



Unsupervised Learning & Generative Adversarial Networks

DL4DS – Spring 2024

April Dates



Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	April 1	2	3	4 GANs 	5	6
7	8	9 VAEs	10 Discussion	11 Diffusion Models	12	13
14	15	16 Graph Neural Nets	17 Discussion	18 Reinforcement Learning	19	20
21	22	23 TBD/Overflow	24 Discussion	25 ★ Project Presentations 1 ★ 	26	27
28	29	30 ★ Project Presentations 2 ★ 	May 1 Discussion??	2 Study Period	3 Study Period	4
5	6 Final Exams	7	8	9	10 Final report & Repo ** 	11

** Might be earlier. Depends on when grades are due.

Project Presentations

Looking for volunteers for April 25.

Then I will randomly draw remainder of April 25 spots.

Format:

≤ 3 minutes screencast/video
≤ 2 minutes additional presentation
~2 minutes Q&A

April 25 – 75 minutes

- Slot 1
- Slot 2
- Slot 3
- Slot 4
- Slot 5
- Slot 6
- Slot 7
- Slot 8

April 30 – 75 minutes

- Slot 9
- Slot 10
- Slot 11
- Slot 12
- Slot 13
- Slot 14
- Slot 15
- Slot 16
- Slot 17

Up to this point...

- we looked at *discriminative supervised learning* models
- Exceptions:
 - Transformers pretrained *unsupervised* (then usually finetuned *supervised*)
 - and the Transformer decoder which *generated* text

Supervised  Unsupervised

Discriminative  Generative

Supervised vs. Self/Unsupervised Learning

Supervised Learning

Data: (x, y)

x is data, y is a label

Goal: Learn function to map

$$x \rightarrow y$$

Applications: Classification, regression, object detection, semantic segmentation, etc.

Self/Unsupervised Learning

Data: x

x is data, no labels!

Goal: Learn the hidden or underlying structure of the data.

Applications: Clustering, dimensionality reduction, compression, find outliers, generating new examples, denoising, interpolating between data points, etc.

Supervised vs. Self/Unsupervised Learning

Supervised Learning

Data: (x, y)

x is data, y is a label

Goal: Learn function to map

$$x \rightarrow y$$

Applications: Classification, regression, object detection, semantic segmentation, etc.

Self/Unsupervised Learning

Data: x

x is data, no labels! Or labels part of the data

Goal: Learn the hidden or underlying structure of the data.

Applications: Clustering, dimensionality reduction, compression, find outliers, generating new examples, denoising, interpolating between data points, etc.

We'll consider two attributes of models

- Probabilistic Models
- Latent Variable Models

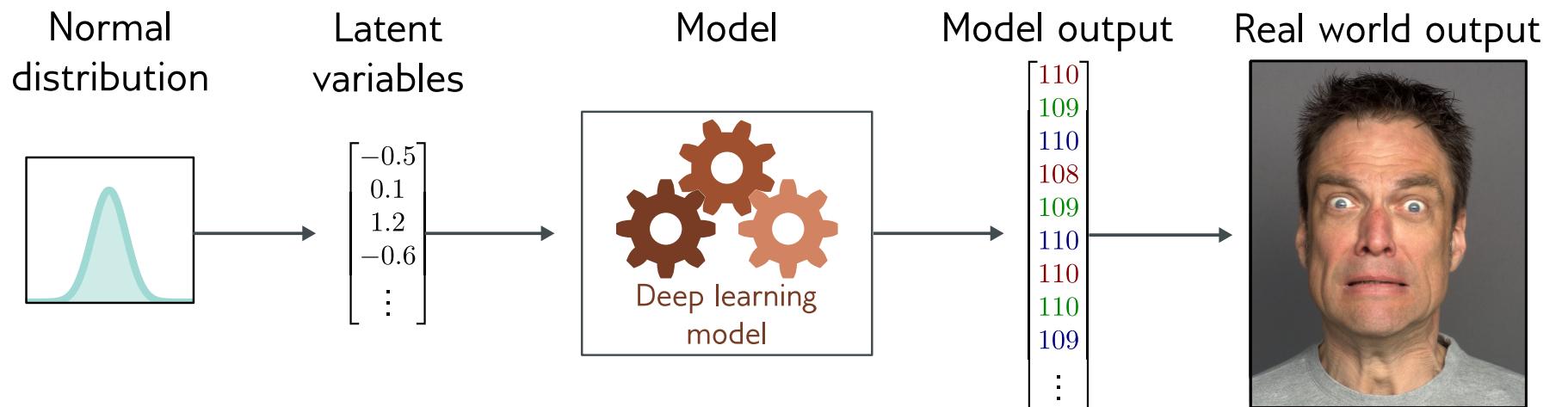
Probabilistic models

- Maximize log likelihood of training data

$$\hat{\phi} = \operatorname{argmax}_{\phi} \left[\sum_{i=1}^I \log[\Pr(x_i | \phi)] \right]$$

- Find the parameters, ϕ , of some parametric probability distribution so that the training data is most likely under that distribution

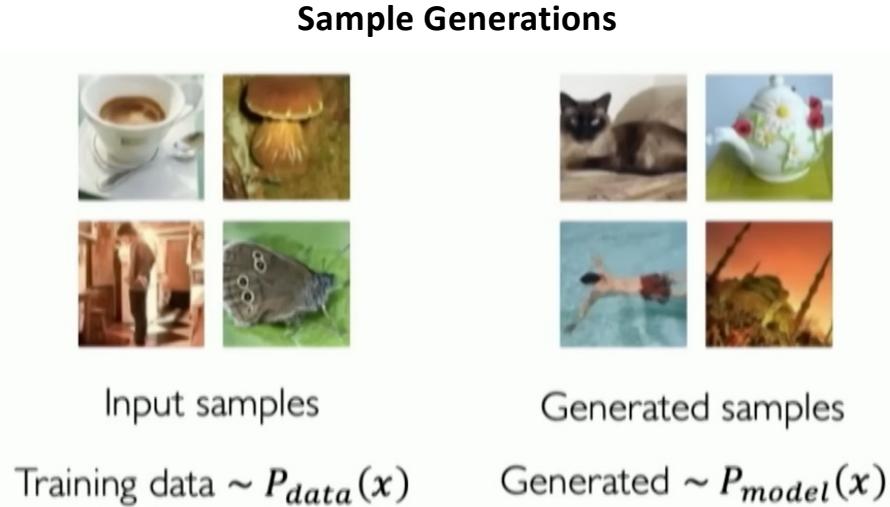
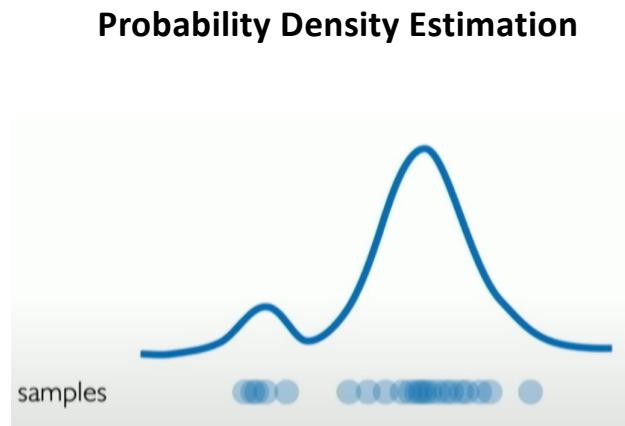
Latent variable models



Latent variable models map a random “latent” variable to create a new data sample

Generative Modeling

Goal: Take as input training samples from some distribution and learn a model that represents that distribution



How can we learn $P_{model}(x)$ similar to $P_{data}(x)$?

Types of unsupervised generative model

- Generative adversarial networks (GANs) (LV)
- Variational auto-encoders (VAEs) (P, LV)
- Diffusion models (P, LV)
- Normalizing flows (P, LV)
- Energy models (P)
- Autoregressive models (P)

Decoder model: GPT3

- One job: predict the next word in a sequence
- More formally builds an **autoregressive** probability model

$$Pr(t_1, t_2, \dots, t_N) = Pr(t_1) \prod_{n=2}^N Pr(t_n | t_1 \dots t_{n-1})$$

- Doesn't use latent variables, but is probabilistic and generative
 - Can generate new examples
 - Can assign a probability to new data

Why generative models? Debiasing

Capable of uncovering **underlying features** in a dataset



Homogeneous skin color, pose

VS



Diverse skin color, pose, illumination

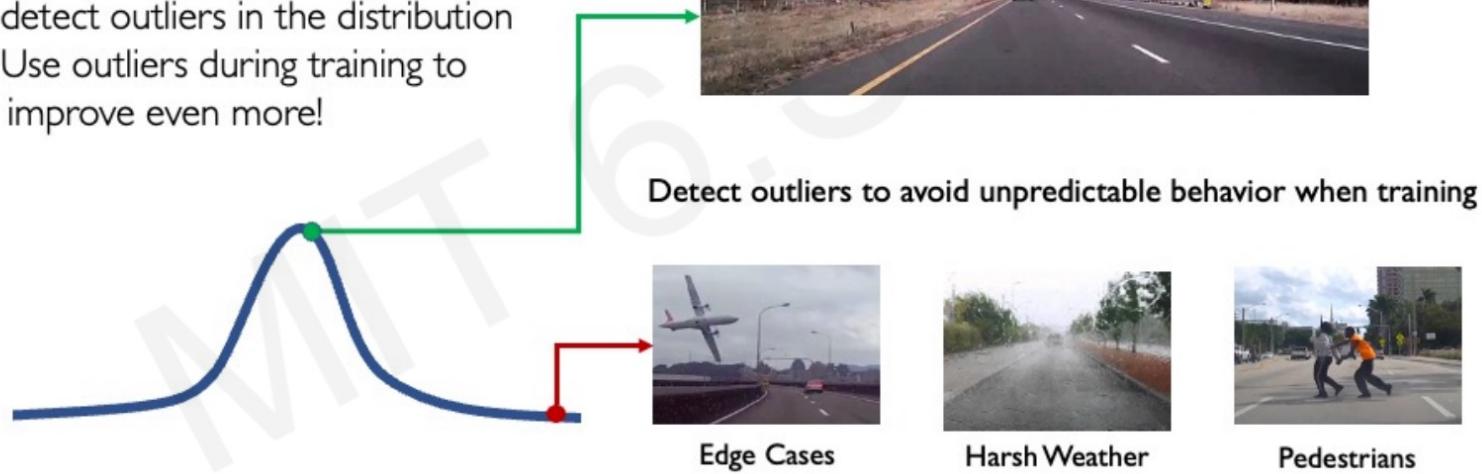
How can we use this information to create fair and representative datasets?

Amini et al, "Uncovering and Mitigating Algorithmic Bias through Learned Latent Structure," 2019

© Alexander Amini and Ava Amini, MIT 6.S191: Introduction to Deep Learning, IntroToDeepLearning.com

Why generative models? Outlier detection

- **Problem:** How can we detect when we encounter something new or rare?
- **Strategy:** Leverage generative models, detect outliers in the distribution
- Use outliers during training to improve even more!



A. Amini et al, "Variational Autoencoder for End-to-End Control of Autonomous Driving with Novelty Detection and Training De-biasing," 2018

© Alexander Amini and Ava Amini, MIT 6.S191: Introduction to Deep Learning, IntroToDeepLearning.com

More outlier examples

The image shows a presentation slide from the Scaled Machine Learning Conference. At the top left is the text "ScaledML Conference". In the center is the Matroid logo, which consists of four colored dots (blue, green, red, yellow) arranged in a square pattern. To the right is the date "Feb 26-27, 2020". The main title "Scaled Machine Learning Conference" is displayed prominently in white text. Below it, the specific talk title "AI for Full-Self Driving" is shown. Underneath that, the speaker's name "ANDREJ KARPATHY" is listed in bold capital letters, followed by "Sr. Director of Artificial Intelligence - Tesla". At the bottom of the slide, there are three calls to action: "#scaledml2020" on the left, "scaledml.org" in the center, and "matroid.com" on the right.

ScaledML Conference

Matroid

Feb 26-27, 2020

Scaled Machine Learning Conference

AI for Full-Self Driving

ANDREJ KARPATHY
Sr. Director of Artificial Intelligence - Tesla

#scaledml2020

scaledml.org

matroid.com

YouTube Video, Feb. 2020 -- <https://www.youtube.com/watch?v=hx7BXih7zx8&t=514s>

Why generative models? image, video and audio creation



A teenage superhero fighting crime in an urban setting shown in the style of claymation.

Style: pop upbeat

Suno

[Verse]

We're young dreamers with a heart so full
Ready to learn, ready to break the mold (the mold)
Neural networks, we're obsessed from the start
We'll conquer the world, we're gonna make our mark (ooh-yeah)

[Chorus]

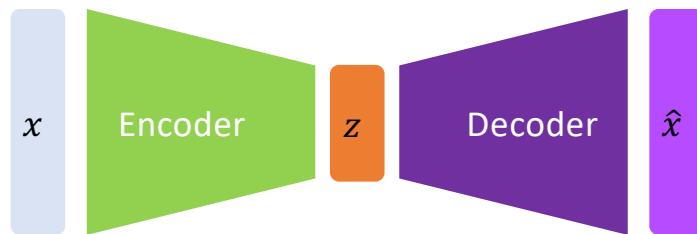
We're wired for success, ready to fly (ready to fly)
A generation united, reaching for the sky (reaching high)
Neural networks, our minds will ignite (ignite)
We'll change the world with all our might (ooh-yeah, all right)

A colorful, abstract background with swirling patterns in shades of purple, blue, and yellow, serving as a backdrop for the lyrics. The Suno logo is in the top right corner.

