# Quadrotors & Accelerometers

# State Estimation with an Improved Dynamic Model

Robert C. Leishman, John Macdonald, Randal W. Beard, Timothy W. McLain

Quadrotors are ideal platforms for autonomous flight in unknown and complex environments. Their small size and maneuverability are conducive to operating in confined spaces and avoiding obstacles. Equipped with appropriate sensors and algorithms, quadrotors could enable several applications currently infeasible for ground robots.

However, a quadrotor's small size and maneuverability also create problems when attempting to make them autonomous. The same fast dynamics that make quadrotors maneuverable require accurate and frequently updated position, orientation, and velocity state estimates to enable autonomous control. Additionally, the payload available on a quadrotor limits the available sensors and processing capability. These problems are especially relevant in unknown and complex 3D environments that demand more processing-intensive algorithms and information-rich sensor data.

Accurate and timely estimates of attitude and velocity are key ingredients to enable an autonomous quadrotor. In this article, results are presented that quantify how velocity and attitude estimates can benefit from an improvement to the traditional quadrotor dynamic model. The improved model allows accelerometer measurements, which are routinely available at high

rates, to reduce an estimator's dependence on complex exteroceptive measurements, such as those obtained by an onboard camera or laser rangefinder.

A few research groups have made noteworthy progress toward deploying fully autonomous quadrotors. One of the major challenges is estimating the position and velocity [1]. To address this problem, a sophisticated laser scan-matching algorithm was developed. This algorithm is characterized as the key technology that allows the vehicle to fly autonomously.

In [2] a quadrotor system is presented that also uses a scanning laser rangefinder. The fast vehicle dynamics require pose (that is, position and orientation) estimates with update rates of at least 20 Hz. Several of the design decisions made in the development of this system were driven by the need to meet this requirement despite the system's computational limitations.

An autonomous quadrotor that utilizes the increased information from cameras, at the expense of increased computation, is detailed in [3]. It is emphasized that estimated velocity is critical to damp the system. The estimator relies on a simple constant-velocity motion model that increases the minimum required update rate from the vision processing system.

The widely-used traditional quadrotor model (for example [4]–[11]) assumes that the significant forces acting on the vehicle are gravity and the thrust produced by the rotors (see Figure 1). This assumption leads to a dynamic model for the quadrotor's linear acceleration

$$\begin{bmatrix} \dot{u} \\ \dot{v} \\ \dot{w} \end{bmatrix} = \mathbf{R}_{I}^{b} \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} vr - wq \\ wp - ur \\ uq - vp \end{bmatrix} - \begin{bmatrix} 0 \\ 0 \\ \frac{T}{m} \end{bmatrix}, \tag{1}$$

where p, q, and r are the roll, pitch, and yaw rates of the vehicle, respectively. The components of acceleration along the body-fixed  $\vec{i}_b$ ,  $\vec{j}_b$ , and  $\vec{k}_b$  axes, as shown in Figure 1, are  $\dot{u}$ ,  $\dot{v}$ , and  $\dot{w}$ .

 $\mathbf{R}_{I}^{b}$  is the rotation matrix from the inertial to the body-fixed reference frame. The constants g and m represent the gravitational acceleration and the quadrotor's mass. Coriolis forces comprise the second matrix term on the right-hand side of (1). The combined thrust of the rotors, T, acts as an input to the system.

This model is acceptable for some applications, such as designing a controller, because it captures the external forces with the most significant magnitudes. However, it leads to an interesting paradox [12]. The model implies accelerometers aligned with the  $\vec{i}_b$  and  $\vec{j}_b$  axes will always measure zero. Yet successful quadrotor implementations using this model use these accelerometer measurements to improve estimates of the quadrotor's orientation. An improved quadrotor model should explain how to more appropriately use the data from the accelerometers aligned with the  $\vec{i}_b$  and  $\vec{j}_b$  axes.

Many articles acknowledge that some drag force must act on the vehicle's body, but this is reasonably dismissed as being small since it is proportional to the square of the vehicle's linear velocity. Other approaches include a drag force that is directly proportional to the quadrotor's linear velocity [13]–[16]. However, the emphasis on control algorithms precludes any discussion of the physics that generate the drag or its effect on accelerometers or the state estimation process. A drag force proportional to linear velocity is included in the estimation approach of [1], based on the observation that something must prevent the quadrotor from accelerating indefinitely. No physical explanation is offered for the effect and instead a motion-capture system is used to estimate the proportionality constant.

Both [12] and [17] identify a term called *rotor drag* as the force acting in the body-fixed  $\vec{i}_b$  and  $\vec{j}_b$  axes. [12] derives a dynamic model of the quadrotor from fundamental concepts of

blade-element theory, and the rotor drag coefficient for this model is identified from accelerometer measurements. A linearized observer and controller for use with the drag-force-enhanced model is presented, and is implemented on an autopilot. The implications of the drag-force model on the control are also explained. The article concludes with a qualitative assessment of how the new model improves the control. However, in [12], the benefits that modeling the rotor drag might bring to state estimation are not examined. Estimated states are not compared to measured values or to results from other standard approaches. Additionally, no information is given on how the drag parameters might be found.

This article builds upon the model derived in [12] and shows specifically how the use of accelerometer measurements in quadrotor flight lead to improved estimation performance. Hardware and truth data confirm that the accelerometers directly measure the translational velocity, allowing more accurate estimates of the attitude and velocity of the vehicle than can be achieved with traditional methods. The "Accelerometer Tutorial" sidebar explains why accelerometers in quadrotor flight measure the rotor drag. In several publications, for example, [11], [18], and [19], a subtle mistake is made when relating gravity to the accelerometer measurements on a quadrotor. Here this issue is clarified and the agreement between the improved accelerometer model and actual measurements is shown.

This new, drag-force-enhanced model is easy to use. The drag force constant can be estimated as a state in a filter driven only by inertial measurement unit (IMU) measurements, thus removing the need for experimental tuning, as in [12], or an expensive motion-capture system, as in [1]. Several filters designed to work solely with IMU measurements are presented. To quantify the benefit of the enhanced model in state estimation, the estimates obtained are

compared to measurements from a motion-capture system, as well as to estimates from more traditional approaches. In the results, a twofold-to-threefold improvement in average attitude error is shown, compared to standard approaches. Finally, hardware experiments demonstrate that these performance gains can be maintained far from the near-hover assumption used by [12] in their derivation.

## **Drag-force-enhanced Quadrotor Model**

This section presents a version of the drag-force-enhanced model originally derived in [12], where here the derivation begins with employing the assumption of a rigid propeller. The quadrotor is modeled with the nonlinear equations

$$\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, \mathbf{u}) + \xi,\tag{2}$$

$$y_i = h_i(\mathbf{x}, \mathbf{u}) + \eta, \qquad i = 1, ..., p \tag{3}$$

where  $h_i$  is the  $i^{th}$  measurement function,  $\xi$  is a zero-mean Gaussian noise term representing unmodeled dynamics with covariance Q,  $\eta$  is the zero-mean Gaussian noise from the sensor with covariance R, and the vector  $\mathbf{u}$  represents the inputs that drive the evolution of the estimated states. The inputs are

$$\mathbf{u} = \begin{bmatrix} p & q & r \end{bmatrix}^T, \tag{4}$$

which are the rotation rates about the  $\vec{i}_b$ ,  $\vec{j}_b$ , and  $\vec{k}_b$  axes respectively and correspond to the outputs of the onboard gyroscopes after calibration.

With reference to Figure 1, the states to be estimated are

$$\mathbf{x} = \begin{bmatrix} \phi & \theta & \psi & u & v & w \end{bmatrix}^{\mathsf{T}},\tag{5}$$

where  $\phi$ ,  $\theta$ , and  $\psi$  are the 3-2-1 Euler angles that relate the orientation of the body-fixed frame to the inertial frame, and u, v, and w represent the components of linear velocity in the  $\vec{i}_b$ ,  $\vec{j}_b$ , and  $\vec{k}_b$  axes, respectively.

