C declaration	Intel data type		Assembly-code sur	ffix Siz	e (bytes)
char	Byte		b		1
short	Word		w		2
int	Double word		1		4
long	Quad word		q		8
char *	Quad word		q		8
float	Single precision	on	s		4
double	Double precis	ion	1		8
3		31	15	7 0	
rax		%eax	%ах	%al	Return valu
rbx		%ebx	%bx	%b1	Callee sav
rcx		%есx	%сх	%cl	4th argume
rdx		%edx	%dx	%dl	3rd argum
rsi		%esi	%si	%sil	2nd argum
rdi		%edi	%di	%dil	1st argume
rbp		%еbp	%ър	%bpl	Callee sav
rsp		%esp	%sp	%spl	Stack poin
r8		%r8d	%r8w	%r8b	5th argume
r9		%r9d	%r9w	%r9b	6th argume
r10		%r10d	%r10w	%r10b	Caller save
r11		%r11d	%r11w	%r11b	Caller save
r12		%r12d	%r12w	%r12b	Callee sav
r13		%r13d	%r13w	%r13b	Callee sav
r14		%r14d	%r14w	%r14b	Callee sav

Type	Form	Operand value	Name
Immediate	\$Imm	Imm	Immediate
Register	r_a	$R[\mathtt{r}_a]$	Register
Memory	Imm	M[Imm]	Absolute
Memory	(r _a)	$M[R[r_a]]$	Indirect
Memory	$Imm(r_b)$	$M[Imm + R[x_b]]$	Base + displacement
Memory	$(\mathbf{r}_b,\mathbf{r}_i)$	$M[R[r_b] + R[r_i]]$	Indexed
Memory	$Imm(r_b, r_i)$	$M[Imm + R[r_b] + R[r_i]]$	Indexed
Memory	$(,\mathbf{r}_i,s)$	$M[R[r_i] \cdot s]$	Scaled indexed
Memory	$Imm(,r_i,s)$	$M[Imm + R[r_i] \cdot s]$	Scaled indexed
Memory	$(\mathbf{r}_b,\mathbf{r}_i,s)$	$M[R[r_b] + R[r_i] \cdot s]$	Scaled indexed
Memory	$Imm(r_h, r_i, s)$	$M[Imm + R[x_b] + R[x_i] \cdot s]$	Scaled indexed

```
        1
        mov1 $0x4050,%eax
        Immediate--Register, 4 bytes

        2
        movw %bp,%sp
        Register--Register, 2 bytes

        3
        movb (%rdi,%rcx),%al
        Memory--Register, 1 byte

        4
        movb $-17,(%esp)
        Immediate--Memory, 1 byte

        5
        movq %rax,-12(%rbp)
        Register--Memory, 8 bytes
```

Instru	ction	Effect	Description
leaq	S, D	D ← &S	Load effective address
INC	D	$D \leftarrow D+1$	Increment
DEC	D	$D \leftarrow D-1$	Decrement
NEG	D	$D \leftarrow -D$	Negate
NOT	D	$D \leftarrow \sim D$	Complement
ADD	S, D	$D \leftarrow D + S$	Add
SUB	S, D	$D \leftarrow D - S$	Subtract
IMUL	S, D	$D \leftarrow D * S$	Multiply
XOR	S, D	$D \leftarrow D \hat{S}$	Exclusive-or
OR	S, D	$D \leftarrow D \mid S$	Or
AND	S, D	$D \leftarrow D \& S$	And
SAL	k, D	$D \leftarrow D << k$	Left shift
SHL	k, D	$D \leftarrow D << k$	Left shift (same as SAL)
SAR	k, D	$D \leftarrow D >> A k$	Arithmetic right shift
SHR	k, D	$D \leftarrow D >>_{\mathbf{L}} k$	Logical right shift

CF	(unsigned) t < (unsigned) a	Unsigned overflow
ZF	(t == 0)	Zero
SF	(t < 0)	Negative
OF	(a < 0 == b < 0) && (t < 0 != a < 0)	Signed overflow
OF	(a < 0 == b < 0) && (t < 0 != a < 0)	0

CMP S1, S2 (S2 – S1) TST S1, S2 (S1 & S2)

