

Section 5.5 : Choosing the better of two solutions

Combining the alg.s of Sections 5.1 and 5.4 gives a better approx. factor than using any one of them separately. This is because they have different worst-case inputs:

Rand satisfies clause C_j with prob. $p_R = 1 - (\frac{1}{2})^{l_j}$.

RoundRounding satisfies C_j with prob. $p_{RR} \geq (1 - (1 - \frac{1}{l_j})^{l_j}) z_j^*$.

While p_R increases with l_j , the lower bound on p_{RR} decreases with l_j .

BestOfTwo(ϕ)

$\vec{x}_R \leftarrow \text{Rand}(\phi)$

$\vec{x}_{RR} \leftarrow \text{RoundRounding}(\phi)$

If $w(\phi, \vec{x}_R) \geq w(\phi, \vec{x}_{RR})$

Return \vec{x}_R

Else

Return \vec{x}_{RR}

Note that

BestOfTwo is **dvandomized** by using the dvandomized versions of Rand and RoundRounding.

Theorem 5.11: BestOfTwo is a $\frac{3}{4}$ -approx. alg.

Proof:

$$\begin{aligned} E[\text{BestOfTwo}(\phi)] &= E[\max\{\text{Rand}(\phi), \text{RandRounding}(\phi)\}] \\ &\geq E\left[\frac{1}{2} \text{Rand}(\phi) + \frac{1}{2} \text{RandRounding}(\phi)\right] \\ &= \frac{1}{2} E[\text{Rand}(\phi)] + \frac{1}{2} E[\text{RandRounding}(\phi)], \text{ by lin. of exp.} \\ &\geq \frac{1}{2} \sum_{j=1}^m (1 - 2^{-l_j}) w_j + \frac{1}{2} \sum_{j=1}^m \left(1 - \left(1 - \frac{1}{l_j}\right)^{l_j}\right) z_j^* w_j \\ &\geq \sum_{j=1}^m z_j^* w_j \cdot \underbrace{\frac{1}{2} \left(1 - 2^{-l_j} + 1 - \left(1 - \frac{1}{l_j}\right)^{l_j}\right)}_{= p_j}, \text{ since } z_j^* \leq 1. \end{aligned}$$

$$\text{For } l_j=1, \quad p_j = \frac{1}{2} \left(1 - \frac{1}{2} + 1 - 0\right) = \frac{3}{4}$$

$$\text{For } l_j=2, \quad p_j = \frac{1}{2} \left(1 - \frac{1}{4} + 1 - \left(1 - \frac{1}{2}\right)^2\right) = \frac{3}{4}$$

$$\text{For } l_j \geq 3, \quad p_j \geq \frac{1}{2} \left(1 - \frac{1}{8} + 1 - \frac{1}{e}\right) > \frac{3}{4}$$

Hence,

$$E[\text{BestOfTwo}] \geq \sum_{j=1}^m z_j^* w_j \cdot \frac{3}{4} \geq \frac{3}{4} \cdot \text{OPT}$$

□

Section 5.6: Nonlinear randomized rounding

RandRounding_f(Φ)

$(\vec{y}^*, \vec{z}^*) \leftarrow \text{opt. sol. to } LP_\Phi$

For $i \leftarrow 1$ to n

Set x_i true with prob. $f(y_i^*)$

Theorem 5.12

RandRounding_f is a $3/4$ -approx. alg., if $1 - 4^{-x} \leq f(x) \leq 4^{x-1}$

Proof:

Prob. that C_j is not satisfied:

$$\begin{aligned}\bar{p}_j &= \prod_{i \in P_j} (1 - f(y_i^*)) \prod_{i \in N_j} f(y_i^*) \\ &\leq \prod_{i \in P_j} 4^{-y_i^*} \prod_{i \in N_j} 4^{y_i^*-1} \\ &= 4^{-\left(\sum_{i \in P_j} y_i^* + \sum_{i \in N_j} 1 - y_i^*\right)} \\ &\leq 4^{-z_j^*}\end{aligned}$$

Prob. that C_j is satisfied:

$$\begin{aligned}p_j = 1 - \bar{p}_j &\geq 1 - 4^{-z_j^*} \\ &\geq 0 + \left(\frac{3}{4} - 0\right) z_j^*, \text{ by Fact 5.9} \\ &= \frac{3}{4} z_j^*\end{aligned}$$

□

Ex: $\Phi \equiv (x_1 \vee x_2) \wedge (x_1 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2)$
 $w_1 = w_2 = w_3 = w_4 = 1$

$$\text{OPT} = 3$$

$$y_1 = y_2 = \frac{1}{2} \Rightarrow Z = 4$$

Hence, the **integrality gap** for the IP problem for MaxSat is

$$\min_{\psi} \left\{ \frac{Z_{IP_{\psi}}^*}{Z_{LP_{\psi}}^*} \right\} \leq \frac{Z_{IP_{\Phi}}^*}{Z_{LP_{\Phi}}^*} = \frac{3}{4}$$

On the other hand, the proof that Rand_f is a $\frac{3}{4}$ -approx. alg. shows that **for any instance ψ** of MaxSat, $\text{Rand}_f(\psi) \geq \frac{3}{4} Z_{LP_{\psi}}^*$. Hence,

$$\frac{Z_{IP_{\psi}}^*}{Z_{LP_{\psi}}^*} \geq \frac{\text{Rand}_f(\psi)}{Z_{LP_{\psi}}^*} \geq \frac{3}{4}$$

Hence, the **integrality gap** is exactly $\frac{3}{4}$.

The upper bound of $\frac{3}{4}$ on the integrality gap shows that we cannot prove an approx. factor better than $\frac{3}{4}$, if the approximation guarantee is based on a comparison to Z_{LP}^* :

$$\min_{\psi} \left\{ \frac{\text{ALG}(\psi)}{Z_{LP_{\psi}}^*} \right\} \leq \min \left\{ \frac{\text{OPT}(\psi)}{Z_{LP_{\psi}}^*} \right\} \leq \frac{3}{4}$$