

<sup>1</sup> **Searches for Supersymmetry using the  $\alpha_T$**   
<sup>2</sup> **variable with the CMS detector at the LHC**

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## Abstract

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## Declaration

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I, the author of this thesis, declare that the work presented within this  
document to be my own. The work presented in Chapters 3.4.1, 4 and 5  
is a result of the authors own work or that of which I have been a major  
contributor unless explicitly stated otherwise, and is carried out within the  
context of the Imperial College London and CERN SUSY groups, itself a  
subsection of the greater CMS collaboration. All figures and studies taken  
from external sources are referenced appropriately throughout this document.

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Darren Burton

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## Acknowledgements

20

Of the many people who deserve thanks, some are particularly prominent....

# Preface

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**196 Acronyms**

197	<b>ALICE</b>	A Large Ion Collider Experiment
198	<b>ATLAS</b>	A Toroidal LHC ApparatuS
199	<b>APD</b>	Avalanche Photo-Diodes
200	<b>BSM</b>	Beyond Standard Model
201	<b>CERN</b>	European Organization for Nuclear Research
202	<b>CMS</b>	Compact Muon Solenoid
203	<b>CMSSM</b>	Compressed Minimal SuperSymmetric Model
204	<b>CSC</b>	Cathode Stripe Chamber
205	<b>CSV</b>	Combined Secondary Vertex
206	<b>CSVM</b>	Combined Secondary Vertex Medium Working Point
207	<b>DT</b>	Drift Tube
208	<b>ECAL</b>	Electromagnetic CALorimeter
209	<b>EB</b>	Electromagnetic CALorimeter Barrel
210	<b>EE</b>	Electromagnetic CALorimeter Endcap
211	<b>ES</b>	Electromagnetic CALorimeter pre-Shower
212	<b>EMG</b>	Exponentially Modified Gaussian
213	<b>EPJC</b>	European Physical Journal C
214	<b>EWK</b>	Electroweak Sector
215	<b>GCT</b>	Global Calorimeter Trigger
216	<b>GMT</b>	Global MuonTrigger
217	<b>GT</b>	Global Trigger
218	<b>HB</b>	Hadron Barrel
219	<b>HCAL</b>	Hadronic CALorimeter

220	<b>HE</b>	Hadron Endcaps
221	<b>HF</b>	Hadron Forward
222	<b>HLT</b>	Higher Level Trigger
223	<b>HO</b>	Hadron Outer
224	<b>HPD</b>	Hybrid Photo Diode
225	<b>LUT</b>	Look Up Table
226	<b>L1</b>	Level 1 Trigger
227	<b>LHC</b>	Large Hadron Collider
228	<b>LHCb</b>	Large Hadron Collider Beauty
229	<b>LSP</b>	Lightest Supersymmetric Partner
230	<b>NNLO</b>	Next to Next Leading Order
231	<b>POGs</b>	Physics Object Groups
232	<b>PS</b>	Proton Synchrotron
233	<b>QED</b>	Quantum Electro-Dynamics
234	<b>QCD</b>	Quantum Chromo-Dynamics
235	<b>QFT</b>	Quantum Field Theory
236	<b>RPC</b>	Resistive Plate Chamber
237	<b>RCT</b>	Regional Calorimeter Trigger
238	<b>RMT</b>	Regional Muon Trigger
239	<b>SUSY</b>	SUperSYmmetry
240	<b>SM</b>	Standard Model
241	<b>SMS</b>	Simplified Model Spectra
242	<b>SPS</b>	Super Proton Synchrotron
243	<b>TF</b>	Transfer Factor
244	<b>VEV</b>	Vacuum Expectation Value

<sup>245</sup> **VPT** Vacuum Photo-Triodes

<sup>246</sup> **WIMP** Weakly Interacting Massive Particle

<sup>247</sup>

*“The Universe is about 1,000,000 years old.”*

— Matthew Kenzie, 1987-present : Discoverer of the Higgs Boson.

<sup>248</sup>

# Chapter 1.

## 249 Introduction

250 During the 20th century great advances have been made in our understanding of the  
251 universe, where it comes from, where it is going and what it is made of. The Standard  
252 Model (**SM**) first formulated in the 1960's is one of the crowning achievements in science's  
253 quest to explain the most fundamental processes and interactions that make up our  
254 universe. It has provided a highly successful explanation of a wide range of phenomena  
255 in Particle Physics and has stood up to extensive experimental scrutiny [1].

256 Despite it's successes it is not a complete theory, with significant questions remaining  
257 unanswered. It describes only three of the four known forces with gravity not incorpo-  
258 rated within the framework of the **SM**. Cosmological experiments infer that just  $\sim 4\%$   
259 of the observable universe exists as matter, with elusive "Dark Matter" accounting for a  
260 further  $\sim 23\%$  [2]. However no particle predicted by the **SM** is able to account for it. At  
261 higher energy scales and small distances the (non-)unification of the fundamental forces  
262 point to problems with the **SM** at least at higher energies not yet probed experimentally.

263 Many theories exist as extensions to the **SM** and predict a range of observables  
264 that can be detected at the Large Hadron Collider (**LHC**) of which SUperSYmmetry  
265 (**SUSY**) is one such example. It predicts a new symmetry of nature in which all current  
266 particles in the **SM** would have a corresponding supersymmetric partner. Common to  
267 most Supersymmetric theories is a stable, weakly interacting Lightest Supersymmetric  
268 Partner (**LSP**), which has the properties of a possible dark matter candidate. The **SM**  
269 and the main principles of Supersymmetric theories are outlined in Chapter 2, with  
270 emphasis placed on how experimental signatures of **SUSY** may reveal themselves at  
271 the **LHC**.

272        The experimental goal of the **LHC** is to further test the framework of the **SM**,  
273   exploring the TeV mass scale for the first time, and to seek a connection between the  
274   particles produced in proton collisions and dark matter. The first new discovery by  
275   this extraordinary machine was announced on the 4th of July 2012. The long-awaited  
276   discovery was the culmination decades of experimental endeavours in the search for the  
277   Higgs boson, providing an answer to the mechanism of electroweak symmetry breaking  
278   within the **SM** [3][4].

279        This discovery was made possible through data taken by the two multi purpose  
280   detectors (**CMS** and A Toroidal LHC ApparatuS (**ATLAS**)) located on the **LHC** ring.  
281   An experimental description of the **CMS** detector and the **LHC** is described in Chapter 3,  
282   including some of the object reconstruction used by **CMS** in searches for **SUSY** signatures.  
283   The performance of the **CMS** Level-1 calorimeter trigger, benchmarked by the author is  
284   also included within this chapter.

285        The analysis conducted by the author is detailed within Chapter 4. This chapter  
286   contains a description of the search for evidence of the production of Supersymmetric  
287   particles at the **LHC**. The main basis of the search centres around the kinematic  
288   dimensionless  $\alpha_T$  variable, which provides strong rejection of backgrounds with fake  
289   missing energy signatures whilst maintaining good sensitivity to a variety of **SUSY**  
290   topologies. The author's work as an integral part of the analysis group is documented in  
291   detail, which has culminated in numerous publications over the past two years. The latest  
292   of which was published in the European Physical Journal C (**EPJC**) [5] and contains the  
293   results which are discussed within this and the sequential Chapter.

294        The author in particular has played a major role in the extension of the  $\alpha_T$  analysis into  
295   the additional b-tagged and jet multiplicity dimensions increasing the sensitivity of the  
296   analysis to a range of **SUSY** topologies. Additionally the author has worked extensively  
297   in both increasing the statistical precision of electroweak predictions measured from  
298   simulation through analytical techniques, and the derivation of a data driven systematic  
299   uncertainty through the establishment of closure tests within the control samples of the  
300   analysis.

301        Also included within this Chapter is a method to search for **SUSY** signatures which  
302   are rich in top and bottom flavoured jet final states. A parametrisation of the b-tagging  
303   distribution for different Electroweak processes is used to establish templates, which  
304   are then used to estimate the expected number of 3 or 4 b-tagged jet events from **SM**

305 processes. The  $\alpha_T$  search is used as a cross check for this template method to establish  
306 it's functionality.

307 Finally the interpretation of such results within the framework of a variety of Simplified  
308 Model Spectra (**SMS**), which describe an array of possible **SUSY** event topologies is  
309 documented in Chapter 5. A description of the statistical model used to derive these  
310 interpretations and the possible implications of the results presented in this thesis is  
311 discussed within this Chapter. Natural units are used throughout this thesis in which  $\hbar$   
312 = c = 1.

# Chapter 2.

## <sup>313</sup> A Theoretical Overview

<sup>314</sup> Within this chapter, a brief introduction and background to the **SM** is given. Its success  
<sup>315</sup> as a rigorously tested and widely accepted theory is discussed as well as the deficiencies  
<sup>316</sup> with this theory that hint there this theory is not a complete description of our universe.  
<sup>317</sup> The motivations for new physics at the TeV scale and in particular Supersymmetric  
<sup>318</sup> theories are outlined within Section (2.3), with the chapter concluding with how an  
<sup>319</sup> experimental signature of such theories can be produced and observed at the **LHC**,  
<sup>320</sup> Section (2.4).

### <sup>321</sup> 2.1. The Standard Model

<sup>322</sup> The **SM** is the name given to the relativistic Quantum Field Theory (**QFT**), where  
<sup>323</sup> particles are represented as excitations of fields, which describes the interactions and  
<sup>324</sup> properties of all the known elementary particles [6][7][8][9]. It is a renormalisable field  
<sup>325</sup> theory which contains three symmetries:  $SU(3)$  for colour charge,  $SU(2)$  for weak isospin  
<sup>326</sup> and  $U(1)$  relating to weak hyper charge, which require its Lagrangian  $\mathcal{L}_{SM}$  to be invariant  
<sup>327</sup> under local gauge transformation.

<sup>328</sup> Within the **SM** theory, matter is composed of spin  $\frac{1}{2}$  fermions, which interact with each  
<sup>329</sup> other via the exchange of spin-1 gauge bosons. A summary of the known fundamental  
<sup>330</sup> fermions and bosons is given in Table 2.1.

<sup>331</sup> Fermions are separated into quarks and leptons of which only quarks interact with  
<sup>332</sup> the strong nuclear force. Quarks unlike leptons are not seen as free particles in nature,  
<sup>333</sup> but rather exist only within baryons, composed of three quarks with an overall integer

Particle	Symbol	Spin	Charge	Mass (GeV)
<b>First Generation Fermions</b>				
Electron Neutrino	$\nu_e$	$\frac{1}{2}$	0	$< 2.2 \times 10^{-6}$
Electron	e	$\frac{1}{2}$	-1	$0.51 \times 10^{-3}$
Up Quark	u	$\frac{1}{2}$	$\frac{2}{3}$	$2.3^{+0.7}_{-0.5} \times 10^{-3}$
Down Quark	d	$\frac{1}{2}$	$-\frac{1}{3}$	$4.8^{+0.7}_{-0.3} \times 10^{-3}$
<b>Second Generation Fermions</b>				
Muon Neutrino	$\nu_\mu$	$\frac{1}{2}$	0	-
Muon	$\mu$	$\frac{1}{2}$	-1	$1.05 \times 10^{-3}$
Charm Quark	c	$\frac{1}{2}$	$\frac{2}{3}$	$1.275 \pm 0.025$
Strange Quark	s	$\frac{1}{2}$	$-\frac{1}{3}$	$95 \pm 5 \times 10^{-3}$
<b>Third Generation Fermions</b>				
Tau Neutrino	$\nu_\tau$	$\frac{1}{2}$	0	-
Tau	$\tau$	$\frac{1}{2}$	-1	1.77
Top Quark	t	$\frac{1}{2}$	$\frac{2}{3}$	$173.5 \pm 0.8$
Bottom Quark	b	$\frac{1}{2}$	$-\frac{1}{3}$	$4.65 \pm 0.03$
<b>Gauge Bosons</b>				
Photon	$\gamma$	1	0	0
W Boson	$W^\pm$	1	$\pm 1$	$80.385 \pm 0.015$
Z Boson	Z	1	0	$91.187 \pm 0.002$
Gluons	g	1	0	0
Higgs Boson	H	0	0	$125.3 \pm 0.5$ [4]

**Table 2.1.:** The fundamental particles of the SM, with spin, charge and mass displayed. Latest mass measurements taken from [1].

charge, and quark-anti-quark pairs called mesons. Both leptons and quarks are grouped into three generations which have the same properties, but with ascending mass in each subsequent generation.

The gauge bosons mediate the interactions between fermions. The field theories of Quantum Electro-Dynamics (QED) and Quantum Chromo-Dynamics (QCD), yield massless mediator bosons, the photon and eight coloured gluons which are consequences of the gauge invariance of those theories, detailed in Section (2.1.1).

The unification of the electromagnetic and weak-nuclear forces into the current Electroweak theory yield the weak gauge bosons,  $W^\pm$  and Z through the mixing of the associated gauge fields. The force carriers of this theory were experimentally detected by

<sup>344</sup> the observation of weak neutral current, discovered in 1973 in the Gargamelle bubble  
<sup>345</sup> chamber located at European Organization for Nuclear Research (**CERN**) [10], with the  
<sup>346</sup> masses of and the weak gauge bosons measured by the UA1 and U2 experiments at the  
<sup>347</sup> Super Proton Synchrotron (**SPS**) collider in 1983 [11][12].

### <sup>348</sup> 2.1.1. Gauge Symmetries of the SM

<sup>349</sup> Symmetries are of fundamental importance in the description of physical phenomena.  
<sup>350</sup> Noether's theorem states that for a dynamical system, the consequence of any symmetry  
<sup>351</sup> is an associated conserved quantity [13]. Invariance under translations, rotations, and  
<sup>352</sup> Lorentz transformations in physical systems lead to conservation of momentum, energy  
<sup>353</sup> and angular momentum.

<sup>354</sup> In the **SM**, a quantum theory described by Lagrangian formalism, the weak, strong and  
<sup>355</sup> electromagnetic interactions are described in terms of “gauge theories”. A gauge theory  
<sup>356</sup> possesses invariance under a set of “local transformations”, which are transformations  
<sup>357</sup> whose parameters are space-time dependent. The requirement of gauge invariance within  
<sup>358</sup> the **SM** necessitates the introduction of force-mediating gauge bosons and interactions  
<sup>359</sup> between fermions and the bosons themselves. Given the nature of the topics covered by  
<sup>360</sup> this thesis, the formulation of **EWK** within the **SM** Lagrangian is reviewed within this  
<sup>361</sup> section.

<sup>362</sup> The simplest example of the application of the principle of local gauge invariance  
<sup>363</sup> within the **SM** is in Quantum Electro-Dynamics (**QED**), the consequences of which  
<sup>364</sup> require a massless photon field [14][15].

<sup>365</sup> Starting from the free Dirac Lagrangian written as

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi, \quad (2.1)$$

<sup>366</sup> where  $\psi$  represents a free non interacting fermionic field, with the matrices  $\gamma^\mu, \mu \in$   
<sup>367</sup>  $0, 1, 2, 3$  defined by the anti commutator relationship  $\gamma^\mu \gamma^\nu + \gamma^\nu \gamma^\mu = 2\eta^{\mu\nu} I_4$  where  $\eta^{\mu\nu}$  is  
<sup>368</sup> the flat space-time metric  $(+, -, -, -)$  and  $I_4$  is the  $4 \times 4$  identity matrix.

<sup>369</sup> Under a local U(1) abelian gauge transformation in which  $\psi$  transforms as:

$$\psi(x) \rightarrow \psi'(x) = e^{i\theta(x)}\psi(x) \quad \bar{\psi}(x) \rightarrow \bar{\psi}'(x) = e^{i\theta(x)}\bar{\psi}(x) \quad (2.2)$$

370 the kinetic term of the Lagrangian does not remain invariant, due to the partial  
371 derivative interposed between the  $\bar{\psi}$  and  $\psi$  yielding,

$$\partial_\mu \psi \rightarrow e^{i\theta(x)} \partial_\mu \psi + i e^{i\theta(x)} \psi \partial_\mu \theta. \quad (2.3)$$

372 To ensure that  $\mathcal{L}$  remains invariant, a modified derivative,  $D_\mu$ , that transforms  
373 covariantly under phase transformations is introduced. In doing this a vector field  $A_\mu$   
374 with transformation properties that cancel out the unwanted term in (2.3) must also be  
375 included,

$$D_\mu \equiv \partial_\mu - ieA_\mu, \quad A_\mu \rightarrow A_\mu + \frac{1}{e} \partial_\mu \theta. \quad (2.4)$$

376 Invariance of the Lagrangian is then achieved by replacing  $\partial_\mu$  by  $D_\mu$ :

$$\begin{aligned} \mathcal{L} &= i\bar{\psi}\gamma^\mu D_\mu \psi - m\bar{\psi}\psi \\ &= \bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi + e\bar{\psi}\gamma^\mu \psi A_\mu \end{aligned} \quad (2.5)$$

377 An additional interaction term is now present in the Lagrangian, coupling the Dirac  
378 particle to this vector field, which is interpreted as the photon in QED. To regard this  
379 new field as the physical photon field, a term corresponding to its kinetic energy must be  
380 added to the Lagrangian from Equation (2.5). Since this term must also be invariant  
381 under the conditions of Equation (2.4), it is defined in the form  $F_{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$ .

382 This then leads to the Lagrangian of QED:

$$\mathcal{L}_{QED} = \underbrace{i\bar{\psi}\gamma^\mu\partial_\mu\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}}_{\text{kinetic term}} + \underbrace{m\bar{\psi}\psi}_{\text{mass term}} + \underbrace{e\bar{\psi}\gamma^\mu\psi A_\mu}_{\text{interaction term}} \quad (2.6)$$

383 Within the Lagrangian there remains no mass term of the form  $m^2A_\mu A^\mu$ , which is  
 384 prohibited by gauge invariance. This implies that the gauge particle, the photon, must  
 385 be massless.

### 386 2.1.2. The Electroweak Sector and Electroweak Symmetry 387 Breaking

388 The same application of gauge symmetry and the requirement of local gauge invariance  
 389 can be used to unify QED and the Weak force in the Electroweak Sector (EWK).  
 390 The nature of EWK interactions is encompassed within a Lagrangian invariant under  
 391 transformations of the group  $SU(2)_L \times U(1)_Y$ .

392 The weak interactions from experimental observation [16], are known to violate parity  
 393 and are therefore not symmetric under interchange of left and right helicity fermions.  
 394 Thus within the SM the left and right handed parts of these fermion fields are treated  
 395 separately. A fermion field is then split into two left and right handed chiral components,  
 396  $\psi = \psi_L + \psi_R$ , where  $\psi_{L/R} = (1 \pm \gamma^5)\psi$ .

397 The  $SU(2)_L$  group is the special unitary group of  $2 \times 2$  matrices  $U$  satisfying  $UU^\dagger = I$   
 398 and  $\det(U) = 1$ . It may be written in the form  $U = e^{-i\omega_i T_i}$ , with the generators of the  
 399 group  $T_i = \frac{1}{2}\tau_i$  where  $\tau_i$ ,  $i \in 1,2,3$  being the  $2 \times 2$  Pauli matrices

$$\tau_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \tau_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \tau_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad (2.7)$$

400 which form a non Abelian group obeying the commutation relation  $[T^a, T^b] \equiv$   
 401  $if^{abc}T^c \neq 0$ . The gauge fields that accompany this group are represented by  $\hat{W}_\mu =$   
 402  $(\hat{W}_\mu^1, \hat{W}_\mu^2, \hat{W}_\mu^3)$  and act only on the left handed component of the fermion field  $\psi_L$ .

403 One additional generator  $Y$  which represents the hypercharge of the particle under  
404 consideration is introduced through the  $U(1)_Y$  group acting on both components of the  
405 fermion field, with an associated vector boson field  $\hat{B}_\mu$ .

406 The  $SU(2)_L \times U(1)_Y$  transformations of the left and right handed components of  $\psi$   
407 are summarised by,

$$\begin{aligned}\chi_L &\rightarrow \chi'_L = e^{i\theta(x) \cdot T + i\theta(x)Y} \chi_L, \\ \psi_R &\rightarrow \psi'_R = e^{i\theta(x)Y} \psi_R,\end{aligned}\tag{2.8}$$

408 where the left handed fermions form isospin doubles  $\chi_L$  and the right handed fermions  
409 are isosinglets  $\psi_R$ . For the first generation of leptons and quarks this represents

$$\begin{aligned}\chi_L &= \begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, & \begin{pmatrix} u \\ d \end{pmatrix}_L \\ \psi_R &= e_R, & u_R, d_R\end{aligned}\tag{2.9}$$

410 Imposing local gauge invariance within  $\mathcal{L}_{EWK}$  is once again achieved by modifying  
411 the covariant derivative

$$D_\mu = \partial_\mu - \frac{ig}{2} \tau^i W_\mu^i - \frac{ig'}{2} Y B_\mu,\tag{2.10}$$

412 where  $g$  and  $g'$  are the coupling constant of the  $SU(2)_L$  and  $U(1)_Y$  groups respectively.  
413 Taking the example of the first generation of fermions defined in Equation.(2.9), with input  
414 hypercharge values of -1 and -2 for  $\chi_L$  and  $e_R$  respectively, would lead to a Lagrangian  
415  $\mathcal{L}_1$  of the form,

$$\begin{aligned}\mathcal{L}_1 = &\bar{\chi}_L \gamma^\mu [i\partial_\mu - g \frac{1}{2} \tau \cdot W_\mu - g' (-\frac{1}{2}) B_\mu] \chi_L \\ &+ \bar{e}_R \gamma^\mu [i\partial_\mu - g' (-1) B_\mu] e_R - \frac{1}{4} W_{\mu\nu} \cdot W^{\mu\nu} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu}.\end{aligned}\tag{2.11}$$

<sup>416</sup> As in **QED**, these additional gauge fields introduce field strength tensors  $B_{\mu\nu}$  and  
<sup>417</sup>  $W_{\mu\nu}$ ,

$$\hat{B}_{\mu\nu} = \partial_\mu \hat{B}_\nu - \partial_\nu \hat{B}_\mu \quad (2.12)$$

$$\hat{W}_{\mu\nu} = \partial_\mu \hat{W}_\nu - \partial_\nu \hat{W}_\mu - g \hat{W}_\mu \times \hat{W}_\nu \quad (2.13)$$

<sup>418</sup> corresponding to the kinetic energy and self coupling of the  $W_\mu$  fields and the kinetic  
<sup>419</sup> energy term of the  $B_\mu$  field.

<sup>420</sup> None of these gauge bosons are physical particles, and instead linear combinations of  
<sup>421</sup> these gauge bosons make up  $\gamma$  and the W and Z bosons, defined as

$$W^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp i W_\mu^2) \quad \begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta_W & -\sin\theta_W \\ \sin\theta_W & \cos\theta_W \end{pmatrix} \begin{pmatrix} W_\mu^3 \\ B_\mu \end{pmatrix} \quad (2.14)$$

<sup>422</sup> where the mixing angle,  $\theta_w = \tan^{-1} \frac{g'}{g}$ , relates the coupling of the neutral weak and  
<sup>423</sup> electromagnetic interactions.

<sup>424</sup> As in the case of the formulation of the **QED** Lagrangian there remains no mass term  
<sup>425</sup> for the photon. However this is also the case for the W, Z and fermions in the Lagrangian,  
<sup>426</sup> contrary to experimental measurement. Any explicit introduction of mass terms would  
<sup>427</sup> break the symmetry of the Lagrangian and instead mass terms can be introduced through  
<sup>428</sup> spontaneous breaking of the **EWK** symmetry via the Higgs mechanism.

<sup>429</sup> The Higgs mechanism induces spontaneous symmetry breaking through the introduc-  
<sup>430</sup> tion of a complex scalar SU(2) doublet field  $\phi$  which attains a non-zero Vacuum  
<sup>431</sup> Expectation Value (**VEV**) [17][18][19][20].

$$\phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix} \quad \text{with} \quad \begin{aligned} \phi^+ &\equiv (\phi_1 + i\phi_2)/\sqrt{2} \\ \phi^0 &\equiv (\phi_3 + i\phi_4)/\sqrt{2} \end{aligned} \quad (2.15)$$

432 The Lagrangian defined in Equation (2.11) attains an additional term  $\mathcal{L}_{Higgs}$  of the  
433 form

$$\mathcal{L}_{Higgs} = \overbrace{(D_\mu \phi)^\dagger (D^\mu \phi)}^{\text{kinetic}} - \overbrace{\mu^2 \phi^\dagger \phi - \lambda (\phi^\dagger \phi)^2}^{\text{potential } V(\phi)} \quad (\mu^2, \lambda) > 0 \in \mathbb{R},$$

$$\mathcal{L}_{SM} = \mathcal{L}_{EWK} + \mathcal{L}_{Higgs}, \quad (2.16)$$

434 where the covariant derivative  $D_\mu$  is that defined in Equation (2.10). The last two  
435 terms of  $\mathcal{L}_{Higgs}$  correspond to the Higgs potential, in which positive real positive values  
436 of  $\mu^2$  and  $\lambda$  are required to ensure the generation of masses for the bosons and leptons.  
437 The minimum of this potential is found at  $\phi^\dagger \phi = \frac{1}{2}(\phi_1^2 + \phi_2^2 + \phi_3^2 + \phi_4^2) = \mu^2/\lambda = v^2$ ,  
438 where  $v$  represents the **VEV**.

439 Defining the ground state of the  $\phi$  field to be consistent with the  $V(\phi)$  minimum, and  
440 then expanding around a ground state chosen to maintain an unbroken electromagnetic  
441 symmetry thus preserving a zero photon mass [21] leads to

$$\phi_0 = \sqrt{\frac{1}{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}, \quad \phi(x) = e^{i\tau \cdot \theta(x)/v} \sqrt{\frac{1}{2}} \begin{pmatrix} 0 \\ v + h(x) \end{pmatrix}, \quad (2.17)$$

442 where the fluctuations from the vacuum  $\phi_0$  are parametrized in terms of four real  
443 fields,  $\theta_1, \theta_2, \theta_3$  and  $h(x)$ .

444 Choosing to gauge away the three massless Goldstone boson fields by setting  $\theta(x)$  to  
445 zero and substituting  $\phi(x)$  back into kinetic term of  $\mathcal{L}_{Higgs}$  from Equation (2.16) leads to  
446 mass terms for the  $W^\pm$  and  $Z$  bosons

$$(D_\mu \phi)^\dagger (D^\mu \phi) = \frac{1}{2}(\partial_\mu h)^2 + \frac{g^2 v^2}{2} W_\mu^+ W^{-\mu} + \frac{v^2 g^2}{8 \cos^2 \theta_w} Z_\mu Z^\mu + 0 A_\mu A^\mu, \quad (2.18)$$

447 where the relations between the physical and electroweak gauge fields from Equation  
448 (2.14) are used. The  $W^\pm$  and  $Z$  bosons can then be determined to be

$$M_W = \frac{1}{2}gv \quad M_Z = \frac{1}{2} \frac{gv}{\cos \theta_w}. \quad (2.19)$$

449 This mechanism is also used to generate fermion masses by introducing a Yukawa  
 450 coupling between the fermions and the  $\phi$  field [22], with the coupling strength of a particle  
 451 to the  $\phi$  field governing its mass. Additionally a scalar boson  $h$  with mass  $m_h = v\sqrt{\frac{\lambda}{2}}$ , is  
 452 also predicted as a result of this spontaneous symmetry breaking and became known as  
 453 the Higgs boson. Its discovery by the CMS and ATLAS experiments in 2012 is concrete  
 454 evidence to support this method of mass generation within the SM.

## 455 2.2. Motivation for Physics Beyond the Standard 456 Model

457 As has been described, the SM has proved to be a very successful theory, predicting the  
 458 existence of the  $W^\pm$  and  $Z$  bosons and the top quark long before they were experimentally  
 459 observed. However the theory does not accurately describe all observed phenomena and  
 460 has some fundamental theoretical flaws that hint at the need for additional extensions to  
 461 the current theory.

462 On a theoretical level, the SM is unable to incorporate the gravitational interactions  
 463 of fundamental particles within the theory. Whilst at the electroweak energy scales the  
 464 relative strength of gravity is negligible compared to the other three fundamental forces,  
 465 at much higher energy scales  $M_{planck} \sim 10^{18} GeV$  quantum gravitational effects become  
 466 increasingly dominant. The failure to reconcile gravity within the SM, demonstrates that  
 467 the SM must become invalid at some higher energy scale.