The drag-force-enhanced model is obtained from (1) by adding a drag force, which is proportional to the body-fixed-frame velocity, to the  $\vec{i}_b$  and  $\vec{j}_b$  body-fixed components

$$\begin{bmatrix} \dot{u} \\ \dot{v} \\ \dot{w} \end{bmatrix} = \mathbf{R}_{I}^{b} \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} vr - wq \\ wp - ur \\ uq - vp \end{bmatrix} - \begin{bmatrix} 0 \\ 0 \\ \frac{T}{m} \end{bmatrix} - \begin{bmatrix} \frac{\mu}{m}u \\ \frac{\mu}{m}v \\ 0 \end{bmatrix}, \tag{6}$$

where  $\mu$  is a positive constant. The last term in (6) represents what is known as the "H-force", or "rotor drag" in the helicopter literature [20], which is a combination of the profile and induced drag forces on the rotors. In [12], the drag coefficient is modeled as

$$\mu = \lambda_1 \sum_{i=1}^{N} \omega_i,\tag{7}$$

where  $\lambda_1$  is a positive constant and  $\omega_i$  is the motor speed of the *i*-th of N motors. However, nominal flight conditions for a quadrotor should dictate that the sum of the motor speeds be nearly constant, resulting the constant, lumped parameter  $\mu$  in (6). Additional information on using a lumped drag model approach for the rotor drag can be found in [21]. Through extensive flight tests, it has been observed that this lumped parameter model holds during nominal flight conditions of a quadrotor. This is especially true for autonomous flight using onboard sensors and estimation, where strong accelerations in any direction would degrade camera or laser measurements and make collisions with the environment much more likely.

Using (6), the components of (2) can now be expressed as

$$\begin{bmatrix} \dot{\phi} \\ \dot{\theta} \\ \dot{\psi} \end{bmatrix} = \begin{bmatrix} 1 & \sin \phi \tan \theta & \cos \phi \tan \theta \\ 0 & \cos \phi & -\sin \phi \\ 0 & \frac{\sin \phi}{\cos \theta} & \frac{\cos \phi}{\cos \theta} \end{bmatrix} \begin{bmatrix} p \\ q \\ r \end{bmatrix}, \tag{8}$$

$$\begin{bmatrix} \dot{u} \\ \dot{v} \\ \dot{w} \end{bmatrix} = \begin{bmatrix} -g\sin\theta + (vr - wq) - \frac{\mu}{m}u \\ g\sin\phi\cos\theta + (wp - ur) - \frac{\mu}{m}v \\ g\cos\phi\cos\theta + (uq - vp) - \frac{T}{m} \end{bmatrix}.$$
 (9)

The terms in the first two rows of are due to gravity, Coriolis accelerations, and the drag force. The "Accelerometer Tutorial" sidebar shows why gravity is not measured by the accelerometers. In addition, we assume that the nonlinear Coriolis terms are small and can be neglected. Therefore, the  $\vec{i}_b$  and  $\vec{j}_b$  accelerometer outputs  $a_{mi}$  and  $a_{mj}$  can be modeled as directly measuring the respective components of the drag force

$$h_1 \stackrel{\triangle}{=} a_{mi} = \dot{u} + g\sin(\theta) \approx -\frac{\mu}{m}u,$$
 (10)

$$h_2 \stackrel{\triangle}{=} a_{mj} = \dot{v} - g\sin(\phi)\cos(\theta) \approx -\frac{\mu}{m}v.$$
 (11)

Equations (10) and (11) are the two measurement functions included in (3). They assume that the accelerometer is located at or near the center of mass.

Figure 2 shows the agreement between the actual accelerometer measurements and those predicted by equations (10) and (11). Figure 2 was generated using recorded time-stamped accelerometer and pose data during a manually-controlled flight. Accelerometer measurements came from the onboard sensors and the pose measurements from a motion-capture system. A filtered numerical derivative of the position measurements, expressed in the body-fixed frame of the quadrotor, was used for the u and v velocities in (10) and (11). The value for  $\mu$  was

determined using a least-squares fit of the data from several test flights. The error between the predicted and actual accelerometer measurements is modeled well as zero-mean and Gaussian.

#### Why Traditional Attitude Estimates Provide Some Benefit

An alternative to the drag-force enhanced model [12] is to treat estimates as the outputs of a low-pass filter [22]. The system dynamics act as a low-pass filter between changes in attitude and the accelerometer measurements. Using (10) and (11) in (9) and ignoring the Coriolis forces, the time evolution of u and v from (9) can be written as

$$\begin{bmatrix} \dot{u} \\ \dot{v} \end{bmatrix} = \begin{bmatrix} -g\sin\theta - \frac{\mu}{m}u \\ g\sin\phi\cos\theta - \frac{\mu}{m}v \end{bmatrix}.$$
 (12)

Consider for a moment the first row of (12). Using a small angle approximation and taking the Laplace transform gives the transfer function from  $\theta$  to u as

$$u(s) = \frac{\frac{-gm}{\mu}}{\frac{m}{\mu}s + 1}\theta(s). \tag{13}$$

The model for the accelerometer measurement in the  $\vec{i}_b$  direction is obtained by substituting (10) into (13):

$$a_{mi}(s) = \frac{g}{\frac{m}{\mu}s + 1}\theta(s) \stackrel{\triangle}{=} H(s)\theta(s). \tag{14}$$

By similar arguments, the transfer function for the  $\vec{j}_b$  direction is

$$a_{mj}(s) = \frac{-g}{\frac{m}{\mu}s + 1}\phi(s) = -H(s)\phi(s).$$
 (15)

Equations (14) and (15) describe the first-order response relating the changes in attitude to the accelerometer measurements, where H(s) is a low-pass filter.

Figure 3 shows the true pitch angle for a quadrotor as measured by a motion-capture system compared to the traditional attitude (TA) estimate described in the "Accelerometer Tutorial" sidebar which uses only accelerometer measurements. Superimposed is the result of filtering the true pitch angle with H(s), as given in (14). The TA estimate based on accelerometer measurements agrees well with the low-pass filtered pitch angle.

The TA method given in the "Accelerometer Tutorial" sidebar is based on the assumption of static equilibrium. The time constant  $m/\mu$  governs how quickly the accelerometer measurements react to a step change in the roll or pitch angle. For a heavier quadrotor with  $m=2.75~{\rm kg}$  and  $\mu\approx 0.77$ , it would take the accelerometer (and therefore the traditional attitude estimate) more than 10 seconds to reach 95% of its steady-state value. Even for the nimble Hummingbird quadrotor by Ascending Technologies, it takes approximately 3 seconds to approach steady state. Long before the accelerometer-based attitude estimate becomes valid, the quadrotor will reach speeds that degrade onboard sensor data or that make collision in a cluttered environment likely.

Roll and pitch angle estimates using the traditional approach do not describe fast attitude changes. To compensate, gyroscopes can be used to predict attitude over the short term. Accelerometers are then used to correct the estimates in a measurement update. However, without accounting for the fact that the accelerometers on the quadrotor measure scaled velocities, as shown in (10) and (11), the measurement update will drag the estimates toward the low-pass filtered attitude and not the true attitude. This estimation approach works reasonably well during flights with gradual attitude changes, but produces less accurate estimates than are possible by simply including the rotor drag in the model.

## **Observer Design**

This section presents several filter designs using the drag-force-enhanced model for the case where the states  $\mathbf{x}_{imu} = [\phi, \theta, u, v]^{\top}$  will be estimated using only IMU data, as is typical on commercially available quadrotor autopilots.

#### Linear Fixed-gain Filter

A simple approach to observer design is to make approximations so that the state propagation and measurement equations are linear. In this case, (2) and (3) are replaced by

$$\dot{\mathbf{x}}_{imu} = \mathbf{A}\mathbf{x}_{imu} + \mathbf{B}\mathbf{u},$$

$$\mathbf{y}_{acc} = \mathbf{C}_{acc} \mathbf{x}_{imu},$$

where A and B are the appropriate Jacobians of (8) and (9) and  $C_{acc}$  is the Jacobian of (10) and (11). The Coriolis forces are assumed to be negligible and the Jacobians are evaluated at hover. The design is further simplified by choosing a fixed observer gain.

The only outputs of (3) are  $\mathbf{y}_{acc} = [a_{mi}, a_{mj}]^{\top}$ , which are modeled by (10) and (11). With inputs  $\mathbf{u} = [p, q, r]^{\top}$ 

$$\mathbf{A} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & -g & \frac{\mu}{m} & 0 \\ g & 0 & 0 & \frac{\mu}{m} \end{bmatrix},$$

$$\mathbf{B} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix},$$

$$\mathbf{C}_{acc} = \begin{bmatrix} 0 & 0 & \frac{\mu}{m} & 0 \\ 0 & 0 & 0 & \frac{\mu}{m} \end{bmatrix}.$$

State estimates are propagated using

$$\dot{\mathbf{x}}_{imu} = \mathbf{A}\mathbf{x}_{imu} + \mathbf{B}\mathbf{u} + \mathbf{L}_{fg}(\mathbf{y} - \mathbf{C}_{acc}\mathbf{x}_{imu}),$$

where the observer gain  $L_{fg}$  is selected using the lqr function in Matlab. Because of its simplicity, this drag-force linear fixed-gain (DGFG) filter is the most practical choice for an embedded processor as opposed to the extended Kalman filters presented below.

