

# Functional programming, Lecture No. 0

## A bit of theoretical flashbacks

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# Introduction

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# General words on Haskell

- The language is named after Haskell Curry, an American logician
- The first implementation: 1990
- The current language standard: Haskell2010
- Default compiler: Glasgow Haskell Compiler (GHC)
- Haskell is a strongly-typed, polymorphic, and purely functional programming language



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- GHC is mostly implemented on Haskell
- GHC is developed under the GHC Steering committee control
- Very and very roughly and approximately, the compiling pipeline is arranged as follows:  
    parsing  $\Rightarrow$  compile-time (type-checking)  $\Rightarrow$  runtime

## **A bit of history**

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# Lambda calculus and type theory. Historical notes

- At the end of the 1920-s, Alonzo Church provided an alternative approach to the foundations of mathematics. Here, the notion of a function is the primitive one
- Lambda calculus is a formal system that describes arbitrary abstract functions

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- Lambda calculus is a formal system that describes arbitrary abstract functions
- Moreover, Church used lambda calculus to show that Peano arithmetic is undecidable.



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- The first system of typed lambda calculus is a hybrid from lambda calculus and type theory developed by Bertrand Russell and Alfred North Whitehead (1910-s).

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- Polymorphic type inference (Roger Hindley, Robin Milner and Luis Damas (1970-1980-s))
- ML: the very first language with a polymorphic inferred type system (Robin Milner, 1973)
- The language Haskell appeared at the beginning of 1990-s. Haskell designed by Simon Peyton Jones, Philip Wadler, and others

## **General conceptual aspects**

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## **Definition**

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A function is a triple  $\langle A, B, f \rangle$ , where  $f$  is a functional relation.

$f(x) = y \Leftrightarrow \langle x, y \rangle \in f$ .

# The notion of a function

- In such a set-theoretical approach, we identify a function and its graph
- In lambda calculus, the notion of a function is the primitive one
- Such an understanding of a function provides us a Turing-complete model of computation

# **Lambda calculus in a nutshell**

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# The formal definition

## Definition

*Let  $V = \{x, y, z, \dots\}$  be the set of variables. The set of preterms is generated by the following grammar*

$$M ::= x \mid (\lambda x.M) \mid (MM)$$

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## Definition

The set of lambda terms is defined as the set of preterms modulo  $\equiv_\alpha$ .

$$\Lambda = \Lambda_{pre} / \equiv_\alpha = \{[M]_N \mid M \equiv_\alpha N\}$$

# The reduction relation

## Definition

*An operational semantics is defined as the following rewriting rules:*

## Reduction rules

$$(\lambda x.M)N \rightarrow_{\beta} M[x := N]$$

$$\frac{M_1 \rightarrow_{\beta} M_2}{M_1 N \rightarrow_{\beta} M_2 N}$$

$$\frac{M_1 \rightarrow_{\beta} M_2}{N M_1 \rightarrow_{\beta} N M_2}$$

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## Definition

- A term that has the form  $(\lambda x.M)N$  is called  $\beta$ -redex
- A term is in normal form if it has no redexes in its subterms

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2. A term  $M$  is called **strongly normalisable** (SN), if any reduction path that starts from  $M$  terminates

It is clear, that SN implies WN, not vice versa. In other words, there exists a term that has an infinite reduction path, but it has a finite reduction path at the same time.

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## From the one hand

$$(\lambda xy.x)(\lambda z.z)((\lambda x.xx)(\lambda x.xx)) \rightarrow_{\beta}$$

$$(\lambda y.\lambda z.z)((\lambda x.xx)(\lambda x.xx)) \rightarrow_{\beta}$$

$$(\lambda z.z)[y := (\lambda x.xx)(\lambda x.xx)] \rightarrow_{\beta}$$

$$\lambda z.z$$

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1.  $(\lambda x_1 \dots x_n. M)N_1 \dots N_n$ : we firstly reduce  $(N_i)_{i \in \{1, \dots, n\}}$
2.  $(\lambda x_1 \dots x_n. M)N_1 \dots N_n$ : reduce  $(\lambda x_1 \dots x_n. M)N_1$  and go further from left to right

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The first way is called an **applicative order**, the second one is a **normal** one. A normal order is much more better in a certain sense:

## Theorem

*Let  $M$  be a term such that  $M$  has a normal form  $M'$ , then  $M$  might be reduced to  $M'$  via normal order*

