

# Convolutional Networks

DL4DS – Spring 2024

# Convolutional networks

- Networks for images
- Invariance and equivariance
- 1D convolution
- Convolutional layers
- Channels
- Receptive fields
- Convolutional network for MNIST 1D

# Image classification

Real world input



Model  
input

$$\begin{bmatrix} 124 \\ 140 \\ 156 \\ 128 \\ 142 \\ 157 \\ \vdots \end{bmatrix}$$

Model



Model  
output

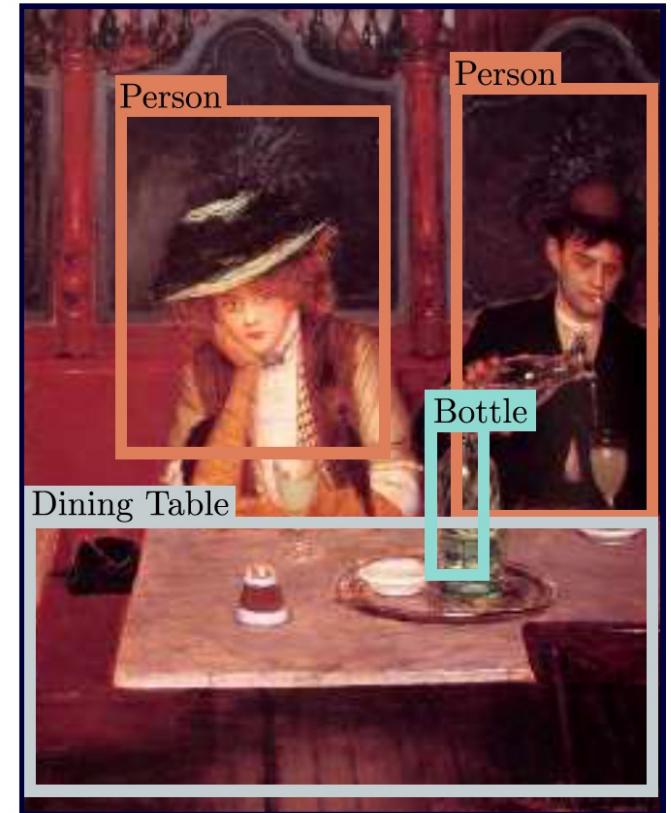
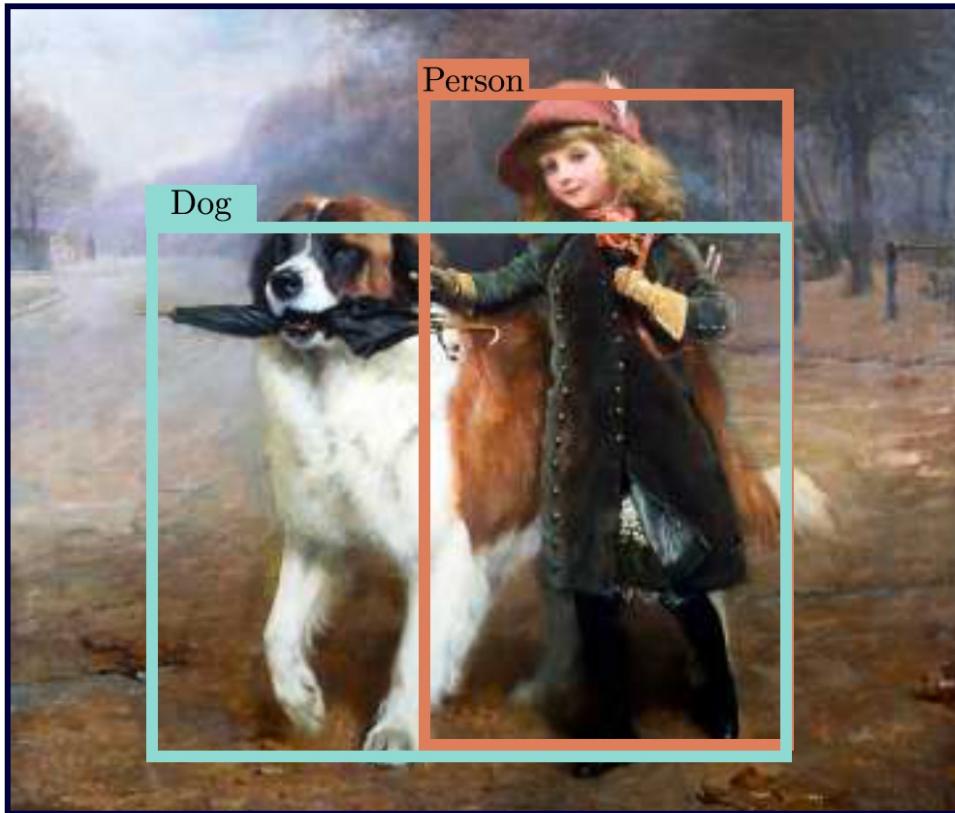
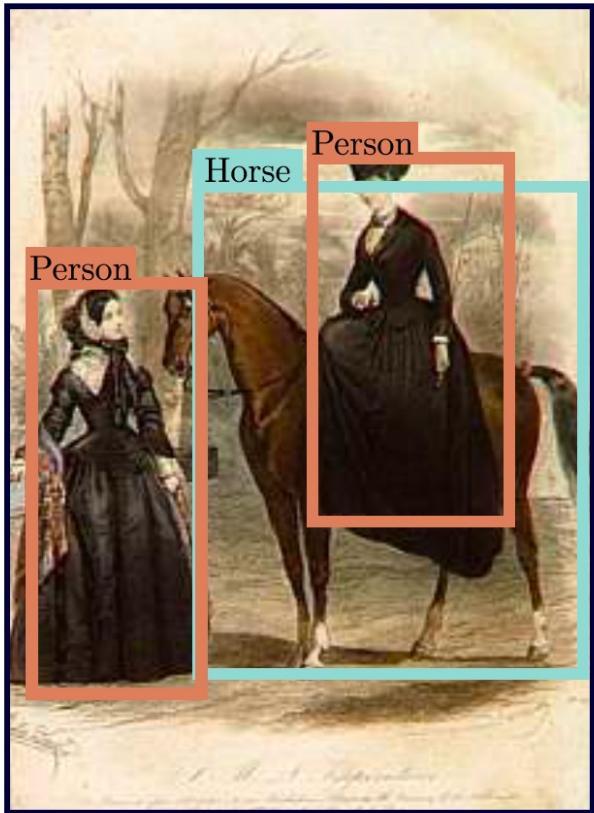
$$\begin{bmatrix} 0.00 \\ 0.00 \\ 0.01 \\ 0.89 \\ 0.05 \\ 0.00 \\ \vdots \\ 0.01 \end{bmatrix}$$

Real world output

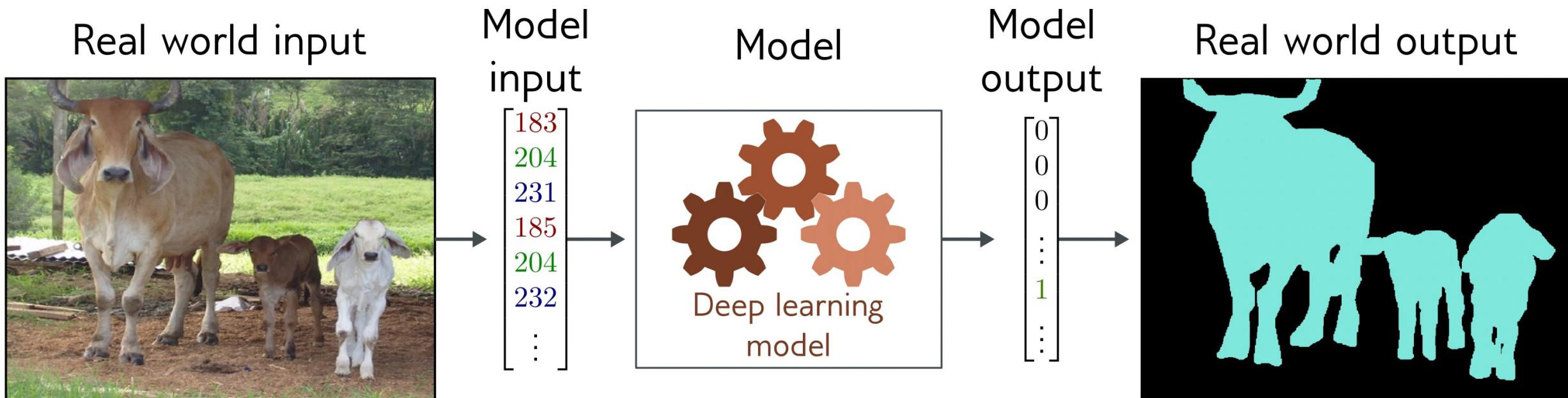
Aardvark  
Apple  
Bee  
Bicycle  
Bridge  
Clown  
⋮

- Multiclass classification problem (discrete classes, >2 possible classes)
- Convolutional network

# Object detection



# Image segmentation



- Multivariate binary classification problem (many outputs, two discrete classes)
- Convolutional encoder-decoder network

# Networks for images

- Problems with fully-connected networks
  - 1. Size
    - 224x224 RGB image = 150,528 dimensions
    - Hidden layers generally larger than inputs
    - One hidden layer =  $150,520 \times 150,528$  weights -- 22 billion
  - 2. Nearby pixels statistically related
    - But could permute pixels and relearn and get same results with FC
  - 3. Should be stable under transformations
    - Don't want to re-learn appearance at different parts of image

# Convolutional networks

- Parameters only look at local image patches
- Share parameters across image

# Convolutional networks

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# Invariance

- A function  $f[x]$  is **invariant** to a transformation  $t[]$  if:

$$f[t[x]] = f[x]$$

i.e., the function output is the same even after the transformation is applied.

# Invariance example

e.g., Image classification

- Image has been translated, but we want our classifier to give the same result



# Equivariance

- A function  $f[x]$  is **equivariant** to a transformation  $t[]$  if:

$$f[t[x]] = t[f[x]]$$

i.e., the output is transformed in the same way as the input

# Equivariance example

e.g., Image segmentation

- Image has been translated and we want segmentation to translate with it



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# Convolution\* in 1D

- Input vector  $\mathbf{x}$ :

$$\mathbf{x} = [x_1, x_2, \dots, x_I]$$

- Output is weighted sum of neighbors:

$$z_i = \omega_1 x_{i-1} + \omega_2 x_i + \omega_3 x_{i+1}$$

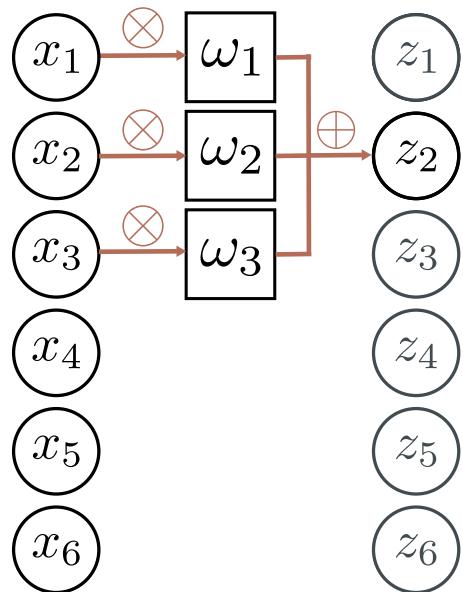
- Convolutional kernel or filter:

$$\boldsymbol{\omega} = [\omega_1, \omega_2, \omega_3]^T$$

Kernel size = 3

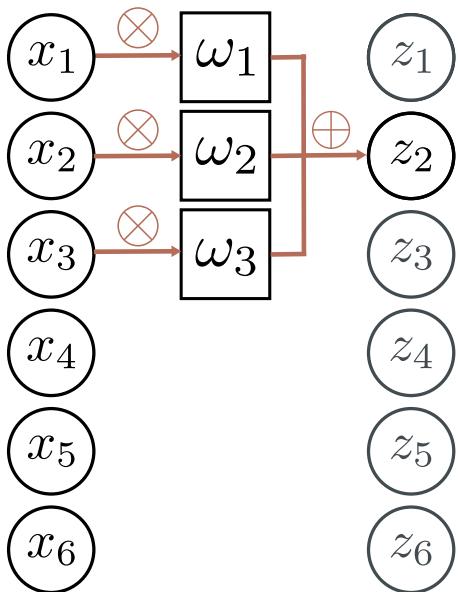
# Convolution with kernel size 3

a)

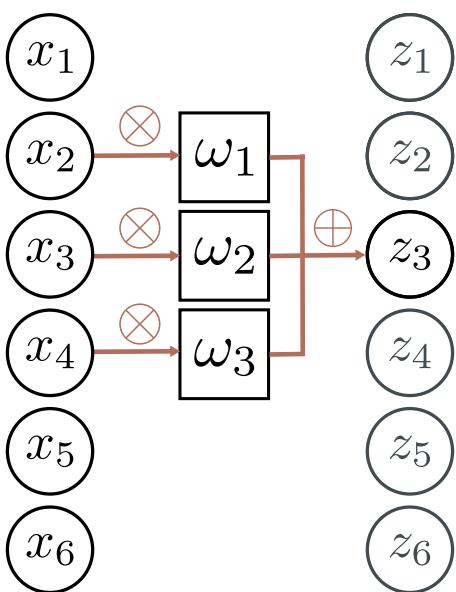


# Convolution with kernel size 3

a)

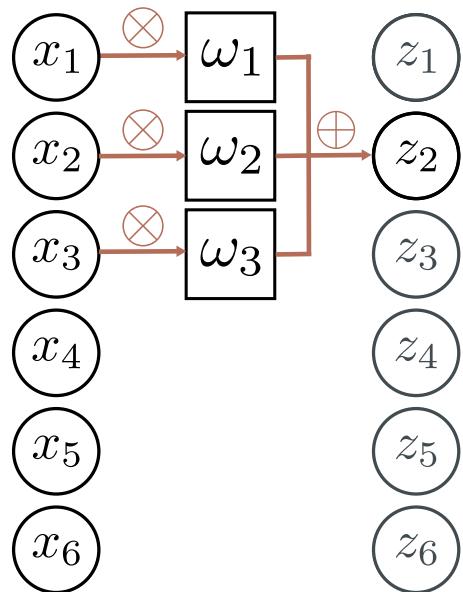


b)

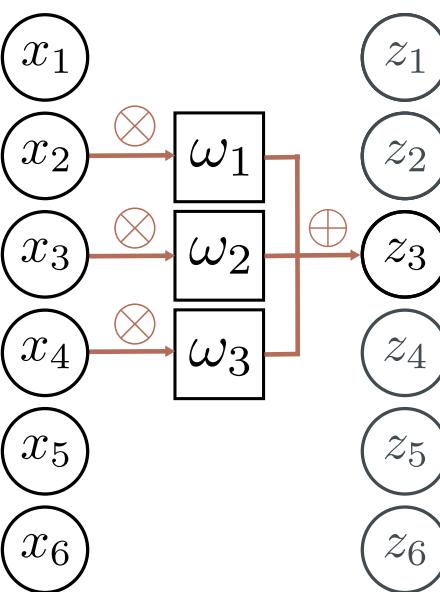


# Convolution with kernel size 3

a)

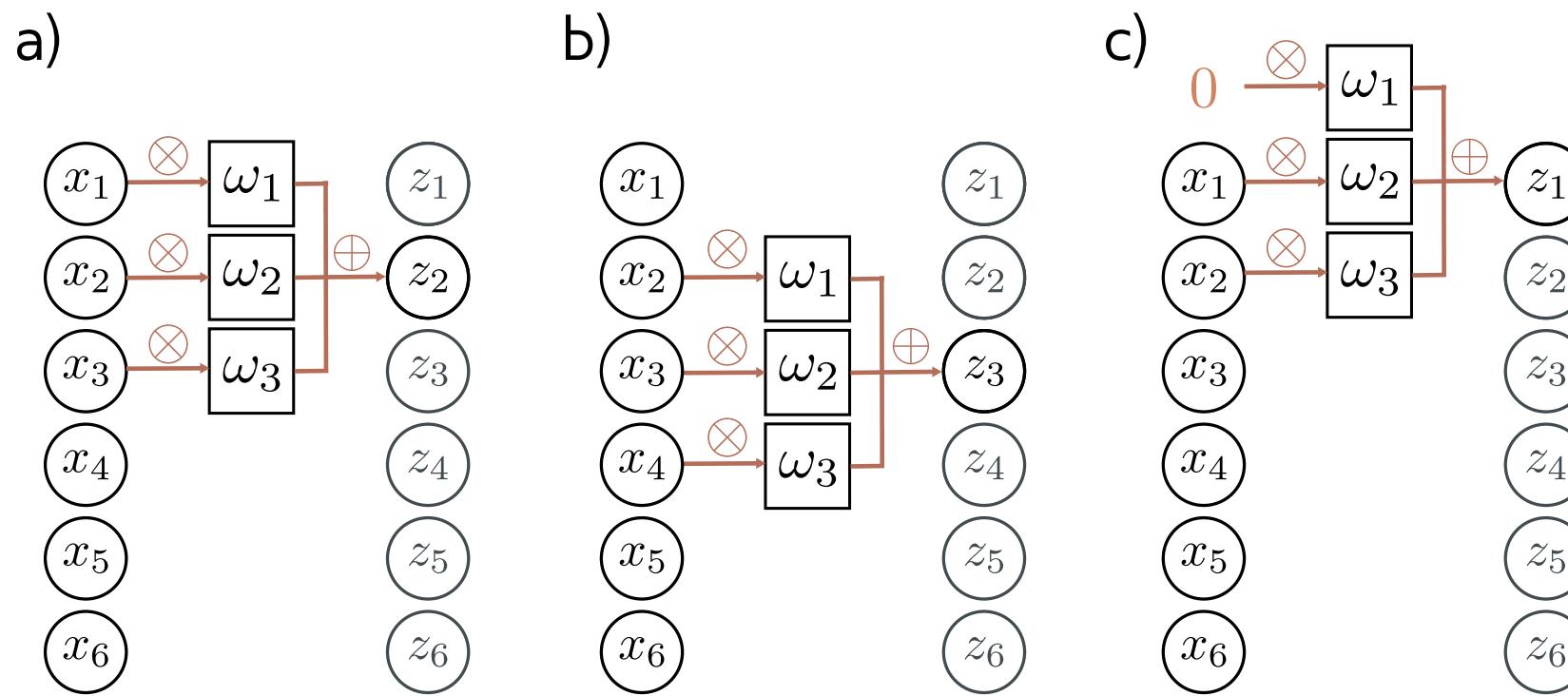


b)



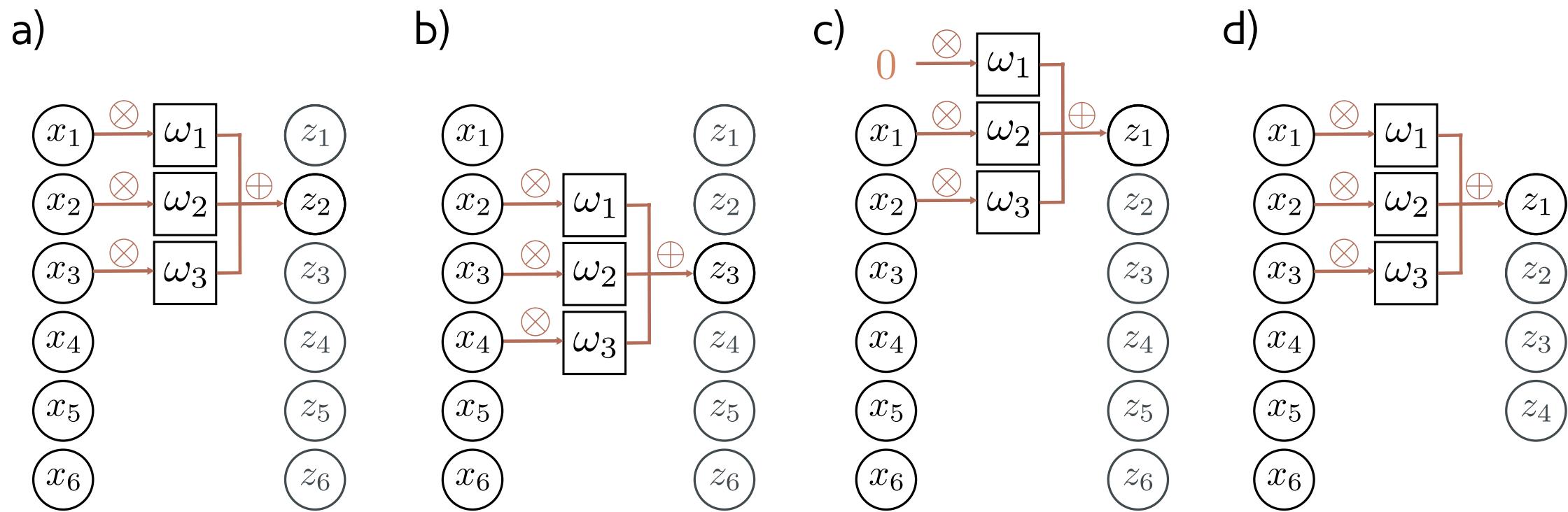
Equivariant to translation of input  
 $\mathbf{f}[\mathbf{t}[\mathbf{x}]] = \mathbf{t}[\mathbf{f}[\mathbf{x}]]$  <sub>17</sub>

# Zero padding



Treat positions that are beyond end of the input as zero.

