b>	Tests serve as documentation
<b>Faster debugging</b>	Most often, the failing code / test is in the most recently changed code
<b>Self-documenting tests</b>	Test-cases show / indicate how to use the code

Source: *An Introduction to Test Driven Development*



# TDD Infrastructure

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Language / Framework	TDD
Java	<i>JUnit</i>
C++	<i>CppUnit</i>
Python	<i>pytest, unittest</i>
D / Dlang	<i>Unit Tests</i>
.Net	<i>xUnit.net</i>
Unit Testing Framework	<i>xUnit</i>

## Examples in Dlang:

```
class Sum {  
    int add(int x, int y) { return x + y; }  
  
    unittest {  
        Sum sum = new Sum;  
        assert(sum.add(3,4) == 7);  
        assert(sum.add(-2,0) == -2);  
    }  
}  
-----  
void myFunc(T)(T[] data) {  
    if (data.length > 2) data[0] = data[1];  
}  
@safe nothrow unittest {  
    auto arr = [1,2,3];  
    myFunc(arr);  
    assert(arr == [2,2,3]);  
}
```



# Agile Model

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# Agile Model

## Sources:

- The Extreme Programming (XP) Model
- Introduction to SCRUM
- CSE 403 Lecture Slides - Washington



# Agile Model: Fix TIME and BUDGET. Vary SCOPE

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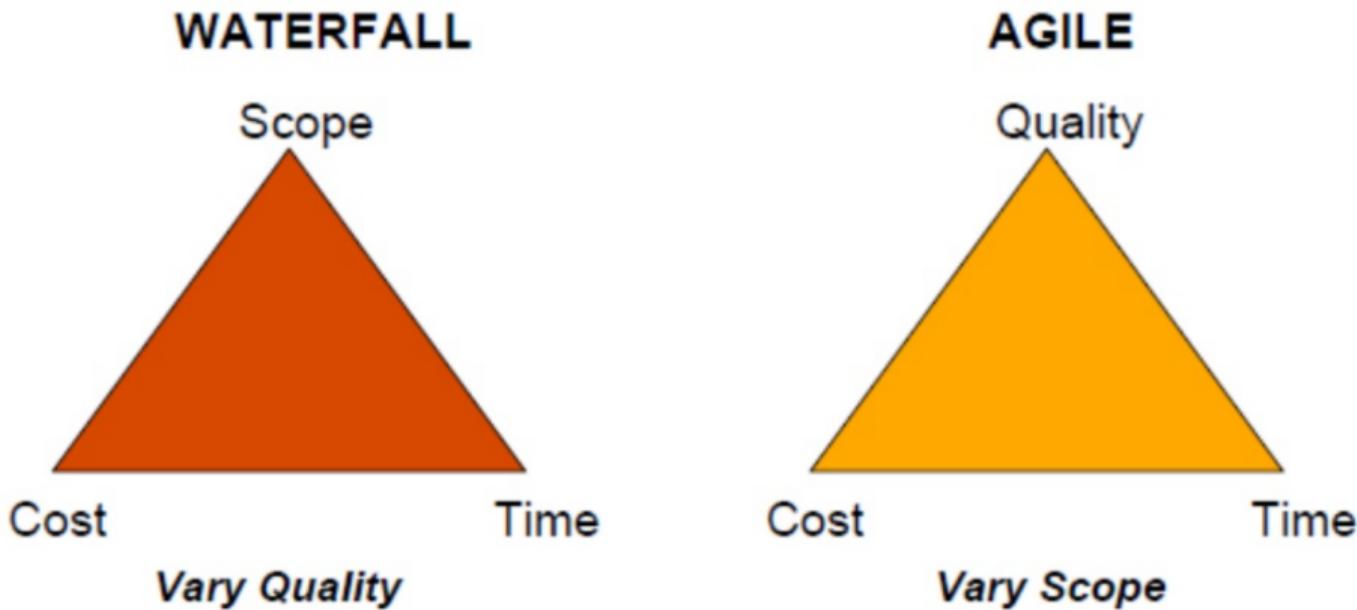
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Source: *Introduction to SCRUM*