Instruc	tion	Synonym	Effect	Set condition
sete	D	setz	$D \leftarrow ZF$	Equal / zero
setne	D	setnz	$D \leftarrow \text{~~zf}$	Not equal / not zero
sets	D		$D \leftarrow \mathtt{SF}$	Negative
setns	D		$D \leftarrow \text{``SF}$	Nonnegative
setg	D	setnle	$D \leftarrow \text{``}(SF \text{``}OF) \& \text{``}ZF$	Greater (signed >)
setge	D	setnl	$D \leftarrow \sim (SF \cap OF)$	Greater or equal (signed >=)
setl	D	setnge	$D \leftarrow SF^OF$	Less (signed <)
setle	D	setng	$D \ \leftarrow \ (\texttt{SF ^OF}) \ \ \texttt{ZF}$	Less or equal (signed <=)
seta	D	setnbe	$D \ \leftarrow \ \ \text{``CF \& "ZF}$	Above (unsigned >)
setae	D	setnb	D ← ~ CF	Above or equal (unsigned >=)
setb	D	setnae	$D \leftarrow \mathtt{CF}$	Below (unsigned <)
setbe	D	setna	$D \leftarrow \text{CF} \mid \text{ZF}$	Below or equal (unsigned <=)
jmp <i>l</i>	Label		1	Direct jump
imp *	Oner	and	1	Indirect jump

Callee Saved – Responsibility of function called to save the value before returning to function that called it. 1-6th arguments are Caller Saved

A struct within a struct gets aligned by largest value of struct

Parameter	Description	
Fundamental par	rameters	
$S = 2^s$	Number of sets	
E	Number of lines per set	
$B = 2^{b}$	Block size (bytes)	
$m = \log_2(M)$	Number of physical (main memory) address bits	
Derived quantitie	es	
$M = 2^{m}$	Maximum number of unique memory addresses	
$s = \log_2(S)$	Number of set index bits	
$b = \log_2(B)$	Number of block offset bits	
t = m - (s + b)	Number of tag bits	
$C = B \times E \times S$	Cache size (bytes), not including overhead such as	

Set associative cache

(1 < E < C/B). In a set associative cache, each set contains more than one line. This particular example shows a two-way set associative cache.

Figure 6.35

Fully associative cache (E=C/B). In a fully associative cache, a single < E=2 set contains all of the lines.

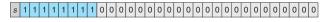
The entire cache is one set, so by default set 0 is always selected.



1. Normalized

≠ 0 and ≠ 255

2. Denormalized	
s 0 0 0 0 0 0 0 0	f



3b. NaN



For normalized numbers: For denormalized numbers:

M = 1.xxxx M = 0.xxxx E = exp - bias E = 1 - bias $Bias = 2^{(k-1)-1}$ $V = (-1)^s*M*2^E$

Floating Point: Rounding 1.BGRXXX

In the below examples, imagine the underlined part as a fraction.

- Guard Bit: the least significant bit of the resulting number
- Round Bit: the first bit removed from rounding
- Sticky Bits: all bits after the round bit, OR'd together Examples of rounding cases, including rounding to nearest even number
 - 1.10 11: More than ½, round up: 1.11
 - 1.10 10: Equal to ½, round down to even: 1.10
 - 1.01 01: Less than ½, round down: 1.01
 - 1.01 10: Equal to ½, round up to even: 1.10
 - 1.01 00: Equal to 0, do nothing: 1.01
- 1.00 00: Equal to 0, do nothing: 1.00 All other cases involve either rounding up of down try them!

Bonus Coverage: Arrays

Good toy examples (for your cheatsheet and/or big brain):



A can be used as the pointer to the first array element: A[0]



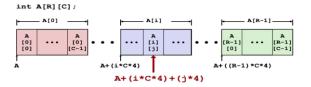
Bonus Coverage: Arrays

Nested indexing rules (for your cheatsheet and/or big brain):

A[i][j] is element of type T, which requires K bytes

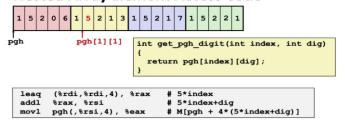
Address
$$A + i * (C * K) + j * K$$

= $A + (i * C + j) * K$



Bonus Coverage: Arrays

Nested Array Element Access Code



Array Elements

4

- pgh[index][dig] is int
- Address: pgh + 20*index + 4*dig
 = pgh + 4*(5*index + dig)



- 16 lines, 4-byte cache line size
- Physically addressed V[0b00001101101001] = V[0x369]
 Direct mapped P[0b101101101001] = P[0x869] = 0x15