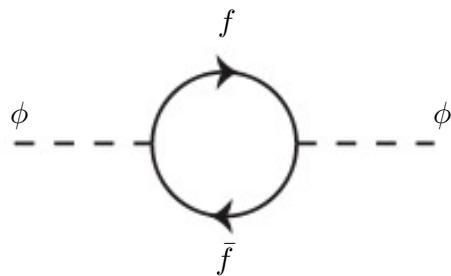
468 Some other deficiencies with the SM include the fact that the predicted rate of  
 469 Charge-Parity violation does not account for the matter dominated universe which we  
 470 inhabit, and the SM prediction of zero neutrino mass conflicts with the observation of  
 471 neutrino flavour mixing, attributed to mixing between neutrino mass eigenstates [23][24].

472 Perhaps one of the most glaring gaps in the predictive power of the SM is that there  
 473 exists no candidate to explain the cosmic dark matter observed in galactic structures  
 474 through indirect techniques including gravitational lensing and measurement of the  
 475 orbital velocity of stars in galactic edges. Any such candidate must be very weakly

476 interacting which must also be stable, owing to the lack of direct detection of the decay  
477 products of such an process. Providing a dark matter candidate is of the prime goals  
478 which be tackled by any Beyond Standard Model (**BSM**) physics model.

479 The recent discovery of the Higgs boson whilst a significant victory for the predictive  
480 power of the **SM**, brings with it still unresolved questions. This issue is commonly  
481 described as the “hierarchy problem”.

482 In the absence of new physics between the TeV and Planck scale, calculating beyond  
483 tree-level contributions to the Higgs mass term given by its self interaction, result in  
484 divergent terms that push the Higgs mass up to the planck mass  $M_{planck}$ .



**Figure 2.1.:** One loop quantum corrections to the Higgs squared mass parameter  $m_h^2$  due to a fermion.

485 This can be demonstrated by considering the one loop quantum correction to the  
486 Higgs mass with a fermion  $f$ , shown in Figure 2.1 with mass  $m_f$ . The Higgs field couples  
487 to  $f$  with a term in the Lagrangian  $-\lambda_f h \bar{f} f$ , yielding a correction of the form [25],

$$\delta m_h^2 = -\frac{|\lambda_f|^2}{8\pi^2} \Lambda^2 + \dots, \quad (2.20)$$

488 where  $\lambda_f$  represents the coupling strength for each type of fermion  $\propto m_f$ , and  $\Lambda$  the  
489 cutoff energy scale at which the **SM** ceases to be a valid theory.

490 To recover the mass of the now discovered Higgs boson would require a fine-tuning of  
491 the parameters to cancel out these mass corrections the the Higgs mass to the scale of  
492 30 orders of magnitude. This appears as an unnatural solution to physicists and it is  
493 this hierarchy problem that provides one of the strongest motivations for the theory of  
494 SUperSYmmetry (**SUSY**).

## <sup>495</sup> 2.3. Supersymmetry Overview

<sup>496</sup> Supersymmetry provides potential solutions to many of the issues raised in the previous  
<sup>497</sup> section. It provides a dark matter candidate, can explain baryogenesis in the early  
<sup>498</sup> universe and also provides an elegant solution to the hierarchy problem [26][27][28][29].  
<sup>499</sup> At its heart it represents a new space-time symmetry that relates fermions and bosons.  
<sup>500</sup> This symmetry converts bosonic states into fermionic states, and vice versa, see Equation  
<sup>501</sup> (2.21) ,

$$Q|Boson\rangle = |Fermion\rangle \quad Q|Fermion\rangle = |Boson\rangle, \quad (2.21)$$

<sup>502</sup> where the operator  $Q$  is the generator of these transformations. Quantum field theories  
<sup>503</sup> which are invariant under such transformations are called supersymmetric.

<sup>504</sup> This symmetry operator therefore acts upon a particles spin altering it by a half integer  
<sup>505</sup> value. The consequences of the introduction of this additional space-time symmetry  
<sup>506</sup> introduce a new rich phenomenology. For example in supersymmetric theories, both  
<sup>507</sup> the left handed  $SU(2)$  doublet and right handed singlet of fermions will have a spin-0  
<sup>508</sup> superpartner, containing the same electric charge, weak isospin, and colour as its **SM**  
<sup>509</sup> partner. In the case of the leptons  $(\nu_l, l)_L$  will have two superpartners, a sneutrino  $\tilde{\nu}_l{}_L$   
<sup>510</sup> and a slepton  $\tilde{l}_L$ , whilst the singlet  $l_R$  also has a superpartner slepton  $\tilde{l}_R$ .

<sup>511</sup> Each particle in a supersymmetric theory is paired together with their superpartners  
<sup>512</sup> as a result of this supersymmetric transformations in a so called supermultiplet. These  
<sup>513</sup> superpartners will then consequently also contribute to the corrections to the Higgs mass.  
<sup>514</sup> Bosonic and fermionic loops contributing to the correction appear with opposite signs,  
<sup>515</sup> and therefore cancellation of these divergent terms will stabilise the Higgs mass, solving  
<sup>516</sup> the hierarchy problem [30][31].

<sup>517</sup> One of the simplest forms of **SUSY** would predict a whole set of supersymmetric  
<sup>518</sup> partners to their **SM** counterparts with the same mass and interactions. However the  
<sup>519</sup> currently lack of any experimental evidence for the predicted sparticle spectrum implies  
<sup>520</sup> **SUSY** must be a broken symmetry in which any sparticle masses must be greater than  
<sup>521</sup> their SM counterparts.

<sup>522</sup> There exist many techniques which can induce supersymmetric breaking [32][33][34].  
<sup>523</sup> Of particular interest to experimental physicists are those at which the breaking scale

524 is of an order that is experimentally accessible to the **LHC** i.e.  $\sim$  TeV scale. Whilst  
525 there is no requirement for supersymmetric breaking to occur at this energy scale, for  
526 supersymmetry to provide a solution to the hierarchy problem, it is necessary for this  
527 scale to not differ too drastically from the **EWK** scale [35][36].

### 528 2.3.1. R-Parity

529 Some supersymmetric theories also present a solution to the dark matter problem. Such  
530 theories can contain a Lightest Supersymmetric Partner (**LSP**), which matches the criteria  
531 of a Weakly Interacting Massive Particle (**WIMP**) required by cosmological observation  
532 if R-parity is conserved.

533 Baryon (B) and Lepton (L) number conservation is forbidden in the **SM** by renor-  
534 malisability requirements. The violation of Baryon or Lepton number would result in  
535 the proton lifetime much shorter than those set by experimental limits [37]. Another  
536 symmetry called R-parity is then often introduced to **SUSY** theories to maintain baryon  
537 and lepton conservation.

538 R-parity is described by the equation

$$R_P = (-1)^{3(B-L)+2s}, \quad (2.22)$$

539 where s represents the spin of the particles.  $B = \pm \frac{1}{3}$  for quarks/antiquarks and  $B = 0$   
540 for all others,  $L = \pm 1$  for leptons/antileptons,  $L = 0$  for all others.

541 R-parity ensures the stability of the proton in **SUSY** models, and also has other  
542 consequences for the production and decay of supersymmetric particles. At particle  
543 colliders supersymmetric particles can only be pair produced, and similarly the decay  
544 of any produced supersymmetric particle is restricted to a **SM** particle and a lighter  
545 supersymmetric particle as allowed by conservation laws. A further implication of R-  
546 parity is that once a supersymmetric particle has decayed to the **LSP** it remains stable,  
547 unable to decay into a **SM** particle.

548 A **LSP** would not interact in a detector at a particle collider, leaving behind a missing  
549 energy  $\cancel{E}_T$  signature. The assumption of R-parity and its consequences are used to  
550 determine the physical motivation and search strategies for **SUSY** model at the **LHC**.

## 551 2.4. Experimental signatures of SUSY at the LHC

552 Should strongly interacting sparticles be within the experimental reach of the LHC, then  
553 it is expected that they can be produced in a variety of ways.

- 554 • squark/anti-squark and gluino pairs can be produced via both gluon fusion and  
555 quark/anti-quark scattering.
- 556 • a gluino and squark produced together via quark-gluon scattering
- 557 • squark pairs produced via quark-quark scattering

558 Whilst most SUSY searches invoke the requirement of R-parity to explore parameter  
559 phase space, there still exist a whole plethora of possible SUSY model topologies which  
560 could be discovered at the LHC.

561 During the 2011 run period at a  $\sqrt{s} = 7$  TeV particular models were used to benchmark  
562 performance and experimental reach of both CMS searches and previous experiments.  
563 The Compressed Minimal SuperSymmetric Model (CMSSM) was initially chosen for a  
564 number of reasons [38]. One of the most compelling being the reduction from the up to  
565 105 new parameters introduced by SUSY in addition to the existing 19 of the SM to that  
566 of just 5 free extra free parameters. It was this simplicity, combined with the theory not  
567 requiring any fine tuning of particle masses to produce the experimentally verified SM  
568 that made it an attractive model to interpret physics results.

569 However recent results from the LHC now strongly disfavour large swathes of CMSSM  
570 parameter space [39][40][41]. In the face of such results a more pragmatic model indepen-  
571 dent search strategy is now applied across most SUSY searches at the LHC, see Section  
572 (2.4.1).

573 As previously stated, a stable LSP that exhibits the properties of a dark matter would  
574 be weakly interacting and therefore will not be directly detected in a detector environment.  
575 Additionally the cascade decays of supersymmetric particles to the LSP would also result  
576 in significant hadronic activity. These signatures can then be characterised through  
577 large amounts of hadronic jets (see Section (3.3.1)), leptons and a significant amount of  
578 missing energy dependent upon the size of the mass splitting between the LSP and the  
579 supersymmetric particle it has decayed from.

580 Whilst the SM contains processes which can exhibit a similar event topology to that  
581 described above. The largest contribution of which comes in from the general QCD

environment of a hadron collider. A multitude of different analytical techniques are used by experimental physicists to reduce or estimate any reducible or irreducible backgrounds, allowing a possible **SUSY** signature to be extracted. The techniques employed within this thesis are described in great detail within Section (4.1).

### 2.4.1. Simplified Models

With such a variety of different ways for a **SUSY** signal to manifest itself, it is necessary to be able to interpret experimental reach through the masses of gluinos and squarks which can excluded by experimental searches rather than on a model specific basis.

This is accomplished through **SMS** models, which are defined by a set of hypothetical particles and a sequence of their production and decay [42][43]. In the **SMS** models considered within this thesis, only the production process for the two primary particles are considered. Each primary particle can undergo a direct or a cascade decay through an intermediate new particle. At the end of each decay chain there remains a neutral, undetected **LSP** particle, denoted  $\tilde{\chi}_{LSP}$  which can represent a neutralino or gravitino. Essentially it is easier to consider each **SMS** with branching ratios set to 100% The masses of the primary particle and the **LSP** remain as free parameters, in which the absolute value and relative difference between the primary and **LSP** particle alter the kinematics of the event.

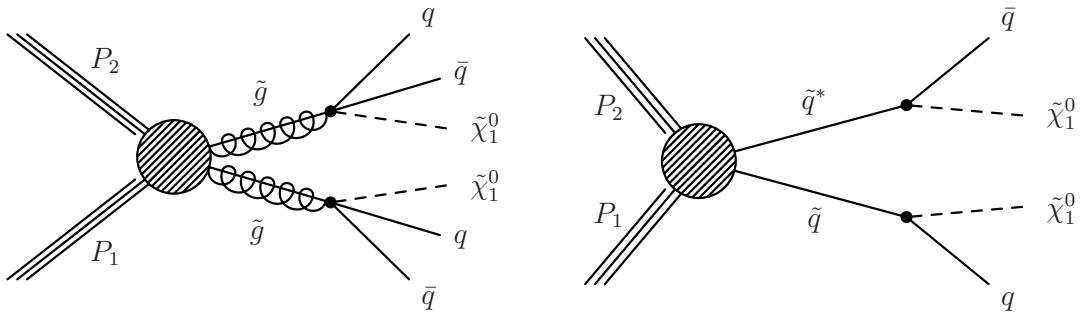
Different **SMS** models are denoted with a T-prefix, with a summary of the types interpreted within this thesis listed below [44].

- **T1,T1xxxx**, models represent a simplified version of gluino pair production with each gluino (superpartner to the gluon) undergoing a three-body decay to a quark-antiquark pair and the **LSP** (i.e.  $\tilde{g} \rightarrow q\bar{q}\tilde{\chi}_{LSP}$ ). The resultant final state from this decay is typically 4 jets +  $\cancel{E}_T$  in the absence of initial/final state radiation and detector effects. xxxx denotes models in which the quarks are of a specific flavour, typically t or b quark-antiquarks.
- **T2,T2xx**, models represent a simplified version of squark anti-squark production with each squark undergoing a two-body decay into a light-flavour quark and **LSP** (i.e.  $\tilde{q} \rightarrow q\tilde{\chi}_{LSP}$ ). This results in final states with less jets than gluino mediated production, typically 2 jets +  $\cancel{E}_T$  when again ignoring the effect of initial/final state radiation and detector effects. xx models again represent decays in which both the quark and the squark within the decay is of a specific flavour, typically  $\tilde{t}/t$  or  $\tilde{b}/b$ .

Models rich in b and t quarks are interpreted within this thesis as they remain of particular interest within “Natural SUSY” scenarios [45][46]. The largest contribution to the quadratic divergence in the Higgs mass parameter comes from a loop of top quarks via the Yukawa coupling. Cancellation of these divergences can be achieved in supersymmetric theories by requiring a light right handed top squark,  $\tilde{t}_R$ , and left-handed double  $SU(2)_L$  doublet containing top and bottom squarks,  $(\tilde{\frac{t}{b}})_L$  [47].

These theories therefore solve the hierarchy problem by predicting light  $\sim$  EWK scale third generation sleptons, to be accessible at the LHC. Search strategies involving the requirement of b-tagging (see Section (3.3.2)) are used to give sensitivity to these type of SUSY scenarios and are discussed in greater detail within Chapter 5.

Two example decay chains are shown in Figure 2.2; the pair production of gluinos (T1) and the pair production of squarks (T2) decaying into SM particles and LSP’s.



**Figure 2.2.:** Two example SMS model decays (T1 (left), T2 (right)), which are used in interpretations of physics reach by CMS.

# Chapter 3.

## <sup>626</sup> The LHC and the CMS Detector

<sup>627</sup> Probing the SM for signs of new physics would not be possible without the immensely  
<sup>628</sup> complex electronics and machinery that makes the TeV energy scale accessible for the  
<sup>629</sup> first time. This chapter will cover the LHC based at European Organization for Nuclear  
<sup>630</sup> Research (CERN) and the Compact Muon Solenoid (CMS) detector, being the experiment  
<sup>631</sup> the author is a member of. Section (3.2) serves to introduce an overview of the different  
<sup>632</sup> components of the CMS detector, with more detail spent on those that are relevant in  
<sup>633</sup> the search for Supersymmetric particles. Section (3.3) will focus on event and object  
<sup>634</sup> reconstruction again with more emphasis on jet level quantities which are most relevant  
<sup>635</sup> to the author's analysis research. Finally Section (3.4) will cover work performed by  
<sup>636</sup> the author, as service to the CMS Collaboration, in measuring the performance of the  
<sup>637</sup> Global Calorimeter Trigger (GCT) component of the L1 trigger during the 2012-2013  
<sup>638</sup> run period.

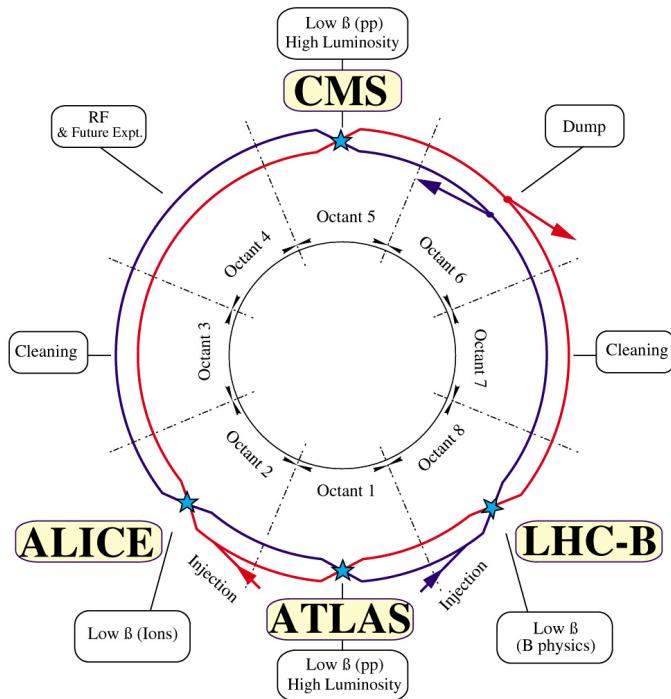
### <sup>639</sup> 3.1. The LHC

<sup>640</sup> The LHC is a storage ring, accelerator, and collider of circulating beams of protons or  
<sup>641</sup> ions. Housed in the tunnel dug for the Large Electron-Positron collider (LEP), it is  
<sup>642</sup> approximately 27 km in circumference, 100 m underground, and straddles the border  
<sup>643</sup> between France and Switzerland outside of Geneva. It is currently the only collider  
<sup>644</sup> in operation that is able to study physics at the TeV scale. A double-ring circular  
<sup>645</sup> synchrotron, it was designed to collide both proton-proton (pp) and heavy ion (PbPb)  
<sup>646</sup> with a centre of mass energy  $\sqrt{s} = 14$  TeV at a final design luminosity of  $10^{34}\text{cm}^{-2}\text{s}^{-1}$ .

<sup>647</sup>

These counter-circulating beams of protons/Pb ions are merged in four sections around the ring to enable collisions of the beams, with each interaction point being home to one of the four major experiments; A Large Ion Collider Experiment (**ALICE**) [48], A Toroidal LHC ApparatuS (**ATLAS**) [49], Compact Muon Solenoid (**CMS**) [50] and Large Hadron Collider Beauty (**LHC-B**) [51] which record the resultant collisions. The layout of the **LHC** ring is shown in Figure 3.1. The remaining four sections contain acceleration, collimation and beam dump systems. In the eight arc sections, the beams are steered by magnetic fields of up to 8 T provided by super conduction dipole magnets, which are maintained at temperatures of 2 K using superfluid helium. Additional magnets for focusing and corrections are also present in straight sections within the arcs and near the interaction regions where the detectors are situated.

659



**Figure 3.1.:** A top down layout of the LHC. [52], with the position of the four main detectors labelled.

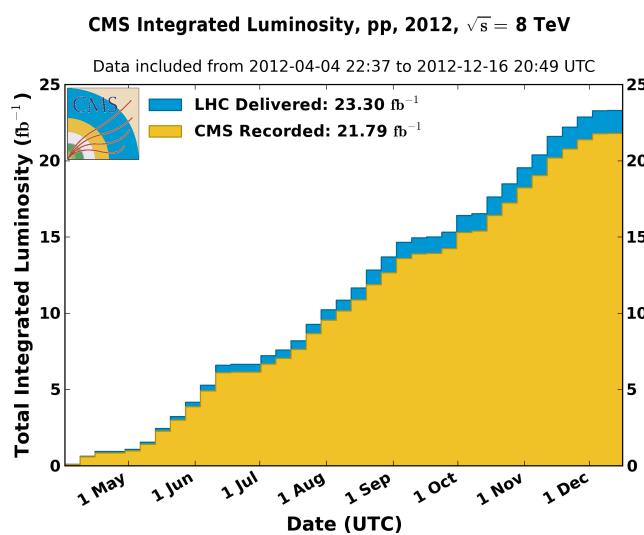
Proton beams are formed inside the Proton Synchrotron (**PS**) from bunches of protons 50 ns apart with an energy of 26 GeV. The protons are then accelerated in the Super Proton Synchrotron (**SPS**) to 450 GeV before being injected into the **LHC**. These **LHC** proton beams consists of many “bunches” i.e. approximately  $1.1 \times 10^{11}$  protons localized into less than 1 ns in the direction of motion. Before collision the beams are ramped to 4

665 TeV (2012) per beam in a process involving increasing the current passing through the  
 666 dipole magnets. Once the desired  $\sqrt{s}$  energy is reached then the beams are allowed to  
 667 collide at the interaction points. The luminosity falls regularly as the run progresses as  
 668 protons are lost in collisions, and eventually the beam is dumped before repeating the  
 669 process again.

670

671 Colliding the beams produced an instantaneous luminosity of approximately  $5 \times$   
 672  $10^{33} \text{ cm}^{-2}\text{s}^{-1}$  during the 2012 run. The high number of protons in each bunch increases  
 673 the likelihood of multiple interactions with each crossing of the counter-circulating  
 674 beams. This leads to isotropic energy depositions within the detectors positioned at these  
 675 interaction points, increasing the energy scale of the underlying event. This is known as  
 676 pile-up and the counteracting of it's effects are important to the many measurements  
 677 performed at the LHC.

678 In the early phase of prolonged operation after the initial shutdown the machine  
 679 operated in 2010-2011 at 3.5 TeV per beam,  $\sqrt{s} = 7 \text{ TeV}$ , delivering  $6.13 \text{ fb}^{-1}$  of data  
 680 [53]. During the 2012-2013 run period, data was collected at an increased  $\sqrt{s} = 8 \text{ TeV}$   
 681 improving the sensitivity of searches for new physics. Over the whole run period  $23.3$   
 682  $\text{fb}^{-1}$  of data was delivered of which  $21.8 \text{ fb}^{-1}$  was recorded by the CMS detector as shown  
 683 in Figure 3.2 [53]. A total of  $12 \text{ fb}^{-1}$  of 8 TeV certified data was collected by October  
 684 2012, and it is this data which forms the basis of the results discussed within this thesis.



**Figure 3.2.:** The total integrated luminosity delivered to and collected by CMS during the 2012 8 TeV  $pp$  runs.

## 685 3.2. The CMS detector

686 The Compact Muon Solenoid (**CMS**) detector is one of two general purpose detectors  
 687 at the **LHC** designed to search for new physics. The detector is designed to provide  
 688 efficient identification and measurement of many physics objects including photons,  
 689 electrons, muons, taus, and hadronic showers over wide ranges of transverse momentum  
 690 and direction. Its nearly  $4\pi$  coverage in solid angle allows for accurate measurement of  
 691 global transverse momentum imbalance. These design factors give **CMS** the ability to  
 692 search for direct production of **SUSY** particles at the TeV scale, making the search for  
 693 Supersymmetric particles one of the highest priorities among the wide range of physics  
 694 programmes at **CMS**.

695

696 **CMS** uses a right-handed Cartesian coordinate system with the origin at the interaction  
 697 point and the z-axis pointing along the beam axis, the x-axis points radially inwards to  
 698 the centre of the collider ring, with the y-axis points vertically upward. The azimuthal  
 699 angle,  $\phi$  ranging between  $[-\pi, \pi]$  is defined in the x-y plane starting from the x-axis. The  
 700 polar angle  $\theta$  is measured from the z axis. The common convention in particle physics is  
 701 to express an out going particle in terms of  $\phi$  and its pseudorapidity defined as

$$\eta = -\log \tan \left( \frac{\theta}{2} \right). \quad (3.1)$$

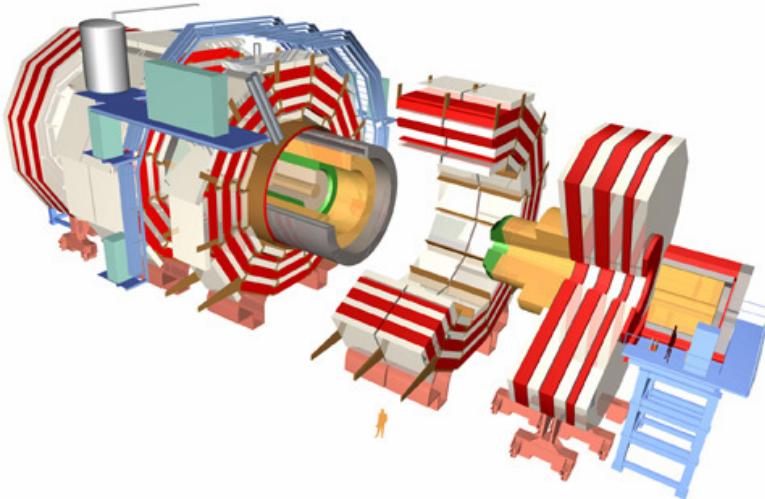
702 The variable  $\Delta R = \sqrt{\Delta\phi^2 + \Delta\eta^2}$  is commonly used to define angular distance  
 703 between objects within the detector and additionally energy and momentum is typically  
 704 measured in the transverse plane perpendicular to the beam line. These values are  
 705 calculated from the x and y components of the object and are denoted as  $E_T = E \sin \theta$   
 706 and  $p_T = \sqrt{p_x^2 + p_y^2}$ .

### 707 3.2.1. Detector Subsystems

708 As the range of particles produced in  $pp$  collisions interact in different ways with mat-  
 709 ter, **CMS** is divided into subdetector systems, which perform complementary roles to  
 710 identify the identity, mass and momentum of the different physics objects present in  
 711 each event. These detector sub-systems contained inside **CMS** are wrapped in layers

712 around a central 13 m long 4 T super conducting solenoid as shown in Figure 3.3. With  
 713 the endcaps closed , CMS is a cylinder of length 22 m, diameter 15 m, and mass 12.5  
 714 kilotons. A more detailed complete description of the detector can be found elsewhere [50].

715



**Figure 3.3.:** A pictorial depiction of the CMS detector with the main detector subsystems labelled. [54]

### 716 3.2.2. Tracker

717 The inner-most subdetector of the barrel is the multi-layer silicon tracker, formed of a  
 718 pixel detector component encased by layers of silicon strip detectors. The pixel detector  
 719 consists of three layers of silicon pixel sensors providing measurements of the momentum,  
 720 position coordinates of the charged particles as they pass, and the location of primary  
 721 and secondary vertices between 4cm and 10cm transverse to the beam. Outside the pixel  
 722 detector, ten cylindrical layers of silicon strip detectors extend the tracking system out to  
 723 a radius of 1.20m from the beam line. The tracking system provides efficient and precise  
 724 determination of the charges, momenta, and impact parameters of charged particles with  
 725 the geometry of the tracker extending to cover a rapidity range up to  $|\eta| < 2.5$ .

726

727 The tracking system also plays a crucial part in the identification of jets originating  
 728 from b-quarks through measurement of displaced secondary vertices, which is covered in  
 729 more detail in Section (3.3.2). The identification of b-jets is important in many searches

730 for natural SUSY models and forms an important part of the inclusive search strategy  
731 described within Section (4.2).

732 **3.2.3. Electromagnetic Calorimeter**

733 Immediately outside of the tracker, but still within the magnet core, sits the Electromag-  
734 netic CALorimeter (**ECAL**). Covering a pseudorapidity up to  $|\eta| < 3$  and comprising  
735 of over 75,000 PbWO<sub>4</sub> (lead tungstate) crystals that scintillate as particles deposit energy,  
736 the **ECAL** provides high resolution measurements of the electromagnetic showers from  
737 photons, electrons in the detector.

738

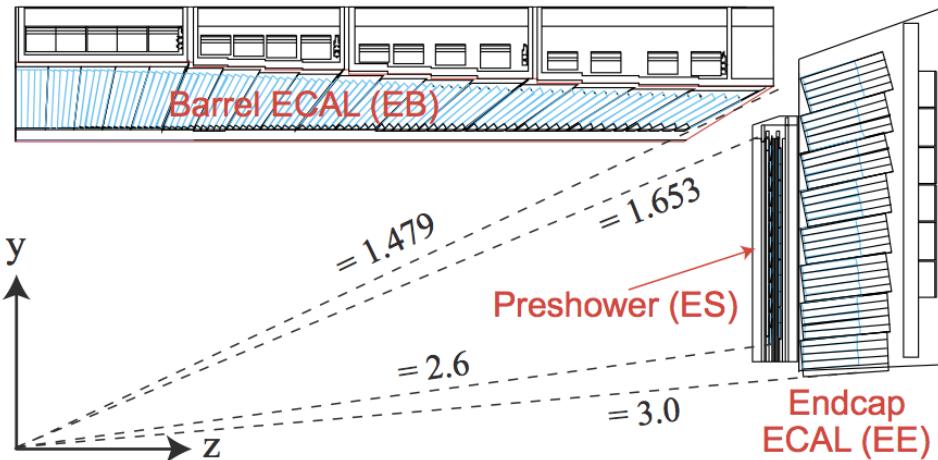
739 Lead tungstate is used because of its short radiation length ( $X_0 \sim 0.9\text{cm}$ ) and small  
740 Molieré radius ( $\sim 2.1\text{cm}$ ) leading to high granularity and resolution. It's fast scintillation  
741 time ( $\sim 25\text{ns}$ ) reduces the effects of pile-up due to energy from previous collisions still  
742 being read out, and its radiation hardness gives it longevity. The crystals are arranged  
743 in modules which surround the beam line in a non-projective geometry, angled at  $3^\circ$   
744 with respect to the interaction point to minimise the risk of particles escaping down the  
745 cracks between the crystals.