# Lambda calculus in a nutshell. Call-by-value and call-by-name

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- The most mainstream programming languages you know (Java, Python, Kotlin, etc) have call-by-value semantics
- The Haskell reduction has a call-by-name strategy. Informally, such a strategy is called **lazy**. Laziness denotes that Haskell doesn't compute a value if it's not needed at the moment
- Call-by-name reduction reduces reducible terms to the bitter end, but it's not always optimal, unfortunately
- In Haskell, the reduction is arranged as call-by-name evaluation up to so called weak head normal form

# Pure functions and side-effects

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- A function is called **pure** if it yields the same value for the same argument each time
- It means that, such a function has the same behaviour at every point. This principle is also called **referential transparency**
- A side-effect function is a function that may yield different value passing the same arguments. Mathematically, such a function is not function at all.
- Haskell functions are (mostly) pure ones, but Haskell isn't confluent as a version of lambda calculus

## **A couple of words on types**

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# Motivation

- A type is a syntax construction that should be assigned to terms and values according to the list of rules
- Types define a sort of partial specification
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- Types define a sort of partial specification
- Type checking allows one to catch an enormous class of errors
- The standard definition by Benjamin Peirce:

*A type system is a tractable syntactic method for proving the absence of certain program behaviours by classifying phrases according to the kinds of values they compute*

Type theory has two perspectives that often intersect with each other.

- Type theory as the branch of proof theory and constructive mathematics
- Type theory as the branch of computer science and programming language theory

# A landscape of typing from a bird's eye view

We may classify the possible ways of typing as follows:

- Strong and weak typing:
  - Strong typing: Java, Haskell, Ocaml, Rust, etc
  - Weak typing: JavaScript, e.g.
- Static and dynamic typing:
  - C, C++, Java, Haskell, etc
  - JavaScript, Ruby, PHP, etc
- Implicit and explicit typing:
  - JavaScript, Ruby, PHP, etc
  - C++, Java, etc
- Inferred typing:
  - Haskell, Standard ML, Ocaml, Idris, etc

# A short reminder on simply typed lambda calculus

The typing rules are:

## Axiom

$$\Gamma, x : \sigma \vdash x : \sigma$$

## Lambda abstraction

$$\frac{\Gamma, x : \sigma \vdash M : \psi}{\Gamma \vdash (\lambda x. M) : \sigma \rightarrow \psi}$$

## Application

$$\frac{\Gamma \vdash M : \sigma \rightarrow \psi \quad \Gamma \vdash N : \sigma}{\Gamma \vdash MN : \psi}$$



# Higher order functions

- Higher-order functions are widely used in ordinary mathematics, such as differential operator, that has type

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- In untyped lambda calculus, all functions are higher-order by default
- In typed lambda calculus, a function of type  $\varphi \rightarrow \psi$  is called higher-order, if  $\varphi = \theta \rightarrow \delta$ , for example:

$$\lambda f g x. g(fx) : (\varphi \rightarrow \psi) \rightarrow ((\psi \rightarrow \theta) \rightarrow (\varphi \rightarrow \theta))$$

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$$\lambda f g x. g(fx) : (\varphi \rightarrow \psi) \rightarrow ((\psi \rightarrow \theta) \rightarrow (\varphi \rightarrow \theta))$$

- A function is a **first-class object**

# Type systems and their metatheoretical properties

- Progress and preservation = safety
- Weak normalisation
- The type uniqueness
- The inversion property
- Weakening and permutation
- Canonicity
- Type preservation under substitution
- etc...

# The type system classification

As you know, we have the following ways of dependency between terms and types:

- A term depends on type (polymorphism in system F)
- A type depends on type (so-called type operators in  $\lambda_{\bar{\omega}}$ )
- A type depends on terms (dependent types in the basic DT system called P and its extensions)