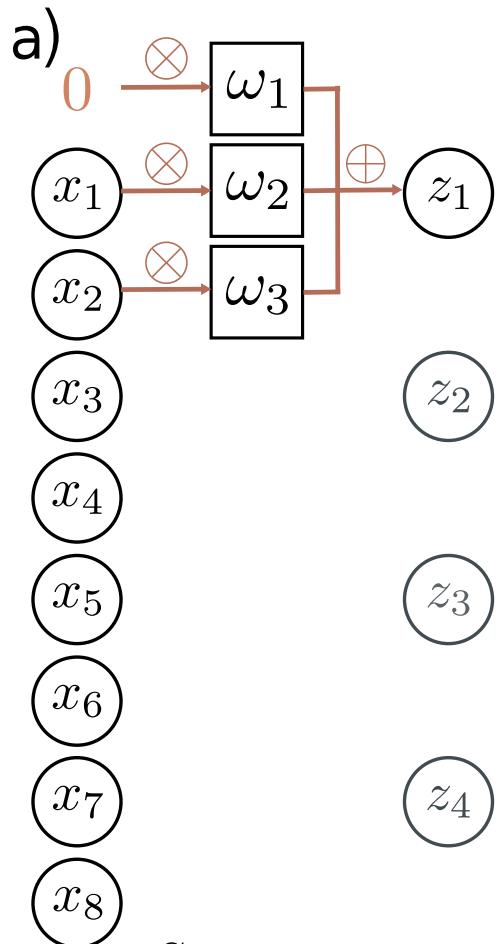
# “Valid” convolutions



Only process positions where kernel falls in image (smaller output).

# Stride, kernel size, and dilation

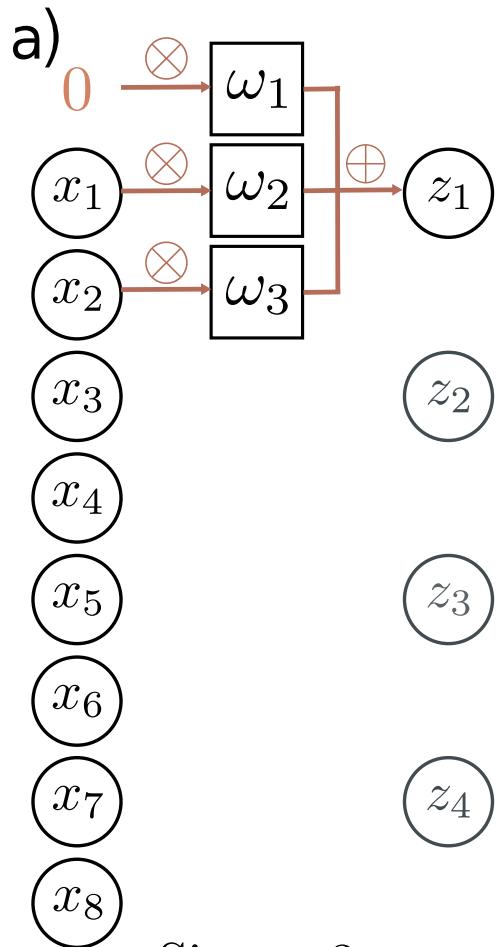
- **Stride** = shift by k positions for each output
  - Decreases size of output relative to input
- **Kernel size** = weight a different number of inputs for each output
  - Combine information from a larger area
  - But kernel size 5 uses 5 parameters
- **Dilated or atrous convolutions** = intersperse kernel values with zeros
  - Combine information from a larger area
  - Fewer parameters



Size = 3

Stride = 2

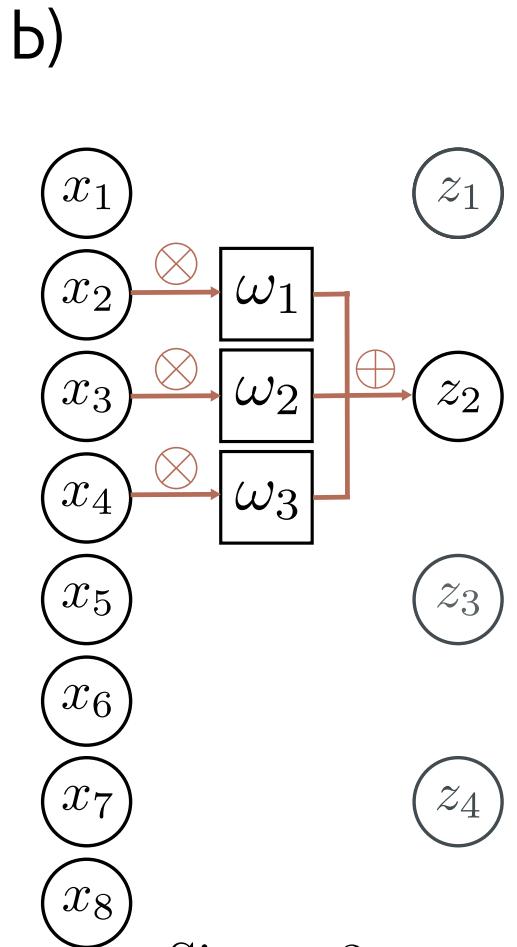
Dilation = 1



Size = 3

Stride = 2

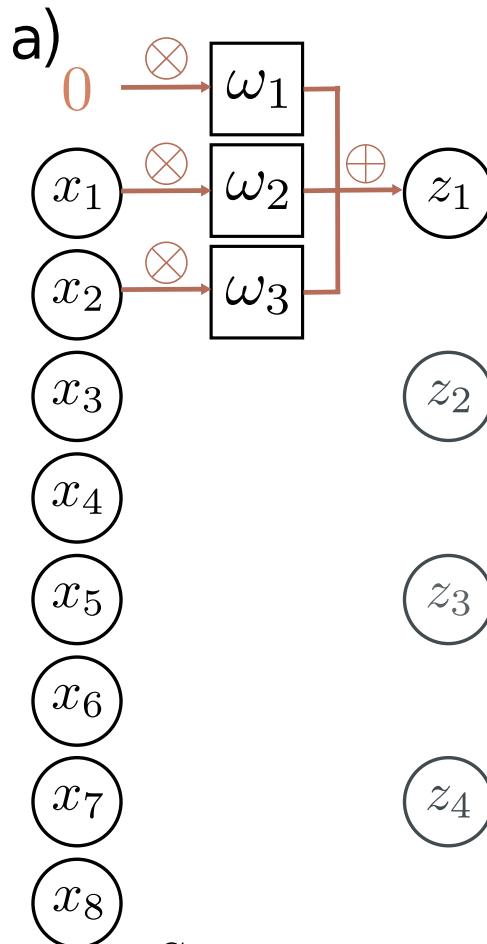
Dilation = 1



Size = 3

Stride = 2

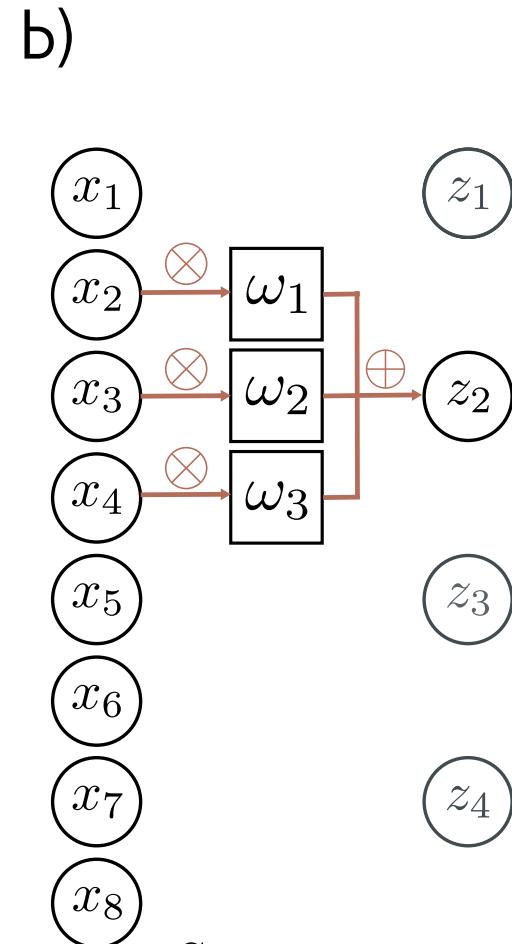
Dilation = 1



Size = 3

Stride = 2

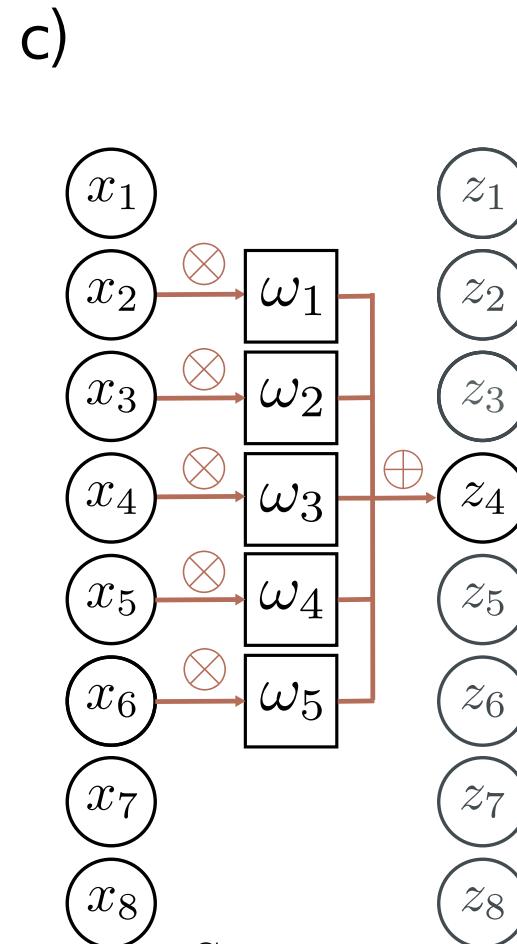
Dilation = 1



Size = 3

Stride = 2

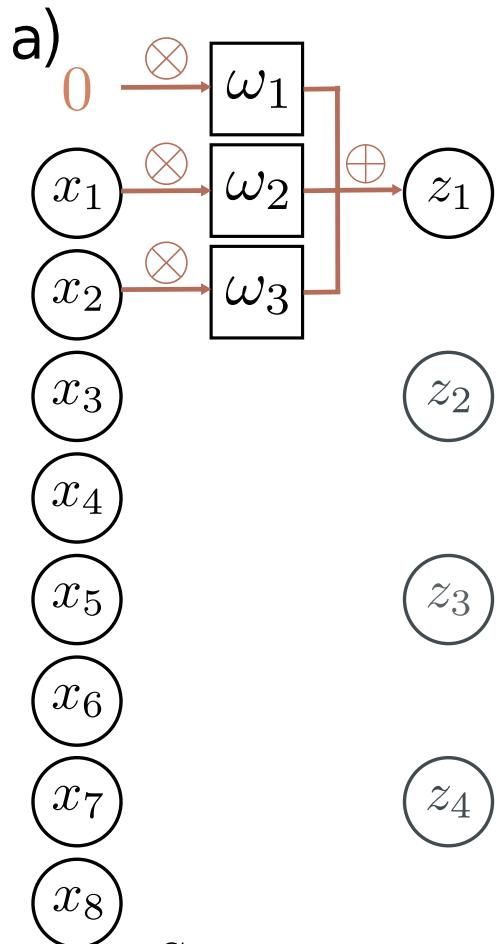
Dilation = 1



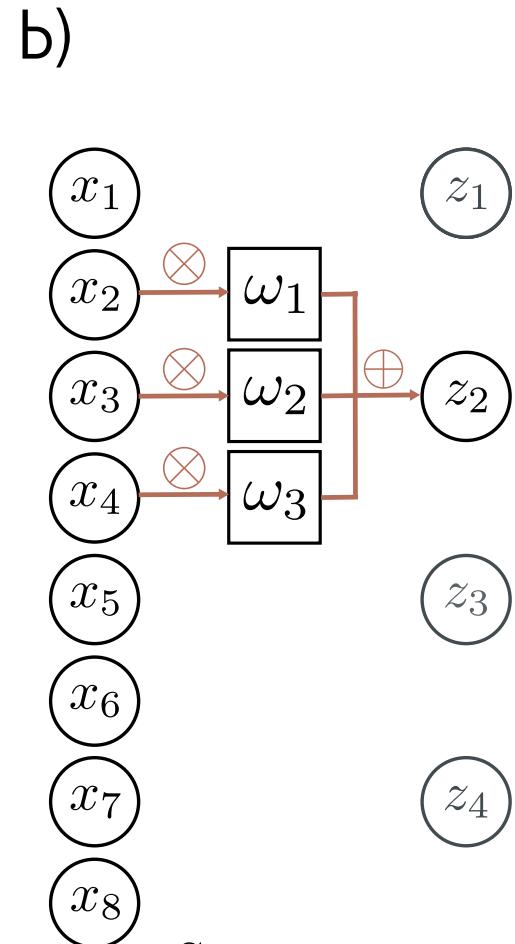
Size = 5

Stride = 1

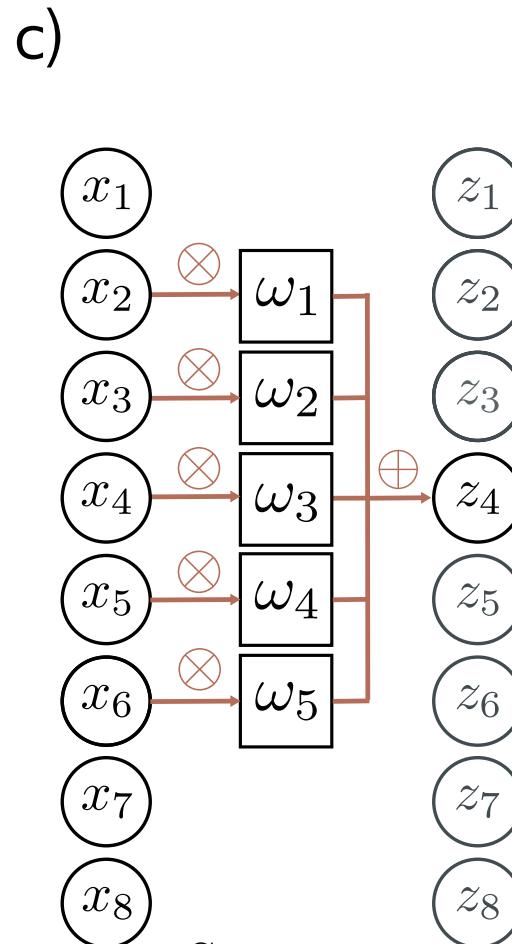
Dilation = 1



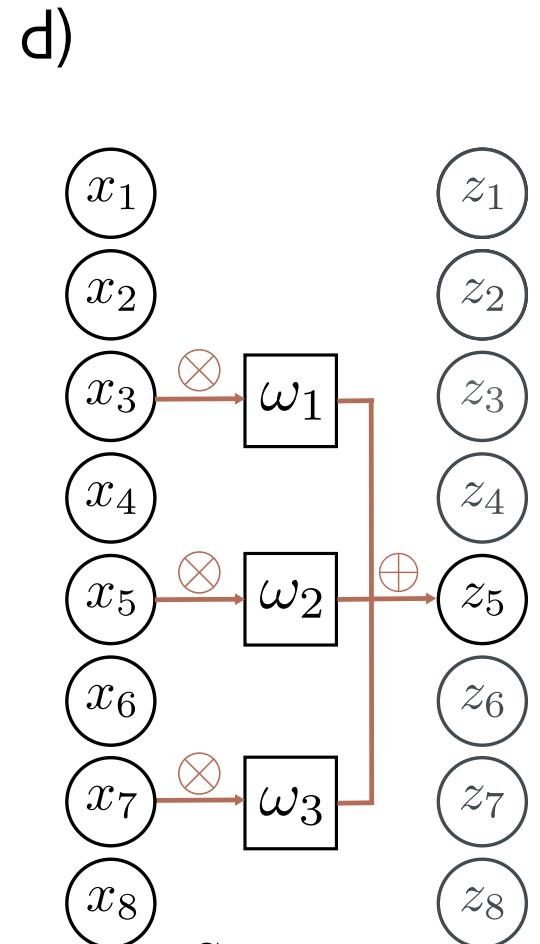
Size = 3  
Stride = 2  
Dilation = 1



Size = 3  
Stride = 2  
Dilation = 1



Size = 5  
Stride = 1  
Dilation = 1



Size = 3  
Stride = 1  
Dilation = 2

# Convolutional networks

- Networks for images
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- 1D convolution
- **Convolutional layers**
- Channels
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# Convolutional layer

$$\begin{aligned} h_i &= \mathbf{a} [\beta + \omega_1 x_{i-1} + \omega_2 x_i + \omega_3 x_{i+1}] \\ &= \mathbf{a} \left[ \beta + \sum_{j=1}^3 \omega_j x_{i+j-2} \right] \end{aligned}$$

# Special case of fully-connected network

Convolutional network:

$$\begin{aligned} h_i &= \text{a} [\beta + \omega_1 x_{i-1} + \omega_2 x_i + \omega_3 x_{i+1}] \\ &= \text{a} \left[ \beta + \sum_{j=1}^3 \omega_j x_{i+j-2} \right] \end{aligned}$$

Fully connected network:

$$h_i = \text{a} \left[ \beta_i + \sum_{j=1}^D \omega_{ij} x_j \right]$$

# Special case of fully-connected network

Convolutional network:

$$h_i = a [\beta + \omega_1 x_{i-1} + \omega_2 x_i + \omega_3 x_{i+1}]$$

$$= a \left[ \beta + \sum_{j=1}^3 \omega_j x_{i+j-2} \right]$$

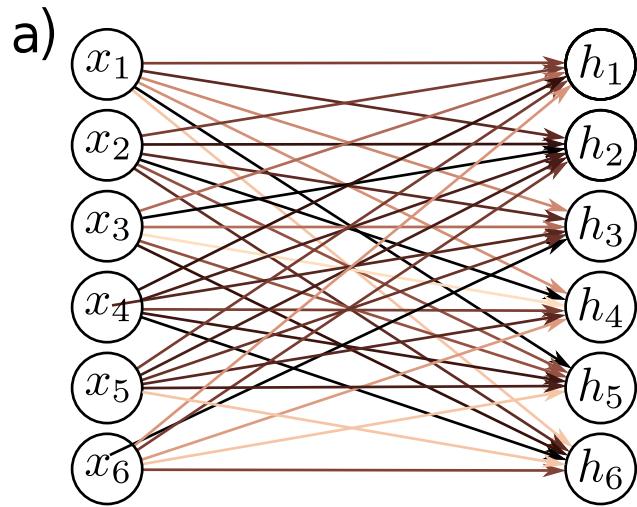
3 weights, 1 bias

Fully connected network:

$$h_i = a \left[ \beta_i + \sum_{j=1}^D \omega_{ij} x_j \right]$$

$D^2$  weights, D biases

# Special case of fully-connected network



b)

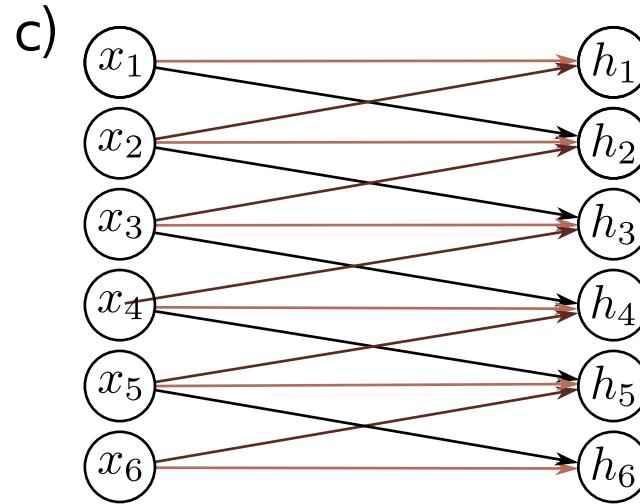
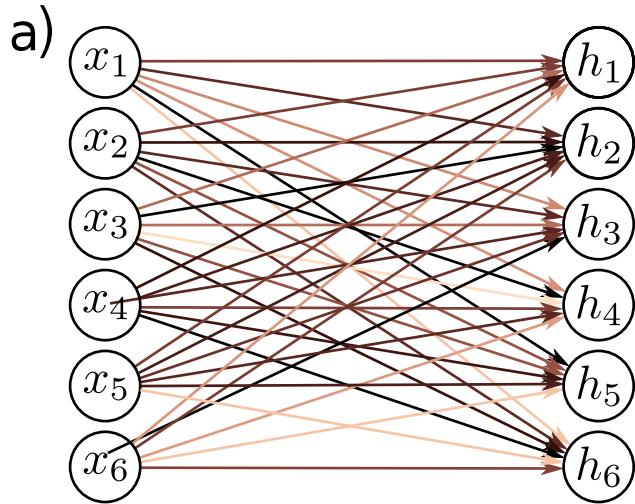
	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
$h_1$	Dark Brown	Medium Brown	Light Brown	Dark Brown	Medium Brown	Light Brown
$h_2$	Dark Brown	Medium Brown	Black	Dark Brown	Medium Brown	Light Brown
$h_3$	Light Brown	Medium Brown	Light Brown	Dark Brown	Medium Brown	Black
$h_4$	Light Brown	Black	Light Tan	Dark Brown	Medium Brown	Light Brown
$h_5$	Black	Medium Brown	Light Brown	Dark Brown	Medium Brown	Light Brown
$h_6$	Light Orange	Dark Brown	Medium Brown	Dark Gray	Dark Brown	Medium Brown

Bias is implied

Weight Matrix

Fully connected network

# Special case of fully-connected network



b)

	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
$h_1$	Dark Brown	Medium Brown	Light Brown	Dark Brown	Medium Brown	Light Brown
$h_2$	Dark Brown	Medium Brown	Black	Dark Brown	Medium Brown	Light Brown
$h_3$	Light Brown	Medium Brown	Light Brown	Dark Brown	Medium Brown	Black
$h_4$	Light Brown	Black	Light Brown	Dark Brown	Medium Brown	Light Brown
$h_5$	Black	Medium Brown	Medium Brown	Dark Brown	Medium Brown	Light Brown
$h_6$	Light Brown	Medium Brown	Dark Grey	Dark Brown	Medium Brown	Light Brown

Fully connected network

d)