746

747 The **ECAL** is primarily composed of two sections, the Electromagnetic CALorime-  
748 ter Barrel (**EB**) which extends in pseudo-rapidity to  $|\eta| < 1.479$  with a crystal front  
749 cross section of  $22 \times 22\text{ mm}^2$  and a length of 230 mm corresponding to 25.8 radia-  
750 tion lengths, and the Electromagnetic CALorimeter Endcap (**EE**) covering a rapidity  
751 range of  $1.479 < |\eta| < 3.0$ , which consists of two identical detectors on either side of  
752 the **EB**. A lead-silicon sampling ‘pre-shower’ detector Electromagnetic CALorimeter  
753 pre-Shower (**ES**) is placed before the endcaps to aid in the identification of neutral pions.  
754 Their arrangement are shown in Figure 3.4.

755

756 Scintillation photons from the lead tungstate crystals are instrumented with Avalanche  
757 Photo-Diodes (**APD**) and Vacuum Photo-Triodes (**VPT**) located in the **EB** and **EE**  
758 respectively, converting the scintillating light into an electric signal which is consequently  
759 used to determine the amount of energy deposited within the crystal . These instruments  
760 are chosen for their resistance under operation to the strong magnetic field of **CMS**. The  
761 scintillation of the **ECAL** crystals as well as the response of the **APDs** varies as a function



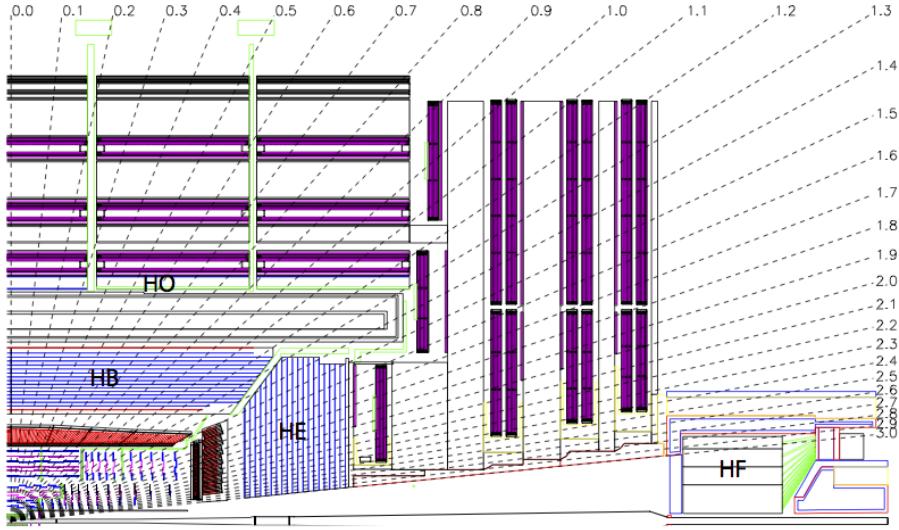
**Figure 3.4.:** Illustration of the **ECAL** showing the arrangement of the lead tungstate crystals in the **EB** and **EE**. The **ES** is also shown and is located in front of the **EE** [55].

762 of temperature and so cooling systems continually maintain an overall constant **ECAL**  
 763 temperature  $\pm 0.05^\circ C$ .

#### 764 3.2.4. Hadronic Calorimeter

765 Beyond the **ECAL** lies the Hadronic CALorimeter (**HCAL**) which is responsible for  
 766 the accurate measurement of hadronic showers, crucial for analyses involving jets or  
 767 missing energy signatures. The **HCAL** is a sampling calorimeter which consists of al-  
 768 ternating layers of brass absorber and plastic scintillator, except in the hadron forward  
 769 ( $3.0 < |\eta| < 5.0$ ) region in which steel absorbers and quartz fibre scintillators are used  
 770 because of their increased radiation tolerance. Hadron showers are initiated in the  
 771 absorber layers inducing scintillation in the plastic scintillator tiles. These scintillation  
 772 photons are converted by wavelength shifting fibres for read-out by hybrid photodiodes.  
 773

774 The **HCAL**'s size is constrained to a compact size by the presence of the solenoid,  
 775 requiring the placement of an additional outer calorimeter on the outside of the solenoid  
 776 to increase the sampling depth of the **HCAL**. A schematic of the **HCAL** can be seen in  
 777 Figure 3.5.  
 778



**Figure 3.5.:** Schematic of the hadron calorimeters in the  $r$ - $\eta$  plane, showing the locations of the **HCAL** components and the **HF**. [50].

779     The **HCAL** covers the range  $|\eta| < 5$  and consists of four subdetectors: the Hadron  
 780     Barrel (**HB**)  $|\eta| < 1.3$ , the Hadron Outer (**HO**), the Hadron Endcaps (**HE**)  $1.3 < |\eta| < 3.0$   
 781     and the Hadron Forward (**HF**). The **HB** is contained between the outer edge of the **ECAL**  
 782     and the inner edge of the solenoid, formed of 36 azimuthal wedges it is split between  
 783     two half-barrel segments. Each wedge is segmented into four azimuthal angle ( $\phi$ ) sectors,  
 784     and each half-barrel is further segmented into 16  $\eta$  towers. The electronic readout chain,  
 785     channels the light from the active scintillator layers from one  $\phi$ -segment and all  $\eta$ -towers  
 786     of a half-barrel to a Hybrid Photo Diode (**HPD**).

787     The relatively short number of interaction lengths ( $\lambda_l$ , the distance a hadron will  
 788     travel through the absorber material before it has lost  $\frac{1}{e}$  of its energy) within the **HB**,  
 789     the lowest being  $\lambda_l = 5.82$  for  $|\eta| = 0$ , facilitates the need for the ‘tail catching’ **HO**  
 790     to increase the sampling depth in the central barrel rapidity region  $|\eta| < 1.3$  to 11  
 791     interaction lengths . Significant fractions of the hadrons energy will be deposited in the  
 792     **ECAL** as it passed through the detector. Therefore measurements of hadron energies  
 793     in the central regions  $|\eta| < 3.0$  use both the **ECAL** and **HCAL** to reconstruct the true  
 794     energy from showering hadrons.

795 **3.2.5. Muon Systems**

796 Muons being too massive to radiate away energy via Bremsstrahlung, interact little in  
797 the calorimeters and mostly pass through the detector until they reach the system of  
798 muon detectors which forms the outer most part of the **CMS** detector.

799 Outside of the superconducting solenoid are four muon detection layers interleaved  
800 with the iron return yokes which measure the muons energy via ionisation of gas within  
801 detector elements. Three types of gaseous chamber are used. The Drift Tube (**DT**),  
802 Cathode Stripe Chamber (**CSC**), and Resistive Plate Chamber (**RPC**) systems provide  
803 efficient detection of muons with pseudo-rapidity  $|\eta| < 2.4$ . The best reconstruction  
804 performance is obtained when the muon chamber is combined with the inner tracking  
805 information to determine muon trajectories and their momenta [56].

806

807 **3.3. Event Reconstruction and Object Definition**

808 The goal of event reconstruction is to take the raw information recorded by the detector  
809 and to compute from it higher-level quantities which can be used at an analysis level.  
810 These typically correspond to an individual particle’s energy and momenta, or groups of  
811 particles which shower in a narrow cone and the overall global energy and momentum  
812 balance of the event. The reconstruction of these objects are described in great detail in  
813 [57], however covered below are brief descriptions of those which are most relevant to the  
814 analysis detailed in Chapter 4.

815 **3.3.1. Jets**

816 Quarks and gluons are produced copiously at the LHC in the hard scattering of partons.  
817 As these quarks and gluons fragment, they hadronise and decay into a group of strongly  
818 interactive particles and their decay products. These streams of particles travel in the  
819 same direction, as they have been “boosted” by the momentum of the primary hadron.  
820 These collections of decay products are reconstructed and identified together as a “jet”.

821 At **CMS** jets are reconstructed from energy deposits in the detector using the anti-kt  
822 algorithm [58] with size parameter  $\Delta R = 0.5$ . The anti-kt jet algorithm clusters jets by  
823 defining a distance between hard (high  $p_T$ ) and soft (low  $p_T$ ) particles such that soft

824 particles are preferentially clustered with hard particles before being clustered between  
825 themselves. This produces jets which are robust to soft particle radiation from the pile-up  
826 conditions experienced at the **LHC**.

827

828 There are two main type of jet reconstruction used at **CMS**, Calorimeter (Calo) and  
829 Particle Flow (PF) jets [59]. Calorimeter jets are reconstructed using both the **ECAL**  
830 and **HCAL** cells, combined into calorimeter towers . These calorimeter towers consist of  
831 geometrically matched **HCAL** cells and **ECAL** crystals. Electronics noise is suppressed by  
832 applying a threshold to the calorimeter cells, with pile-up effects reduced by a requirement  
833 placed on the tower energy [60]. Calorimter jets are the jets used within the analyses  
834 described in this thesis.

835 PF jets are formed from combining information from all of the **CMS** subdetectors  
836 systems to determine which final state particles are present in the event. Generally,  
837 any particle is expected to produce some combination of a track in the silicon tracker,  
838 a deposit in the calorimeters, or a track in the muon system. The PF jet momentum  
839 and spatial resolutions are greatly improved with respect to calorimeter jets, as the use  
840 of the tracking detectors and of the high granularity of **ECAL** allows resolution and  
841 measurement of charged hadrons and photons inside a jet, which together constitute  $\sim$   
842 85% of the jet energy [61].

843 The jets reconstructed by the clustering algorithm in **CMS** typically have an energy  
844 that differs to the ‘true’ energy measured by a perfect detector. This stems from the  
845 non-linear and nonuniform response of the calorimeters as well as other residual effects  
846 including pile-up and underlying events, and therefore additional corrections are applied  
847 to recover a uniform relative response as a function of pseudo-rapidity. These are applied  
848 as separate sub corrections [62].

- 849 • A PU correction is first applied to the jet. It subtracts the average extra energy  
850 deposited in the jet that comes from other vertices present in the event and is  
851 therefore not part of the hard jet itself.
- 852 •  $p_T$  and  $\eta$  dependant corrections derived from Monte Carlo simulations are used to  
853 account for the non-uniform response of the detector.
- 854 •  $p_T$  and  $\eta$  residual corrections are applied to data only to correct for difference  
855 between data and Monte Carlo. The residual is derived from QCD dijet samples  
856 and the  $p_T$  residual from  $\gamma+$  jet and  $Z+$  jets samples in data.

857 **3.3.2. B-tagging**

858 The decays of b quarks are suppressed by small CKM matrix elements. As a result, the  
859 lifetimes of b-flavoured hadrons, produced in the fragmentation of b quarks, are relatively  
860 long;  $\mathcal{O}$  1ps. The identification of jets origination from b quarks is very important for  
861 searches for new physics and for measurements of standard model processes.

862

863 Many different algorithms developed by CMS select b-quark jets based on variables  
864 such as the impact parameters of the charged-particle tracks, the properties of recon-  
865 structed decay vertices, and the presence or absence of a lepton, or combinations thereof  
866 [63]. One of the most efficient of which is the Combined Secondary Vertex (CSV) which  
867 operates based on secondary vertex and track-based lifetime information, benchmarked  
868 in ‘Loose’, ‘Medium’ and ‘Tight’ working points, of which the medium point is the tagger  
869 used within the  $\alpha_T$  search detailed in Section (4.1).

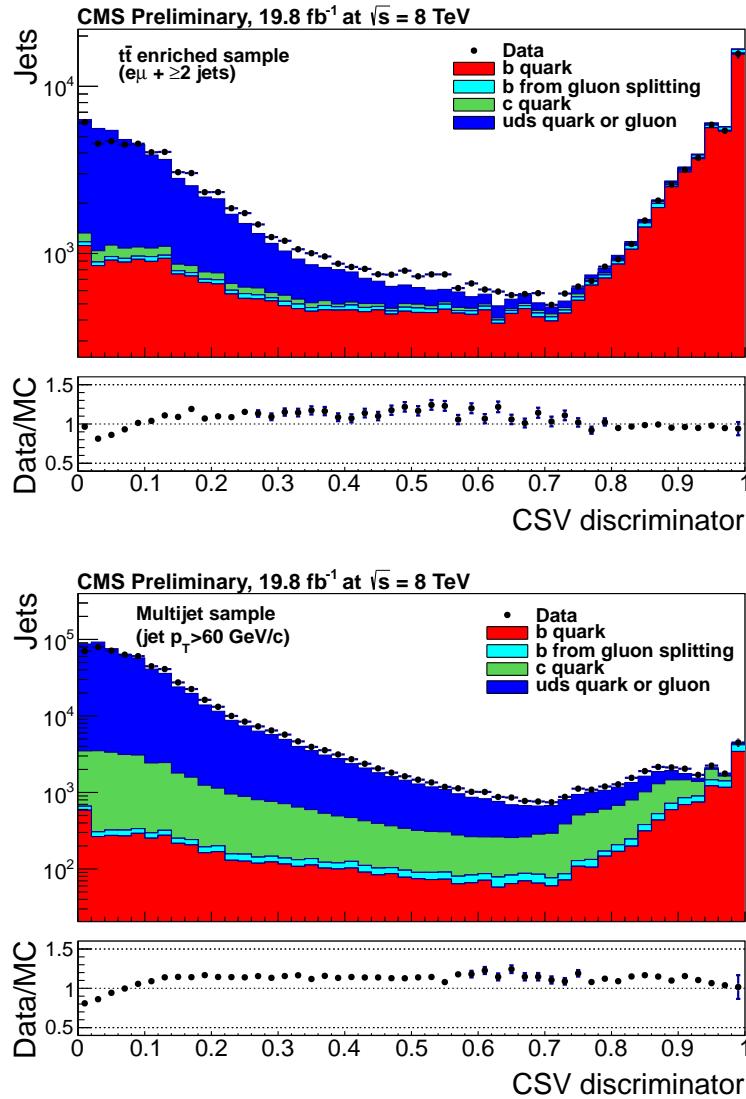
870 Using the CSV tagger, a likelihood-based discriminator distinguishes between jets  
871 from b-quarks, and those from charm or light quarks and gluons, which is shown in Figure  
872 3.6. The minimum thresholds on the discriminator for each working point correspond to  
873 the misidentification probability for light-parton jets of 10%, 1%, and 0.1%, respectively,  
874 in jets with an average  $p_T$  of about 80 GeV.

875 The b-tagging performance is evaluated to measure the b-jet tagging efficiency  $\epsilon_b$ ,  
876 and the misidentification probability of charm  $\epsilon_c$  and light-parton jets  $\epsilon_s$ . The tagging  
877 efficiencies for each of these three jet flavours are compared between data and MC  
878 simulation, from which a series of  $p_T$  and  $|\eta|$  binned jet corrections are determined,

$$SF_{b,c,s} = \frac{\epsilon_{b,c,s}^{data}}{\epsilon_{b,c,s}^{MC}}. \quad (3.2)$$

879 These are collectively named ‘Btag Scale Factors’ and allow MC simulation to accu-  
880 rately reflect the running conditions and performance of the tagging algorithm in data.  
881 Understanding of the b-tagging efficiency is essential in order to minimise systematic  
882 uncertainties in physics analyses that employ b-tagging.

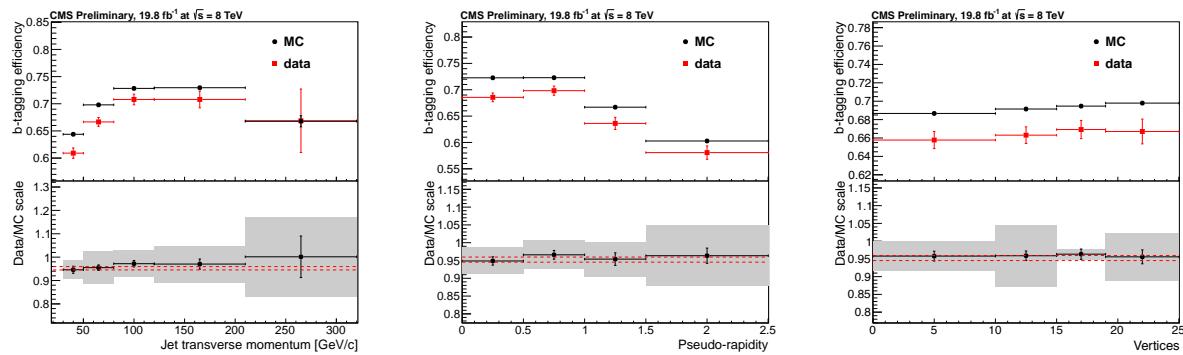
883



**Figure 3.6.:** CSV algorithm discriminator values in enriched  $t\bar{t}$  (top) and inclusive multi-jet samples (bottom) for b,c and light flavoured jets [64]. Working points are determined from the misidentification probability for light-parton jets to be tagged as a b-jet and are given as 0.244, 0.679 and 0.898 for L,M and T working points respectively.

884 The b-tagging efficiency is measured in data using several methods applied to multi  
 885 jet events, primarily based on a sample of jets enriched in heavy flavour content. One  
 886 method requires the collection of events with a soft muon within a cone  $\Delta R < 0.4$  around  
 887 the jet axis. Because the semileptonic branching fraction of b hadrons is significantly  
 888 larger than that for other hadrons, these jets are more likely to arise from b quarks than  
 889 from another flavour, with the resultant momentum component of the muon transverse  
 890 to the jet axis larger for muons from b-hadron decays than from light or charm jets.

891 Additionally the performance of the tagger can also be benchmarked in  $t\bar{t}$  events where  
 892 in the SM, the top quark is expected to decay to a W boson and a b quark about 99.8%  
 893 of the time [1]. Further selection criteria is applied to these events to further enrich the  
 894 b quark content of these events. The methods to identify b-jets in data are discussed  
 895 in great detail at [65]. The jet flavours are determined in simulation using truth level  
 896 information and are compared to data to determine the correction scale factors ( $SF_b$ ),  
 897 which are displayed for the CSVM tagger in Figure 3.7.

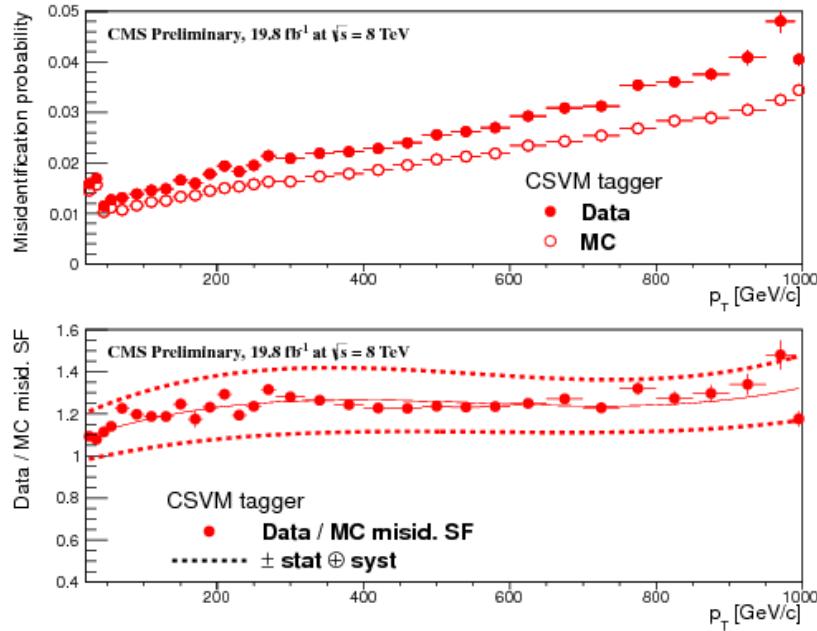


**Figure 3.7.:** Measured in  $t\bar{t} \rightarrow$  di-lepton events using the CSVM tagger: (upper panels) b-tagging efficiencies and (lower panels) data/MC scale factor  $SF_b$  as a function of (left) jet  $p_T$ , (middle) jet  $|\eta|$  and (right) number of primary vertices. In the lower panels, the grey filled areas represent the total statistical and systematic uncertainties, whereas the dotted lines are the average  $SF_b$  values within statistical uncertainties.

898 The measurement of the misidentification probability for light-parton jets relies on  
 899 the inversion of tagging algorithms, selecting non-b jets using the same variables and  
 900 techniques used in benchmarking the b-tagging efficiency. The scale factors ( $SF_s$ ) to be  
 901 applied to MC are shown in Figure 3.8 for the CSVM tagger.

## 902 3.4. Triggering System

903 With bunch crossings separated by just 25 ns, the rate at which data from all collisions  
 904 would have to be written out and processed would be unfeasible. A two-tiered triggering  
 905 system is applied at CMS in order to cope with the high collision rate of protons. The  
 906 CMS trigger is designed to use limited information from each event to determine whether  
 907 to record the event, reducing the rate of data taking to manageable levels whilst ensuring  
 908 a high efficiency of interesting physics object events are selected.



**Figure 3.8.:** For the **CSVM** tagging criterion: (top) misidentification probability in data (filled circles) and simulation (open circles); (bottom) scale factor for the misidentification probability. The last  $p_T$  bin in each plot includes all jets with  $p_T > 1000$  GeV. The solid curve is the result of a polynomial fit to the data points. The dashed curves represent the overall statistical and systematic uncertainties on the measurements.

909        The **L1** is a pipelined, dead-timeless system based on custom-built electronics [66],  
 910        and is a combination of several sub systems which is shown pictorially in Figure 3.9. The  
 911        L1 system is covered in more detail within the following section along with a description  
 912        of the service work undertaken by the author to benchmark the performance of the L1  
 913        calorimeter trigger during the 2012 8 TeV run period.

914        The Higher Level Trigger (**HLT**) is a large farm of commercial computers [67]. The  
 915        **HLT** processes events with software reconstruction algorithms that are more detailed,  
 916        giving performance more similar to the reconstruction used offline. The **HLT** reduces  
 917        the event rate written to disk by a factor of  $\sim 500$  ( $\sim 200$ Hz). The recorded events are  
 918        transferred from **CMS** to the **CERN** computing centre, where event reconstruction is  
 919        performed, and then distributed to **CMS** computing sites around the globe for storage  
 920        and analysis.

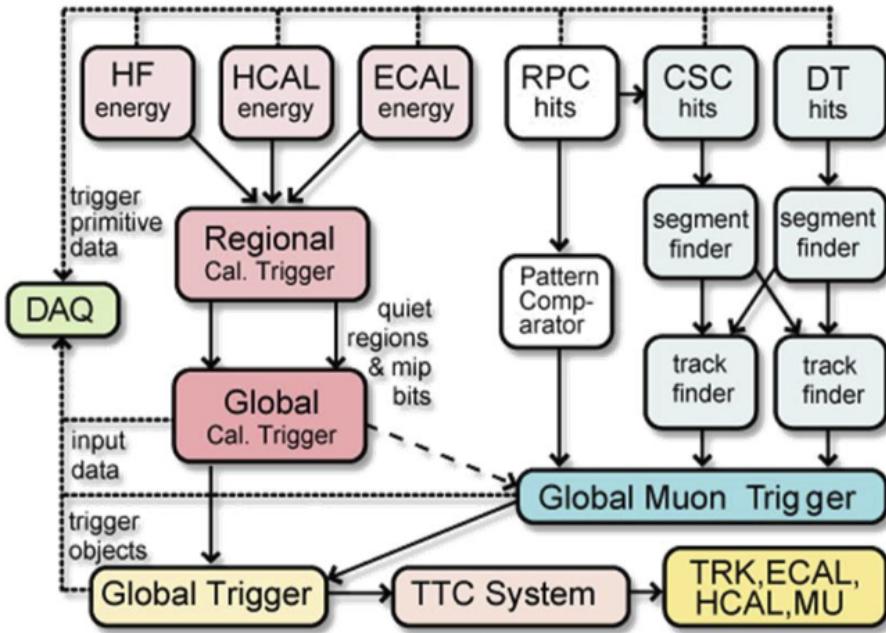


Figure 3.9.: The CMS L1 Trigger system.

### 3.4.1. The Level-1 Trigger

The L1 trigger reduces the rate of events collected from 40 MHz to  $\sim 100$  kHz using information from the calorimeters and muon chambers, but not the tracker. A tree system of triggers is used to decide whether to pass on an event to the HLT for further reconstruction. Firstly the calorimeter and muon event information is kept separate, with local reconstruction of objects ( $\mu, e, \gamma, \text{jets}$ ) performed by the Regional Calorimeter Trigger (RCT) and Regional Muon Trigger (RMT) respectively. The RCT generates up to 72 isolated and non-isolated electromagnetic objects. These are sorted by rank, which is equivalent to transverse energy  $E_T$ , with the four highest ranked electromagnetic objects being passed via the Global Calorimeter Trigger (GCT) and Global MuonTrigger (GMT) to the Global Trigger (GT).

In the L1 GCT, coarse measurements of the energy deposited in the electromagnetic and hadronic calorimeters are combined and by using sophisticated algorithms the following physics objects are formed:

- isolated and non-isolated electromagnetic objects ( $e$  and  $\gamma$ );
- hadronic jets in the central and forward sections of the hadronic calorimeters;

- hadronically decaying tau leptons;
- total transverse energy ( $E_T$ ), the scalar sum of the energy measured at L1, and missing transverse energy ( $\cancel{E}_T$ ), defined as the vector sum of the energy of L1 objects;
- total transverse jet energy ( $H_T$ ), the scalar sum of the energy of all L1 jet objects, and missing transverse jet energy ( $\cancel{H}_T$ ), defined as the vector sum of the energy of L1 jets, are calculated from uncorrected L1 jets.

In addition quantities suitable for triggering minimum bias events, forward physics and beam background events are calculated. Additionally relevant muon isolation information is also passed on to the **GMT** for decisions involving the muon triggers where it is combined with information from across the three muon sub-systems. The resultant final accept/reject decision at **L1** is then performed by the **GT** based on the objects received from the **GCT** and **GMT** ( $e/\gamma$ ,  $\mu$ , jets,  $E_T$ ,  $\cancel{E}_T$ ,  $H_T$ ).

The L1 trigger is therefore of upmost importance to the functioning of the detector. Without a high-performing trigger and a good understanding of its performance, there would be no data to analyse. Observations of how the L1 trigger performance is affected by changing **LHC** running conditions over the 2012 run period and also the introduction of a jet seed threshold to the L1 jet trigger algorithm is presented in the following Sections (3.4.2 - 3.4.6).

### 3.4.2. L1 Trigger Jet Algorithm

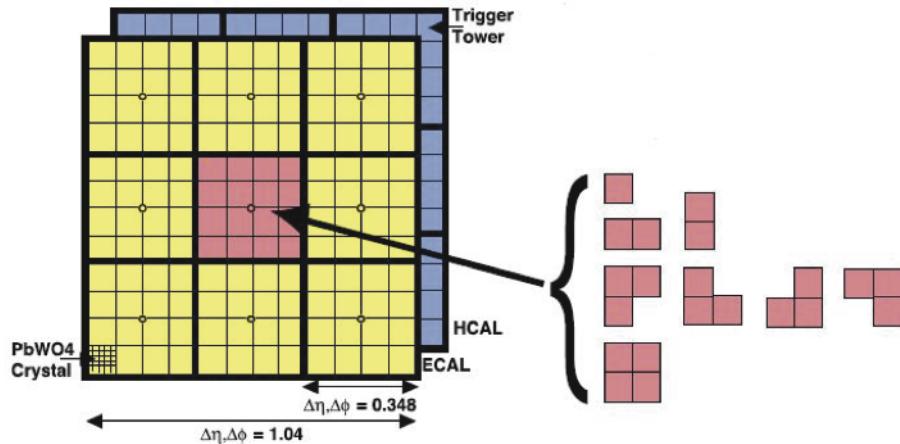
The L1 jet trigger uses the transverse energy sums computed in the calorimeter (both hadronic and electromagnetic) trigger regions. Each region consists of  $4 \times 4$  trigger tower windows, spanning a region of  $\Delta\eta \times \Delta\phi = 0.087 \times 0.087$  in pseudorapidity-azimuth. The jet trigger uses a  $3 \times 3$  calorimeter region (112 trigger towers) sliding window technique which spans the full  $(\eta, \phi)$  coverage of the **CMS** calorimeter as shown in Figure 3.10.

In forming a L1 jet is it required that the central region to be higher than the eight neighbouring regions  $E_{T\text{central}} > E_{T\text{surround}}$ . Additionally a minimum threshold of 5 GeV on  $E_{T\text{central}}$  was introduced during the 2012 run period to suppress noise from pile-up, the effects of which are shown in Section (3.4.4).