#### **EKF with Known** $\mu$

The Extended Kalman Filter (EKF) offers improved performance over the linear fixed-gain filter at the expense of increased complexity. Appropriate elements of the nonlinear equations (8) and (9) are used in a separate prediction step to propagate  $\mathbf{x}_{imu}$  forward in time, and Jacobians  $\mathbf{A}$ ,  $\mathbf{B}$ , and  $\mathbf{C}_{acc}$  are constantly reevaluated using the current state estimate. This filter is referred to as the drag-force EKF (DF-EKF) in the remainder of the paper.

However, the increased complexity arises mainly in maintaining the uncertainty of the state estimates, **P**, and calculating the variable filter gain. The uncertainty is propagated using

$$\dot{\mathbf{P}} = \mathbf{A}\mathbf{P} + \mathbf{P}\mathbf{A}^{\top} + \mathbf{B}\mathbf{R}_{gyro}\mathbf{B}^{\top} + \mathbf{Q}.$$
 (16)

The process uncertainty in (16) is modeled in two parts. Matrix  $\mathbf{Q}$  is a hand-tuned, diagonal matrix that is often used only to model the propagation of bias states [23]. Since the inputs  $\mathbf{u}$  are gyroscope measurements, and  $\mathbf{B}$  is the matrix that specifies how the gyroscopes affect the state evolution,  $\mathbf{R}_{gyro}$  is the covariance of the noise on those sensors. Since we can measure the noise characteristics of the gyroscopes, using the  $\mathbf{B}\mathbf{R}_{gyro}\mathbf{B}^{\top}$  term makes the filter easy to tune and more accurate than assuming a generic, diagonal process noise matrix for all of the states.

The accelerometer measurement update is

$$\mathbf{L} = \mathbf{P}^{-}\mathbf{C}_{acc}^{\top} \left( \mathbf{R}_{accel} + \mathbf{C}_{acc} \mathbf{P}^{-}\mathbf{C}_{acc}^{\top} \right)^{-1},$$
  $\mathbf{P}^{+} = (\mathbf{I} - \mathbf{L}\mathbf{C}_{acc})\mathbf{P}^{-},$   $\mathbf{x}_{imu}^{+} = \mathbf{x}_{imu}^{-} + \mathbf{L} \left( \mathbf{y}_{acc} - \mathbf{C}_{acc} \mathbf{x}_{imu}^{-} \right).$ 

The notation  $Y^-$  and  $Y^+$  indicates a variable Y before and after the measurement update. We use  $\mathbf{R}_{accel}$  to denote the covariance of the accelerometer measurement, and  $\mathbf{I}$  is an appropriate identity matrix.

# EKF with Estimated $\mu$

The filters given in the previous two sections assume that the rotor drag coefficient  $\mu$  is known. As a system parameter,  $\mu$  can be estimated beforehand through a rigorous system identification process using a highly accurate motion-capture system. However, if a motion-capture system is not available, then on-line estimation of  $\mu$  is necessary. In this section we forgo the assumption that  $\mu$  is known beforehand and add it to the state vector of the EKF to be estimated simultaneously with the other states. It is shown that the parameter can be estimated

easily and accurately as part of the state, without the need for motion-capture equipment. Over several different recorded datasets, the filter-estimated value of  $\mu$  stays within about 5% of the value determined using a motion-capture system. The "Observability of  $\mu$ " sidebar shows that  $\mu$  is observable. In the remainder of the article, the filter derived in this section will be designated EKF- $\mu$ .

Estimating  $\mu$  as a state provides advantages over other approaches that use an improved dynamic model. Reference [12] describes a complicated hand-tuning process that compares estimates the vehicle to estimates from a GPS-driven attitude heading reference system (AHRS). Since the AHRS cannot be collocated with the vehicle's IMU, the parameter  $\mu$  must be tuned simultaneously with the position and attitude differences that make the accelerometers on the AHRS agree with those of the vehicle. Alternatively, expensive motion-capture equipment and a system identification algorithm can be used to estimate  $\mu$  [1]. Including  $\mu$  in the filter state eliminates the need for complicated hand tuning or expensive calibration equipment.

Because EKF- $\mu$  estimates an additional state, its performance suffers compared to the filters that use a predetermined value for  $\mu$ . Figure 4 illustrates this by comparing the root-mean-squared (RMS) error in body-frame velocity over several **initial** values of  $\mu$ . As expected, EKF- $\mu$  is robust to poor initial estimates of  $\mu$ . If  $\mu$  cannot be determined by system identification using an motion-capture system or other appropriate sensor, then EKF- $\mu$  can be used with manual flight-test data from a quadrotor to provide an estimate of  $\mu$ . This value for  $\mu$  can then be used in the standard EKF formulation for subsequent real-time closed-loop flights. Use of EKF- $\mu$  over long flight durations may be limited by the accuracy and drift characteristics of the IMU sensors. Figure 4 shows that there is a reasonable range of values for the estimate of  $\mu$  that

enable the fixed-gain and EKF filters to perform well.

# **IMU-Only Results**

The MikroKopter [24] quadrotor depicted in Figure 5 and the motion-capture system depicted in Figure 6, from Motion Analysis [25], is used to generate the data. The quadrotor's IMU provides accelerometer and gyroscope measurements at the relatively low rate of 40 Hz. An IMU with a higher data rate could be used but the drag-force-enhanced model enables accurate estimates even from the low-rate data. Pose information for the quadrotor is received from the motion-capture system at 200 Hz. A filtered numerical derivative of the position information is used to estimate the true velocity. All of the data was first recorded from a 250 second manually-controlled flight and then processed offline so that comparisons between different filters would be valid.

#### **Comparison Filters**

As a baseline to compare against, we present results from two filters that rely on the TA approach, but that add gyroscope measurements. The first is a fixed-gain linear filter described by

$$\dot{\mathbf{x}}_n = \begin{bmatrix} p \\ q \end{bmatrix} + \mathbf{L}_n \left( \mathbf{x}_{accel} - \mathbf{x}_n \right),$$

where  $\mathbf{x}_n = [\phi, \theta]^{\mathsf{T}}$ . The fixed observer gain  $\mathbf{L}_n$  is selected to prevent the estimates from drifting while still tracking fast changes with some accuracy.  $\mathbf{L}_n$  was manually tuned to produce results

qualitatively similar to those from a popular commercial quadrotor. The parameters used were

$$L_n = \begin{bmatrix} 2.297 & 0 \\ 0 & 2.309 \end{bmatrix}.$$

The vector  $\mathbf{x}_{accel}$  is an estimate of  $\phi$  and  $\theta$  based on the traditional attitude method (S4) and (S5). This filter will be called the traditional fixed-gain (TFG) filter.

The second filter is the explicit nonlinear complementary filter developed in [26]. This filter estimates the rotation matrix  $\hat{\mathbf{R}}$  between the body-fixed reference frame and the inertial reference frame, as well as the biases on the gyroscopes  $\hat{\mathbf{b}}$ . The filter is implemented using

$$\dot{\hat{\mathbf{R}}} = \hat{\mathbf{R}} \left( \left( \mathbf{u} - \hat{\mathbf{b}} \right)_{\times} + k_P \left( \omega_{mes} \right)_{\times} \right), \quad \hat{\mathbf{R}}(0) = \hat{\mathbf{R}}_0$$

$$\dot{\hat{\mathbf{b}}} = -k_I \omega_{mes}$$

$$\omega_{mes} = \sum_{i=1}^n k_i v_i \times \hat{v}_i, \quad k_i > 0,$$

where the notation () $_{\times}$  refers to the matrix form of the cross product, and  $v_i$  are vector measurements. Using only IMU information without a magnetometer, there is only one vectorial measurement: the gravity measurement discussed in "Accelerometer Tutorial". The implementation used in this article was iteratively hand tuned to provide the minimum RMS error in attitude for the dataset considered. The gains are  $k_P = 0.5$  and  $k_I = 0.05$ . This filter is called the nonlinear complementary (NC) filter in the results section.

#### **Attitude Results**

Figure 7 shows the *error* in the estimates for a small portion of the manual flight; results for the pitch angle  $\theta$  are similar. The figure compares the performance of the TFG and NC filters with the DFFG described above.

