### DM865 – Spring 2020 Heuristics and Approximation Algorithms

### Complexity

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## Outline

1. Complexity Hierarchy

Outline

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#### Reduction

A search problem  $\Pi'$  is (polynomially) reducible to a search problem  $\Pi$  ( $\Pi' \longrightarrow \Pi$ ) if there exists an algorithm  $\mathcal A$  that solves  $\Pi'$  by using a hypothetical subroutine  $\mathcal S$  for  $\Pi$  and except for  $\mathcal S$  everything runs in polynomial time. [Garey and Johnson, 1979]

#### NP-hard

A search problem  $\Pi$  is NP-hard if

- 1. it is in NP
- 2. there exists some NP-complete problem  $\Pi'$  that reduces to  $\Pi$

In scheduling, complexity hierarchies describe relationships between different problems.

Ex: 
$$1||\sum C_j \longrightarrow 1||\sum w_j C_j$$

Interest in characterizing the borderline: polynomial vs NP-hard problems

# **Problems Involving Numbers**

#### **Partition**

- **Input:** finite set A and a size  $s(a) \in \mathbf{Z}^+$  for each  $a \in A$
- Question: is there a subset  $A' \subseteq A$  such that

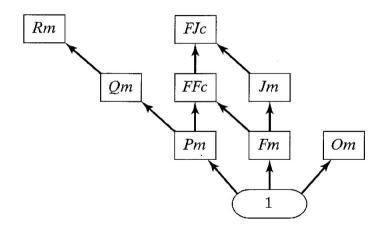
$$\sum_{a\in A'} s(a) = \sum_{a\in A-A'} s(a)?$$

#### 3-Partition

- Input: set A of 3m elements, a bound  $B \in \mathbf{Z}^+$ , and a size  $s(a) \in \mathbf{Z}^+$  for each  $a \in A$  such that B/4 < s(a) < B/2 and such that  $\sum_{a \in A} s(a) = mB$
- Question: can A be partitioned into m disjoint sets  $A_1, \ldots, A_m$  such that for  $1 \le i \le m$ ,  $\sum_{a \in A_i} s(a) = B$  (note that each  $A_i$  must therefore contain exactly three elements from A)?

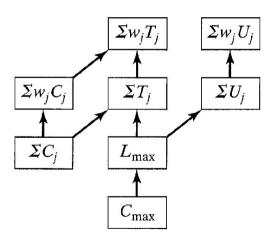
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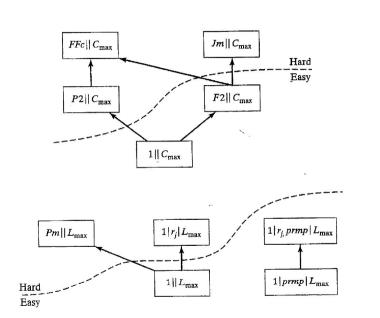
Elementary reductions for machine environment



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Elementary reductions for regular objective functions





## Polynomial time solvable problems

SINGLE MACHINE	PARALLEL MACHINES	SHOPS
$\begin{bmatrix} 1 \mid r_j, p_j = 1, prec \mid \sum C_j \\ 1 \mid r_i, prmp \mid \sum C_i \end{bmatrix}$	$ P2 \mid p_j = 1, prec \mid L_{\text{max}} $ $ P2 \mid p_j = 1, prec \mid \sum_{i} C_i $	$O2 \mid\mid C_{\max}$
$1 \mid tree \mid \sum w_j C_j$	113 /1 /2 3	$Om \mid r_j, prmp \mid L_{\max}$
$1 \mid prec \mid L_{max}$	$Pm \mid p_j = 1, tree \mid C_{max}$ $Pm \mid prmp, tree \mid C_{max}$	$F2 \mid block \mid C_{max}$
$1 \mid r_j, prmp, prec \mid L_{\max}$	$ Pm \mid p_j = 1, outtree \mid \sum C_j  Pm \mid p_j = 1, intree \mid L_{max} $	$F2 \mid nwt \mid C_{\max}$
$1 \mid\mid \sum U_j$	$Pm \mid prmp, intree \mid L_{\max}$	$Fm \mid p_{ij} = p_j \mid \sum C_j$
$ \begin{vmatrix} 1 \mid r_j, prmp \mid \sum U_j \\ 1 \mid r_j, p_j = 1 \mid \sum w_j U_j \end{vmatrix} $	$Q2 \mid prmp, prec \mid C_{max}$	
$\begin{vmatrix} 1 \mid r_i, p_i = 1 \mid \sum w_i T_i \end{vmatrix}$	$Q2 \mid r_j, prmp, prec \mid L_{\text{max}}$	$J2 \mid\mid C_{\max}$
$\begin{bmatrix} 1 \mid r_j, p_j = 1 \mid \angle w_j r_j \end{bmatrix}$	$Qm \mid r_j, p_j = 1 \mid C_{\text{max}}$	J2    Cmax
	$ \begin{aligned} Qm \mid p_j &= 1, M_j \mid C_{\text{max}} \\ Qm \mid r_j, p_j &= 1 \mid \sum C_j \end{aligned} $	
	$Qm \mid prmp \mid \sum C_j$	
	$ \begin{aligned} Qm \mid p_j &= 1 \mid \sum w_j C_j \\ Qm \mid p_j &= 1 \mid L_{\text{max}} \end{aligned} $	
	$ \begin{vmatrix} Qm \mid p_j = 1 \mid \sum w_j T_j \\ Qm \mid p_j = 1 \mid \sum w_j T_j $	
	$Rm \mid\mid \sum C_i$	
	$Rm \mid r_j, prmp \mid L_{\max}$	