# Agile Model: Planning Drivers

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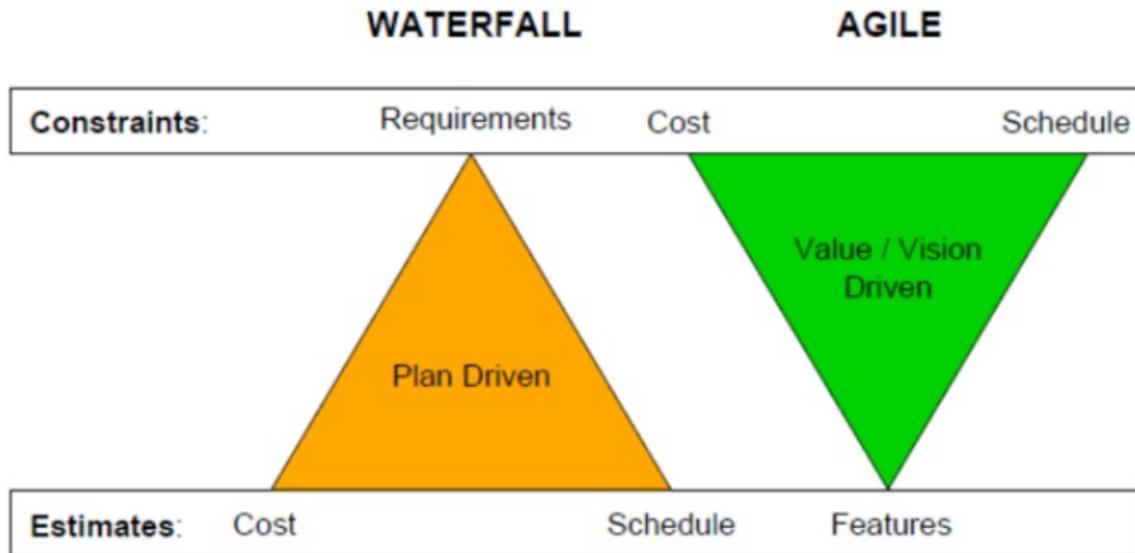
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Source: *Introduction to SCRUM*



# Agile Methods

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- Agile Modeling
- Agile Unified Process (AUP)
- Dynamic System Development Method (DSDM)
- Essential Unified Process (EssUP)
- **eXtreme Programming (XP)**
- Feature Driven Development (FDD)
- Open Unified Process (OpenUP)
- Rational Unified Process (RUP)
- **Scrum**
- Velocity Tracking

Source: *Introduction to SCRUM*



# Agile Model: XP

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# Agile Model: XP



# eXtreme Programming: Overview

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- XP is an Agile Model
- Created by Kent Beck during his work on the C3 project when he became the project leader in 1996
- Kent wrote a book on the methodology: *Extreme Programming Explained: Embrace Change* (October 1999)
- Kent is a leading proponent of TDD

Source: *The Extreme Programming (XP) Model*



# eXtreme Programming: Planning / Feedback Loop

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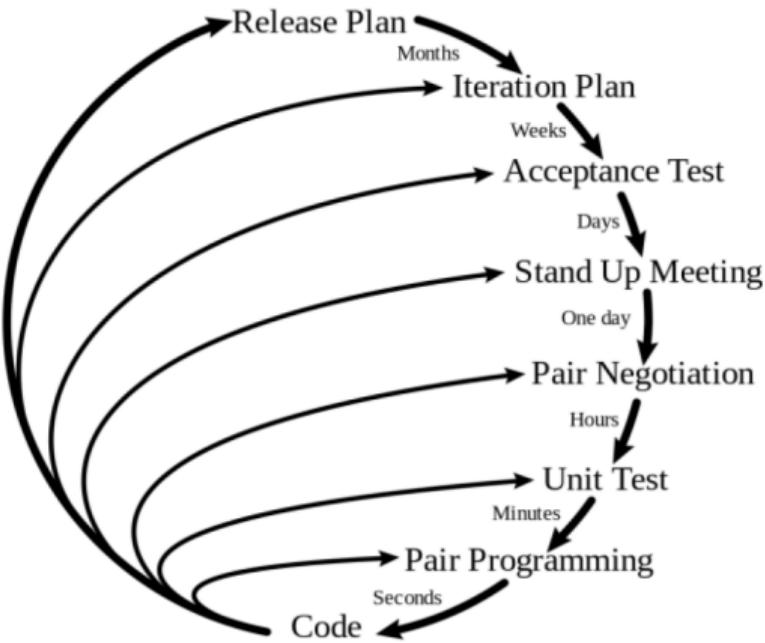
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Source: *The Extreme Programming (XP) Model*



# eXtreme Programming

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- Start with the **Planning Game**:
- The *game is a meeting* that:
  - Occurs once per iteration
  - Typically once a week
- The *planning process* is divided into two parts:
  - *Release Planning*:
    - ▷ This is focused on determining what requirements are included in which near-term releases, and when they should be delivered.
    - ▷ The customers and developers are both part of this
  - *Iteration Planning*:
    - ▷ This plans the activities and tasks of the developers.
    - ▷ In this process the customer is not involved.