The filters' performance is further described in Table I, which presents the RMS error results for all of the filters over the entire flight. Notice in Table I that DF-EKF and EKF-  $\mu$  have improved performance over the DFFG filter, but at increased computational cost.

#### **Velocity Results**

In addition to improving attitude estimates, the enhanced model also provides information on the body-frame velocities u and v that would otherwise be unavailable with IMU-only measurements. Table II documents the RMS errors for velocity estimates from the DFFG, DF-EKF, and EKF- $\mu$  filters presented above, over the duration of the flight. Figure 8 illustrates estimates of u produced using the DFFG filter and the DF-EKF. Note that the TFG and NC filters do not provide velocity estimates.

Although the performance does not appear outstanding in Table II and Figure 8, we note that these results are produced using only inexpensive MEMS accelerometers and gyroscopes at low data rates. The fact that the improved model offers information on velocity along with high-quality attitude estimates is an additional advantage of the proposed approach. Velocity estimates from the drag-force-enhanced model reduce the rate of required positions updates for tradition approaches [23].

#### **Results During Aggressive Maneuvers**

The drag-forced enhanced model is robust to aggressive maneuvers, despite the near-hover assumption made in [12] to derive the model. Figure 9 shows estimates of  $\theta$  for a segment of aggressive flight. The quadrotor experiences pitch angles in excess of 45 degrees that are

accurately estimated by the drag-force-enhanced model.

The performance improvements shown here are due to the more accurate model of the physical system which accounts for the rotor drag. The gyroscope measurements provide information for the fast changes in angle and the accelerometer corrections accurately constrain the drift. In the traditional approach, if the gyroscope measurements are trusted too much in order to track fast angular changes, the attitude estimates drift rapidly. To constrain the drift, the accelerometer measurements must be weighted sufficiently, but using the wrong dynamic model results in inferior performance.

It is important to note that the filter tuning parameters were not modified for this flight segment, which highlights the robustness of the proposed estimators. The TFG and NC filters could be tuned for better performance during aggressive maneuvers, but then performance near hover would suffer. As an alternative, an adaptive control or gain scheduling approach could be implemented on those filters to provide improved estimates for a broader flight regime, but at the expense of increased complexity.

#### **Position Dead-reckoning Results**

This section illustrates the significant results that are possible due to the improved accuracy given by the drag-force-enhanced model. The IMU information was used to dead reckon the quadrotor's global position. This experiment used two filters: the Traditional EKF (T-EKF) and the Drag-Force EKF augmented with position (DF-EKF-P).

The T-EKF is a combination of the two methods presented in the "Accelerometer

Tutorial". The TFG filter, explained above, provides the attitude estimates using accelerometer and gyroscope measurements, according to the TA method. Then the filter estimates the global position by integrating accelerometer measurements using the integrated velocity method.

The DF-EKF-P is an augmented version of the DF-EKF, which uses the gyroscopes and accelerometer measurement updates (10) and (11) to estimate the attitude and velocity. The DF-EKF-P is augmented to include north and east position states, which are estimated by integrating the velocity estimates.

In both filters, the standard kinematic relationship between velocity and position is used to estimate the position from velocity estimates. Initialization of the position estimates at the starting global location is the only position information provided to either filter during the flight.

Figure 10 shows north and east position dead-reckoning estimates obtained using only the IMU information available during the first ten seconds of the quadrotor flight. Note how the DF-EKF-P estimates trend well with the global position while the estimates from the T-EKF do not. Figure 11 plots the norm of the north and east error for the T-EKF and the DF-EKF-P. Note the vast difference in drift rate between the two approaches over the entire flight.

The point of these results is to demonstrate how much information the IMU can provide to state estimation algorithms when a valid model is employed. The basic position information provided by this approach can contribute to a lower dependence on exteroceptive sensor or GPS information [23]. Correctly modeling the accelerometer measurements has a significant impact on position, velocity, and attitude estimates.

#### **Conclusion**

The assumptions behind the attitude method for measuring the gravity vector are flawed when applied to a quadrotor, even though the approach provides some benefit. When designing an estimator for a quadrotor it is too restrictive to assume static equilibrium. The forces acting on the quadrotor will only sum to zero at hover or after a long period of time at a fixed attitude.

Using only IMU data, the EKF and the linear fixed-gain filter based on the drag-force-enhanced model provide a trade-off between complexity and performance. Each provides an improvement in attitude estimates compared to typical approaches, even during aggressive maneuvers, while also providing significant information about velocity. The velocity estimates, in turn, can be used effectively by the EKF to provide position estimates based on dead reckoning which diverges relatively slowly. If  $\mu$  is unknown, it can be effectively estimated by including it in the state during accelerated manual-controlled flights. The accuracy of the estimates provided from the IMU-only filters could be further improved by increasing the data rate of the IMU.

Future work will include a more-extensive study of the constant- $\mu$  approximation and the estimation of  $\mu$  in the state vector. This work has demonstrated improvements in estimation accuracy obtained through a proper understanding of accelerometer measurements and the modeling of rotor drag effects. The lumped-parameter model of rotor drag, though simple, has shown promise for enhanced estimation accuracy and vehicle performance. Additional study of drag effects on small multi-rotor aircraft may yield additional insights and benefits.

The improvements shown in this article are attributed to the correct characterization of accelerometer measurement. This is a noteworthy advantage as IMU measurements are typically

available at high rates and are comparatively inexpensive to process.

# Acknowledgment

This research is supported through the U.S. Department of Defense SMART Scholarship program: smart.asee.org.

### References

- [1] A. Bachrach, S. Prentice, R. He, and N. Roy, "RANGE Robust Autonomous Navigation in GPS-denied Environments," *Journal of Field Robotics*, vol. 28, no. 5, pp. 644–666, Sep. 2011.
- [2] S. Shen, N. Michael, and V. Kumar, "Autonomous Multi-Floor Indoor Navigation with a Computationally Constrained MAV," in *IEEE Intl. Conf. on Robotics and Automation*, May 2011, pp. 20–25.
- [3] L. Meier, P. Tanskanen, F. Fraundorfer, and M. Pollefeys, "Pixhawk: A system for autonomous flight using onboard computer vision," in *IEEE Intl. Conf. on Robotics and Automation*, 2011, pp. 2992–2997.
- [4] J. P. How, B. Bethke, A. Frank, D. Dale, and J. Vian, "Real-Time Indoor Autonomous Vehicle Test Environment," *IEEE Control Systems Magazine*, pp. 51–64, Apr. 2008.
- [5] V. Kumar and N. Michael, "Opportunities and Challenges with Autonomous Micro Aerial Vehicles," in 15th International Sympossium on Robotics Research, 2011.
- [6] M. Hehn and R. D. Andrea, "Quadrocopter Trajectory Generation and Control," in International Federation of Automatic Control World Congress, 2011.
- [7] G. Chowdhary, D. M. Sobers, C. Pravitra, A. Wu, C. Christman, H. Hashimoto, C. Ong, R. Kalghatgi, and E. N. Johnson, "Integrated Guidance Navigation and Control for a Fully Autonomous Indoor UAS," in AIAA Guidance, Navigation and Control Conference Proceedings, 2011.
- [8] L. R. García Carrillo, A. E. Dzul López, R. Lozano, and C. Pégard, "Combining Stereo Vision and Inertial Navigation System for a Quad-Rotor UAV," *Journal of Intelligent &*

- Robotic Systems, pp. 373–387, Aug. 2011.
- [9] N. Guenard, T. Hamel, and R. Mahony, "A Practical Visual Servo Control for an Unmanned Aerial Vehicle," *IEEE Transactions on Robotics*, vol. 24, no. 2, pp. 331–340, Apr. 2008. [Online]. Available: ieeexplore.ieee.org/lpdocs/epic03/wrapper.htm?arnumber=4481181
- [10] M. Blosch, S. Weiss, D. Scaramuzza, and R. Siegwart, "Vision Based MAV Navigation in Unknown and Unstructured Environments," in *IEEE Intl. Conf. on Robotics and Automation*, 2010, pp. 21–28.
- [11] I. Sa and P. Corke, "System Identification, Estimation and Control for a Cost Effective Open-Source Quadcopter," in *IEEE Int. Conf. on Robotics and Automation*, 2012, pp. 2202–2209.
- [12] P. Martin and E. Salaun, "The True Role of Accelerometer Feedback in Quadrotor Control," *IEEE Intl. Conf. on Robotics and Automation*, pp. 1623–1629, 2010.
- [13] T. Madani and A. Benallegue, "Backstepping Control for a Quadrotor Helicopter," IEEE/RSJ Intl. Conf. on Intelligent Robots and Systems, pp. 3255–3260, Oct. 2006.
- [14] R. Xu and U. Ozguner, "Sliding Mode Control of a Quadrotor Helicopter," *IEEE Conf. on Decision and Control*, pp. 4957–4962, 2006.
- [15] T. Madani and A. Benallegue, "Control of a Quadrotor Mini-Helicopter via Full State Backstepping Technique," *IEEE Conf. on Decision and Control*, pp. 1515–1520, 2006.
- [16] S. Bouabdallah, "Design and Control of Quadrotors with Application to Autonomous Flying," PhD, École Polytechnique Fédérale de Lausanne, 2007.
- [17] A. A. Mian and W. Daobo, "Nonlinear Flight Control Strategy for an Underactuated Quadrotor Aerial Robot," in *IEEE Intl. Conf. on Networking, Sensing and Control*, 2008, pp. 938–942.
- [18] L. Meier, P. Tanskanen, F. Fraundorfer, and M. Pollefeys, "PIXHAWK: A System for