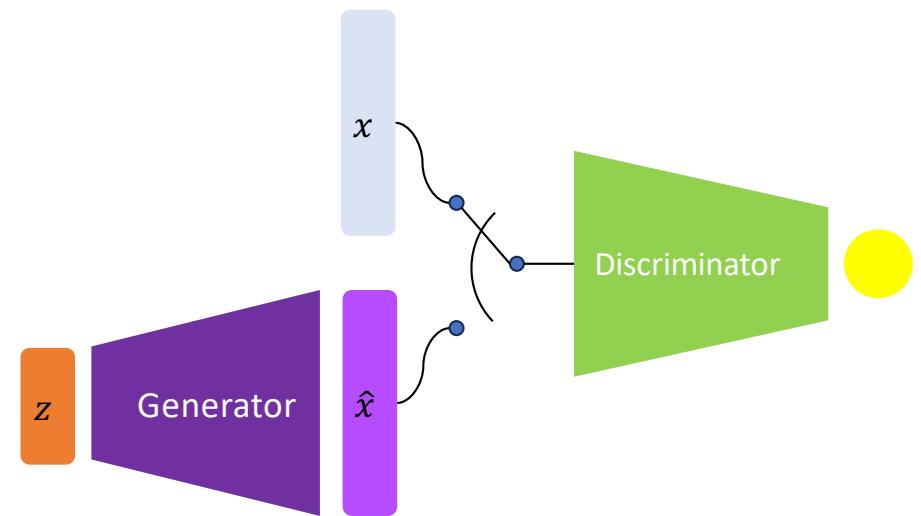
Write a short pop song about students wanting to learn about neural networks and do great things with them.

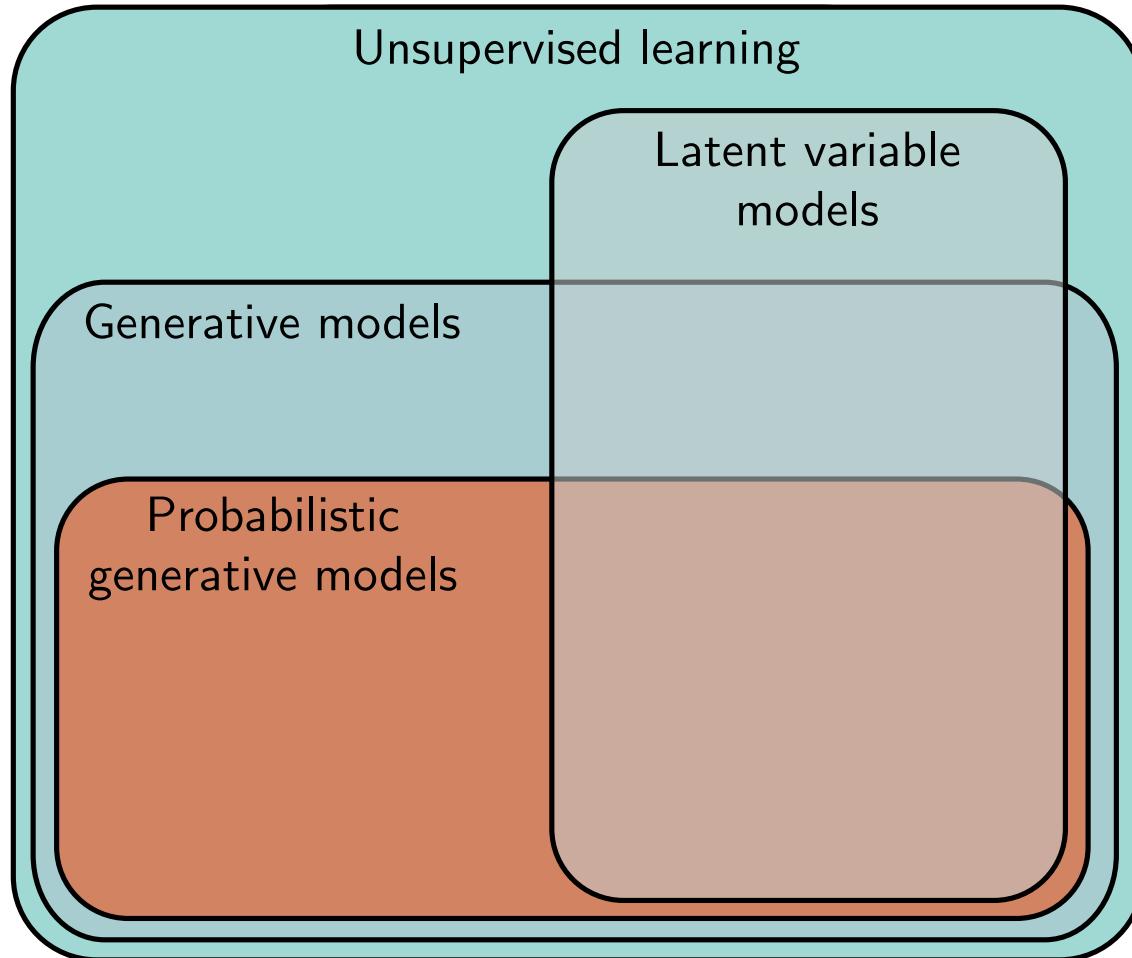
Latent Variable Models

**Autoencoders and
Variational Autoencoders (VAEs)**



Generative Adversarial Networks



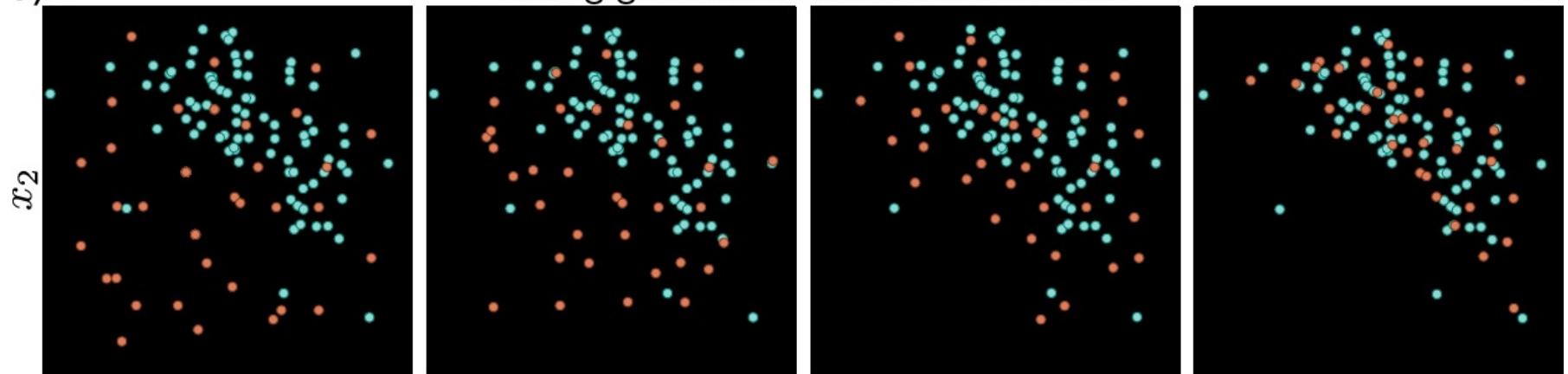


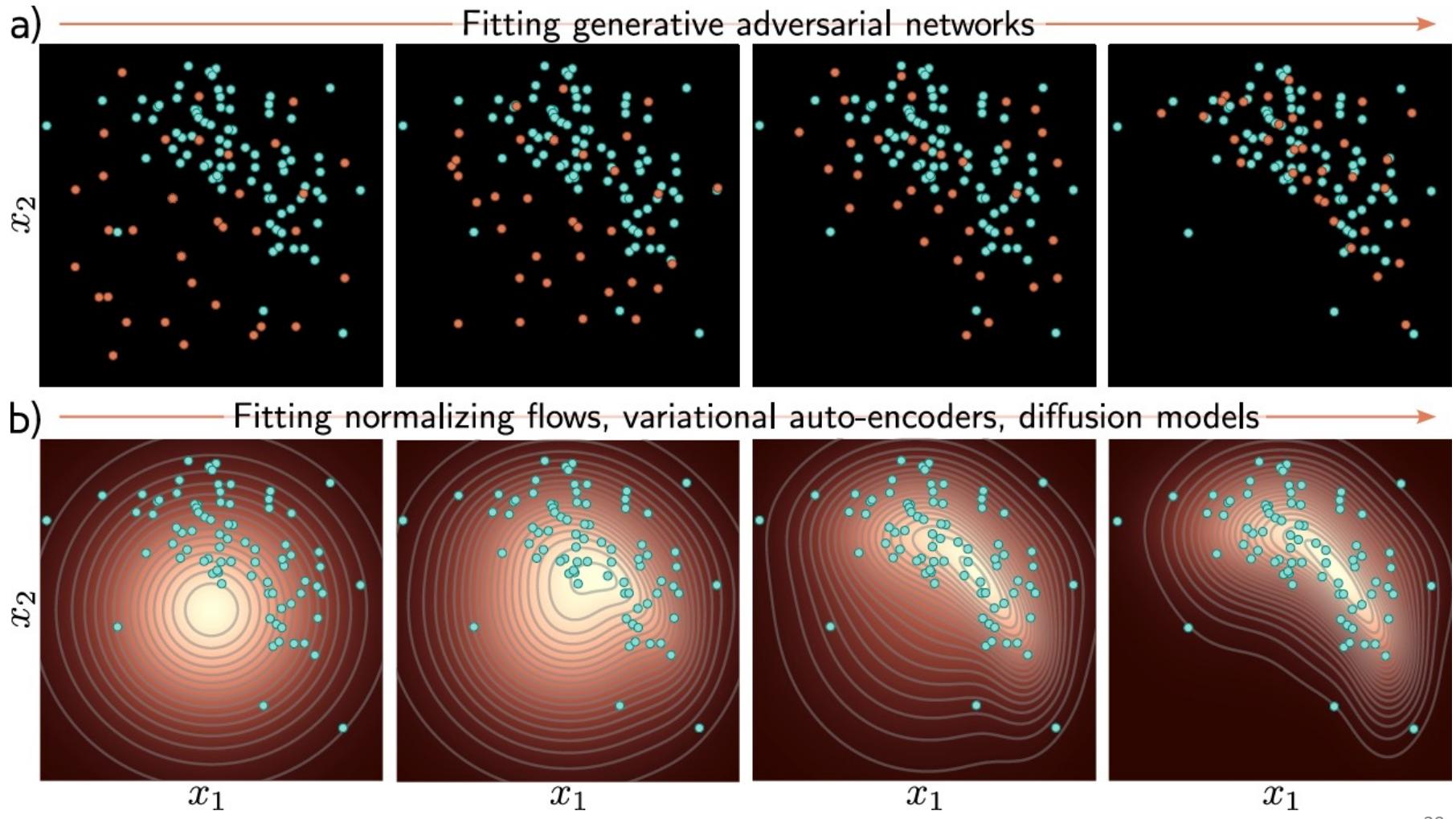
Generative = can generate new examples

Probabilistic = can assign probability to data examples

a)

Fitting generative adversarial networks





What makes a good model?

- **Efficient sampling:** Generating samples from the model should be computationally inexpensive and take advantage of the parallelism of modern hardware.
- **High-quality sampling:** The samples should be indistinguishable from the real data that the model was trained with.
- **Coverage:** Samples should represent the entire training distribution. It is insufficient to only generate samples that all look like a subset of the training data.
- **Well-behaved latent space:** Every latent variable z should correspond to a plausible data example x and smooth changes in z should correspond to smooth changes in x .
- **Interpretable latent space:** Manipulating each dimension of z should correspond to changing an interpretable property of the data. For example, in a model of language, it might change the topic, tense or degree of verbosity.
- **Efficient likelihood computation:** If the model is probabilistic, we would like to be able to calculate the probability of new examples efficiently and accurately

Do we have good models?

	GANs	VAEs	Flows	Diffusion
Efficient sampling	✓	✓	✓	✗
High quality	✓	✗	✗	✓
Coverage	✗	?	?	?
Well-behaved latent space	✓	✓	✓	✗
Interpretable latent space	?	?	?	✗
Efficient likelihood	n/a	✗	✓	✗

How to measure performance within or between categories?

- Open research area.

“Generative adversarial networks”, Goodfellow et al



Ian Goodfellow

PhD ML, U de Montréal 2014

- Google (TensorFlow, Google Brain)
- OpenAI
- Google Staff/Sr. Staff Research Scientist
- Apple Director of ML
- Google Deep Mind, Research Scientist

RESEARCH-ARTICLE OPEN ACCESS

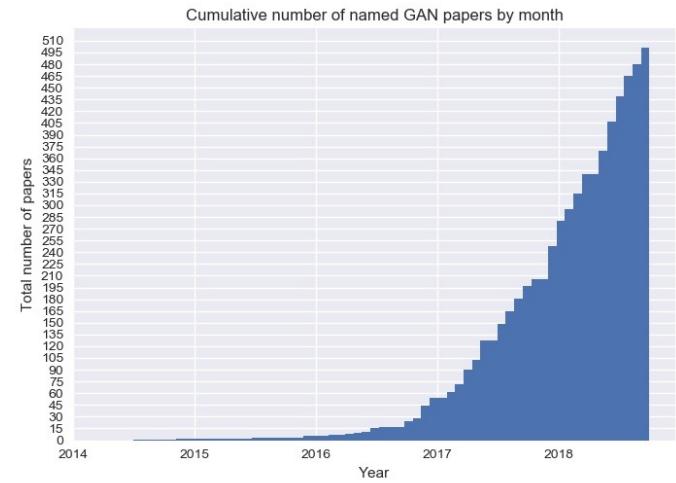
Twitter LinkedIn GitHub Facebook Email

Generative adversarial networks

Authors: [Ian Goodfellow](#), [Jean Pouget-Abadie](#), [Mehdi Mirza](#), [Bing Xu](#), [David Warde-Farley](#), [Sherjil Ozair](#), [Aaron Courville](#), [Yoshua Bengio](#) [Authors Info & Claims](#)

Communications of the ACM, Volume 63, Issue 11 • pp 139–144 • <https://doi.org/10.1145/3422622>

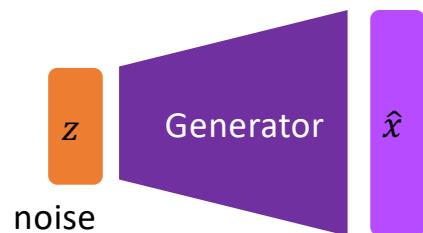
TITLE	CITED BY	YEAR
Generative adversarial networks I Goodfellow, J Pouget-Abadie, M Mirza, B Xu, D Warde-Farley, S Ozair, ... Advances in neural information processing systems 27	75073 *	2014
Deep learning I Goodfellow, Y Bengio, A Courville MIT press	63352	2016
TensorFlow: Large-scale machine learning on heterogeneous systems M Abadi, A Agarwal, P Barham, E Brevdo, Z Chen, C Citro, GS Corrado, ...	24052 *	2015
Explaining and Harnessing Adversarial Examples I Goodfellow, J Shlens, C Szegedy ICLR	19914	2014



[The GAN Zoo \(Github\)](#)

General Idea of GANs

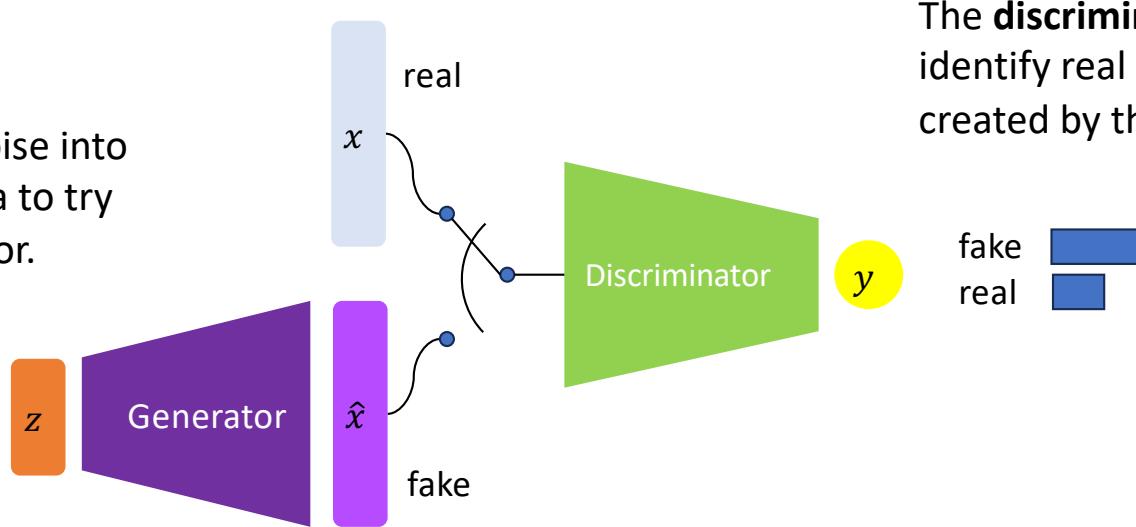
- Don't try to build a probability model directly
- Learn a transformation from a sample of noise to look similar to training data distribution



Generative Adversarial Networks

Train a generative model to try to fool a “discriminator” model.