Source: *The Extreme Programming (XP) Model*



# eXtreme Programming: Practices (12): Management (3)

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## • Management-Practices

- *On-Site Customer*

- ▷ A central customer contact must always be accessible in order to clarify requirements and questions directly

- *Planning Game*

- ▷ Projects, in accordance with XP, run iteratively (repeatedly) and incrementally (gradually build on each other)
  - ▷ The contents of the next step are planned before each iteration
  - ▷ All project members (including the customer) participate

- *Short Releases*

- ▷ New deliveries should be made at short intervals
  - ▷ Customers receive the required functions quicker and can therefore give feedback on the development quicker

Source: *The Extreme Programming (XP) Model*



# eXtreme Programming: Practices (12): Team (5)

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- **Team-Practices**

- *Metaphor*

- ▷ Only a few clear metaphors should describe the system for better clarity

- *Collective Ownership*

- ▷ The whole team is responsible for the system, not individuals
    - ▷ Each developer must have access to all lines of code
    - ▷ Each developer is able to take over the task of another developer

- *Continuous Integration*

- ▷ All changes to the system are integrated promptly so that not too many dependencies between changes occur

- *Coding Standards*

- ▷ There should be a common standard for writing the code

- *Sustainable Pace*

- ▷ XP builds on the creativity of the individual project members
    - ▷ Creativity cannot be achieved by constantly working overtime
    - ▷ Overtime is to be avoided



# eXtreme Programming: Practices (12): Programming (4)

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## • Programming-Practices

- *Testing*
  - ▷ All developments must be tested
  - ▷ TDD is preferred
- *Simple Design*
- *Refactoring*
  - ▷ As soon as it is necessary to alter the structure of the system, it should be implemented
  - ▷ Needed for TDD as well
- *Pair Programming*
  - ▷ Two programmers work together at one workstation
  - ▷ One, the **driver**, writes code while the other, the **observer** or **navigator**, reviews each line of code as it is typed in.
  - ▷ The two programmers switch roles frequently
  - ▷ Observer also considers the *strategic* direction of the work, ideas for improvements and likely future problems to address
  - ▷ Driver focuses on the *tactical* aspects of completing the current task, using the observer as a safety net and guide



# eXtreme Programming

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- Strengths of XP

- Large project are divided into manageable amounts
- Reduced costs and time required for project realization
- XP teams saves money because they don't use limited documentation
- Simplicity is another advantage of XP projects
- Simplicity of XP leads to faster completion with less defects
- XP is reduces the risks related to programming – using module structure, and pair programming to spreads the risk and mitigate the dependence on individuals
- TDD at the coding stage and the customer UAT validation leads to successful development completion

- Weaknesses of XP

- XP is focused on the code rather than on design
- XP requires a detailed planning from the start due to changing costs & scope
- XP doesn't measure/plan Quality Assurance of coding
- Developers' comfort is low, requires more discipline in the team and devotion of customers
- Project management might experience difficulties related with the practice that changes during the life cycle
- XP is practiced with pair programming which might usually lead to too much duplication of codes and data

Source: *The Extreme Programming (XP) Model*

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# Agile Model: SCRUM

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## Agile Model: SCRUM



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## Sweep



## Reverse Sweep



## Waterfall



## SCRUM



# SCRUM

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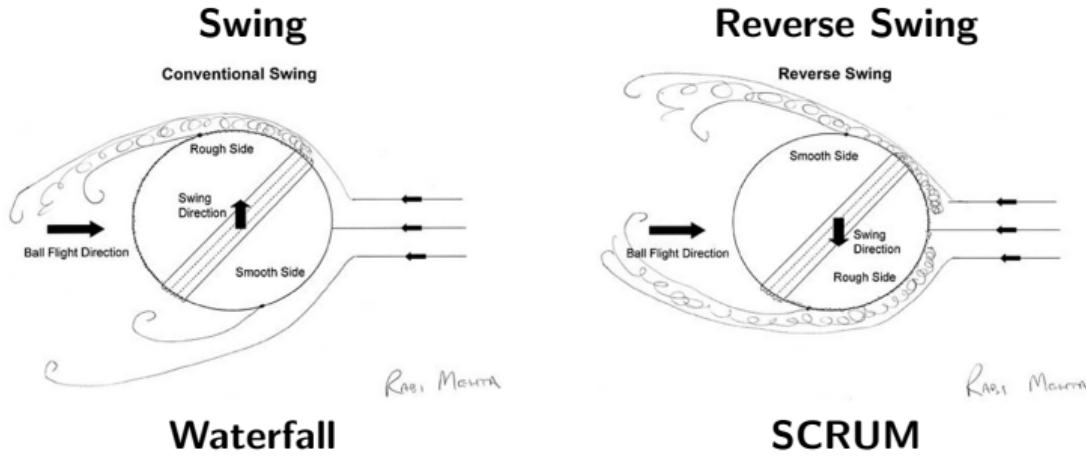
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SCRUM



A **scrum** (short for *scrummage*) is a method of restarting play in rugby football that involves players packing closely together with their heads down and attempting to gain possession of the ball. ... Both teams may then try to compete for the ball by trying to hook the ball backwards with their feet.

**Source:** *CSE 403 Lecture Slides - Washington*