	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
$h_1$	Light Brown	Medium Brown	Light Brown	Dark Brown	Medium Brown	Light Brown
$h_2$	Black	Medium Brown	Dark Brown	Medium Brown	Light Brown	Light Brown
$h_3$	White	Black	Light Brown	Dark Brown	Medium Brown	Light Brown
$h_4$	White	White	Black	Light Brown	Dark Brown	Light Brown
$h_5$	White	White	White	Black	Light Brown	Dark Brown
$h_6$	White	White	White	White	Black	Light Brown

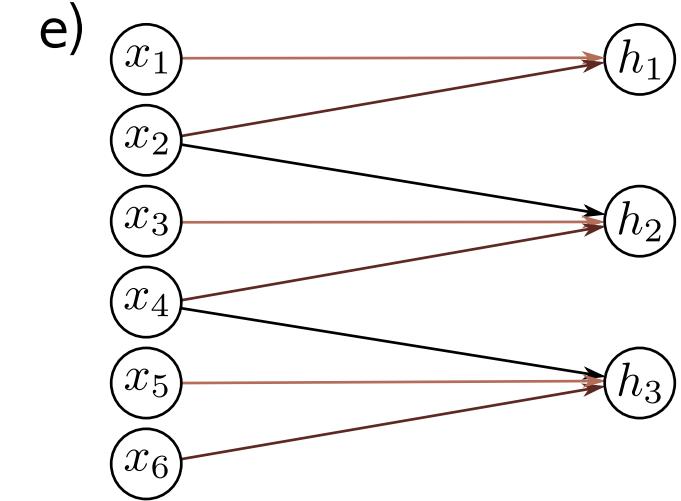
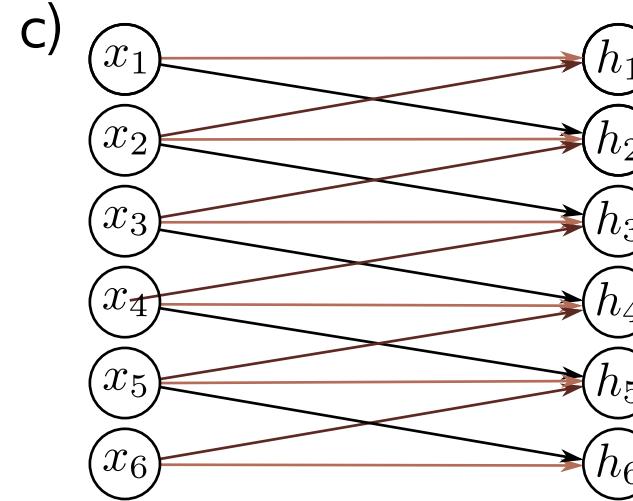
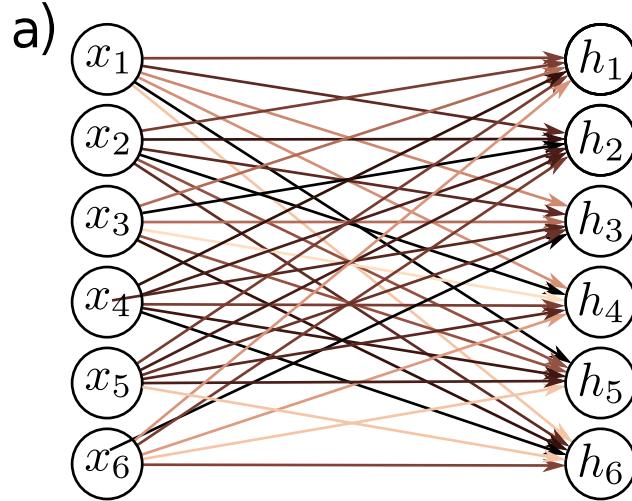
Convolution, kernel 3,  
stride 1, dilation 1

Bias is implied

Weight  
Matrices

Bias is implied

# Special case of fully-connected network



b)

	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
$h_1$	Dark Brown	Dark Brown	Light Brown	Dark Brown	Dark Brown	Light Brown
$h_2$	Dark Brown	Medium Brown	Black	Dark Brown	Dark Brown	Dark Brown
$h_3$	Light Brown	Dark Brown	Light Brown	Dark Brown	Dark Brown	Black
$h_4$	Light Brown	Black	Light Brown	Dark Brown	Dark Brown	Light Brown
$h_5$	Black	Dark Brown	Dark Brown	Dark Brown	Dark Brown	Light Brown
$h_6$	Light Brown	Dark Brown	Medium Brown	Dark Brown	Dark Brown	Dark Brown

Weight Matrices

Fully connected network

d)

	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
$h_1$	Light Brown	Dark Brown	Black	Light Brown	Light Brown	Light Brown
$h_2$	Black	Light Brown	Dark Brown	Light Brown	Light Brown	Light Brown
$h_3$	Light Brown	Black	Light Brown	Dark Brown	Light Brown	Light Brown
$h_4$	Light Brown	Light Brown	Black	Light Brown	Dark Brown	Light Brown
$h_5$	Light Brown	Light Brown	Light Brown	Black	Light Brown	Dark Brown
$h_6$	Light Brown	Light Brown	Light Brown	Light Brown	Black	Light Brown

Convolution, size 3, stride 1,  
dilation 1, zero padding

f)

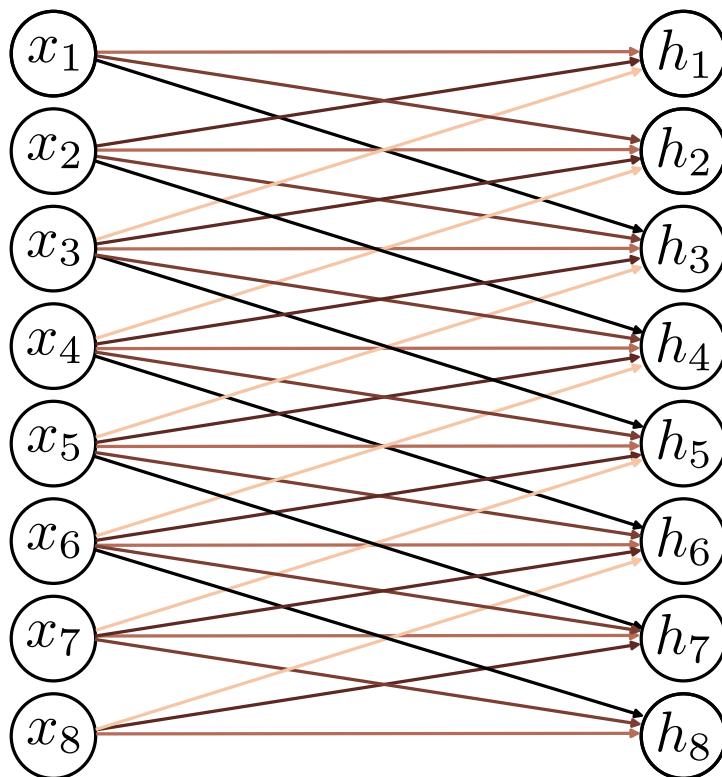
	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$
$h_1$	Light Brown	Dark Brown	Black	Light Brown	Light Brown	Light Brown
$h_2$	Light Brown	Black	Light Brown	Dark Brown	Light Brown	Light Brown
$h_3$	Light Brown	Light Brown	Light Brown	Dark Brown	Light Brown	Dark Brown

Convolution, size 3, stride 2,  
dilation 1, zero padding

# Question 1

Bias is implied

- Kernel size?
- Stride?
- Dilation?
- Zero padding / valid?



	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$
$h_1$	Dark Brown							
$h_2$		Dark Brown						
$h_3$			Dark Brown					
$h_4$				Dark Brown				
$h_5$					Dark Brown			
$h_6$						Dark Brown		
$h_7$							Dark Brown	
$h_8$								Dark Brown



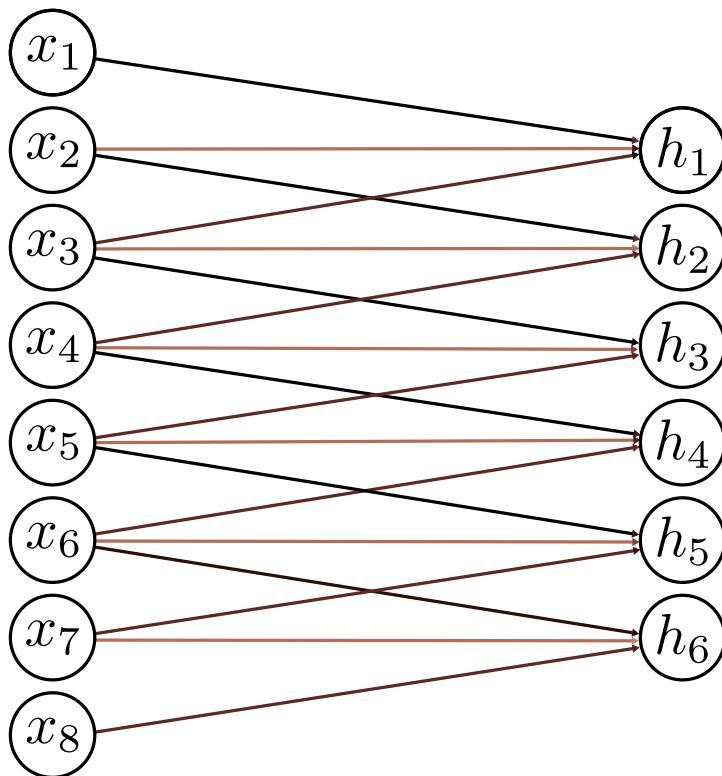
## Convolution Configuration

ⓘ Start presenting to display the poll results on this slide.

# Question 2

Bias is implied

- Kernel size?
- Stride?
- Dilation?
- Zero padding / valid?



	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$
$h_1$	Black	Light Brown	Dark Brown					
$h_2$		Black	Light Brown	Dark Brown				
$h_3$			Black	Light Brown	Dark Brown			
$h_4$				Black	Light Brown	Dark Brown		
$h_5$					Black	Light Brown	Dark Brown	
$h_6$						Black	Light Brown	Dark Brown

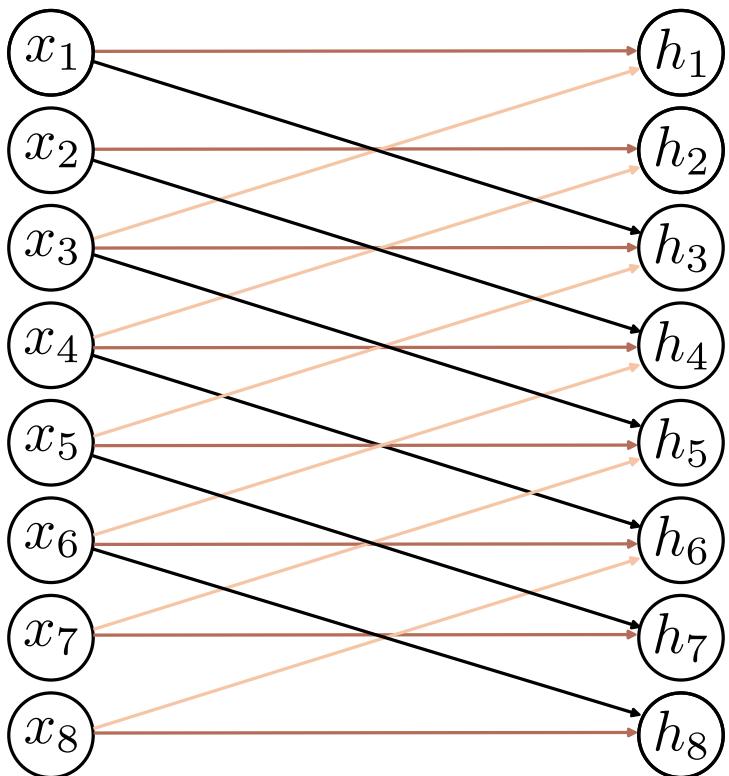


## Conv Config 2

ⓘ Start presenting to display the poll results on this slide.

# Question 3

- Kernel size?
- Stride?
- Dilation?
- Zero padding / valid?



	$x_1$	$x_2$	$x_3$	$x_4$	$x_5$	$x_6$	$x_7$	$x_8$
$h_1$	■							
$h_2$		■						
$h_3$			■					
$h_4$				■				
$h_5$					■			
$h_6$						■		
$h_7$							■	
$h_8$								■

Bias is implied



## Conv Config 3

ⓘ Start presenting to display the poll results on this slide.

# Convolutional networks

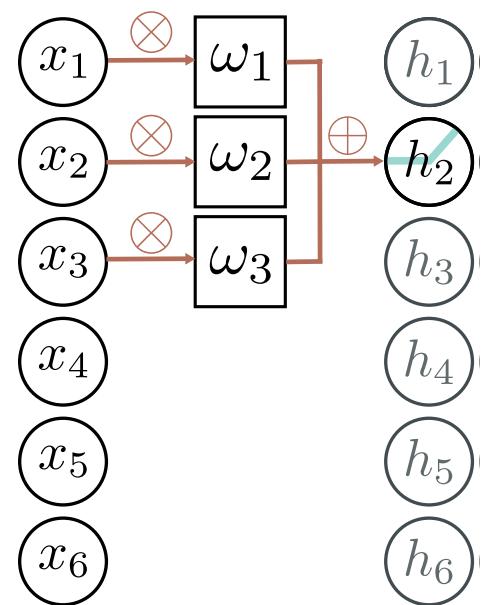
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# Channels

- The convolutional operation averages together the inputs
- Plus passes through ReLU function
- Result is loss of information
- Solution:
  - apply several convolutions and stack them in **channels**
  - Sometimes also called **feature maps**

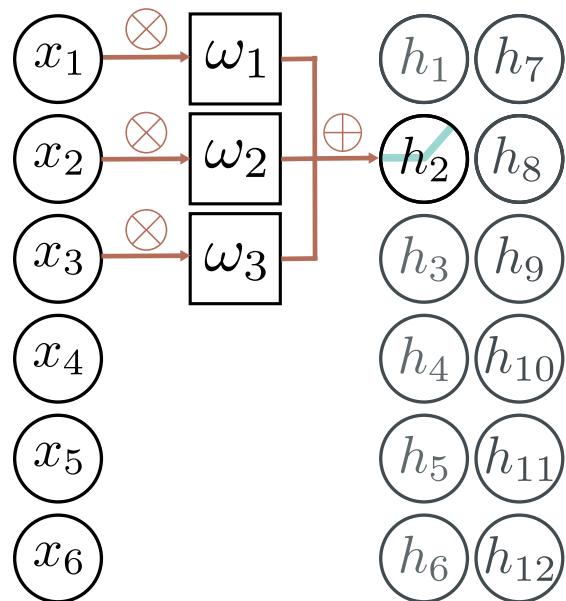
# Two output channels, one input channel

a)

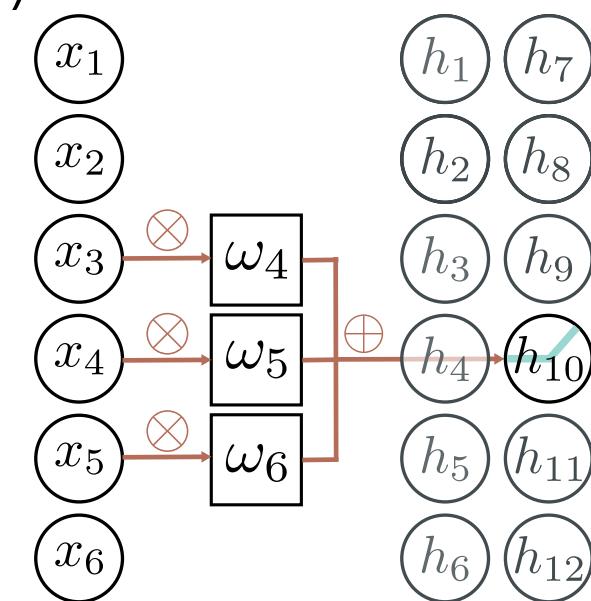


# Two output channels, one input channel

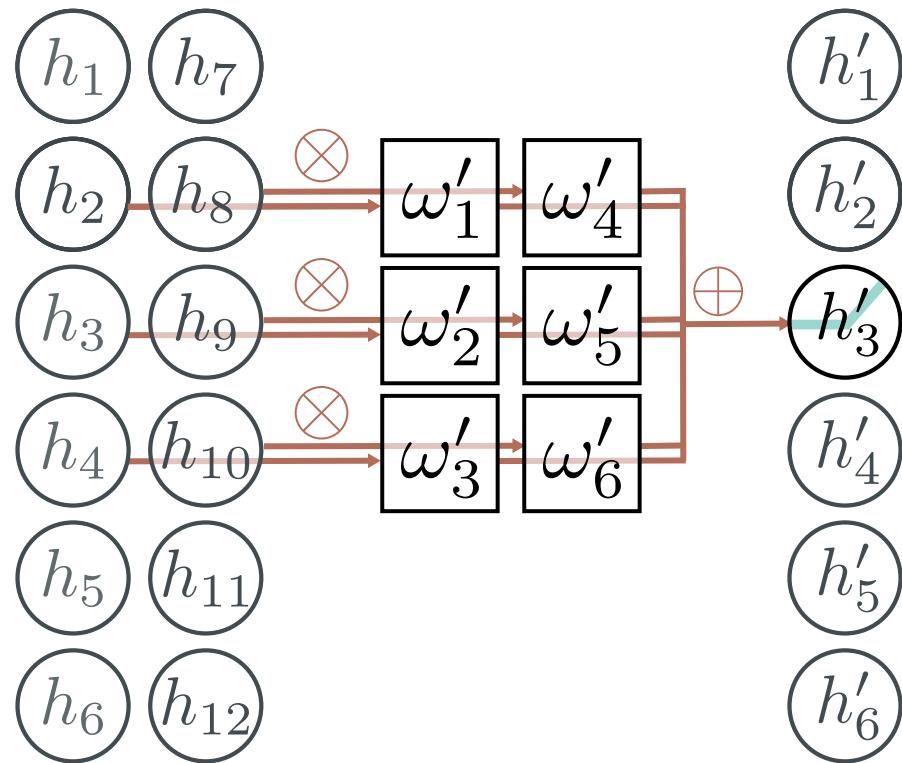
a)



b)



# Two input channels, one output channel



# How many parameters?

- If there are  $C_i$  input channels and kernel size K

$$\Omega \in \mathbb{R}^{C_i \times K} \quad \beta \in \mathbb{R}$$

- If there are  $C_i$  input channels and  $C_o$  output channels

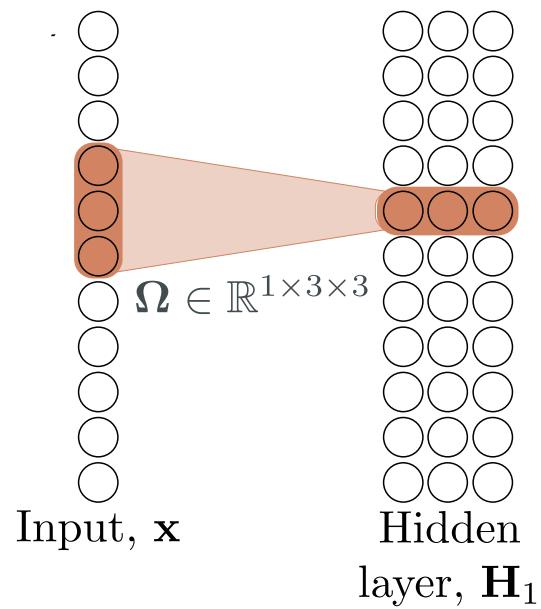
$$\Omega \in \mathbb{R}^{C_i \times C_o \times K} \quad \beta \in \mathbb{R}^{C_o}$$

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# Receptive fields

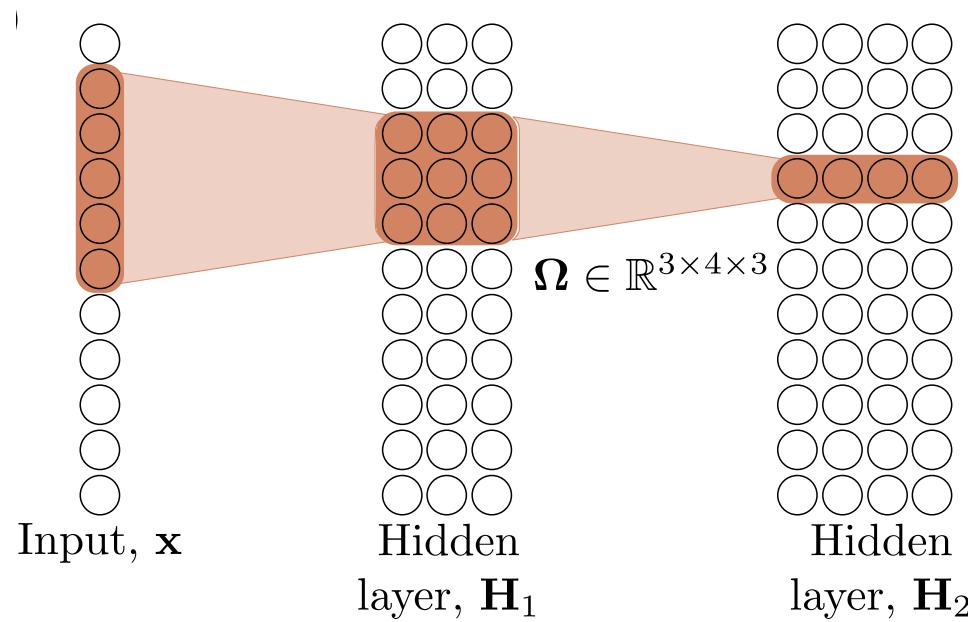
$$\mathbb{R}^{C_i \times C_o \times K}$$