- Autonomous Flight using Onboard Computer Vision," in *IEEE Int. Conf. on Robotics and Automation*, 2011, pp. 2992–2997.
- [19] S. Grzonka, G. Grisetti, and W. Burgard, "Towards a Navigation System for Autonomous Indoor Flying," in *IEEE Intl. Conf. on Robotics and Automation*, no. Section III. Ieee, May 2009, pp. 2878–2883.
- [20] A. R. S. Bramwell, G. Done, and D. Balmford, *Bramwells Helicopter Dynamics*, 2nd ed. Butterworth-Heinemann, 2001.
- [21] M. Bangura and R. Mahony, "Nonlinear Dynamic Modeling for High Performance Control of a Quadrotor," in *Australasian Conference on Robotics and Automation*, Wellington, New Zealand, 2012, pp. 3–5.
- [22] C. Chamberlain, "System Identification, State Estimation, and Control of Unmanned Aerial Robots," Master's Thesis, Brigham Young University, Provo, UT, Apr. 2011.
- [23] J. Macdonald, R. Leishman, R. Beard, and T. McLain, "Analysis of an Improved IMU-Based Observer for Multirotor Helicopters," *Journal of Intelligent & Robotic Systems (To Appear)*, 2013.
- [24] "Mikrokopter." [Online]. Available: www.mikrokopter.de/ucwiki/en/MikroKopter
- [25] "Motion Analysis Corp." [Online]. Available: www.motionanalysis.com/
- [26] R. Mahony, T. Hamel, and J.-M. Pflimlin, "Nonlinear Complementary Filters on the Special Orthogonal Group," *IEEE Transactions on Automatic Control*, vol. 53, no. 5, pp. 1203–1218, 2008.
- [27] K. Konolige, M. Agrawal, and J. Sola, ""Large scale visual odometry for rough terrain,"," in *International Symposium on Robotics Research*, *November*, 2007.
- [28] P. Pinies, T. Lupton, S. Sukkarieh, and J. D. Tardos, "Inertial Aiding of Inverse Depth

- SLAM using a Monocular Camera," in *IEEE Intl. Conf. on Robotics and Automation*, no. April, Apr. 2007, pp. 2797–2802.
- [29] T. Oskiper, Z. Zhu, S. Samarasekera, and R. Kumar, "Visual Odometry System Using Multiple Stereo Cameras and Inertial Measurement Unit," *IEEE Conf. on Computer Vision and Pattern Recognition*, pp. 1–8, Jun. 2007.
- [30] M. Vidyasagar, Nonlinear Systems Analysis. Prentice-Hall, 1993.

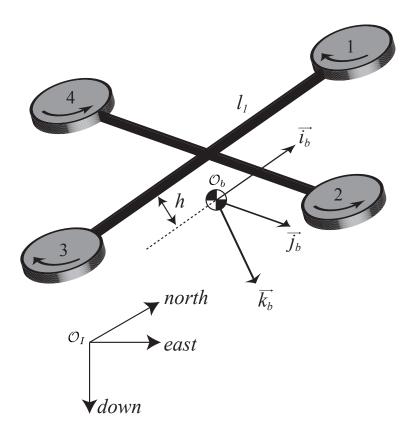


Figure 1: Schematic of a Quadrotor. A schematic representation of the quadrotor showing coordinate frames and notation used in the article. The inertial coordinate frame has its arbitrary origin at  $\mathcal{O}_I$  with right-handed axes oriented in north, east, and down (that is, aligned with gravity) directions. The body-fixed reference frame has its origin,  $\mathcal{O}_b$ , at the quadrotor's center of mass, assumed here to be some distance h directly below the quadrotor's geometric center. The body frame  $\vec{i}_b$  and  $\vec{j}_b$  axes are parallel with the vectors from the geometric center to motors 1 and 2. The  $\vec{k}_b$  axis is oriented to complete a right-handed coordinate system. The motors rotate in the directions shown.

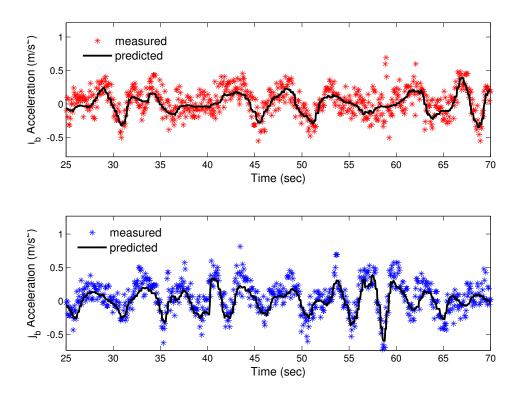


Figure 2: Predicted and Actual Accelerometer Measurements. The actual accelerometer measurements for an indoor flight are plotted against those predicted by (10) in the upper plot, and (11) in the lower. This figure was generated using time-stamped accelerometer and pose data recorded during a manually-controlled flight.

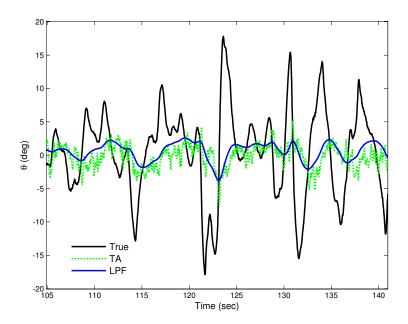


Figure 3: Pitch Angle Comparison. Comparison of the true pitch angle  $\theta$ , the traditional attitude approximation using only accelerometer information, and a low-pass filtered  $\theta$  for a small portion of a flight. Notice how closely the traditional attitude (TA) estimation method tracks the low-pass filtered pitch angle.

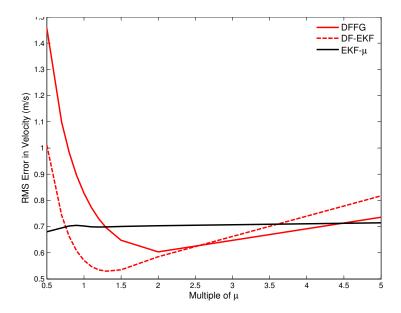


Figure 4: RMS Error in Velocity. RMS errors in velocity for the various drag-force enhanced filters when the initial estimate of  $\mu$  is a multiple of the true value. The traditional fixed-gain (TFG) and nonlinear complementary (NC) filters are not shown as they do not provide a velocity estimate. The drag-force fixed-gain (DFFG) and drag-force EKF (DF-EKF) use the initial, but incorrect, value of  $\mu$  throughout the entire flight. With EKF- $\mu$ , the value evolves in time. It is interesting to note that the DFFG and DF-EKF still provide low RMS values over a wide range of incorrect estimates of  $\mu$ .



Figure 5: Hovering Quadrotor. A quadrotor from MikroKopter that was used in the experiments.

The vehicle is hovering using the measurements from the motion-capture system. ©Jaren Wilkey,

BYU Photo

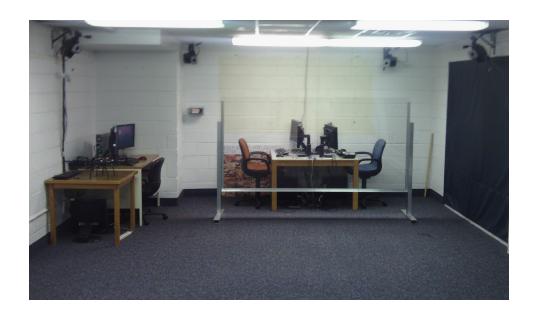


Figure 6: Motion-capture Environment. The motion-capture environment where all the testing was conducted for this article uses a motion-capture system from Motion Analysis. The system provides 6DoF pose information at 200 Hz with sub-degree and sub-millimeter accuracy.