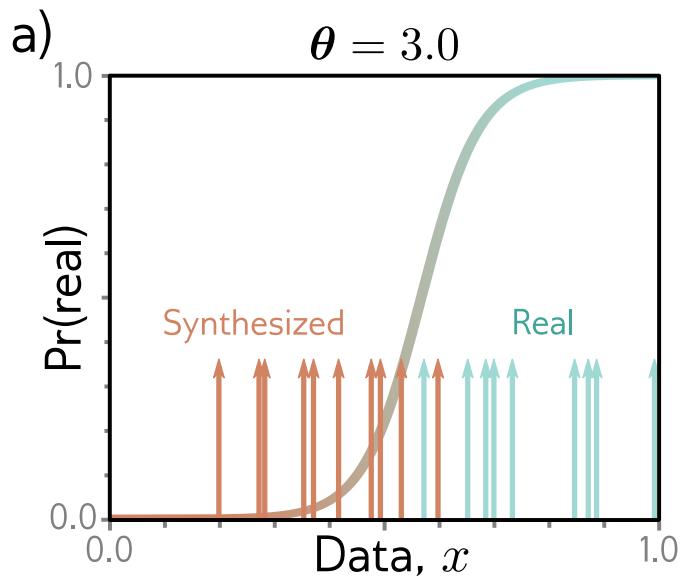
The **generator** turns noise into an imitation of the data to try to trick the discriminator.



The **discriminator** tries to identify real data from fakes created by the generator.

GAN example

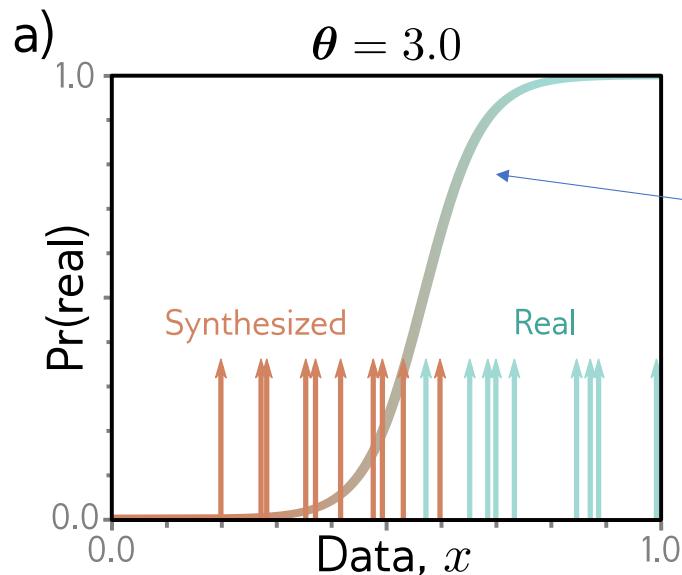
$$x_j^* = g[z_j, \theta] = z_j + \theta$$



- We take examples from a **real** distribution (e.g. shifted standard gaussian)
- We generate **synthesized samples**, z_j , from a standard gaussian and shift by θ .
- Train a classifier on the data

GAN example

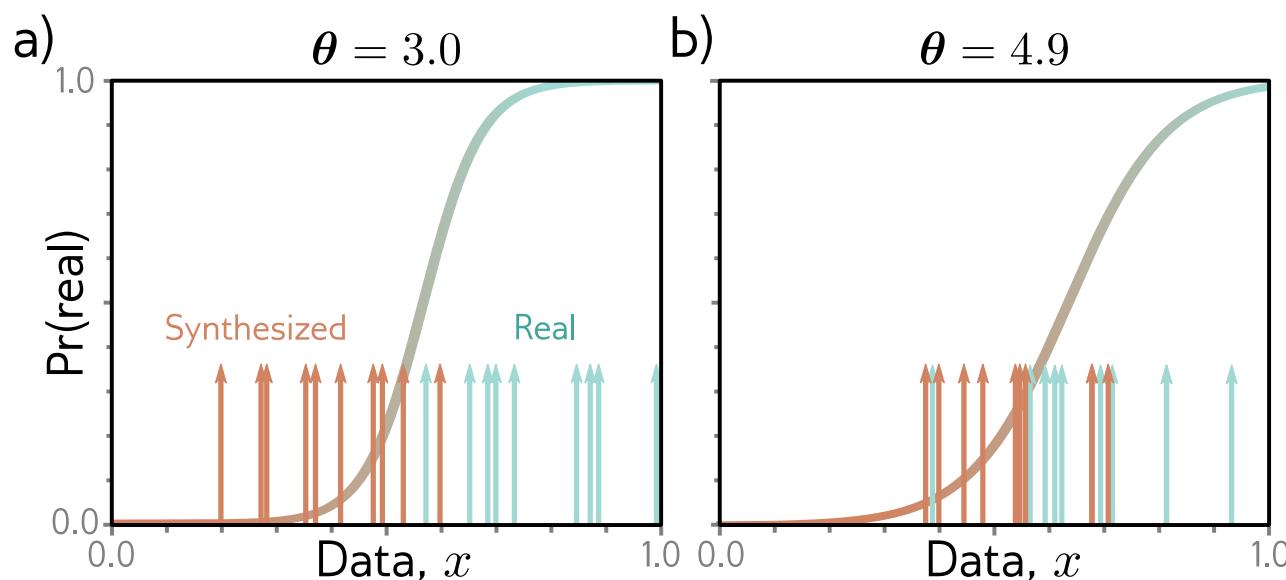
$$x_j^* = g[z_j, \theta] = z_j + \theta$$



- Train the **discriminator**
- using logistic regression parameterized by ϕ
- as a binary classifier on the data
- e.g. $\begin{cases} \text{real if } f[\cdot] \geq .5 \\ \text{fake if } f[\cdot] < .5 \end{cases}$

GAN example

$$x_j^* = g[z_j, \theta] = z_j + \theta$$

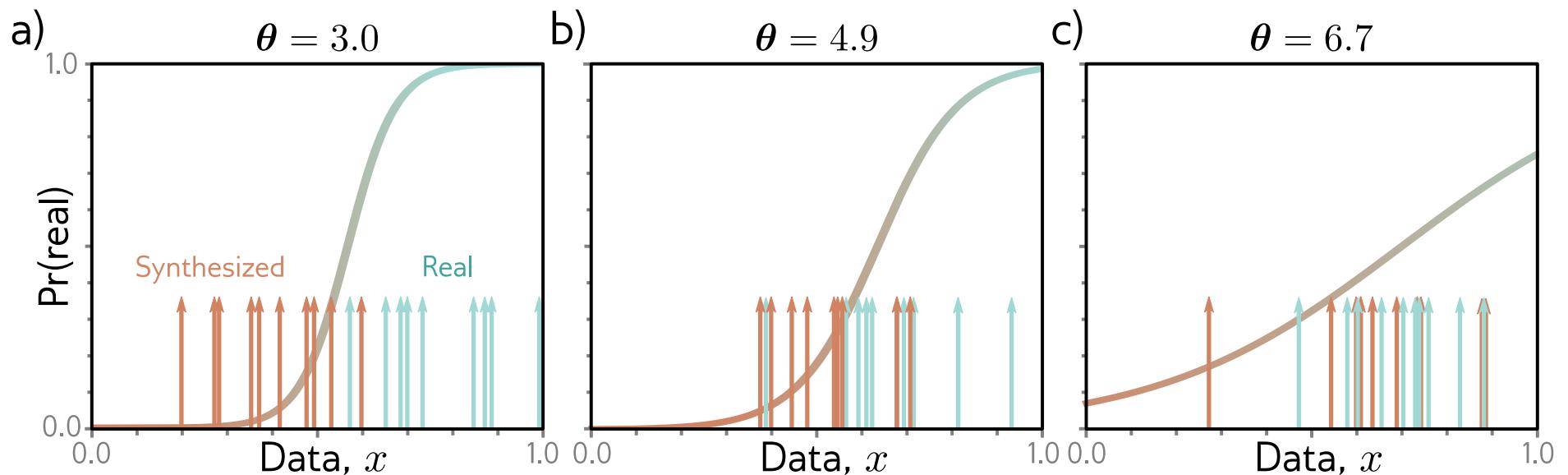


- Train the **generator** to update θ in order to *increase* the loss on the discriminator
- Then train the **discriminator** to *decrease* the loss

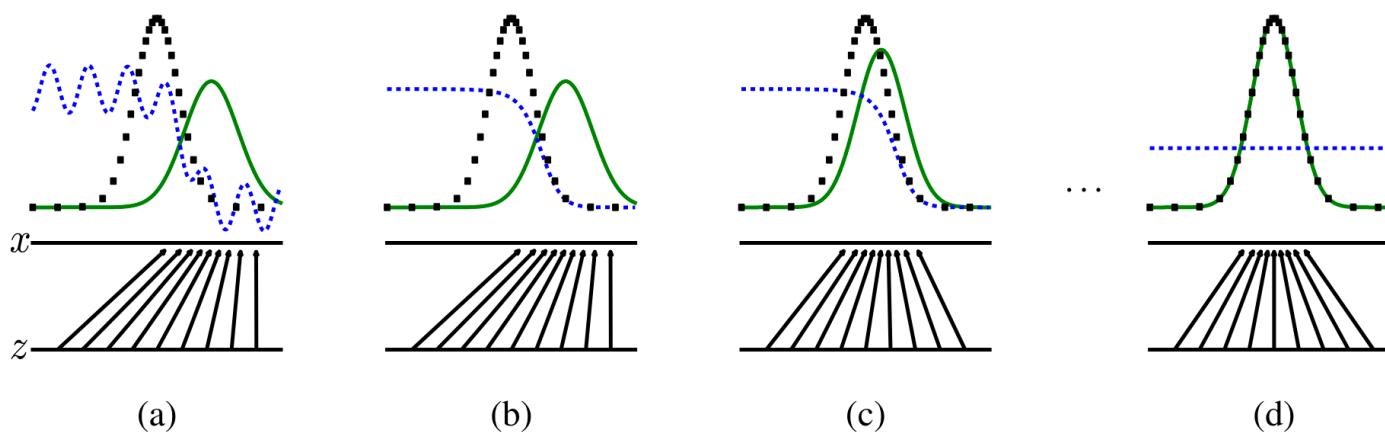
GAN example

$$x_j^* = g[z_j, \theta] = z_j + \theta$$

- Keep repeating till the discriminator does no better than random chance



Trained to completion



- z : uniform latent variable
 - x : samples according to a (green solid) generative distribution
 - black dotted curve: real data distribution
 - blue dashed curve: discriminator

4.1 Global Optimality of $p_g = p_{\text{data}}$

We first consider the optimal discriminator D for any given generator G .