# Receptive fields

$$\mathbb{R}^{C_i \times C_o \times K}$$

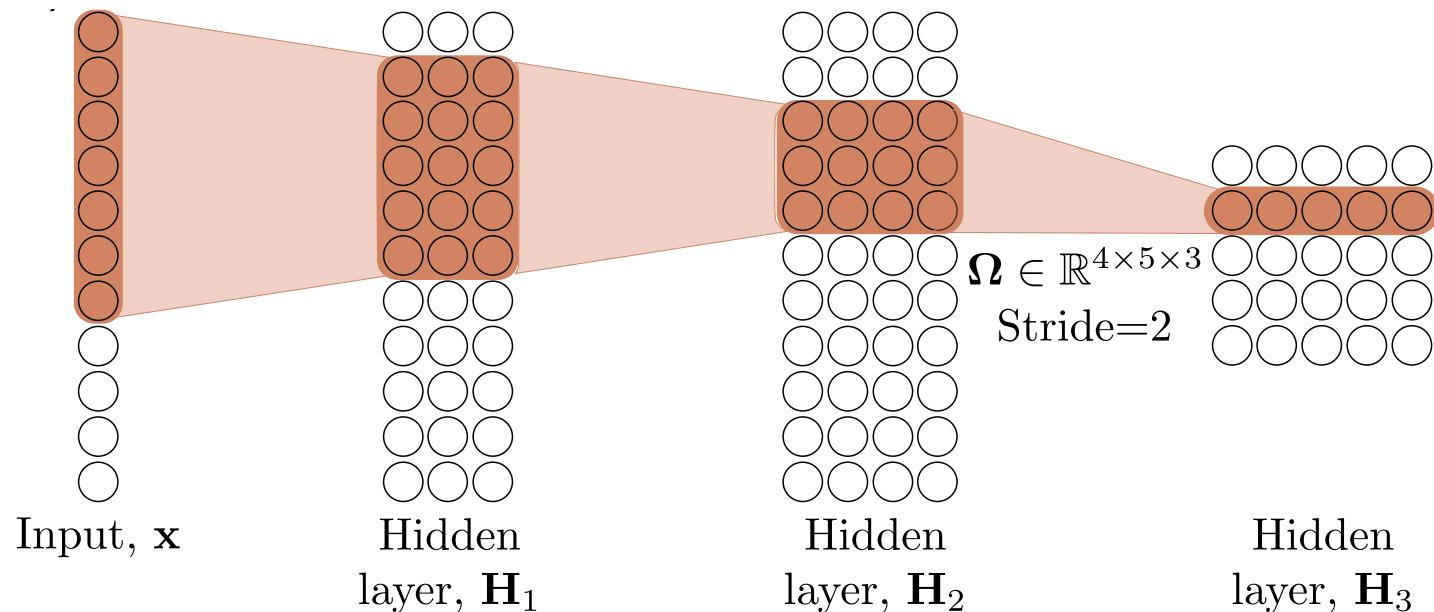
$$[\omega_1, \omega_2, \omega_3] \otimes [\omega_1, \omega_2, \omega_3] = [\omega_1, \omega_2, \omega_3, \omega_4, \omega_5]$$



# Receptive fields

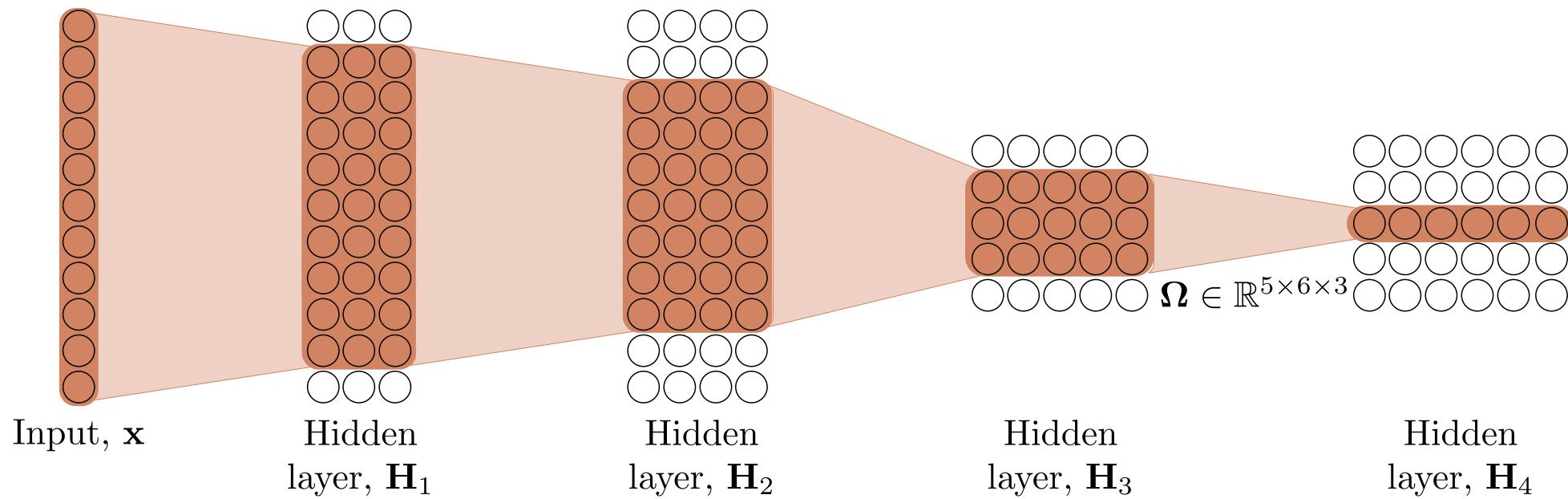
$$\mathbb{R}^{C_i \times C_o \times K}$$

$$[\omega_1, \omega_2, \omega_3, \omega_4, \omega_5] \otimes [\omega_1, \omega_2, \omega_3] = [\omega_1, \omega_2, \omega_3, \omega_4, \omega_5, \omega_6, \omega_7]$$



# Receptive fields

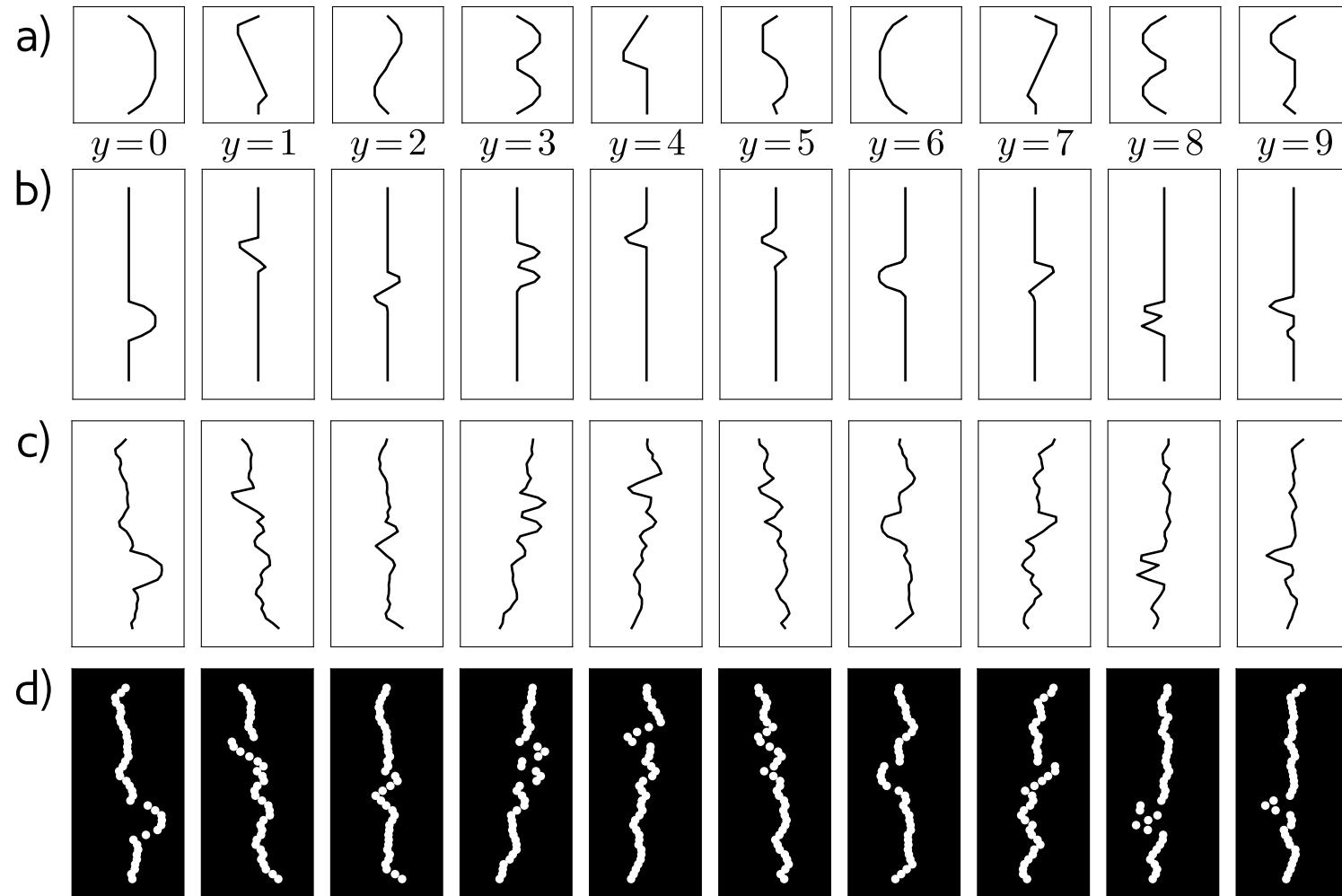
$$\mathbb{R}^{C_i \times C_o \times K}$$



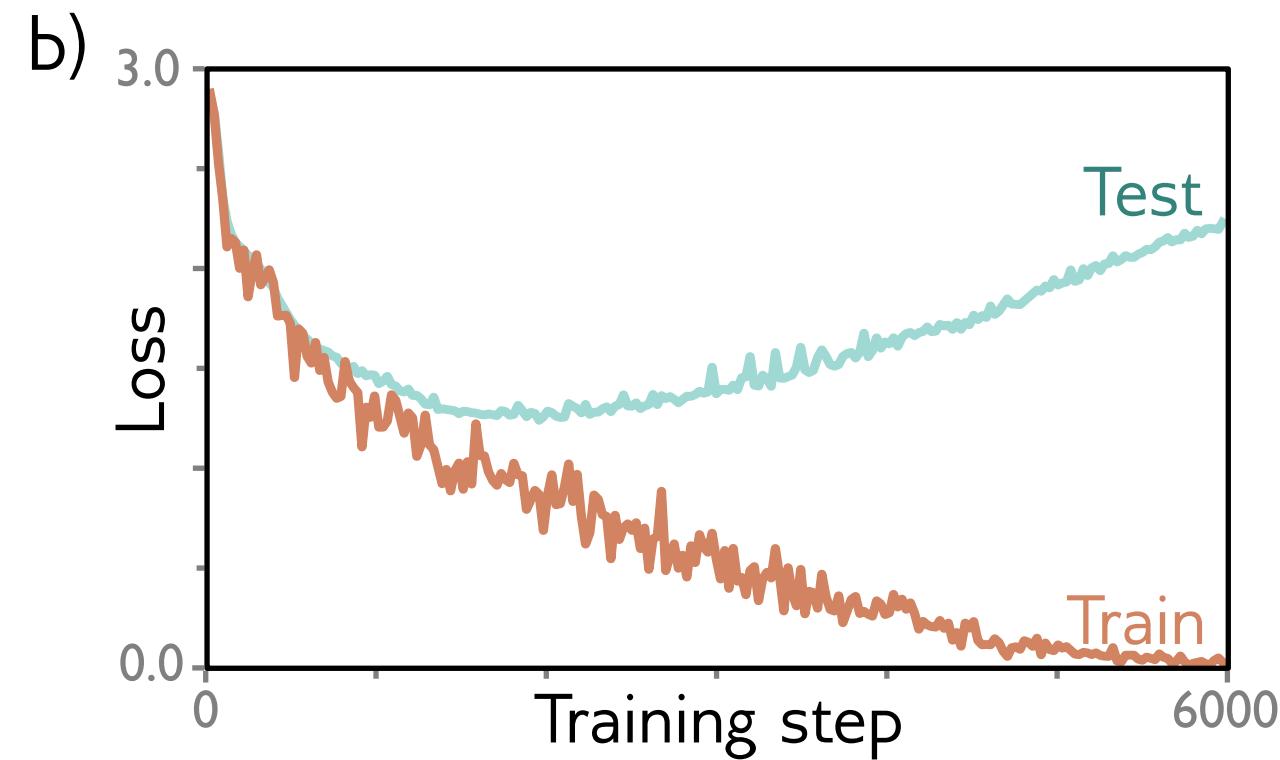
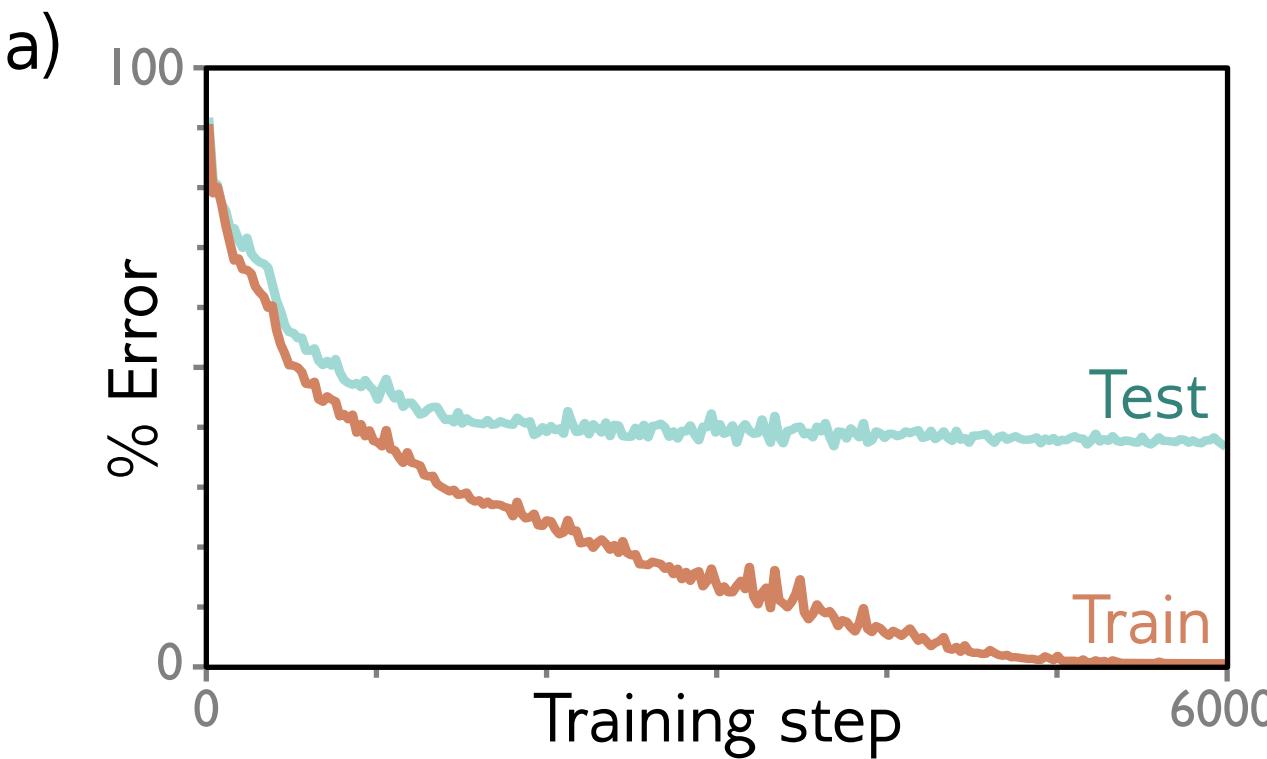
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# MNIST 1D Dataset



# MNIST-1D results for fully-connected network



# Fully connected network

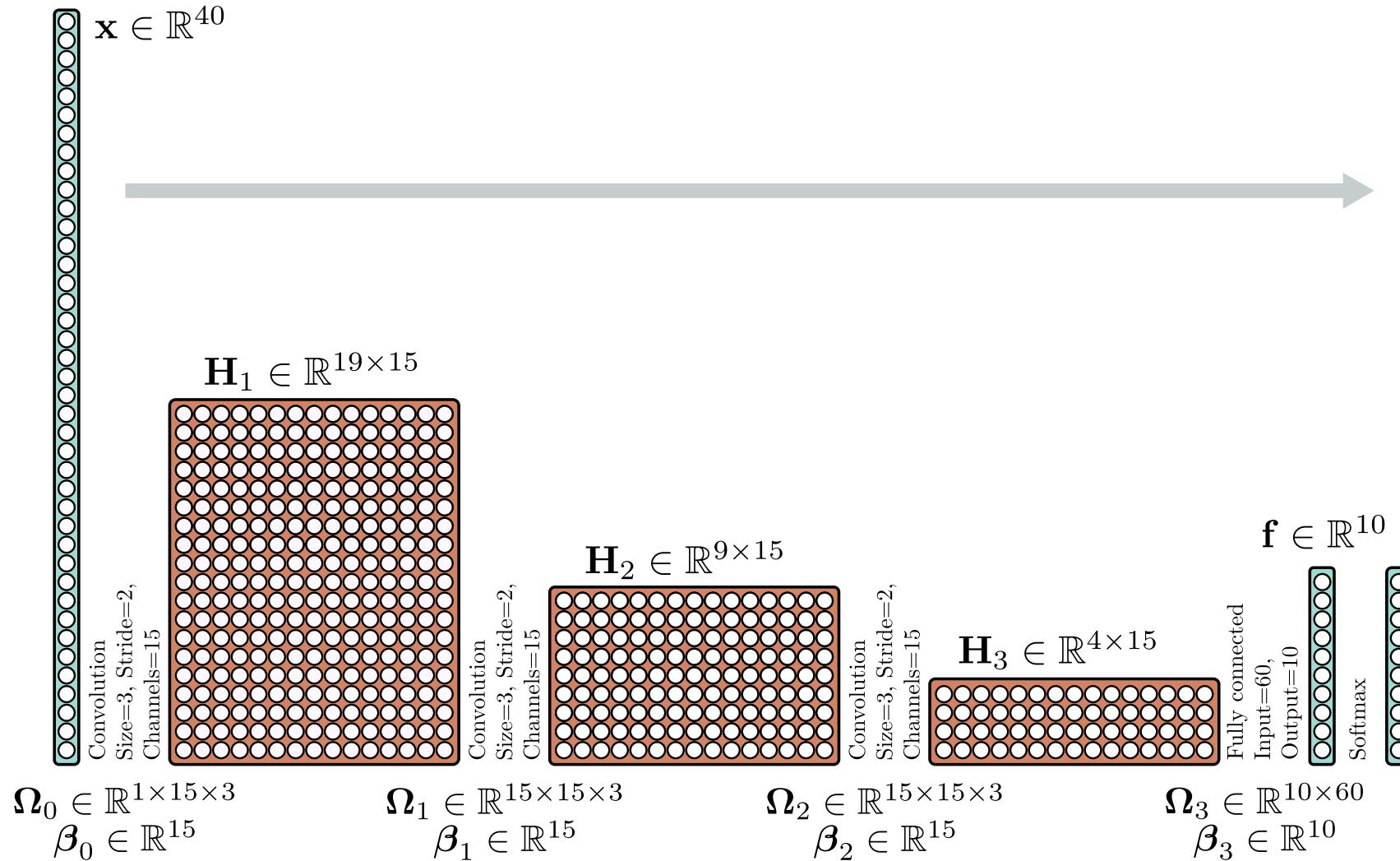
- Exactly same number of layers and hidden units
- All fully-connected layers
- Total parameters = 150,185