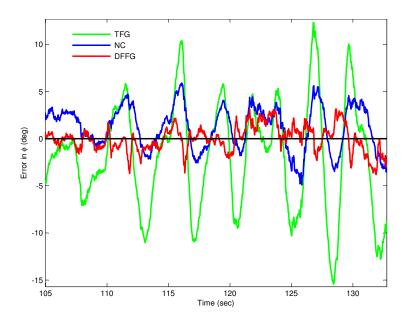


Figure 7: Estimation error in roll  $\phi$ . The error in the roll angle  $\phi$  over a small window of the manual flight for the traditional fixed-gain (TFG), nonlinear complementary (NC) and dragforce enhanced fixed gain (DFFG) filters. Since the plot is of error, smaller values denote better performance.

TABLE I: RMS Error for  $\phi$  and  $\theta$ . The combined RMS errors from the various filters for  $\phi$  and  $\theta$  from a manual flight.

RMS Error for Attitude Estimates		
Filter	<b>RMS</b> of $\phi$ and $\theta$ (deg)	
Traditional Fixed-Gain (TFG)	7.27	
Nonlinear Complementary (NC)	5.66	
Drag-Force Linear Fixed-Gain (DFFG)	2.80	
Drag-Force EKF (DF-EKF)	2.16	
Drag-Force EKF-μ (EKF-μ)	2.23	

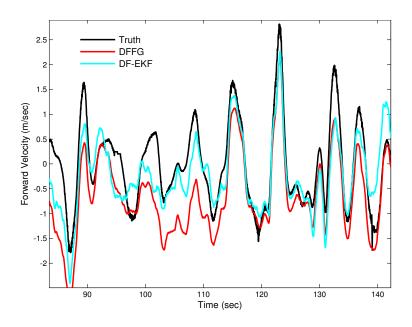


Figure 8: IMU-Only Velocity Results. Body-frame velocity u truth versus the drag-force fixed-gain filter (DFFG) and EKF (DF-EKF) estimates for a small portion of a flight. Velocity estimates are not available when using only IMU data with the traditional fixed-gain (TFG) and nonlinear complementary (NC) filters. Only IMU information is used to produce these estimates.

TABLE II: RMS Error on Velocity. The combined RMS errors for the velocity estimates u and v using the drag-force enhanced model. These values are calculated using the data from the entire flight.

RMS Errors for Velocity Estimates	
Filter	RMS of u and v (m/s)
Traditional Fixed-Gain (TFG)	N/A
Nonlinear Complementary (NC)	N/A
Drag-Force Fixed-Gain (DFFG)	0.87
Drag-Force EKF (DF-EKF)	0.60
Drag-Force EKF-μ (EKF-μ)	0.67

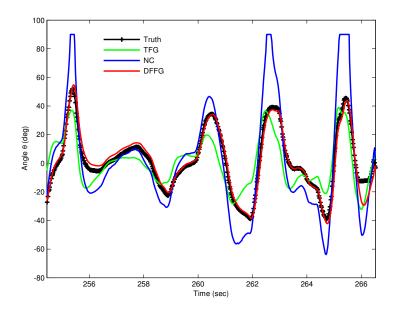


Figure 9: Pitch Angle During Aggressive Flight. This figure plots the true pitch angle  $\theta$  and its estimates using the traditional fixed-gain (TFG), nonlinear complementary (NC), and drag-force fixed-gain (DFFG) estimators during an aggressive flight. Notice that the DFFG provides accurate estimates despite the large angles that are experienced. The filter parameters are identical to those used to produce the previous plots. The saturation in the NC filter are due to the singularity at 90 degrees for a pitch angle. The NC filter was tuned to behave well for nominal flights, but the gains are inadequate for large pitch and roll maneuvers.

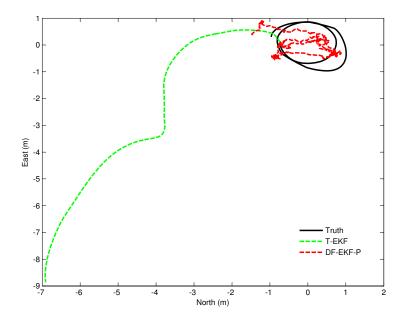


Figure 10: Position Dead-reckoning Results. These position results were obtained using only the IMU information available from the first 10 seconds of a manual quadrotor flight. The traditional EKF (T-EKF) uses the integrated velocity method mentioned in the "Accelerometer Tutorial" by integrating accelerometer values to obtain position estimates. The drag-force EKF with position (DF-EKF-P) uses the drag-force-enhanced model accelerometer measurement updates to estimate velocity and then integrates the velocity estimates to estimate position. Note how the DF-EKF-P estimates trend with the global position while the estimates from the T-EKF approach walk off the chart. This demonstrates the importance of IMU information to the quadrotor state estimates when a valid model is employed.

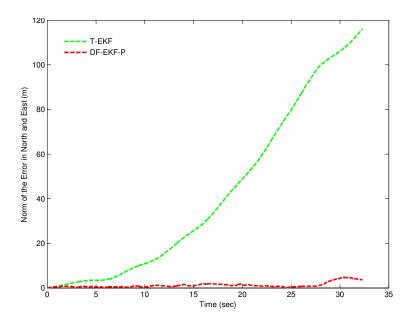


Figure 11:  $\ell^2$  Norm of North and East Position Error. The  $\ell^2$  norm of the north and east dead-reckoning position error for the traditional EKF (T-EKF) and the drag-force EKF with position (DF-EKF-P) are shown. IMU measurements are the only sensor information provided to the two filters. Note the differences in the drift rate between the two approaches. Using the DF-EKF-P will allow less-frequent exteroceptive and/or GPS updates because of the much lower drift rate using only the IMU information.

## Sidebar 1: Accelerometer Tutorial

If  $\mathbf{a} \in \mathbb{R}^3$  represents the acceleration of a vehicle, m is the mass, and  $\mathbf{F}_T \in \mathbb{R}^3$  is the total external force acting on the vehicle, then Newton's second law states that

$$\mathbf{a} = \frac{1}{m} \mathbf{F}_T. \tag{S1}$$

However, accelerometers do not measure the total acceleration a. Accelerometers measure the specific acceleration, meaning the difference between the acceleration of the vehicle and gravitational acceleration.

Figure S1 shows a simplified diagram of a one-axis accelerometer, where a proof-mass is attached by a flexure to the housing of the accelerometer. When the proof mass undergoes an acceleration that is different than the acceleration experienced by the housing, the proof mass deflects and a non-zero measurement is produced. With the accelerometer in Figure S1 on a horizontal surface, the normal force offsets the force due to the weight. The proof-mass, which does not experience the normal force, deflects under the influence of gravity, causing the accelerometer to measure an upward acceleration of 1 g. On the other hand, during free fall, gravity would accelerate both the housing and the proof-mass, resulting in a measurement of zero.

The output of a three-axis accelerometer mounted on a rigid body is therefore given by

$$\mathbf{a}_m = \frac{1}{m} \left( \mathbf{F}_T - \mathbf{F}_g \right), \tag{S2}$$

where  $\mathbf{a}_m \in \mathbb{R}^3$  is the measured acceleration and  $\mathbf{F}_g \in \mathbb{R}^3$  is the force due to gravity. Equation (S2) states that for an accelerometer to measure the effect of gravity only, all the external forces must sum to zero.

Throughout the article it is assumed that the axes of the accelerometer are aligned with the body-frame axes and that the accelerometer has been properly calibrated to remove misalignment errors and cross-axis sensitivity.

Below are two different methods for state estimation using accelerometers [12]. Many researchers, for example [27], [28], [29], have shown that using one of these methods to fuse accelerometers with an exteroceptive sensor increases performance compared to using the exteroceptive sensor alone.