## NP-hard problems in the ordinary sense

SINGLE MACHINE	PARALLEL MACHINES	SHOPS
SINGLE MACHINE	TARABLED MACHINES	51101 5
$ \begin{vmatrix} 1 &    \sum w_j U_j & (*) \\ 1 &    r_j, prmp &    \sum w_j U_j & (*) \\ 1 &    \sum T_j & (*) \end{vmatrix} $	$P2 \mid\mid C_{\text{max}}  (*)$ $P2 \mid\mid r_j, prmp \mid\mid \sum C_j$ $P2 \mid\mid \sum w_j C_j  (*)$ $P2 \mid\mid r_j, prmp \mid\mid \sum U_j$	$ \begin{array}{c c} O2 \mid prmp \mid \sum C_j \\ \\ O3 \mid \mid C_{\max} \\ \\ O3 \mid prmp \mid \sum w_j U_j \end{array} $
	$Pm \mid prmp \mid \sum w_j C_j$	
	$Qm \mid\mid \sum w_j C_j$ (*)	
	$ \begin{array}{c c} Rm \mid r_j \mid C_{\max} & (*) \\ Rm \mid \mid \sum w_j U_j & (*) \\ Rm \mid prmp \mid \sum w_j U_j \end{array} $	

## Strongly NP-hard problems

SINGLE MACHINE	PARALLEL MACHINES	SHOPS
$\begin{aligned} &1 \mid r_{j} \mid \sum C_{j} \\ &1 \mid prec \mid \sum C_{j} \\ &1 \mid prec \mid \sum C_{j} \\ &1 \mid r_{j}, prmp, tree \mid \sum C_{j} \\ &1 \mid r_{j}, prmp \mid \sum w_{j}C_{j} \\ &1 \mid r_{j}, p_{j} = 1, tree \mid \sum w_{j}C_{j} \\ &1 \mid p_{j} = 1, prec \mid \sum w_{j}C_{j} \\ &1 \mid r_{j} \mid L_{\max} \\ &1 \mid r_{j} \mid \sum U_{j} \\ &1 \mid p_{j} = 1, chains \mid \sum U_{j} \\ &1 \mid p_{j} = 1, chains \mid \sum T_{j} \\ &1 \mid p_{j} = 1, chains \mid \sum T_{j} \\ &1 \mid \sum w_{j}T_{j} \end{aligned}$	$P2 \mid chains \mid C_{\max}$ $P2 \mid chains \mid \sum C_j$ $P2 \mid prmp, chains \mid \sum C_j$ $P2 \mid p_j = 1, tree \mid \sum w_j C_j$ $R2 \mid prmp, chains \mid C_{\max}$	$F2 \mid r_j \mid C_{\text{max}}$ $F2 \mid r_j, prmp \mid C_{\text{max}}$ $F2 \mid \sum C_j$ $F2 \mid prmp \mid \sum C_j$ $F2 \mid prmp \mid L_{\text{max}}$ $F3 \mid C_{\text{max}}$ $F3 \mid prmp \mid C_{\text{max}}$ $F3 \mid prmp \mid C_{\text{max}}$ $F3 \mid prmp \mid C_{\text{max}}$ $G2 \mid \sum C_j$ $G2 \mid \sum C_j$ $G2 \mid prmp \mid \sum w_j C_j$ $G2 \mid L_{\text{max}}$ $G3 \mid prmp \mid \sum C_j$

## Web Archive

Complexity results for scheduling problems by Peter Brucker and Sigrid Knust http://www.informatik.uni-osnabrueck.de/knust/class/