# Convolutional network

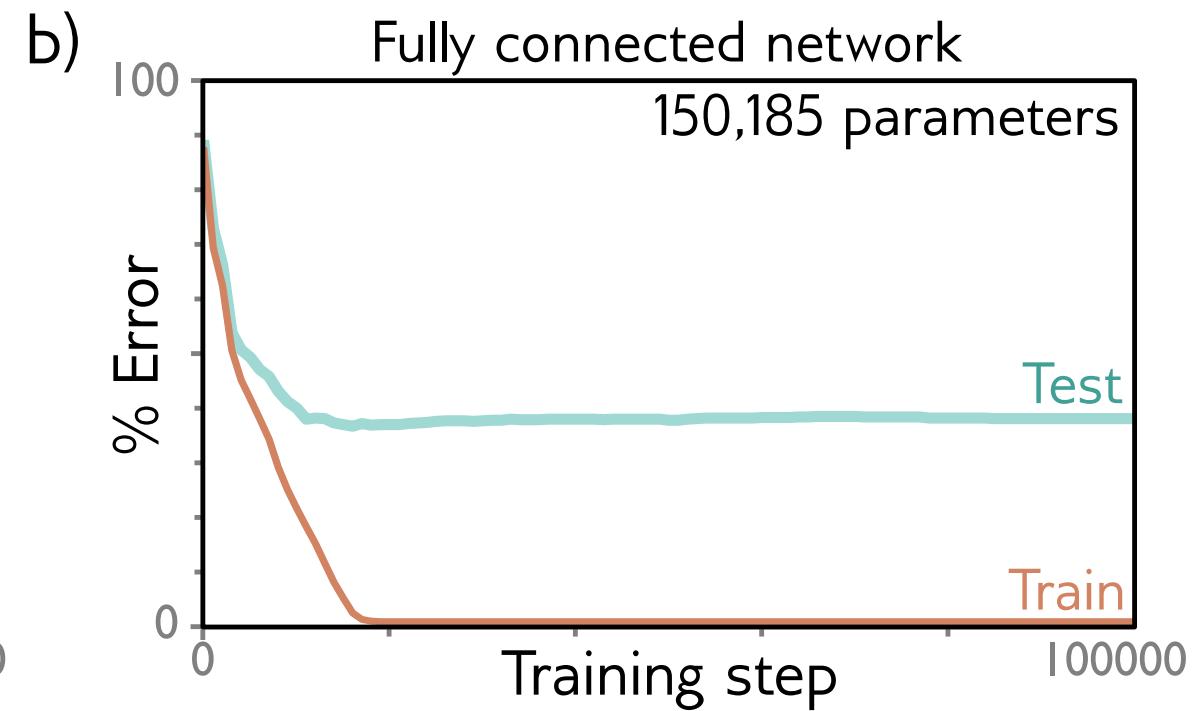
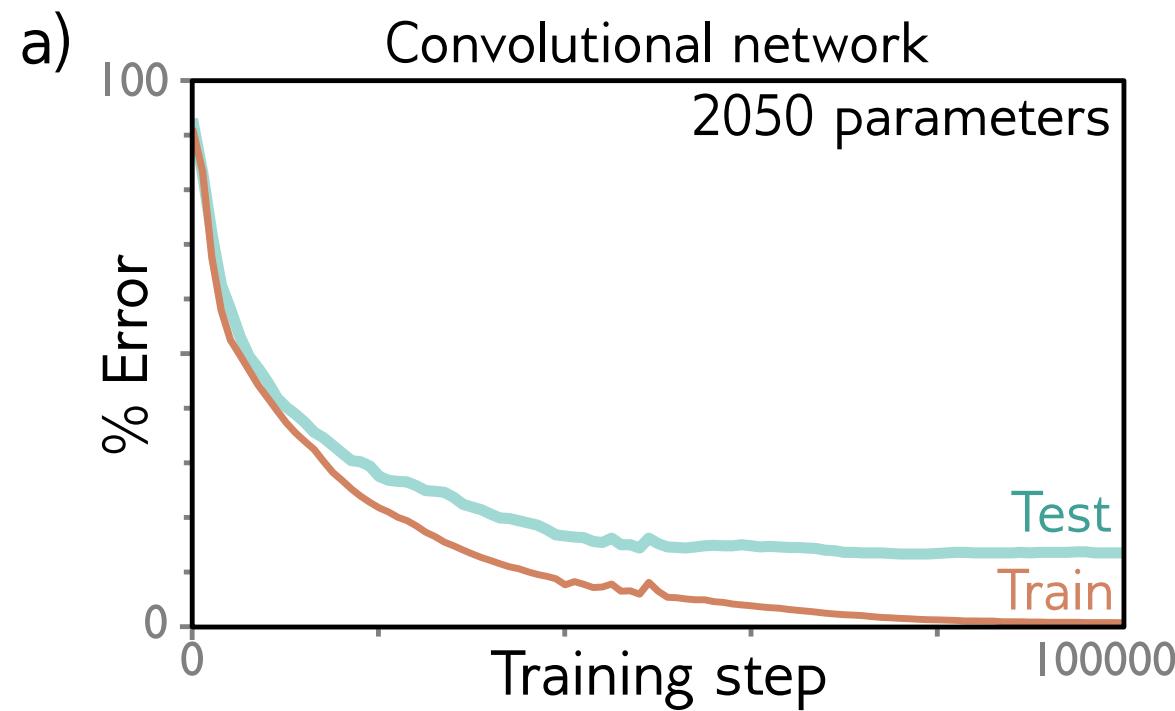
- Four hidden layers
- Three convolutional layers
- One fully-connected layer
- Softmax at end
- Total parameters = 2050
- Trained for 100,000 steps with SGD, LR = 0.01, batch size 100

Layer (type:depth-idx)	Output Shape	Param #
Sequential	[100, 10]	--
—Conv1d: 1-1	[100, 15, 19]	60
—ReLU: 1-2	[100, 15, 19]	--
—Conv1d: 1-3	[100, 15, 9]	690
—ReLU: 1-4	[100, 15, 9]	--
—Conv1d: 1-5	[100, 15, 4]	690
—ReLU: 1-6	[100, 15, 4]	--
—Flatten: 1-7	[100, 60]	--
—Linear: 1-8	[100, 10]	610
<hr/>		
Total params:	2,050	
Trainable params:	2,050	
Non-trainable params:	0	
Total mult-adds (Units.MEGABYTES):	1.07	
<hr/>		
Input size (MB):	0.02	
Forward/backward pass size (MB):	0.39	
Params size (MB):	0.01	
Estimated Total Size (MB):	0.42	
<hr/>		

# MNIST-1D convolutional network



# Performance



# Why?

- Better **inductive bias**
- Forced the network to process each location similarly
- Shares information across locations
- Search through a smaller family of input/ouput mappings, all of which are plausible

# 2D Convolution

# Convolution #2

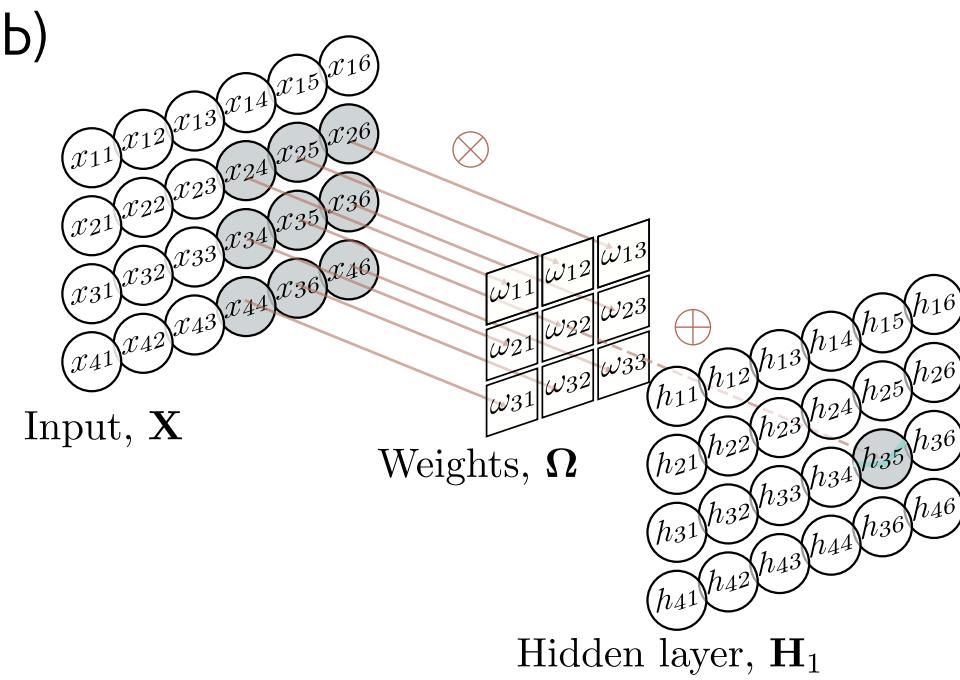
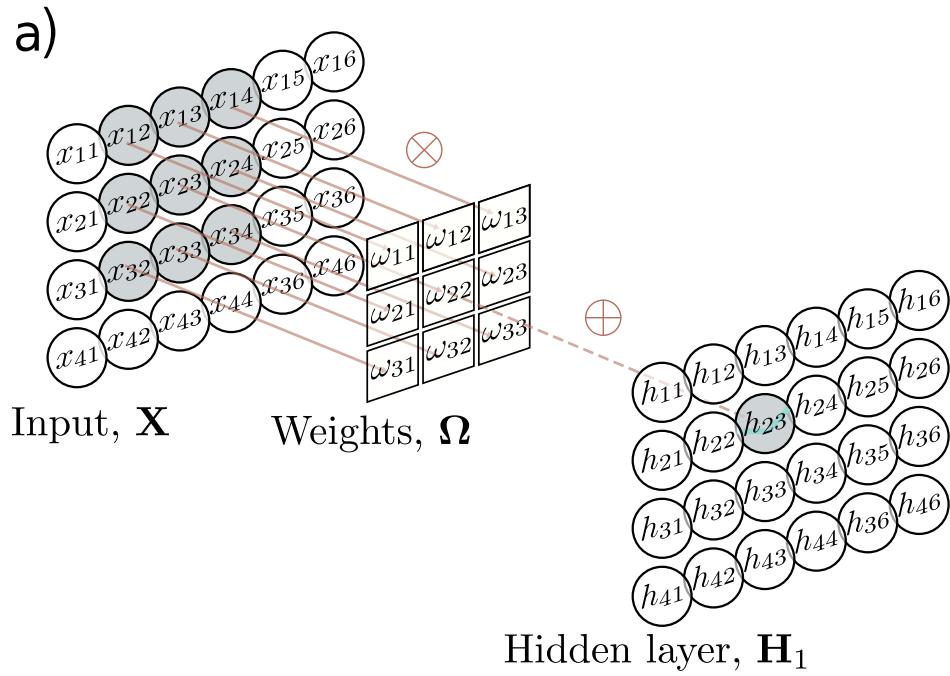
- 2D Convolution
- Downsampling and upsampling, 1x1 convolution
- Image classification
- Object detection
- Semantic segmentation
- Residual networks
- U-Nets and hourglass networks

# 2D Convolution

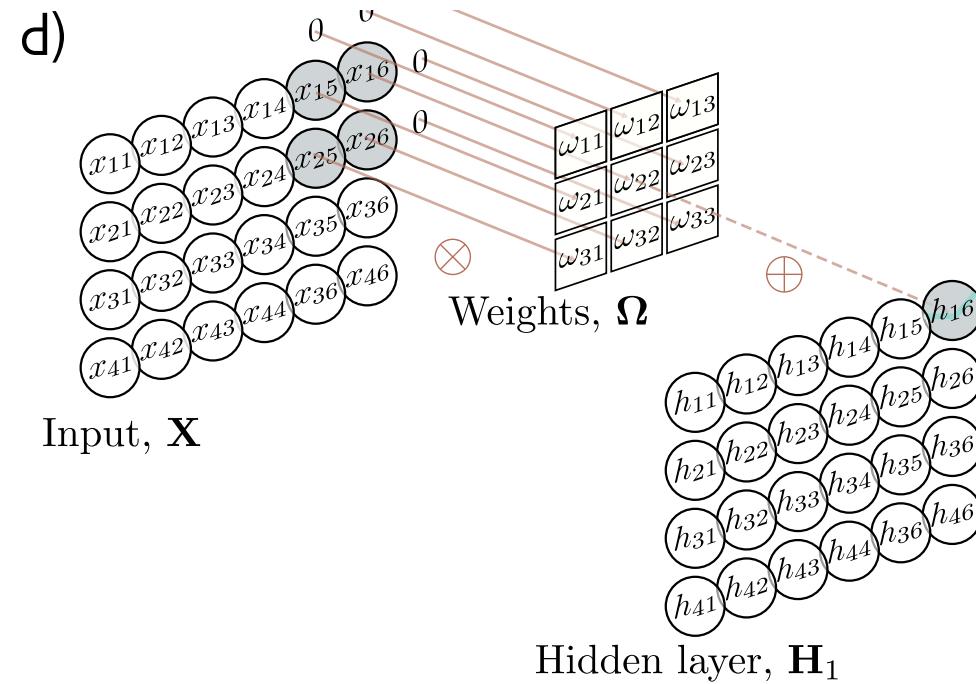
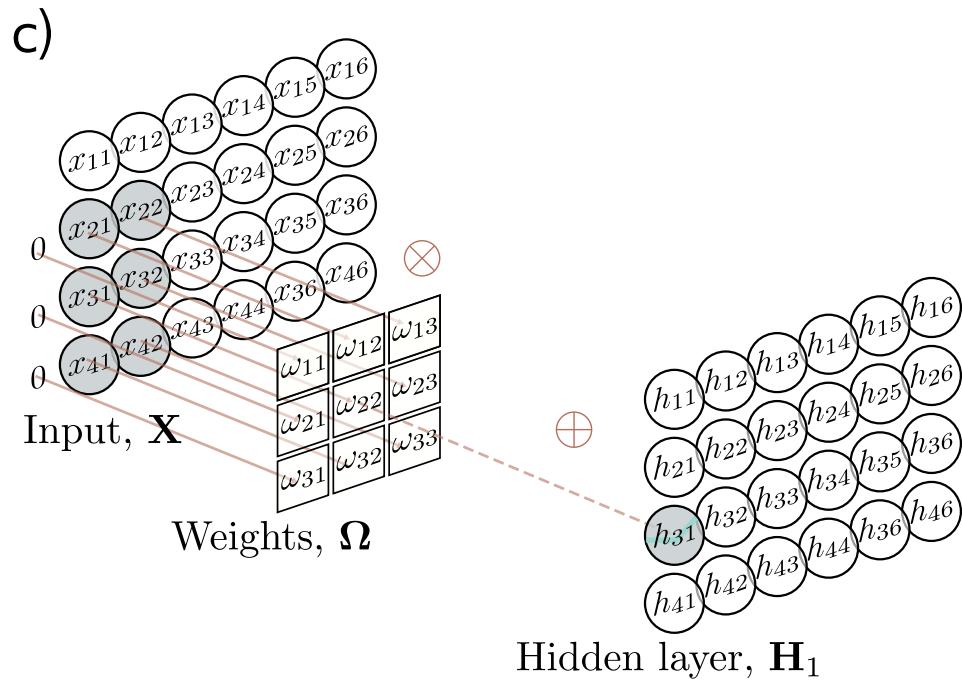
- Convolution in 2D
  - Weighted sum over a  $K \times K$  region
  - $K \times K$  weights
- Build into a convolutional layer by adding bias and passing through activation function

$$h_{i,j} = a \left[ \beta + \sum_{m=1}^3 \sum_{n=1}^3 \omega_{m,n} x_{i+m-2, j+n-2} \right]$$

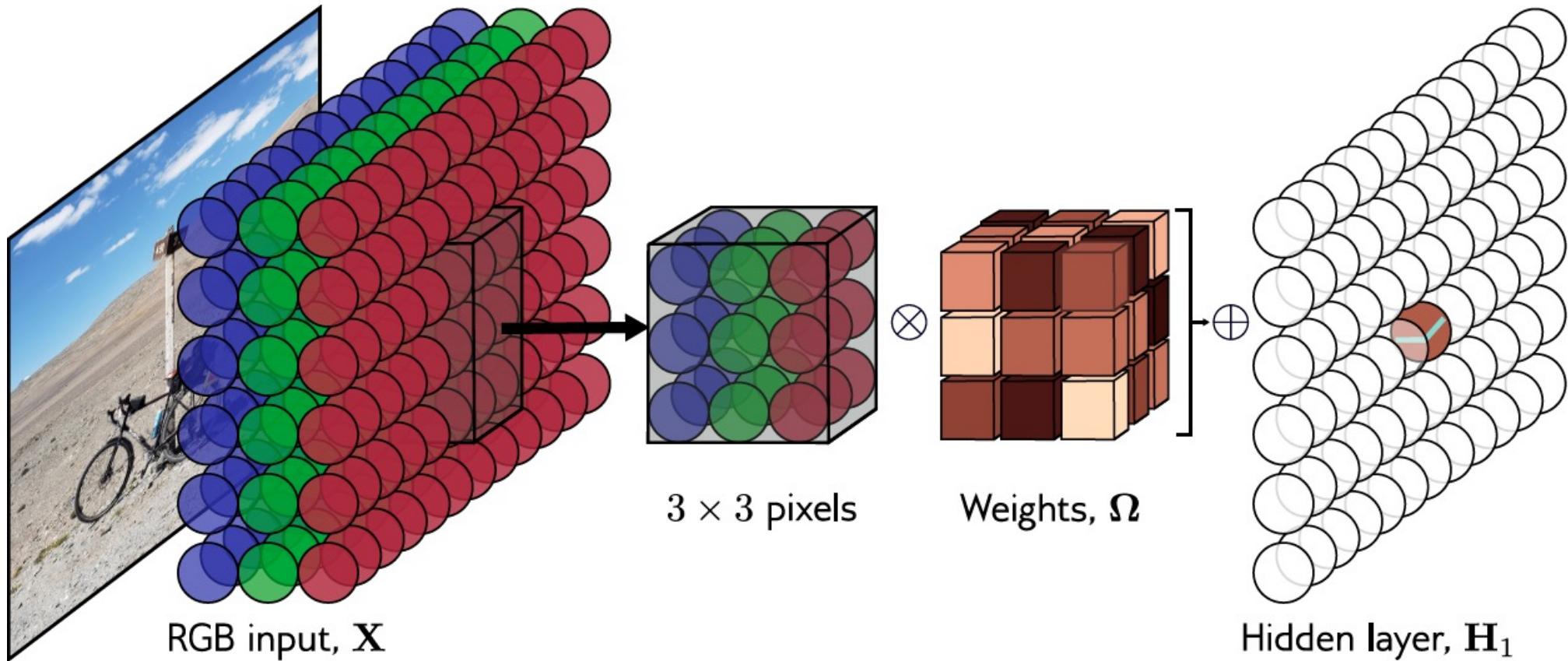
# 2D Convolution



# 2D Convolution with Zero Padding



# Channels in 2D convolution



Kernel size, stride, dilation all  
work as you would expect

# How many parameters?

- If there are  $C_i$  input channels and kernel size  $K \times K$

$$\omega \in \mathbb{R}^{C_i \times K \times K} \quad \beta \in \mathbb{R}$$

- If there are  $C_i$  input channels and  $C_o$  output channels

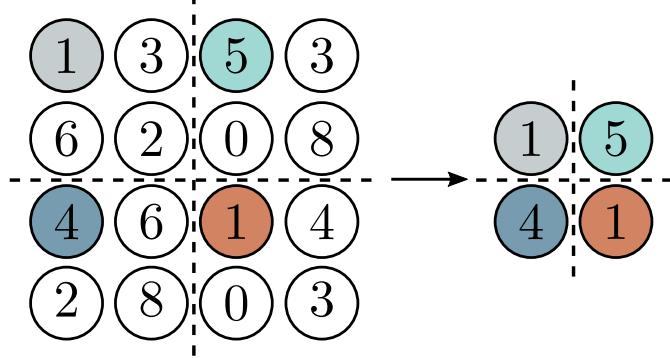
$$\omega \in \mathbb{R}^{C_i \times C_o \times K \times K} \quad \beta \in \mathbb{R}^{C_o}$$

# Convolution #2

- 2D Convolution
- **Downsampling and upsampling, 1x1 convolution**
- Image classification
- Object detection
- Semantic segmentation
- Residual networks
- U-Nets and hourglass networks

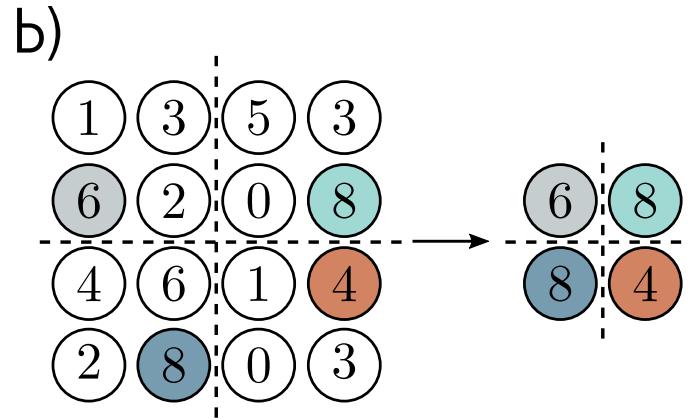
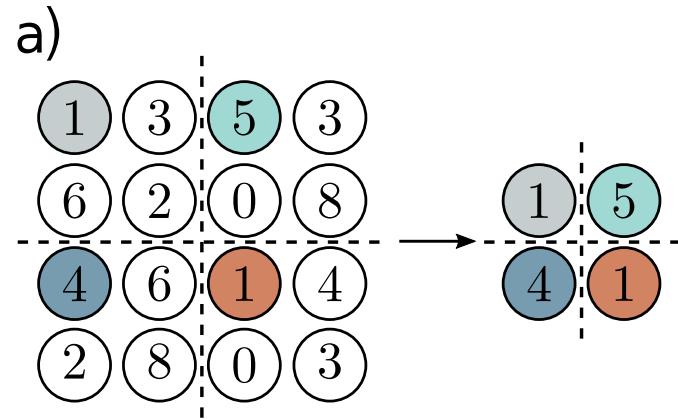
# Downsampling

a)



Sample every other  
position (equivalent to  
stride two)

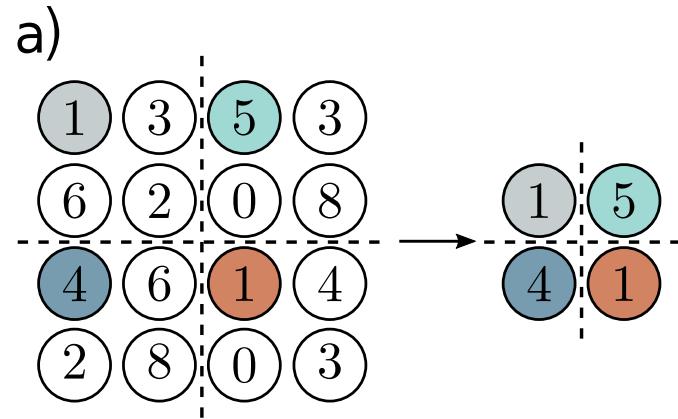
# Downsampling



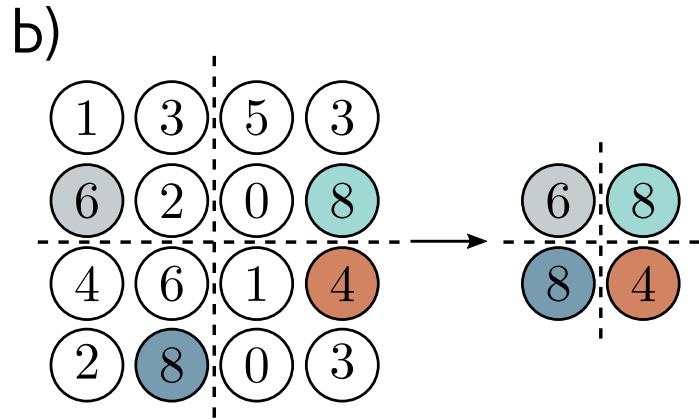
Sample every other  
position (equivalent to  
stride two)