Proposition 1. *For G fixed, the optimal discriminator D is*

$$D_G^*(\mathbf{x}) = \frac{p_{data}(\mathbf{x})}{p_{data}(\mathbf{x}) + p_g(\mathbf{x})}$$

GANs

- GAN loss function
- DCGAN results and problems
- Tricks for improving performance
- Conditional GANs
- Image translation models

GAN cost function

Discriminator uses standard cross entropy loss (see Section 5.4 – binary classification loss): :

$$\hat{\phi} = \operatorname{argmin}_{\phi} \left[\sum_i -(1 - y_i) \log [1 - \operatorname{sig}[f[\mathbf{x}_i, \phi]]] - y_i \log [\operatorname{sig}[f[\mathbf{x}_i, \phi]]] \right]$$

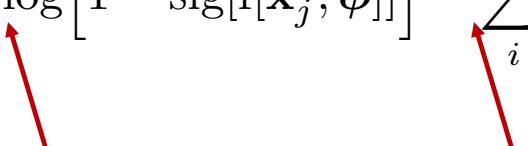
GAN cost function

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Generated samples, \mathbf{x}_i^* , $y_i = 0$, and for real examples, \mathbf{x}_i , $y_i = 1$:

$$\hat{\phi} = \operatorname{argmin}_{\phi} \left[\sum_j -\log [1 - \operatorname{sig}[f[\mathbf{x}_j^*, \phi]]] - \sum_i \log [\operatorname{sig}[f[\mathbf{x}_i, \phi]]] \right]$$

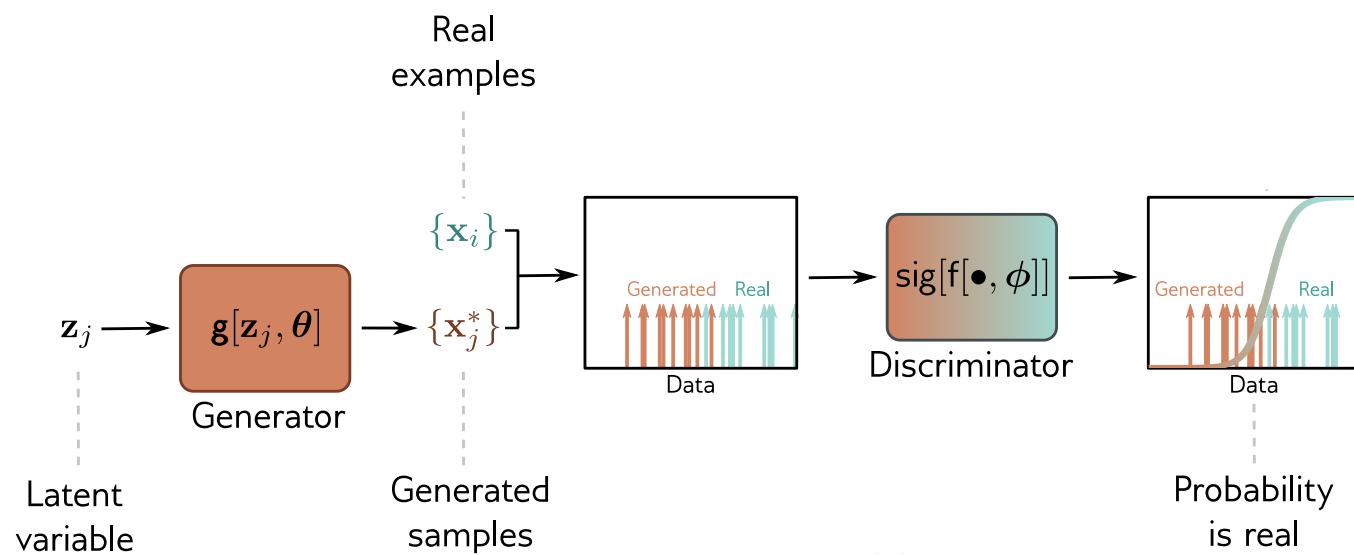


These are *generated* samples so $y_j = 0$

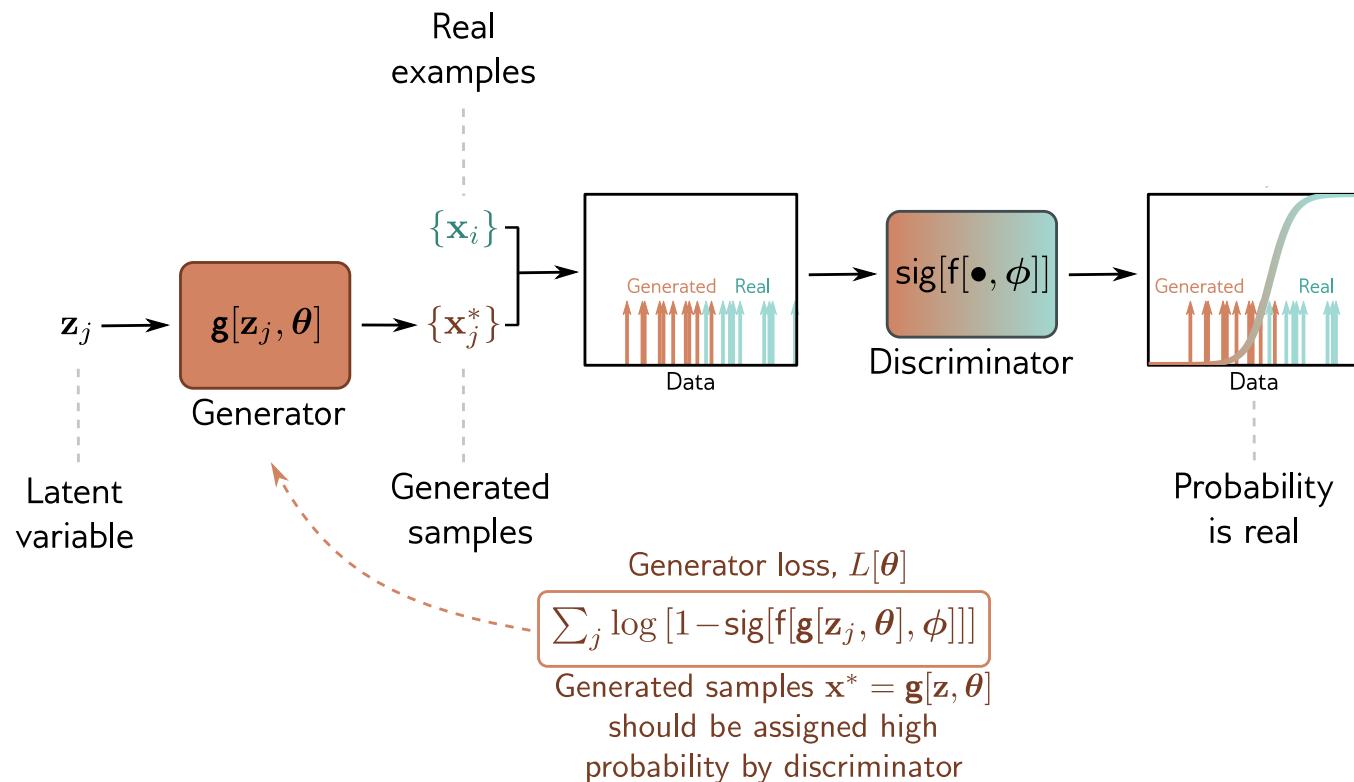
These are *real* samples so $y_i = 1$

We can separate into two summations that separately index over the generated samples and the real samples.

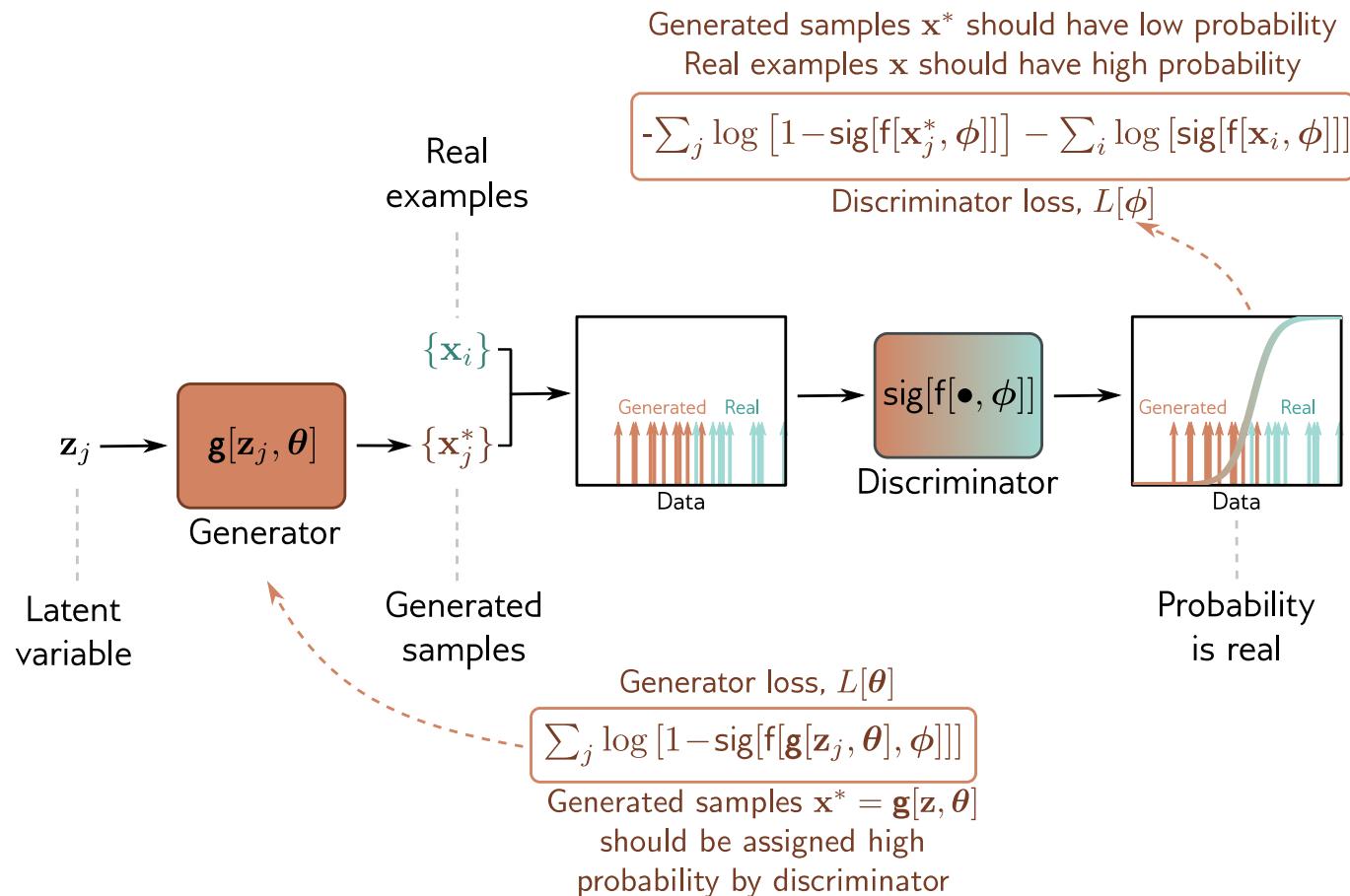
GAN loss function



GAN loss function



GAN loss function



GAN cost function

Discriminator uses standard cross entropy loss:

$$\hat{\phi} = \operatorname{argmin}_{\phi} \left[\sum_i -(1 - y_i) \log [1 - \operatorname{sig}[f[\mathbf{x}_i, \phi]]] - y_i \log [\operatorname{sig}[f[\mathbf{x}_i, \phi]]] \right]$$

Discriminator: generated samples, $y = 0$, real examples, $y = 1$:

$$\hat{\phi} = \operatorname{argmin}_{\phi} \left[\sum_j -\log [1 - \operatorname{sig}[f[\mathbf{x}_j^*, \phi]]] - \sum_i \log [\operatorname{sig}[f[\mathbf{x}_i, \phi]]] \right]$$

Generator loss: make generated samples more likely under discriminator (i.e. make discriminator loss larger)

$$\hat{\phi}, \hat{\theta} = \operatorname{argmax}_{\theta} \left[\operatorname{argmin}_{\phi} \left[\sum_j -\log [1 - \operatorname{sig}[f[g[\mathbf{z}_j, \theta], \phi]]] - \sum_i \log [\operatorname{sig}[f[\mathbf{x}_i, \phi]]] \right] \right]$$

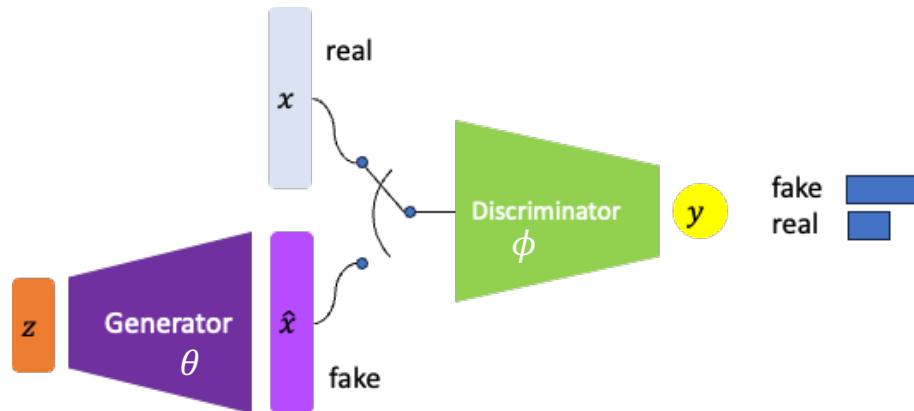
substituted the generator function
for the generated sample

GAN Cost function

$$\hat{\phi}, \hat{\theta} = \operatorname{argmax}_{\theta} \left[\operatorname{argmin}_{\phi} \left[\sum_j -\log \left[1 - \operatorname{sig}[f[g[z_j, \theta], \phi]] \right] - \sum_i \log \left[\operatorname{sig}[f[x_i, \phi]] \right] \right] \right]$$

The **discriminator** parameters, ϕ , are manipulated to *minimize* the loss function

The **generator** parameters, θ , are manipulated to *maximize* the loss function.



GAN Cost function

$$\hat{\phi}, \hat{\theta} = \operatorname{argmax}_{\theta} \left[\operatorname{argmin}_{\phi} \left[\sum_j -\log [1 - \operatorname{sig}[f[g[z_j, \theta], \phi]]] - \sum_i \log [\operatorname{sig}[f[x_i, \phi]]] \right] \right]$$

The **discriminator** parameters, ϕ , are manipulated to *minimize* the loss function

The **generator** parameters, θ , are manipulated to *maximize* the loss function.

Can divide into two parts:

discriminator loss: $L[\phi] = \sum_j -\log [1 - \operatorname{sig}[f[g[z_j, \theta], \phi]]] - \sum_i \log [\operatorname{sig}[f[x_i, \phi]]]$

negated **generator** loss: $L[\theta] = \sum_j \log [1 - \operatorname{sig}[f[g[z_j, \theta], \phi]]]$

The 2nd term is constant w.r.t. θ
(gradient $\partial L / \partial \theta = 0$) so we can drop it

GAN Solution

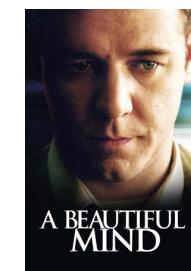
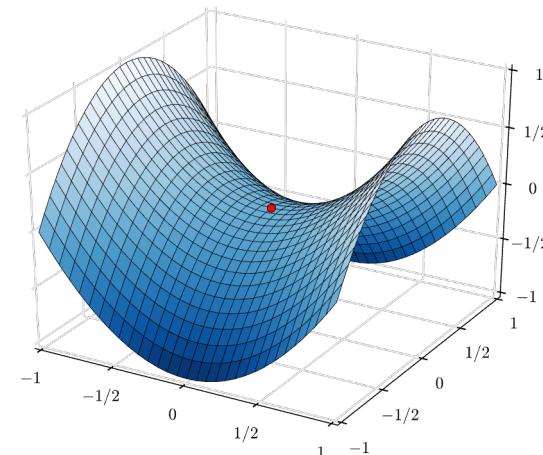
$$\hat{\phi}, \hat{\theta} = \operatorname{argmax}_{\theta} \left[\operatorname{argmin}_{\phi} \left[\sum_j -\log \left[1 - \operatorname{sig}[f[g[z_j, \theta], \phi]] \right] - \sum_i \log \left[\operatorname{sig}[f[x_i, \phi]] \right] \right] \right]$$

- The solution is the *Nash equilibrium*
- It lays at a saddle point
- Is inherently unstable

Nash equilibrium

In game theory, the Nash equilibrium, named after the mathematician John Nash, is the most common way to define the solution of a non-cooperative game involving two or more players.