The L1 jets are characterised by the  $E_T$ , summed over the  $3 \times 3$  calorimeter regions, which corresponds to  $12 \times 12$  trigger towers in barrel and endcap or  $3 \times 3$  larger **HF**

968 towers in the **HF**. The  $\phi$  size of the jet window is the same everywhere, whilst the  $\eta$   
969 binning gets somewhat larger at high  $\eta$  due to calorimeter and trigger tower segmentation.  
970 The jets are labelled by  $(\eta, \phi)$  indexes of the central calorimeter region.

971 Jets with  $|\eta| > 3.0$  are classified as forward jets, whereas those with  $|\eta| < 3.0$  are  
972 classified as central. The four highest energy central, forward and  $\tau$  jets in the calorimeter  
973 are passed through Look Up Table (**LUT**)'s, which apply a programmable  $\eta$ -dependent  
974 jet energy scale correction. These are then used to make L1 trigger decisions.



**Figure 3.10.:** Illustration of the Level-1 jet finding algorithm.

975 The performance of the L1 jets is evaluated with respect to offline jets, which are  
976 taken from the standard Calo jet and the PF jet reconstruction algorithms of **CMS**.  
977 Jets are corrected for pile-up and detector effects as described in [3.3.1](#). A moderate  
978 level of noise rejection is applied to the offline jets by selecting jets passing the “loose  
979 identification criteria for both Calo and PF. These criteria are summarised in Appendix  
980 ([A](#)).

### 981 3.4.3. Measuring L1 Jet Trigger Efficiencies

982 The L1 jet efficiency is defined as the fraction of leading offline jets which were matched  
983 with a L1 tau or central jet above a certain trigger threshold, divided by all the leading  
984 offline jets in the event. This quantity is then plotted as a function of the offline jet  $E_T$ ,  
985  $\eta$  and  $\phi$ .

986 The efficiency is determined by matching the L1 and reconstructed offline jets spatially  
987 in  $\eta - \phi$  space. This is done by calculating the minimum separation in  $\Delta R$  between the

highest offline reconstructed jet in  $E_T$  ( $E_T > 10$  GeV,  $|\eta| < 3$ ) and any L1 jet. A jet will be matched if this value is found to be  $< 0.5$ . Should more than one jet satisfy this, the jet closest in  $\Delta R$  is taken as the matched jet. The matching efficiency is close to 100%, above ? 30(45) GeV for run 2012B(C) data (see Appendix (??)).

Each efficiency curve is fitted with a function which is the cumulative distribution function of an Exponentially Modified Gaussian (EMG) distribution:

$$f(x; \mu, \sigma, \lambda) = \frac{\lambda}{2} \cdot e^{\frac{\lambda}{2}(2\mu + \lambda\sigma^2 - 2x)} \cdot \text{erfc}\left(\frac{\mu + \lambda\sigma^2 - x}{\sqrt{2}\sigma}\right) \quad (3.3)$$

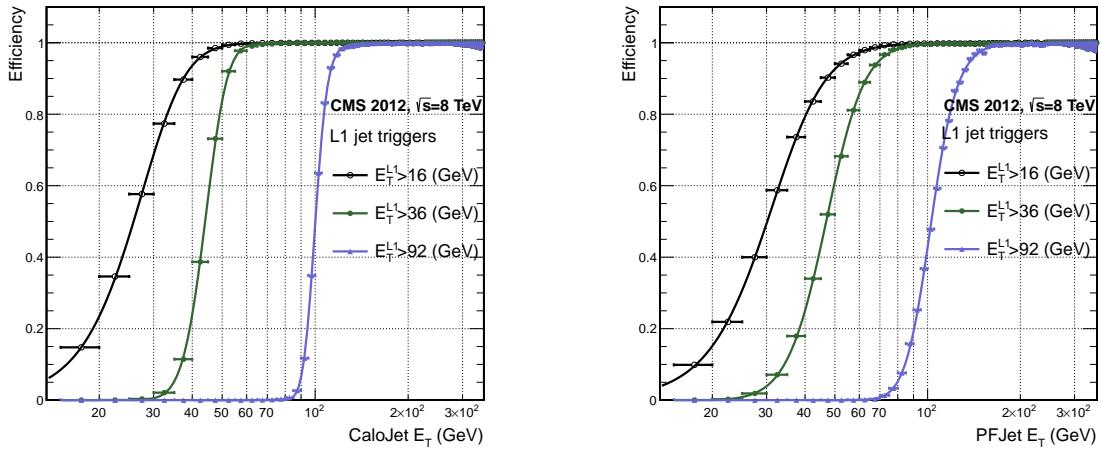
where erfc is the complementary error function defined as:

$$\text{erfc}(x) = 1 - \text{erf}(x) = \frac{2}{\sqrt{\pi}} \int_x^\infty e^{-t^2} dt.$$

In this functional form, the parameter  $\mu$  determines the point of 50% of the plateau efficiency and the  $\sigma$  gives the resolution. This parametrisation is used to benchmark the efficiency at the plateau, the turn-on points and resolution for each L1 Jet trigger. The choice of function is purely empirical. Previous studies used the error function alone, which described the data well at high threshold values but could not describe the efficiencies well at lower thresholds [68].

The efficiency turn-on curves for various L1 jet thresholds are evaluated as a function of the offline reconstructed jet  $E_T$  for central jets with  $|\eta| < 3$ . These are measured using single isolated  $\mu$  triggers which have high statistics, and are orthogonal and therefore unbiased to the hadronic triggers under study. The efficiency is calculated with respect to offline Calo and PF Jets in Figure 3.11. Table 3.1 shows the values of these parameters, calculated for three example L1 single jet triggers taken from 2012 8 TeV data.

The results from the L1 single jet triggers shows good performance for both Calo and PF jets. A better resolution for Calo jets with respect to L1 jets quantities is observed. This effect is due to Calo jet reconstruction using the same detector systems as in L1 jets, whereas with PF jet construction using tracker and muon information, a more smeared resolution when compared to L1 is expected.



**Figure 3.11.:** L1 jet efficiency turn-on curves as a function of the offline CaloJet  $E_T$  (left) and PFJet  $E_T$  (right), measured in 2012 Run Period C data and collected with an isolated single  $\mu$  data sample.

Trigger	Calo		PF	
	$\mu$	$\sigma$	$\mu$	$\sigma$
L1_SingleJet16	$21.09 \pm 0.03$	$7.01 \pm 0.02$	$22.17 \pm 0.04$	$7.83 \pm 0.03$
L1_SingleJet36	$41.15 \pm 0.05$	$5.11 \pm 0.02$	$39.16 \pm 0.06$	$8.04 \pm 0.03$
L1_SingleJet92	$95.36 \pm 0.13$	$5.62 \pm 0.03$	$90.85 \pm 0.19$	$11.30 \pm 0.10$

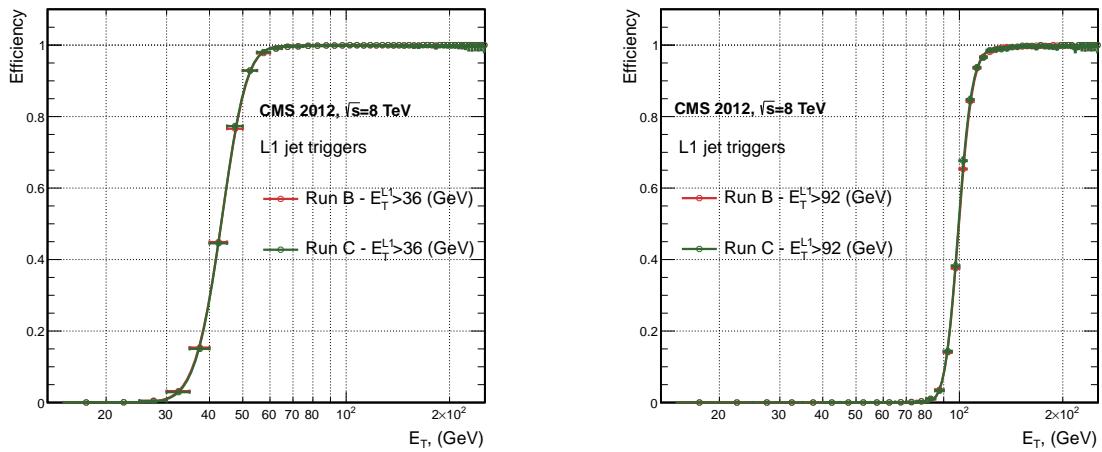
**Table 3.1.:** Results of a cumulative EMG function fit to the turn-on curves for L1 single jet triggers in run 2012 Run Period C, measured in an isolated  $\mu$  data sample. The turn-on point,  $\mu$ , and resolution,  $\sigma$ , of the L1 jet triggers are measured with respect to offline Calo Jets (left) and PF Jets (right).

### 3.4.4. Effects of the L1 Jet Seed

Between run period B and C of the 2012 data taking period, a jet seed threshold was introduced into the L1 trigger jet algorithm. There was previously no direct requirement made on the energy deposited in the central region. The introduction of a jet seed threshold required that the central region have  $E_T \geq 5\text{GeV}$ , and was introduced to counteract the effects of high pile up running conditions which create a large number of soft non-collimated jets, that are then added to the jets from the primary interaction or other soft jets from other secondary interactions [69]. This in turn causes a large increase in trigger rate due to the increase in the likelihood that the event causes the L1 trigger to fire. This was implemented to maintain trigger thresholds by cutting the rate of events recorded without significant reduction in the efficiency of physics events of interest.

1023     The effect of the introduction of this jet seed threshold between these two run periods  
 1024    is benchmarked through a comparison of the efficiency of the L1 jet triggers with respect  
 1025    to offline Calo jets shown in Figure 3.12, and the L1  $H_T$  trigger efficiency in Figure 3.14  
 1026    which is compared to offline  $H_T$  constructed from Calo jets with  $E_T \geq 40\text{GeV}$ .

1027     To negate any effects from different pile-up conditions in the run periods, the efficiencies  
 1028    are measured in events which contain between 15 and 20 primary vertices as defined in  
 1029    Appendix (A.2).



**Figure 3.12.:** L1 jet efficiency turn-on curves as a function of the offline CaloJet  $E_T$ , measured for the L1 SingleJet 36 and 92 trigger in 2012 run period B and C collected with an isolated single  $\mu'$  sample.

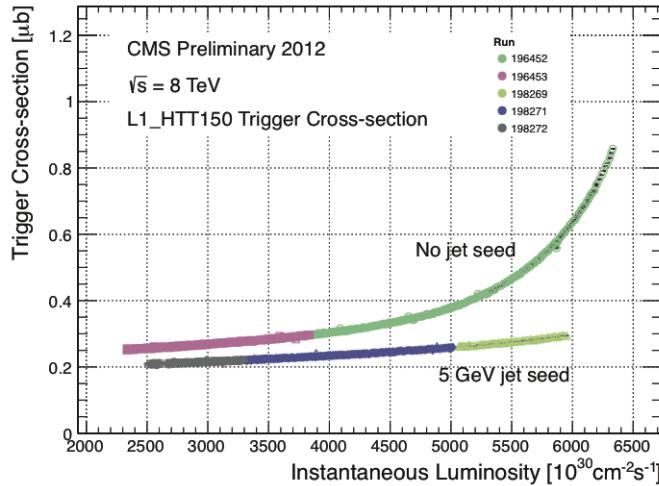
1030     It can be seen that the performance of the  $E_T > 36, 92$  single jet are almost identical,  
 1031    with the jet seed having no measurable effect on these triggers as shown in Table 3.2 .

Trigger	2012B		2012C	
	$\mu$	$\sigma$	$\mu$	$\sigma$
L1_SingleJet36	$40.29 \pm 0.04$	$5.34 \pm 0.02$	$40.29 \pm 0.11$	$5.21 \pm 0.05$
L1_SingleJet92	$94.99 \pm 0.09$	$5.93 \pm 0.06$	$94.82 \pm 0.29$	$5.74 \pm 0.18$

**Table 3.2.:** Results of a cumulative EMG function fit to the turn-on curves for L1 single jet triggers in the 2012 run period B and C, preselected on an isolated muon trigger. The turn-on point  $\mu$  and resolution  $\sigma$  of the L1 jet triggers are measured with respect to offline Calo Jets in run B (left) and run C (right).

1032     For the  $H_T$  triggers, a large increase in rate during high pile-up conditions is expected.  
 1033    This is due to the low energy threshold required for a jet to be added to the L1  $H_T$  sum,

1034 which is compiled from all uncorrected L1 jets formed in the RCT. The introduction  
1035 of the jet seed threshold removes the creation of many of these soft low  $E_T$  jets, thus  
1036 lowering the  $H_T$  calculation at L1. The effect on the trigger cross section for L1  $H_T$  150  
1037 trigger can be seen in Figure 3.13.

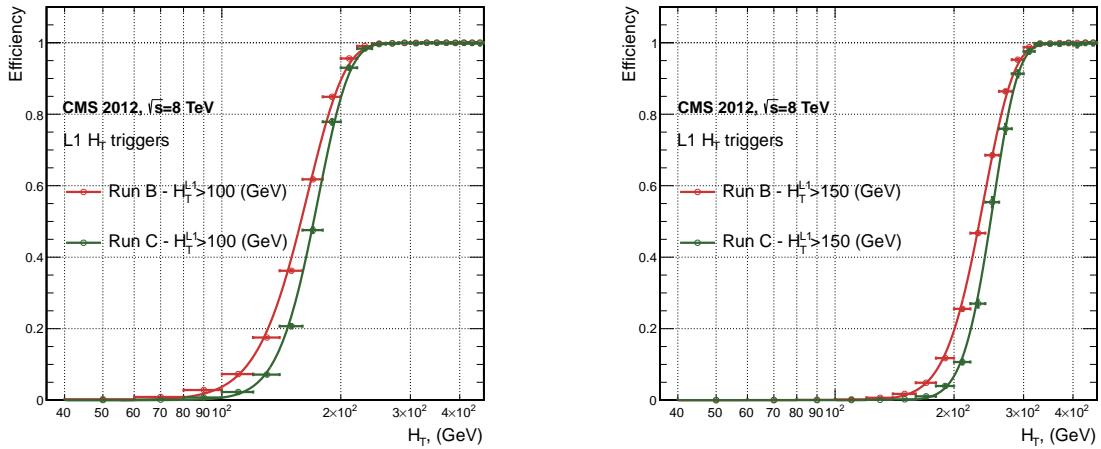


**Figure 3.13.:** Trigger cross section for the L1HTT150 trigger path. Showing that a 5 GeV jet seed threshold dramatically reduces the dependance of cross section on the instantaneous luminosity for L1  $H_T$  triggers [70].

1038 Different behaviours for the trigger turn ons between these run periods are therefore  
1039 expected. The turn on point is observed to shift to higher  $H_T$  values after the introduction  
1040 of the jet seed threshold, whilst having a sharper resolution due to less pile-up jets being  
1041 included the  $H_T$  sum, the results are shown in Table 3.3.

Trigger	2012B		2012C	
	$\mu$	$\sigma$	$\mu$	$\sigma$
L1 HT-100	$157.5 \pm 0.08$	$32.9 \pm 0.08$	$169.8 \pm 0.08$	$28.7 \pm 0.03$
L1 H1-150	$230.9 \pm 0.02$	$37.3 \pm 0.01$	$246.4 \pm 0.16$	$31.8 \pm 0.05$

**Table 3.3.:** Results of a cumulative EMG function fit to the turn-on curves for  $H_T$  in run 2012 B and C, preselected on an isolated single  $\mu$  trigger. The turn-on point  $\mu$ , resolution  $\sigma$  of the L1  $H_T$  triggers are measured with respect to offline  $H_T$  formed from CaloJets with a  $E_T \geq 40$  in run period B (left) and C (right).



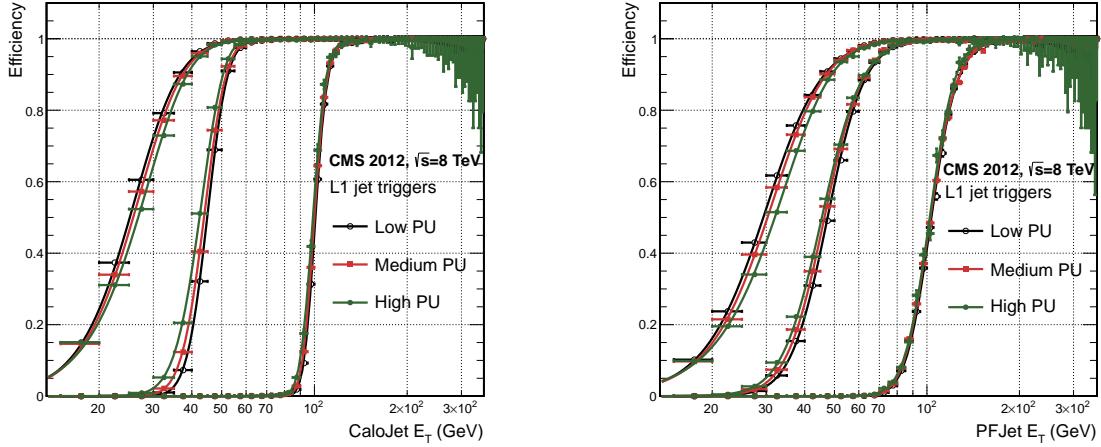
**Figure 3.14.:** L1  $H_T$  efficiency turn-on curves as a function of the offline CaloJet  $H_T$ , measured for the L1  $H_T$  100 and 150 trigger during the run 2012 B and C collected using an isolated single  $\mu$  triggered sample.

### 3.4.5. Robustness of L1 Jet Performance against Pile-up

The performance of the L1 single jet triggers is evaluated in different pile-up conditions to benchmark any dependence on pile-up. Three different pile-up bins of 0-10, 10-20 and  $>20$  vertices are defined, reflecting the low, medium and high pile-up running conditions at CMS in 2012. This is benchmarked relative to Calo and PF jets for the run 2012 C period where the jet seed threshold is applied, with L1 single jet thresholds of 16, 36 and 92 GeV, shown in Figure 3.15. The results of fits to these efficiency turn-on curves are given in Table 3.4 and Table 3.5 for Calo and PF jets respectively.

Vertices	0-10		11-20		> 20	
	$\mu$	$\sigma$	$\mu$	$\sigma$	$\mu$	$\sigma$
L1_SingleJet16	$19.9 \pm 0.1$	$6.1 \pm 0.3$	$20.8 \pm 0.1$	$6.5 \pm 0.1$	$22.3 \pm 0.2$	$7.5 \pm 0.1$
L1_SingleJet36	$41.8 \pm 0.1$	$4.6 \pm 0.1$	$40.9 \pm 0.1$	$5.1 \pm 0.1$	$40.6 \pm 0.6$	$5.9 \pm 0.2$
L1_SingleJet92	$95.9 \pm 0.2$	$5.4 \pm 0.1$	$95.2 \pm 0.2$	$5.6 \pm 0.1$	$94.5 \pm 0.6$	$6.2 \pm 0.3$

**Table 3.4.:** Results of a cumulative EMG function fit to the efficiency turn-on curves for L1 single jet triggers in the 2012 run period C, measured from isolated  $\mu$  triggered data. The turn-on point,  $\mu$ , and resolution,  $\sigma$ , of the L1 jet triggers are measured with respect to offline Calo jets in low (left), medium (middle) and high (right) pile-up conditions.



**Figure 3.15.:** L1 jet efficiency turn-on curves as a function of the leading offline  $E_T$ Calo (left) and PF (right) jet, for low, medium and high pile-up conditions.

Vertices	0-10		11-20		> 20	
	$\mu$	$\sigma$	$\mu$	$\sigma$	$\mu$	$\sigma$
L1_SingleJet16	$21.1 \pm 0.1$	$7.16 \pm 0.05$	$22.34 \pm 0.1$	$7.9 \pm 0.1$	$24.6 \pm 0.2$	$9.5 \pm 0.1$
L1_SingleJet36	$39.6 \pm 0.1$	$7.4 \pm 0.1$	$38.4 \pm 0.1$	$7.4 \pm 0.1$	$37.1 \pm 0.2$	$7.5 \pm 0.1$
L1_SingleJet92	$91.6 \pm 0.3$	$11.3 \pm 0.2$	$90.4 \pm 0.3$	$11.2 \pm 0.1$	$92.0 \pm 0.9$	$12.1 \pm 0.4$

**Table 3.5.:** Results of a cumulative EMG function fit to the efficiency turn-on curves for Level-1 single jet triggers in the 2012 run period C, measured from isolated  $\mu$  triggered data. The turn-on point,  $\mu$ , and resolution,  $\sigma$ , of the L1 jet triggers are measured with respect to offline PF jets in low (left), medium (middle) and high (right) pile-up conditions.

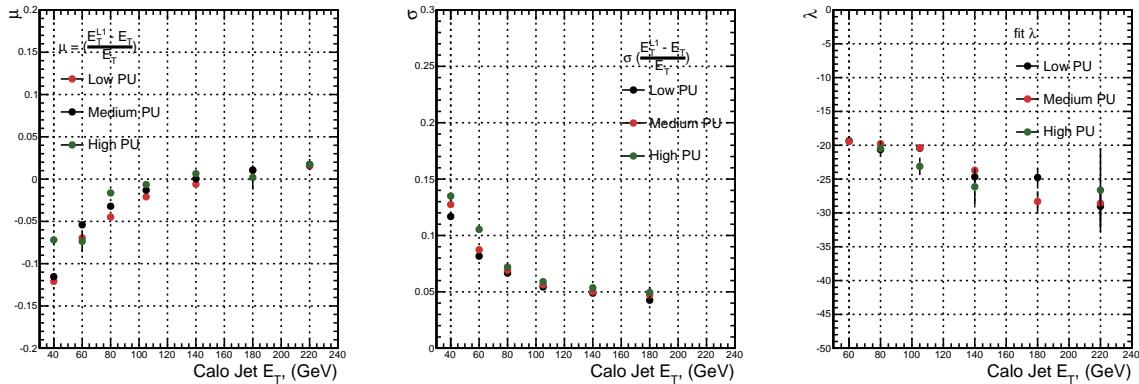
1050 No significant drop in efficiency is observed in the presence of a high number of  
 1051 primary vertices. The increase in hadronic activity in higher pile-up conditions, combined  
 1052 with the absence of pile-up subtraction for L1 jets, results in the expected observation of  
 1053 a decrease in the  $\mu$  value of the efficiency turn-ons as a function of pile-up, while the  
 1054 resolution,  $\sigma$  of the turn-ons are found to gradually worsen as expected with increasing  
 1055 pile-up.

1056 These features are further emphasised when shown as a function of

$$\frac{(L1 E_T - \text{Offline } E_T)}{\text{Offline } E_T} \quad (3.4)$$

in bins of matched leading offline jet  $E_T$ , of which the individual fits can be found in Appendix (B.2). Each of these distributions are fitted with an EMG function as defined in Equation (3.3).

The  $\mu$ ,  $\sigma$  and  $\lambda$  values extracted for the low, medium and high pile-up conditions are shown for Calo and PF jets in Figure 3.16 and Figure 3.17 respectively. The central value of  $\frac{(L1 E_T - \text{Offline } E_T)}{\text{Offline } E_T}$  is observed to increases as a function of jet  $E_T$ , whilst the resolution is also observed to improve at higher offline jet  $E_T$ .

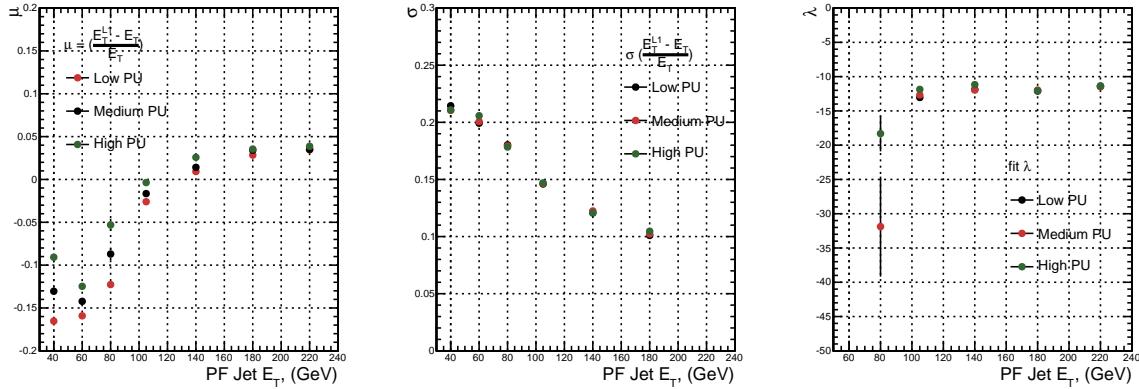


**Figure 3.16.:** Fit values from an EMG function fitted to the resolution plots of leading Calo jet  $E_T$  measured as a function of  $\frac{(L1 E_T - \text{Offline } E_T)}{\text{Offline } E_T}$  for low, medium and high pile-up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (middle) of the Gaussian as well as the decay term  $\lambda$  (right) of the exponential.

The resolution of other L1 energy sum quantities,  $H_T$ ,  $\not{E}_T$  and  $\sum E_T$  parameterised as in Equation (3.4), can be found in Appendix (B.3). The same behaviour observed for the single jet triggers is also found for these quantities, where in the presence of higher pile-up the  $\mu$  values are shifted to higher values, with a worsening resolution,  $\sigma$  again due to the increase in soft pile-up jets and the absence of pile-up subtraction at L1.

### 3.4.6. Summary

The performance of the CMS Level-1 Trigger has been studied and evaluated for jets and energy sum quantities using data collected during the 2012 LHC 8TeV run. These studies include the effect of introduction of a 5 GeV jet seed threshold into the jet algorithm configuration, the purpose of which is to mitigate the effects of pile-up on the rate of L1 triggers whilst not adversely affecting the efficiency of these triggers. No significant



**Figure 3.17.:** Fit values from an **EMG** function fitted to the resolution plots of leading PF jet  $E_T$  measured as a function of  $\frac{(L1\ E_T - \text{Offline}\ E_T)}{\text{Offline}\ E_T}$  for low and medium pile-up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (middle) of the Gaussian as well as the decay term  $\lambda$  (right) of the exponential.

1075 change in performance is observed with this change and good performance is observed  
 1076 for a range of L1 quantities.

# Chapter 4.

## <sup>1077</sup> SUSY searches in Hadronic Final States <sup>1078</sup>

<sup>1079</sup> In this chapter a model independent search for **SUSY** in hadronic final states with  $\cancel{E}_T$   
<sup>1080</sup> using the  $\alpha_T$  variable and b-quark multiplicity is introduced and described in detail. The  
<sup>1081</sup> results presented are based on a data sample of pp collisions collected in 2012 at  $\sqrt{s} = 8$   
<sup>1082</sup> TeV, corresponding to an integrate luminosity of  $11.7 \pm 0.5 \text{ fb}^{-1}$ .

<sup>1083</sup> The kinematic variable  $\alpha_T$  is motivated as a variable to provide strong rejections  
<sup>1084</sup> of QCD backgrounds, whilst maintaining sensitivity to possible a **SUSY** signal within  
<sup>1085</sup> Section (4.1). The search and trigger strategy in addition to the event reconstruction  
<sup>1086</sup> and selection are outlined within Sections (4.2-4.2.2).

<sup>1087</sup> The method in which the **SM** background is estimated using an analytical technique  
<sup>1088</sup> to improve statistical precision at higher b-tag multiplicities is detailed within Section  
<sup>1089</sup> (4.5), with a discussion on the impact of b-tagging and mis-tagging scale factors between  
<sup>1090</sup> data and MC on any background predictions. Finally a description of the formulation of  
<sup>1091</sup> appropriate systematic uncertainties applied to the background predictions to account for  
<sup>1092</sup> theoretical uncertainties and limitations in the simulation modelling of event kinematics  
<sup>1093</sup> and instrumental effects is covered in Section (4.6).

<sup>1094</sup> In addition to the  $\alpha_T$  search, a complimentary technique is discussed as a means to  
<sup>1095</sup> predict the distribution of 3 and 4 reconstructed b-quark jets in an event in Section  
<sup>1096</sup> (4.7). The recent discovery of the Higgs boson has made third-generation “Natural **SUSY**”  
<sup>1097</sup> models attractive, given that light top and bottom squarks are a candidate to stabilise  
<sup>1098</sup> divergent loop corrections to the Higgs boson mass.

1099 Using the  $\alpha_T$  search as a base, a simple templated fit is employed to estimate the  
1100 **SM** background in higher b-tag multiplicities (3-4) from a region of a low number  
1101 of reconstructed b-jets (0-2). The predictions using this technique are first tested in  
1102 simulation before being compared to the **SM** background predictions obtained from the  
1103  $\alpha_T$  search.