Max pooling  
(partial invariance to  
translation)

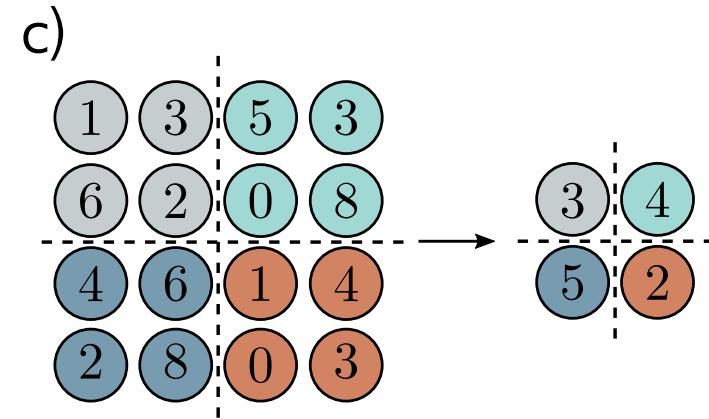
# Downsampling



Sample every other  
position (equivalent to  
stride two)



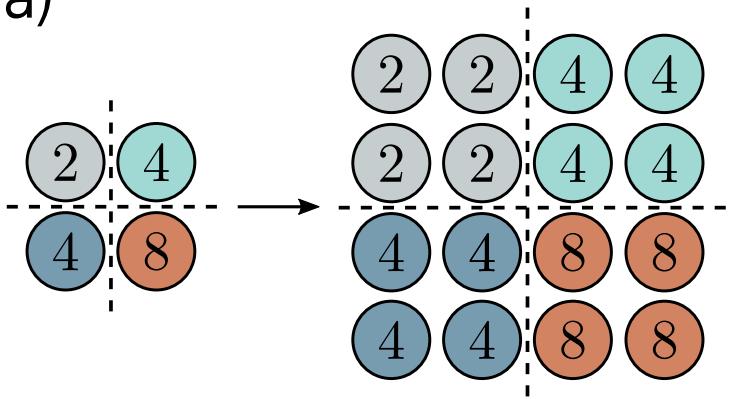
Max pooling  
(partial invariance to  
translation)



Mean pooling

# Upsampling

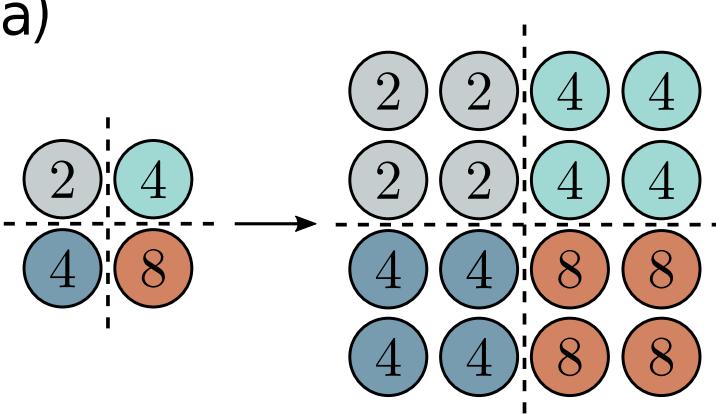
a)



Duplicate

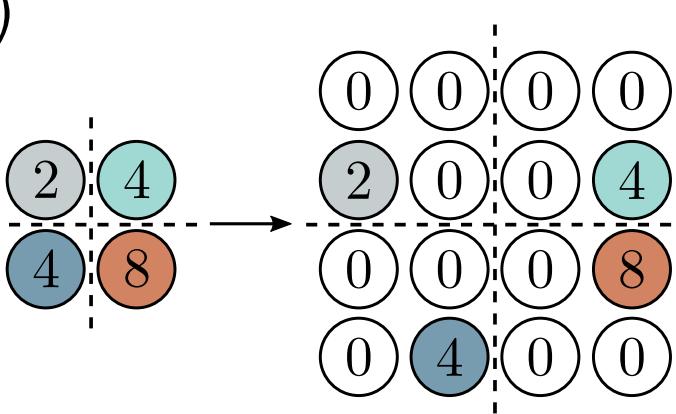
# Upsampling

a)



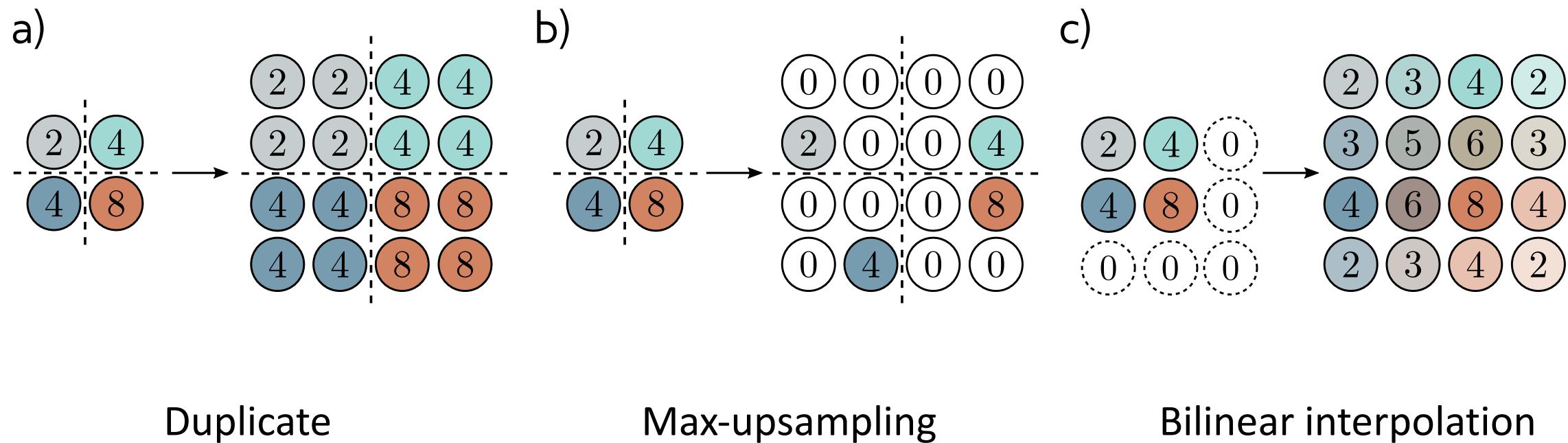
Duplicate

b)



Max-upsampling

# Upsampling

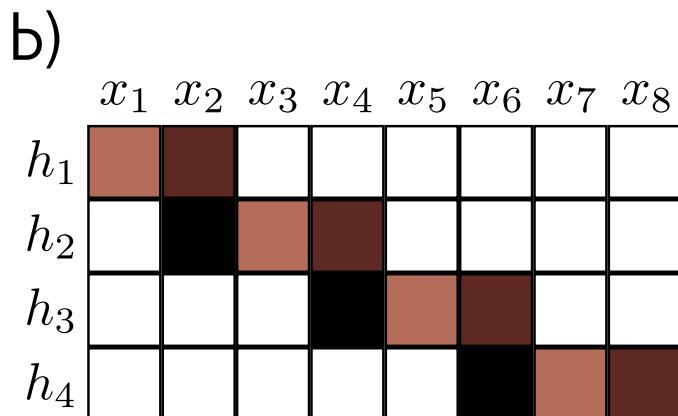
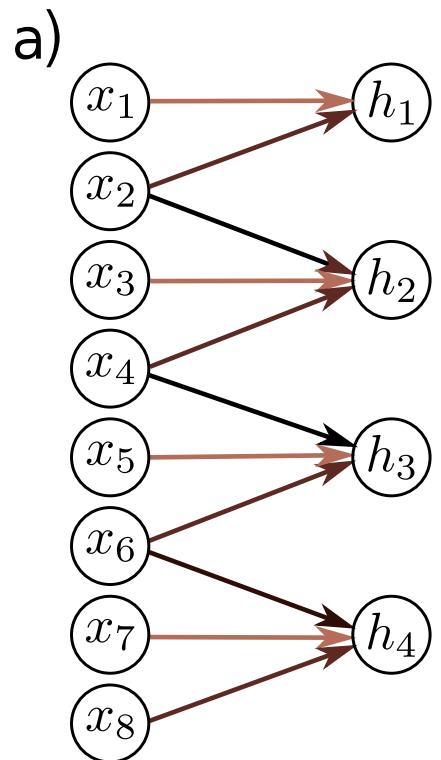


Duplicate

Max-upsampling

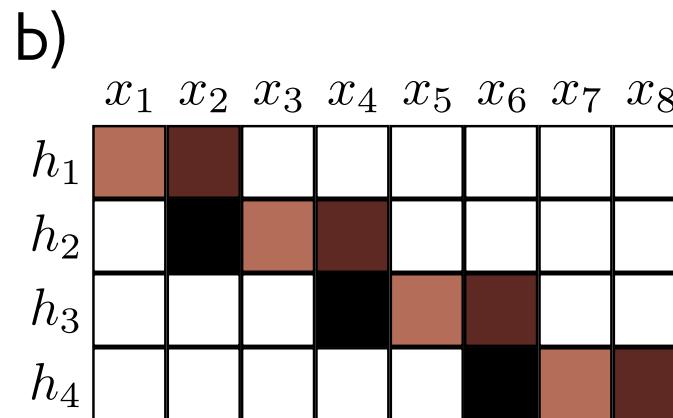
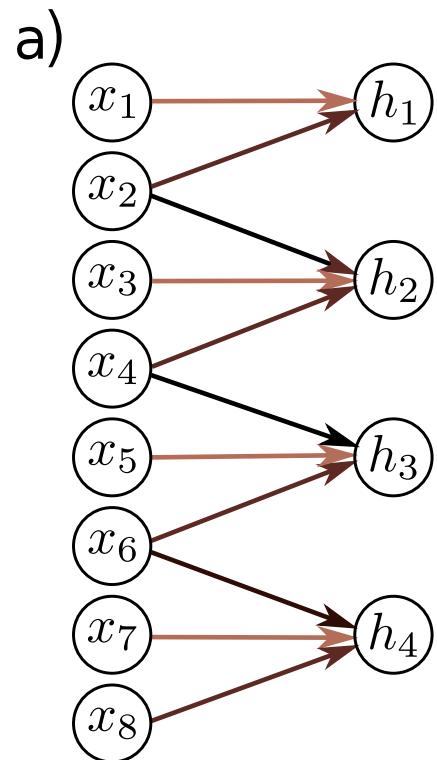
Bilinear interpolation

# Transposed convolutions

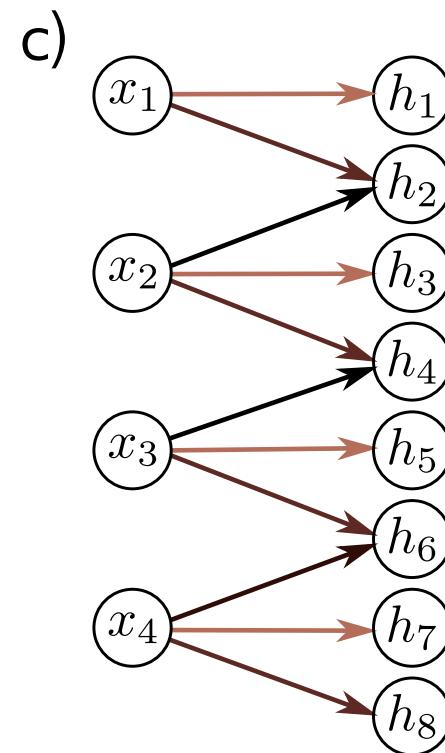


Kernel size 3, Stride 2 convolution

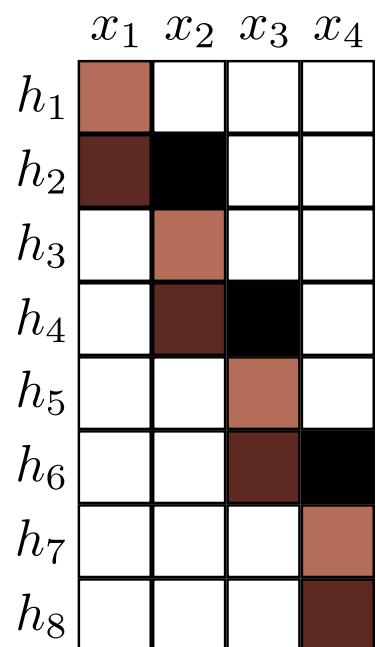
# Transposed convolutions



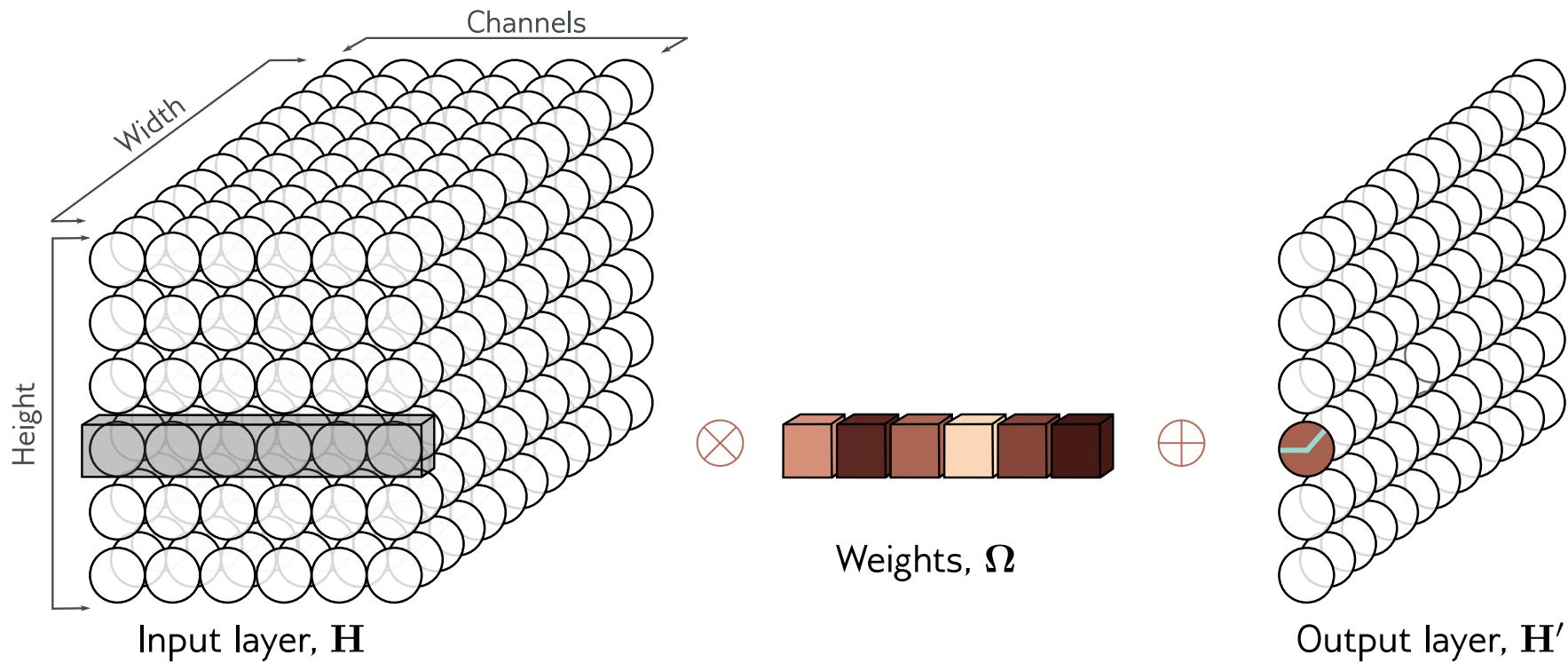
Kernel size 3, Stride 2 convolution



Transposed convolution



# $1 \times 1$ convolution

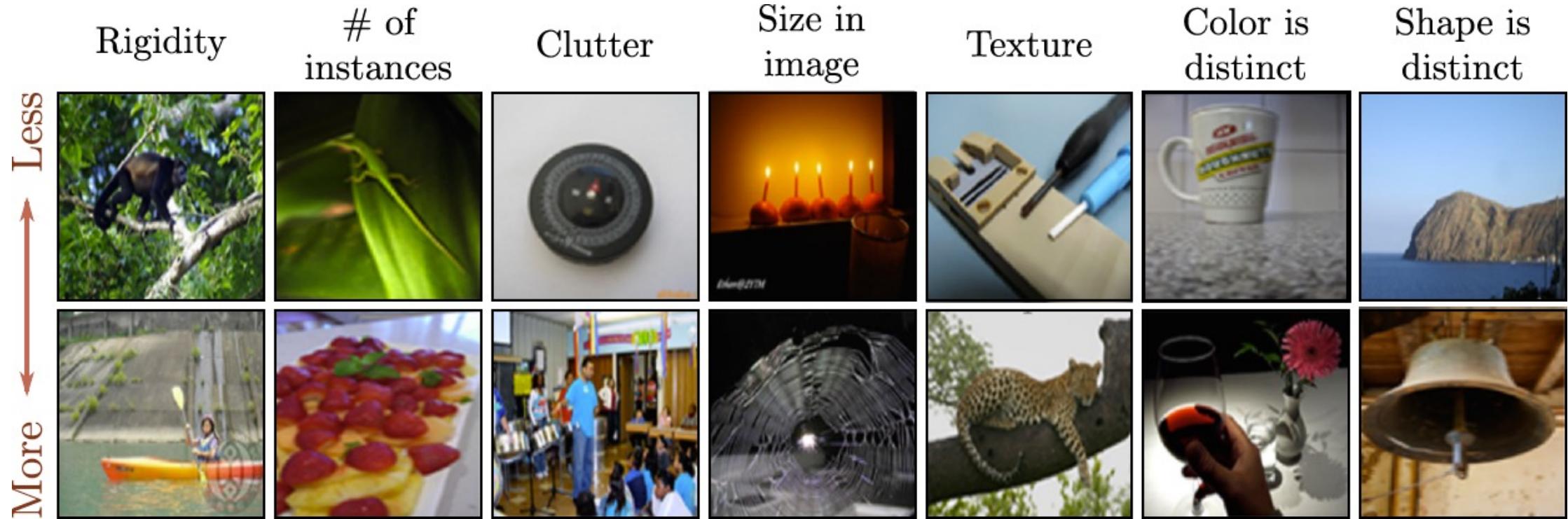


- Mixes channels
- Can change number of channels
- Equivalent to running same fully connected network at each position

# Convolution #2

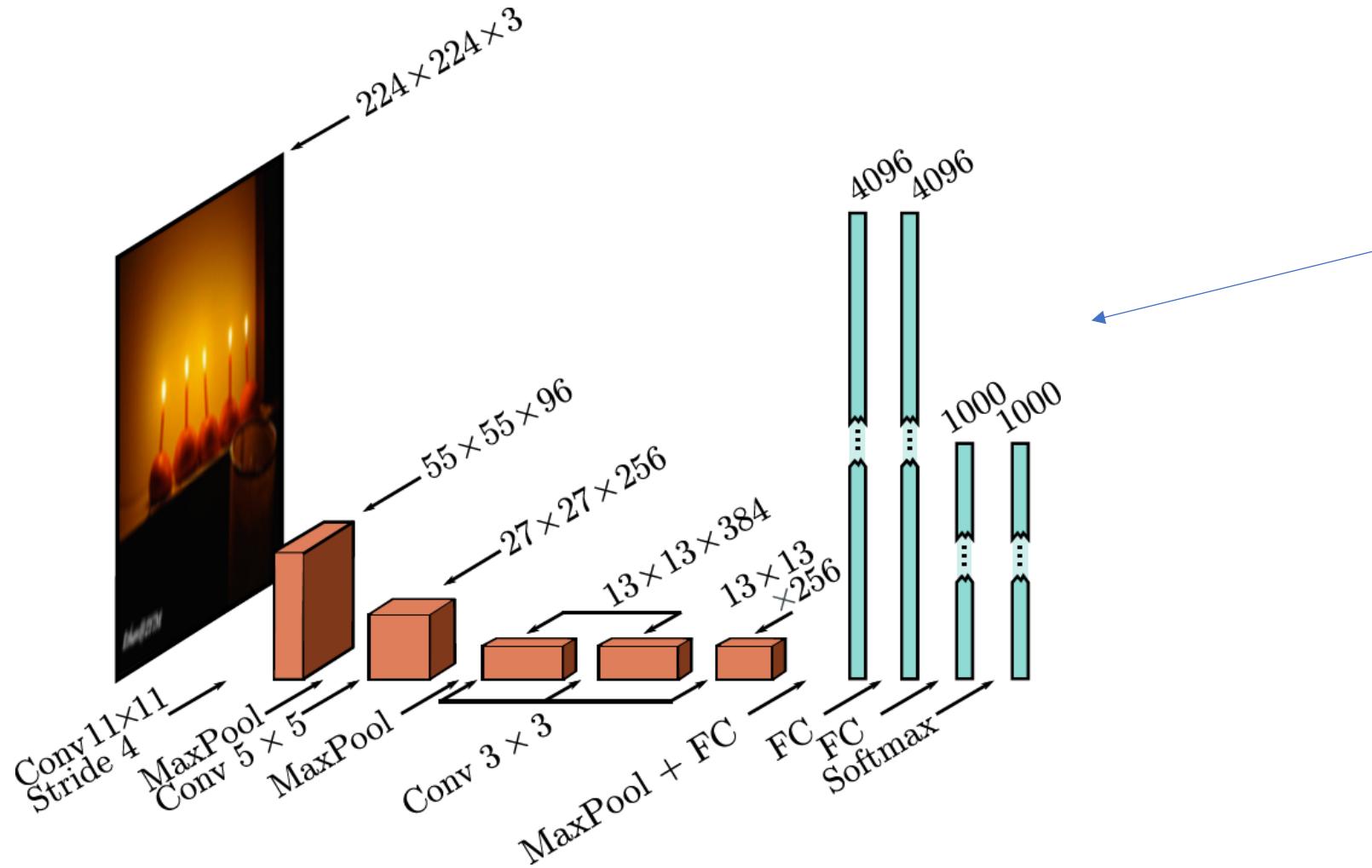
- 2D Convolution
- Downsampling and upsampling, 1x1 convolution
- **Image classification**
- Object detection
- Semantic segmentation
- Residual networks
- U-Nets and hourglass networks