...each player is assumed to know the equilibrium strategies of the other players, and no one has anything to gain by changing only one's own strategy. [Wikipedia](#)



GAN Training Flow Pseudo Python

```
for c_gan_iter in range(n_gan_iters): # GAN Iterations  
    # Run generator to produce synthesized data  
    x_syn = generator(z, theta)  
    # Update/train the discriminator  
    phi = update_discriminator(x_real, x_syn, n_iter_discrim, phi)  
    # Update/train the generator  
    theta = update_generator(z, theta, n_iter_gen, phi)
```

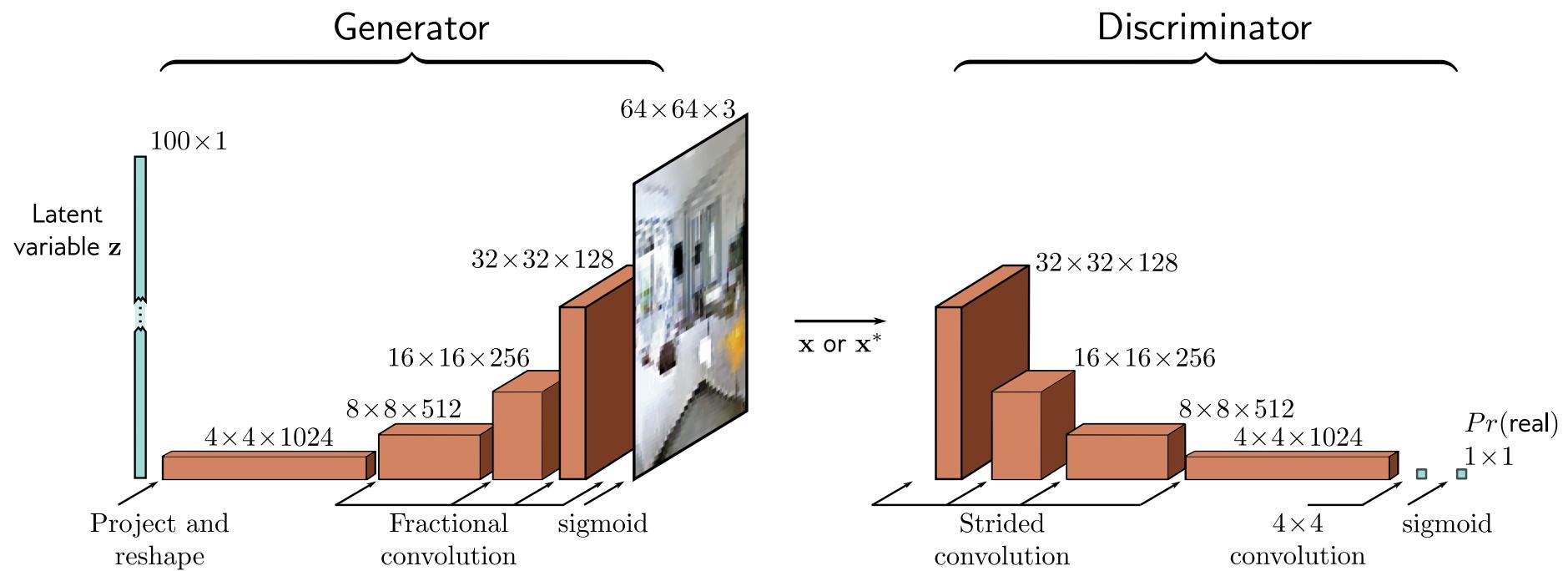
See Jupyter Notebook assignment (to be released shortly)

GANs

- GAN loss function
- DCGAN results and problems
- Tricks for improving performance
- Conditional GANs
- Image translation models

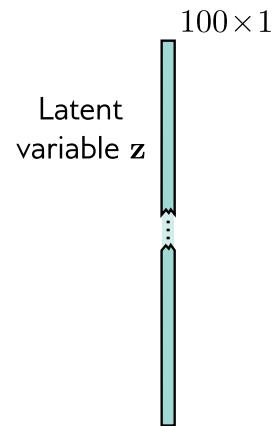
Deep Convolutional (DC) GAN

- Early GAN specialized in image generation



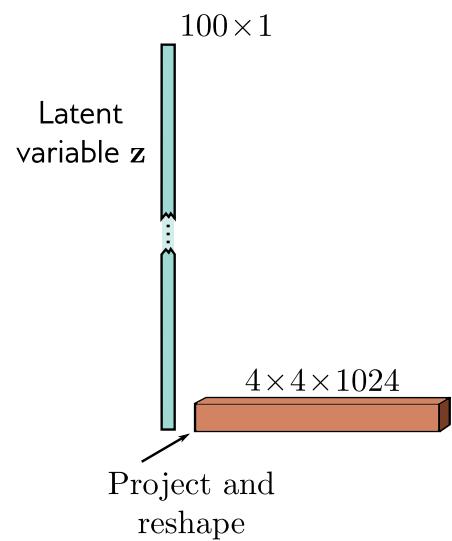
DCGAN -- Generator

- Input is 100D latent variable, z , drawn from a uniform distribution

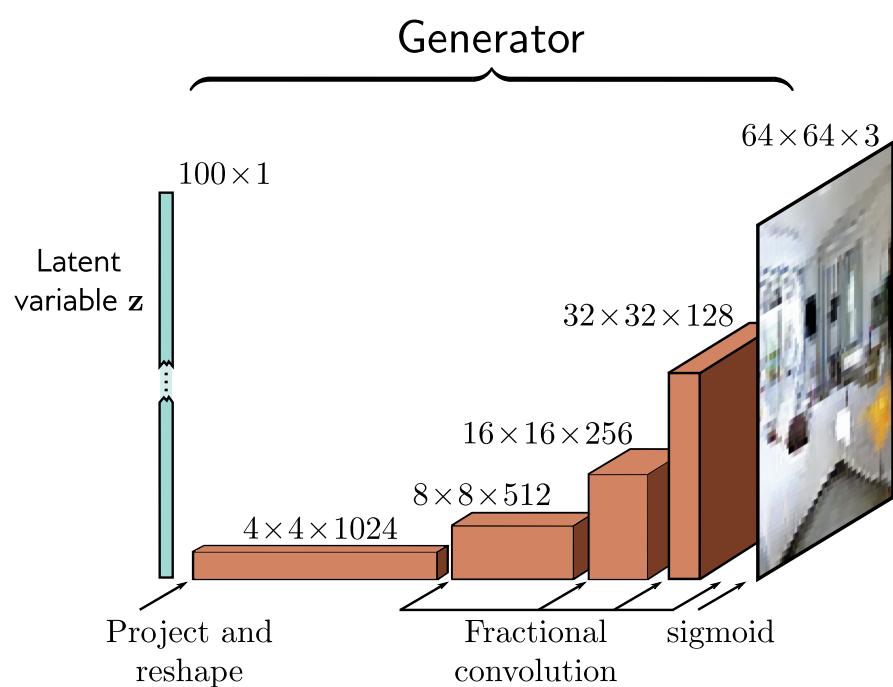


DCGAN -- Generator

- Input is 100D latent variable, z , drawn from a uniform distribution
- Maps to $4 \times 4 \times 1024$ via a linear transformation



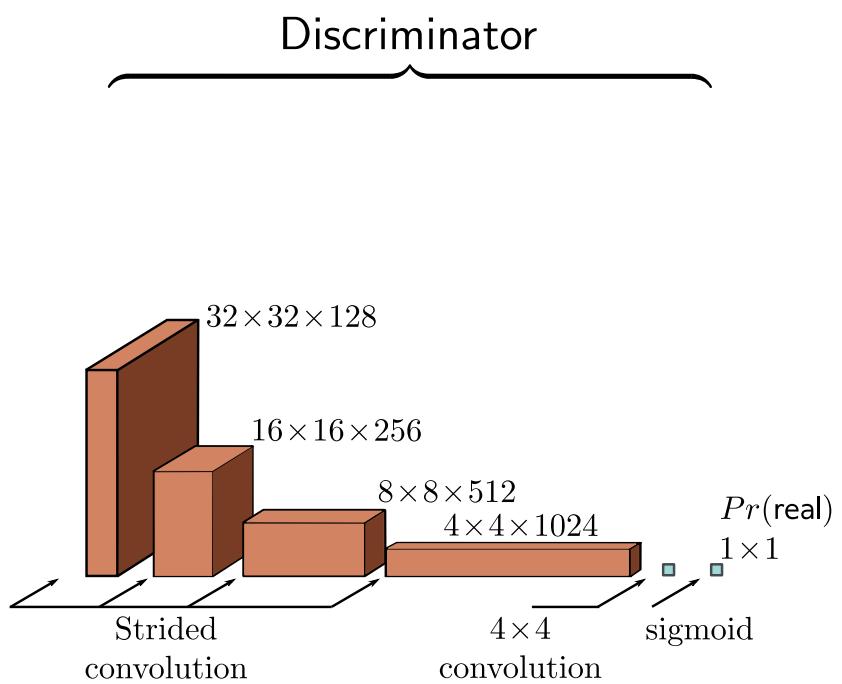
DCGAN -- Generator



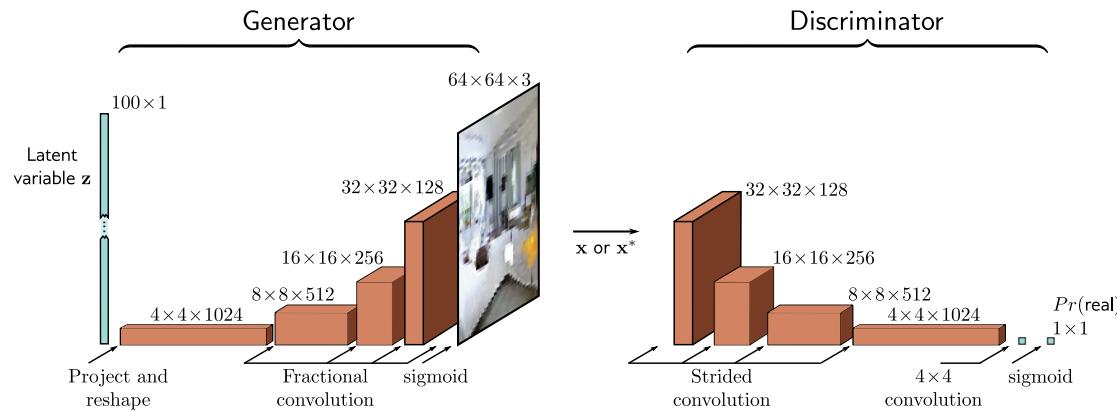
- Input is 100D latent variable, z , drawn from a uniform distribution
- Maps to $4 \times 4 \times 1024$ via a linear transformation
- Fractionally strided (stride = 0.5) convolutions to double resolution in each dimension
- Final tanh to limit to $[-1, 1]$
- Rescaled to $[0, 255]$

DCGAN -- Discriminator

- Standard convolution network
- Reduces to 1x1
- Final sigmoid to create output probability



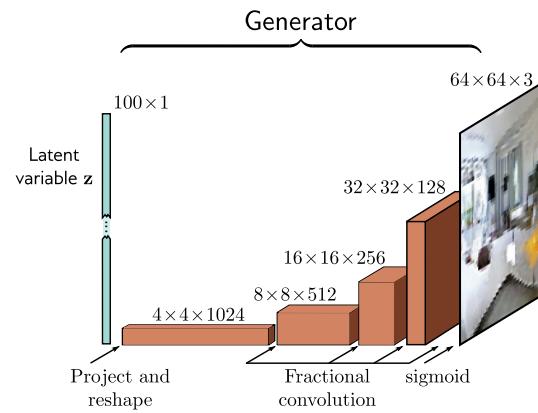
Deep Convolutional (DC) GAN



Trained as in the earlier example.

```
for c_gan_iter in range(5): # GAN Iterations
    # Run generator to produce synthesized data
    x_syn = generator(z, theta)
    # Update/train the discriminator
    phi = update_discriminator(x_real, x_syn, n_iter_discrim, phi)
    # Update/train the generator
    theta = update_generator(z, theta, n_iter_gen, phi)
```

Deep Convolutional (DC) GAN



When training is complete

Discard discriminator

Draw new latent variable

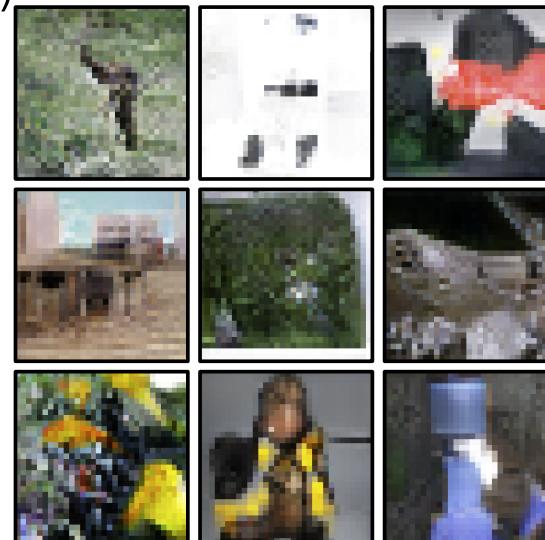
Pass through generator

DC GAN Results

a)



b)



c)



Trained on a faces dataset.

Trained on ImageNet dataset.

Trained on LSUN dataset.

The LSUN classification dataset contains 10 scene categories, such as dining room, bedroom, chicken, outdoor church, and so on.

Common Failures with GANs

Mode Dropping: Only represent a subset of the training distribution.