1104 The experimental reach of the analysis discussed within this thesis is interpreted in  
1105 two classes of **SMS** models, the topologies of which are detailed in Section (2.4.1). The  
1106 **SMS** models considered in this analysis are summaries in Table 4.1. For each model, the  
1107 **LSP** is assumed to be the lightest neutralino.

1108 Within Table 4.1 is also defined reference points, parameterised in terms of parent  
1109 gluino/squark and **LSP** sparticle masses,  $m_{parent}$  and  $m_{LSP}$ , respectively, which are used  
1110 within the following two chapters to demonstrate potential yields within the signal region  
1111 of the search. The masses are chosen to reflect parameter space which is within the  
1112 expect sensitivity reach of the search.

Model	Production/decay mode	Reference model	
		$m_{parent}$	$m_{LSP}$
G1 (T1)	$pp \rightarrow \tilde{g}\tilde{g}^* \rightarrow q\bar{q}\tilde{\chi}_1^0 q\bar{q}\tilde{\chi}_1^0$	700	300
G2 (T1bb)	$pp \rightarrow \tilde{g}\tilde{g}^* \rightarrow b\bar{b}\tilde{\chi}_1^0 b\bar{b}\tilde{\chi}_1^0$	900	500
G3 (T1tt)	$pp \rightarrow \tilde{g}\tilde{g}^* \rightarrow t\bar{t}\tilde{\chi}_1^0 t\bar{t}\tilde{\chi}_1^0$	850	250
D1 (T2)	$pp \rightarrow \tilde{q}\tilde{q}^* \rightarrow q\tilde{\chi}_1^0 \bar{q}\tilde{\chi}_1^0$	600	250
D2 (T2bb)	$pp \rightarrow \tilde{b}\tilde{b}^* \rightarrow b\tilde{\chi}_1^0 \bar{b}\tilde{\chi}_1^0$	500	150
D3 (T2tt)	$pp \rightarrow \tilde{t}\tilde{t}^* \rightarrow t\tilde{\chi}_1^0 \bar{t}\tilde{\chi}_1^0$	400	0

**Table 4.1.:** A summary of the **SMS** models interpreted in this analysis, involving both direct (D) and gluino-induced (G) production of squarks and their decays. Reference models are also defined in terms of parent and **LSP** sparticle mass

## 1113 4.1. An introduction to the $\alpha_T$ search

1114 The experimental signature of **SUSY** signal in the hadronic channel would manifest as a  
1115 final state containing energetic jets and  $\cancel{E}_T$ . The search focuses on topologies where new  
1116 heavy supersymmetric, R-parity conserving particles are pair-produced in pp collisions.  
1117 These particles decaying to a **LSP** escape the detector undetected, leading to significant  
1118 missing energy and missing hadronic transverse energy,

$$\mathcal{H}_T = \left| \sum_{i=1}^n p_T^{jet_i} \right|, \quad (4.1)$$

1119 defined as the vector sum of the transverse energies of jets selected in an event.  
1120 Energetic jets produced in the decay of these supersymmetric particles also can produce  
1121 significant visible transverse energy,

$$H_T = \sum_{i=1}^n E_T^{jet_i}, \quad (4.2)$$

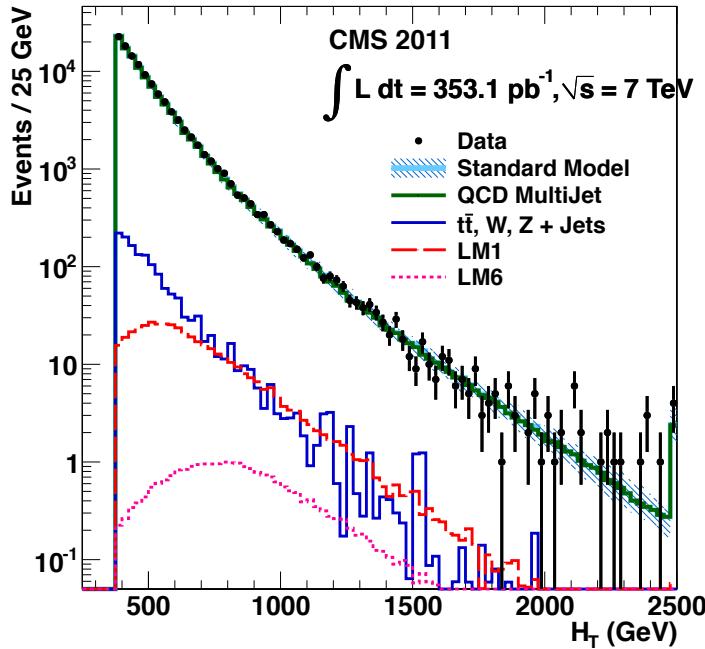
1122 defined as the scalar sum of the transverse energies of jets selected in an event.

1123 A search within this channel is greatly complicated in a hadron collider environment,  
1124 where the overwhelming background comes from inherently balanced multi-jet (“QCD”)  
1125 events which are produced with an extremely large cross section as demonstrated within  
1126 Figure 4.1.  $\cancel{E}_T$  can appear in such events with a substantial mis-measurement of jet  
1127 energy or missed objects due to detector miscalibration or noise effects.

1128 Additional **SM** background from **EWK** processes with genuine  $\cancel{E}_T$  from escaping  
1129 neutrinos comprise the irreducible background within this search and come mainly from:

- 1130 •  $Z \rightarrow \nu\bar{\nu}$  + jets,
- 1131 •  $W \rightarrow l\nu$  + jets in which a lepton falls outside of detector acceptance, or the lepton  
1132 decays hadronically  $\tau \rightarrow \text{had}$ ,
- 1133 •  $t\bar{t}$  with at least one leptonic W decay,
- 1134 • small background contributions from DY, single top and Diboson (WW,ZZ,WZ)  
1135 processes.

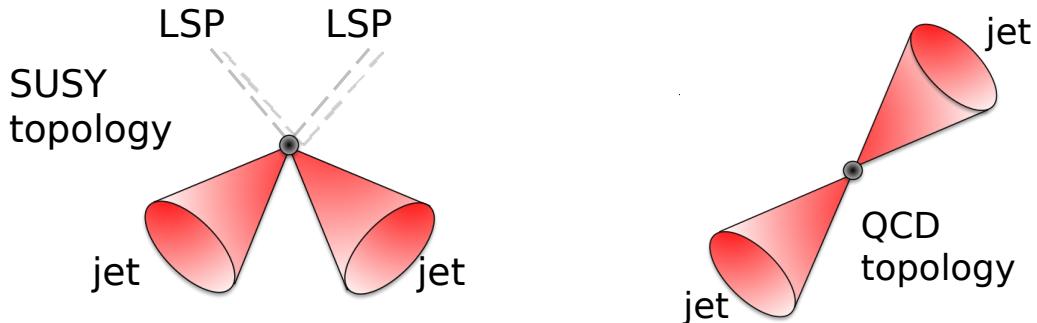
1136 The search is designed to have a strong separation between events with genuine and  
1137 “fake”  $\cancel{E}_T$  which is achieved primarily through the dimensionless kinematic variable,  $\alpha_T$   
1138 [71][72].



**Figure 4.1.:** Reconstructed offline  $H_T$  for  $11.7\text{fb}^{-1}$  of data after a basic pre-selection. Sample is collected from prescaled  $H_T$  triggers. Overlaid are expectations from MC simulation of EWK processes as well as a reference signal model (labelled D2 from Table 4.1).

### <sup>1139</sup> 4.1.1. The $\alpha_T$ variable

<sup>1140</sup> For a perfectly measured di-jet QCD event, conservation laws dictate that they must be  
<sup>1141</sup> produced back-to-back and of equal magnitude. However in di-jet events with real  $\cancel{E}_T$ , both of these jets are produced independently of one another, depicted in Figure 4.2.



**Figure 4.2.:** The event topologies of background QCD diet events (right) and a generic SUSY signature with genuine  $\cancel{E}_T$  (left).

1143 Exploiting this feature leads to the formulation of  $\alpha_T$  in di-jet systems defined as,

$$\alpha_T = \frac{E_T^{j2}}{M_T}, \quad (4.3)$$

1144 where  $E_T^{j2}$  is the transverse energy of the least energetic of the two jets and  $M_T$   
1145 defined as:

$$M_T = \sqrt{\left(\sum_{i=1}^2 E_T^{ji}\right)^2 - \left(\sum_{i=1}^2 p_x^{ji}\right)^2 - \left(\sum_{i=1}^2 p_y^{ji}\right)^2} \equiv \sqrt{H_T^2 - \cancel{H}_T^2}. \quad (4.4)$$

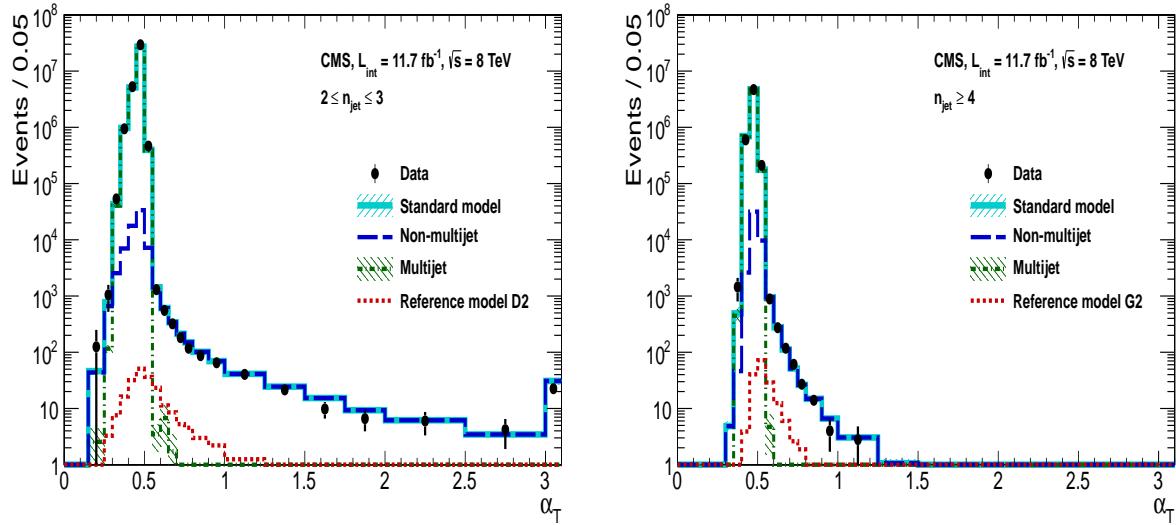
1146 A perfectly balanced di-jet event i.e.  $E_T^{j1} = E_T^{j2}$  would give an  $\alpha_T = 0.5$ , where as  
1147 events with jets which are not back-to-back, for example in events in which a W or Z  
1148 recoils off a system of jets,  $\alpha_T$  can achieve values in excess of 0.5.

1149  $\alpha_T$  can be extended to apply to any arbitrary number of jets, undertaken by modelling  
1150 a system of  $n$  jets as a di-jet system, through the formation of two pseudo-jets [73].  
1151 The two pseudo-jets are built by merging the jets present in the event such that the 2  
1152 pseudo-jets are chosen to be as balanced as possible, i.e the  $\Delta H_T \equiv |E_T^{pj1} - E_T^{pj2}|$  is  
1153 minimised between the two pseudo jets. Using Equation (4.4),  $\alpha_T$  can be rewritten as,

$$\alpha_T = \frac{1}{2} \frac{H_T - \Delta H_T}{\sqrt{H_T^2 - \cancel{H}_T^2}} = \frac{1}{2} \frac{1 - \Delta H_T/H_T}{\sqrt{1 - (\cancel{H}_T/H_T)^2}}. \quad (4.5)$$

1154 The distribution of  $\alpha_T$  for the two jet categories used within this analysis, 2,3 and  
1155  $\geq 4$  jets, is shown in the Figure 4.3, demonstrating the ability of the  $\alpha_T$  variable to  
1156 discriminate between multi jet events and EWK processes with genuine  $\cancel{E}_T$  in the final  
1157 state.

1158 The  $\alpha_T$  requirement used within the search is chosen to be  $\alpha_T > 0.55$  to ensure  
1159 that the QCD multijet background is negligible even in the presence of moderate jet  
1160 mis-measurement. There still remains other effects which can cause multijet events to  
1161 artificially have a large  $\alpha_T$  value, which are discussed in detail in Section (4.2.2).



**Figure 4.3.:** The  $\alpha_T$  distributions for the low 2-3 (left) and high  $\geq 4$  (right) jet multiplicities after a full analysis selection and shown for  $H_T > 375$ . Data is collected using both prescaled  $H_T$  triggers and dedicated  $\alpha_T$  triggers for below and above  $\alpha_T = 0.55$  respectively. . Expected yields as given by simulation are also shown for multijet events (green dash-dotted line), EWK backgrounds with genuine  $\cancel{E}_T$  (blue long-dashed line), the sum of all SM processes (cyan solid line) and the reference signal model D2 (left, red dotted line) or G2 (right, red dotted line).

## 1162 4.2. Search Strategy

1163 The aim of the analysis presented in this thesis is to identify an excess of events in data  
 1164 over the SM background expectation in multi-jet final states and significant  $\cancel{E}_T$ . The  
 1165 essential suppression of the dominant QCD background for such a search is addressed by  
 1166 the  $\alpha_T$  variable described in the previous section. For estimation of the remaining EWK  
 1167 backgrounds, three independent data control samples are used to predict the different  
 1168 processes that compose the background :

- 1169 •  $\mu +$  jets to determine  $W +$  jets,  $t\bar{t}$  and single top backgrounds,
- 1170 •  $\gamma +$  jets to determine the irreducible  $Z \rightarrow \nu\bar{\nu} +$  jets background,
- 1171 •  $\mu\mu +$  jets to determine the irreducible  $Z \rightarrow \nu\bar{\nu} +$  jets background.

1172 These control samples are chosen to both be rich in specific EWK processes, be free of  
 1173 QCD multi-jet events and to also be kinematically similar to the hadronic signal region  
 1174 that they are estimating the backgrounds of, see Section (4.2.3).

1175 To remain inclusive to a large range of possible **SUSY** models, the signal region is  
1176 binned in the following categories to allow for increased sensitivity in the interpretation  
1177 of results for different **SUSY** topologies:

1178 **Sensitivity to a range of SUSY mass splittings**

1179 The hadronic signal region is defined by  $H_T > 275$ , divided into eight bins in  $H_T$ .

- 1180 – Two bins of width 50 GeV in the range  $275 < H_T < 375$  GeV,  
1181 – five bins of width 100 GeV in the range  $375 < H_T < 875$  GeV,  
1182 – and a final open bin,  $H_T > 875$  GeV.

1183 The choice at low  $H_T$  is driven primarily by trigger constraints. The mass difference  
1184 between the **LSP** and the particle that it decays from is an important factor in the  
1185 amount of hadronic activity in the event.

1186 A large mass splitting will lead to hard high  $p_T$  jets which contribute to the  $H_T$   
1187 sum. From Figure 4.1 it can be seen that the **SM** background falls sharply at high  
1188  $H_T$  values, therefore a large number of  $H_T$  bins will lead to easier identification  
1189 of such signals. Conversely smaller mass splittings lead to softer jet  $p_T$ 's which will  
1190 subsequently fall into the lower  $H_T$  range.

1191 **Sensitivity to production method of SUSY particles**

1192 The production mechanism of any potential **SUSY** signal can lead to different event  
1193 topologies. One such way to discriminate between gluino ( $g\tilde{g}$  - “high multiplicity”),  
1194 and direct squark ( $q\tilde{q}$  - “low multiplicity”) induced production of **SUSY** particles is  
1195 realised through the number of reconstructed jets in the final state.

1196 The analysis is thus split into two jet categories : 2-3 jets ,  $\geq 4$  jets to give sensitivity  
1197 to both of these mechanisms.

1198 **Sensitivity to “Natural SUSY” via tagging jets from b-quarks**

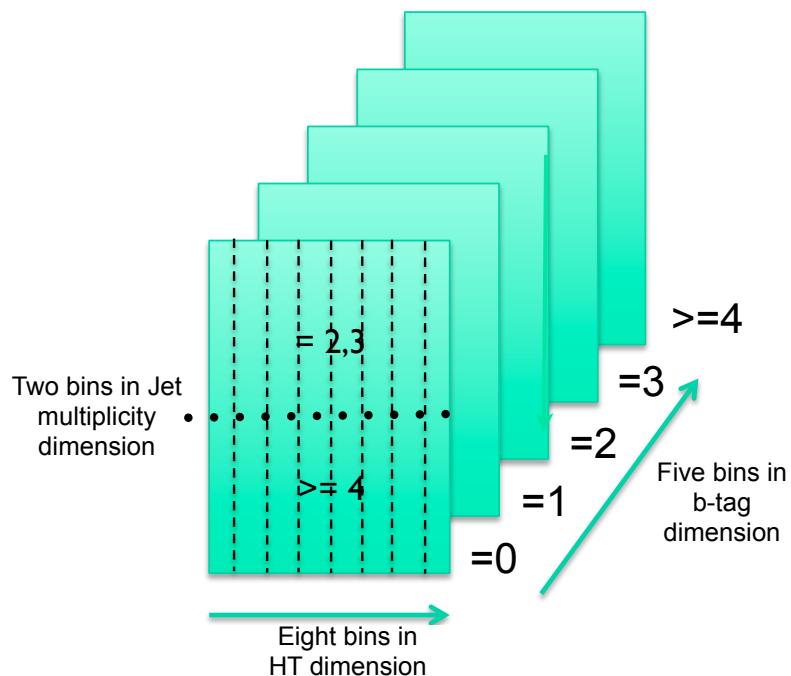
1199 Jets originating from bottom quarks (b-jets) are identified through vertices that  
1200 are displaced with respect to the primary interaction. The algorithm used to tag  
1201 b-jets is the Combined Secondary Vertex Medium Working Point (**CSV**) tagger,  
1202 described within Section (3.3.2). A cut is placed on the discriminator variable of  
1203  $> 0.679$ , leading to a gluon/light-quark mis-tag rate of 1% and a jet  $p_T$  dependant  
1204 b-tagging efficiency of 60-70% [64].

Natural **SUSY** models would be characterised through final-state signatures rich in bottom quarks. A search relying on methods to identify jets originating from bottom quarks through b-tagging, will significantly improve the sensitivity to this class of signature.

This is achieved via the binning of events in the signal region according to the number of b-tagged jets reconstructed in each event, in the following: 0,1,2,3, $\geq 4$  b-tag categories . In the highest  $\geq 4$  b-tag category due to a limited number of expected signal and background, just three  $H_T$  bins are employed: 275-325 GeV, 325-375 GeV,  $\geq 375$  GeV.

This characterisation is identically mirrored in all control samples, with the information from all samples and b-tag categories used simultaneously in the likelihood model (see Chapter 5) in order to interpret the results in a coherent and powerful way.

The combination of the  $H_T$ , jet multiplicity and b-tag categorisation of the signal region as described above, resultantly leads to 67 different bins in which the analysis is interpreted in, which is depicted in Figure 4.4.



**Figure 4.4.:** Pictorial depiction of the analysis strategy employed by the  $\alpha_T$  search to increase sensitivity to a wide spectra of **SUSY** models.

1221 **4.2.1. Physics Objects**

1222 The physics objects used in the analysis defined below, follow the recommendation of  
1223 the various CMS Physics Object Groups ([POGs](#)).

1224 **• Jets**

1225 The jets used in this analysis are CaloJets, reconstructed as described in Section  
1226 ([3.3.1](#)) using the anti- $k_T$  jet clustering algorithm.

1227 To ensure the jet object falls within the calorimeter systems a pseudo-rapidity  
1228 requirement of  $|\eta| < 3$  is applied. Each jet must pass a “loose” identification criteria  
1229 to reject jets resulting from unphysical energy, the criteria of which are detailed in  
1230 Table A.1 of Appendix (A) [[74](#)].

1231 **• Muons**

1232 Muons are selected in the  $\mu + \text{jets}$  and  $\mu\mu + \text{jets}$  control samples, and vetoed in  
1233 the signal region. The same cut based identification criteria is applied to muons in  
1234 both search regions and is summarised in Table 4.2 [[75](#)].

Categories	Criteria
Global Muon	True
PFMuon	True
$\chi^2$	< 10
Muon chamber hits	> 0
Muon station hits	> 1
Transvere impact $d_{xy}$	< 0.2mm
Longitudinal distance $d_z$	< 0.5mm
Pixel hits	> 0
Track layer hits	> 5
PF Isolation (DeltaB corrected)	<0.12

**Table 4.2.:** Muon Identification criteria used within the analysis for selection/veto purposes in the muon control/signal selections.

1235 Additionally muons are required to be within the acceptance of the muon tracking  
1236 systems. For the muon control samples, trigger requirements necessitate a  $|\eta| <$   
1237 2.1 for the selection of muons. In the signal region where muons are vetoed these

1238 conditions are relaxed to  $|\eta| < 2.5$  and a minimum threshold of  $p_T > 10$  GeV is  
1239 required of muon objects.

1240 **• Photons**

1241 Photons are selected within the  $\gamma +$  jets control sample and vetoed in all other  
1242 selections. Photons are identified in both cases according to the cut based criteria  
1243 listed in Table 4.3 [76].

Variable	Definition
$H/E < 0.05$	The ratio of hadronic energy in the <b>HCAL</b> tower directly behind the <b>ECAL</b> super-cluster and the <b>ECAL</b> super-cluster itself.
$\sigma_{i\eta i\eta} < 0.011$	The log energy weighted width ( $\sigma$ ), of the extent of the shower in the $\eta$ dimension.
$R9 < 1.0$	The ratio of the energy of the $3 \times 3$ crystal core of the super-cluster compared to the total energy stored in the $5 \times 5$ super-cluster.
Combined Isolation $< 6$ GeV	The photons are required to be isolated with no electromagnetic or hadronic activity within a radius $\Delta R = 0.3$ of the photon object. A combination of the pileup subtracted [77], <b>ECAL</b> , <b>HCAL</b> and tracking isolation sums are used to determine the combined total isolation value.

**Table 4.3.:** Photon Identification criteria used within the analysis for selection/veto purposes in the  $\gamma +$  jets control/signal selections.

1244 Photon objects are also required to have a minimum momentum of  $p_T > 25$  GeV.

1245 **• Electrons**

1246 Electron identification is defined for veto purposes. They are selected according to  
1247 the following cut-based criteria listed in Table 4.4, utilising PF-based isolation.

1248 Electrons are required to be identified at  $|\eta| < 2.5$ , with a minimum  $p_T > 10$  GeV  
1249 threshold to ensure that the electron falls within the tracking system of the detector.

1250 **• Noise and  $E_T$  Filters**

Categories	Barrel	EndCap
$\Delta\eta_{In}$	0.007	0.009
$\Delta\phi_{In}$	0.15	0.10
$\sigma_{in\eta}$	0.01	0.03
H/E	0.12	0.10
d0 (vtx)	0.02	0.02
dZ (vtx)	0.20	0.20
$ (1/E_{ECAL} - 1/p_{track}) $	0.05	0.05
PF Combined isolation/ $p_T$	0.15	0.15
Vertex fit probability	$10^{-6}$	$10^{-6}$

**Table 4.4.:** Electron Identification criteria used within the analysis for veto purposes.

1251 A series of Noise filters are applied to veto events which contain spurious non-physical  
1252 jets that are not picked up by the jet id, and events which give large unphysical  $\cancel{E}_T$   
1253 values. These filters are listed within Table A.3 of Appendix (A).

### 1254 4.2.2. Event Selection

1255 The selection criteria for events within the analysis are detailed below. A set of common  
1256 cuts are applied to both signal (maximise acceptance to a range of SUSY signatures), and  
1257 control samples (retain similar jet kinematics for background predictions), with additional  
1258 selection cuts applied to each control sample to enrich the sample in a particular EWK  
1259 processes, see Section (4.2.3).

1260 The jets considered in the analysis are required to have a transverse momentum  $p_T >$   
1261 50 GeV, with a minimum of two jets required in the event. The highest  $E_T$  jet is required  
1262 to lie within the central tracker acceptance  $|\eta| < 2.5$ , and the two leading  $p_T$  jets must  
1263 each have  $p_T > 100$  GeV. Any event which has a jet with  $p_T > 50$  GeV that either fails  
1264 the “loose” identification criteria described in Section(4.2.1) or has  $|\eta| > 3.0$ , is rejected.  
1265 Similarly events in which an electron, muon or photon fails object identification but pass  
1266  $\eta$  and  $p_T$  restrictions are identified as an “odd” lepton/photon and the event is vetoed.

1267 At low  $H_T$ , the jet threshold requirements applied to be considered as part of the  
1268 analysis and enter the  $H_T$  sum are scaled downwards. These are scaled down in order to  
1269 not restrict phase space, preserving jet multiplicities and background admixture in the  
1270 lower  $H_T$  bins, as listed in Table 4.5.

$H_T$ bin	minimum jet $p_T$	second leading jet $p_T$
$275 < H_T < 325$	36.7	73.3
$325 < H_T < 375$	43.3	86.6
$375 < H_T$	50.0	100.0

**Table 4.5.:** Jet thresholds used in the three  $H_T$  regions of the analysis.

1271 Within the signal region to suppress **SM** processes with genuine  $\cancel{E}_T$  from neutrinos,  
 1272 events containing isolated electrons or muons are vetoed. Furthermore to ensure a pure  
 1273 multi-jet topology, events are vetoed if an isolated photon is found with  $p_T > 25$  GeV.

1274 An  $\alpha_T$  requirement of  $> 0.55$  is required to reduce the QCD multi-jet background  
 1275 to a negligible amount. Finally additional cleaning cuts are applied to protect against  
 1276 pathological deficiencies such as reconstruction failures or severe energy mis-measurements  
 1277 due to detector inefficiencies:

- Significant  $\cancel{H}_T$  can arise in events with no real  $\cancel{E}_T$  due to multiple jets falling below the  $p_T$  threshold used for selecting jets. This in turn leads to events which can then incorrectly pass the  $\alpha_T$  requirements of the analysis. This effect can be negated by requiring that the missing transverse momentum reconstructed from jets alone does not greatly exceed the missing transverse momentum reconstructed from all of the detector's calorimeter towers,

$$R_{miss} = \cancel{H}_T / \cancel{E}_T < 1.25.$$

- 1278 • Fake  $\cancel{E}_T$  and  $\cancel{H}_T$  can arise due to significant jet mis-measurements caused by a small  
 1279 number of non-functioning **ECAL** regions. These regions absorb electromagnetic  
 1280 showers which are subsequently not added to the jet energy sum. To circumvent  
 1281 this problem the following procedure is employed : For each jet in the event, the  
 1282 angular separation

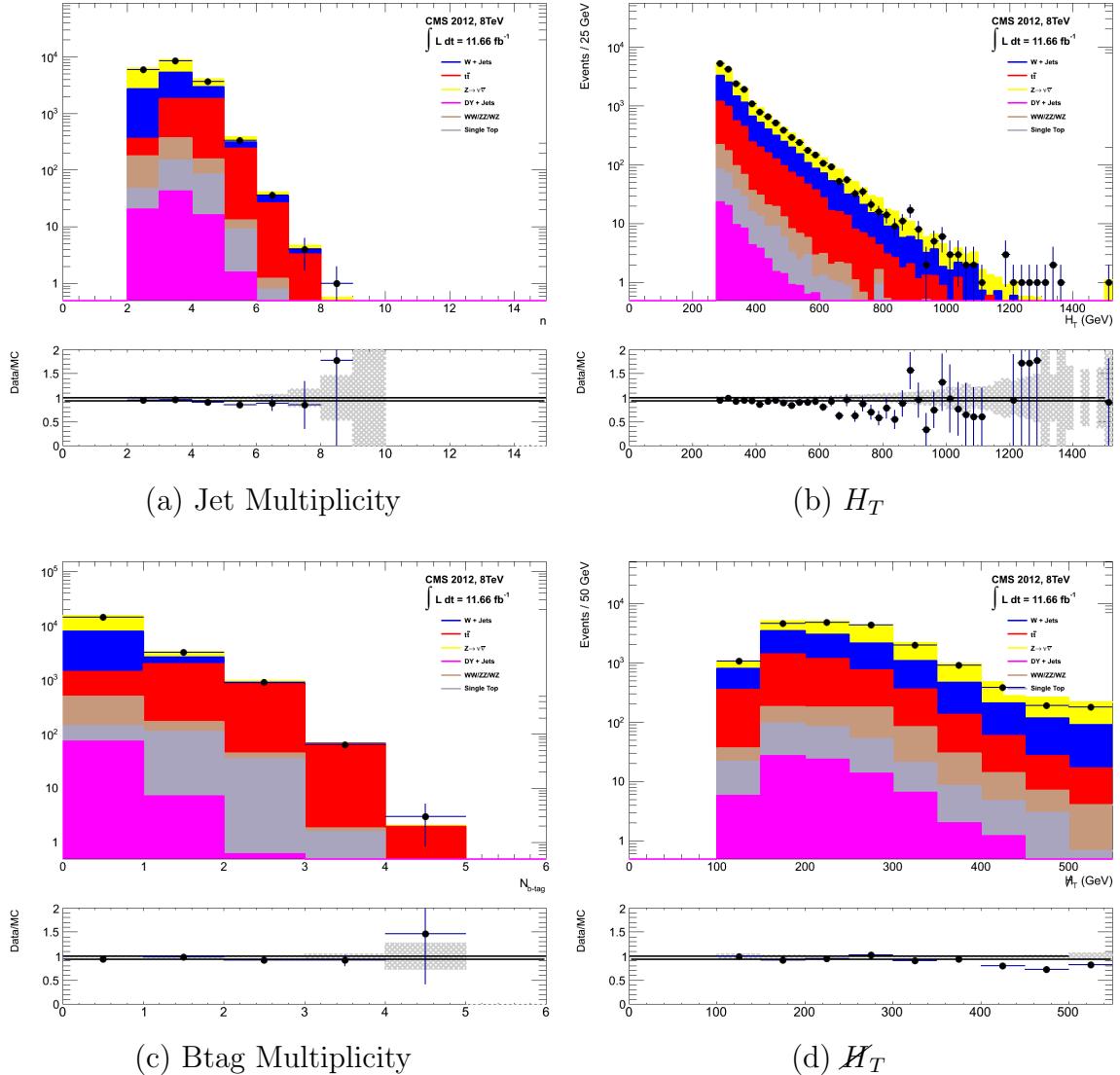
$$\Delta\phi_j^* \equiv \Delta\phi(p_j^\rightarrow - \sum_{i \neq j} p_i^\rightarrow), \quad (4.6)$$

1283 is calculated where that jet is itself removed from the event. Here  $\Delta\phi^*$  is a measure  
 1284 of how aligned the  $\cancel{H}_T$  of an event is with a jet, a small value is compatible with the  
 1285 hypothesis of an inherently balanced event in which a jet has been mis-measured.