#### **Accelerometer-based Attitude Estimation**

From (S2), when the sum of external forces is zero the accelerometers will measure

$$\mathbf{a}_m = -\frac{1}{m} \mathbf{F}_g. \tag{S3}$$

Let  $\mathbf{a}_m^b \stackrel{\triangle}{=} (a_{mi}, a_{mj}, a_{mk})^{\top}$ , represent the acceleration measured in the body-frame axes. In the inertial frame the force of gravity is  $\mathbf{F}_g = (0, 0, mg)^{\top}$ . Expressing (S3) in the body frame gives

$$\begin{bmatrix} a_{mi} \\ a_{mj} \\ a_{mk} \end{bmatrix} = \mathbf{R}_I^b \begin{bmatrix} 0 \\ 0 \\ -g \end{bmatrix},$$

$$= \begin{bmatrix} g \sin \theta \\ -g \sin \phi \cos \theta \\ -g \cos \phi \cos \theta \end{bmatrix},$$

where  $\phi$  is the roll angle and  $\theta$  is the pitch angle relative to the ground. The roll and pitch angles can therefore be estimated as

$$\hat{\phi}_{\text{accel}} = \tan^{-1} \left( \frac{a_{mj}}{a_{mk}} \right) \tag{S4}$$

$$\hat{\theta}_{\text{accel}} = \sin^{-1} \left( \frac{a_{mi}}{g} \right). \tag{S5}$$

This estimation method, expressed by (S4) and (S5), will be termed the traditional attitude (TA) method. Note that the underlying assumption of zero external forces is rarely satisfied during quadrotor flight.

### **Accelerometer-Based Velocity Estimation**

If the attitude is known, measured accelerations can be integrated to estimate velocity using

$$\dot{\mathbf{v}}^b = \mathbf{a}_m^b + \frac{1}{m} \mathbf{R}_I^b \mathbf{F}_g. \tag{S6}$$

where  $\mathbf{v}^b = (u, v, w)^{\top}$  is the velocity expressed in the body-fixed frame. It is critical to note here that this method of using accelerometers is sensitive to the underlying assumption of known attitude. The traditional attitude method should not be used to provide the necessary attitude estimates since it requires that  $\dot{\mathbf{v}}^b = 0$ . In the remainder of this article we will refer to this approach as the integrated velocity method.

### **Accelerometers on Quadrotors**

Quadrotors obviously differ from ground vehicles because of the thrust required to keep them airborne. Yet researchers using quadrotors commonly treat them as ground vehicles with respect to accelerometer measurements. Quite often a variant of the traditional attitude method provides IMU-based estimates of attitude to a higher-level observer. This second observer then uses the integrated velocity method despite the fact that the underlying assumptions for the two

estimators are contradictory.

As a simple example, when a quadrotor rests on an inclined surface, as shown in the left half of Figure S2, it experiences a normal force  $\mathbf{F}_n$ , a friction force  $\mathbf{F}_f$  and the force due to gravity  $\mathbf{F}_g$ . The summation of forces in the body frame is

$$\mathbf{F}_T = \mathbf{R}_I^b \mathbf{F}_q - \mathbf{F}_n - \mathbf{F}_f.$$

Consequently the accelerometer measures

$$\mathbf{a}_{m}^{b} = \frac{1}{m} (\mathbf{F}_{T} - \mathbf{R}_{I}^{b} \mathbf{F}_{g}) = \frac{1}{m} \begin{bmatrix} -F_{f} \\ 0 \\ -F_{n} \end{bmatrix},$$

and (S5) can be used to correctly find the pitch angle.

When the quadrotor is in the air with a similar attitude, the forces are usually assumed to be  $\mathbf{F}_g$  and the thrust  $\mathbf{F}_t$ ; all other forces are assumed negligible. The thrust force  $\mathbf{F}_t$  and moment  $\mathbf{M}_t$  are resolved from the individual thrust forces acting at each propeller. This would give the total force, in the body-fixed reference frame, as

$$\mathbf{F}_T \approx -\mathbf{F}_t + \mathbf{R}_I^b \mathbf{F}_g.$$

According to the model, the accelerometers would then measure

$$\mathbf{a}_{m}^{b} = \frac{1}{m} (\mathbf{F}_{T} - \mathbf{R}_{I}^{b} \mathbf{F}_{g}) = \frac{1}{m} \begin{bmatrix} 0 \\ 0 \\ -F_{t} \end{bmatrix}. \tag{S7}$$

Clearly in this situation the attitude cannot be determined using (S4) and (S5) since, according to the model, the body frame  $\vec{i}$  and  $\vec{j}$  accelerometers should always measure zero. It would also be invalid to integrate these values to find the vehicle velocities. Still, many researchers make

productive use of the traditional attitude and velocity methods within control and estimation schemes. As explained in this article, the discrepancy is due to the rotor drag force that is missing in (S7).

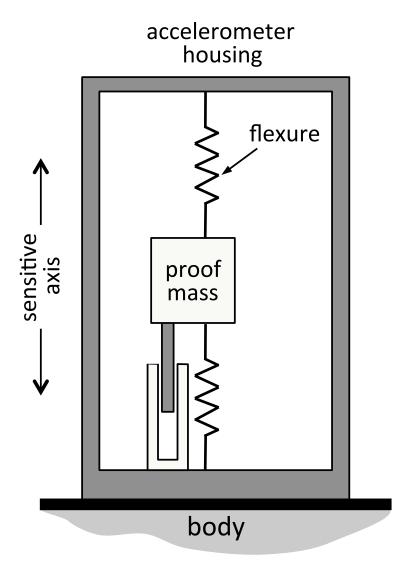


Figure S1: Simplified diagram of an accelerometer. When the proof mass undergoes an acceleration that is different than the acceleration experienced by the housing, the proof mass deflects and a non-zero measurement is produced.

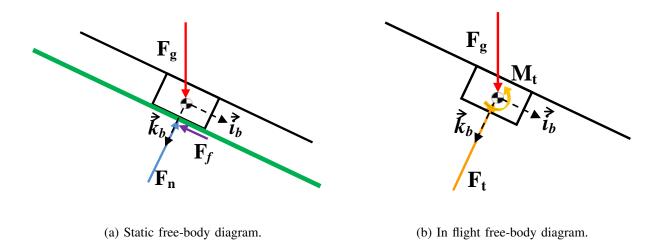


Figure S2: Quadrotor Free-body Diagrams. Free-body diagrams of a quadrotor in two scenarios. Image (a) shows the forces when the quadrotor is sitting on an inclined surface (indicated by the solid green line). Image (b) illustrates the forces according to the standard model when the quadrotor is at a constant attitude in the air and  $\mathbf{M}_t$  is zero.

# Sidebar 2: Observability of $\mu$

This section demonstrates the observability of the drag coefficient  $\mu$  when it is included in the state. Note that observability is a necessary condition for filter convergence. The theory of nonlinear observability is briefly reviewed. Next, the conditions are provided for local observability of the longitudinal quadrotor system with  $\mu$  included in the vehicle state. This overview is based on a more detailed presentation in [30].

### **Theory**

Let  $\mathbf{x} \in X$ , where X is an open subset of  $\mathbb{R}^N$ , represent the state of the nonlinear system

$$\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}) + \sum_{i=1}^{m} \mathbf{g}_i(\mathbf{x}) u_i,$$
(S1)

with n nonlinear outputs of the form

$$y_j = h_j(\mathbf{x}), \ j = 1...n, \tag{S2}$$

which form the vector output function  $\mathbf{y}(y_1(\mathbf{x}),...,y_n(\mathbf{x}),\mathbf{u})$ . Further, let S(X) and V(X) respectively designate the set of all scalar-valued smooth functions and the set of all vector fields (that is, column vectors on smooth functions) on X. The functions  $\mathbf{f}(\mathbf{x})$ ,  $\mathbf{g}_i(\mathbf{x}) \in V(X)$  are the nonlinear functions of the state and the m time-varying scalars  $u_i$  are the (known) inputs that drive the system.

Given (S1) and (S2), two states  $\mathbf{x}_0$  and  $\mathbf{x}_1$  are **distinguishable** if there exists an input function  $\mathbf{u}(\cdot)$  such that

$$\mathbf{y}(y_1(\mathbf{x}_0), ..., y_n(\mathbf{x}_0), \mathbf{u}) \neq \mathbf{y}(y_1(\mathbf{x}_1), ..., y_n(\mathbf{x}_1), \mathbf{u}).$$
 (S3)

The system is **locally observable** at a point  $\mathbf{x}_0 \in X$  if there exists a neighborhood  $\mathcal{N}(\mathbf{x}_0)$  around  $\mathbf{x}_0$  such that every  $\mathbf{x} \in \mathcal{N}(\mathbf{x}_0)$ , other than  $\mathbf{x}_0$ , is distinguishable from  $\mathbf{x}_0$ . The system is **locally observable** if it is locally observable at each point  $\mathbf{x}_0 \in X$ .