Mode Collapse: Extreme case where the generator mostly ignores the latent variable and collapses all samples to a few points



GAN Performance and Distribution Distance

$$D_{JS}\left[Pr(\mathbf{x}^*) \parallel Pr(\mathbf{x})\right] = \underbrace{\frac{1}{2}D_{KL}\left[Pr(\mathbf{x}^*) \left\| \frac{Pr(\mathbf{x}^*) + Pr(\mathbf{x})}{2}\right.\right]}_{\text{quality}} + \underbrace{\frac{1}{2}D_{KL}\left[Pr(\mathbf{x}) \left\| \frac{Pr(\mathbf{x}^*) + Pr(\mathbf{x})}{2}\right.\right]}_{\text{coverage}}$$

Summary of lengthy analysis in §15.2.1 “Analysis of GAN loss function”

Can be rewritten in terms of dissimilarities between *generated* and *real* probability distributions.

Two important takeaways:

Quality: Generated samples need to occur where real samples are

Coverage: Where there is concentrations of real samples, there should be good representation from generated samples

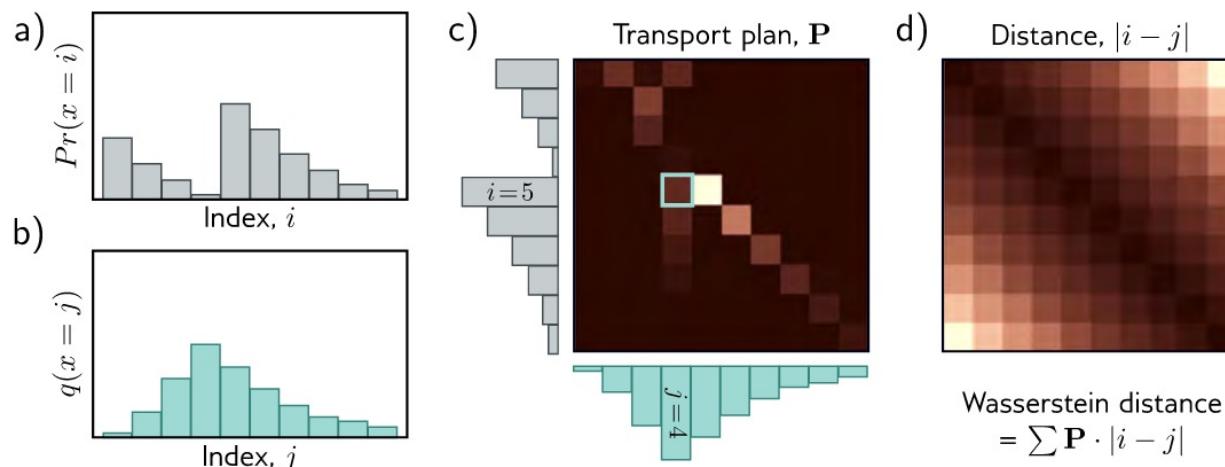
We can conclude that:

- (i) the GAN loss can be interpreted in terms of distances between probability distributions and that
- (ii) the gradient of this distance becomes zero when the generated samples are too easy to distinguish from the real examples.

We need a distance metric with better properties.

Wasserstein Distance (for continuous distributions) Earth Mover's Distance (for discrete probabilities)

- The quantity of work required to transport the probability mass from one distribution to create the other.
- Use linear programming to find an optimal “transport plan” that minimizes $\sum \mathbf{P} \cdot |i - j|$



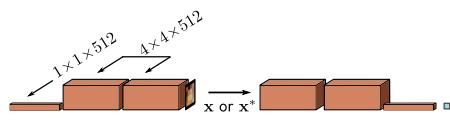
See 15.2.4 Wasserstein distance for discrete distributions

GANs

- GAN loss function
- DCGAN results and problems
- **Tricks for improving performance**
- Conditional GANs
- Image translation models

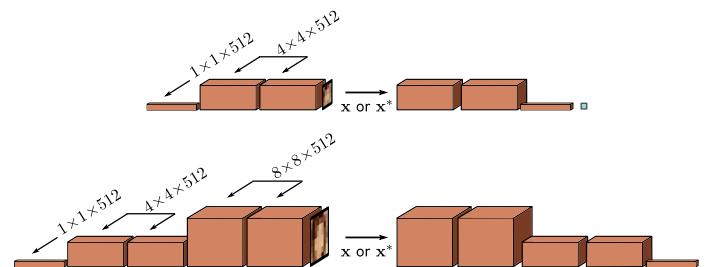
Trick 1: Progressive growing

a)



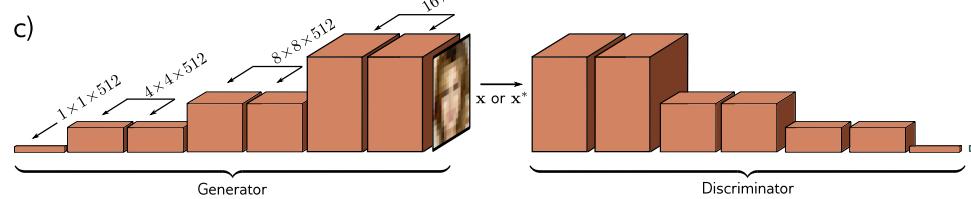
Train GAN to generate and discriminate 4x4 images

b)



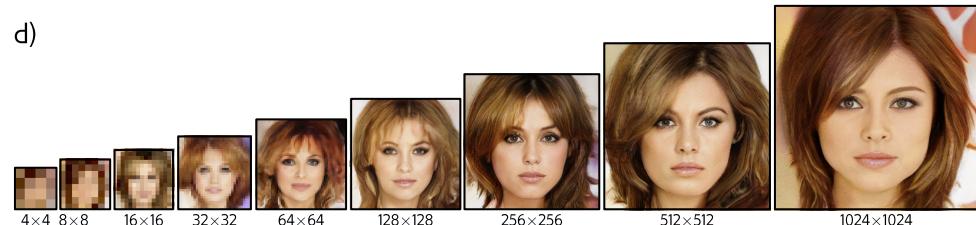
Keep weights from step (a), add layers to get to/from 8x8 images and continue training GAN

c)



Add layers to get to 16x16 and continue to train.

d)

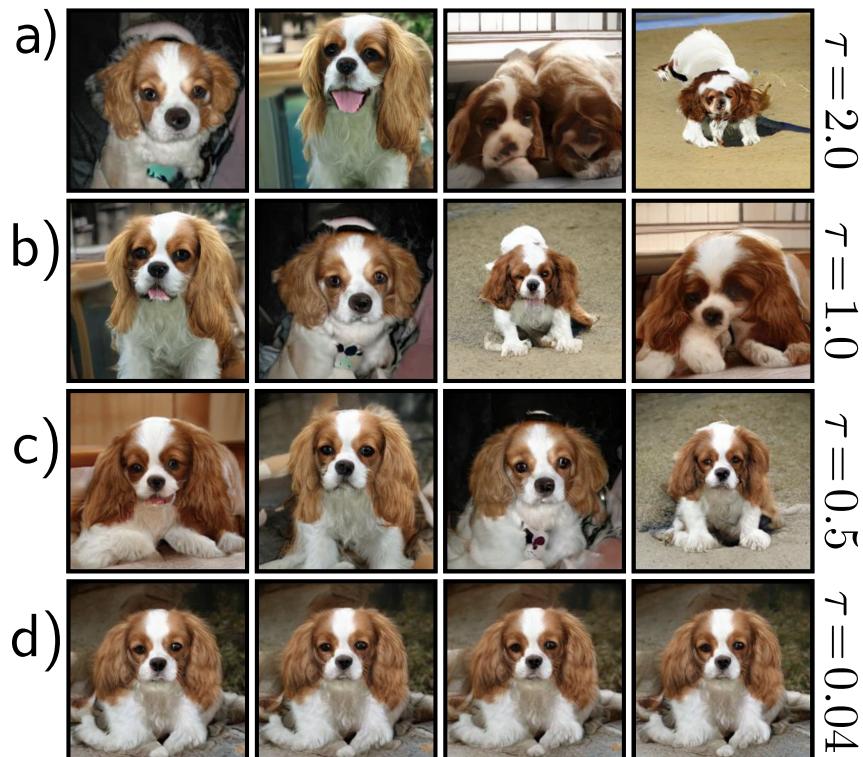


Repeat above steps to get to high resolution.

Trick 2: Minibatch discrimination

- Add in statistics across minibatches of synthesized and real data
- Provided to the discriminator as an additional feature map
- Sends signal back to generator to try to better match real batch statistics

Trick 3: Truncation



- Only choose random values of latent variables that are less than a threshold τ distance from the mean of the latent variables.
- Reduces variation but improves quality

Interpolation

Well-behaved latent space: Every latent variable z should correspond to a plausible data example x and smooth changes in z should correspond to smooth changes in x .



Interpolation

Well-behaved latent space: Every latent variable z should correspond to a plausible data example x and smooth changes in z should correspond to smooth changes in x .



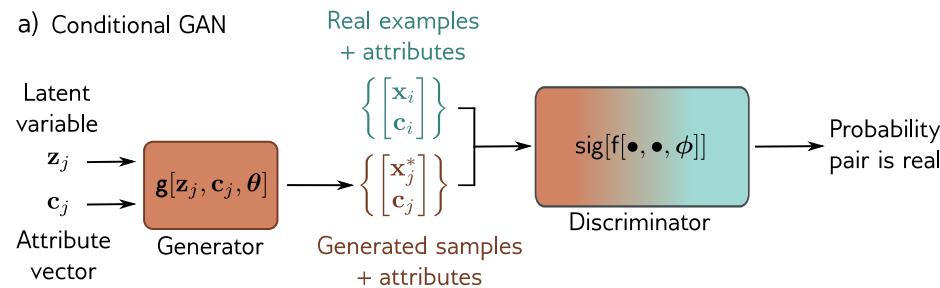
GANs

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Lack of control

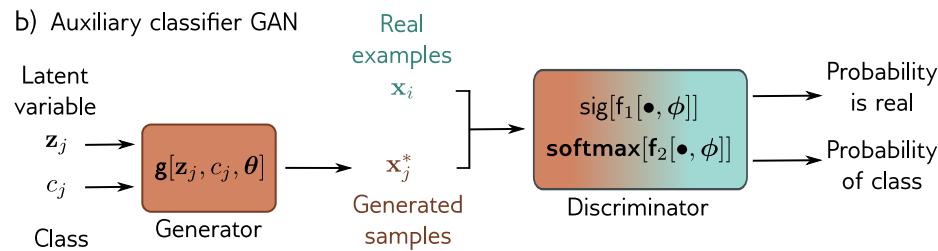
- Cannot specify attributes of generated images from vanilla GANs
- E.g. can't choose ethnicity, age, etc., for a GAN trained on faces.
- *Conditional generation* models provide this control

Conditional GAN models



- Passes a vector \mathbf{c} of attributes to both the generator and discriminator
- Generator learns to generate sample with correct attribute
- Discriminator learns to distinguish between generated sample with target attribute and real sample with real attribute

Auxiliary classifier GAN



- Similar to Conditional GAN, but use class label instead of attribute vector
- Discriminator produces:
 - Binary real/fake classifier
 - Multi-class classifier

Auxiliary Classifier GAN results

Trained on ImageNet images and classes.



monarch butterfly

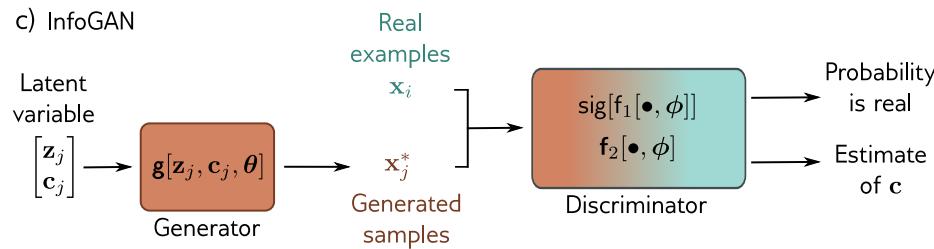
goldfinch

daisies

redshanks

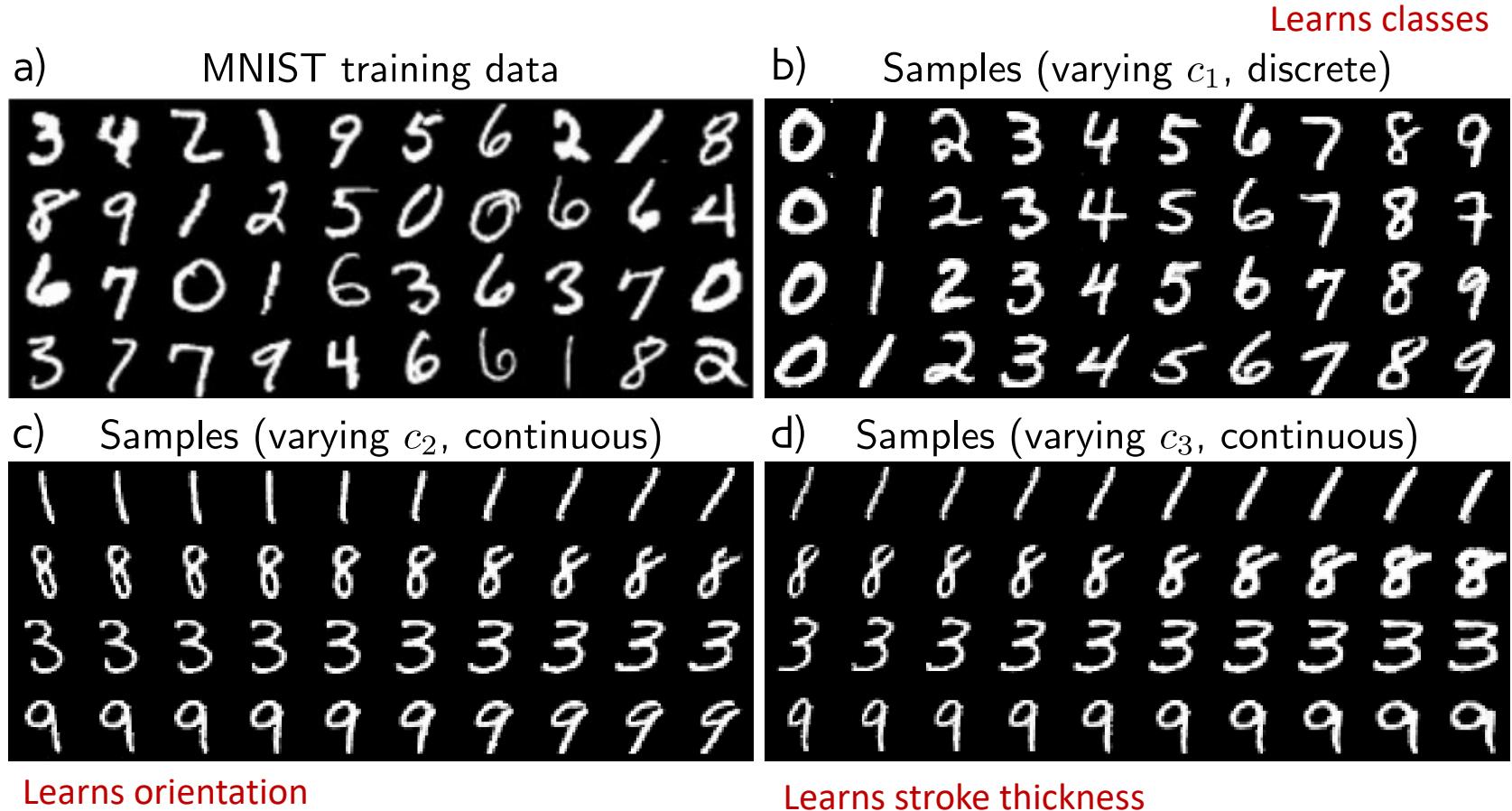
gray whales

InfoGAN



- Add random attribute variables \mathbf{c} to generator
- Discriminator learns to predict discrete and continuous values of the attributes