1286 For every jet in a event with  $\Delta\phi^* < 0.5$ , if the  $\Delta R$  distance between the selected jet  
1287 and the closest dead **ECAL** region is also  $< 0.3$ , then the event is rejected. Similarly  
1288 events are rejected if the jet points within  $\Delta R < 0.3$  of the **ECAL** barrel-endcap  
1289 gap at  $|\eta| = 1.5$ .

1290 Some of the key distributions of the data used in this analysis compared to MC  
1291 simulation are shown in Figure 4.5. The MC samples are normalised to a luminosity of  
1292  $11.7 \text{ fb}^{-1}$ , with no requirement placed upon the number of b-tagged jets or number of  
1293 jets in the events.

1294 The distributions shown are presented for purely illustrative purposes, with the MC  
1295 simulation itself not used in absolute term to estimate the yields from background  
1296 processes, see Sections (4.2.3,4.5). However it is nevertheless important to demonstrate  
1297 that good agreement exists between simulation and observation in data.



**Figure 4.5.:** Data/MC comparisons of key variables for the hadronic signal region, following the application of the hadronic selection criteria and the requirements of  $H_T > 275$  GeV and  $\alpha_T > 0.55$ . Bands represent the uncertainties due to the statistical size of the MC samples. No requirement is made upon the number of b-tagged jets or jet multiplicity in these distributions.

### 1299 4.2.3. Control Sample Definition and Background Estimation

1300 The method used to estimate the background contributions in the hadronic signal region  
 1301 relies on the use of a Transfer Factor (TF). This is determined from MC simulation  
 1302 in both the control,  $N_{MC}^{control}$ , and signal,  $N_{MC}^{signal}$ , region to transform the observed yield  
 1303 measured in data for a control sample,  $N_{obs}^{control}$ , into a background prediction,  $N_{pred}^{signal}$ , via  
 1304 Equation (4.7),

$$N_{\text{pred}}^{\text{signal}} = \frac{N_{\text{MC}}^{\text{signal}}}{N_{\text{MC}}^{\text{control}}} \times N_{\text{obs}}^{\text{control}}. \quad (4.7)$$

1305 All MC samples are normalised to the luminosity of the data samples,  $11.7 \text{ fb}^{-1}$ .  
 1306 Through this method, “vanilla” predictions for the **SM** background in the signal region  
 1307 can be made by considering separately the sum of the prediction from either the  $\mu + \text{jets}$   
 1308 and  $\gamma + \text{jets}$  or  $\mu + \text{jets}$  and  $\mu\mu + \text{jets}$  samples. However the final background estimation  
 1309 from which results are interpreted, is calculated via a fitting procedure defined formally  
 1310 by the likelihood model described in Chapter 5.

1311 The sum of the expected yields from all MC processes, in each control sample enter  
 1312 the denominator,  $N_{\text{MC}}^{\text{control}}$ , of the **TF** defined in Eq (4.7). However for the numerator  
 1313 ,  $N_{\text{MC}}^{\text{signal}}$ , only the relevant processes that the control sample is used in estimating a  
 1314 background for, enter into the **TF**.

1315 For the  $\mu + \text{jets}$  sample the simulated MC processes which enter the numerator of  
 1316 the **TF** are,

$$N_{\text{MC}}^{\text{signal}}(H_T, n_{\text{jet}}) = N_W + N_{t\bar{t}} + N_{DY} + N_t + N_{di-boson}, \quad (4.8)$$

1317 whilst for both the  $\mu\mu + \text{jets}$  and  $\gamma + \text{jets}$  samples the only MC process used in the  
 1318 numerator is,

$$N_{\text{MC}}^{\text{signal}}(H_T, n_{\text{jet}}) = N_{Z \rightarrow \nu\bar{\nu}}. \quad (4.9)$$

1319 The control samples and the **EWK** processes they are specifically tuned to select  
 1320 are defined below, with distributions of key variables for each of the control samples  
 1321 shown for illustrative purposes in Figures 4.6, 4.7 and 4.8. No requirement is placed  
 1322 upon the number of b-tagged jets or jet multiplicity in the distributions shown. The  
 1323 MC distributions highlight the background compositions of each control sample, where  
 1324 in general, good agreement is observed between data and simulation, giving confidence

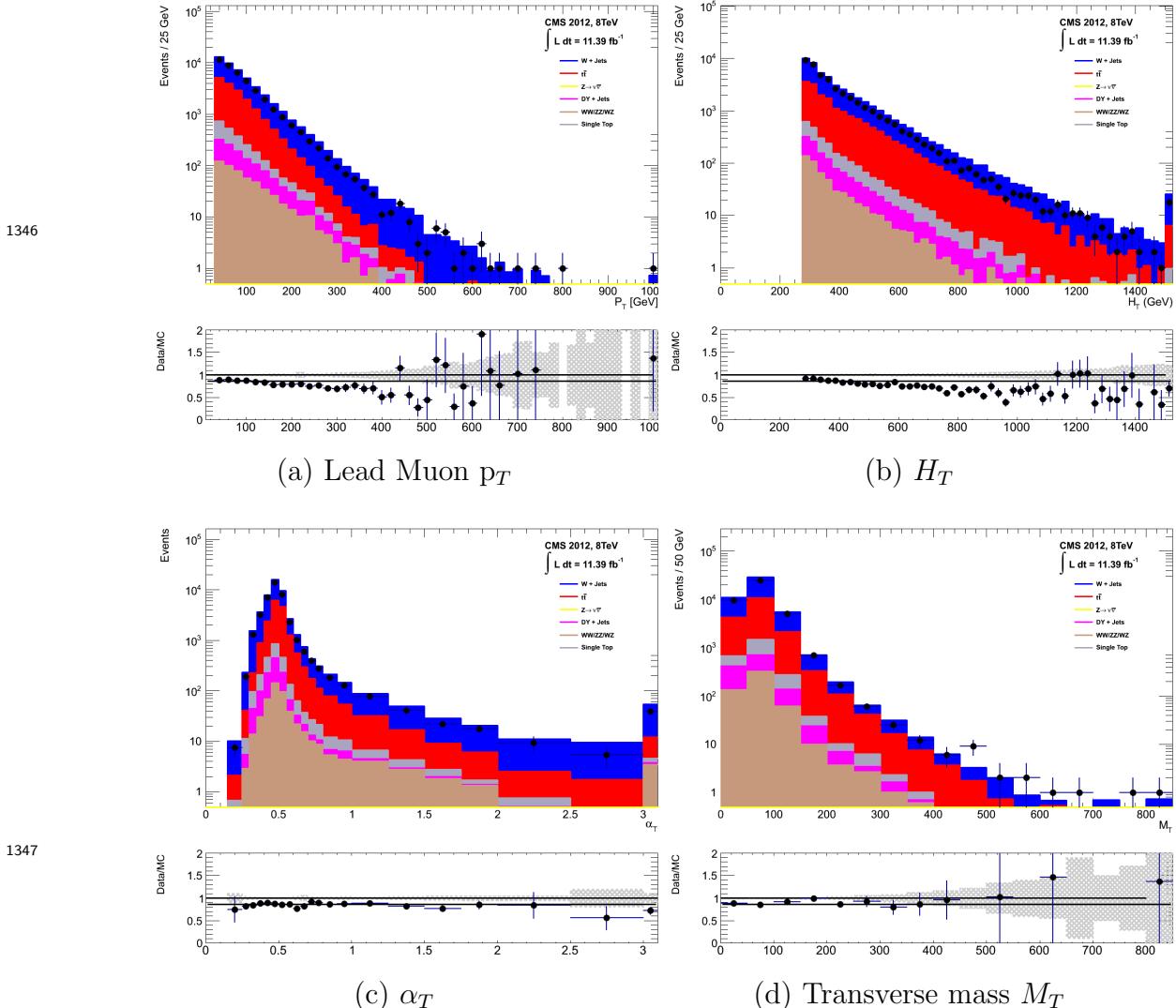
1325 that the samples are well understood. The contribution from QCD multi-jet events is  
1326 expected to be negligible :

1327 **The  $\mu +$  jets control sample**

1328 Events from  $W +$  jets and  $t\bar{t}$  processes enter into the hadronic signal sample due to  
1329 unidentified leptons from acceptance or threshold effects and hadronic tau decays.  
1330 These leptons originate from the decay of high  $p_T$   $W$  bosons.

1331 The control samples specifically identifies  $W \rightarrow \mu\bar{\nu}$  decays within the same phase-  
1332 space of the signal region, where the muon is subsequently ignored in the calculation  
1333 of event level variables, i.e.  $H_T$ ,  $\mathcal{H}_T$ ,  $\alpha_T$ . All kinematic jet-based cuts are identical  
1334 to those applied in the hadronic search region detailed in Section (4.2.2), with the  
1335 same  $H_T$ , jet multiplicity and b-jet multiplicity binning described above.

- 1336 – Muons originating from  $W$  boson decays are selected by requiring one tightly  
1337 isolated muon defined in Table 4.2, with a  $p_T > 30$  GeV and  $|\eta| < 2.1$ . Both of  
1338 these threshold arise from trigger restrictions.
- 1339 – The transverse mass of the  $W$  candidate must satisfy  $M_T(\mu, \cancel{E}_T) < 30$  GeV (   
1340 to suppress QCD multi-jet events).
- 1341 – Events which contain a jet overlapping with a muon  $\Delta R(\mu, \text{jet}) < 0.5$  are vetoed  
1342 to remove events from muons produced as part of a jet’s hadronisation process.
- 1343 – Events containing a second muon candidate which has failed id, but passed  $p_T$   
1344 and  $|\eta|$  requirements, are checked to have an invariant mass that satisfies  $m_Z -$   
1345  $25 < M_{\mu_1\mu_2} > m_Z + 25$ , thus removing  $Z \rightarrow \mu\mu$  contamination.



**Figure 4.6.:** Data/MC comparisons of key variables for the  $\mu + \text{jets}$  selection, following the application of selection criteria and the requirements that  $H_T > 275$  GeV. Bands represent the uncertainties due to the statistical size of the MC samples. No requirement is made upon the number of b-tagged jets or jet multiplicity in these distributions.

### The $\mu\mu + \text{jets}$ control sample

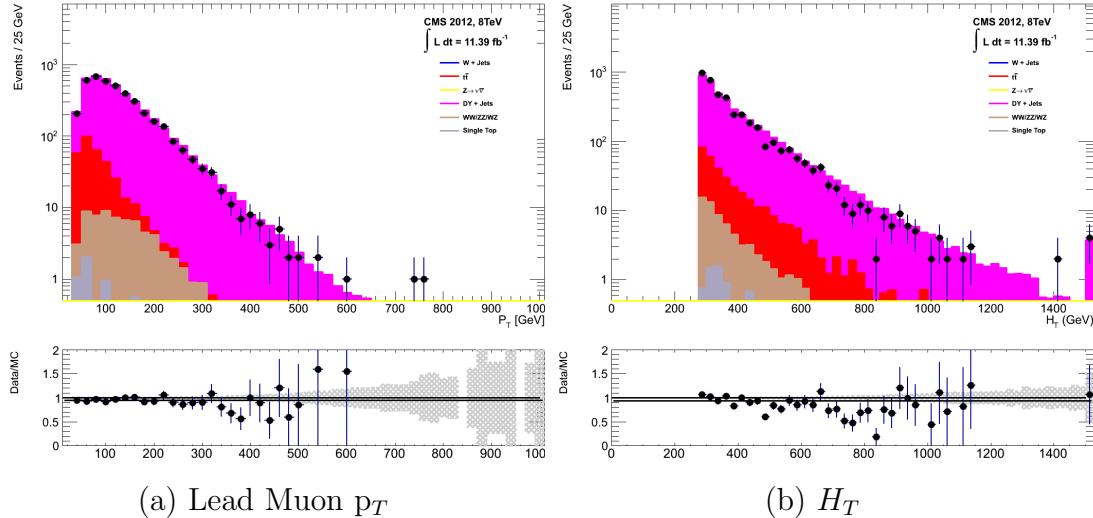
The  $Z \rightarrow \nu\bar{\nu} + \text{jets}$  background enters into the signal region from genuine  $\cancel{E}_T$  from the escaping neutrinos. This background is estimated using two control samples, the first of which is the  $Z \rightarrow \mu\bar{\mu} + \text{jets}$  process, which posses identical kinematic properties, but with different acceptance and branching ratio [1].

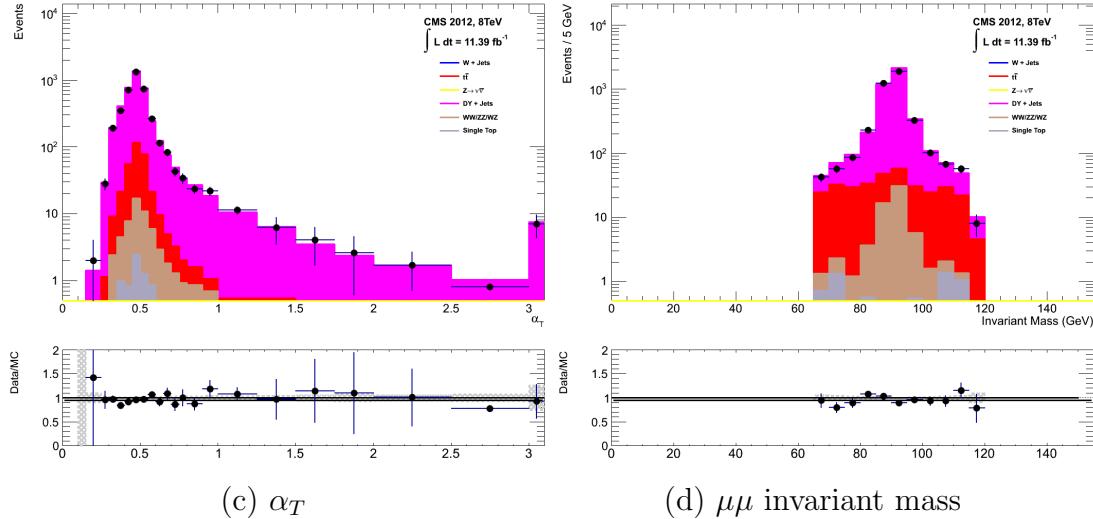
The same acceptance requirements as the  $\mu + \text{jets}$  selection for muons is applied, as defined in Table 4.2. Muons in the event are ignored for the purpose of the

calculation of event level variables. Kinematic jet-based cuts and phase space binning identical to the hadronic search region are also applied.

- Muons origination from a Z boson decay are selected requiring exactly two tightly isolated muons. Due to trigger requirements the leading muon is required to have  $p_T > 30$  GeV and  $|\eta| < 2.1$ . The requirement of the  $p_T$  on the second muon is relaxed to 10 GeV.
- Events are vetoed if containing a jet overlapping with a muon  $\Delta R(\mu, \text{jet}) < 0.5$ .
- In order to specifically select two muons both originating from a single Z boson decay, the invariant mass of the two muons must satisfy  $m_Z - 25 > M_{\mu_1\mu_2} < m_Z + 25$ .

The  $\mu\mu + \text{jets}$  sample is used to make predictions in the signal region in the two lowest  $H_T$  bins, providing coverage where the  $\gamma + \text{jets}$  sample is unable to, due to trigger requirements. In higher  $H_T$  bins, the higher statistics of the  $\gamma + \text{jets}$  sample is instead used to determine the  $Z \rightarrow \nu\bar{\nu}$  estimation.



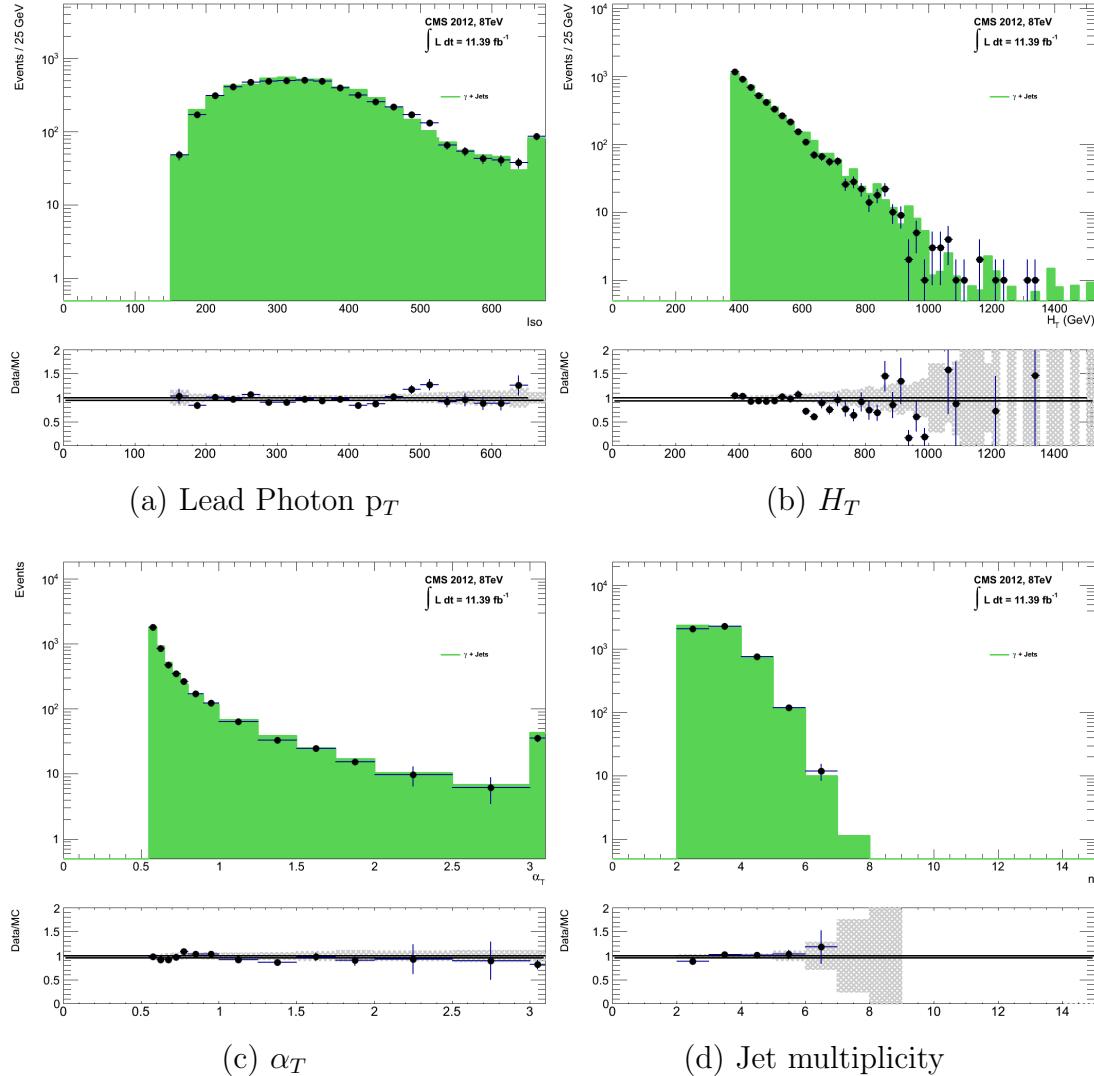


**Figure 4.7.:** Data/MC comparisons of key variables for the  $\mu\mu + \text{jets}$  selection, following the application of selection criteria and the requirements that  $H_T > 275$  GeV. Bands represent the uncertainties due to the statistical size of the MC samples. No requirement is made upon the number of b-tagged jets or jet multiplicity in these distributions.

### The $\gamma + \text{jets}$ control sample

The  $Z \rightarrow \nu\bar{\nu} + \text{jets}$  background is also estimated from a  $\gamma + \text{jets}$  control sample, which possesses a larger cross section and kinematic properties similar to those of  $Z \rightarrow \mu\bar{\mu}$  events where the photon is ignored [78][79]. The photon is ignored for the purpose of the calculation of event level variables, and identical selection cuts to the hadronic signal region are applied.

- Exactly one photon is selected, satisfying identification criteria as detailed in Table 4.3, with a minimum  $p_T > 165$  GeV to satisfy trigger thresholds and  $|\eta| < 1.45$  to ensure the photon remains in the barrel of the detector.
- A selection criteria of  $\Delta R(\gamma, \text{jet}) < 1.0$ , between the photon and all jets is applied to ensure the acceptance of only well isolated  $\gamma + \text{jets}$  events.
- Given that the photon is ignored, this control sample can only be applied in the  $H_T$  region  $> 375$  GeV, due to the trigger thresholds on the minimum  $p_T$  of the photon, and the  $H_T$  requirement of an  $\alpha_T > 0.55$  cut from Equation (4.5).



**Figure 4.8.:** Data/MC comparisons of key variables for the  $\gamma + \text{jets}$  selection, following the application of selection criteria and the requirements that  $H_T > 375 \text{ GeV}$  and  $\alpha_T > 0.55$ . Bands represent the uncertainties due to the statistical size of the MC samples. No requirement is made upon the number of b-tagged jets or jet multiplicity in these distributions.

The selection criteria of the three control samples are defined to ensure background composition and event kinematics mirror closely the signal region. This is done in order to minimise the reliance on MC simulation to model correctly the backgrounds and event kinematics in the control and signal samples.

However in the case of the  $\mu + \text{jets}$  and  $\mu\mu + \text{jets}$  samples, the  $\alpha_T$  requirement is relaxed in the selection criteria of these samples. This is made possible as contamination from QCD multi-jet events is suppressed to a negligible level by the other kinematic selection criteria within the two control samples, to select pure EWK processes. Thus in

1394 this way, the acceptance of the two muon control samples can be significantly increased,  
1395 which simultaneously improves their predictive power and further reduces the effect of  
1396 any potential signal contamination.

1397 The modelling of the  $\alpha_T$  variable is probed through a dedicated set of closure tests,  
1398 described in Section (4.6), which demonstrate that the different  $\alpha_T$  acceptances for the  
1399 control and signal samples have no significant systematic bias on the prediction.

#### 1400 4.2.4. Estimating the QCD Background Multi-jet Background

1401 A negligible background from QCD multi-jet events within the hadronic signal region  
1402 is expected due to the selection requirement, and additional cleaning filters applied.  
1403 However a conservative approach is still adopted and the likelihood model (see Section  
1404 (5.1)), is given the freedom to estimate any potential QCD multi-jet contamination.

1405 Any potential contamination can be identified through the variable  $R_{\alpha_T}$ , defined as  
1406 the ratio of events above and below the  $\alpha_T$  threshold value used in the analysis. This is  
1407 modelled by a  $H_T$  dependant falling exponential function which takes the form,

$$R_{\alpha_T}(H_T) = A \exp^{-k_{QCD} H_T}, \quad (4.10)$$

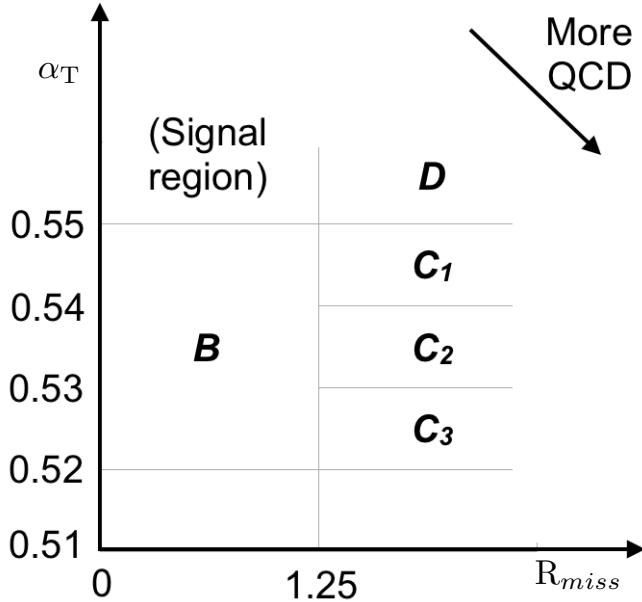
1408 where the parameters  $A$  and  $k_{QCD}$  are the normalisation and exponential decay  
1409 constants respectively.

1410 For QCD event topologies this exponential behaviour is expected as a function of  $H_T$   
1411 for several reasons. The improvement of jet energy resolution at higher  $H_T$  due to higher  
1412  $p_T$  jets leads to a narrower peaked distribution, causing  $R_{\alpha_T}$  to fall. Similarly at higher  
1413  $H_T$  values  $> 375$  GeV, the jet multiplicity rises slowly with  $H_T$ . As shown in Figure 4.3,  
1414 at higher jet multiplicities, the result of the combinatorics used in the determination of  
1415  $\alpha_T$ , also lead to a narrower  $\alpha_T$  distribution.

1416 The value of the decay constant  $k_{QCD}$  is constrained via measurements within data  
1417 sidebands to the signal region. This is also done to validate the falling exponential  
1418 assumption for QCD multi-jet topologies. The sidebands are enriched in QCD multi-jet  
1419 background and defined as regions where  $\alpha_T$  is relaxed or that the  $R_{miss}$  cut is inverted.

1420 Figure 4.9 depicts the definition of these data sidebands used to constrain the value of  
1421  $k_{QCD}$ .

1422



**Figure 4.9.:** QCD sideband regions, used for determination of  $k_{QCD}$ .

1423 The fits to determine the value of  $k_{QCD}$  are shown in Appendix (C.1), for which the best  
1424 fit value obtained from sideband region B is determined to be  $k_{QCD} = 2.96 \pm 0.64 \times 10^{-2}$   
1425  $\text{GeV}^{-1}$ .

1426 The best fit values of the remaining three C sideband regions are used to estimate  
1427 the systematic uncertainty on the central value obtained from sideband region B. The  
1428 variation of these measured values is used to determine the error on the determined  
1429 central value, and is calculated to be  $1.31 \pm 0.26 \times 10^{-2} \text{GeV}^{-1}$ . This relative error of  $\sim$   
1430 20% gives an estimate of the systematic uncertainty of the measurement to be applied to  
1431  $k_{QCD}$ .

1432 Finally the same procedure is performed for sideband region D to establish that the  
1433 value of  $k_{QCD}$  extracted from a lower  $\alpha_T$  slice can be applied to the signal region  $\alpha_T >$   
1434 0.55. The likelihood fit is performed across all  $H_T$  bins within the QCD enriched region  
1435 with no constraint applied to  $k_{QCD}$ . The resulting best fit value for  $k_{QCD}$  shows good  
1436 agreement between that and the weighted mean determined from the three C sidebands  
1437 regions. This demonstrates that the assumption of using the central value determined  
1438 from sideband region B, to provide an unbiased estimator for  $k_{QCD}$  in the signal region  
1439 ( $\alpha_T > 0.55$ ) is valid.