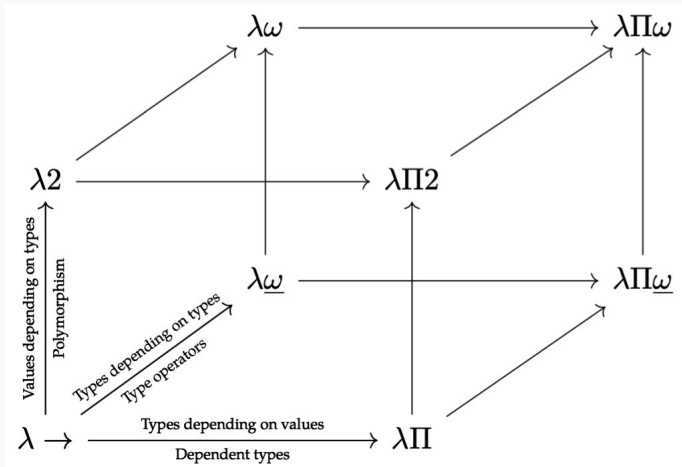
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All possible combinations of these dependencies might be illustrated via Barendregt's lambda cube, the lattice of type theories

# Lambda cube





# Polymorphism

---

# Motivation

- A polymorphism is a quite powerful tool in making a code more general and abstract
- Such an abstraction allows one to avoid a boilerplate. Let us take a look at the following functions:

```
twiceInt :: (Int -> Int) -> Int -> Int
twiceInt f v = f (f v)
```

```
twiceBool :: (Bool -> Bool) -> Bool -> Bool
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- It is clear that all these functions do the same work.
- We don't want to reproduce the same pattern each time

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- The initial motivation was a characterisation of computable functions that are provably recursive in second-order arithmetic

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- The initial motivation was a characterisation of computable functions that are provably recursive in second-order arithmetic
- From an engineering perspective, System F is an axiomatic representation of parametric polymorphism
- The problem of type inference in the Curry-style typed System F is undecidable

# System F. Typing rules

The Curry style typing rules:

## Generalisation rule

$$\frac{\Gamma \vdash M : \sigma}{\Gamma \vdash M : \forall \alpha. \sigma} \alpha \notin \text{rng}(\Gamma)$$

## Type instantiation

$$\frac{\Gamma \vdash M : \forall \alpha. \sigma}{\Gamma \vdash M : \sigma[\alpha := \psi]}$$

# System F. Typing rules

The Church style typing rules:

## Type abstraction

$$\frac{\Gamma, \alpha \vdash M : \sigma}{\Gamma \vdash \Lambda \alpha. M : \forall \alpha. \sigma}$$

## Explicit type instantiation

$$\frac{\Gamma \vdash M : \forall \alpha. \sigma}{\Gamma \vdash M[\psi] : \sigma[\alpha := \psi]}$$

# System F. Typing rules

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- One may enable the System F polymorphism in Haskell with the extension called `RankNTypes`
- The language extension `TypeApplications` provides an explicit type instantiation. In Haskell notation, `f @ a`



## Polymorphism. Hindley-Milner type system

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The inference rules are the following restricted Curry-style system F rules:

## Type scheme introduction

$$\frac{\Gamma \vdash M : \sigma}{\Gamma \vdash M : \forall \vec{\alpha}. \sigma} \quad \vec{\alpha} \cap \text{rng}(\Gamma) = \emptyset$$

## Type instantiation

$$\frac{\Gamma \vdash M : \forall \alpha. \sigma}{\Gamma \vdash M : \sigma[\alpha := \psi]}$$

## Type instantiation

$$\frac{\Gamma, x : \sigma \vdash M : \psi}{\Gamma \vdash \lambda x. M : (\sigma \rightarrow \psi)} \quad \sigma \text{ is quantifier-free}$$

# Polymorphism. Hindley-Milner type system

This version of polymorphism is a “default” polymorphism in the basic Haskell. In fact, the following code:

```
const :: a -> b -> a
const x _ = x
```

denotes something like this

```
{-# LANGUAGE ExplicitForAll #-}
const :: forall a b. a -> b -> a
const x _ = x
```

## **A couple of words on kinds**

---

## Extending polymorphism with kinds

- We have already resigned to the fact that all objects are classified with types
- How can we classify types themselves?
- Types have kinds in the same sense as terms have types
- In particular, such a type classification provide way to writing type operators

## Extending polymorphism with kinds. Some examples

$$\text{Id} = \lambda\sigma.\sigma$$

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$$\text{Pair} = \Lambda\sigma : *. \Lambda\psi : *. \forall\vartheta. (\sigma \rightarrow \psi \rightarrow \vartheta) \rightarrow \vartheta$$

$$\text{Pair} : * \rightarrow * \rightarrow *$$

## Extending polymorphism with kinds. Some examples

$$\text{Id} = \Lambda\sigma.\sigma$$

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$$\text{Pair} = \Lambda\sigma : *. \Lambda\psi : *. \forall\vartheta. (\sigma \rightarrow \psi \rightarrow \vartheta) \rightarrow \vartheta$$

$$\text{Pair} : * \rightarrow * \rightarrow *$$

$$\text{Nat} : *$$

$$\text{String} : *$$

$$\text{PairNS} = \text{Pair} [\text{Nat}][\text{String}]$$



The basic characteristics of the system  $F_\omega$  are:

- Kinds:  $\kappa, \mu ::= * \mid (\kappa \rightarrow \mu)$
- One needs to extend the system with **kinding rules and kinding judgements** that have the form  $\Gamma \vdash \psi : \kappa$ , where  $\psi$  is a type and  $\kappa$  is a kind

Let us take a look at some kinding rules:

## Kinding abstraction

$$\frac{\Gamma, \varphi : \kappa_1 \vdash \psi : \kappa_2}{\Gamma \vdash \Lambda \varphi : \kappa_1. \psi : \kappa_1 \rightarrow \kappa_2}$$

## Kinding generalisation

$$\frac{\Gamma, \varphi : \kappa \vdash \psi : *}{\Gamma \vdash \forall \varphi : \kappa. \psi : *}$$

# The modified System F rules

The quantifier rules have the modified form:

## Type abstraction with kinding

$$\frac{\Gamma, \alpha : \kappa \vdash M : \sigma}{\Gamma \vdash \Lambda \alpha : \kappa. M : \forall \alpha. \sigma}$$

## Type instantiation with kinding

$$\frac{\Gamma \vdash M : \forall \alpha : \kappa_1. \sigma \quad \Gamma \vdash \psi : \kappa_1}{\Gamma \vdash M[\psi] : \sigma[\alpha := \psi]}$$

# System $F_\omega$ in Haskell

One may enable the extension called `TypeFamilies` to define type-level functions

```
{-# LANGUAGE TypeFamilies #-}
```

```
type family G a where
```

```
    G Int = Bool
```

```
    G a   = Char
```

```
type family AnotherG a :: *
```

```
type instance AnotherG Int = Bool
```

```
type instance AnotherG String = Char
```

## **The relevant Haskell type system**

---

- The system  $F_\omega$  enriched with algebraic data types was the underlying Haskell type system till the mid-2000-s
- At the moment,  $F_\omega$  is extended to the system FC. Let me drop the full definition of this system

- The system  $F_\omega$  enriched with algebraic data types was the underlying Haskell type system till the mid-2000-s
- At the moment,  $F_\omega$  is extended to the system FC. Let me drop the full definition of this system
- The features of FC are:
  1. Coercions and equality constraints
  2. Generalised algebraic data types
  3. et cetera

The example of a generalised algebraic data type is the following one:

```
{-# LANGUAGE GADTs #-}
```

```
data Term a where
```

```
  Lit      :: Int -> Term Int
```

```
  Succ     :: Term Int -> Term Int
```

```
  IsZero   :: Term Int -> Term Bool
```

```
  If       :: Term Bool -> Term a -> Term a -> Term a
```

```
  Pair     :: Term a -> Term b -> Term (a,b)
```

```
eval :: Term a -> a
eval (Lit i)      = i
eval (Succ t)     = 1 + eval t
eval (IsZero t)   = eval t == 0
eval (If b e1 e2) = if eval b then eval e1 else eval e2
eval (Pair e1 e2) = (eval e1, eval e2)
```



Formally, the type above is defined as follows:

```
{-# LANGUAGE ExistentialQuantification #-}  
{-# LANGUAGE TypeFamilies #-}
```

```
data Term a =  
  a ~ Int => Lit a |  
  a ~ Int => Succ a |  
  (a ~ Bool) => IsZero (Term Int) |  
  If Bool (Term a) (Term a) |  
  forall b c. (a ~ (b, c)) => Pair (Term b) (Term c)
```

# Summary

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- We discussed the general aspects of functional programming itself and its story
- We briefly and brutally reintroduced you to lambda calculus and the variety of type systems
- We overview the main directions of type theory influence on Haskell
- We said a couple of words on the underlying Haskell type system called System *FC*

**Thank you for your kind attention!**