# ImageNet database



- 224 x 224 images
- 1,281,167 training images, 50,000 validation images, and 100,000 test images
- 1000 classes

# AlexNet (2012)



Almost all the 60 million parameters  
parameters are in fully connected layers

# Data augmentation

a) Original



b) Flip



c) Rotate and crop



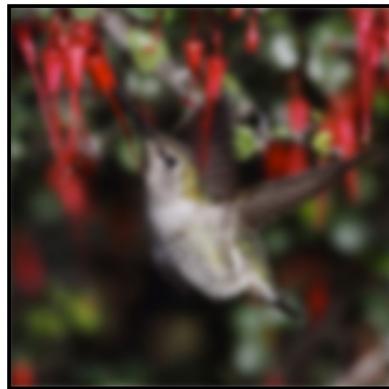
d) Vertical stretch



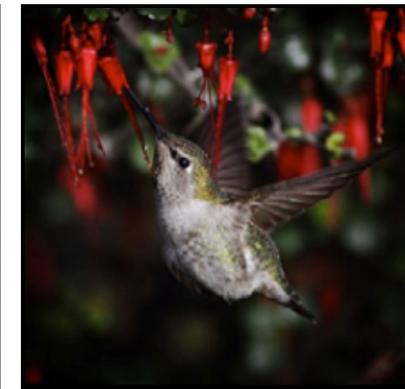
e) Color balance



f) Blur



g) Vignette

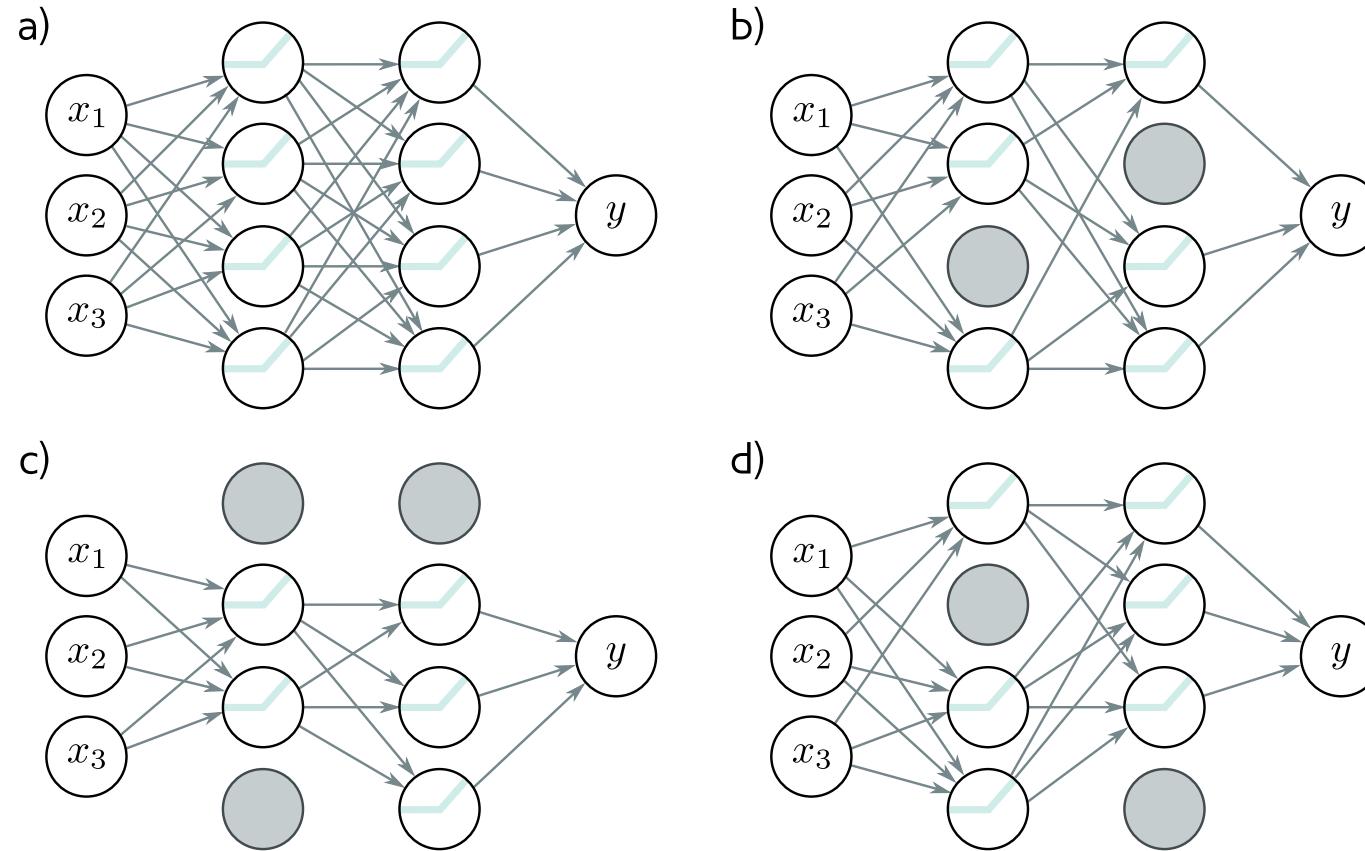


h) Pincushion



- Data augmentation a factor of 2048 using (i) spatial transformations and (ii) modifications of the input intensities.

# Dropout

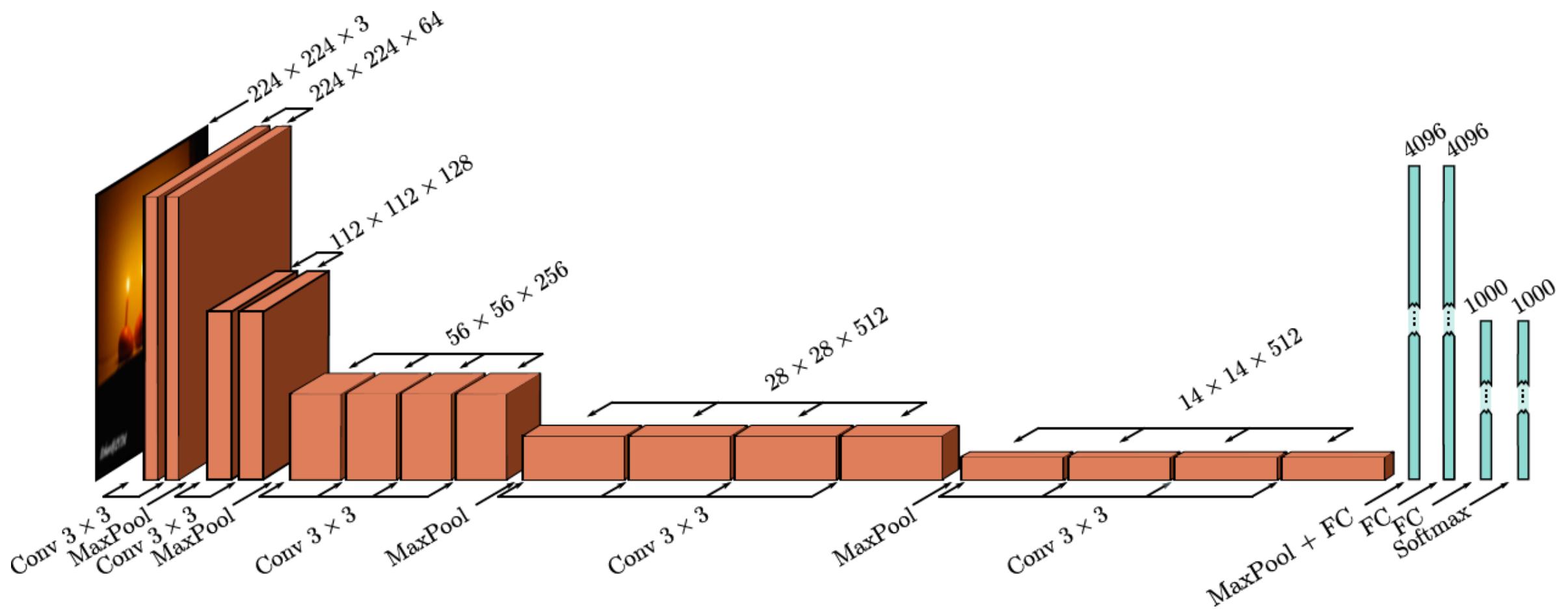


- Dropout was applied in the fully connected layers

# Details

- At test time average results from five different cropped and mirrored versions of the image
- SGD with a momentum coefficient of 0.9 and batch size of 128.
- L2 (weight decay) regularizer used.
- This system achieved a 16.4% top-5 error rate and a 38.1% top-1 error rate.

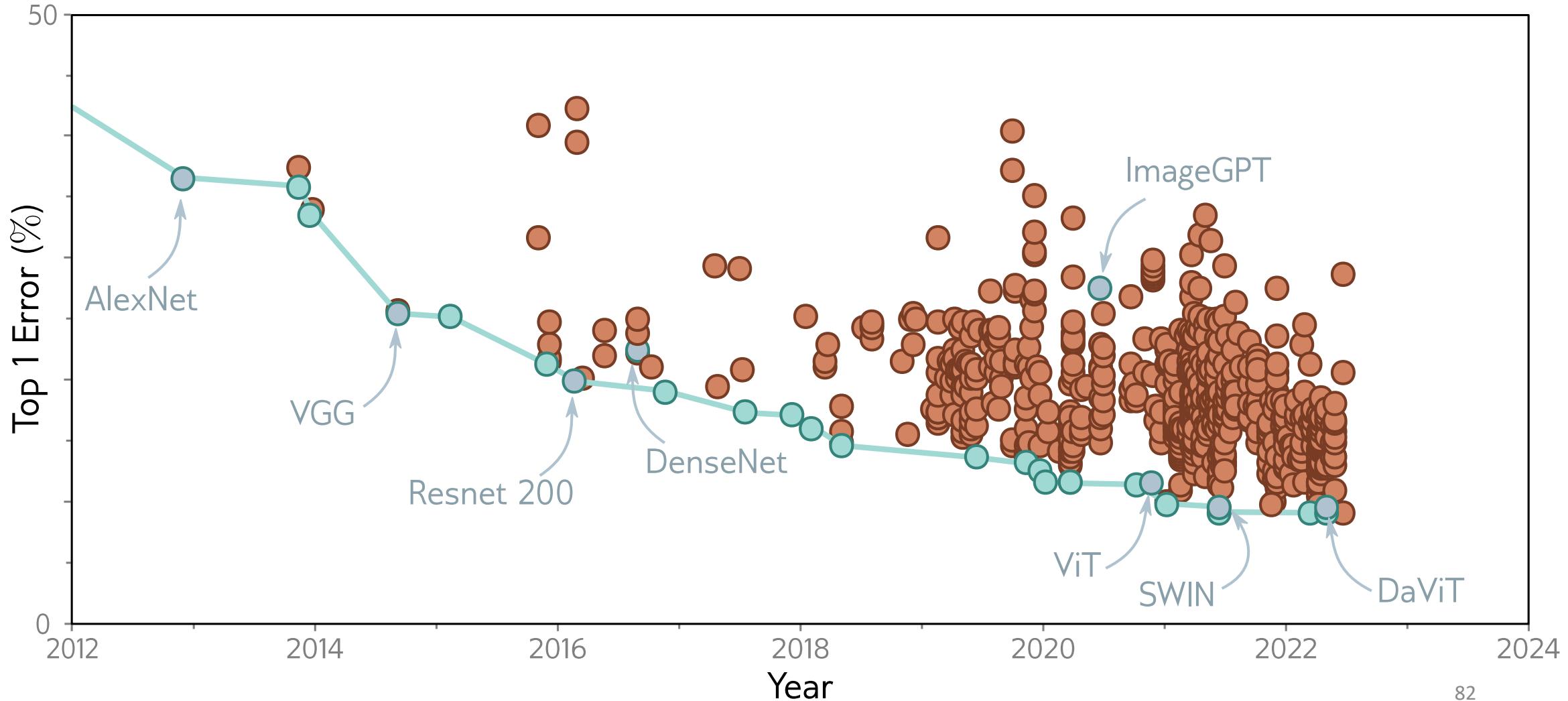
# VGG (2015)



# Details

- 19 hidden layers
- 144 million parameters
- 6.8% top-5 error rate, 23.7% top-1 error rate

# ImageNet History

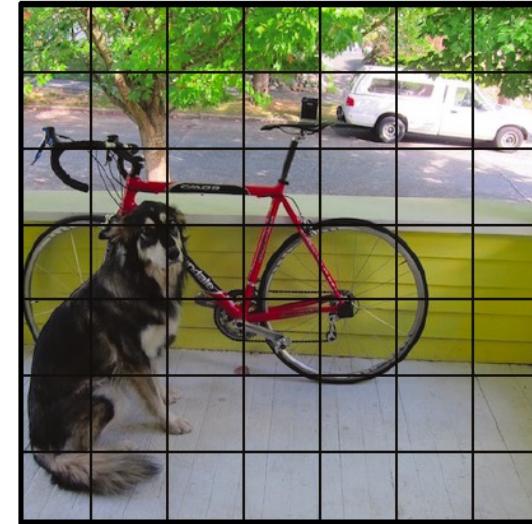


# Convolution #2

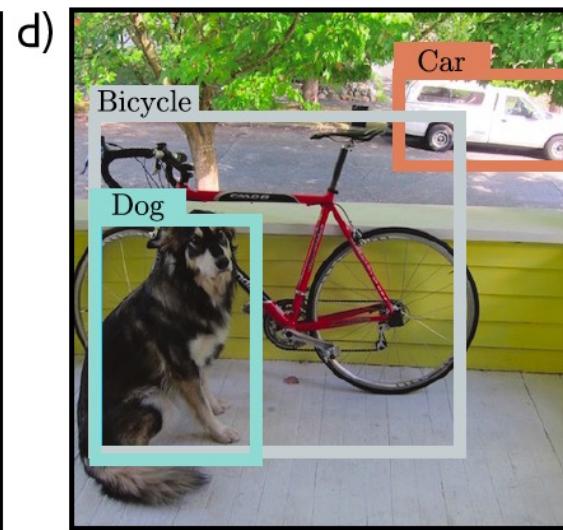
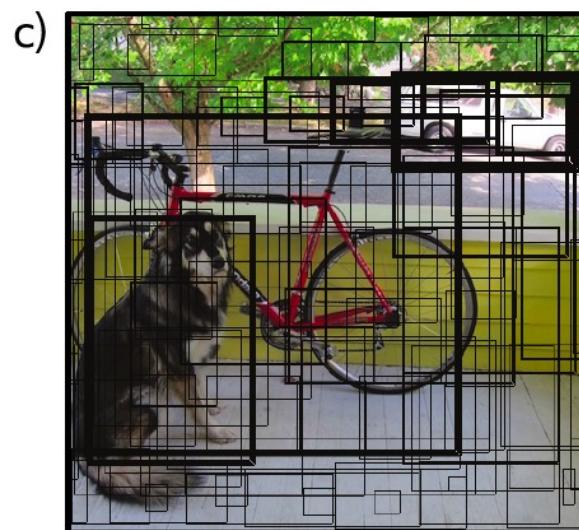
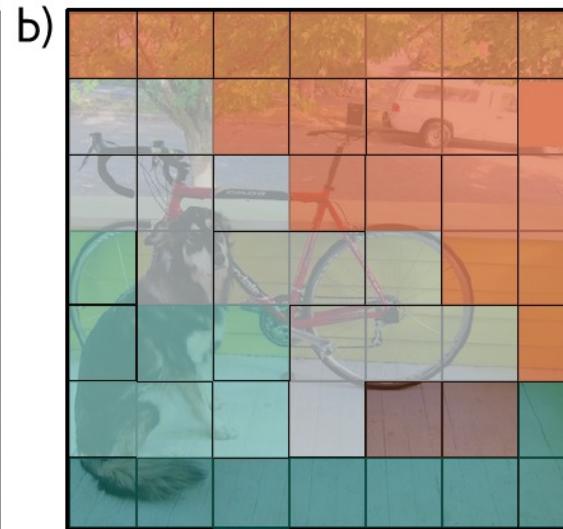
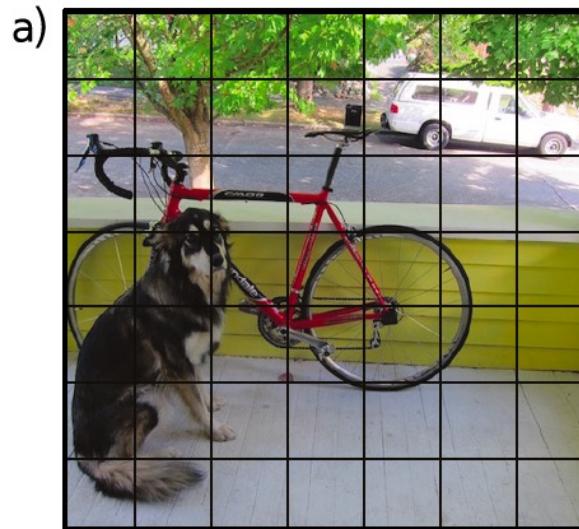
- 2D Convolution
- Downsampling and upsampling, 1x1 convolution
- Image classification
- Object detection
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# You Only Look Once (YOLO)

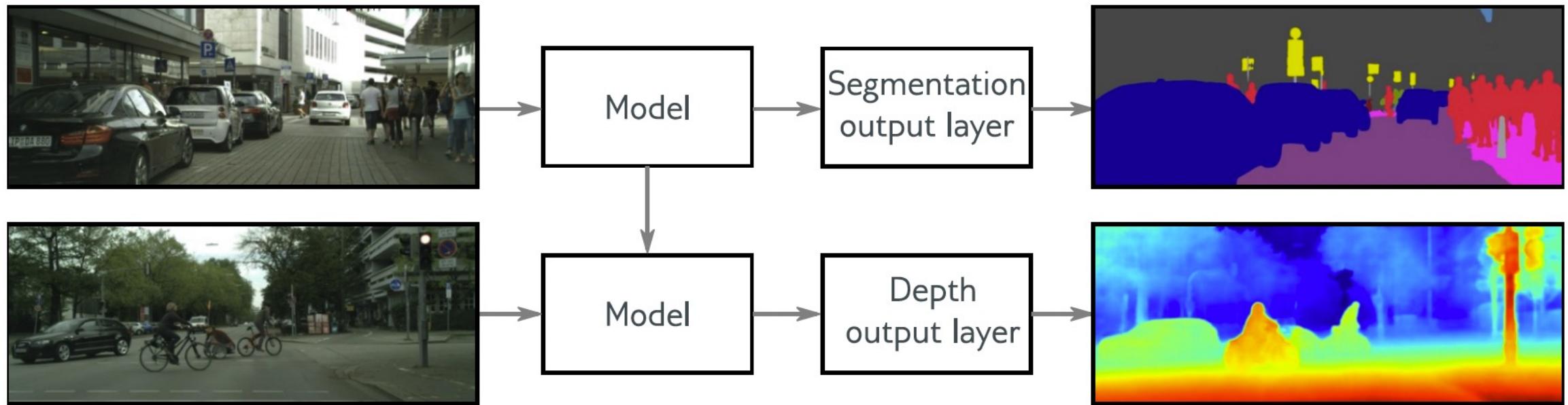
- Network similar to VGG (448x448 input)
- 7x7 grid of locations
- Predict class at each location
- Predict 2 bounding boxes at each location
  - Five parameters –x,y, height, width, and confidence
- Momentum, weight decay, dropout, and data augmentation
- Heuristic at the end to threshold and decide final boxes – (non maximum suppression)