1440 Table 4.6, summarises the best fit  $k_{QCD}$  values determined for each of the sideband  
1441 regions to the signal region.

Sideband region	$k_{QCD} (\times 10^{-2} \text{GeV}^{-1})$	p-value
B	$2.96 \pm 0.64$	0.24
C <sub>1</sub>	$1.19 \pm 0.45$	0.93
C <sub>2</sub>	$1.47 \pm 0.37$	0.42
C <sub>3</sub>	$1.17 \pm 0.55$	0.98
C(weighted mean)	$1.31 \pm 0.26$	-
D(likelihood fit)	$1.31 \pm 0.09$	0.57

**Table 4.6.:** Best fit values for the parameters  $k_{QCD}$  obtained from sideband regions B,C<sub>1</sub>,C<sub>2</sub>,C<sub>3</sub>. The weighted mean is determined from the three measurements made within sideband region C. The maximum likelihood value of  $k_{QCD}$  given by the simultaneous fit using sideband region D. Quotes errors are statistical only.

### 1442 4.3. Trigger Strategy

1443 A cross trigger based on the quantities  $H_T$  and  $\alpha_T$ , labelled is used with varying thresholds  
1444 across  $H_T$  bins to record the events used in the hadronic signal region. The  $\alpha_T$  legs of the  
1445  $HT\_alphaT$  triggers used in the analysis are chosen to fully suppress QCD multi-jet events,  
1446 whilst maintaining a sustainable trigger rate. To further maintain an acceptable rate for  
1447 these analysis specific triggers, only calorimeter information is used in the reconstruction  
1448 of the  $H_T$  sum, leading to the necessity for Calo jets to be used within the analysis.

1449 A single object prescaled  $H_T$  trigger is used to collect events for the hadronic control  
1450 region described above in Section (4.2.4).

1451 The performance of the  $\alpha_T$  and  $H_T$  triggers used to collect data for the signal and  
1452 hadronic control region is measured with respect to a reference sample collected using the  
1453 muon system. This allows measurement of both the Level 1 seed and higher level triggers  
1454 simultaneously, as the reference sample is collected independent of any jet requirements.

1455 The selection for the trigger efficiency measurement is identical to that described in  
1456 Section (4.2.2), with the requirement of exactly one well identified muon with  $p_T > 30$   
1457 GeV which is subsequently ignored.

1458 The efficiencies measure for the  $HT\_alphaT$  triggers in bins individual  $H_T$  and  $\alpha_T$  legs,  
1459 is summarised in Table 4.7.

$H_T$ range (GeV)	$\epsilon$ on $H_T$ leg (%)	$\epsilon$ on $\alpha_T$ leg (%)
275-325	$87.7^{+1.9}_{-1.9}$	$82.8^{+1.0}_{-1.1}$
325-375	$90.6^{+2.9}_{-2.9}$	$95.9^{+0.7}_{-0.9}$
375-475	$95.7^{+0.1}_{-0.1}$	$98.5^{+0.5}_{-0.9}$
475- $\infty$	$100.0^{+0.0}_{-0.0}$	$100.0^{+0.0}_{-4.8}$

**Table 4.7.:** Measured efficiencies of the  $H_T$  and  $\alpha_T$  legs of the HT and  $HT\_alphaT$  triggers in independent analysis bins. The product of the two legs gives the total efficiency of the trigger in a given offline  $H_T$  bin.

1460 Data for the control samples of the analysis, detailed in Section (4.2.3), are collected  
1461 using single object photon trigger for the  $\gamma +$  jets sample, and a single object muon  
1462 trigger for both the  $\mu +$  jets and  $\mu\mu +$  jets control samples. The photon trigger is  
1463 measured to be full efficient for the threshold  $p_T^{photon} > 150 GeV$ , whilst the single muon  
1464 efficiency satisfying  $p_T^{muon} > 30 GeV$  is measured to have an efficiency of  $(88 \pm 2)\%$  that  
1465 is independent of  $H_T$ . In the case of the  $\mu\mu +$  jets control sample, the efficiency is  
1466 measured to be  $(95 \pm 2)\%$  for the lowest  $H_T$  bin, rising to  $(98 \pm 2)\%$  for the highest  $H_T$   
1467 bin.

## 1468 4.4. Measuring MC normalisation factors via $H_T$ 1469 sidebands

1470 The theoretical cross sections of different **SM** processes at Next to Next Leading Order  
1471 (**NNLO**) and the number of MC simulated events generated for that particular process,  
1472 is typically used to determine the appropriate normalisation for a MC sample. However  
1473 within the particular high- $H_T$  and high- $\cancel{E}_T$  corners of kinematic phase space probed  
1474 within this search, the theoretical cross sections for various processes are far less well  
1475 understood.

1476 To mitigate the problem of theoretical uncertainties and arbitrary choices of cross  
1477 sections, the normalisation of MC samples used in the analysis are determined through  
1478 the use data sidebands. The sidebands are used to calculate sample specific correct  
1479 factors (k-factors) that are appropriate for the  $H_T$ - $\cancel{E}_T$  phase space covered by this analysis.

1480 They are defined within the  $\mu + \text{jets}$  and  $\mu\mu + \text{jets}$  control sample, by the region  $200 < H_T < 275$ , using the same jet  $p_T$  thresholds as the adjacent first analysis bin. Individual 1481 **EWK** processes are isolated within each of these control samples via requirements on 1482 jet multiplicity and the requirement on b-tags, summarised in Table 4.8. The purity of 1483 the samples are typically  $> 90\%$  with any residual contamination corrected for. The 1484 resultant k-factor for each process is determined by then taking ratio of the data yield 1485 over the MC expectation in the sideband. Subsequently these k-factors are then applied 1486 to the processes within the phase space of the analysis. 1487

Process	Selection	Observation	MC expectation	k-factor
$W + \text{jets}$	$\mu + \text{jets}$ , $n_b=0$ , $n_{jet} = 2,3$	26950	$29993.2 \pm 650.1$	$0.90 \pm 0.02$
$Z \rightarrow \mu\mu + \text{jets}$	$\mu\mu + \text{jets}$ , $n_b=0$ , $n_{jet} = 2,3$	3141	$3402.0 \pm 43.9$	$0.92 \pm 0.02$
$t\bar{t}$	$\mu + \text{jets}$ , $n_b=2$ , $n_{jet} = \geq 4$	2190	$1967.8 \pm 25.1$	$1.11 \pm 0.02$

**Table 4.8.:** k-factors calculated for different **EWK** processes. All k-factors are derived relative to theoretical cross sections calculated in **NNLO**. The k-factors measured for the  $Z \rightarrow \mu\mu + \text{jets}$  processes, are also applied to the  $Z \rightarrow \nu\bar{\nu} + \text{jets}$  and  $\gamma + \text{jets}$  MC samples.

## 1488 4.5. Determining MC Yields With Higher 1489 Statistical Precision

1490 Reconstructing events from **EWK** processes with many b-tagged jets ( $\geq 3$ ),  $n_b^{reco}$ , is largely 1491 driven by the mis-tagging of light jets within the event. This is clear when considering 1492 the main **EWK** backgrounds in the analysis, such as  $t\bar{t} + \text{jets}$  events, which typically 1493 contain two b-flavoured jets from the decay of the top quarks, whilst  $W + \text{jets}$  and 1494  $Z \rightarrow \mu\mu + \text{jets}$  events will typically contain no b-flavoured jets.

1495 When the expectation for the number of  $n_b^{reco}$  is taken directly from simulation, the 1496 statistical uncertainty at large b-tag multiplicities becomes relatively large. In order to 1497 reduce this uncertainty one approach is to use the information encoded throughout all 1498 events in the simulation sample, to measure each of the four ingredients:

- 1499 1. the b-tagging efficiency in the event selection,
- 1500 2. the charm-tagging efficiency in the event selection

1501 3. the mis-tagging rate in the event selection,

1502 4. the underlying flavour distribution of the jets in the events,

1503 that determine the  $n_b^{reco}$  distribution of the process being measured. This method  
1504 allows the determination of higher b-tag multiplicities to a higher degree of accuracy  
1505 reducing the statical uncertainties of the MC which enter into the TF's. For the discussion  
1506 that follows, these predictions are determined on average (i.e not on an event-by-event  
1507 basis), and is known as the formula method.

#### 1508 4.5.1. The formula method

1509 The assigning of jet flavours to reconstruction level jets in simulation is achieved via an  
1510 algorithmic method defined as:

- 1511 • Try to find the parton that most likely determines the properties of the jet and  
1512 assign that flavour as true flavour,
- 1513 • Here, the “final state” partons (after showering, radiation) are analysed (also within  
1514  $\Delta R < 0.3$  of reconstructed jet cone),
- 1515 • Jets from radiation are matched with full efficiency,
- 1516 • If there is a b/c flavoured parton within the jet cone: label as b/c flavoured jet,
- 1517 • Otherwise: assign flavour of the hardest parton.

1518 Within each individual MC process and each  $H_T$ - $n_{jet}$  bin in the analysis, the  $n_b^{reco}$

1519 distribution is constructed in the following way:

1520 Let  $N(n_b^{gen}, n_c^{gen}, n_q^{gen})$  represent the yield in simulation of events with  $b$  underlying  
1521 b-quarks,  $c$  underlying c-quarks and  $q$  underlying light quarks which are matched to  
1522 reconstructed jets. Light quarks are defined as those which originate from a  $u,d,s,g$  and  
1523  $\tau$  jets which are grouped together having similar mis-tagging rates. Similarly defining  $\epsilon$ ,  
1524  $\beta$  and  $m$ , which represent the measured b-tagging,c-tagging and mis-tagging efficiency  
1525 averaged over all the jets within that particular analysis bin.

1526 Using this information the expected number of jets which have been b-tagged can be

1527 analytically calculated using the formula :

$$N(n_b) = \sum_{n_b^{gen} + n_c^{gen} + n_q^{gen} = n_{jet}} \sum_{n_b^{tag} + n_c^{tag} + n_q^{tag} = n_b} N(n_b^{gen}, n_c^{gen}, n_q^{gen}) \times P(n_b^{tag}, n_b^{gen}, \epsilon) \times \\ P(n_c^{tag}, n_c^{gen}, \beta) \times P(n_q^{tag}, n_q^{gen}, m), \quad (4.11)$$

with  $N(n_b)$  representing the event yield where  $n_b$  jets have been b-tagged,  $n_b^{tag}$ ,  $n_c^{tag}$  and  $n_q^{tag}$  represent the number of times that a particular jet flavour results in a b-tagged jet, and  $P(n_b^{tag}, n_b^{gen}, \epsilon)$ ,  $P(n_c^{tag}, n_c^{gen}, \beta)$  and  $P(n_q^{tag}, n_q^{gen}, m)$  represent the binomial probabilities for that to happen.

This approach ultimately results in a more precise  $n_b^{reco}$  distribution prediction as information from throughout the entire MC sample is used to estimate the high  $n_b^{reco}$  bins.

### 4.5.2. Establishing proof of principle

In order to validate the procedure, the predictions obtained from the formula method summarised in Eq (4.11), are compared directly to those obtained directly from simulation. These results for the  $\mu +$  jets control sample are summarised in Table 4.9, for the 0,1,2 and 3  $n_b^{reco}$  bins.

Process	Selection	Observation	MC expectation	k-factor
---------	-----------	-------------	----------------	----------

**Table 4.9.:** place holder

### 4.5.3. Correcting Measured Efficiencies In Simulation To Data

As detailed in Section (3.3.2), it is necessary for certain  $p_T$  and  $\eta$  dependant corrections, to be applied to both the b-tagging efficiency and mis-tagging rates in order correct the efficiencies from simulation to the distributions seen in data. These corrections are factored in.

Show plot of before and after correction to btag/mistag rate.

These corrections come with uncertainties..

1547 show plot of effect of scaling correction factor up and down. 2

## 1548 4.6. Systematic Uncertainties On Transfer Factors

1549 Since the TF's used to establish the background prediction are obtained from simulation,  
1550 an appropriate systematic uncertainty is assigned to each factor to account for theoretical  
1551 uncertainties [80] and limitations in the simulation modelling of event kinematics and  
1552 instrumental effects.

1553 The magnitudes of these systematic uncertainties are established through a set of data  
1554 driven method, in which the three independent control samples of the analysis ( $\mu + \text{jets}$ ,  
1555  $\mu\mu + \text{jets}$ ,  $\gamma + \text{jets}$ ) are used to in a series of closure tests. The yields from one of these  
1556 control samples, along with the corresponding TF obtained from simulation, are used to  
1557 predict the yields in another control sample, using the same method of establishing a  
1558 background prediction for the signal region as described in Section (4.2.3).

1559 The level of agreement between the predicted and observed yields is expressed as the  
1560 ratio

$$\frac{(N_{obs} - N_{pred})}{N_{pred}}, \quad (4.12)$$

1561 while considering only the statistical uncertainties on  $N_{pred}$ , the prediction, and  $N_{obs}$ ,  
1562 the observation. No systematic uncertainty is assigned to the prediction, and resultantly  
1563 the level of closure is defined by the statistical significance of a deviation from the ratio  
1564 from zero.

1565 This ratio is measured for each  $H_T$  bin in the analysis, allowing these closure tests to  
1566 be sensitive to both the presence of any significant biases or any possible  $H_T$  dependence  
1567 on the level of closure.

1568 Eight sets of closure tests are defined between the three data control samples, con-  
1569 ducted independently between the two jet multiplicity ( $2 \leq n_{jets} \leq 3$ ,  $n_{jet} \geq 4$  ) bins.  
1570 Each of these tests are specifically chosen to probe each of the different key ingredients  
1571 of the simulation modelling that can affect the background prediction.

1572        Each of the different modelling components and the relevant closure tests are described  
1573        below :

1574         **$\alpha_T$  modelling**

1575        The modelling of the  $\alpha_T$  distribution in genuine  $\cancel{E}_T$  events is probed with the  $\mu +$   
1576        jets control sample. This test is important to verify the approach of remove the  $\alpha_T$   
1577         $> 0.55$  requirement from the  $\mu +$  jets and  $\mu\mu +$  jets samples to increase the precision  
1578        of the background prediction. The test uses the  $\mu +$  jets sample without an  $\alpha_T$  cut  
1579        to make a prediction into the  $\mu +$  jets sample defined with the requirement  $\alpha_T >$   
1580        0.55.

1581        **Background admixture**

1582        The sensitivity of the translation factors to the relative admixture of events from  
1583         $W +$  jets and  $t\bar{t}$  processes is probed by two closure tests. These tests represent  
1584        an extremely conservative approach as the admixture of the background remains  
1585        similar between the  $\mu +$  jets sample and the signal region, contrary to the defined  
1586        closure tests which make predictions between two very different admixtures of  $W +$   
1587        jets and  $t\bar{t}$  events.

1588        Within the  $\mu +$  jets sample, a  $W$  boson enriched sub-sample ( $n_b = 0$ ) is used to  
1589        predict yields in a  $t\bar{t}$  enriched sub-sample ( $n_b = 1$ ). Similarly the  
1590         $t\bar{t}$  enriched sub-sample ( $n_b = 1$ ) is also used to predict yields for a further enriched  
1591         $t\bar{t}$  sub-sample ( $n_b = 2$ ).

1592        Similarly a further closure test probes the relative contribution of  $Z +$  jets to  $W +$  jets  
1593        and  $t\bar{t}$  events, through the use of the  $\mu +$  jets sample to predict yields for the  $\mu\mu +$   
1594        jets control sample. This closure test, also at some level probes the muon trigger  
1595        and reconstruction efficiencies, given that exactly one and two muons are required  
1596        by the different selections.

1597        **Consistency between control samples**

1598        An important consistency check between the  $\mu\mu +$  jets jets and  $\gamma +$  jets, which are  
1599        both used in the prediction of the  $Z \rightarrow \nu\bar{\nu}$  in the signal region, is measured by using  
1600        the  $\gamma +$  jets sample to predict yields for the  $\mu\mu +$  jets control sample.

1601        **Modelling of jet multiplicity**

1602 The simulation modelling of the jet multiplicity within each control sample is  
 1603 important due to the exclusive jet multiplicity binning within the analysis. This is  
 1604 probed via the use of each of the three control samples to independently predict  
 1605 from the lower jet multiplicity category  $2 \leq n_{jet} \leq 3$ , to the high jet category  $\geq 4$ .

1606 For the case of the  $\mu +$  jets and  $\mu\mu +$  jets control samples this test is also a  
 1607 further probe of the admixture between  $W +$  jets/ $Z +$  jets and  $t\bar{t}$ . Once again these  
 1608 three tests represent a conservative approach, as background predictions are always  
 1609 made from the same jet multiplicity bin, whereas the closure tests translate between  
 1610 these bins.

1611 To test for the assumption that no  $H_T$  dependences exist within the background  
 1612 predictions of the analysis, the first five closure tests defined above are taken, with zeroeth  
 1613 and first order polynomial fits are applied to each. This is summarised in Table 4.10 and  
 1614 Table 4.11 which show the results for both the  $2 \leq n_{jet} \leq 3$  and  $\geq 4$  jet multiplicity bins  
 1615 respectively.

Closure test	Symbol	Constant fit		Linear fit	
		Best fit value	p-value	Slope ( $10^{-4}$ )	p-value
$\alpha_T < 0.55 \rightarrow \alpha_T > 0.55$ ( $\mu +$ jets)	Circle	$-0.06 \pm 0.02$	0.93	$-1.3 \pm 2.2$	0.91
0 b-jets $\rightarrow$ 1 b-jet ( $\mu +$ jets)	Square	$0.07 \pm 0.02$	0.98	$-1.6 \pm 1.6$	1.00
1 b-jets $\rightarrow$ 2 b-jet ( $\mu +$ jets)	Triangle	$-0.07 \pm 0.03$	0.76	$-2.7 \pm 3.0$	0.76
$\mu +$ jets $\rightarrow \mu\mu +$ jets	Cross	$0.10 \pm 0.03$	0.58	$-1.1 \pm 2.3$	0.49
$\mu\mu +$ jets $\rightarrow \gamma +$ jets	Star	$-0.06 \pm 0.04$	0.31	$4.2 \pm 4.3$	0.29

**Table 4.10.:** A summary of the results obtained from fits of zeroeth order polynomials (i.e. a constant) to five sets of closure tests performed in the  $2 \leq n_{jet} \leq 3$  bin. The final two columns show the best fit value for the slope obtained when performing a linear fit and the p-value for the linear fit.

1616 Table 4.12 shows the same fits applied to the three closure tests that probe the  
 1617 modelling between the different  $n_{jet}$  bins. The best fit value and its uncertainty is listed  
 1618 for each set of closure tests in all three tables, along with the p-value of the constant and  
 1619 linear fits applied.

1620 The best fit value for the constant parameter is indicative of the level of closure,  
 1621 averaged across the full range of  $H_T$  bins in the analysis, and the p-value an indicator of  
 1622 any significant dependence on  $H_T$  within the closure tests. The best fit values of all the

Closure test	Symbol	Constant fit		Linear fit	
		Best fit value	p-value	Slope ( $10^{-4}$ )	p-value
$\alpha_T < 0.55 \rightarrow \alpha_T > 0.55 (\mu + \text{jets})$	Circle	$-0.05 \pm 0.03$	0.21	$3.0 \pm 2.9$	0.21
$0 \text{ b-jets} \rightarrow 1 \text{ b-jet } (\mu + \text{jets})$	Square	$-0.03 \pm 0.03$	0.55	$-1.0 \pm 1.9$	0.47
$1 \text{ b-jets} \rightarrow 2 \text{ b-jet } (\mu + \text{jets})$	Triangle	$-0.02 \pm 0.03$	0.39	$1.1 \pm 2.2$	0.31
$\mu + \text{jets} \rightarrow \mu\mu + \text{jets}$	Cross	$0.08 \pm 0.07$	0.08	$4.8 \pm 4.3$	0.07
$\mu\mu + \text{jets} \rightarrow \gamma + \text{jets}$	Star	$-0.03 \pm 0.10$	0.72	$-4.0 \pm 7.0$	0.64

**Table 4.11.:** A summary of the results obtained from fits of zeroeth order polynomials (i.e. a constant) to five sets of closure tests performed in the  $n_{jet} \geq q$  bin. The final two columns show the best fit value for the slope obtained when performing a linear fit and the p-value for the linear fit.

Closure test	Symbol	Constant fit		Linear fit	
		Best fit value	p-value	Slope ( $10^{-4}$ )	p-value
$\mu + \text{jets}$	Inverted triangle	$-0.03 \pm 0.02$	0.02	$0.0 \pm 1.0$	0.01
$\mu + \text{jets}$ (outlier removed)	Inverted triangle	$-0.04 \pm 0.01$	0.42	$-1.4 \pm 1.1$	0.49
$\gamma + \text{jets}$	Diamond	$0.12 \pm 0.05$	0.79	$6.0 \pm 4.7$	0.94
$\mu\mu + \text{jets}$	Asterisk	$-0.04 \pm 0.07$	0.20	$4.9 \pm 4.4$	0.20

**Table 4.12.:** A summary of the results obtained from fits of zeroeth order polynomials (i.e. a constant) to five sets of closure tests performed in the  $2 \leq n_{jet} \leq 3$  bin. The final two columns show the best fit value for the slope obtained when performing a linear fit and the p-value for the linear fit.

1623 tests are either statistically compatible with zero bias (i.e, less than  $2\sigma$  from zero) or at  
1624 the level of 10% or less, with the exception of one closure test discussed below.

1625 Within Table 4.12, there exists one test that does not satisfy the above statement,  
1626 which is the  $2 \leq n_{jet} \leq 3 \rightarrow n_{jet} \geq 4$  test using the  $\mu + \text{jets}$  control sample. The low  
1627 p-value can be largely attributed to an outlier in the  $675 < H_T < 775$  GeV bin, rather  
1628 than any significant trend in  $H_T$ . Removing this single outlier from the constant fit  
1629 performed, gives a best fit value of  $-0.04 \pm 0.01$ ,  $\chi^2/\text{d.o.f} = 6.07/6$ . and a p-value of  
1630 0.42. These modified fit results are included within Table 4.12 .

1631 In addition the best fit values for the slope terms of the linear fits in all three tables  
1632 are of the order  $10^{-4}$ , which corresponds to a percent level change per 100 GeV. However  
1633 in all cases, the best fit values are fully compatible with zero (within  $1\sigma$ ) once again with  
1634 the exception detailed above, indicating that the level of closure is  $H_T$  independent.

<sup>1635</sup> **4.7. Searches For Natural SUSY With B-tag  
Templates.**

<sup>1637</sup> Btag Templates blah blah

# Chapter 5.

## <sup>1638</sup> Results

<sup>1639</sup> Results at 12fb 8TeV

### <sup>1640</sup> 5.1. Statistical Interpretation

<sup>1641</sup> Likelihood stuff

### <sup>1642</sup> 5.2. Interpretation in Simplified Signal Models

<sup>1643</sup> Result interpretation

<sup>1644</sup>

# Appendix A.

## <sup>1645</sup> Miscellaneous

### <sup>1646</sup> A.1. Noise Filters

<sup>1647</sup> For Calo jets the following criteria were applied:

Loose CaloJet Id	
Variable	Definition
$f_{HPD} < 0.98$	Fraction of jet energy contributed from “hottest” <b>HPD</b> , which rejects <b>HCAL</b> noise.
$f_{EM} > 0.01$	Noise from the <b>HCAL</b> is further suppressed by requiring a minimal electromagnetic component to the jet $f_{EM}$ .
$N_{hits}^{90} \geq 2$	Jets that have $> 90\%$ of its energy from a single channel are rejected, to serve as a safety net that catches jets arising from undiagnosed noisy channels.

**Table A.1.:** Criteria for a reconstructed jet to pass the loose calorimeter jet id.

<sup>1648</sup> For PF jets the following criteria were applied:

<sup>1649</sup> The following noise filters are applied, to remove events with spurious, non-physical  
<sup>1650</sup> jets or missing transverse energy.

Loose PF jet Id	
Variable	Definition
Neutral hadron fraction $< 0.99$	-
Neutral EM fraction $< 0.99$	-
Number of constituents $> 1$	-
Charged hadron fraction $> 0$	-
Charged multiplicity $> 0$	-
Charged EM fraction $< 0.99$	-

**Table A.2.:** Criteria for a reconstructed jet to pass the loose PF jet id.

Noise Filters	
Variable	Definition
CSC tight beam halo filter	-
HBHE noise filter with isolated noise rejection	-
HCAL laser filter	-
ECAL dead cell trigger primitive (TP) filter	-
Tracking failure filter	-
Bad EE Supercrystal filter	-
ECAL Laser correction filter	-

**Table A.3.:** Noise filters that are applied to remove spurious and non-physical  $\cancel{E}_T$  signatures within the CMS detector.

## 1651 **A.2. Primary Vertices**

1652 The pileup per event is defined by the number of 'good' reconstructed primary vertices  
 1653 in the event, with each vertex satisfying the following requirements

Good primary vertex requirement	
Variable	Definition
$N_{dof} > 4$	-
$ z_{vtx}  < 24\text{cm}$	Vertex position along the beam direction.
$\rho < 2\text{cm}$	Vertex position perpendicular to the beam direction.

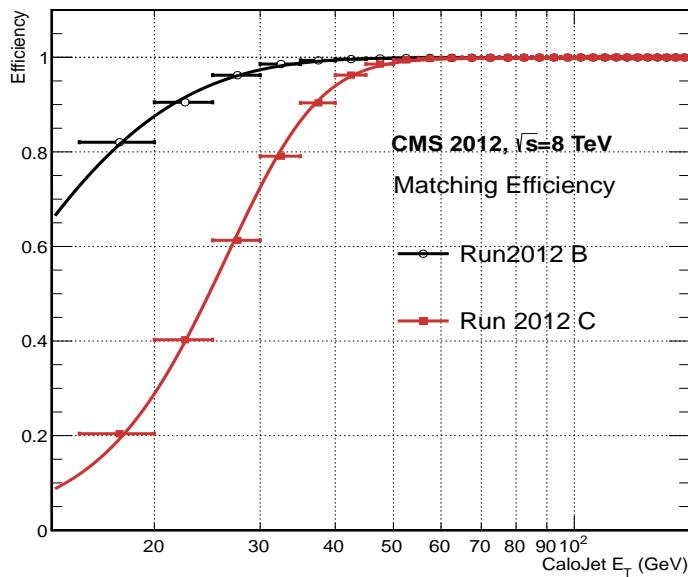
**Table A.4.:** Criteria for a vertex in an event to be classified as a 'good' reconstructed primary vertex.

# Appendix B.

## <sup>1654</sup> L1 Jets

### <sup>1655</sup> B.1. Jet matching efficiencies

<sup>1656</sup> The single jet turn-on curves are derived from events independent of whether the leading  
<sup>1657</sup> jet in an event is matched to a Level 1 jet using  $\Delta R$  matching detailed in Section (3.4.3)  
<sup>1658</sup> or not. These turn-ons are produced from events which are not triggered on jet quantities  
<sup>1659</sup> and therefore it is not guaranteed that the lead jet of an event will be seeded by a Level  
<sup>1660</sup> 1 jet. Figure B.1 shows the particular matching efficiency of a lead jet to a L1 jet.



**Figure B.1.:** Leading jet matching efficiency as a function of the offline CaloJet  $E_T$ , measured in an isolated muon triggered dataset in the 2012B and 2012C run periods.