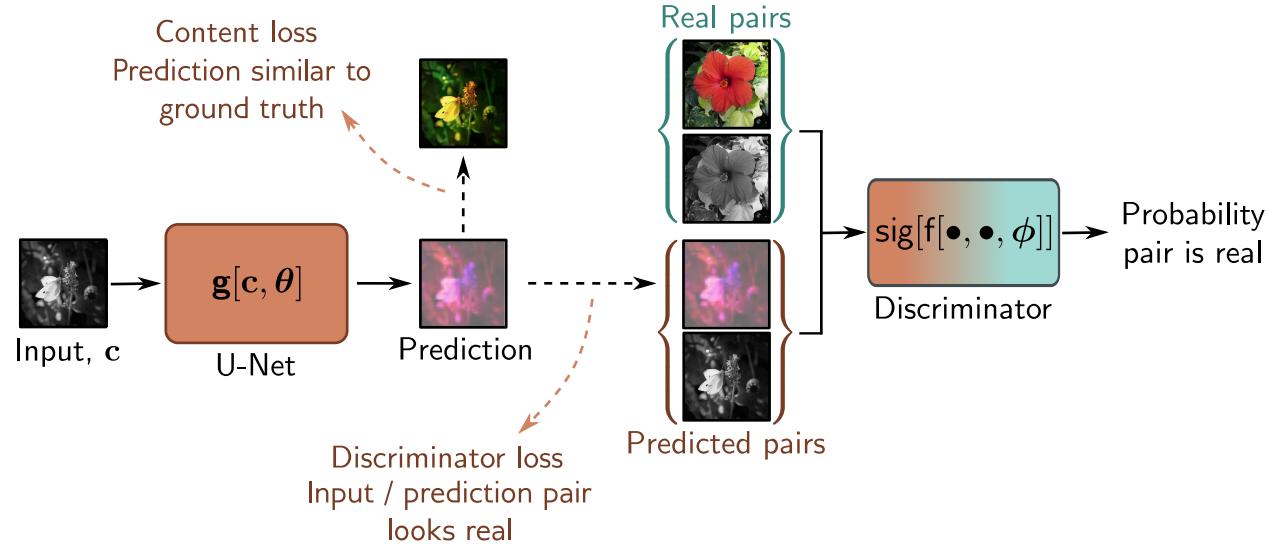
InfoGAN results



GANs

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) Image translation: Pix2Pix



- Maps one image to a different style image using a U-Net type model
- Adds a content loss (ℓ_1 norm) to make the input similar to ground truth
- Discriminator fed input/prediction and real/modified pairs to predict real or fake

Image translation: Pix2Pix

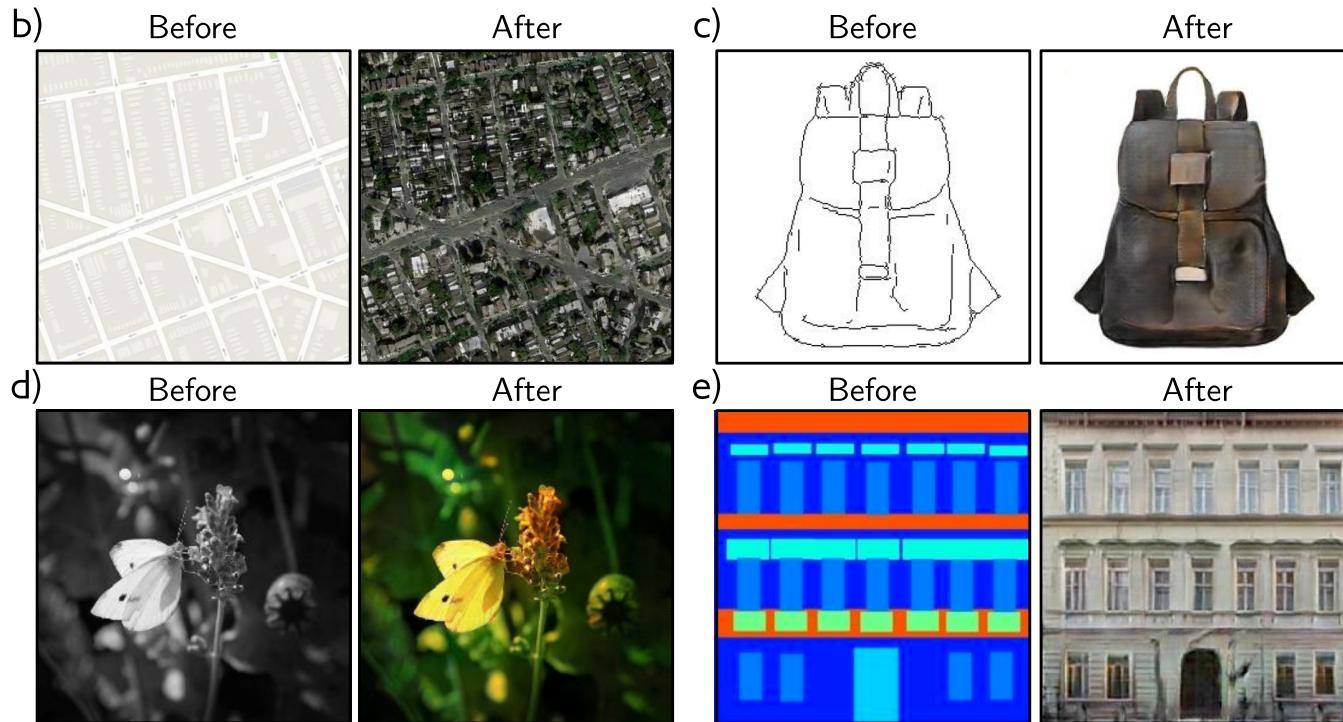


Image translation: SRGAN

a)

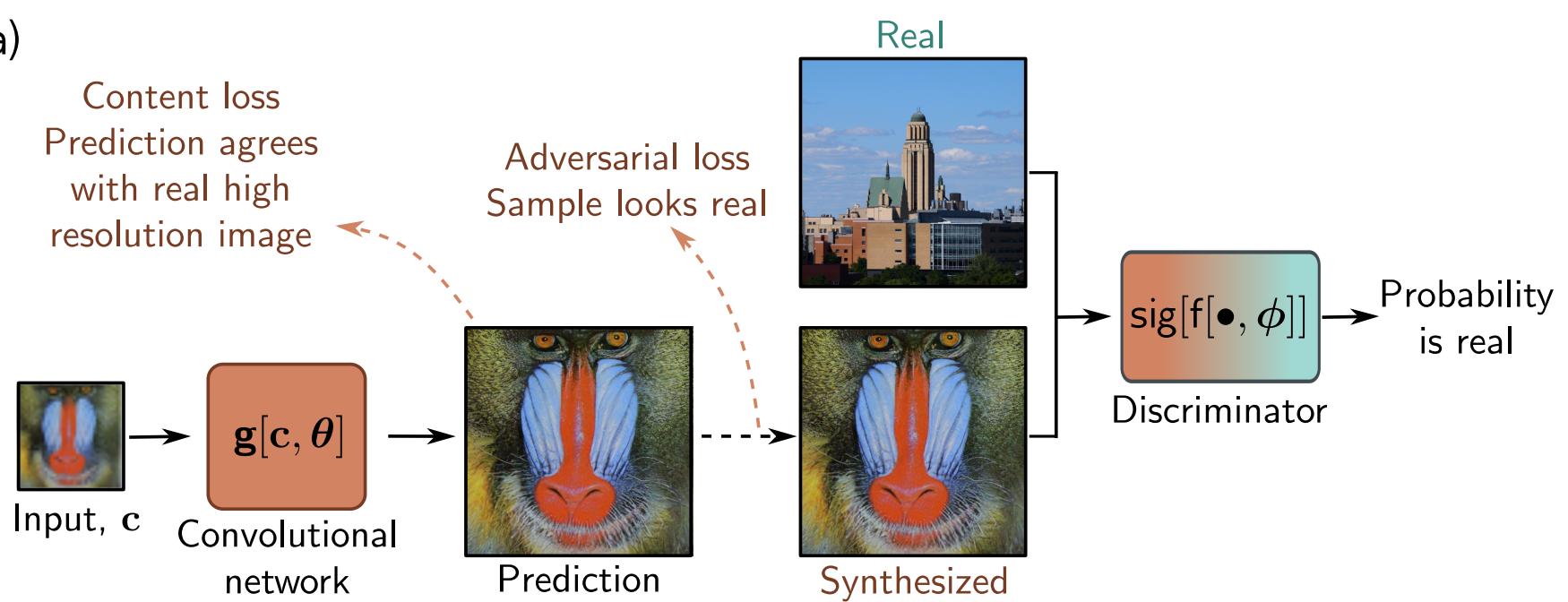
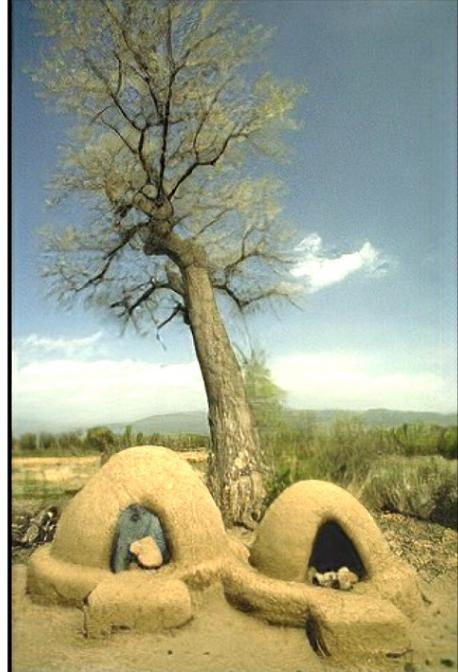


Image translation: SRGAN

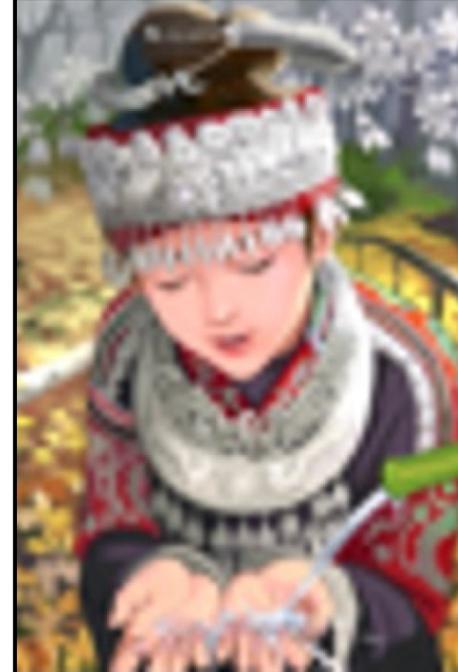
b) Bicubic (4 \times)



c) SRGAN (4 \times)



d) Bicubic (4 \times)

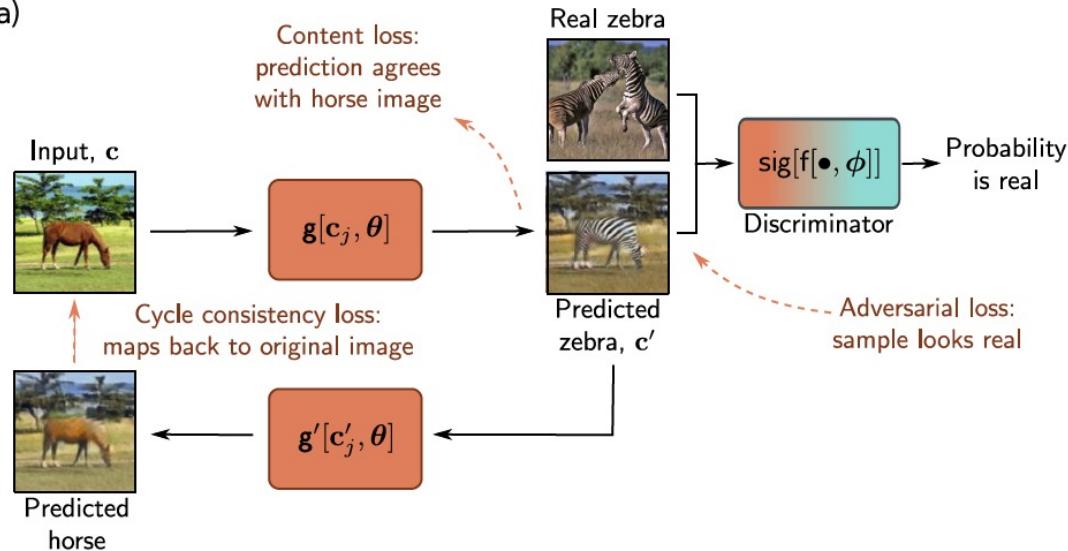


e) SRGAN (4 \times)



Image translation: CycleGAN

a)



Encourages the generator to be reversible

Doesn't need labeled or matched training pairs.