It can be shown that a system is locally observable at a point  $\mathbf{x}_0 \in X$  if there are a sufficient number of linearly independent vectors in the gradients of the measurement equations or the gradients of the Lie derivatives evaluated at  $\mathbf{x}_0$ . Recall that the Lie derivative of a function  $\kappa \in S(X)$  with respect to some vector field  $\omega \in V(X)$  is defined by the mapping

$$L_{\omega}\kappa \stackrel{\triangle}{=} \frac{\partial \kappa(\mathbf{x})}{\partial \mathbf{x}} \cdot \omega(\mathbf{x}) : X \to \mathbb{R}.$$

#### Quadrotor Longitudinal States With $\mu$

The observability of the longitudinal quadrotor system will now be analyzed with  $\mu$  included in the state. The longitudinal states are  $\mathbf{x} = [\theta, u, \mu]^{\mathsf{T}}$ . Recall that  $\theta$  is the pitch angle, u is the body-fixed forward velocity, and  $\mu$  is drag coefficient. The longitudinal equations of motion are

$$\begin{bmatrix} \dot{\theta} \\ \dot{u} \\ \dot{\mu} \end{bmatrix} = \mathbf{f}(\mathbf{x}) + u_1 \mathbf{g}_1(\mathbf{x}) = \begin{bmatrix} 0 \\ -g \sin(\theta) - \frac{\mu}{m} u \\ \varsigma_{\mu} \end{bmatrix} + u_1 \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \tag{S4}$$

where it is assumed that  $\dot{\theta}=q$ , the rotation rate about the body  $\hat{j}$  axis. The acceleration due to gravity is g and m is the mass of the vehicle. The time propagation of  $\mu$  is modeled as a random walk where  $\varsigma_{\mu}$  is a zero-mean, Gaussian random variable. The gyroscope measurement  $u_1=q$  is the input to the system.

The output of the system is the accelerometer measurement in the body  $\vec{i}_b$  direction,

modeled as

$$y_1 = h_1(\mathbf{x}) = -\frac{\mu}{m}u. \tag{S5}$$

To show that the longitudinal system is locally observable at a point  $\mathbf{x}_0 \in X$ , there must be three vectors of the observability Grammian that are linearly independent at  $\mathbf{x}_0$ . The gradient of the output (S5) is

$$\mathbf{d}h_1 = \begin{bmatrix} 0 & -\frac{\mu}{m} & -\frac{u}{m} \end{bmatrix}. \tag{S6}$$

Now consider the first-order Lie derivatives. Due to the simplicity of  $\mathbf{g}_1(\mathbf{x})$  in (S4),  $\mathbf{d}L_{g1}h_1 = [0\ 0\ 0]$ . Next

$$\mathbf{d}L_{f}h_{1} = \mathbf{d} \begin{pmatrix} 0 \\ 0 & -\frac{\mu}{m} & -\frac{u}{m} \end{pmatrix} \begin{bmatrix} 0 \\ -g\sin(\theta) - \frac{\mu}{m}u \\ 0 \end{bmatrix}$$

$$= \left[ g\cos(\theta) \frac{\mu}{m} & \left(\frac{\mu}{m}\right)^{2} & \left(\frac{g\sin(\theta)}{m} + \frac{2\mu u}{m^{2}}\right) \right].$$
(S7)

The second-order Lie derivative of f(x) is used to find a final vector

$$\mathbf{d}L_{f}L_{f}h_{1} = \mathbf{d} \left( \begin{bmatrix} g\cos(\theta)\frac{\mu}{m} & (\frac{\mu}{m})^{2} & \frac{g\sin(\theta)}{m} + \frac{2\mu u}{m^{2}} \end{bmatrix} \begin{bmatrix} 0 \\ -g\sin(\theta) - \frac{\mu}{m}u \\ 0 \end{bmatrix} \right)$$

$$= \left[ -g\cos(\theta) \left(\frac{\mu}{m}\right)^{2} - \left(\frac{\mu}{m}\right)^{3} & \left(\frac{-2\mu g\sin(\theta)}{m^{2}} - \frac{3\mu^{2}u}{m^{3}}\right) \right]. \tag{S8}$$

The vectors (S6), (S7) and (S8) are combined into an observability matrix  $O_M$  for the

longitudinal state

$$\mathbf{O}_{M} = \begin{bmatrix} 0 & \frac{-\mu}{m} & \frac{-\mu}{m} \\ g\cos(\theta)\frac{\mu}{m} & \left(\frac{\mu}{m}\right)^{2} & \frac{g\sin(\theta)}{m} + \frac{2/muu}{m^{2}} \\ -g\cos(\theta)\left(\frac{\mu}{m}\right)^{2} & -\left(\frac{\mu}{m}\right)^{3} & \frac{-2/mug\sin(\theta)}{m^{2}} - \frac{3/mu^{2}u}{m^{3}} \end{bmatrix}.$$

The determinant of  $O_M$  is

$$|\mathbf{O}_M| = -\frac{u\cos(\theta)\mu^4 g + \sin(\theta)m\mu^3 g^2}{m^5}.$$

Since  $\mu \neq 0$ , the system will be locally observable except when

$$0 = -g\sin(\theta) - \frac{\mu}{m}u. \tag{S9}$$

Note that (S9) is exactly equal to (12) when  $\dot{u}=0$ . Consequently, the condition (S9) will only be true during unaccelerated flight, as it is then impossible to tell the difference between  $\theta$  and u, making the state unobservable. Therefore, the longitudinal state  $\mathbf{x}$  is locally observable during accelerated,  $\dot{u} \neq 0$ , flight. The ability to estimate the drag coefficient  $\mu$  in the state of the vehicle, using only IMU measurements, makes it straightforward to use the drag-force enhanced model for quadrotor state estimation.

**Author Information** 

Robert Leishman recently completed the PhD degree in the mechanical engineering

at Brigham Young University (BYU). He was a recipient of the U.S. Department of Defense

Science, Math, and Research for Transformation (SMART) Scholarship. He now works as a

research engineer for the U.S. Air Force Research Laboratory at Wright-Patterson AFB. He

received the B.S. degree in mechanical engineering from Utah State University in 2006. He was

awarded a U.S. Air Force PALACE Acquire Scholarship through the Munitions Directorate at

Hill Air Force Base, with which he received the M.S. degree in mechanical engineering from

BYU.

**Contact Information for the corresponding author:** 

robert.leishman@us.af.mil

801-814-6373

AFRL/RY

Attn: Robert Leishman

Building 254

WPAFB, OH 45433

**John C. Macdonald Jr.** received the B.S. degree in electrical engineering from Brigham

Young University in 2002, the M.S. degree in electrical engineering from the Air Force Institute

of Technology in 2006, and the Ph.D. degree in electrical engineering from Brigham Young

University in 2012. Since February 2013 he has been a research electronics engineer in the

49

United States Air Force Research Lab, Sensors Directorate.

Randal W. Beard received the B.S. degree in electrical engineering from the University of Utah, Salt Lake City in 1991, the M.S. degree in electrical engineering in 1993, the M.S. degree in mathematics in 1994, and the Ph.D. degree in electrical engineering in 1995, all from Rensselaer Polytechnic Institute, Troy, NY. Since 1996, he has been with the Electrical and Computer Engineering Department at Brigham Young University, Provo, UT, where he is currently a professor. In 1997 and 1998, he was a Summer Faculty Fellow at the Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA. In 2006 and 2007 he was a visiting research fellow at the Air Force Research Laboratory, Munitions Directorate, Eglin AFB, FL. His primary research focus is autonomous control of miniature air vehicles and multivehicle coordination and control. He is a past associate editor for the IEEE Control Systems Magazine and the Journal of Intelligent and Robotic Systems, and is currently an associate editor of the IEEE Transactions on Automatic Control.

Tim McLain is a professor in the Department of Mechanical Engineering at Brigham Young University (BYU) where he currently holds the position of department chair. He received BS and MS degrees in mechanical engineering from BYU. While completing his PhD work at Stanford University, he worked with the Monterey Bay Aquarium Research Institute on the control of underwater robotic vehicles. He joined BYU in 1995. During the summers of 1999 and 2000, he was a visiting scientist at the Air Force Research Laboratory where he initiated research on unmanned aircraft, an area on which he continues to focus. With Randy Beard, he is the author of the textbook Small Unmanned Aircraft: Theory and Practice published in 2012 by Princeton University Press. He is currently the director of the Center for Unmanned Aircraft

Systems under the National Science Foundation Industry/University Cooperative Research Center program. Professor McLain is a senior member of IEEE, an associate fellow of AIAA, and has served as a member of the AIAA Unmanned Systems Program Committee.