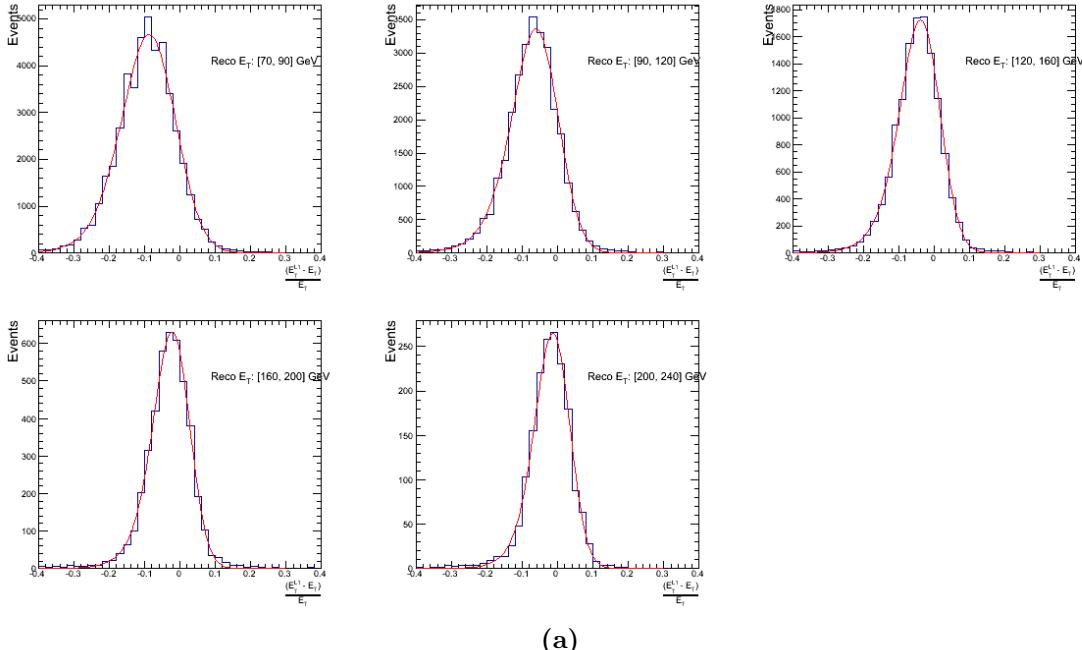
Run Period	$\mu$	$\sigma$
2012B	$6.62 \pm 0.01$	$0.79 \pm 0.03$
2012C	$19.51 \pm 0.03$	$7.14 \pm 0.02$

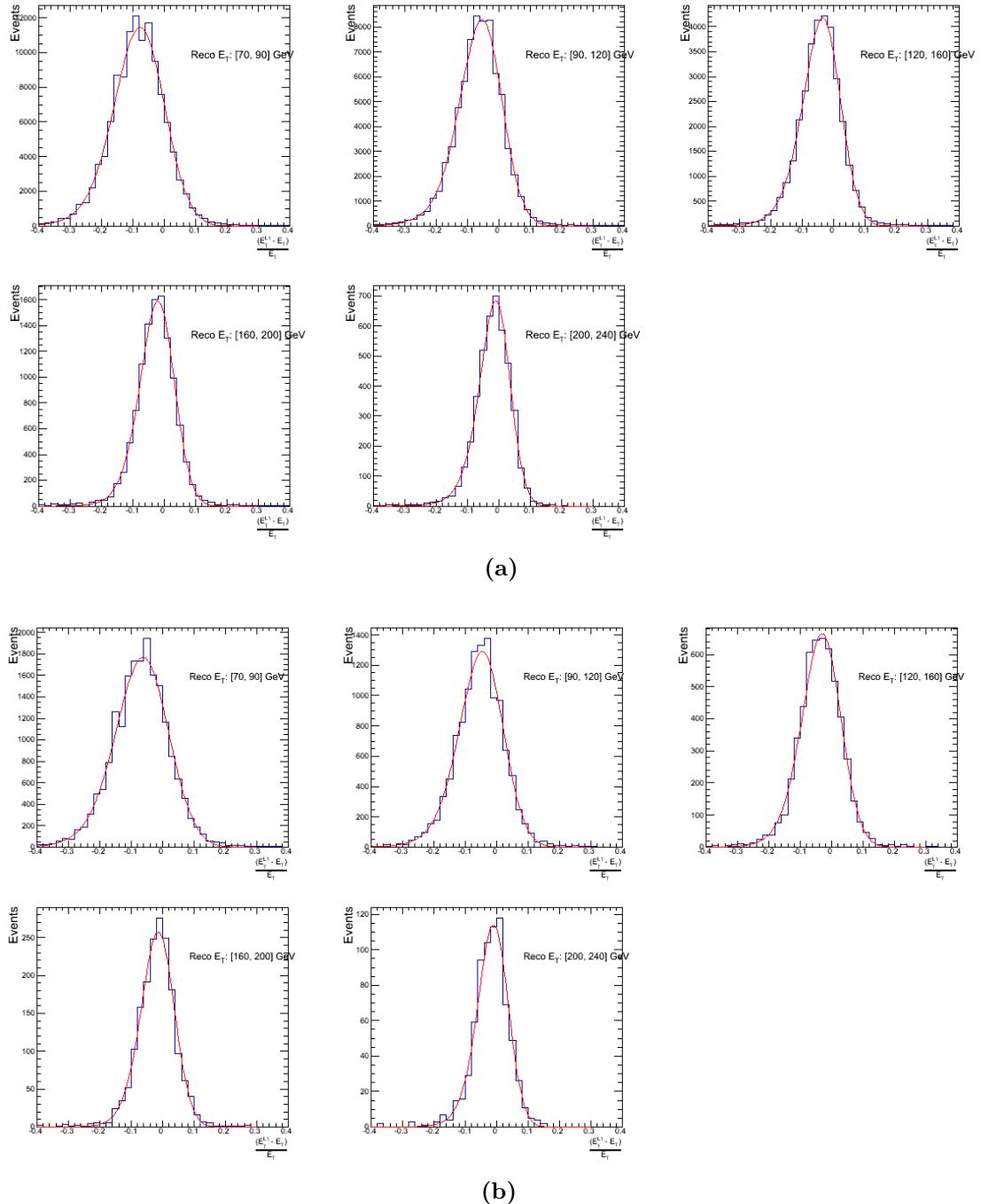
**Table B.1.:** Results of a cumulative EMG function fit to the turn-on curves for the matching efficiency of the leading jet in an event to a Level-1 jet in run 2012C and 2012B data, measured in an isolated muon triggered sample. The turn-on point,  $\mu$ , and resolution,  $\sigma$ , are measured with respect to offline Calo Jet  $E_T$ .

It can be seen that the turn on is sharper during the 2012B run period. The seed threshold requirement of a 5 GeV jet seed in run 2012C results in more events in which even the lead offline jet does not have an associated L1 jet. For larger jet  $E_T$  thresholds, typical of thresholds used in physics analyses, 100% efficiency is observed.

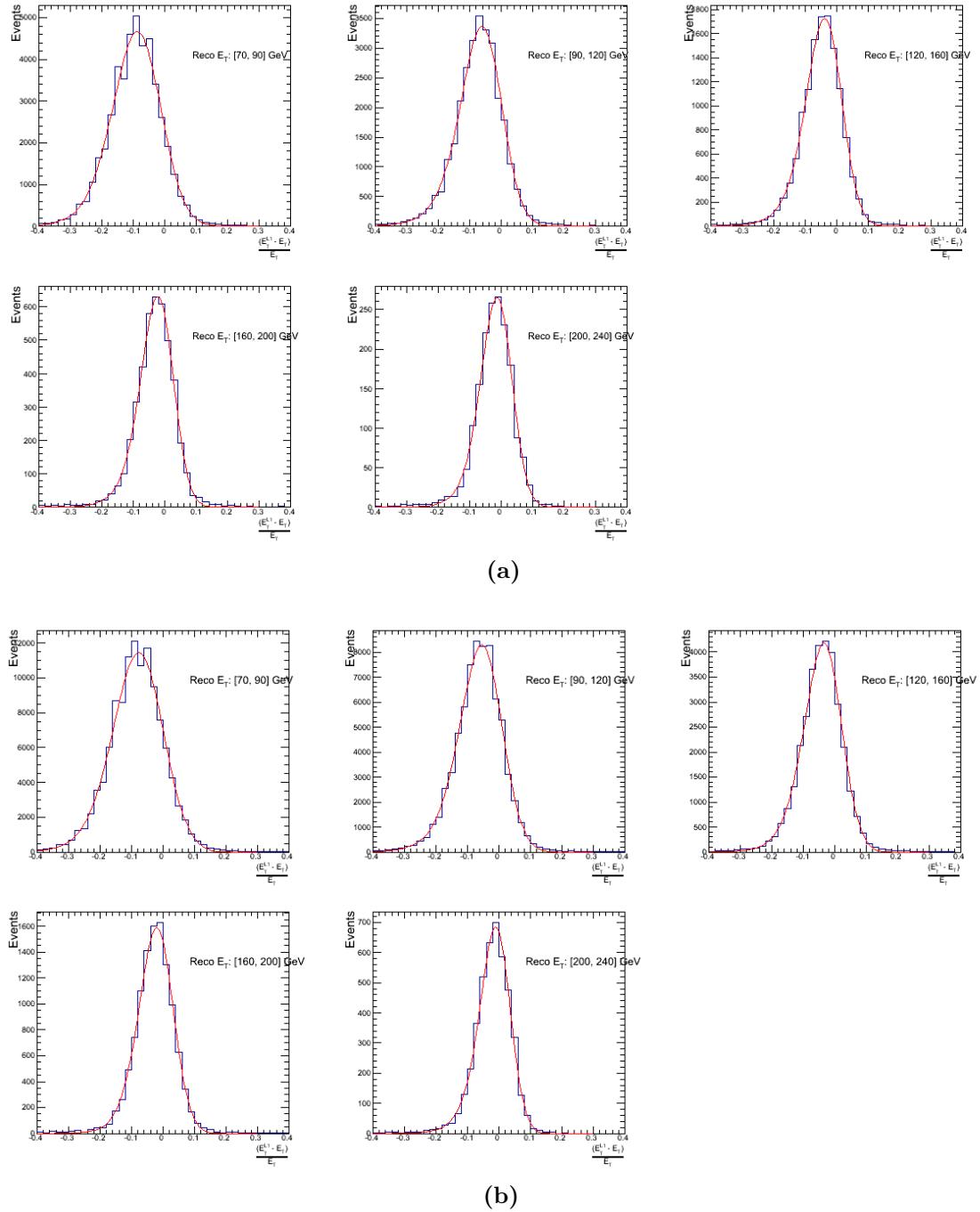
The matching efficiencies have a  $\mu$  values of 6.62 GeV and 19.51 GeV for Run 2012B and 2012C respectively and is shown in Table B.1.

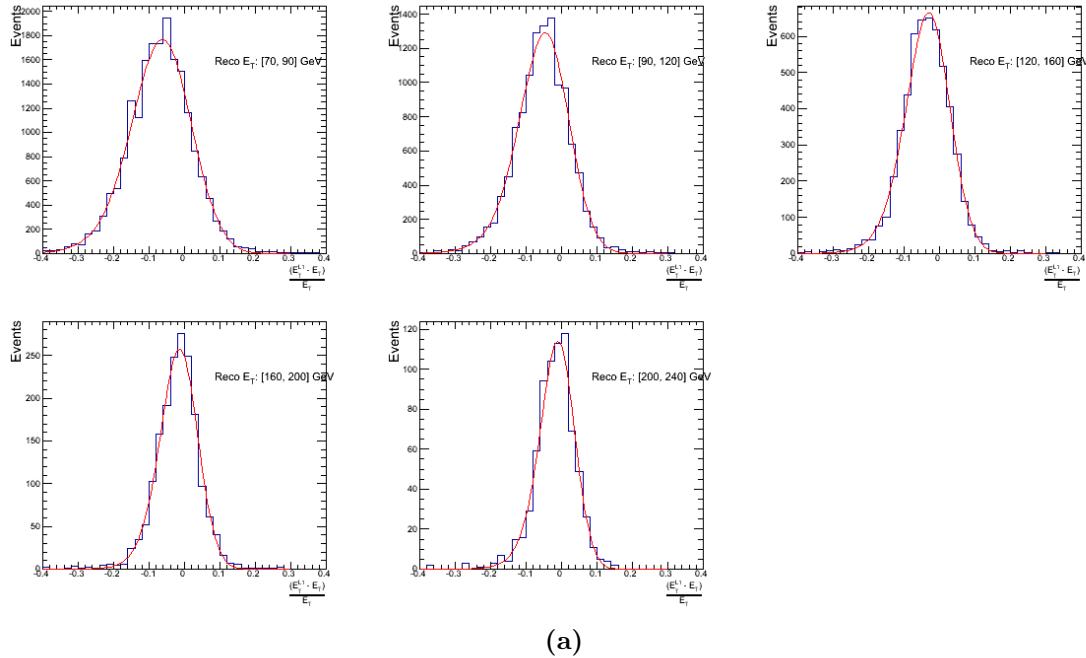
## B.2. Leading Jet Energy Resolution





**Figure B.2.:** Resolution plots of the leading offline jet Calo  $E_T$  measured as a function of  $\frac{(\text{L1 } E_T - \text{Offline } E_T)}{\text{Offline } E_T}$  for low (a), medium (b) and high (c) pile-up conditions.

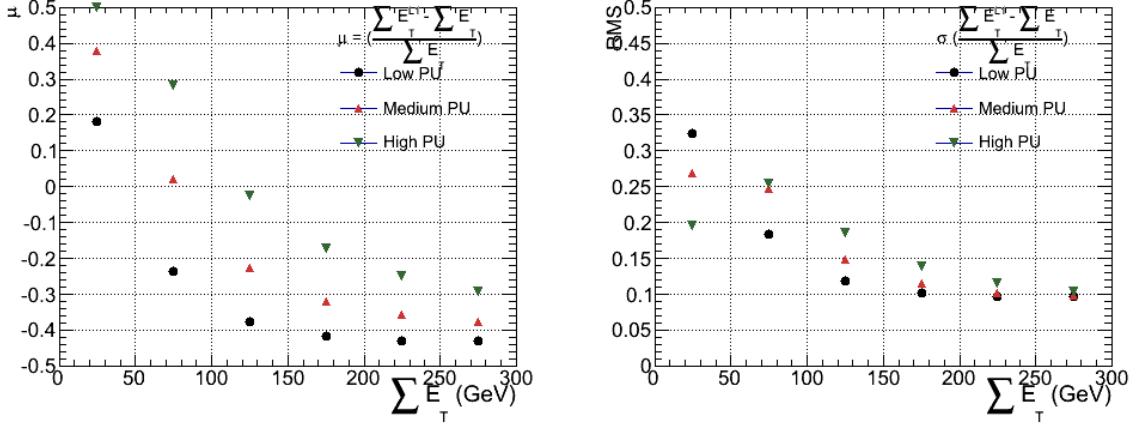




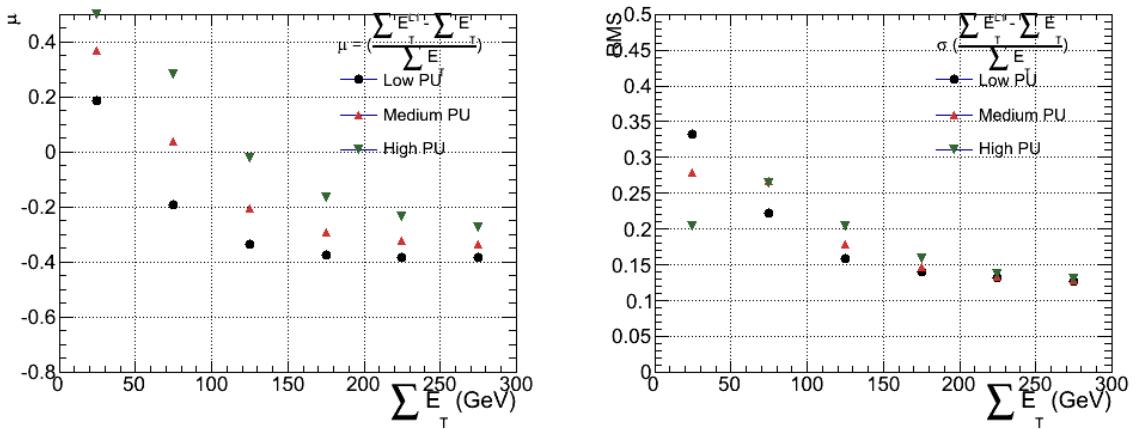
**Figure B.3.:** Resolution plots of the leading offline jet PF  $E_T$  measured as a function of  $\frac{(L1 E_T - \text{Offline } E_T)}{\text{Offline } E_T}$  for low (a), medium (b) and high (c) pile-up conditions.

### 1668 B.3. Resolution for Energy Sum Quantities

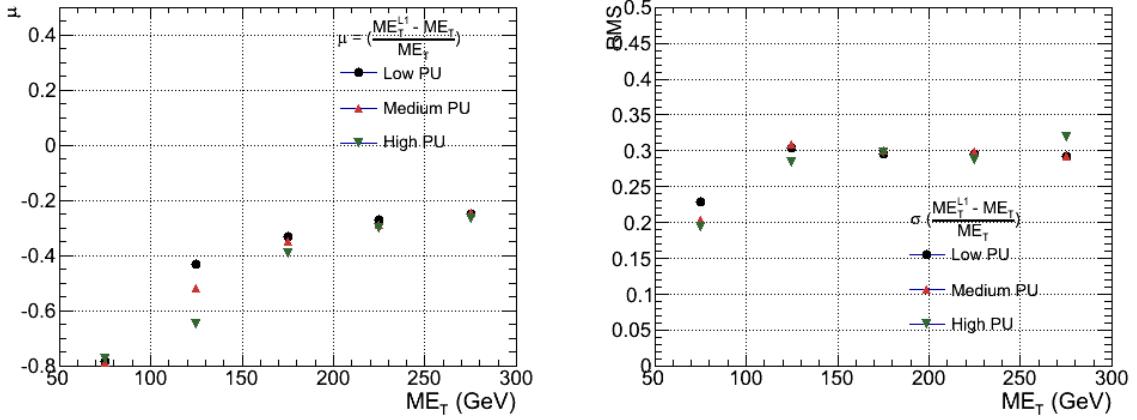
1669 The following plots show the resolution parameters for the four energy sum quantities as  
 1670 a function of the quantity ( $q$ ) itself. In this case, The mean and RMS of the individual  
 1671  $\frac{(L1 q - \text{Offline } q)}{\text{Offline } q}$  distributions, in bins of the quantity  $q$  is displayed.



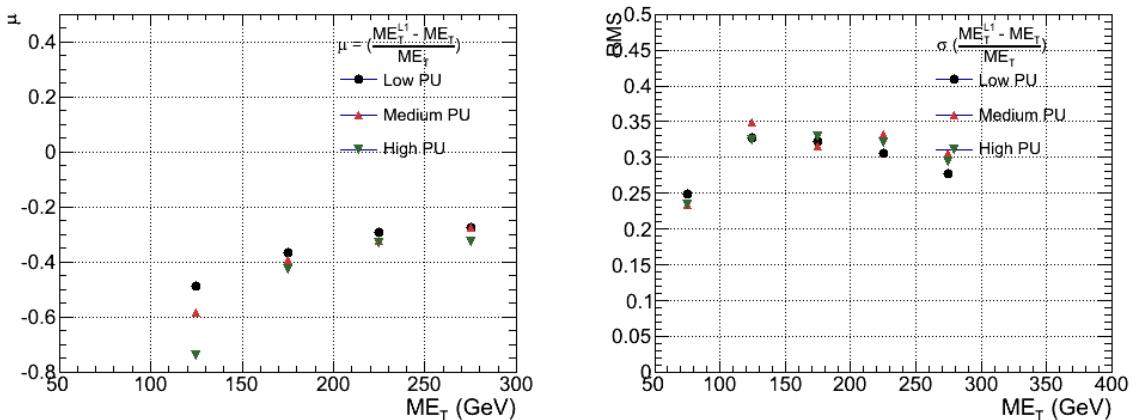
**Figure B.4.:**  $\sum E_T$  resolution parameters in bins of Calo  $\sum E_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.



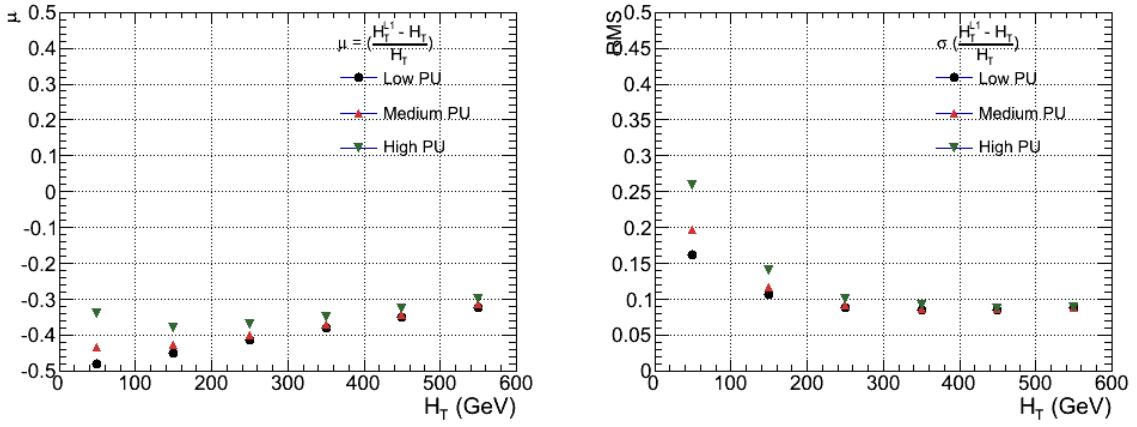
**Figure B.5.:**  $\sum E_T$  resolution parameters in bins of PF  $\sum E_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.



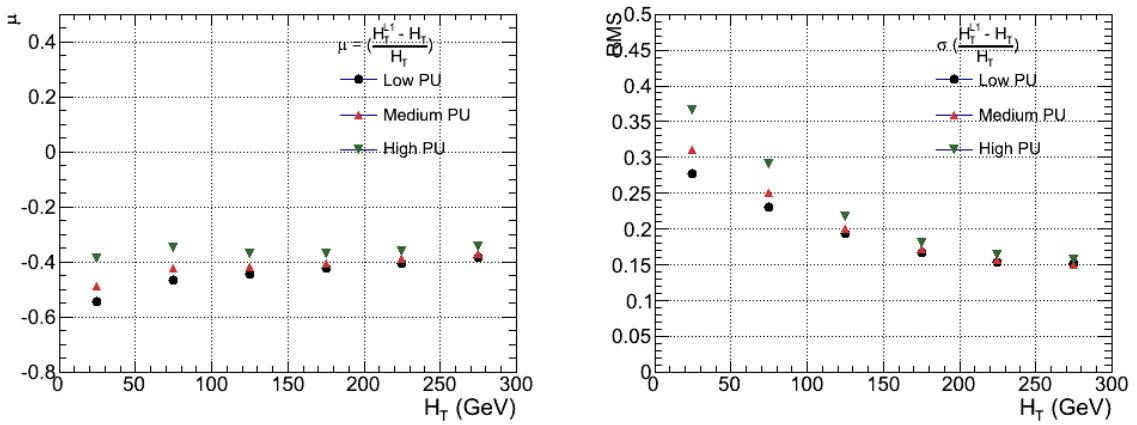
**Figure B.6.:**  $\mathcal{E}_T$  resolution parameters in bins of Calo  $\mathcal{E}_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.



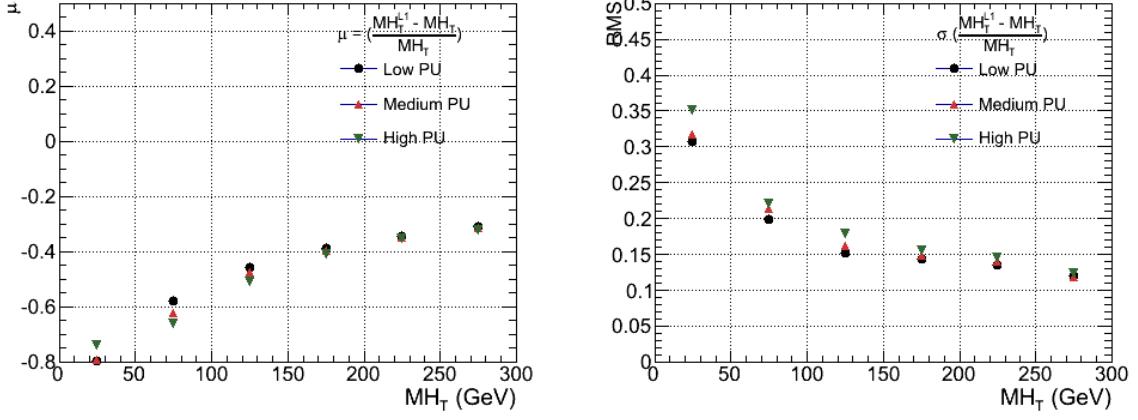
**Figure B.7.:**  $\mathcal{E}_T$  resolution parameters in bins of PF  $\mathcal{E}_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.



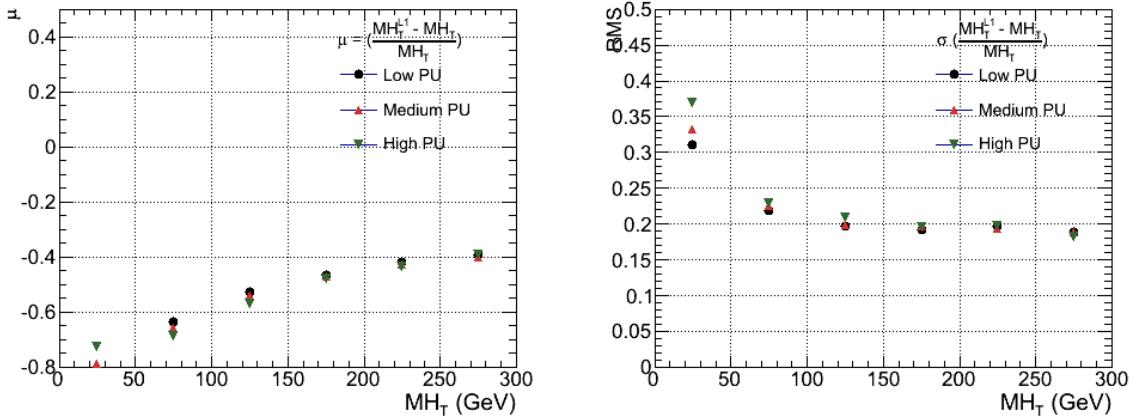
**Figure B.8.:**  $H_T$  resolution parameters in bins of Calo  $H_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.



**Figure B.9.:**  $H_T$  resolution parameters in bins of PF  $H_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.



**Figure B.10.:**  $H_T$  resolution parameters in bins of  $H_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.

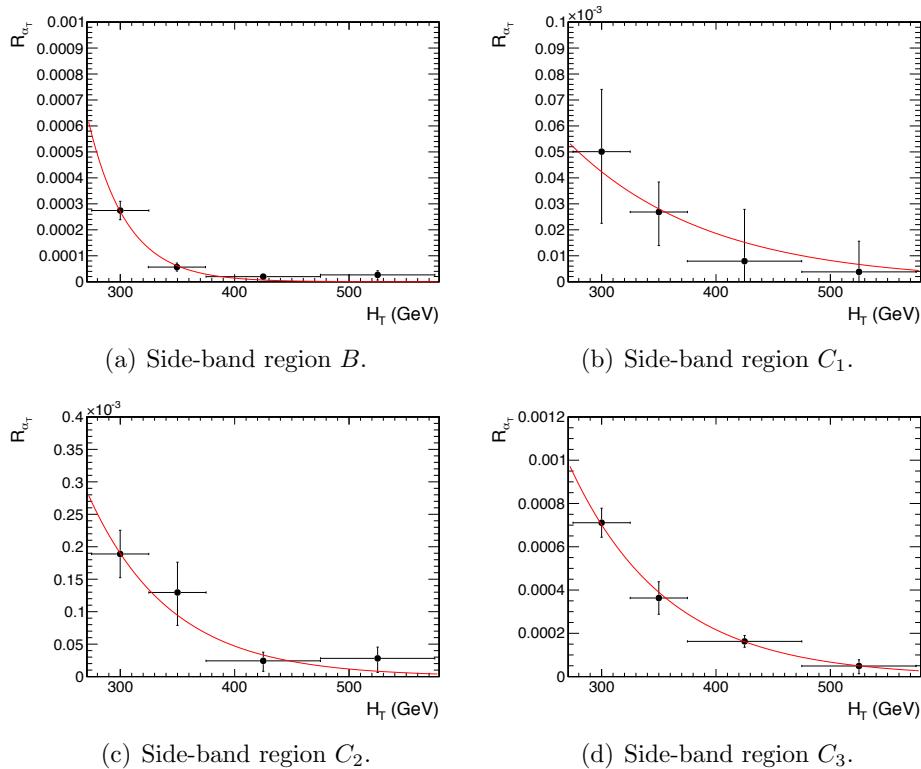


**Figure B.11.:**  $H_T$  resolution parameters in bins of PF  $H_T$  measured for the defined low, medium and high pile up conditions. The plots show the mean  $\mu$  (left), resolution  $\sigma$  (RMS) of the  $\frac{\Delta q}{q}$  distributions.

# Appendix C.

## <sup>1672</sup> Additional material on background estimation methods <sup>1673</sup>

### <sup>1674</sup> C.1. Determination of $k_{QCD}$



**Figure C.1.:**  $R_{\alpha_T}(H_T)$  and exponential fits for each of the data sideband regions. Fit is conducted between the  $H_T$  region  $275 < H_T < 575$ .



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