 1 0 1 1 0 1 1 0 1 0 0 1

 PPN PPN PPO PPO

$$T2U_{w}(x) = \begin{cases} x + 2^{w}, & x < 0 \\ x, & x \ge 0 \end{cases}$$

$$B2U_{w}(T2B_{w}(x)) = T2U_{w}(x) = x + x_{w-1}2^{w}$$

$$U2T_{w}(u) = \begin{cases} u, & u \le TMax_{w} \\ u - 2^{w}, & u > TMax_{w} \end{cases}$$

$$U2T_{w}(u) = -u_{w-1}2^{w} + u$$

$$Chor [Spi] = \infty$$

$$x *_{w}^{u} y = (x \cdot y) \mod 2^{w}$$

PRINCIPLE: Unsigned division by a power of 2

 $x *_{\cdots}^{t} y = U2T_{w}((x \cdot y) \mod 2^{w})$

For C variables x and k with unsigned values x and k, such that $0 \le k < w$, the C expression x >> k yields the value $\lfloor x/2^k \rfloor$.

PRINCIPLE: Two's-complement division by a power of 2, rounding up

Let C variables x and k have two's-complement value x and unsigned value k, respectively, such that $0 \le k < w$. The C expression (x + (1 << k) - 1) >> k, when the shift is performed arithmetically, yields the value $\lceil x/2^k \rceil$.

Review of Symbols

- Basic Parameters
 - N = 2ⁿ: Number of addresses in
 - virtual address space
 - M = 2^m: Number of addresses in physical address space
 - P = 2^p : Page size (bytes)
- Components of the *virtual address* (VA)
 - TLBI: TLB index
 - TLBT: TLB tag
 - **VPO**: Virtual page offset
 - VPN: Virtual page number



......

__..._

t bits s bits b bits

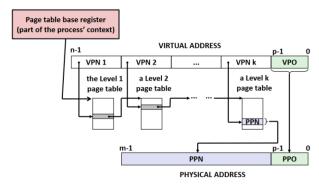
ò

- Components of the *physical address* (PA)
 - PPO: Physical page offset (same as VPO)
 - PPN: Physical page number
 - CO: Byte offset within cache line
 - CI: Cache index
 - CT: Cache tag



Translating with a k-level Page Table

Having multiple levels greatly reduces page table size

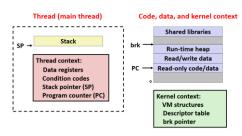


- 16 entries
- 4-way associative



Processes and such

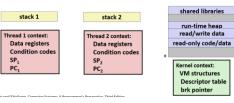
■ Process = thread + code, data, and kernel context



A Process With Multiple Threads

- Multiple threads can be associated with a process
 - Each thread has its own logical control flow
 - Each thread shares the same code, data, and kernel context
 - Each thread has its own stack for local variables
 - but not protected from other threads Each thread has its own thread id (TID)

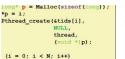
Thread 1 (main thread) Thread 2 (peer thread)



Separation of data is not strictly enforced:

- Register values are truly separate and protected, but...
- Any thread can read and write the stack of any other thread

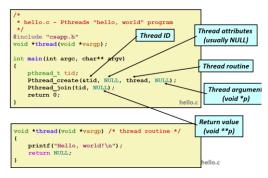




· Use malloc to create a per thread heap allocated place in memory for the argument

Shared code and data

- Creating and reaping threads
 - pthread create()
 - pthread_join()
- Determining your thread ID
 - pthread_self()
- Terminating threads
 - pthread_cancel() pthread_exit()
 - exit() [terminates all threads]
 - return [terminates current thread]
- Synchronizing access to shared variables
 - pthread_mutex_init
 - pthread_mutex_[un]lock



Global variables

- Def: Variable declared outside of a function
- Virtual memory contains exactly one instance of any global variable

Local variables

- Def: Variable declared inside function without static attribute
- Each thread stack contains one instance of each local variable

Local static variables

- . Def: Variable declared inside function with the static attribute
- Virtual memory contains exactly one instance of any local static

Shared Variable Analysis

Variable Referenced by Referenced by instance main thread? peer thread 0?