# Object detection (YOLO)

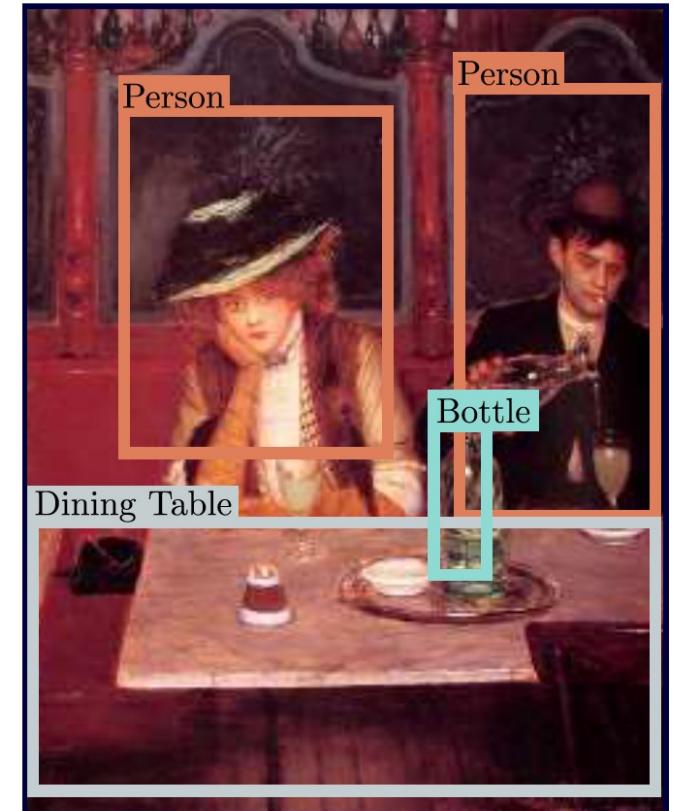
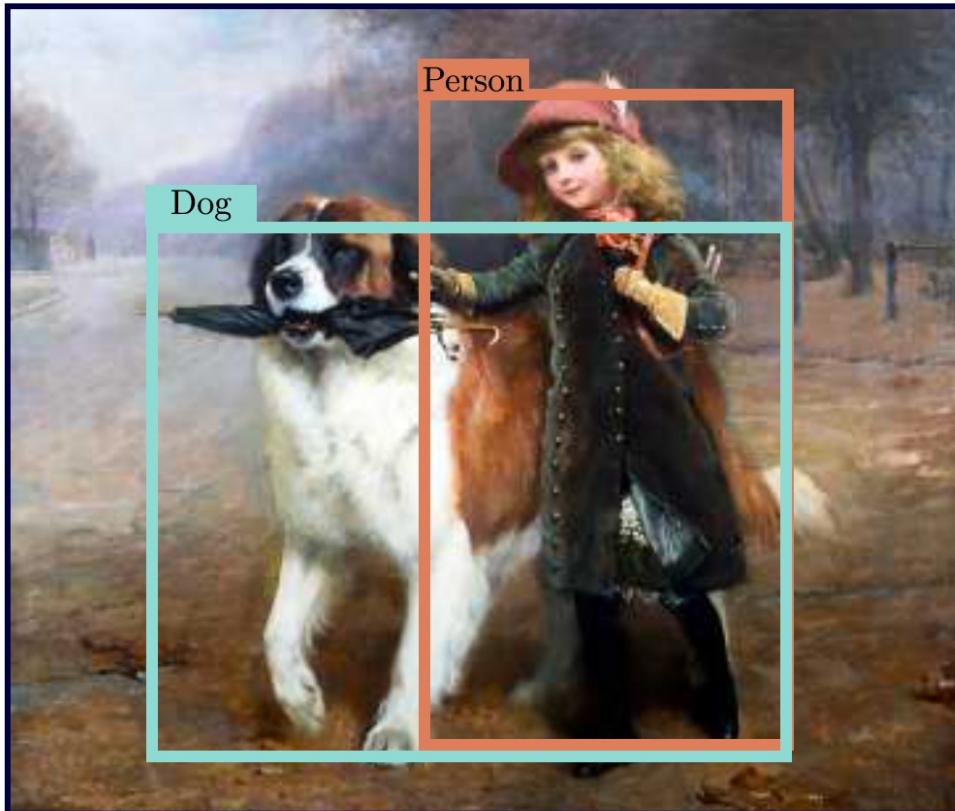
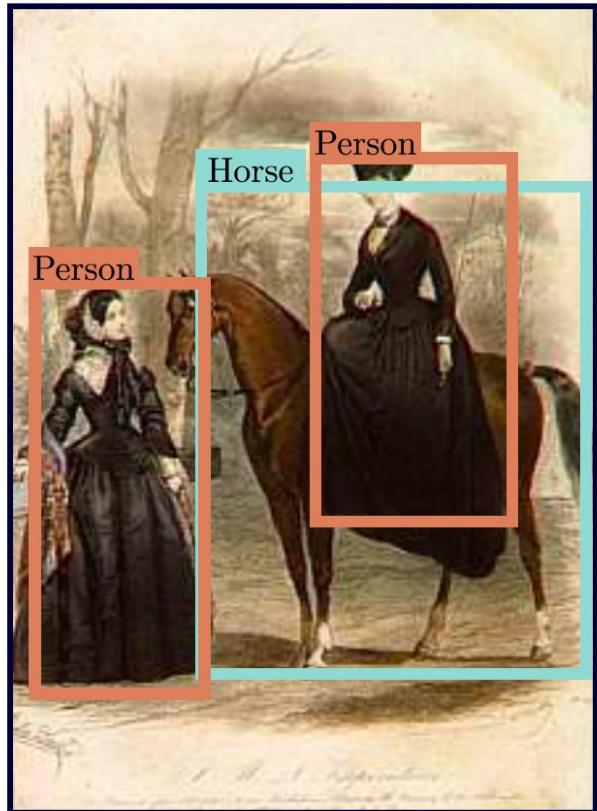


# Transfer learning



Transfer learning from ImageNet classification

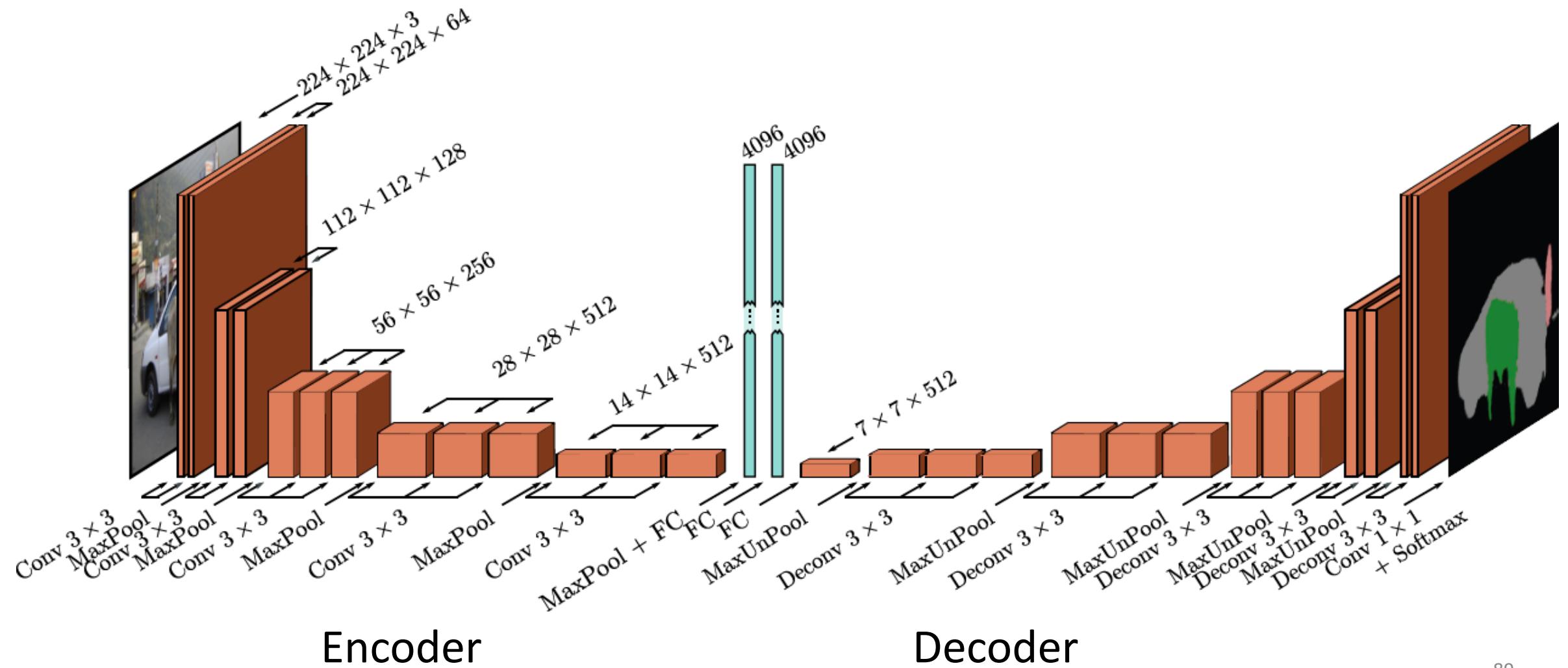
# Results



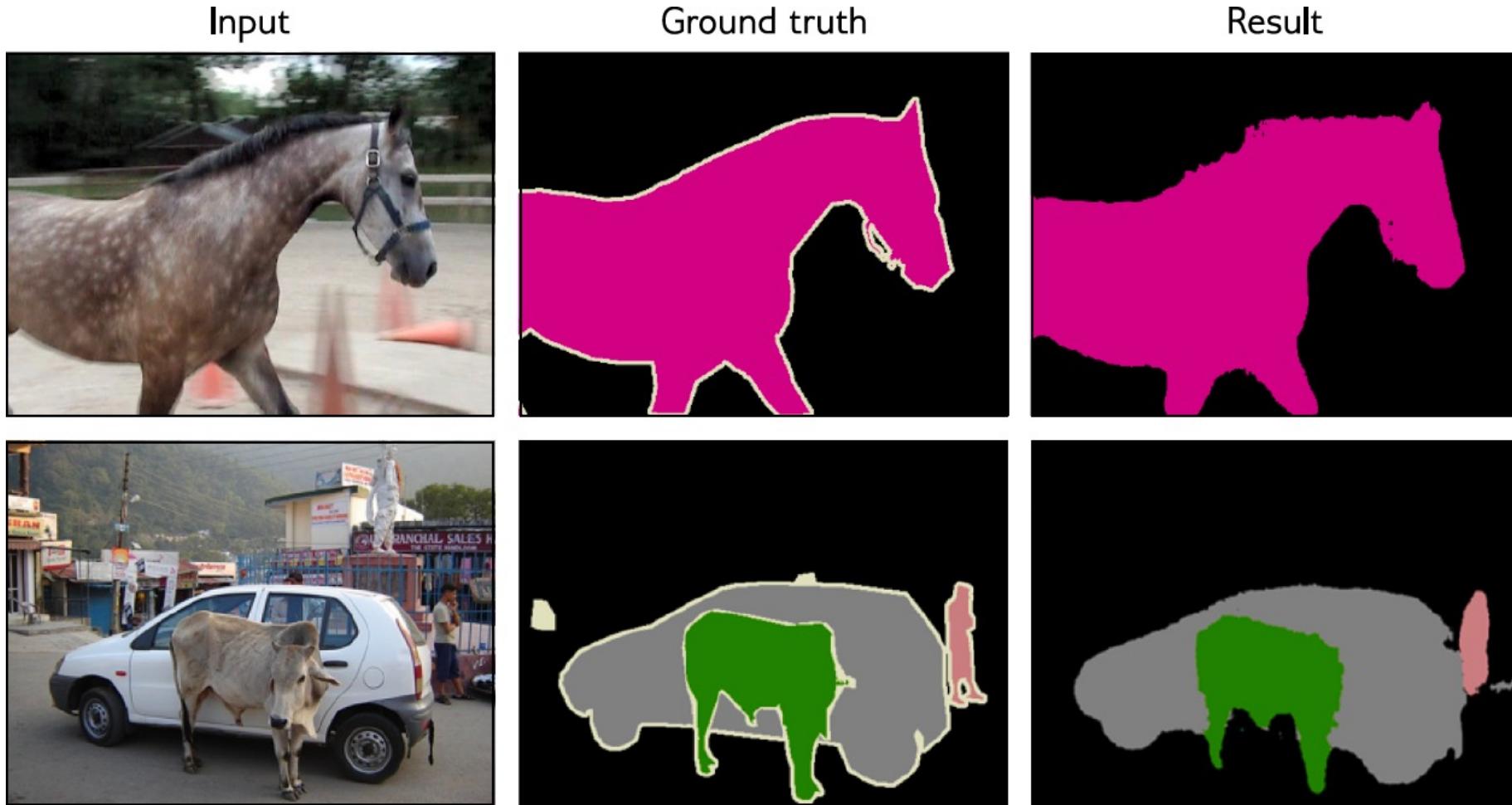
# Convolution #2

- 2D Convolution
- Downsampling and upsampling, 1x1 convolution
- Image classification
- Object detection
- Semantic segmentation
- Residual networks
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# Semantic Segmentation (2015)



# Semantic segmentation results

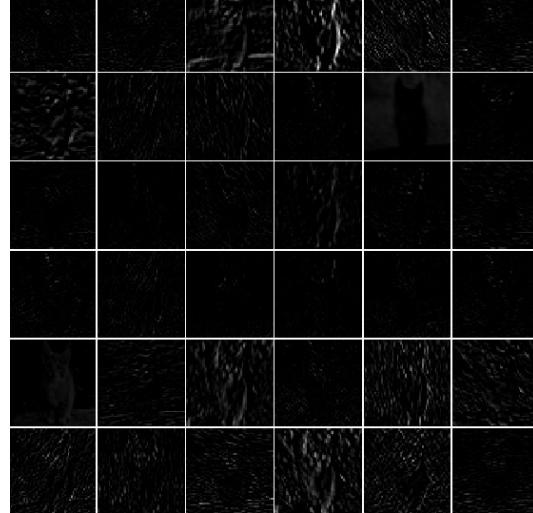


# AlexNet



Cat image input  
(not actual image)

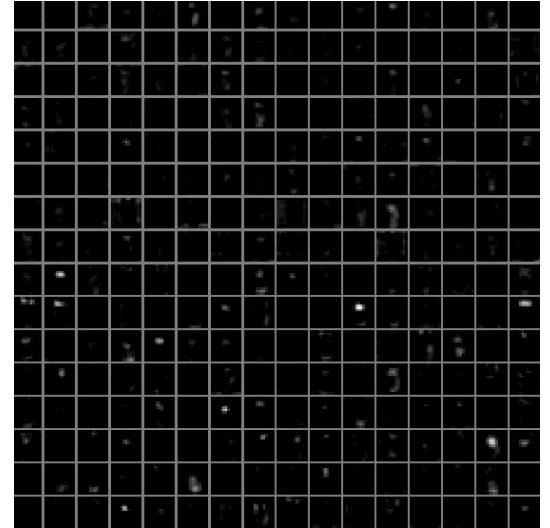
Activations  
(feature maps)



1<sup>st</sup> Layer

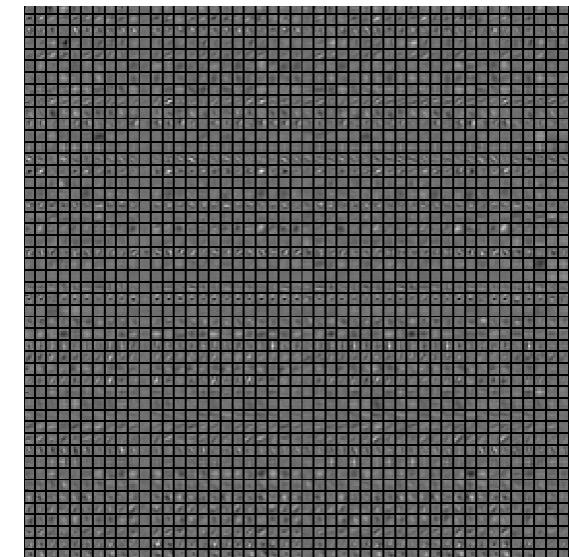
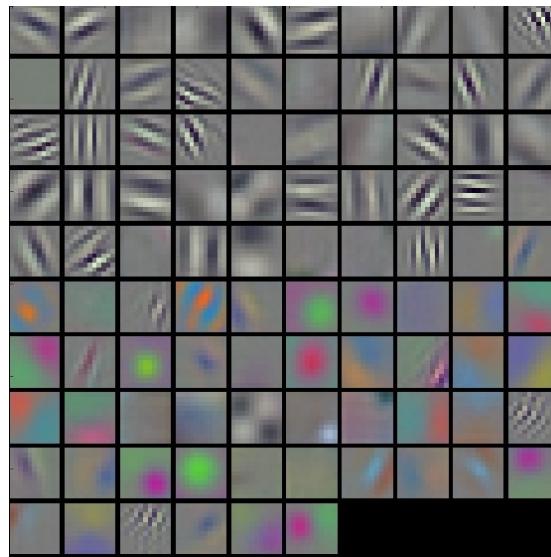
• • •

2<sup>nd</sup> Layer



5<sup>th</sup> Layer

Filter Kernels



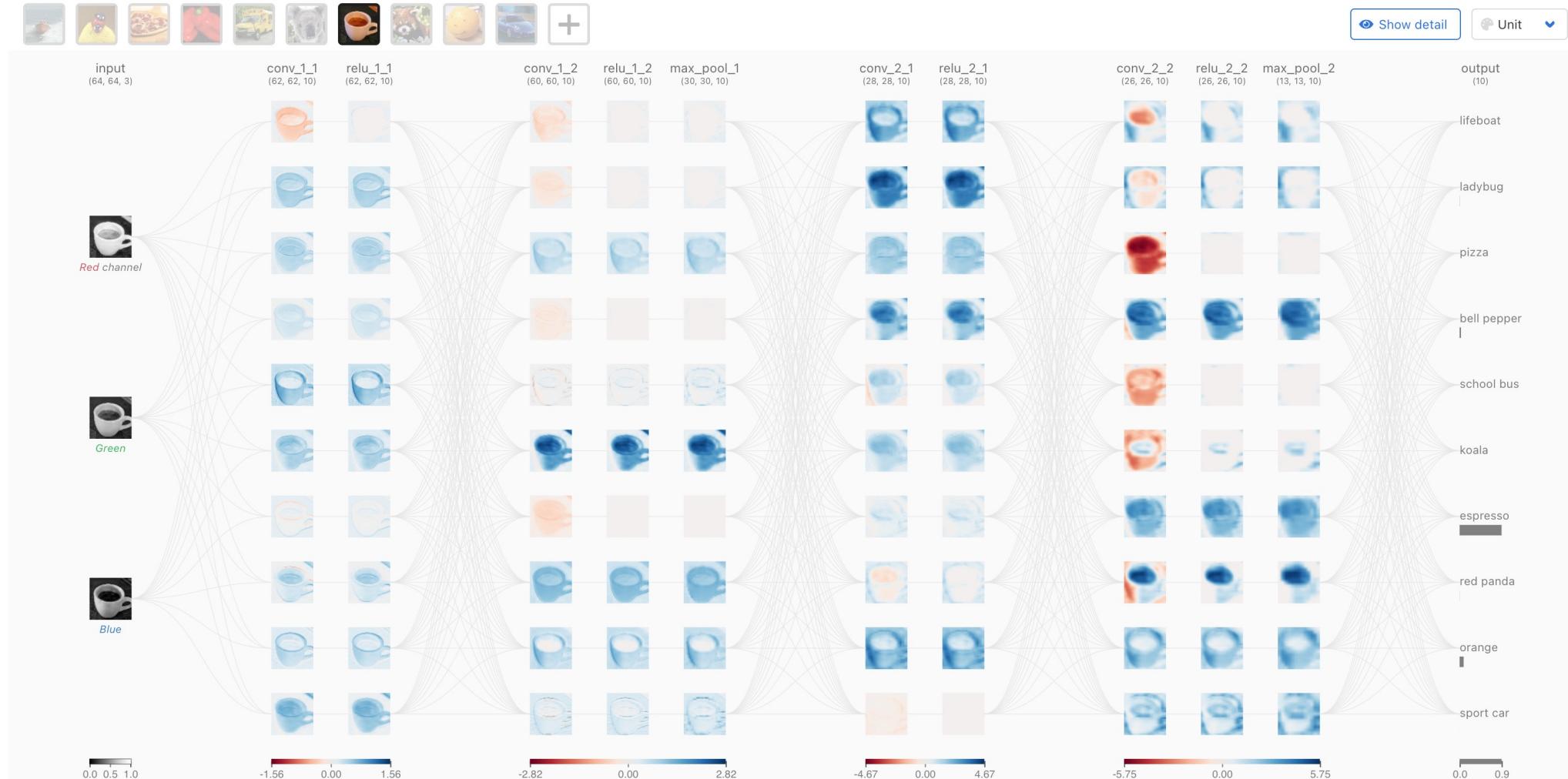
• • •

# CNN EXPLAINER

Learn Convolutional Neural Network (CNN) in your browser!

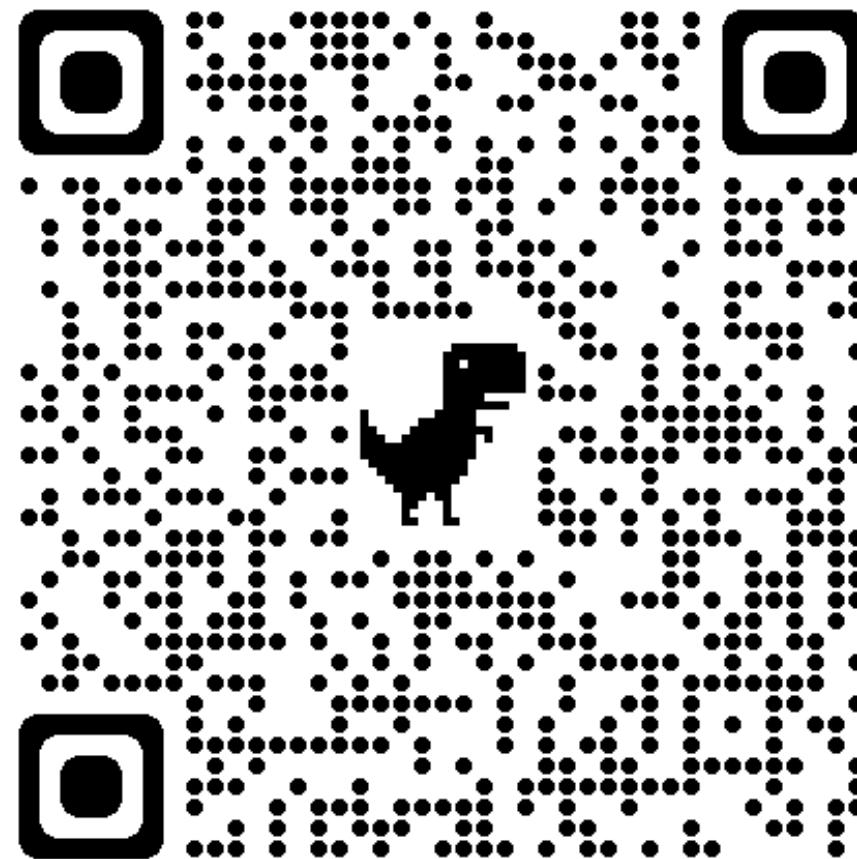


Show detail Unit ▾



<https://poloclub.github.io/cnn-explainer/>

# Feedback?



[Link](#)