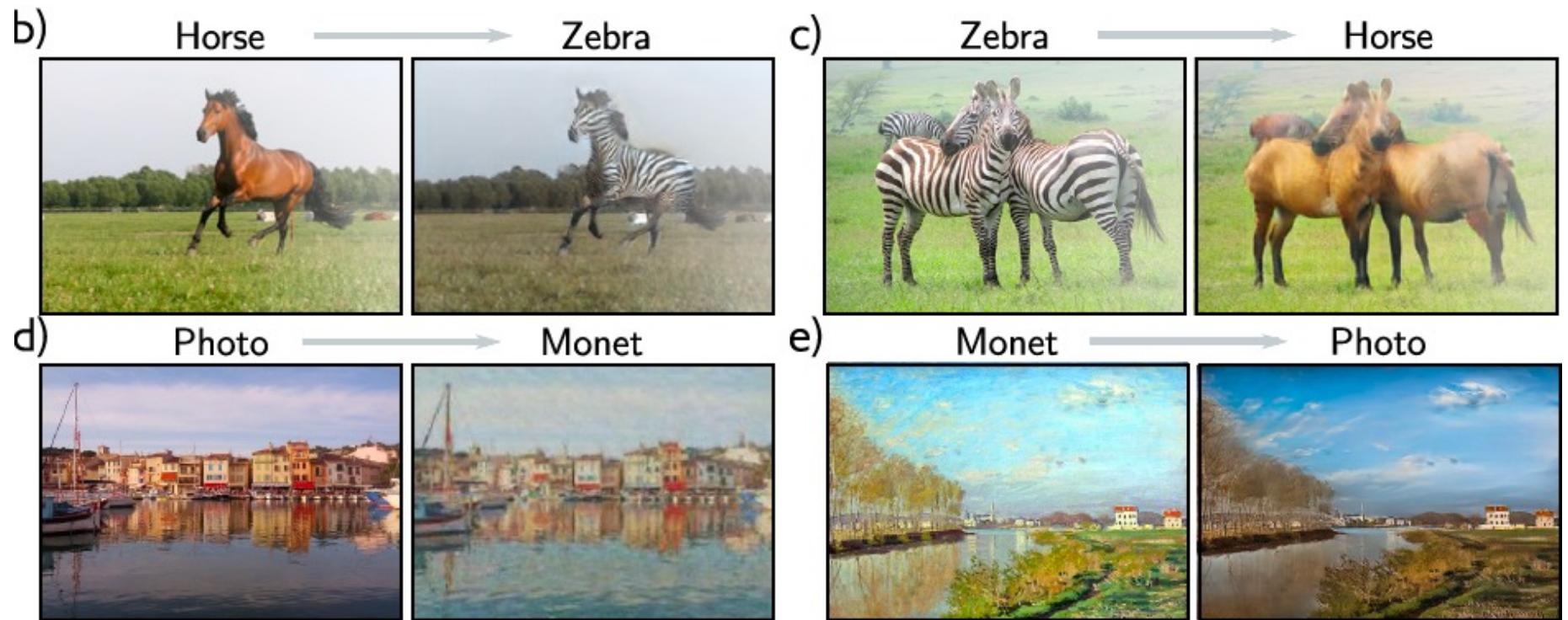
Have two sets of data with distinct styles but no matching pairs.

E.g. Horses and zebras, or photos and Monet paintings

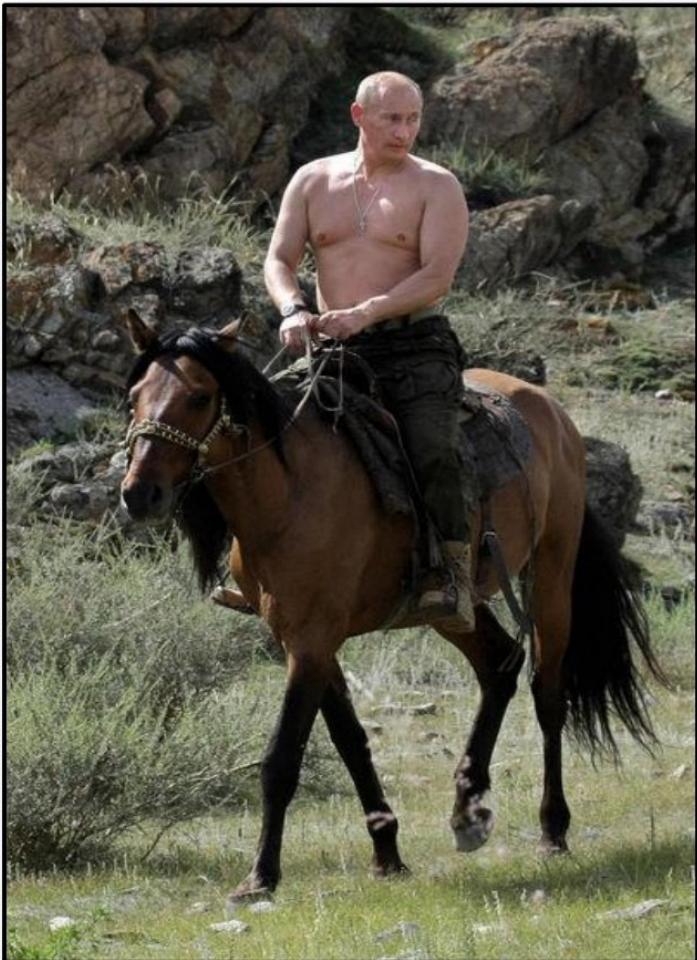
Three losses

1. Content loss based on (ℓ_1 norm)
2. Discriminator loss (real vs fake)
3. Cycle-consistency loss

Image translation: CycleGAN



Input



Output

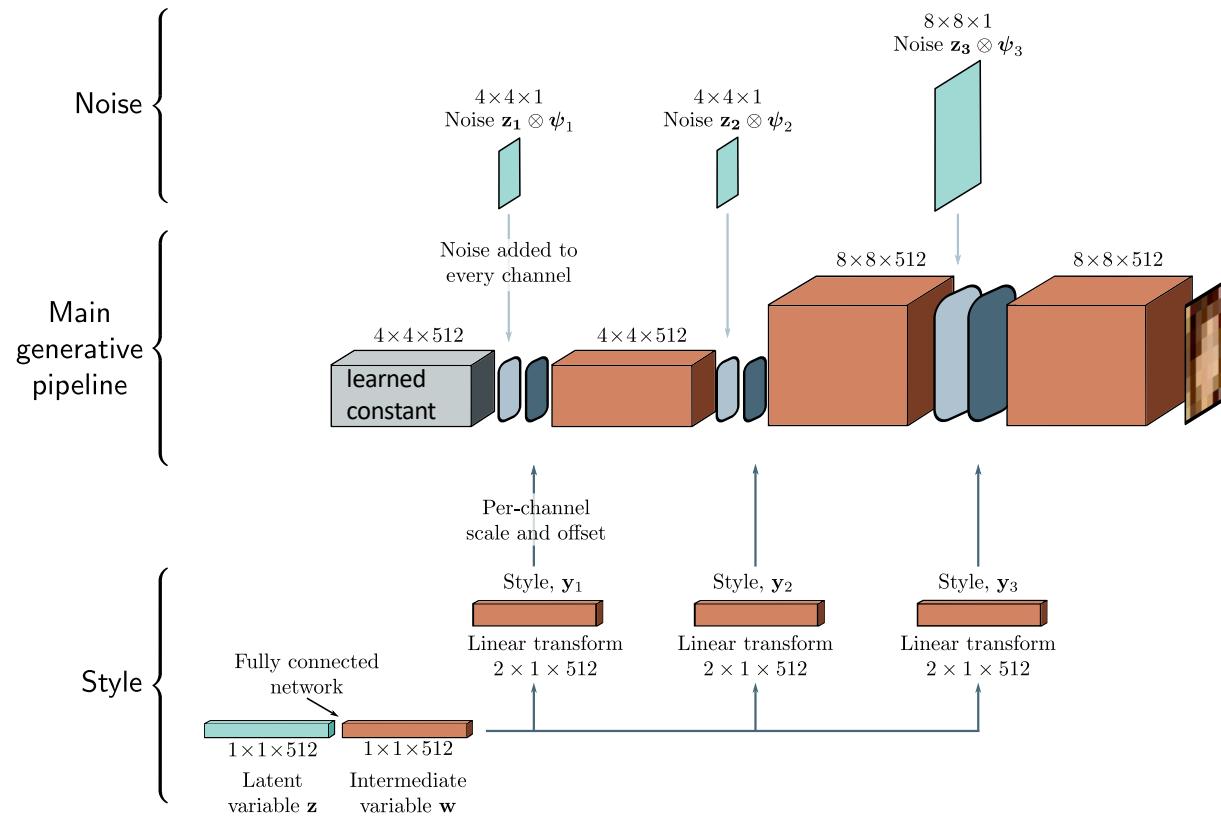


horse → zebra

GANs

- GAN loss function
- DCGAN results and problems
- Tricks for improving performance
- Conditional GANs
- Image translation models
- StyleGAN

Style GAN



Separates style from noise at different scales

Face Examples

Large style changes: face shape, head pose

Medium-scale changes: facial features

Fine-scale: hair and skin color

Noise: hair placement, freckles

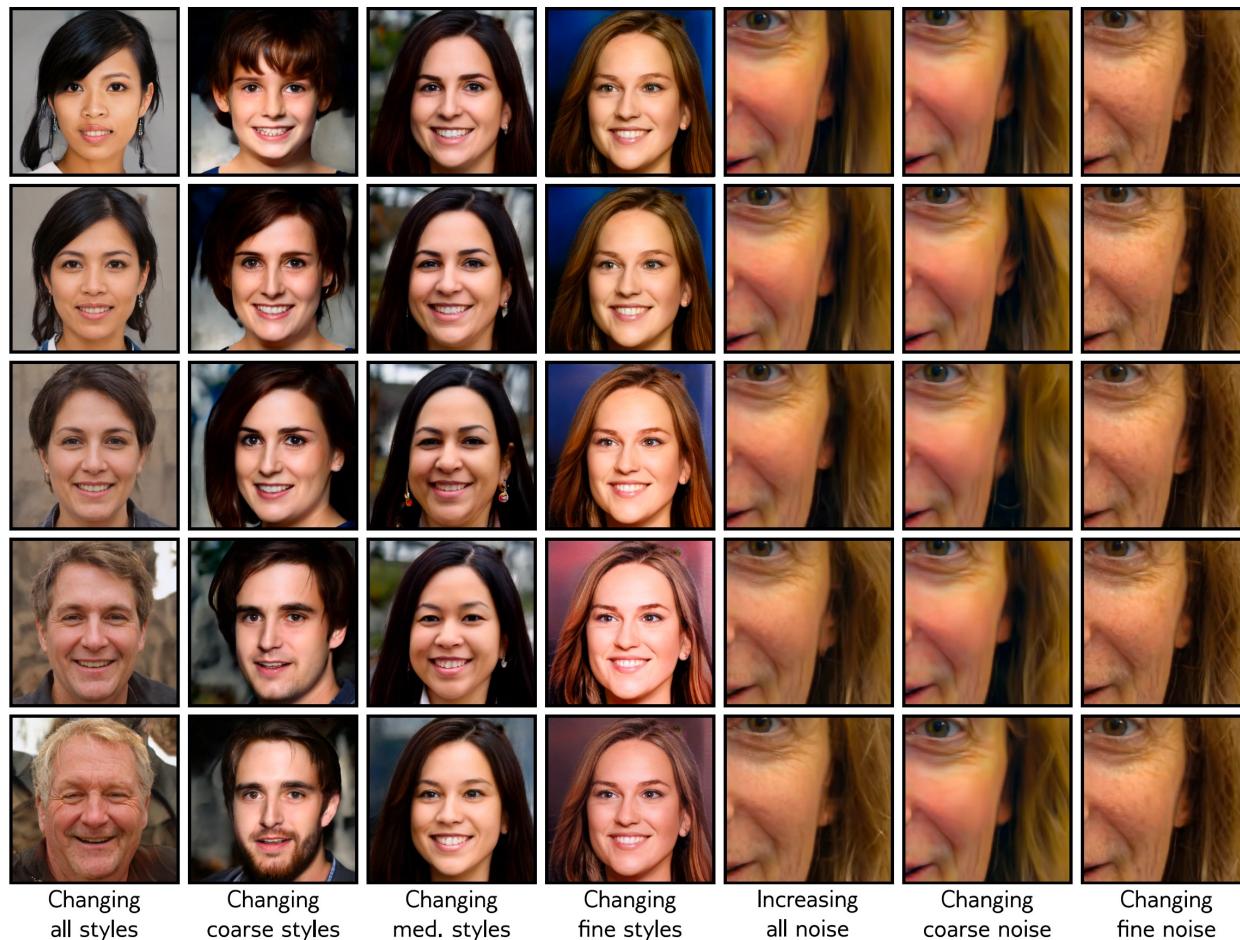
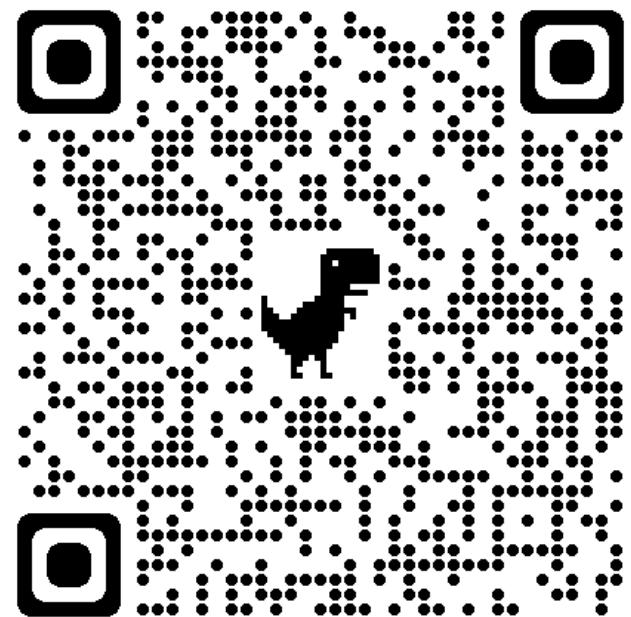


Figure 15.20 StyleGAN results. First four columns show systematic changes in style at various scales. Fifth column shows the effect of increasing noise magnitude. Last two columns show different noise vectors at two different scales.

Upcoming Topics

- VAEs
- Diffusion Models
- Graph Neural Networks
- Reinforcement Learning

Feedback



[Link](#)