Which variables are shared?

	ptr	yes	yes	yes
	cnt	no	yes	yes
	i.m	yes	no	no
	msgs.m	yes	yes	yes
	myid.p0	no	yes	no
	myid.pl	no	no	yes
ch		d_t tid; = ("Hello i "Hello i	from foo", from bar" };	<pre>void *thread(void *vargp) { long myid = (long) vargp; static int cnt = 0; printf("[%ld]: %s (cnt=%d)\n" myid, ptr[myid], ++cnt) return NULL;</pre>

- ptr, cnt, and msgs are shared
- i and myid are not shared

Issues With Thread-Based Servers

■ Must run "detached" to avoid memory leak

- At any point in time, a thread is either joinable or detached
- Joinable thread can be reaped and killed by other threads
 - must be reaped (with pthread_join) to free memory resources
- Detached thread cannot be reaped or killed by other threads
- resources are automatically reaped on termination
- Default state is joinable
 - · use pthread detach (pthread self ()) to make detached

Must be careful to avoid unintended sharing

- For example, passing pointer to main thread's stack
- Pthread_create(&tid, NULL, thread, (void *) &connfd);
- All functions called by a thread must be thread-safe

Appendix: Writing signal handlers

- G1. Call only async-signal-safe functions in your handlers.
 - Do not call printf, sprintf, malloc, exit! Doing so can cause deadlocks, since these functions may require global locks.
- We've provided you with sio_printf which you can use instead.
- G2. Save and restore errno on entry and exit.
 - If not, the signal handler can corrupt code that tries to read errno.
 - . The driver will print a warning if errno is corrupted. G3. Temporarily block signals to protect shared data.
 - This will prevent race conditions when writing to shared data.
- Avoid the use of global variables in tshlab.

Synchronization: Concurrency Issues

Avoiding Deadlock Acquire shared resources in same order

```
int main(int argc, char** argv)
     void *count(void *vargp)
                                                                       Tid[0]:
                                                                                        Tid[1]:
      int i;
int id = (int) vargp;
for (i = 0; i < NITERS; i++) {
    P(&mutex[0]); P(&mutex[1]);</pre>
                                                                      P(s<sub>0</sub>);
P(s<sub>1</sub>);
                                                                                        P(s<sub>0</sub>);
P(s<sub>1</sub>);
                                                                       cnt++;
                                                                                        cnt++;
                                                                                        V(s<sub>1</sub>);
V(s<sub>0</sub>);
                                                                       V(s.);
                                                                       V(s<sub>1</sub>);
            V(&mutex[id]); V(&mutex[1-id]);
      return NULL;
```

Classes of thread-unsafe functions:

- Class 1: Functions that do not protect shared variables
- . Class 2: Functions that keep state across multiple invocations
- Class 3: Functions that return a pointer to a static variable
- Class 4: Functions that call thread-unsafe functions

Failing to protect shared variables

- Fix: Use P and V semaphore operations (or mutex)
- Example: goodcnt.c
- Issue: Synchronization operations will slow down code

Reentrant Functions

- Def: A function is reentrant iff it accesses no shared variables when called by multiple threads.
 - Important subset of thread-safe functions
 - Require no synchronization operations
 - Only way to make a Class 2 function thread-safe is to make it reetnrant (e.g., rand_r)

All functions



Semaphores

 Semaphore: non-negative global integer synchronization variable

■ Manipulated by P and V operations:

```
P(s): [ while (s == 0) wait(); s--; ]
   · Dutch for "Proberen" (test)
. V(s): [ s++; ]
```

· Dutch for "Verhogen" (increment)

OS kernel guarantees that operations between brackets [] are executed indivisibly

- Only one P or V operation at a time can modify s.
- When while loop in P terminates, only that P can decrement s
- Semaphore invariant: (s >= 0)
- Mutex: binary semaphore used for mutual exclusion
 - · P operation: "locking" the mutex
 - V operation: "unlocking" or "releasing" the mutex
 - · "Holding" a mutex: locked and not yet unlocked.

Define and initialize a mutex for the shared variable cnt:

```
colatile long cnt = 0; /* Counter */
em_t mutex; /* Semaphore that protects cnt */
sem_init(&mutex, 0, 1); /* mutex = 1 */
```

Surround critical section with P and V:

```
(i = 0; i < niters; i++) {
                                                            linux> ./goodcnt 10000
OK cnt=20000
linux> ./goodcnt 10000
OK cnt=20000
linux>
P(&mutex)
V(&mutex);
```

Define and initialize a mutex for the shared variable cnt:

```
volatile long cnt = 0; /* Counter */
pthread_mutex_init(&mutex, NULL); // No special attributes
```

Surround critical section with lock and unlock:

```
(i = 0; i < niters; i++) {
pthread_mutex_lock(&mutex);</pre>
                                                       linux> ./goodmcnt 10000
OK cnt=20000
pthread_mutex_unlock(&mutex); OK cnt=20000
linux>./goodmcnt 10000
OK cnt=20000
```

Producer-Consumer Problem



- Common synchronization patterns
 - Producer waits for empty slot, inserts item in buffer, and notifies consumer
 - Consumer waits for item, removes it from buffer, and notifies producer

Circular Buffer (n = 10)

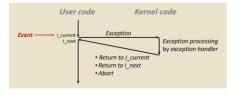
- Store elements in array of size n
- items: number of elements in buffer
- Empty buffer:
 - front = rear
- Nonempty buffer
 - rear: index of most recently inserted element
- front: (index of next element to remove 1) mod n
- Initially:



oid sbuf_init(sbuf_t *sp, int n) sp->buf = Calloc(n, sizeof(int));
sp->n = n;
sp->frent = sp->rear = 0; /* Empty buffer iff front == rear */
Sem init(ssp->mutex, 0, 1); /* Binary semaphore for locking */
Sem_init(tsp->elots, 0, n); /* Initially, buf has n empty slots */
Sem_init(tsp->items, 0, 0); /* Initially, buf has zero items */

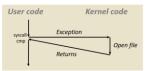
```
/* Insert item onto the rear of shared buffer sp */
void sbuf_insert(sbuf_t *sp, int item)
                                                                    /* Wait for available slot */
/* Lock the buffer */
/* Increment index (mod n) */
         P(&sp->slots);
          P(&sp->mutex);
         if (++sp->rear >= sp->n)
    sp->rear = 0;
         sp->leal = 0;
sp->buf[sp->rear] = item;
V(&sp->mutex);
V(&sp->items);
                                                                     /* Insert the item
/* Unlock the buffer
                                                                    /* Announce available item */
 /* Remove and return the first item from buffer sp */
int sbuf_remove(sbuf_t *sp)
        int item;
P(asp->items);
P(asp->mutex);
if (++sp->front >= sp->n)
    sp->front = 0;
item = sp->buf[sp->front];
V(asp->mutex);
V(asp->lots);
return item;
        int item
                                                                /* Wait for available item */
/* Lock the buffer */
/* Increment index (mod n) */
```

- Handled in kernel (partial) Taxonomy Handled in user process Asynchronous Synchronous Traps Interrupts **Faults** Aborts Signals
- An exception is a transfer of control to the OS kernel in response to some event (i.e., change in processor state)
 - Kernel is the memory-resident part of the OS
 - Examples of events: Divide by 0, arithmetic overflow, page fault, I/O request completes, typing Ctrl-C



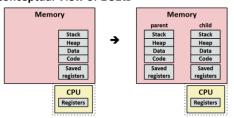
- User calls: open(filename, options)
- Calls open function, which invokes system call instruction syscal1





- %rax contains syscall number
- Other arguments in %rdi, %rsi, %rdx, %r10, %r8, %r9
- Return value in %rax
- Negative value is an error corresponding to negative errno

Conceptual View of fork



WAITPID

pid t wait(int *stat loc); pid_t waitpid(pid_t pid, int *stat_loc, int options);

If pid is equal to (pid_t)-1, status is requested for any child process. In this respect, waitpid() is then equivalent to wait().

If pid is greater than 0, it specifies the process ID of a single child process for which status is requested.

If pid is 0, status is requested for any child process whose process group ID is equal to that of the calling process.

If pid is less than (pid_t)-1, status is requested for any child process whose process group ID is equal to the absolute value of pid.

WIFEXITED(stat_val)

Evaluates to a non-zero value if status was returned for a child process that terminated normally.

WEXITSTATUS(stat_val)

If the value of WIFEXITED($stat_val$) is non-zero, this macro evaluates to the low-orde 8 bits of the status argument that the child process passed to $_exit()$ or exit(), or the value the child process returned from main().

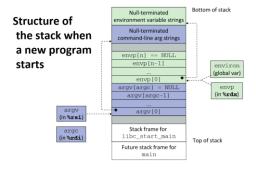
WIFSIGNALED(stat_val)

Evaluates to a non-zero value if status was returned for a child process that terminated due to the receipt of a signal that was not caught (see < signal.h>).

Return Value

If wait() or waitpid() returns because the status of a child process is available, these functions shall return a value equal to the process ID of the child process for which *status* is reported. If *wait()* or *waitpid()* returns due to the delivery of a signal to the calling process, -1 shall be returned and errno set to [EINTR]. If waitpid() was invoked with WNOHANG set in options, it has at least one child process specified by pid for which status is not available, and status is not available for any process specified by pid, 0 is returned. Otherwise, (pid_t)-1 shall be returned, and errno set to indicate the error.

- int execve(char *filename, char *argv[], char *envp[])
- Loads and runs in the current process:
 - Executable file filenam
 - · Can be object file or script file beginning with #!interpreter (e.g., #!/bin/bash)
 - ...with argument list argv
 - By convention argv[0] == filename
 - ...and environment variable list envp
 - "name=value" strings (e.g., USER=droh)
 - getenv, putenv, printenv
- Overwrites code, data, and stack Retains PID, open files and signal context
- Called once and never returns
 - ...except if there is an error



Signal Handling

- The signal function modifies the default action associated with the receipt of signal signum:
 - handler_t *signal(int signum, handler_t *handler)
- Different values for handler:
 - SIG_IGN: ignore signals of type signum
 - SIG_DFL: revert to the default action on receipt of signals of type signur
 - Otherwise, handler is the address of a user-level signal handler
 - Implicit blocking mechanism
 - Kernel blocks any pending signals of type currently being handled
 - e.g., a SIGINT handler can't be interrupted by another SIGINT
 - Explicit blocking and unblocking mechanism
 - sigprocmask function

sigset_t mask, prev_mask; Sigemptyset(&mask); Sigaddset(&mask, SIGINT); Sigprocmask(SIG_BLOCK, &mask, &prev_mask); /* Code region that will not be interrupted by SIGINT */ /* Restore previous blocked set, unblocking SIGINT */
Sigprocmask(SIG_SETMASK, &prev_mask, NULL);

Guidelines for Writing Safe Handlers

- G0: Keep your handlers as simple as possible e.g., set a global flag and return
- G1: Call only async-signal-safe functions in your handlers
- printf, sprintf, malloc, and exit are not safe!
- G2: Save and restore errno on entry and exit So that other handlers don't overwrite your value of errno
- G3: Protect accesses to shared data structures by temporarily blocking all signals
- To prevent possible corruption
- G4: Declare global variables as volatile
 - To prevent compiler from storing them in a register
- G5: Declare global flags as volatile sig_atomic_t
- flag: variable that is only read or written (e.g. flag = 1, not flag++)
 Flag declared this way does not need to be protected like other globals
- Function is async-signal-safe if either reentrant (e.g., all variables stored on stack frame, CS:APP3e 12.7.2) or noninterruptible by signals
- Posix guarantees 117 functions to be async-signal-safe
 - Source: "man 7 signal-safety"
 - Popular functions on the list:
 - · _exit, write, wait, waitpid, sleep, kill

Sigprocmask(SIG_BLOCK, &mask_all, NULL); /* Parent process */ addjob(pid); /* add the child to the job list */ Sigprocmask(SIG_SETMASK, &prev_one, NULL); /* Unblock SIGCHLD */ **Preventing Races**

- int sigsuspend(const sigset_t *mask)
- Equivalent to atomic (uninterruptable) version of:

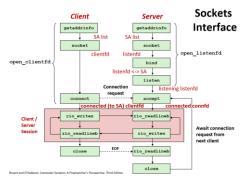
sigprocmask(SIG_SETMASK, &mask, &prev); mask(SIG_SETMASK, &prev, NULL);

- Answer: By calling the dup2 (oldfd, newfd) function
 - Copies (per-process) descriptor table entry oldfd to entry newfd

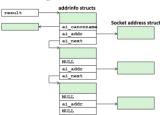


- When to use standard I/O
 - When working with disk or terminal files
- When to use raw Unix I/O
- Inside signal handlers, because Unix I/O is async-signal-safe

Network



Review: getaddrinfo Linked List



- Clients: walk this list, trying each socket address in turn, until the calls to socket and connect succeed.
- Servers: walk the list until calls to socket and bind succeed.

OTHER



- deadlock: nothing can proceed (need locks)
 - livelock: infinite loop
 - starvation: unfairness, one has priority

Grarbuye collection

-mark & sweep: mark all connected to roots sweep all allocated and not marked